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The clinical utility of basophil activation testing in diagnosis and monitoring of allergic disease

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1 **The Clinical Utility of Basophil Activation Testing in Diagnosis and Monitoring of Allergic**
2 **Disease**

3 Hoffmann HJ^{1*}, Santos AF^{2,3,4}, Mayorga C⁵, Nopp A⁶, Eberlein B⁷, Ferrer M⁸, Rouzaire P⁹, Ebo
4 D¹⁰, Sabato V¹⁰, Sanz ML⁸, Pecaric-Petkovic T¹¹, Patil SU¹², Hausmann OV^{13,14}, Shreffler WG¹²,
5 Korosec P¹⁵, Knol EF¹⁶

6 Affiliations:

7 1. Department of Respiratory Diseases and Allergy, Department of Clinical Medicine, Aarhus
8 University, Aarhus, Denmark

9 2. Department of Paediatric Allergy, Division of Asthma, Allergy & Lung Biology, King's College
10 London, United Kingdom

11 3 MRC & Asthma UK Centre in Allergic Mechanisms of Asthma, London, United Kingdom

12 4 Immunoallergology Department, Coimbra University Hospital, Coimbra, Portugal

13 5. Research Laboratory and Allergy Service, IBIMA-Regional University Hospital of Malaga,
14 UMA, Malaga, Spain

15 6. Clinical Immunology and Allergy Unit, Department of Medicine Solna, Karolinska Institutet,
16 Karolinska University Hospital, Stockholm, Sweden

17 7. Department of Dermatology and Allergy Biederstein, Technische Universität München, Munich,
18 Germany

19 8. Department of Allergy and Clinical Immunology, Clínica Universidad de Navarra, Pamplona,
20 Spain

21 9. Department of Immunology, University Hospital, Clermont-Ferrand and ERTICa Research
22 Group, University of Auvergne EA4677, Clermont-Ferrand, France

23 10. Department of Immunology-Allergology-Rheumatology, University of Antwerp and Antwerp
24 University Hospital, Antwerp, Belgium

- 25 11. Adverse Drug Reactions-Analysis and Consulting (ADR-AC) GmbH, Bern, Switzerland
- 26 12. Center for Immunology and Inflammatory Diseases, Massachusetts General Hospital and
27 Harvard Medical School, Boston, Massachusetts, United States
- 28 13. Department of Rheumatology, Immunology and Allergology, Inselspital, University of Bern,
29 Bern, Switzerland
- 30 14. Loewenpraxis, Luzern, Switzerland
- 31 15. Laboratory for Clinical Immunology & Molecular Genetics, University Clinic of Respiratory
32 and Allergic Diseases Golnik, Golnik, Slovenia
- 33 16. Department of Immunology and Department of Dermatology/Allergology. University Medical
34 Center Utrecht, Utrecht, The Netherlands
- 35 * Address correspondence to Hans Jürgen Hoffmann, B.Sc. (Hons.), PhD, Professor, Department of
36 Respiratory Diseases and Allergy, Department of Clinical Medicine, Aarhus University,
37 Nørrebrogade 44, DK 8000 Aarhus C, telephone +45 28188147, e-mail hjh@clin.au.dk
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45

46 **Abstract**

47

48 The basophil activation test (BAT) has become a pervasive test for allergic response through
49 development of flow cytometry, discovery of activation markers such as CD63 and unique markers
50 identifying basophil granulocytes. BAT measures basophil response to allergen crosslinking IgE on
51 between 150 and 2000 basophil granulocytes in less than 0.1 ml fresh blood. Dichotomous
52 activation is assessed as the fraction of reacting basophils. In addition to history, skin prick testing
53 and specific IgE determination, BAT can be part of the diagnostic evaluation of patients with food-,
54 insect venom-, and drug allergy and chronic urticaria. It may be helpful in determining the clinically
55 relevant allergen. Basophil sensitivity may be used to monitor patients on allergen immunotherapy,
56 anti-IgE treatment or in the natural resolution of allergy. BAT may use fewer resources and be more
57 reproducible than challenge testing. As it is less stressful for the patient and avoids severe allergic
58 reactions, BAT ought to precede challenge testing. An important next step is to standardize BAT
59 and make it available in diagnostic laboratories..

60

61 The nature of basophil activation as an ex vivo challenge makes it a multifaceted and promising
62 tool for the allergist. In this EAACI Task force position paper we provide an overview of the
63 practical and technical details as well as the clinical utility of BAT in diagnosis and management of
64 allergic diseases.

