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Spaceflight-induced neuroplasticity 1 in humans as measured by 2 MRI : what do we know so far?

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35

36 **Abstract**

37 Space travel poses an enormous challenge on the human body; microgravity, ionizing  
38 radiation, absence of circadian rhythm, confinement and isolation are just some of the  
39 features associated with it. Obviously, all of the latter can have an impact on human  
40 physiology and even induce detrimental changes. Some organ systems have been studied  
41 thoroughly under space conditions, however, not much is known on the functional and  
42 morphological effects of spaceflight on the human central nervous system (CNS). Previous  
43 studies have already shown that CNS changes occur during and after spaceflight in the form  
44 of neurovestibular problems, alterations in cognitive function and sensory perception,  
45 cephalic fluid shifts and psychological disturbances. However, little is known about the  
46 underlying neural substrates. In this review, we discuss the current -limited- knowledge on  
47 neuroplastic changes in the human CNS associated with spaceflight (actual or simulated) as  
48 measured by MRI-based techniques. Furthermore, we discuss these findings as well as their  
49 future perspectives, since this can encourage future research into this delicate and intriguing  
50 aspect of spaceflight. Currently, the literature suffers from heterogeneous experimental set-  
51 ups and therefore, the lack of comparability of findings among studies. However, the  
52 cerebellum, cortical sensorimotor and somatosensory areas and vestibular-related pathways  
53 seem to be involved across different studies, suggesting that these brain regions are most  
54 affected by (simulated) spaceflight. Extending this knowledge is crucial, especially with the  
55 eye on long-duration interplanetary missions (e.g. Mars) and space tourism.

56

57 **Keywords:** human spaceflight; microgravity; brain; central nervous system; bed rest;  
58 parabolic flight; MRI; neuroplasticity

59

60

## 61 **List of abbreviations**

62	ACC: anterior cingulate cortex
63	aINS: anterior insula
64	ALFF: amplitude of low-frequency fluctuation
65	BART: Balloon Analog Risk Task
66	BL: bilateral
67	BOLD: blood-oxygen-level dependent
68	CBF: cerebral blood flow
69	CNS: central nervous system
70	CSA: Canadian Space Agency
71	CSF: cerebrospinal fluid
72	DC: degree centrality
73	DTI: diffusion tensor imaging
74	EEG: electro-encephalography
75	ESA: European Space Agency
76	fMRI: functional magnetic resonance imaging
77	GM: gray matter
78	GMV: gray matter volume
79	HDBR: head-down bed rest
80	HDT: head-down tilt
81	IFG: inferior frontal gyrus
82	IL: ipsilateral
83	IPL: inferior parietal lobe
84	ISS: International Space Station
85	JAXA: Japan Aerospace Exploration Agency
86	L: left
87	M1: primary motor cortex
88	MCC: middle cingulate cortex
89	MFG: middle frontal gyrus
90	MI: motor imagery
91	MRI: magnetic resonance imaging
92	MRS: magnetic resonance spectroscopy
93	NASA: National Aeronautics and Space Administration
94	OP2: parietal operculum 2
95	PCC: posterior cingulate cortex
96	PET: positron emission tomography
97	PF: parabolic flight
98	R: right
99	ReHo: regional homogeneity
100	RSFC: resting-state functional connectivity
101	rsfMRI: resting-state functional magnetic resonance imaging
102	SFG: superior frontal gyrus
103	SMA: supplementary motor areas
104	T: Tesla
105	TBSS: tract-based spatial statistics
106	TMS: transcranial magnetic stimulation
107	VBM: voxel-based morphometry
108	VIIP: visual impairment intracranial pressure
109	VMPFC: ventromedial prefrontal cortex
110	WM: white matter

## 111 **Introduction**

112           More than 50 years of manned spaceflight have taught us that space is a hostile  
113 environment for human health; microgravity, ionizing radiation, absence of circadian  
114 rhythm, confinement and isolation are just some of the stressors space travelers encounter  
115 (1,2). Obviously, all of the latter can have an impact on human physiology and lead to  
116 detrimental changes (3). An example of this is the microgravity-induced cephalic fluid shift,  
117 which has been thought to cause to a wide range of symptoms such as increased intracranial  
118 pressure, visual impairment (named the visual impairment intracranial pressure syndrome,  
119 VIIP syndrome) (4,5) and alterations in cerebral oxygenation (6) and cerebral blood flow  
120 (7,8) (for a full synthesis on spaceflight-induced cephalic fluid shift, readers are referred to  
121 (9).

122           It is important to acquire insight into the precise effect of spaceflight as this can aid  
123 in the development of adequate countermeasures and guarantee safety and efficiency in  
124 future space missions. Some organ systems have been studied thoroughly under space  
125 conditions, such as the cardiovascular - (10), immune - (11,12) and musculoskeletal systems  
126 (13,14). Although there is an increasing interest on the effect of spaceflight on the human  
127 central nervous system (CNS) (15,16), up to date, not much is known about the functional  
128 and morphological effects of microgravity on the human CNS. Previous studies have  
129 already shown that CNS changes occur during and after spaceflight in the form of  
130 neurovestibular problems (17,18), alterations in cognitive function and sensory perception  
131 (19), problems with motor function (20), cephalic fluid shift (9) and psychological  
132 disturbances (21). For example, neurovestibular problems originate partially at the level of  
133 the peripheral vestibular organ that suddenly is deprived of the sense of gravity (22–24), so  
134 an intravestibular conflict emerges between the different angular and linear acceleration  
135 detectors. Therefore, one could hypothesize that this may also have an effect on the  
136 vestibular nuclei in the brain as well as on the cortical projections where sensory integration  
137 takes place between ‘disturbing’ vision, ‘altered’ proprioception and ‘conflicting’ vestibular  
138 information, such as the insular cortex, the temporo-parietal junction and the thalamus  
139 (25,26). In addition, it is known that the primary somatosensory and the somatosensory  
140 association cortical networks are involved in proprioception (27). Zero-gravity induced  
141 modifications in these network interactions could therefore underlie the deficits in sensory  
142 perception as seen in astronauts and vice versa (28). Also, the cerebellum is known to be  
143 involved in fine motor control, coordination and equilibrium (29) and changes in cerebellar

144 function and connectivity could therefore explain typically-seen motor coordination and  
145 movement-timing problems during and after spaceflight (28).

