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Sleep and Alzheimer's disease: A pivotal role for the suprachiasmatic nucleus?

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Running Head

'ALZHEIMER'S DISEASE AND THE SUPRACHIASMATIC NUCLEUS'

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Summary

Alzheimer's disease (AD), which accounts for most of the dementia cases, is, aside from cognitive deterioration, often characterized by the presence of non-cognitive symptoms. Society is desperately in need for interventions that alleviate the economic and social burden related to AD. Circadian dysrhythmia, one of these symptoms in particular, immensely decreases the self-care ability of AD-patients and is one of the main reasons of caregiver exhaustion. Studies suggest that these circadian disturbances form the root of sleep-wake problems, diagnosed in more than half of AD patients. Sleep abnormalities have generally been considered merely a consequence of AD pathology. Recent evidence suggests that a bidirectional relationship exists between sleep and AD, and that poor sleep might negatively impact amyloid burden, as well as cognition. The suprachiasmatic nucleus (SCN), the main circadian pacemaker, is subjected to several alterations during the course of the disease. Its functional deterioration might fulfill a crucial role in the relation between AD pathophysiology and the development of sleep abnormalities. This review aims to give a concise overview of the anatomy and physiology of the SCN, address how AD pathology precisely impacts the SCN and to what degree these alterations can contribute to the progression of the disease.

Keywords

Circadian rhythm; suprachiasmatic nucleus; molecular clock; sleep; aging; Alzheimer's disease; dementia

Abbreviations

Acetylcholine	ACh
Acetylcholinesterase inhibitor	AChEI
Alzheimer's disease	AD
Amyloid-beta	A β
Arginine vasopressin	AVP
Behavioral and psychological signs and symptoms of dementia	BPSD
Brain and muscle Arnt-like protein	BMAL
Circadian locomotor output cycles kaput	CLOCK
Cryptochrome	CRY
Dorsomedial nucleus of the hypothalamus	DMN
<i>gamma</i> -Aminobutyric acid	GABA
Interstitial fluid	ISF
Intracerebroventricular	ICV
I κ B kinase	IKK
Melatonin receptor 1	MT ₁
Melatonin receptor 2	MT ₂
Neurofibrillary tangles	NFTs
Neuropsychiatric symptoms	NPS
Neurotensin	NT
Non-rapid eye movement	NREM
Nuclear factor kappa-light-chain-enhancer of activated B cells	NF- κ B
Paraventricular nucleus	PVN
Period	PER
Prokineticin-2	PK-2
Rapid eye movement	REM
Retinoid-related orphan receptor	ROR
Reverse erythroblastosis virus	REV-ERB
Slow-wave sleep	SWS
Subparaventricular zone	SPZ
Suprachiasmatic nucleus	SCN
Total sleep time	TST
Transforming growth factor- α	TGF- α
Vasoactive intestinal peptide	VIP
Ventrolateral preoptic nucleus	VLPO

1. Introduction

Over the last century, improvements in health care have enabled mankind to live a healthier and longer life. However, as a consequence, the prevalence of non-communicable diseases, including dementia, has skyrocketed. Currently, around 47 million people worldwide are living with dementia. Moreover, this number is expected to double by 2030, imposing an unattainable economic and social burden on society [1]. Of all dementias, Alzheimer's disease (AD) is the most prevalent, accounting for up to 75 % of all dementia cases [2]. AD is a neurodegenerative disorder, which is typically characterized by neuronal loss, gliosis, dystrophic neurites and the accumulation of proteinaceous aggregates [3]. Neurofibrillary tangles (NFTs), aggregates of hyperphosphorylated tau protein, are mostly observed in the intracellular milieu, where they compromise axonal transport and structural maintenance [4]. Deposits of insoluble amyloid-beta ($A\beta$) in the extracellular space are assumed to be most crucial in the pathogenesis of AD. According to the amyloid hypothesis [5], these amyloid plaques drive the progressive neuronal damage that fully characterizes the disease. Moreover, fibrillar amyloid depositions in medium-sized and small cerebral vessels, better known as cerebral amyloid angiopathy, play an active role in the process of neurodegeneration through ischemic, hemorrhagic and apoptotic mechanisms [6].

As a result of these neurodegenerative processes, AD patients present with prominent cognitive deficits. Initially, these cognitive symptoms are relatively minor, ranging from limited forgetfulness to short-term memory dysfunction. At later stages of the disease, however, long-term memory deficits become more prominent, and are eventually accompanied by executive dysfunction and helplessness [7]. Aside from cognitive deterioration, up to 80% of all AD patients also display noncognitive symptoms in the course of the disease [8-10]. These symptoms comprise psychosis, as well as affective and behavioral changes and are commonly denominated as neuropsychiatric symptoms (NPS), formerly known as behavioral and psychological signs and symptoms of dementia (BPSD) [11]. NPS have been increasingly identified as a research priority, since they result in