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66

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103 **Introduction**

104 ***The biological framework of BAT***

105 Basophils and mast cells are key effector cells in immediate type allergic reactions, and the clinical
106 impact of BAT is due to the unique ability of these cells to degranulate upon cross-linking of the
107 specific IgE (sIgE) bound on membrane-bound high affinity IgE-receptor (FcεRI) by allergen
108 exposure. Basophils are estimated to have a half-life of less than a week (1) whereas mast cells
109 persist for months in tissue (2). The density of FcεRI-IgE complexes on basophils and mast cells is
110 determined by the free IgE concentration in blood (3). Following the discovery of the quantal
111 upregulation of CD63 during basophil activation in 1991(4), the BAT was developed in the 90's
112 (5). CD63 is a membrane protein localized to the same secretory lysosomal granule that contains
113 histamine. Translocation of CD63 to the cell membrane during degranulation can be measured by
114 flow cytometry. As flow cytometers are now commonly available, BAT has become a widely used
115 measure of allergic activity. Compared with the determination of sIgE in serum, BAT reflects a
116 functional response as basophil activation can be induced by cross-linking of FcεRI, which requires
117 more than binding of sIgE to allergen (6).

118 ***Comparing CD63 BAT with the Basophil Histamine Release assay***

119 Blood basophil granulocytes contain and release histamine on stimulation with an allergen they are
120 sensitised to (7). CD63 is the first tetraspanin identified (8). It is located in the same secretory
121 lysosome as histamine (4), and may be a more convenient marker for degranulation. Allergen
122 activation of blood basophils can thus be assessed as either histamine release or as upregulation of
123 CD63, which is the focus of this article. Histamine release and upregulation of CD63 correlate well
124 during activation of both blood basophil activation (4,9) as well as in mast cell activation (10,11).

125 Histamine release is determined by measuring histamine in the supernatant by either ELISA or
126 other fluoro-spectroscopic methods, and expressing it as a fraction of the total cellular histamine
127 determined from a cell lysate. These tests have not been reviewed systematically for their clinical
128 implication, but have been frequently used in clinical diagnosis of allergy. Technically, the
129 determination of histamine is in general more cumbersome, due to potential crossreactivity of
130 histamine antibodies to i.e. methyl-histamine (12) and or technical challenges and effects of other
131 leukocytes in whole blood in the fluorometric analysis (13,14). Where histamine is thought to be
132 released both by piecemeal degranulation as well as anaphylactic degranulation, CD63 is a precise
133 marker of anaphylactic degranulation through regulated exocytosis after allergen mediated
134 activation of mast cells and basophils (9).

135 Flowcytometric analysis in the CD63 expression on basophils in BAT can be performed in virtually
136 all routine and research laboratories equipped with a flow cytometer. The MFI for CD63 can be
137 assessed in addition to the fraction of activated basophils. Although this has not yet resulted in
138 additional benefit, flow cytometric assessment also allows for detailed phenotyping of the activated
139 basophils. CD203c has frequently been measured in addition to CD63, and appears to co-express
140 with CD63 even though the pathways for upregulation differ (9).

141 ***Considerations when taking a blood sample for BAT***

142 Antihistamines do not influence BAT (15,16), but systemic steroids (15) and cyclosporin A (17)
143 should be avoided. Blood samples should preferentially be taken within one year of the most recent
144 exposure to allergen (18–20). It is possible to use blood samples within 24 hours to document
145 sensitization (21), even though basophils may lose reactivity. As there is diurnal variation in the
146 reactivity to CD203c (22), timing of blood sampling may be important. This still has to be
147 confirmed for CD63. For serial sensitivity measurements the sampling procedure should be
148 consistent (23–25). Tests done with whole blood are most commonly utilized. Basophil function is
149 mostly assessed in heparin-stabilised blood. Basophils do not degranulate in EDTA or acid-
150 citrate dextrose stabilised blood, but blood stabilised by these agents can be converted to
151 release after adding calcium (21). Separation of cells from protective elements found in plasma
152 may optimize activation through cell-bound sIgE (25)

153 Interleukin-3 (IL-3) enhanced the allergen specific up-regulation of CD63 (15,26) but
154 unspecifically upregulates CD203c (27). IL3 synergised with stimulation through FcεRI to
155 enhance degranulation of basophils by 30% (28). IL3 may act at a step preceding MEK and Erk,

156 independently of the early events in signalling through FcεRI (29). IL3 enhanced kinetics, reactance
157 and sensitivity of blood basophils to FcεRI mediated activation independently of extracellular
158 calcium (30). This effect appears to be more significant in non-atopics than in atopic patients, which
159 may limit its significance in allergy diagnosis (31). Maximal CD63 response was marginally higher
160 with 10 ng/ml IL3 (32), and a two-fold increase in sensitivity and 25% increase in reactivity to
161 allergen was recorded with 4.5 ng/ml IL3 (15).