146 In general, literature on the impact of spaceflight on space travellers has mainly  
147 focused on the extra-cerebral or peripheral systems, e.g. the musculoskeletal and the  
148 cardiovascular system. Yet, studies on central nervous system dysfunction are scarce.  
149 However, in the past few years more and more interest has been attributed to this topic. The  
150 latter is probably due to recent advances in structural and functional neuroimaging  
151 techniques over the past 20 years leading to a growing role of these technologies in Earth-  
152 bound medicine. Additionally, the increasing interest in interplanetary missions adds to the  
153 importance to probe the changes occurring in the human brain in relation to short- and long-  
154 duration spaceflight.

155

#### 156 *Aim of this review*

157 Previous reviews on spaceflight-induced neuroplasticity (30–34), dating from the  
158 1990's or early 2000, are largely based on animal studies and do not include more recent  
159 findings from more advanced neuroimaging techniques. Furthermore, the effect of space  
160 analogs -in particular head down bed rest (HDBR)- on the human brain has received  
161 increasing interest in the past few years and has resulted in novel findings, some of which  
162 are translatable to long-duration spaceflight. An updated overview of this emerging  
163 literature could help to synthesize our current understanding, as well as to address the  
164 current shortcomings in order to direct and enhance future research.

165

#### 166 *Neuroplasticity and how to measure it*

167 Neural plasticity or neuroplasticity can be defined as the capability of the brain to  
168 alter its structure or function in response to exposure to new stimuli or environments. It is a  
169 crucial underlying component of skill learning in healthy individuals (i.e. learning-  
170 dependent or experience-dependent neuroplasticity) and functional recovery after injury  
171 (35). Neural plasticity can take place at several levels: from synaptic plasticity at the  
172 (sub)cellular level to plasticity at the system and network level (35). In this review, we will  
173 focus on systems plasticity across neural networks in human beings. Brain plasticity of the  
174 central nervous system can be studied with a number of methods. Examples of techniques  
175 commonly used in neuroplasticity studies are electroencephalography (EEG)/evoked  
176 potentials (ERPs), structural and functional magnetic resonance imaging (MRI) and

177 transcranial magnetic stimulation (TMS). These techniques can be used to study the cortical  
178 dynamics, e.g. magnitude of task-related or resting-state neural activity, changes in activity  
179 patterns, representational map size and cortical excitability. Other commonly used  
180 techniques include positron emission tomography (PET) and magnetic resonance  
181 spectroscopy (MRS), but up until now, no space-related studies have been carried out with  
182 the latter techniques, so we will only describe the applied techniques related to real and  
183 simulated spaceflight.

184         When it comes to spaceflight, EEG is the most commonly used technique. This is  
185 associated with the portability of EEG and the fact that this technique can easily be used in  
186 extreme environments (36). In EEG, electrical activity of the brain is monitored and  
187 measured by placing multiple electrodes along the scalp. Examples of the use of EEG in  
188 regards to spaceflight are the studies on electrocortical activity in astronauts during  
189 spaceflight (37) or in subjects during parabolic flights (38). EEG has a high temporal  
190 resolution, but on the contrary, it has a low spatial resolution making it tricky to attribute  
191 EEG findings to a precise cortical or subcortical region. Current state of the art  
192 neuroimaging techniques such as magnetic resonance imaging (MRI), as further described  
193 below, have a high spatial sensitivity and therefore allow a detailed assessment of brain  
194 structure and function (39). We will not go into detail on EEG-based space studies on  
195 neuroplasticity, but we will focus on spaceflight-induced neuroplasticity as measured by  
196 MRI. However, EEG studies have been proven to be very useful in better understanding the  
197 effect of spaceflight and microgravity on the human brain and ideally, would be combined  
198 with functional MRI in a multimodal fashion to cover both temporal and spatial aspects of  
199 neuroplasticity as good as possible. Readers are referred to Marušič et al for a recent and  
200 thorough review on EEG-based neuroplasticity studies in relation to spaceflight,  
201 microgravity and hypergravity (36).

202         MRI is an imaging technique that allows measuring structural, functional, metabolic  
203 and vascular events *in vivo*. An example of an anatomical MRI-technique is volumetric T1-  
204 weighted anatomical imaging to assess regional differences of a specific brain region, i.e.  
205 gray matter (GM), WM and cerebrospinal fluid (CSF), between groups. A common  
206 technique to perform this type of brain morphometry is called ‘voxel-based morphometry’  
207 (VBM) (40). Another MRI technique is diffusion tensor imaging (DTI). DTI is based on the  
208 molecular Brownian motion (i.e. diffusion) of the water molecules in the brain (41,42).  
209 Several local microstructures such as myelin, cell membranes and other organelles will limit

210 free diffusion in the brain. The DTI MRI technique uses this limitation of free diffusion by  
211 measuring the diffusion path of water molecules. In DTI, it is assumed that the signal in  
212 each voxel can be described as a diffusion tensor. This diffusion tensor will determine the  
213 orientation of the longest axis of the ellipsoid, which will be ideally aligned with the  
214 orientation of the underlying white matter architecture. From the diffusion tensor, several  
215 parameters can be defined, such as fractional anisotropy (FA) and mean diffusivity (MD).  
216 Therefore, DTI allows, up to some extent, to study the underlying white matter (WM)  
217 structure and microstructural features (41,42). A semi-automated procedure can now be  
218 implemented to connect neighboring voxels where the diffusion tensor points towards each  
219 other, and by doing so the underlying white matter bundle can be reconstructed. This  
220 process is called diffusion tensor tractography (43,44). Another technique called tract-based  
221 spatial statistics (TBSS), an automated and observer-independent approach, allows to assess  
222 FA in the major white matter tracts on a voxel-wise basis across groups of subjects (45).