suffering, premature institutionalization, increased costs of care, and significant loss in quality of life for the patients, family and caregivers [12]. Seven main categories of NPS can be distinguished: paranoid and delusional ideation, hallucinations, affective disturbances, anxieties and phobias, aggressiveness, activity disturbances and diurnal rhythm disturbances [13]. The latter, circadian dysrhythmia, participates in the emergence of the sundowning syndrome, a phenomenon characterized by the exacerbation of neuropsychiatric symptoms in the late afternoon, evening or night. Around 45% of dementing subjects display agitation, restlessness and confusion at sunset. Also, 25 to 60% of all AD patients are diagnosed with sleep disorders and sleep abnormalities [14, 15], which often arise prior to the appearance of cognitive decline, and are among the first noticeable symptoms [16]. The sleep architecture of AD patients is significantly different from aged control individuals, which manifests itself in a quantitative reduction of slow-wave sleep (SWS) and rapid eye movement (REM) sleep [17]. Furthermore, their sleep-wake cycle is often more fragmented and the overall daytime alertness is decreased [18-20], resulting in longer and more nocturnal awakenings and daytime naps [21, 22]. Sleep disorders and sundowning decrease the self-care ability of AD patients, are among the main reasons for caregiver exhaustion and greatly impact the rate of institutionalization [23, 24]. Since the circadian system co-forms the foundation of the sleep-wake cycle and given the fact that also other circadian rhythms, including motor activity, body temperature and several hormone secretions are affected, sleep disturbances probably arise from alterations in the circadian rhythm [19, 25, 26]. Since relatively few studies have been conducted to substantiate this hypothesis [27-29], this review will try to highlight the importance of the circadian system in the context of AD by specifically focusing on the development of sleep-wake disturbances and giving a clear overview of the role of the suprachiasmatic nucleus (SCN), the primary circadian pacemaker in mammals, in this matter.

2. Anatomy and physiology of the circadian pacemaker

Mammalian behavior and physiology are entrained to the 24-hour environmental light/dark cycle. Such entrainment is achieved by an endogenous circadian clock, which enables the organism to anticipate to environmental changes and adapt to new conditions [30]. This circadian timing system is comprised of a central pacemaker along with afferent and efferent pathways. The SCN has been widely accepted as the central circadian pacemaker, as was demonstrated by the restoration of circadian rhythmicity in arrhythmic SCN-lesioned rats that were implanted with fetal SCN material [31-33], and in which the recovered rhythms had the characteristics of the donor, not the host [34].

The SCN is a small hypothalamic nucleus located dorsally of the optic chiasm. Each unilateral SCN has around 10,000 neurons and constitutes two main neuronal populations: an arginine vasopressin (AVP)-positive neuronal population occupying the dorsal shell region of the nucleus, and vasoactive intestinal peptide (VIP)-positive neurons located in the ventral core region [35]. AVP-expressing neurons secrete AVP in a circadian pattern. Studies in AVP-deficient Brattleboro rats have demonstrated that the presence of AVP may not be critical to maintain a coherent circadian rhythm [36, 37]. However, the amplitude of certain circadian rhythms (e.g., sleep) is significantly affected in the absence of AVP [38], suggesting that the circadian release of AVP is responsible for the modulation of the amplitude of rhythms rather than its generation. VIP signaling on the other hand, is necessary for the generation and coordination of daily behavioral and physiological rhythms. Through activation of its VPAC₂ receptor, VIP is able to promote circadian rhythmicity in non-pacemaking SCN neurons, and maintain synchrony between intrinsically rhythmic neurons [39]. The exact role of NT in the establishment of circadian rhythms is not completely understood at present. Evidence suggests that NT is able to increase the discharge rate of SCN neurons and phase shift their firing rhythm [40, 41]. These findings, together with the fact that NT receptors are localized in the ventral region of the SCN [42-44], raise the possibility that this neuropeptide plays a role in the modulation of the central clock to environmental stimuli.

The ventral core region receives direct information about light intensity, the strongest synchronizer of circadian rhythm (*zeitgeber*), via the retinohypothalamic tract, which originates from retinal melanopsin-containing ganglion cells [45, 46]. The SCN also receives input from the thalamic intergeniculate leaflet and from the serotonergic raphe nuclei in the brainstem. Although light intensity is the strongest *zeitgeber*, these last two pathways allow non-photic entrainment by, for example, food intake, physical exercise and social cues [47]. The fact that totally blind people display a high incidence of free-running rhythms, even though they have ample access to nonphotic time cues, underline the importance of light exposure for normal entrainment for circadian rhythms [48].

The circadian clock in the SCN is based on a delayed negative transcriptional feedback loop (Figure 1). CLOCK/BMAL1 protein dimers bind to E-box cis-regulatory enhancer sequences and directly and indirectly promote the transcription of Period (Per1, Per2, Per3), Cryptochrome (Cry1 and Cry2), retinoid-related orphan receptor (Ror) and reverse erythroblastosis virus (Rev-Erb) genes. Consequently, PER, CRY, ROR and REV-ERB proteins accumulate in the cytosol [49]. PER is phosphorylated and when a critical level is reached, PER and CRY dimerize, after which the complex is translocated to the nucleus [50]. In the nucleus, CRY again suppresses CLOCK-BMAL1-induced transcription of Per, Cry, Ror and Rev-Erb genes, thereby completing the negative feedback loop [51, 52]. ROR and REV-ERB also translocate to the nucleus where they regulate transcription of the Bmal1 gene by antagonistically competing for the RORE sequence [53]. This generates a rhythmic level of BMAL1 and hence CLOCK-BMAL1 protein levels. Nuclear levels of PER and CRY reach a maximum at the transition from day to night, while BMAL1 levels are highest at the transition from night to day. ROR and REV-ERB maxima occur somewhere in-between [54]. Although the mechanisms that coordinate the phase delay between these nuclear protein levels remain largely unknown, it is clear that the timing of nuclear entry is crucial in circadian organization. The transcriptional auto-regulatory feedback loop is phylogenetically highly conserved, existing also in Drosophila melanogaster or fruitfly [55] and Caenorhabditis elegans [56], emphasizing its significance. At the