162 ***Selection of the source of allergen extracts for BAT***

163 The allergen described in patient history should be used in BAT (BOX A). Optimized
164 concentrations for a wide range of allergens, allergen sources and allergen extracts are listed
165 in table S1. Optimized allergen preparations are also available from vendors. Drug allergens
166 are typically active in the mg/ml range, and can be diluted 5- to 25-fold. Pure active ingredients or
167 injectable intravenous drug preparations should be used when possible since solubilized tablets are
168 complex mixtures of drugs and excipients. Some drugs are unstable and metabolise in solution; thus
169 allergens must be prepared fresh for each test. Light exposure is a critical factor in BAT results
170 when photo labile drugs such as moxifloxacin are used (33). A negative test with a parent drug does
171 thus not rule out that the patient reacts to a metabolite of the drug (34). Toxicity and non-specific
172 activation should be evaluated for each tested substance.

173 Protein allergens are often used in concentrations starting in the µg/mL range, and may be diluted
174 up to 5 – 15 log concentrations to ng/ml - pg/ml before reactivity is lost. The molar concentration of
175 allergens enables very precise analyses if recombinant allergen preparations or purified allergens
176 are used for BAT (35). Basophil reactivity to selected peanut (36,37) and insect venom (38,39)
177 allergens has higher predictive value than measurement of reactivity with allergen extracts. The
178 only carbohydrate allergen known is α-Gal (40). Increasing numbers of purified and recombinant
179 allergens are commercially available, which allows further standardization of BAT. The thresholds
180 for basophil reactivity and sensitivity may vary from geographical region to region so a critical
181 approach remains essential.

182 Standardized allergen preparation is essential when comparing basophil sensitivity data (for
183 example during immunotherapy, anti-IgE treatment or natural resolution of food allergy); failing
184 this a given test can only give a dichotomous result; reactive or not reactive.

185 **Flow Cytometry in BAT**

186 Determination of activation of basophils by flow cytometry was first described with CD63 (4).
187 Since then CD203c (27) and a number of other activation markers have been identified (41).
188 Currently, BAT with CD63 is the best clinically validated test (32,42–44), but the BAT based
189 on CD203c has been shown to be a reliable test (45–47).

190 Basophils can be identified with different combinations of antibodies in flow cytometry. They
191 were first identified as circulating IgE⁺ cells. However, low side scatter in combination with
192 CD123⁺/HLADR⁻, CRTH2⁺, CD203c⁺ or CD193⁺ are commonly applied combinations. Cell
193 surface expression of the basophil selection marker CD193 (CCR3) was more stable than IgE
194 or CD123/HLA DR on resting basophils (48). IgE and CD123/HLA-DR showed somewhat
195 more inter-individual variability in cell surface expression. Unfortunately the lineage marker
196 CD203c for basophils (49) and stem cells was not included in this comparison. CD203c can be
197 used for both identification and as an activation marker. Its expression on basophils rapidly
198 increases upon manipulation of cells, or during non-degranulating stimulation of basophils
199 (9).

200 Quality of blood basophils obtained is normally confirmed by stimulation with the bacterial
201 peptide fMLP (50). Anti-IgE or anti-FcεRI antibodies must be used as IgE-mediated positive
202 controls, and buffer is used as negative control. Initially, 1-3 consecutive not sensitized
203 subjects can be used to ascertain the specificity of a response.

204 If standardized commercial tests are not used, the method used for testing has to undergo
205 validation. Standardization of BAT procedures and allergen preparations would enable
206 comparison of results of BAT in different centers both for clinical and for research purposes
207 and would ensure consistency of BAT results in multicenter studies. Standardization requires
208 multicenter studies where the same detailed description of the procedures, for example as
209 defined by MiFlowCyt (51) (supplementary information), are followed, by using the same
210 allergen preparations and sharing databases in which annotated raw data can be deposited
211 for analysis by third parties.

212 **Presentation and interpretation of BAT**

213 There are two common measures of basophil activity; basophil reactivity (5), the number of
214 basophils that respond to a given stimulus, and basophil sensitivity (1,4), the allergen

215 concentration at which half of all reactive basophils respond (Figure 1). Basophil reactivity
216 depends on the priming state of the basophil and the cellular translation of the IgE signal
217 within the cell (52). Basophil sensitivity is a function of reactivity and the compound affinity
218 of cell-bound sIgE for allergen and free competing immunoglobulin (25,53). It is sufficient to
219 measure reactivity at one or two concentrations, and assessment of basophil reactivity is
220 important using a positive control before basophil sensitivity to allergen is measured. Positive
221 responses must be interpreted in a clinical context.

