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1 Original article

2 **Efficacy of oleylphosphocholine (OIPC) *in vitro* and in a**  
3 **mouse model of invasive aspergillosis**

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17

18 **Short title:** Oleylphosphocholine for invasive aspergillosis

19 **Keywords:** oleylphosphocholine; *in vitro* susceptibility; *Aspergillus fumigatus*; mouse model

20

21 **Abstract**

22 **Background:** Invasive aspergillosis has become increasingly common and is  
23 characterized by high morbidity and mortality. Upcoming resistance threatens  
24 treatment with azoles and highlights the need for novel therapeutics.

25 **Objectives:** This explorative laboratory study investigated the *in vitro* and *in vivo*  
26 potential of the alkylphospholipid oleylphosphocholine (OIPC) against *Aspergillus*.

27 **Methods:** *In vitro* activities of OIPC, miltefosine, posaconazole and voriconazole were  
28 determined for *A. fumigatus*, *A. niger*, *A. terreus* and *A. flavus*. *In vivo* efficacy was  
29 evaluated in a systemic *A. fumigatus* mouse model, adopting a short-term and long-  
30 term oral or intraperitoneal dosing regimen.

31 **Results:** OIPC showed potent and selective *in vitro* activity against *A. fumigatus* ( $IC_{50}$  =  
32 1.04  $\mu$ M). Intraperitoneal administration of 50 mg/kg/day OIPC significantly reduced  
33 the fungal organ burdens at 4 days post-infection (dpi). Although 5- and 10-day OIPC  
34 treatment improved survival, organ burdens were not reduced at 10 and 15 dpi.

35 **Conclusions:** While this study showed excellent *in vitro* activity of OIPC against  
36 *Aspergillus* spp., its therapeutic efficacy in an acute mouse model for invasive  
37 aspergillosis was less convincing. Given the limited therapeutic options in the current  
38 antifungal market for invasive infections, it may be useful to evaluate OIPC activity in a  
39 less stringent *in vivo* model, including its combination potential with other already  
40 marketed antifungal drugs.

41

## 42 1. Introduction

43 Invasive aspergillosis (IA) is caused by opportunistic *Aspergillus* species and associated with  
44 high morbidity and mortality [1, 2]. Due to a rise in immunocompromised patients, such as  
45 transplant and intensive care patients, IA has become increasingly common [3-5]. The  
46 primary causative agent of human aspergillosis is *A. fumigatus*, followed by *A. flavus*,  
47 *A. terreus* and *A. niger* [6]. The current antifungal therapy for IA is associated with limited  
48 efficacy, adverse effects and drug-drug interactions [7]. Coinciding with the rise of IA,  
49 resistance to itraconazole (ITR), posaconazole (POS) and voriconazole (VOR) emerges and  
50 encourages the development of alternative treatment strategies, such as prophylactic and  
51 combination therapy, or the discovery of novel antifungals [8-12].

52 Oleylphosphocholine (OIPC) belongs to the family of alkylphosphocholines and is structurally  
53 related to miltefosine (MIL), as they only differ in alkyl chain length and the presence of  
54 unsaturated bonds. Both compounds show antileishmanial activity [13] and most likely share  
55 a similar mode-of-action, i.e. influence on fungal phospholipase B1 and induction of  
56 apoptosis-like cell death by targeting mitochondrial function through cytochrome-C oxidase  
57 (subunit COX9) [14, 15]. Laboratory studies on *Leishmania* have indicated that OIPC shows  
58 an efficacy profile similar or superior to MIL and has the advantages of better tolerance and  
59 oral bioavailability.

60 The present study explored the antifungal potential of OIPC, since several studies  
61 demonstrated the *in vitro* potential of MIL against dermatophytes [16] and filamentous fungi  
62 [17]. In addition, MIL showed activity in a mouse model of cryptococcosis [14] and  
63 contributed to the successful management of an invasive *Scedosporium prolificans* infection  
64 [18]. This study is the first to evaluate the antifungal potential of OIPC in an *in vitro* panel of  
65 four *Aspergillus* spp, including *A. fumigatus*, *A. terreus*, *A. niger* and *A. flavus* in comparison  
66 to POS, VOR and MIL. A preliminary assessment of the *in vivo* efficacy was performed in an  
67 acute mouse model of disseminated *A. fumigatus* infection based on maximal drug  
68 exposure, i.e. high daily dose starting on the day of infection including intraperitoneal (IP)  
69 administration.