same time however, the system is quite vulnerable to disruptions. Since the different clock components display distinct characteristics and diversely affect the clock's functioning, any alterations in gene expression or protein translation can severely impair the functioning of the entire mechanism. Knockout mouse models in which certain clock genes (e.g., Bmal1 and Cry) have been permanently inactivated, phenotypically display disruptions of the sleep-wake cycle and other circadian rhythms [57-59], and hence provide proof of this concept. How the cell-autonomous circadian oscillators are integrated into a functional neuronal network is thoroughly reviewed by Welsh and colleagues [60].

Hence, the SCN is composed of thousands of oscillating neurons, each dependent on transcriptional translational feedback loops of a set of genes. Considering the former, it is surprising that all these individual SCN neurons can be integrated into a coherent pacemaker that can govern the circadian behavior of a whole organism, particularly the sleep-wake cycle. Precisely how the oscillating genes are linked to the output of the SCN is currently unknown. Yet, the different pathways that the SCN has at its disposal and uses to translate these molecular oscillating processes to non-rhythmic effector cells have been numerous described [61-65] (Figure 2). Most of the SCN projections are intra-hypothalamic and are in close communication with sleep-wake centers. Nevertheless, only few SCN efferents project to the ventrolateral preoptic nucleus (VLPO) or lateral hypothalamus monosynaptically [64-66]. There is even no monosynaptic output to the arousal sites in the brainstem. Instead, most SCN efferents are directed at the subparaventricular zone (SPZ) and the dorsomedial nucleus of the hypothalamus (DMN) and reach their target area via polysynaptic connections [67, 68]. The SPZ, which extends dorsally and caudally from the SCN to the ventral edge of the hypothalamic paraventricular nucleus (PVN) [69], appears to be a specialized route through which circadian signals reach sleep-wake centers. At least, the ventral part (vSPZ) does, since only ventral lesions of the SPZ abolished rhythms of rest-activity, sleep-wake cycles and feeding, while dorsal lesions mainly affect the circadian rhythm of body temperature [70].

The SCN and vSPZ, in turn, both have dense projections to the DMN [71, 72], which then again sends strong *gamma*-Aminobutyric acid-ergic (GABAergic) projections to the VLPO [72] and glutamatergic projections to the orexinergic lateral hypothalamic area [73]. The rationale behind such polysynaptic pathway is probably that it allows for the integration of light-entrained circadian cues from the SCN with non-photic environmental (e.g., feeding) cues to establish sleep-wake patterns that meet the organisms needs [66].

Other efferent pathways worth mentioning are the polysynaptic projections from the SCN to the PVN, which are important in the circadian control of the pineal hormone melatonin [74]. This pathway has revealed a remarkable feature of the SCN. The majority of SCN neurons are GABAergic [75], and even so most projections that reach the pineal gland are GABAergic [74]. However, a certain proportion of SCN neurons might be glutamatergic, and therefore excitatory in origin [76, 77]. Experiments with GABA and glutamate antagonists have indeed demonstrated that the SCN exerts a GABA-mediated inhibition of melatonin secretion during the subjective day, and a glutamate-mediated secretion during the subjective night [78, 79]. Such control, balanced by glutamatergic and GABAergic inputs have also been described for other biological rhythms (e.g., plasma glucose) [80], and therefore seem very plausible. Melatonin is a serotonin-derived hormone that provides important feedback to the SCN. Through activation of the melatonin receptors 1 (MT₁), melatonin can inhibit SCN neuronal activity, thereby promoting sleep. Additionally, activation of MT₂ (and possibly also MT₁) can cause phase shifting of the SCN firing rhythms [81].

Aside from these synaptic transmission pathways, there is now clear evidence that also certain diffusible factors are implicated in the regulation of the sleep-wake rhythm. This paracrine signaling became obvious after experiments in which transplants of the SCN restored circadian activity rhythms to animals whose own SCN had been ablated, even though the SCN grafts were encapsulated with a semipermeable polymeric membrane, thereby preventing the formation of axonal connections [82]. Up until now, two paracrine factors have been proposed to be responsible for this restoration of circadian function: transforming growth factor- α (TGF- α) and prokineticin-2

(PK-2). Intracerebroventricular (ICV) infusion of TGF- α suppresses wheel-running behavior in Syrian hamsters and, like SPZ lesions, disrupts the timing of the sleep-wake cycle, without altering the duration of the different vigilance states [83]. Similarly, ICV injection of PK-2 suppresses locomotor activity in rats [84]. Moreover, both factors are expressed by SCN cells and bind to receptors in the SPZ and DMN, both major target areas of the SCN [83, 84]. Thus, it appears that both factors are implied in clock-dependent suppression of activity and perhaps could be critical initiators of the sleeping period. So far, no diffusible factors that promote arousal and activity have been found.