222 Once reactivity is confirmed it may be useful to evaluate the basophil sensitivity (54–57). This
223 requires measurement of reactivity at 6-8 allergen concentrations (25). The graded response
224 to allergen is fitted to a curve of reactivity versus allergen concentration, and the eliciting
225 concentration at which 50% of basophils respond (EC50) is determined. EC50 can be
226 expressed as 'CD-sens' by inversion and multiplication by 100 (1).

227 More recently the area-under-the-dose curve (AUC) measurement attempts to combine
228 reactivity and sensitivity into one; it is similar to a coordinate system of sensitivity and
229 reactivity, but also incorporates partial anergy induced at high allergen concentrations and
230 can be calculated even in cases where responses do not fit well to a typical dose-response
231 curve (58). Oral and sublingual immunotherapy may induce anergy in a significant fraction of
232 basophils (as well as mast cells), but may not change basophil sensitivity as much as
233 subcutaneous immunotherapy (25). Considering this scenario, it may be important to
234 combine reactivity and sensitivity into an AUC representation of basophil response. ROC
235 curves are used in identification of novel allergens when ≥ 7 sensitized patients are available.
236 This is difficult to achieve for rare allergens.

237 Basophil granulocytes of non-responders (6%-17% of population) can remain unresponsive
238 to stimulation through Fc ϵ RI under standard BAT conditions (59–61). Results from non-
239 responder patients should be regarded as false negatives when assessing test performance.
240 No conclusions with regard to allergen-induced responses can be made. Non-responders can
241 experience allergic symptoms and have positive skin prick test with relevant allergens. This
242 feature is also present in healthy donors. It is attributed to differences in the intracellular
243 signaling pathway (62,63).

244 **Placing BAT in the diagnostic algorithm for allergic disease**

245 In the general algorithm for diagnosis of allergy (Box 2), patient history should be taken with an
246 attempt to identify the allergen source and assess the severity of the allergic reaction (64,65). The
247 allergic response should be confirmed by an objective test, ideally within one year of the last
248 symptomatic exposure (18–20). First line tests include sIgE, skin prick testing and, for insect venom
249 and drug allergy, intradermal testing. However, in very few patients (30 in 100 000) skin prick
250 testing and intradermal testing might induce systemic symptoms if the allergic response was
251 particularly severe (66,67). Measurement of sIgE may not be possible if the allergen is not
252 available as a routine reagent, and may be of limited value depending on the performance of the
253 available reagents. sIgE measurements and skin tests indicate sensitization and do not prove clinical
254 relevance on their own.

255 BAT is a functional test resembling an *ex vivo* provocation. It can be measured at the same time as
256 sIgE, and in general precedes *in vivo* provocation tests e.g. oral food, drug or bronchial challenge
257 that are time consuming, expensive, stressful, may be difficult to interpret and may cause severe
258 allergic reactions (Box B). Provocation testing is associated with additional risk if the patient is
259 taking ACE-inhibitors that may increase the risk of anaphylaxis, or β -blockers that complicate the
260 treatment of an anaphylactic reaction. The combination of ACE Inhibitors and β -blockers is
261 associated with increased risk of anaphylaxis (68). Insect venom allergy can be diagnosed
262 accurately and safely with BAT in patients with mastocytosis (69).

263 In the diagnosis of drug hypersensitivity, measurement of sIgE is available only for a limited
264 number of drugs and skin tests, generally display low sensitivity, and are thus well complemented
265 by BAT. In the diagnosis of venom allergy, the culprit allergen is sometimes difficult to select by
266 sIgE or skin testing. Here BAT or component resolved diagnosis by sIgE may help in identifying
267 the correct allergen. BAT can identify the culprit allergen in local allergic rhinitis (71). BAT can
268 also be used in the follow-up of patients undergoing allergen immunotherapy (AIT) and treatment
269 with anti-IgE (Box C).

270 In the diagnosis of chronic urticaria, BAT was proposed as a specific, sensitive and safe *in vitro*
271 alternative for the autologous skin test (ASST) for the detection of “autoreactive” serum
272 components (26,71). In contrast to the classical BAT procedure using patients’ blood, here
273 basophils from healthy donors are challenged with patients’ serum.

274 In the diagnosis of food allergy, oral food challenges (OFC) are the gold standard but can cause

275 severe reactions (72,73) and their reproducibility can be questioned (23). BAT closely resembles
276 the clinical phenotype of food allergic patients. It can be used in addition to sIgE and thus may
277 reduce the need for OFC (74). Overall, provocation testing should be the last resort to document
278 clinically relevant sensitization. Severe reactions recorded in the patient history are an important
279 contraindication when contemplating provocation testing (72,73). BAT can be considered before
280 provocation testing in most cases.