70

## 71 2. Materials and Methods

72 **Fungal isolates** The panel of clinical *Aspergillus* isolates was obtained from the Scientific  
73 Institute of Public Health (WIV, Brussels, Belgium) and Prof. J. Maertens (University Hospital  
74 Leuven, Belgium) and included *A. flavus* (B59000), *A. niger* (J930117), *A. terreus* (IHEM 5918)  
75 and *A. fumigatus* (B19119 and AF198-R [azole-resistant]). The isolates were cultivated on  
76 Sabouraud dextrose agar (SDA) (Lab M, U.K.) supplemented with 0.5% (w/v)  
77 chloramphenicol (Sigma-Aldrich, Diegem, Belgium). Stocks with a concentration of  $5 \times 10^6$   
78 colony forming units (cfu)/ml were prepared in RPMI-MOPS with 10% glycerol and 0.01%  
79 Tween-80 and frozen in liquid nitrogen. For the *in vitro* experiments, pre-titrated  
80 cryopreserved vials were used. Fresh inocula of *A. fumigatus* B19119 were used for the *in*  
81 *vivo* studies.

82 **Antifungal compounds** MIL, VOR (Sigma-Aldrich) and POS (Merck, Noxafil® oral formulation  
83 40 mg/ml) were used as reference drugs. Dafra Pharma Research and Development  
84 (Turnhout, Belgium) supplied liposomal OIPC (18 mg/ml or 41.5  $\mu$ M) for *in vitro* and *in vivo*  
85 use.

86 ***In vitro* susceptibility testing** The standard microdilution broth assay was performed  
87 according to the Clinical Laboratory Standards Institute (CLSI) [19, 20] with some minor  
88 adjustments. Plate production and endpoint determination were performed as previously  
89 described [21]. Briefly, 96-well U-bottom plates (Greiner Bio-One) were spotted with serially  
90 diluted compound solution covering a broad dose-range (64  $\mu$ M as the highest in-test  
91 concentration for MIL, POS and VOR; 41.5  $\mu$ M for OIPC). The inoculum ( $10^3$  cfu in 200  $\mu$ l per  
92 well) was prepared from pre-titrated cryopreserved stock solutions ( $5 \times 10^6$  cfu/ml) in RPMI-  
93 MOPS. Inhibition of fungal growth was determined by adding 10  $\mu$ l of 0.005% (w/v) resazurin  
94 (Sigma-Aldrich) and fluorimetric reading ( $\lambda_{\text{ex}}$  550 nm,  $\lambda_{\text{em}}$  590 nm; Tecan Genios) after an  
95 additional incubation period, allowing calculation of IC<sub>50</sub> ( $\mu$ M) values, i.e. the drug  
96 concentration that results in 50% growth inhibition compared to the untreated controls on  
97 the same plate. To determine the minimal fungicidal concentration (MFC), which is defined  
98 as the lowest concentration of antifungal compound at which no growth could be observed,  
99 the entire volume of the well was transferred to an SDA Petri dish for incubation. Three  
100 independent replicates were analyzed for each compound.

101 **Animals** Female Swiss mice weighing between 25 and 30 g (Janvier, France) were housed in  
102 groups of five and individually marked. Food pellets (Carfil Quality, Oud-Turnhout, Belgium)  
103 and water were available *ad libitum*. Husbandry conditions were 22°C, 60% RH and a 12h  
104 dark-light cycle. The animal experiments were approved by the Ethical Committee of the  
105 University of Antwerp and conducted in accordance with ethical standards (UA-ECD 2012-  
106 029).