223         Functional MRI (fMRI) is also a MRI-based technique in which stimulus- or  
224 activity-induced brain patterns can be investigated. fMRI is based upon the fact that neural  
225 activation is associated with a local vascular response, constituting the blood-oxygen-level  
226 dependent (BOLD) signal. The magnitude of the BOLD-signal resembles the hemodynamic  
227 response and can indirectly be linked to the magnitude of neural activation in specific brain  
228 areas. fMRI has been crucial in the determination of functional organization in the human  
229 brain (46,47). A derivative of fMRI is the resting-state fMRI technique (rsfMRI) in which  
230 neural activity at rest, without any stimulus or activity, is measured. For a complete  
231 summary on the use of MRI-based techniques in neuroplasticity studies, readers are referred  
232 to (48).

233         Lastly, transcranial magnetic stimulation (TMS) is a technique that allows  
234 stimulation of an area of the cortex non-invasively through the scalp by means of brief  
235 pulses, administered by a stimulation coil using time-varying magnetic fields (49). By doing  
236 so, alterations in cortical excitability can be induced and measured. For example, when TMS  
237 is applied over the primary motor cortex (M1), TMS can depolarize the corticospinal tracts  
238 and evoke contralateral muscle contractions (49). For a review on the use of TMS in  
239 neuroplasticity studies, readers are referred to e.g. (49) or (50). TMS has been used  
240 previously to investigate corticospinal excitability in relation to hypergravity and  
241 microgravity, however, this was a preliminary investigation and data from only 3 parabolic  
242 flyers were included (51).

243

244 *Ground-based space alternatives for human studies*

245           Research on humans in space is complicated, expensive and subject to several  
246 logistic and payload restrictions. In addition, only few subjects can be investigated at the  
247 same time, leading to reduced study power and limited generalization. Therefore, space  
248 researchers have developed Earth-based models in which some aspects of spaceflight can be  
249 simulated in order to set-up investigations on a bigger scale and by which the difficulties of  
250 actual space research can be overcome.

251           Immersion was the first ground-based model ever used for investigating the  
252 consequences of spending time in a reduced gravity environment. Dry immersion involves  
253 immersing the subject in thermo-neutral water while being covered in an elastic waterproof  
254 fabric in order to keep the subject dry and to overcome the unpleasant consequences of long-  
255 term direct water exposure (52). Immersion is an adequate spaceflight alternative, since it  
256 mimics several spaceflight features such as ‘supportlessness’ (i.e. lack of a supporting  
257 structure against the body), centralization of bodily fluids, confinement, immobilization and  
258 hypokinesia (52). Although dry immersion is a good model, it is not (yet) widely  
259 implemented and so far, it has not been used to quantify the neural changes associated with  
260 it. For a more general review on dry immersion and its implementation, readers are referred  
261 to (52).

262

263 INSERT FIG 1 HERE

264

265           Head-down bed-rest (HDBR) is an acceptable, reliable and the most implemented  
266 alternative to simulate most of the changes occurring due to spaceflight, both of a  
267 physiological (53,54) and a psychological kind (55). In principle, HDBR consist of a subject  
268 being in a bed that is inclined with the head down ( $-6^\circ$  in most cases). This can be done for  
269 short-term investigations (e.g. 72 hours in (56)) or long-term studies (e.g. 90 days in (57)).  
270 The head down tilt induces an upward fluid shift, similar to the one seen in space.  
271 Spaceflight-induced cephalic fluid shift is thought to cause a wide range of symptoms such  
272 as increased intracranial pressure, visual impairment (together named the visual impairment  
273 intracranial pressure syndrome or VIIP syndrome), alterations in cerebral oxygenation and  
274 changes in cerebral blood flow. In addition, HDBR is also characterised by immobilization,  
275 inactivity and confinement. This leads to equivalent alterations as seen in spaceflight in  
276 calcium homeostasis, musculoskeletal deterioration (e.g. muscle loss and changes in bone

277 architecture) and a psychological load, respectively. For a review on bed rest and its  
278 application in space research, readers are referred to the publication by Pavy-Le Traon and  
279 colleagues (54).

280 A third “ground-based” alternative to spaceflight is parabolic flight (PF). During a  
281 parabolic flight, a specific flight trajectory wherein the acceleration of the aircraft cancels  
282 the acceleration due to gravity is carried out. By doing so, normo-, hyper- and microgravity  
283 phases are alternately experienced by the subjects on board of the PF aircraft. The  
284 hypergravity phase precedes and follows the microgravity phase and is characterised by 1.5  
285 to 1.8g and lasts around 30 to 35 seconds. The microgravity phase on the contrary resembles  
286 0g during which approximately 0 g is experienced lasting around 20 to 25 seconds (**Fig. 1**).  
287 In addition, the flight profile can be modified to fly parabolas of Martian gravity (0.38g) and  
288 lunar gravity (0.16g). In between parabolas, the aircraft flies in normal 1g conditions. In  
289 general, one parabolic flight consists of 31 parabolas and lasts around 3 to 3.5h. For more  
290 information on the underlying dynamics of a PF, readers are referred to the paper of (58).  
291 Important to note is that PF is the only Earth-based method that allows researchers to  
292 conduct life science studies in microgravity.

293 Another approach to mimic spaceflight-related features, is to investigate human  
294 deployment analogs, such as Antarctic overwintering, undersea missions, etc... Sensory  
295 deprivation, high stress loads, confinement, isolation and shifted circadian rhythm are all  
296 replicated to high fidelity and therefore, these missions form an acceptable spaceflight  
297 analog (except for space-related changes in gravity). Furthermore, space mission simulation  
298 studies in the form of isolation missions, e.g. the MARS500 study, can also be used as a  
299 spaceflight analog, in particular to investigate the effects of long-term isolation and  
300 confinement. An example hereof is the assessment of peripheral and central (assessed by  
301 means of EEG) stress markers in the MARS500 mission (59).