281 **Chronic Urticaria**

282 The underlying mechanism of chronic spontaneous urticaria (CU) is still incompletely understood.
283 About half of the patients have autoantibodies against FcεRI and a few against IgE (75,76). Other
284 autoimmune markers such as IgE and IgG antibodies against thyroid peroxidase are frequently
285 found (77). CU sera activate resting basophils of normal donors to release histamine and upregulate
286 CD63 and CD203c. BAT may replace the autologous serum skin test (ASST) that uses the patients'
287 own serum as an intradermal skin test reagent (71,78).

288 ***Assessing autoreactivity in patients with chronic urticaria***

289 BAT with CD63 upregulation as an activation marker for CU was established as a specific,
290 sensitive and safe *in vitro* alternative to detect functional autoantibodies (79–81,26). Results with
291 CD203c are less homogeneous (80–82). The central problem is the heterogeneity of the results
292 using different basophil donors. This can be normalized by titrated addition of IL-3 (26). BAT with
293 autologous basophils should not be performed because CU patients are often non responders or
294 poor responders to IgE crosslinking (83) and have diagnostic basopenia (84).

295 ***Current clinical research questions***

- 296
- 297 • Several issues remain to be addressed, especially methodological differences among
298 laboratories and the lack of a gold standard test to compare results. An optimized and
299 reproducible form of BAT should be developed and agreed upon to distinguish antibody and
300 non-antibody mediated autoreactive CU subtypes.
 - 301 • In order to elucidate the exact nature of the degranulating factors in patient serum, three
302 major approaches should be investigated:
 - 303 1. Cellular approach modifying the response of the donor basophils (blocking of different
signaling pathways etc.).

- 304 2. In spite of persistent failure to do so, a cell line should be characterized that could
305 substitute the need of a basophil donor.
- 306 3. Serological approach aiming on an optimal serum protein separation to identify the
307 nature of the factors leading to degranulation in donor basophils.

308 ***Key messages***

- 309 • BAT may replace ASST as the standard diagnostic procedure to identify autoreactive serum
310 factors in CU with a quantifiable result that may be used to monitor treatment.
- 311 • BAT removes the risk of accidental infection
- 312 • In contrast to ASST, there is no need to suspend antihistamines, as they do not influence the
313 result of BAT.

314 **Drug Allergy**

315 The diagnostic work-up of drug hypersensitivity reactions (DHR) aims to identify the culprit agent,
316 identify cross-reactive drugs and to determine a safe alternative drug. This is particularly important
317 in diagnosis of allergy to drugs used in anesthesia, where challenge testing is impossible,
318 impractical or unethical. Moreover, in the evaluation of many drug reactions the determination of
319 sIgE is not available since binding the molecules or their metabolites into a solid phase is often not
320 possible (85). BAT is an additional tool in the diagnosis of drug allergy that is safer, gentler and
321 cheaper than a provocation test and, in some instances, is the only available diagnostic tool. Table
322 S2 lists an overview of the performance of BAT in the diagnosis of major drug allergens. The
323 sensitivity of BAT in diagnosis of drug allergy is about 50%, and the specificity up to 93%. Non-
324 IgE-mediated anaphylactic reactions may be due to complement-mediated or direct activation
325 (86). This response to radio contrast media may involve the G-protein-coupled activation
326 pathway and elevated Il-1 β (87). Involvement of the Fc ϵ RI-mediated pathway can be
327 confirmed by inhibition with PI3Kinase inhibitors such as wortmannin (59,88).

328 ***Validated Drug classes***

329 There are several studies including BAT in drug allergy diagnosis for beta-lactams (50,89,90),
330 NMBA (91–93), quinolones (88,94), radio contrast media (95,96) and pyrazolones (20,97) with
331 good sensitivity and specificity.

332 Importantly, BAT provides positive results in 40% of the patients with immediate-type systemic
333 reaction and negative skin test and confirmed by provocation that constitute about 25% of all beta-
334 lactam-allergic patients (98). BAT has a good negative predictive value, useful in the decision to
335 perform the provocation test as demonstrated with quinolones (94). It has a complementary role to
336 skin tests for different drug hypersensitivities (20,98) and can be particularly useful in the study of
337 cross-reactivity between NMBA, for the identification of safe alternatives for future surgery (99).

338 BAT appears particularly useful for drugs where other *in vitro* tests are lacking and skin tests are
339 unavailable or unreliable or where they provide equivocal results. These cases include carboplatin
340 (100), chlorhexidine (101), atropine (102), glatiramer acetate (103), methylprednisone (20),
341 gelatines, carboxymethylcellulose, hydroxyl ethyl starch, cremophor, opiates (104) and bovine
342 serum albumin (105).