Another breakthrough in the understanding of the SCN clock was procured by neurophysiology studies in freely moving rodents. Inouye and colleagues performed *in vivo* multi-unit activity recordings and demonstrated that the SCN displays a diurnal pattern in electrical activity. SCN neurons of nocturnal rodents had a higher spontaneous activity rate during the light phase than the dark phase. Moreover, this pattern persisted when the animals were placed in constant environmental light conditions, suggesting that this diurnal activity pattern is intrinsic to the SCN neurons of the circadian clock, and is not influenced by external cues [85, 86]. A similar circadian pattern was described in a diurnal chipmunk, which suggests that the day/night preference of a species also reflects a differential effect of SCN output on downstream behavioral effectors [87, 88]. Whether these results are representative for all diurnal animals remains questionable. However, clock gene expression (*Period*) in the Nile grass rat, a diurnal animal, has also been proven to phase similarly to clock genes of nocturnal animals [89]. During the aging process, SCN electrical activity has been reported to decrease in laboratory animals [90, 91]. Could alterations in the electrical activity of the SCN also be the foundation of circadian dysrhythmia in AD?

3. The SCN in Alzheimer's disease

3.1. Senescence-related alterations of the SCN

Surprisingly, an adequate circadian rhythm has been associated with longevity. Transplantation of neonatal SCN tissue in old hamsters increased lifespan more than four months [92]. Moreover, the circadian rhythm of aged mice improved after receiving a SCN-containing transplant of fetal tissue in the third ventricle [93]. Intuitively, we assume that the reduced SCN function in senescent organisms can be explained by neurodegeneration. However, animal investigations of the neuronal and glial cell populations in the SCN reported quite ambiguous results. Madeira et al. analyzed the SCN of male and female adult and aged Wistar rats, and did not observe any age-related differences in the total number of neurons and astrocytes [94]. Similarly, although no effect of age on total size of the SCN could be demonstrated in F344/N rats, Tsakuhara and others reported a decrease in the number of SCN neurons in aged compared to young rats [95]. This age-related neuronal loss could, however, not be confirmed in rhesus monkeys [96]. The monkey hypothalamus did not display evidence of significant reductions in neuron or glia numbers, nor in the volume of other brain areas (including the SCN), although a trend towards an age-related decline in SCN volume and neuron number in females could be observed. Hence, this study provides evidence that normal aging probably does not lead to a loss of neurons in monkey hypothalamus, and that alterations in homeostatic functioning associated with aging (e.g., circadian disturbances) likely reflect altered physiological functions rather than simple cell loss [96]. Reductions of synaptogenesis and biochemical alterations in the neuronal membrane have been described in the course of aging [97-99], and could reflect these underlying physiological disturbances. The neuronal membrane, a major site of action for processes like the conduction of neuronal information, control of ion channels and maintenance of various receptors, increases in rigidity with age [99]. Considerable evidence suggests that this decrease in membrane fluidity is due to disruptions in cholesterol homeostasis [97, 99-101]. Altered membrane fluidity can profoundly influence the action and density of receptor systems, for example VIP [102] and N-methyl-D-aspartate [103], and therefore impact SCN function in numerous ways.

Clinically, neurodegenerative processes in the SCN have been demonstrated in the brains of senescent people, as well as a disturbed functional activity [104, 105]. No cell loss in the SCN was

apparent before the age of 80 years, yet, at a very old age (80-100 years old), when circadian disturbances are often present, a prominent decrease in the total cell number was observed. Similar to description above, these findings suggest that neurodegeneration might be a rather late phenomenon in the development of circadian dysrhythmia [28]. Alterations in membrane properties, neurotransmitter levels, or dendritic and synaptic contacts [106] might be earlier culprits of SCN dysfunction, and therefore need to be a primary directive in future investigations.

3.2. SCN alterations in AD

Circadian disturbances are far more pronounced in AD than in normal aging [25]. Equally, the cell loss observed in the SCN of AD patients is more extensive [28]. In AD patients, the overall volume of the SCN and total SCN cell number have been reported to decrease [28]. Loss of AVP, VIP and neurotensin (NT)-expressing neurons [27, 107, 108], along with an increase of astrocytes, is indicative of a decreased functioning of the SCN [27]. Since all these neuropeptides play an important role in the maintenance of the circadian rhythm, loss of AVP, VIP or VPAC2 and NT may disrupt the normal entrainment and synchronization of circadian behavior. Hence, a decrease in neuropeptide levels due to neuronal loss might, at least partially, be responsible for diurnal behavioral disorders in AD.

In response to neuronal loss, reactive glial cells will start to increase. Indeed, the astrocyte-to-neuron ratio has been demonstrated to increase within the SCN in AD [27]. Moreover, this ratio appears to correlate with the magnitude of circadian rhythm impairment in core body temperature and activity parameters [25]. Neuroinflammation itself has recently been implicated in the neurodegeneration process. Studies demonstrating that inflammatory mediators are highly expressed in the vicinity of amyloid plaques and NFTs, areas of high neurodegeneration [109, 110], and a clinical trial with indomethacin suggesting that conventional anti-inflammatory drugs delay the onset or slow the

progression of AD [111], substantiate this hypothesis. Albeit the latter might be due to the A β 42-lowering effects of indomethacin itself [112, 113].