302

### 303 *Search method*

304 For this review, the Medline (PubMed) and EMBASE databases were searched for  
305 papers using the term “spaceflight”, “microgravity”, “bed rest”, “parabolic flight”, “dry  
306 immersion” or “head-down tilt” and “brain”, “neuroplasticity”, “neuro”, “MRI”, “DTI” or  
307 “fMRI” without restriction of publication date. Reference lists from retrieved articles were  
308 also searched manually for relevant publications that were not included in the lists created  
309 through the Medline database. Non-English studies were excluded. The abstracts of the

310 resulting articles were screened to select the relevant articles, i.e. articles describing new  
311 findings on spaceflight-induced neuroplasticity or commenting on previously reported  
312 results in the field. Only studies on human subjects were included. As stated above, EEG-  
313 based studies were excluded from this review.

314

## 315 **Overview and critical appraisal of the current literature**

316 A synthesis and critical appraisal of the MRI-based studies included can be found in **Table**  
317 **1**. For clarification, a summary of brain regions found to be affected in (simulated)  
318 microgravity can be found in **Figure 2**.

319

320 INSERT FIG 2 HERE

321

### 322 *Neuroplasticity and spaceflight*

323 So far, there has only been one study examining the neuroplastic effects after actual  
324 spaceflight by means of MRI. In this single-subject case study, it was shown, by means of  
325 rsfMRI, that long-duration spaceflight is associated with alterations in cerebellar-motor  
326 connectivity, as well as a decrease in vestibular connectivity, more specifically a decrease in  
327 intrinsic connectivity strength in the right insula (**Fig. 3**) (60). This case report showed that  
328 the typical spaceflight-related problems such as space motion sickness, postural instability  
329 and disorientation could not solely be attributed to the peripheral end organs, i.e. the  
330 vestibular system in the inner ear, but may also have a central cortical component. However,  
331 interpretation and generalization should be very carefully made due to the anecdotal  
332 evidence. On-going longitudinal studies are aiming to extend these preliminary  
333 investigations in a larger cohort of astronauts.

334

335 INSERT FIG 3 HERE

336

### 337 *Neuroplasticity and space analogs*

338 Up until now, no MRI-based studies with dry immersion have been performed. In  
339 addition, there are no published MRI-based parabolic flight studies in humans.

340 Concerning HDBR, Roberts and colleagues were the first to implement a MRI-based  
341 study (57). They investigated whether simulated gravity by means of 90 days of HDBR  
342 induced changes in functional brain connectivity. In addition, they investigated corticospinal

343 tract excitability by means of TMS. In summary, they found reduced cortical activity in the  
344 motor areas with leg representation and a decrease in corticospinal excitability after HDBR.  
345 According to the authors, these reductions in cortical motor function could underlie motor-  
346 related difficulties in astronauts. Additionally, in the post-HDBR period, they continued  
347 TMS and reported an increase in corticospinal excitability. Interestingly, they observed that  
348 the larger the increase in motor cortex excitability, the smaller the functional mobility  
349 impairment, leading them to assume that TMS could be used as a possible countermeasure  
350 against lower extremity dysfunction. Additionally, their findings could be of clinical  
351 importance, e.g. pertaining to immobilized patients or patients with lower extremity disuse.

352 Liao et al initiated a HDBR study in which they investigated short-term alterations in  
353 functional connectivity (56). After 72h, they found decreased thalamic connectivity during  
354 resting-state, which they attributed to reduced motor control abilities and decrements in  
355 executive function in astronauts. In a follow-up study, they corroborated further on their  
356 initial results by linking them with a mental transformation test, during which the ability to  
357 perform a mental rotation strategy (i.e. mentally rotate an internal representation) is assessed  
358 (61). Interestingly, they found a correlation between intrinsic connectivity in the left inferior  
359 parietal lobe (IPL) and the mental transformation task. In addition, they found a decreased  
360 regional homogeneity (ReHo) in the IPL region, known to be involved in mental rotation  
361 strategies (62), which could explain the decrease in mental function in microgravity. Their  
362 study is interesting for the fact that they combined neuroimaging with behavioural data for  
363 the first time in regards to (simulated) spaceflight, providing an interesting insight into the  
364 link of changes in cognitive function and their underlying neural correlate.

365 In another fMRI study, Rao and coworkers investigated whether bed rest would  
366 influence an individual's risk-taking behaviour and the underlying neural basis of this  
367 possible effect (63). They implemented the Balloon Analog Risk Task (BART) tool (64) to  
368 assess risk-taking. In general, they found no effect of bed rest on risk-taking behaviour;  
369 however, they did find a significant deactivation of the ventromedial prefrontal cortex  
370 (VMPFC) post-HDBR when compared to before. The VMPFC is a principal component of  
371 the decision-making circuitry during risky decision-making. The finding of less deactivation  
372 of the VMPFC after HDBR is in accordance to the assumed neural adaptation process and  
373 changes in neuroplasticity after spaceflight. Furthermore, risk-taking is a high-level  
374 cognitive function and therefore, plays an important role in extreme and demanding

375 environments such as spaceflight. Therefore, their results are highly relevant, as they  
376 suggest a detrimental effect of (simulated) spaceflight on riskfull decision-making (63).

377         Zhou and colleagues performed a study in which they investigated 16 healthy male  
378 individuals before and after 45 days -6° HDBR (65). They found changes in the anterior  
379 insular (aINS) and middle cingulate cortex (MCC) network, both key regions of the resting  
380 state network, that they attributed to the induced cephalic fluid shift and the concurrent  
381 increase in cerebral blood flow (CBF), intracranial pressure and oxygenated haemoglobin.  
382 In addition, the authors also suggested decreases in autonomic nervous function (i.e.  
383 sympathetic and parasympathetic) as another plausible explanation for the underlying  
384 decreases in intrinsic functional connectivity in the aINS and the MCC network.  
385 Furthermore, they postulated that the decreased anti-correlation with the superior frontal  
386 gyrus, a part of the default mode network, together with the decreased correlation within the  
387 aINS-MCC network could be the underlying neural correlates of the previously observed  
388 alterations in cognitive function occurring during microgravity. Lastly, they did not find any  
389 association with emotional state after their 45-day HDBR study. In their study, they  
390 presented a very detailed and thorough analysis of the underlying neural correlates in  
391 simulated microgravity (65). Although they did not include a direct control group as such,  
392 they still validated their results by means of an independent data set acquired in healthy  
393 male volunteers, not exposed to HDT bed rest, at different time points. However, like all  
394 simulated studies, it lacks the direct comparison to actual spaceflight. Spaceflight remains a  
395 unique model that even the best simulation model can't substitute and therefore, all space  
396 analog studies most likely underestimate and deviate from the complexity and multi-modal  
397 effects of human spaceflight.