107 **In vivo efficacy testing** Systemic aspergillosis was established using fresh inocula of  
108 *A. fumigatus* B19119. Spores were collected in PBS-Tween-80 (0.01% v/v) and diluted to  
109 obtain a concentration of  $10^8$  cfu/ml. The concentration of the inoculum was confirmed by  
110 serial dilution on SDA before and after each experiment. On day 0 of the experiment, groups  
111 of 5 mice were intravenously injected with  $1 \times 10^7$  cfu in 100  $\mu$ l and were given either oral  
112 (PO) or intraperitoneal (IP) treatment. Based on pilot dose-response and toxicity studies,  
113 POS was dosed at 10 mg/kg to obtain 100% survival and significant organ burden reduction,  
114 while OIPC could be dosed at 50 mg/kg without any toxic effect. Three different dosing  
115 regimens were used: (A) Short-term treatment regimen combined with survival in which the  
116 animals were treated daily for 5 days, starting on the day of infection. For the vehicle-  
117 treated controls (VIC), necropsies were performed at day 4 post-infection (dpi). At this time  
118 point, the VIC-animals were always in severe moribund state and showed maximal liver,  
119 spleen and kidney burdens. At 10 dpi, all survivors in the treated groups were sacrificed. (B)  
120 Long-term treatment regimen combined with survival in which the animals were treated  
121 daily for 10 days, starting on the day of infection. Necropsies for the determination of organ  
122 burdens were performed 4 dpi in the VIC and 15 dpi in the survivors of the different  
123 treatment groups; (C) Short-term treatment regimen in which all animals were sacrificed 4  
124 dpi. All animals were weighed and observed daily for the occurrence of clinical signs during  
125 the course of the experiment. Moribund animals were euthanized by decapitation and  
126 recorded as dead on the following day. The organs of individual animals were weighed and  
127 homogenized in PBS-Tween-80. The homogenates were 10-fold serially diluted (up to  
128 1/1000) and 200  $\mu$ l of each dilution was spread onto an SDA plate for fungal enumeration  
129 after 48h.

130 **Statistical analysis** Statistical analyses were performed with GraphPad Prism, version 4.01  
131 (Graph Pad Software, Inc., San Diego, CA). Kaplan-Meyer analysis compared survival in the

132 treatment groups. Fungal burden data were log-transformed before plotting and statistical  
133 analysis. Comparison of the fungal burdens between the treated groups and VIC was  
134 performed using Kruskal-Wallis or Mann-Whitney U tests. Mice that died before day 4 (VIC)  
135 or before day 10 or 15 (treated groups) were assumed to have organ counts at least as high  
136 as the highest counts in the VIC group. Statistical significance was defined as  $P \leq 0.05$ .

### 137 3. Results

#### 138 3.1. OIPC showed promising *in vitro* activity against *A. fumigatus*

139 Table 1 shows the *in vitro* IC<sub>50</sub>- and MFC-values for OIPC, MIL, POS and VOR against the  
140 different *Aspergillus* species. The repeatability of the susceptibility data was good  
141 (coefficients of variation (CV) below 10%, except for *A. flavus*). The reference drugs POS and  
142 VOR showed potent activity against *A. fumigatus* B19119 (IC<sub>50</sub>  $0.25 \pm 0.04 \mu\text{M}$  and  $0.53 \pm$   
143  $0.07 \mu\text{M}$ ), which is in accordance with values described by CLSI [19]. The azole-resistant  
144 *A. fumigatus* AF198-R showed an average IC<sub>50</sub>-value of  $0.82 \pm 0.32 \mu\text{M}$ , which exceeds the  
145 threshold for azole-resistance [22]. For MIL and OIPC, *A. flavus* appeared to be the least  
146 susceptible with IC<sub>50</sub>-values of  $9.46 \mu\text{M}$  and  $14.02 \mu\text{M}$ . For the other *Aspergillus* spp., OIPC  
147 was more potent than MIL, as reflected by OIPC IC<sub>50</sub>-values between  $0.19$  and  $1.84 \mu\text{M}$  and  
148 MIL IC<sub>50</sub>-values between  $4.00$  and  $5.96 \mu\text{M}$ . For *A. fumigatus*, the MFC to IC<sub>50</sub> ratio of OIPC  
149 was  $\leq 4$ , suggesting a fungicidal mode-of-action [23].

#### 150 3.2. IP administration of 50 mg/kg/day OIPC reduced organ burdens significantly at 4 dpi

151 In view of its promising *in vitro* activity, the *in vivo* potential of OIPC was explored in an acute  
152 mouse model of disseminated aspergillosis. The model had been optimized with respect to  
153 strain (*A. fumigatus* B19119) and inoculum concentration ( $1 \times 10^7$  cfu/animal) to obtain a  
154 reproducible infection. The vehicle-treated mice (VIC) were always moribund at 4 dpi and  
155 showed consistently high organ burdens. Three therapy regimens were evaluated (Table 2):  
156 (A) short-term (5-day) treatment combined with survival; (B) long-term (10-day) treatment  
157 combined with survival and (C) short-term (5-day) treatment with autopsy at 4 dpi. Mice  
158 treated with POS (10 mg/kg) showed a 100% survival in all treatment regimens and a major  
159 reduction of the fungal organ burden. For OIPC, 60% survival at 10 dpi was obtained under  
160 regimen A after oral administration of 50 mg/kg and 25 mg/kg, while less survival was seen

161 at 15 dpi under regimen B. Organ burdens only showed a significant reduction using  
162 50 mg/kg/day IP in the short-term treatment regimen C ( $P = 0.0079$ ) (Table 2).