In mice, it has been demonstrated that neuroinflammation plays a role in the functional and molecular changes that the SCN undergoes during senescence [91]. Little evidence for neuroinflammatory processes in the SCN of AD patients is currently available. Postmortem analysis of AD brains has identified A β deposits in the hypothalamus [114, 115]. Experiments in macaques and mice have shown that ICV infusion of A β oligomers indeed induced hypothalamic inflammation, notably via the activation of the IKK β /NF- κ B pathway [116]. Similarly, Zhang et al. reported a significant built-up of hypothalamic microglia with aging in mice. This shift in microglial population appeared to activate the IKK β /NF- κ B pathway, which facilitated cognitive decline and aging and reduced their lifespan [117]. Hypothalamic inflammation in AD appears to be underexplored. Therefore, future analysis of hypothalamic neuroinflammatory markers in postmortem brains of AD patients and in brains of transgenic mouse models for AD might provide new insights. Additionally, neuroinflammation of the SCN itself in the course of AD is definitely worth investigating in the future.

Considering typical neuropathological hallmarks of AD, scattered NFT formation is present in the SCN. Yet, no knowledge about the exact timing of their appearance compared to that in other structures is currently available. Surprisingly, no mature neuritic plaques could be observed, even though they are abundantly present in the adjacent hypothalamus and basal ganglia [27]. The reason for this apparent resistance to plaque formation remains currently unknown. The rare diffuse plaques that are observed in the SCN resemble plaque formation in the rest of the hypothalamus, but significantly differ in their molecular and cellular composition from mature neuritic plaques in the hippocampus and neocortex, thereby suggesting a fundamental difference in pathogenesis [27]. Nevertheless, the presence of NFTs, together with the fact that neuropathological progression is associated with the severity of circadian abnormalities, provides evidence that there is a link between dysrhythmia and the central neuropathology of AD. To conclude, damage to the SCN may

be the underlying anatomical substrate for circadian dysrhythmia often observed in association with AD pathology.

3.3. Animal model-based evidence for the SCN as initial culprit for dysrhythmia in AD

3.3.1. Lesion- and neurodegeneration-based models

Lesions of the SCN in both laboratory animals and patients provide proof for the concept of SCN damage as the underlying substrate for circadian dysrhythmia in AD described above. Clinically, patients who were diagnosed with a lesion of the SCN also showed decreased expression of vasopressin and a disturbed circadian rhythm [118, 119]. Surgical SCN lesions in rodents do not alter the total sleep time (TST) of the animal, yet significantly affect the circadian rhythm of the sleep-wake cycle in these animals [120]. SCN-lesioned rodents are more awake during the light phase, and have increased non-rapid eye movement (NREM) sleep in the dark phase. These alterations closely mimic the disturbances seen in AD, where both the frequency and duration of nocturnal awakenings and daytime naps are more pronounced. In the 3xTg model, an AD mouse model that displays both amyloid and tangle pathologies, an increase in the amount of time spent awake during the typically inactive phase has been demonstrated [121]. Additionally, also a decrease in activity was observed during the nocturnal active phase. Analogous to the human condition, 11-month-old mice exhibited a 27.6% decrease in AVP-containing cells and an 18% loss of VIP-positive neurons. Unfortunately, this study failed to demonstrate whether these changes occur prior to the occurrence of circadian disturbances. Nevertheless, damage to the SCN appears to be associated with circadian dysrhythmia. Therefore, neurodegeneration might be a reasonable explanation for the development of circadian dysrhythmia in AD.

3.3.2. Toxic amyloid-beta peptide

Another damaging process that can compromise the function of SCN in AD, is the presence of the toxic A β peptide. Accumulated toxic metabolites, such as the A β peptide, are increasingly cleared

during sleep via the glymphatic system [122]. Conversely, wakefulness is found to be associated with an increased amyloid production [123]. Both sleep deprivation, as well as administration of the wake-promoting orexin, increase interstitial fluid (ISF) A β and, consequently, plaque burden. In the APP^{swe}/PS1 Δ E9 mouse model, the sleep-wake cycle markedly deteriorated and diurnal fluctuation of ISF A β dissipated following plaque formation. Since changes in A β dynamics occurred prior to the changes in sleep quality, and since active immunization with A β 42 prevented sleep disruption and changes in diurnal A β fluctuations, the loss of A β fluctuations is probably caused by changes in A β metabolism induced by plaque formation and not by disruption of the sleep-wake cycle itself [124]. These findings indicate that amyloid pathology probably alters A β dynamics, resulting in a disturbed sleep-wake cycle, thereby exacerbating the accumulation of toxic proteins and the progression of the pathology.

Thus, a disturbed sleep-wake cycle, as demonstrated in AD pathophysiology, will inevitably increase the amyloid burden, and therefore also negatively affect other brain functions, including SCN function. Grafting of genetically transformed cells that overexpress A β into the SCN of rats leads to significant deterioration of the circadian rhythm. Grafted rats showed unusually high levels of activity during the light phase and a disrupted circadian pattern [125]. Analogously, Syrian hamsters injected with toxic A β (25-35) peptide into both SCN exhibited a significant phase advance of the onset of running activity as compared to saline-injected animals, as well as a greater variability in this experimental parameter [126]. Again, the disturbances resulting from excessive A β in the SCN resemble the circadian dysrhythmia often seen in patients.

In *Drosophila melanogaster*, pan-neuronal expression of arctic mutant human A β has been demonstrated to cause degradation of behavioral circadian rhythms, despite preserved clock gene oscillation in central pacemaker cells. Intriguingly, A β did not disrupt gene oscillations or behavioral rhythms when A β expression was restricted to pigment dispersing factor neurons (central clock neuron similar to VIP positive neurons in mammals). However, if A β was expressed in glia surrounding clock neurons, this resulted in a progressive circadian degradation [127], supporting the

role for glial cells in modulating clock neuronal activity [128]. These findings suggest that, in an early phase, A β probably mediates clock disruptions via circuits peripheral to the central clock neurons.