398         Recently, Liao and colleagues published their findings from a rsfMRI study in  
399 subjects that underwent a 7-day HDBR experiment (66). They postulated that their findings,  
400 i.e. reciprocal alterations in the posterior cingulate cortex (PCC) and anterior cingulate  
401 cortex (ACC), respectively a decrease and an increase, could account for changes in the  
402 autonomic nervous system, as seen in space travellers. In addition, they found an increase in  
403 functional activity in the left cerebellar posterior lobule, which could indicate a  
404 compensatory role by the cerebellar posterior lobule to overcome the concurrent decline in  
405 functional connectivity in the paracentral lobule. This compensatory role of the cerebellum  
406 is postulated to be necessary to sustain adequate fine motor control and could be transferred

407 to astronauts in a microgravity condition, where fine motor control is known to be  
408 significantly hampered (67).

409 In another study, Li and co-workers demonstrated that 30 days of HDBR is  
410 associated with local gray matter (GM) and white matter (WM) alterations (68). More  
411 specifically, they found decreases in GM volume (GMV) in the bilateral frontal lobes,  
412 temporal lobes, parahippocampal gyri, insula and hippocampus, while observing increases  
413 in GMV in the vermis, the paracentral lobules, precuneus gyrus, precentral and postcentral  
414 gyri. They related these GM changes to the decline seen in performance, locomotion,  
415 learning, memory and coordination in space travellers. Their findings should be interpreted  
416 cautiously, as their subjects experienced significant changes in weight and blood pressure  
417 after the HDBR trial, which could possibly underlie the changes in GMV.

418 Roberts and colleagues recently published their results from a volumetric MRI  
419 analysis in 8 subjects after long-term HDBR (69). They found several structural changes due  
420 to the simulated microgravity, with the most prominent one being the fact that the brain  
421 underwent an upward shift and posterior rotation relative to skull. Furthermore, they found a  
422 correlation between the posterior brain rotation and ventricular volume. The authors relate  
423 this to a change in CSF homeostasis and urge for further research in order to determine the  
424 exact role of this when it comes to the VIIP syndrome and its concurrent symptoms such as  
425 increased intracranial pressure, headache and visual impairment. However, a recent review  
426 doubts the feasibility of HDBR studies to investigate the effect on vision (70). Since there is  
427 no loss of tissue weight during HDBR (and any other spaceflight analog for that matter),  
428 long-duration HDBR is not a good analog for studies on vision impairment. In addition, no  
429 previous HDBR studies have reported vision impairments (70). Therefore, HDBR might not  
430 be the best model to assess VIIP syndrome and other vision-related impairments and a link  
431 with spaceflight should be made cautiously.

432 Very recently, a 70-day study investigated the effect of long-duration HDBR on  
433 brain connectivity and behavior in 17 participants (71). A behavioral assessment as well as  
434 rsfMRI scans were conducted at 7 time points: two measurements pre-HDBR, three  
435 measurements during HDBR and two measurements post-HDBR. In addition, a control  
436 group of 14 subjects was added to the study, to take into account the effects of time and  
437 practice. Interestingly, this set-up allows investigating not only the changes in brain  
438 connectivity after HDBR compared to baseline, but also the temporal changes during the  
439 HDBR. The authors reported changes in functional brain connectivity in vestibular,

440 sensorimotor and somatosensory networks. More specifically, they observed connectivity  
441 increases during HDBR, followed by decreased connectivity after HDBR, in the motor and  
442 somatosensory cortices. The latter might imply a possible adaptive response to the HDBR  
443 environment. Therefore, the authors suggest it is plausible that motor control regions play a  
444 crucial role in this adaptation to HDBR, which is corroborated by the findings by Roberts  
445 and colleagues that 90 days of HDBR are associated with an increased motor cortex activity  
446 during foot movement immediately after HDBR and a subsequent reversal of these changes  
447 after a recovery period (57). In contrast, decreases in brain connectivity were observed  
448 between the temporoparietal regions, part of the vestibular network, and an increased  
449 functional connectivity between the right parietal operculum 2 (OP2), a key region of the  
450 vestibular cortex (25), and the ipsilateral cerebellum. These findings, in conjunction to the  
451 earlier described results from (61) and (60), suggest that spaceflight-related sensorimotor  
452 problems can be attributed to cortical changes at the central level. Moreover, the previously  
453 observed diminished functioning of the peripheral neurosensory organs (22–24) could also  
454 be due to a central inhibition of disturbing erroneous signals coming from the vestibular  
455 organs. Furthermore, Cassady and colleagues linked their brain connectivity data with  
456 behavioral data and reported a correlation between motor-somatosensory network  
457 connectivity and standing balance performance, i.e. an individual with the greatest increase  
458 in connectivity strength between the motor and somatosensory cortices demonstrated least  
459 behavioral impairment following bed rest. This result, together with the findings from  
460 Roberts and colleagues (57), suggests that changes in body orientation and unloading, as  
461 seen in HDBR, may induce compensatory neural processes (71), a finding highly relevant  
462 for spaceflight and future space missions. Moreover, it might be the case that individual  
463 variability in neural adaptation compensates for the detrimental effects of HDBR, and  
464 spaceflight in that matter, more in some participants than in others (71).