343 ***Current clinical research questions***

- 344 • Further studies are needed to depict the clinical relevance of the different
345 degranulation processes.
- 346 • The usefulness of other activation markers needs to be explored.
- 347 • Pathways that lead to basophil activation in non-IgE mediated immediate drug
348 hypersensitivity need to be described.

349 ***Key Messages***

- 350 • For a number of drugs, BAT is the only available test to confirm a hypersensitivity response.
 - 351 • A negative test does not rule out that the patient reacts to a metabolite of the drug.
 - 352 • Exposure to drugs is infrequent, and for this reason it may be difficult to confirm the clinical
353 history of hypersensitivity if the evaluation is >18 months from the most recent clinical
354 reaction.
- 355 Once the hypersensitivity is established, cross-reacting drugs and safe alternatives may be
356 suggested by BAT

357 **Food allergy**

358 The performance of BAT in the diagnosis of different food allergies including pollen-food
359 syndromes has been assessed in various studies (Table S3-1). The reported sensitivity of BAT in
360 diagnosis of food allergy ranges from 77-98%, and the specificity 75-100%. BAT in these studies
361 was more accurate than sSPT and sIgE (60,61,74). In a recent study in peanut allergy, for the first
362 time BAT diagnostic cut-offs were validated in an independent prospective population. BAT
363 significantly improved clinical diagnosis over the use of SPT and sIgE and reduced the number of
364 OFC required (74). BAT showed 100% specificity, suggesting that in patients with a positive BAT
365 the OFC could be deferred (74).

366 Patients with clinical allergy that developed symptoms in an OFC to peanut had high basophil
367 sensitivity to peanut, and patients who tolerated peanuts in a OFC had low basophil sensitivity to
368 peanut (57). A similar message emerges in studies attempting to measure reactivity at allergen
369 concentrations where a change in sensitivity results in a change in reactivity as illustrated in figure
370 1b (106,107,74). Although OFC and basophil sensitivity both identified all clinically sensitized
371 children, only basophil sensitivity was reproducible at two consecutive visits ($r^2=0.94$) (23). In a
372 recent publication, for the first time BAT reactivity reflected the allergy severity and BAT
373 sensitivity reflected the threshold of response to allergen in an OFC (108).

374

375 ***Monitoring natural resolution and immune modulatory treatments of food allergy***

376 Basophil reactivity has been shown to distinguish patients that tolerate extensively heated forms of
377 cow's milk and egg from patients who do not (106,109,110). This has prognostic implications as
378 the natural history of these groups varies: patients reacting to extensively heated milk or egg tend to
379 have more persistent food allergy.

380 BAT may be useful in assessing the natural resolution of food allergies that are commonly
381 outgrown over time, such as cow's milk allergy (61), and in determining when the food in question
382 can safely be reintroduced in the diet (Table S3-2). BAT has also been used to monitor clinical
383 response to immune-modulatory treatment of food allergy (Table S3-3). Overall, in studies of
384 immunotherapy to foods such as peanut (107,111) and egg (112), basophil reactivity to the
385 respective food allergens decreased during treatment. In the study of egg OIT by Burks there was a
386 correlation between basophil suppression and clinical desensitization, but not with long-lasting
387 clinical tolerance (112). Basophil CD203c expression has shown to decrease during treatment with
388 Omalizumab and to return to pre-treatment levels after cessation of therapy in patients with peanut
389 allergy (113). Improvement in basophil sensitivity to milk in milk allergic children treated with
390 Omalizumab predicted tolerance in a milk challenge test (114).

391

392 ***Current clinical research questions***

- 393 • The diagnostic utility of BAT needs to be validated for specific food allergens and in
394 different populations.
- 395 • Changes in the basophil response during allergen immunotherapy and anti-IgE treatment in
396 food allergy should be investigated.
- 397 • The predictive value of basophil suppression for treatment outcome has to be established.

398

399 ***Key messages***

- 400 • BAT can improve the diagnosis of food allergy over SPT and sIgE and may be able to
401 reduce the number of OFC.
- 402 • BAT can be used to monitor the natural resolution and clinical response to immune-
403 modulatory treatments for food allergy.

404

405 **Hymenoptera venom allergy**

406 Overall, the diagnostic sensitivity of BAT with insect venoms referred to the history was found to
407 be 85%-100%, the diagnostic specificity 83%-100% (32,43–45). Table S4 lists papers describing
408 the use of BAT in hymenoptera venom allergy. Specific diagnostic problems can be resolved by
409 measuring basophil reactivity and sensitivity.

410 **BAT in patients with negative standard tests:** A subset of patients (4-6%) with a history of
411 systemic reactions after Hymenoptera stings have negative venom-specific IgE and skin test results.
412 These patients can subsequently experience another severe or even fatal reaction to an insect sting.
413 Diagnostic sting provocation tests are considered as unethical for such cases. BAT allows the
414 identification of about two thirds of those patients (115–117). However, in patients with systemic
415 mastocytosis (with elevated serum tryptase levels) the diagnosis of venom allergy should be done
416 with care (69).