3.3.3. The other way around: circadian dysrhythmia influences brain health

Reversely, circadian dysrhythmia is not merely a consequence, but might also exacerbate AD pathophysiology. Chronic disruption of circadian rhythms in mice housed in 20-h light/dark cycles led to reduction of dendritic length, decreased complexity of neurons in the prefrontal cortex and increased cognitive deficits [129]. Bmal1 knockout mice show disrupted circadian patterns and develop marked astrogliosis from 2 months of age, which progressed to involve the cortex, striatum and hippocampus. Moreover, oxidative damage, spontaneous degeneration of presynaptic terminals and diminished cortical activity has been demonstrated in this model [130].

To conclude, damage to the SCN might indeed underlie the development of circadian dysrhythmia. However, the molecular clock itself appears to be quite robust; and its dysfunction does not necessarily form the initial culprit of circadian arrhythmia. Therefore, future research should try to elucidate the contribution of peripheral circuits to A β -mediated circadian dysrhythmia. Conversely, circadian dysregulation also seems to have negative implications for the brain. How these disruptions relate to the risk and progression of AD still remains to be clarified.

3.4. Pathways upstream and downstream of the SCN

Other important factors that are able to disturb our circadian rhythm, but are often less considered, are pathways up- and downstream of the SCN. As previously stated, SCN rhythms are synchronized by photic input, mediated by retinal projections. Degeneration of the retina and the retinohypothalamic tract has been observed in AD patients [131, 132] and might therefore indirectly compromise SCN function. Even so, macular degeneration and cataract are often noted in the elderly and appear to influence the risk for AD [133]. In addition, clinical studies have demonstrated an

increased prevalence of glaucoma in AD [134, 135]. The slow progressive degeneration of retinal ganglion cells and axons in the optic nerve that characterizes this disease are hypothesized to be a result of suppressed glymphatic fluid transport (due to e.g., lower intracranial pressure) [136, 137]. Thus, even less obvious phenomena that occur in the course of AD, might somehow indirectly compromise SCN function.

Secondly, the cholinergic basal forebrain undergoes extensive degeneration in AD [138]. Destruction of the nucleus basalis of Meynert impairs AVP and VIP neuropeptide synthesis [139]. Moreover, the SCN receives cholinergic projections from the basal forebrain, which potentially modulate the sensitivity of the circadian clock to the phase advancing effects of light. Erhardt et al. demonstrated that lesioning of these cholinergic projections via ICV and intra-SCN injections of the immunotoxin 192 IgG-saporin reduced light-induced phase advances and increased phase delays [140]. However, a similar study, in which the medial septum was lesioned using 192 IgG-saporin as well, failed to establish these results. Craig and others indeed found that cholinergic depletion did not result in an increased susceptibility to circadian disruption, nor contributed to learning and memory deficits [141]. Future research on the effects of cholinergic degeneration on circadian rhythmicity is called for, because current studies still remain inconclusive [140, 141]. In addition, acetylcholinesterase inhibitors (AChEI) are often recommended in the management of mild to moderately severe AD, even though these therapeutic strategies mostly only have a positive cognitive effect during diurnal hours. Donepezil for example, induces a stable increase of ACh for more than 24 hours, and therefore interferes with the physiological dip in ACh during SWS [142]. By consequence, donepezil can exacerbate sleep disorders and create adverse sleep-related events [143]. Although the type and formulation of the drug and the timing of administration are of crucial importance in the optimization of cholinergic treatment in AD patients, we would like to emphasize that AChEI therapy should be approached with caution and that more studies are needed to elaborate the effects of these drugs on the mechanisms linking our sleep and circadian systems with brain health.

Thirdly, dysfunction of the melatonin system has been implicated in the development of circadian dysrhythmia in AD. Melatonin secretion and levels of the melatonin receptor 1, which are implicated in the feedback role of melatonin on SCN rhythmicity, are strongly reduced in aging [144], and even more so in AD [145, 146]. Since these reduced circadian effects of melatonin might contribute to clinical circadian disorders, melatonin supplementation has been suggested as a therapeutic measure. However, the effect of this treatment remains doubtful [147-150].

Finally, decreases in external zeitgebers might also contribute to the etiology of circadian dysrhythmia. Exposure of the eyes to sufficient light at the appropriate time of day is essential for the quality, duration, and timing of sleep [18, 151]. Even so, exercise is a sufficient environmental cue to effect clock gene expression in the SCN [152]. After institutionalization, patients are often not exposed to appropriately timed and sufficiently high light levels, have a sedentary lifestyle, and thereby lose entrainment to the 24-hour day/night cycle [153]. Ultimately, this loss of entrainment might influence the progression of the disease. Bright light therapy has proven to be an effective therapeutic strategy for sleep-activity disruption in institutionalized AD patients by improving nighttime sleep and decreasing daytime sleepiness [154, 155]. Recent evidence suggests that, instead of continuous light exposures, repeated intermittent light stimulations in the order of milliseconds are equally or more efficient in changing the phase of the circadian system [156]. As these flashes are able to modulate the circadian system while asleep, when the eyelids are closed, and without affecting sleep, these therapeutic strategies are especially of interest for AD patients. Most institutionalized patients feel agitated and are not very cooperative during long sensory stimulation interventions. Since exercise programs may also help to positively alter SCN functioning, such behavioral therapies, in addition to light therapy, should also be considered henceforth. To improve the quality of life of patients and caregivers the combination of existing therapeutic strategies is an interesting approach. For example, combination therapy of bright light in conjunction with melatonin

holds promising results, because bright light therapy diminishes the adverse effects that melatonin can have on mood [157]. Also the development of new chronotherapeutics is essential. Directly targeting the main circadian pacemaker might hold promising results for future research.