465         The same research group also investigated the effect of long-duration HDBR on dual  
466 task performance and the underlying brain activation (72). They found increased brain  
467 activation in the frontal, parietal, cingulate and temporal cortices for dual task execution  
468 during HDBR, with a recovery to baseline levels after cessation of the HDBR. The latter  
469 implies a reduced neural efficiency in this spaceflight analog. This lower neural efficiency  
470 has been shown already during spaceflight by means of EEG recordings (73) and therefore,  
471 the HDBR findings seem to be transferable to spaceflight. In addition, the aforementioned  
472 study showed that HDBR resulted in nearly immediate changes in brain activation (72).

473 Therefore, future studies should also focus on the temporal dynamics of spaceflight-induced  
474 neuroplasticity, as indicated by these Earth-based model findings. As discussed above,  
475 preliminary spaceflight results have also found a similar effect after 6 months of spaceflight  
476 (60), but it is unknown if prolonged spaceflight has a linear or exponential effect or after  
477 which time the effects level off. A better understanding regarding the temporal  
478 characteristics of neuroplasticity is of major importance for future manned missions to the  
479 Moon and Mars.

480 In regards to all above-mentioned studies, it must be mentioned that HDBR induces  
481 a cephalic fluid shift that might increase cerebral blood flow and thus, change the  
482 hemodynamics of the brain (74). Furthermore, also the increased intracranial pressure and  
483 oxygenated hemoglobin might alter brain hemodynamics. Therefore, this alone might  
484 already induce changes in the brain and might underlie some of the changes found in the  
485 above-mentioned studies. However, one could argue to expect more global changes in  
486 structural and functional connectivity due to fluid shifts, rather than regional specific and  
487 localized changes as described in the studies above.

488 Overall, we conclude, at this point of research, the HDBR analog has primarily  
489 shown alterations related to motor-related tasks (e.g. fine motor control (66)) and more  
490 advanced cognitive function such as executive function (56), mental transformation (61),  
491 spatial working memory (71) and dual tasking (72). Consequently, most studies found  
492 changes in sensorimotor, somatosensory and cognitive-related brain regions (for a full  
493 overview, see Table 1 and Figure 2). In addition, a study in actual microgravity have  
494 additionally shown the alterations in vestibular-related cortical areas such as the insula (60).  
495 However, conclusions in regards to spaceflight need to be made carefully by both the  
496 indirect comparison of space analogs to actual spaceflight (56,61,63,65,66,68,71,72) and the  
497 small sample size in some of the current studies (57,60,69).

498

## 499 **General difficulties and limitations of space research**

500 Several HDBR studies found a large inter-subject variability (57,69). Previous  
501 spaceflight studies have already shown that inter-subject variability in space travellers is  
502 quite high, also for other physiological processes such as sensorimotor adaptation (76,77)  
503 and vestibular and otolith deconditioning (78,79). High inter-subject variability is therefore  
504 a feature that should be kept in mind when analysing and interpreting spaceflight studies, in  
505 particular with regards to studies on spaceflight-induced neuroplasticity. Earth-based studies

506 have already shown that neuroplasticity is a process that is highly individually dependent  
507 and is related to several factors such as demographics (e.g. age and gender), genetic  
508 variation (35) and physical activity (80).

509 In the same line, it should be taken into account that microgravity effects on brain  
510 activation have been shown to be task dependent, as found by previous EEG studies (37,73).  
511 Therefore, the factors found to be influencing neural activation during simulated spaceflight  
512 might not only differ from actual spaceflight, they might also differ per individual and might  
513 be dependent on the specific task being executed, e.g. during task fMRI protocols.

514 Several other limitations are also inherent to space research with the most prominent  
515 being the small sample sizes. Up to date, approximately 150 crew members have spent 6  
516 months in the ISS (International Space Station), of which US astronauts (NASA), Russian  
517 cosmonauts (ROSCOSMOS) and astronauts from the other space agencies (ESA, CSA,  
518 JAXA) (81). Space shuttle missions comprised more crewmembers, but the amount of time  
519 in space was not more than 2 weeks, limiting also its effects, and the space shuttle program  
520 was suspended in 2011. Unfortunately, it is very difficult to acquire data in a large group of  
521 space travellers within a reasonable time frame. Therefore, it takes quite some time for most  
522 studies to get up to an acceptable sample size, which can lead to changes in setting,  
523 equipment and team members. As an example with regards to MRI-based studies,  
524 longitudinal studies could lead to variability in MRI acquisition parameters between scans  
525 and therefore, potentially confound observed changes (82). In addition, MRI acquisition  
526 technology changes rapidly and state-of-the-art pre-processing and statistical analysis  
527 techniques develop at a fast rate (83). Therefore, a longitudinal study over a long period of  
528 time could lead to the fact that out-dated techniques are being used for consistency among  
529 measurements.

530 In addition, also due to logistic restrictions, it is very difficult and often impossible to  
531 assess space travellers in the first few hours or days after returning from space due to  
532 restrictions in the schedule of astronauts. For neuroplasticity measurements, it could be  
533 possible that there is a critical time frame within which changes are detectable by means of  
534 MRI measurements. Also, when assessment can only take place a couple of days after  
535 returning to Earth, one is not only measuring the spaceflight-induced changes, but also the  
536 changes taking place due to re-adaptation back on Earth (60). This can hamper the detection  
537 of more subtle changes or can even counteract these processes in some cases. Especially in  
538 the framework of neuroplasticity, it is known that changes can take place on a very short

539 period of time, e.g. alterations in white matter structure can already take place after 90 min  
540 of a spatial learning task (84,85). Therefore, neuroplasticity assessments must be made at  
541 well-considered and repeated time points. This is also relevant for studies in which a  
542 spaceflight alternative is implemented, however, in general the logistic and scheduling  
543 restrictions are easier to overcome or adjust compared to spaceflight.