417 **BAT in patients sensitized to bee and wasp venom “double positivity”:** Up to 60% of the
418 patients with Hymenoptera venom allergy have sIgE to both bee and wasp venom. It is important to
419 identify the relevant venom for VIT, especially if the patient has had an anaphylactic reaction to
420 only one insect. The double positivity might be due to a true double sensitization to both venoms,
421 irrelevant recognition of cross-reactive epitopes or cross-reactivity due to sequence homology
422 among venom proteins. Basophil reactivity has the lowest rate of double positivity of diagnostic
423 tests for hymenoptera allergy (118) and repeatedly shows a positive result to only one venom in
424 about one-quarter to one-third of patients with double sIgE positivity (43,118,119). In patients with
425 double sIgE positivity a positive BAT can help to identify the primary sensitizing allergen (118–
426 121). In the case of patients with double positive BAT, the allergen to which the patient is markedly
427 more sensitive might represent the primary sensitizing allergen (117,120), but this requires further
428 research. BAT adds more clinically relevant information about the culprit insect than component-
429 resolved sIgE testing with single recombinant allergens such as Api m 1, Ves v 5 and Ves v 1.
430 (117,120). However, recombinant venom allergens applied to BAT might represent a step forward
431 in developing better in vitro tests for specific diagnosis of Hymenoptera allergy (39).

432 ***Monitoring the effect of venom immunotherapy with basophil sensitivity***

433 Importantly, a clear decrease in basophil sensitivity is found up to 4 years after initiation of VIT,
434 without a change in basophil reactivity (44,122–124). A recent report about an 8-year follow up of
435 patients submitted to VIT showed that the decrease in basophil sensitivity seemed to be also
436 associated with the induction of tolerance (124). Some studies suggest that side effects during the
437 build-up phase of VIT are predicted by a high basophil sensitivity (123,125).

438 ***Current clinical research questions***

- 439 • The minimal difference in sensitivity between primary and cross-reacting allergens in
440 patients with sIgE to several venoms needs to be defined.
- 441 • The utility of basophil sensitivity as the tool of choice to monitor the effect of VIT should be
442 explored.

443 ***Key messages***

444 Basophil reactivity and sensitivity (in that order) play an important role in the diagnosis of venom
445 allergy, as they are effective tools to identify the primary sensitizing antigen.

446 **Inhalant allergens**

447 Measurements of sIgE or skin testing in combination with the clinical history are usually sufficient
448 to diagnose allergy to inhalant allergens. However, in specific cases BAT can be helpful for
449 diagnosis. Patients with local allergic rhinitis by nasal provocation who have no detectable sIgE or
450 skin testing but have a positive BAT are a notable example (70). Crude (126,127) as well as
451 modified (128) and recombinant allergens (46,49) have been tested with good outcomes, but more
452 studies are needed for describing the advantage of using recombinant allergens. Basophil sensitivity
453 correlates with the nasal provocation titer in allergic rhinitis (126), the allergen specific bronchial
454 provocation threshold in allergic asthma (55) and the asthma control test (56). When using an
455 allergen titration, the correlation of the outcome between BAT and bronchial allergen sensitivity
456 was statistically significant. This indicates that basophil allergen threshold sensitivity (CD-sens or
457 EC50) may accurately reflect clinical allergen sensitivity (55). Papers describing the use of BAT in
458 diagnosing and monitoring allergy to inhalant allergens are listed in Table S5.

459 ***Monitoring the effect of allergen immunotherapy and anti-IgE Treatment effect***

460 Basophil sensitivity is a stable and reproducible measure (23,24,57) and can be used to assess the
461 efficacy of allergen-specific immunotherapy (AIT) to aeroallergens. It has been used to monitor
462 patients treated with AIT for birch (128,129) and timothy (25,54), and showed reduced allergen
463 sensitivity already during the up-dosing stage. Several studies reported that the reduction in
464 basophil allergen sensitivity after AIT is due to serological allergen blocking/binding factors,
465 competing with the cell bound sIgE for allergen. sIgG (especially IgG4) is the major competitor for
466 allergen binding (54,128,129).

467 The humanized monoclonal anti-IgE antibody Omalizumab (Xolair) has been used for a decade to
468 treat patients with severe allergic asthma. Basophil sensitivity has successfully been used to identify
469 patients who respond to this treatment (130) and to assess treatment efficacy (126,130).

470 ***Current clinical research questions***

- 471 • Basophil sensitivity tests have great potential to be used to determine patients sensitivity to
472 inhalant allergens and to monitor treatment effect and could be considered as a supplement,
473 and eventually possibly as replacement for allergen challenge tests.