4. Concluding remarks and future perspectives

Circadian dysrhythmia and associated sleep disturbances are common symptoms in AD and have a significant impact on both patients and caregivers. Considerable evidence suggests that the SCN is involved in the development of these symptoms. Future research is needed to further elucidate the reciprocal relation between SCN disruption and AD pathophysiology and to identify new therapeutic targets that may improve the function of the central pacemaker. Both behavioral and pharmacological approaches should be further explored. Especially a combination of these therapies might hold promise for the future. Furthermore, fundamental research of clock gene function and SCN electrophysiological properties in the context of AD is recommended in the future. A better understanding of the clock gene function might identify new small molecule modulators of clock oscillation that can alter the amplitude, period and frequency of SCN output. The study of electrophysiological properties could provide useful insights about deep brain stimulation, and eventually perhaps also optogenetics, as possible therapeutic options. Similar to the cardiac pacemaker, pacing the SCN via deep brain stimulation might restore the physiological rhythm and thereby improve circadian rhythmicity in AD patients.

In conclusion, the true nature of circadian disruption in AD still remains to be elucidated. As amelioration of circadian disruption is likely to increase the self-care ability of AD patients and reduce the institutionalization rate, this line of research deserves further and increased focus by the research community. We would like to emphasize that further elucidation of clock (dys)function and the development of new chronotherapeutics are of essential importance to improve the life quality of patients and caregivers.

Practice points

- 1 Circadian dysrhythmia is a common symptom in AD patients, which immensely decreases the self-care ability of AD-patients and forms one of the main reasons of caregiver exhaustion.
- 2 During aging, and even more so in the course of AD, the suprachiasmatic nucleus is subjected to neurodegeneration.
- 3 Neurodegeneration of the suprachiasmatic nucleus has also been demonstrated in the 3xTg model for AD.
- 4 Several neuropeptides are responsible for the generation and modulation of the circadian rhythm and a loss of their function could be a culprit of circadian disorders.
- 5 The involvement of neuroinflammation in the development of circadian dysrhythmia remains quite unclear until now.
- 6 The suprachiasmatic nucleus appears to be resistant to plaque formation in AD patients, yet the involvement of the toxic amyloid-beta peptide remains unclear.
- 7 In animal models, the presence of the toxic amyloid-beta peptide in the suprachiasmatic nucleus induced circadian dysrhythmia.

Research agenda

- 1 Further investigating alterations in membrane properties, neurotransmitter levels, or dendritic and synaptic contacts in the SCN, since neurodegeneration might only be an end-stage phenomenon.
- 2 Clarifying the role of hypothalamic inflammation in the development of circadian disturbances.
- 3 Studying the SCN electrical activity in both patients and animal models for AD, which might provide new insights into the development of circadian dysrhythmia.
- 4 Establishing the involvement of pathways up- and downstream of the SCN.

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Figures

[Figure 1_Molecular Clock (300dpi)]

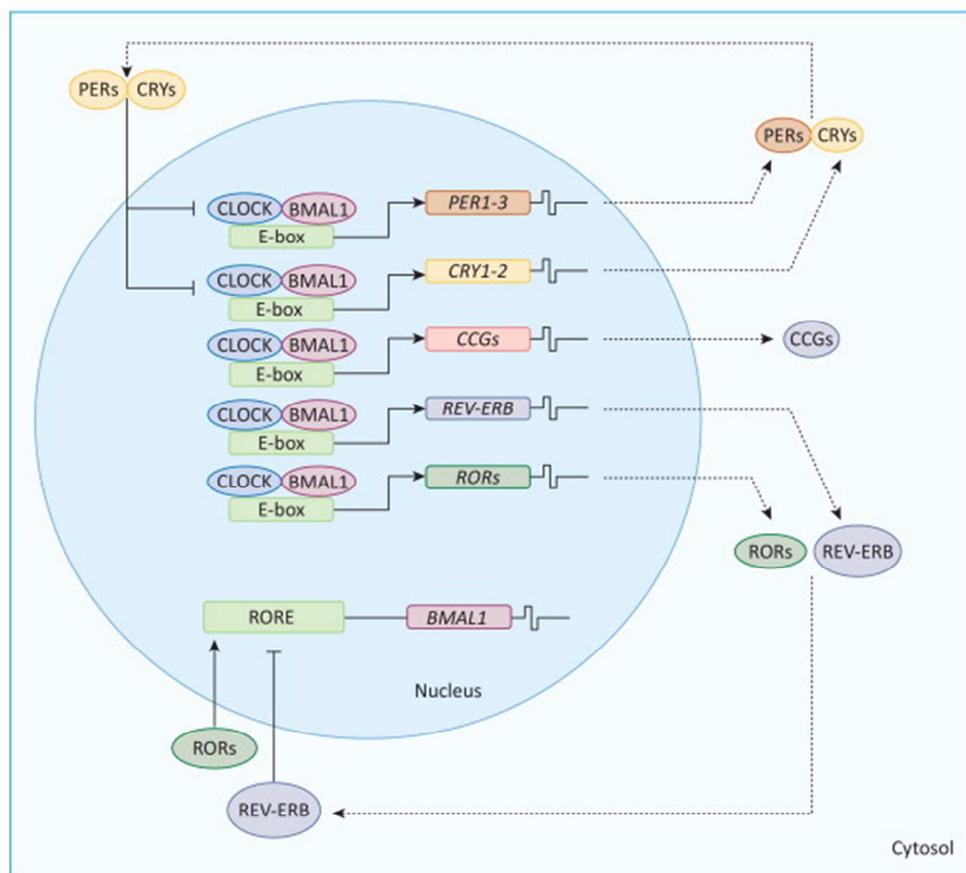
Figure 1. The molecular clock. CLOCK and BMAL1 activate the transcription of Per, Cry, Ror, Rev-Erb and other output genes controlled by the clock (CCG) via E-box elements. PER and CRY heterodimerize following phosphorylation in the cytosol and translocate to the nucleus where they inhibit CLOCK-BMAL1 transcriptional activation. In addition, REV-ERB (inhibition) and ROR (activation) also regulate Bmal1 gene expression by competing for binding to the RORE sequence in the Bmal1 promoter region. CLOCK, circadian locomotor output cycles kaput; BMAL1, brain and muscle Arnt-like protein-1. Original figure obtained from Vieira et al. 2014 [158].