544 When focussing on neuroplasticity measured by MRI only, we can only assess the  
545 human brain before and after spaceflight. Due to loads of technical, logistic and payload  
546 restrictions, there is no possibility to take an MRI-scanner into space or to the ISS.  
547 Therefore, it is not possible to assess neuroplastic events, probed by MRI-techniques, during  
548 spaceflight, although this would lead to very interesting insights. However, we could  
549 complement before-after MRI assessment with more portable neuroimaging techniques on  
550 board such as EEG, TMS or near-infrared spectroscopy (NIRS) and by correlating post-  
551 spaceflight changes as measured by MRI with behavioural measurements taken on board.

552 Another complicating factor is the specific demographic profile of space travellers.  
553 In general, there is a well-known majority of male space travellers compared to female  
554 space travellers with a ratio of roughly 9 to 1 respectively (86) (In addition, the mean age of  
555 astronauts on their first-time flight to space is slightly different for males and females: 44.5  
556 years versus 42.5 years (81)). It is therefore important that Earth-based space analogues take  
557 this into account in order to resemble the demographic profile as much as possible. It is also  
558 known that gender can have an impact on the adaptation of several physiological systems to  
559 spaceflight (81,87–89). Previous studies on neuroplasticity showed that gender and age  
560 could influence the degree and extent of neuroplasticity. The menstrual cycle for example  
561 can impact on structural and functional neural adaptations (90). Therefore, if space analogue  
562 studies on neuroplasticity want to transfer their findings to make assumptions or conclusions  
563 on spaceflight-induced neuroplasticity, they should match age and gender features as much  
564 as possible.

565

## 566 **Implications for countermeasures and neuroimaging in** 567 **spaceflight-related studies**

568 We should aim to accurately determine and map the effect of changes in brain  
569 structure and function on the motor, vestibular and cognitive system in order to make long-  
570 duration missions (e.g. during several years) feasible and possible. In a second phase,  
571 suitable countermeasures should be determined and applied. The ability to perform landing

572 and post-landing tasks (e.g. on Mars) may be hampered by impaired motor control,  
573 movement and motor coordination. This could encumber crew performance, crew safety and  
574 may even compromise the mission. Furthermore, higher cognitive tasks (e.g. working  
575 memory, risk-taking and dual-tasking) might be influenced, possibly leading to unacceptable  
576 risks and hazards in spaceflight, where there is a high working load and stress situations  
577 might occur frequently and/or suddenly.

578

### 579 *Countermeasures*

580 Recently, the idea of motor imagery (MI), an experimental paradigm already widely  
581 used in sports, has been proposed as an inexpensive and rather simple approach to prepare  
582 space travellers for the absence of gravity they will encounter (91). MI is a process during  
583 which a specific and pre-decided action is internally reproduced in working memory, from a  
584 first-person perspective, without any overt motor output (92). It typically includes multiple  
585 sensory modalities, e.g., mentally visualizing a specific motor task and mentally feeling  
586 muscle contractions (93). Imagined and executed movements have been shown to have the  
587 same vividness and temporal structure (94,95) and in addition, it has been proven that MI  
588 activates similar brain regions as is the case with executed movements, e.g. primary and  
589 secondary motor cortices, posterior parietal cortex, basal ganglia and the cerebellum (96,97).  
590 This kind of mental practice could be applied to prepare astronauts to the sudden absence of  
591 gravity and to the re-adaptation phase when coming back to Earth (91).

592 Additionally, the study from Roberts and colleagues showed TMS to be a possible  
593 countermeasure (57). TMS is portable and therefore, possible to be implemented in space.  
594 The authors suggest TMS to become part of a countermeasure regime for astronauts on  
595 long-duration space missions to counteract lower extremity dysfunction (57), e.g. prior to  
596 operations on a planetary surface as might be the case for interplanetary missions. Another  
597 topic well discussed among space researchers, is artificial gravity as a countermeasure. By  
598 introducing continuous or intermittent exposure to artificial gravity (or some sort of  
599 gravitational levels), the adaptation to e.g. Martian gravity or re-adaptation to Earth's  
600 gravity might be facilitated (98). For example, this could be done by introducing a  
601 centrifuge on board (99). By doing so, the physiological deconditioning, as seen after  
602 exposure to weightlessness, could be counteracted. Undoubtedly, this will also affect the  
603 human brain and the underlying neural adaptation to spaceflight. Future studies should

604 investigate to what extent artificial gravity (by means of centrifuge or otherwise) plays a  
605 possible role in neuroplasticity.

606  
607 *Neuroimaging*

608 In regards to neuroimaging specifically, the current literature suffers from the fact that all  
609 studies are using different acquisition, data pre-processing and statistical analysis  
610 techniques, as well as a different set-up for their experiments. Furthermore, several different  
611 analysis techniques such as for example BOLD connectivity measures using hypothesis-  
612 driven seed-voxel analyses or data-driven independent component analyses; amplitude of  
613 low-frequency fluctuation (ALFF) or regional homogeneity (ReHo) measures have been  
614 used, adding to the difficulty to compare different studies with each other. However, since  
615 these are analysis happening at the post-processing level, they allow for re-analysis and  
616 comparison with more widely used connectivity approaches.

617 In addition, and this holds true for the majority of neuroimaging techniques: all of  
618 the above are indirect measures of synaptic neural activity (48). For example, changes in  
619 brain volume found with volumetric analyses tools do not allow the possibility to make a  
620 conclusion on changes at the cytoarchitectonial level. Moreover, changes in GM (and WM  
621 to some extent) could be the result of changes in neuropil, changes in neuronal size,  
622 dendritic and axonal adaptations, as well as be related to folding or the development of  
623 thicker gray matter (100). In addition to the complexity of the precise origin of gray matter  
624 changes, various factors are known to have an impact on brain morphology and may  
625 therefore cause brain volume changes. Also, the difference between short-term and long-  
626 term exposure to (simulated) microgravity is of course very relevant, but this intrinsically  
627 hampers the comparability among studies. Data sharing and weighted meta-analyses could  
628 be proposed for future analyses.