474 **Key message**

- 475 • Basophil sensitivity has the unique ability to monitor a patient's inhalant allergen sensitivity
476 over time, to measure natural progression of allergy, and may be developed to serve as a
477 tool to measure the response to treatment with AIT and Omalizumab.

478

479

480 **Perspectives**

481 Since its discovery in 1991 (4) and the first clinical applications in 1994 (131), BAT has been
482 developed as a diagnostic aid in allergy.

483 Cellular changes in basophil granulocytes and mast cells may be as important as, but are still
484 more elusive than change in sIgE (25,124,132). This may be explored by passive sensitization
485 using DARPins (133) where both patient and control blood may be stripped entirely of IgE
486 under physiological conditions, and be resensitized with known IgE to evaluate the effect of
487 sIgE and cellular response independently.

488 Different methods of reporting results of BAT may be useful when asking different clinical
489 questions; stimulation index and % positive basophils are used in the diagnosis of food, drug
490 (42), venom and occupational allergy, but do not reflect improvement during venom
491 immunotherapy (44). It has recently been shown that reactivity decreases with basophil (and
492 mast cell?) desensitization (132) which may also occur during clinical treatment of allergy
493 (134–136). When reactivity is measured in clinical settings, the aim is usually to identify an
494 allergen concentration at which change in sensitivity is optimally identified
495 (125,115,120,137). Basophil sensitivity is used to monitor change in allergic disease during
496 natural development (23) and during treatment with AIT (25) or anti-IgE (138), and AUC may
497 be useful in monitoring food allergy progression (58). Both reactivity and allergen sensitivity
498 are measured when allergy severity is evaluated by basophil sensitivity, but a useful
499 composite measure has yet to be designed.

500 For all reports of BAT, a threshold for basophil reactivity has to be set. This is often done at
501 2%, 10% or 15% of resting basophils. An alternative method would be to set the threshold
502 halfway between the MFI of resting basophils and the positive control. With this practice, non-
503 responders would fail at this stage, as the threshold could not be set. The usefulness of such a
504 threshold could be evaluated in a retrospective trial, in which participating laboratories
505 contribute data from consecutive tests that are analyzed as one would do in their lab, or by
506 this new method.

507 Major applications of BAT are summarized in Box 4. BAT has been established as a routine
508 diagnostic test with standardized allergen preparations in a number of service labs. The

509 routine application of BAT for established allergens is quite different to that of identifying and
510 characterizing novel allergens or monitoring allergy intensity. To strengthen the use of BAT as
511 diagnostic test, laboratory procedures and allergen concentrations in BAT should be
512 standardized. This can be made possible with the use of industry standards like MiFlowCyt
513 (51) or purchase of standardized material from CE-approved vendors. An important next step
514 is the standardization and automation of analysis of BAT. Once that is achieved, it will be
515 possible to do large multicenter trials to characterize the diagnostic performance of BAT and
516 broaden its use as a clinical tool. These multicenter studies should also address the
517 relationship of measures of BAT and sensitivity to sIgE, clinical symptoms and symptom
518 severity.

519

520 **Author contribution**

521 HJH and EK drafted the introduction, MF, TPP and OVH the section on chronic urticaria, AFS,
522 AN, EK, SUP and WGS the section on food allergy, CM, BE, PR, DE, VS, MLS, and PK the section
523 on drug allergy, BE, PK and HJH the section on hymenoptera allergy and AN, PK and HJH on
524 the inhalant allergies section. All authors reviewed the entire final manuscript.

525

526 **Conflict of Interest**

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531

532

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940 **Figure 1: Assessing basophil response.**

941 The fraction of CD63⁺ basophils is plotted against log allergen concentration. Adapted from
942 (58) with permission from the authors.

943 A. **Basophil reactivity** is the dose (range) at which maximal response occurs. **Basophil**
944 **sensitivity** is the dose at which half of the maximal response occurs. *At high allergen
945 concentrations, basophil response may be suppressed.

946 B. A Change in sensitivity toward higher allergen concentration is the most reproducible
947 basophil biomarker for clinical sensitivity to allergen to date. Attempts to reduce the number
948 of BAT tests required to determine a significant change in basophil response have focussed on
949 identifying an allergen concentration at which a change in sensitivity can readily be assessed
950 (blue box; typically close to the sensitivity of the investigated population).

951 C. Basophil response could also be assessed as area under the curve (AUC) with a log allergen
952 axis, or a similar composite measure reflecting both reactivity and sensitivity. Variation in
953 maximal basophil reactivity arises concurrently with, and may be inseparable from, a change
954 in sensitivity.

955