#### 163 4. Discussion

164 Compared to its structural analogue MIL, OIPC is characterized by a higher bioavailability and  
165 less side effects. Since several experimental studies [14, 16, 17] concluded that MIL shows  
166 potential as antifungal compound, we evaluated OIPC as a candidate drug for invasive  
167 aspergillosis. This study is the first to evaluate the antifungal potential of OIPC in an *in vitro*  
168 panel of *Aspergillus* spp. and in an acute mouse model of disseminated *A. fumigatus*  
169 infection.

170 Our protocol for *in vitro* susceptibility testing was based on the CLSI guidelines [19] and  
171 modified with regard to inoculum preparation and endpoint reading. Addition of 0.01%  
172 Tween-80 to the stock solutions and the use of exact counts to determine the inoculum size  
173 assured the repeatability of both the *in vitro* [24] and *in vivo* experiments. As dispersion of  
174 mycelia in culture medium may complicate conventional absorbance measurements, test  
175 reliability was improved by fluorimetric endpoint reading for resazurin-based assessment of  
176 metabolic activity [25]. In antifungal susceptibility testing, resazurin proved to have  
177 satisfactory agreement with the CLSI standards [26]. The *in vitro* antifungal activities were  
178 expressed as  $IC_{50}$  values in  $\mu M$  because this allows straightforward comparison of the  
179 different compounds. Using the modified CLSI protocol, the activities of POS and VOR against  
180 the different *Aspergillus* spp. were in agreement with data found in literature [27].

181 Our *in vitro* findings on MIL-activity against different *Aspergillus* species were within the  
182 range reported by Widmer *et al.* [14] and Biswas *et al.* [17]. The *in vitro* potency of OIPC  
183 against the *Aspergillus* panel was about five times higher than that of MIL. Both MIL and  
184 OIPC showed a lower activity on *A. flavus*, while POS-susceptibility was the same for all  
185 *Aspergillus* spp., except for the azole-resistant AF198-R strain. Widmer *et al.* have already  
186 described the lower susceptibility of *A. flavus* [14], but the variability of the  $IC_{50}$ -values (CV  
187 between 30-60%) may have contributed to this result.

188 For the exploratory *in vivo* study of OIPC, an acute mouse model of disseminated *A.*  
189 *fumigatus* infection was developed [28]. Three different dosing regimens were chosen using

190 survival and overall fungal burden in the target organs (liver, spleen and kidneys) as  
191 endpoints for efficacy. Although invasive aspergillosis mainly occurs in immunodeficient  
192 individuals, the animal model was based on immunocompetent mice [29]. In this model, a  
193 functional immune system supports drug activity, which may be important for the initial  
194 demonstration of the therapeutic potential of the candidate compound. In addition, our  
195 efforts to develop an invasive aspergillosis model using immunosuppressed mice were  
196 hampered by very high mortality rates and inconsistent clinical outcomes.

197 Because of the rapid clearance of VOR in mice, POS was chosen as reference drug [30]. The  
198 OIPC dosages of 25 and 50 mg/kg/day for 5 days were selected based on previous drug  
199 safety data in rodents (Dafra Pharma, unpublished). Next to oral dosing, IP treatment was  
200 included to maximize systemic availability. In all dosing regimens, POS at 10 mg/kg  
201 significantly improved survival and organ burden. In the short-term 5-day (A) and long-term  
202 10-day (B) treatment schedules, OIPC enhanced survival in each treatment group, however,  
203 without affecting the organ burden. Only in the short-term 5-day treatment regimen with  
204 necropsy on 4 dpi (C), the organ burdens significantly decreased after IP dosing at  
205 50 mg/kg/day. The mortality of OIPC-treated animals and the inability of OIPC to consistently  
206 reduce the organ burdens suggest its limited therapeutic potential in our mouse model of  
207 acute systemic aspergillosis. Although pharmacokinetic analyses were not included in this  
208 study, favorable pharmacokinetic properties have been established in rats and dogs,  
209 including good oral bioavailability, a long terminal half-life and extensive tissue distribution  
210 (Dafra, unpublished data). There is little doubt that the acute and virulent nature of our  
211 disseminated aspergillosis mouse model may have contributed to the limited *in vivo* activity.  
212 Attenuation of the infection through administration of a lower inoculum size or the use of  
213 immunosuppressed mouse models resulted in a less reproducible infection and an  
214 unpredictable clinical outcome. Currently, the use of less virulent *A. fumigatus* strains is  
215 being explored.