629 Cognitive changes due to spaceflight might be associated with metabolic changes,  
630 even before the occurrence of “clinical” symptoms and this relation should be further  
631 examined by means of state-of-the-art techniques such as PET scans (32) or MRI  
632 spectroscopy. These techniques could probe changes in neurotransmitter systems e.g.  
633 dopamine receptor activity. Based on findings from earlier animal studies related to  
634 spaceflight, it is hypothesized to find changes in humans as well (30,101). Earth-based  
635 studies have shown that changes in neurotransmitters have major implications for attention  
636 (102), (long-term) memory (103), arousal (104) and motor activity (105). Determining  
637 neurotransmitter and hormonal imbalance in space travellers is therefore important to get

638 fundamental insight into how the central neural system adapt to microgravity and in  
639 addition, to get insight into the relation between these alterations and behavioural processes.

640 In relation to spaceflight, it is needed to determine the temporal profile and longevity  
641 of neuroplastic changes and correlate these with the temporal profile of the (re-)adaptation  
642 process and possible detrimental changes. Therefore, *in vivo* neuroimaging techniques such  
643 as MRI and EEG are crucial as they allow mapping structural, functional and metabolic  
644 events in the human brain in relation to microgravity and spaceflight. Gaining insight into  
645 the dynamic properties of the human brain over time could also help in the development and  
646 application of countermeasures as well as help to determine when or how long they should  
647 be applied (106).

648 In preparing for (very) long-duration interplanetary missions, it is important to  
649 determine the impact of changes in brain structure and function on sensori-motor, higher  
650 cognitive and psychological capacities of space travellers, since brain alterations might  
651 interfere with the decline in brain volume and functional reorganization and connectivity as  
652 seen in a normal ageing population (107). If this is the case, this might potentially lead to  
653 accelerated cerebral aging effects and concurrent accelerated decline, e.g. sensory  
654 impairment, motor slowing, memory problems, deficits in attention and processing speed  
655 and anxio-depressive disorders (e.g., 112,113).

656 In general, simultaneous and independent multimodal neuroimaging is pivotal to  
657 acquire a still lacking understanding of functional and structural brain processes in relation  
658 to human spaceflight. The combination of different complementary electro-physiological  
659 and neuroimaging techniques should be used to acquire non-redundant information, e.g.  
660 structural, functional and metabolic MRI pre and post spaceflight combined with high-  
661 density EEG, TMS and/or NIRS. Not only would this give a more complete insight into  
662 spaceflight-induced neuroplasticity, but also would the simultaneous use of different  
663 techniques overcome limitations inherent to one single technique. An example of this is  
664 combining EEG and MRI for a more efficient assessment of the temporal dynamics and  
665 spatial information of the underlying neural processes taking place, i.e. to improve and  
666 optimize spatio-temporal resolution (110).

667 Another feasible approach would be to validate several motor-related and cognitive  
668 tasks on Earth by means of fMRI, which would then allow making predictions on brain  
669 alterations when performing these tasks inflight in the ISS for example. Illustrations are tests  
670 for sensorimotor skills, attention, working memory, spatial orientation, etc. These can be

671 easily done on board of the ISS since they are portable, non-expensive and non-time  
672 consuming. A good example hereof is the “Cognition” test battery that is currently being  
673 implemented by NASA (111).

674

## 675 **Conclusion and future perspectives**

676 In conclusion, despite the discussed limitations of the current literature regarding  
677 heterogeneous experimental set-ups and the lack of comparability of findings among studies,  
678 some trends have been witnessed. The cerebellum, cortical motor areas and vestibular-  
679 related pathways seem to be critically involved across different studies, indicating that these  
680 brain regions are indeed affected by real and simulated spaceflight. These changes reflect  
681 most likely an underlying neural component of the common detrimental changes observed in  
682 space travellers such as problems with sensorimotor control and motor coordination, space  
683 motion sickness and a hampered otolith and vestibulo-autonomic functioning.

684 Currently, there is paucity in the knowledge of the effect of microgravity on the  
685 human brain and more extensive research is therefore highly needed to increase and add  
686 more insight into this matter. The relationship between spaceflight-related physiological and  
687 neuro-psychological problems and alterations in brain structure or function should be  
688 investigated. Elaborating on the understanding of how the brain reacts to and behaves in  
689 spaceflight is a crucial step in the development of more adequate countermeasures against  
690 the detrimental changes often seen in space travellers. Assessing space travellers by means  
691 of validated and standardized multimodal neuroimaging protocols will help establish a more  
692 precise picture of functional, structural and biochemical brain alterations associated with  
693 spaceflight. Hereto, it could be of interest to develop a protocol comprising of the minimum  
694 of tests that should be performed to optimize merging among studies as much as possible.  
695 Within the framework of the space agencies, an international multi-disciplinary task-force or  
696 topical team should be established to set-up such a list.

697 Extending this knowledge is pivotal to guarantee the safety and efficiency of future  
698 space missions, such as interplanetary missions to Mars and the development of permanent  
699 space habitats. Furthermore, the development, safety and success of commercial space  
700 tourism are dependent on how a less-trained human being reacts to this short-term exposure  
701 to microgravity, including possible alterations at the level of the brain. Lastly, the acquired  
702 insights in this unique population of space travellers have direct and indirect clinical impacts  
703 and could be transferred to multiple neurological and psychiatric diseases and pathologies

704 on Earth such as patients suffering from neurodegenerative disorders, vestibular problems  
705 and motor immobilisation.

706

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713

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**Fig. 1** Typical flight trajectory of a parabolic flight for 0g parabola's.

**Fig. 2** Cortical and subcortical brain areas most affected by spaceflight analogs or actual spaceflight, as described in the rsfMRI studies discussed in this review (figure modified after (26), with permission, originally from (112)). For simplification, laterality of the findings was not taken into account. A more extensive description of the findings can be found in Table 1.

**Fig. 3** The figure shows decreased connectivity strength in the right insula, a critical region of the vestibular cortex, when comparing post-flight to pre-flight in a cosmonaut. The bars represent the average connectivity strength in the respective cluster with 90 % confidence interval (whiskers) for the pre-flight and post-flight scan. The statistical map is rendered on the normalized MRI scan of the cosmonaut (axial view) (from (60), used with permission).