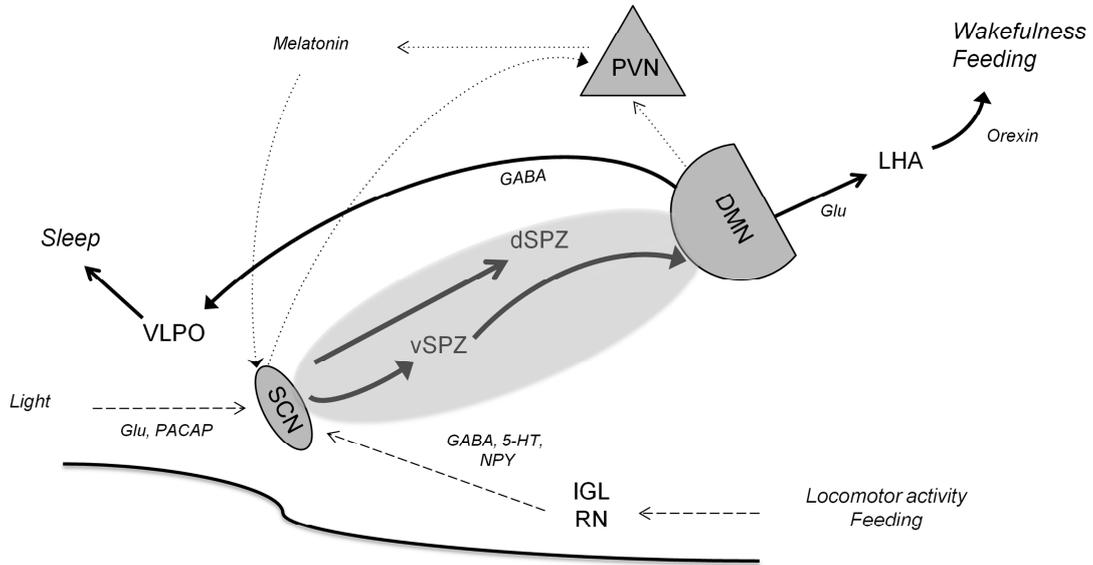
[Figure2_SCN efferent & afferent pathways (300dpi)]

Figure 2. Afferent and efferent pathways of the SCN involved in the regulation of the sleep-wake cycle. This scheme illustrates how the SCN projects to its target regions and conveys the circadian rhythm to non-rhythmic effector cells of the sleep-wake cycle. The SCN receives information about the environment via two main pathways. The retinohypothalamic tract conveys information about external light levels, and the intergeniculate leaflet (IGL) and raphe nuclei (RN) send time cues that allow for non-photoc entrainment. The SCN projects densely to the subparaventricular zone (SPZ), of which the ventral part (vSPZ) is involved in the regulation of activity patterns, the sleep-wake rhythm and feeding times, while the dorsal part (dSPZ) is responsible for body temperature rhythms. The SCN and vSPZ have many projections to the dorsomedial nucleus of the hypothalamus (DMN). The DMN is an important relay station for the regulation of the sleep-wake cycle. From here, GABAergic fibers project to the ventrolateral preoptic nucleus (VLPO), the central center for sleep, and glutamatergic fibers project to the orexinergic lateral hypothalamic area (LHA), which is involved in waking. Other pathways that are important in the regulation of the sleep-wake cycle are the efferent projections to the PVN and further to the pineal gland. Melatonin is able to promote sleep, independently on the time of day. More importantly melatonin provides an important feedback mechanism for

the SCN. Through the activation of melatonin receptors in the SCN, melatonin can have both phase shifting and sleep-promoting effects. Glu, Glutamate; PACAP, Pituitary adenylate cyclase-activating polypeptide; NPY, Neuropeptide Y; 5-HT, Serotonin; GABA, *gamma*-aminobutyric acid. Based on Fuller et al. (2006) [159].

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