216 In conclusion, while OIPC shows promising *in vitro* activity against different *Aspergillus*  
217 species, our preliminary *in vivo* experiments could not entirely confirm this finding, despite  
218 different dosage strategies to maximize exposure. Given the limited amount of therapeutic  
219 options in the current antifungal market for invasive infections, the therapeutic potential of  
220 OIPC should be assessed in a less stringent animal model. Other options to explore include

221 the combined use of OIPC with other already marketed antifungal drugs. Recent data  
222 showing *in vitro* fungicidal MIL-activity against *Scedosporium*, *Fusarium* and mucormycetes  
223 [17] may argue for the exploration of OIPC-activity against these uncommon moulds.

224

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228 *in vitro* assays and Pim-Bart Feijens for his contribution in running the mouse model.

229

#### 230 **Author Contributions**

231 CP and LM designed the study, developed the methodology, collected the data, performed  
232 the analysis and wrote the manuscript. GB performed the analysis and wrote the  
233 manuscript. TM, NA, PC reviewed the study design, methods, data and manuscript.

234

#### 235 **Conflicts of Interest**

236 Anny Fortin and Tom Bosschaerts are employees of Dafra Pharma Research & Development.  
237 The other authors declare no conflict of interest.

238

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322

<i>Aspergillus</i> species / strain	OIPC		posaconazole		voriconazole		miltefosine					
	IC <sub>50</sub>	± sd	MFC	IC <sub>50</sub>	± sd	MFC	IC <sub>50</sub>	± sd	MFC			
<i>A. fumigatus</i> B19119 (*)	1.04	± 0.00	2.6	0.25	± 0.04	0.3	0.53	± 0.07	0.6	5.61	± 0.06	8.0
<i>A. fumigatus</i> 198-R	1.02	± 0.01	1.3	0.82	± 0.32	1.0	0.72	± 0.28	1.0	5.66	± 0.00	8.0
<i>A. niger</i> J930117	0.19	± 0.03	> 41.5	0.33	± 0.02	0.5	0.50	± 0.23	0.5	4.00	± 0.45	> 64
<i>A. flavus</i> B59000	14.02	± 4.93	> 41.5	0.26	± 0.05	0.2	1.02	± 0.34	2.0	9.46	± 1.85	> 64
<i>A. terreus</i> IHEM 5918	1.84	± 0	10.4	0.18	± 0.05	0.3	0.46	± 0.16	0.5	5.95	± 0.3	4

**Table 1.** *In vitro* activity (IC<sub>50</sub>-values and MFC in µM) of OIPC, posaconazole, voriconazole and miltefosine against different *Aspergillus* spp

(\*) strain used for *in vivo* evaluation

**Table 2.** Survival combined with *in vivo* efficacy of OIPC (organ burden reduction and log cfu/g organ) of mice infected with *Aspergillus fumigatus* B19119. Three different dosing regimens were evaluated: (A) Short-term treatment combined with survival; (B) Long-term treatment combined with survival and (C) Short-term treatment with necropsy 4 dpi.

Treatment (No. Animals)	Short-term treatment combined with survival (10 dpi) <sup>a</sup>				Long-term treatment combined with survival (15 dpi) <sup>b</sup>				Short-term treatment (4dpi) <sup>c</sup>		
	Survivors	Mean survival time	Total organ burden	Log CFU/g	Survivors	Mean survival time	Total organ burden	Log CFU/g	Survivors	Total organ burden	Log CFU/g
	No.	± SEM (days)	reduction (%)	± SEM	No.	± SEM (days)	reduction (%)	± SEM	No.	reduction (%)	± SEM
VIC (5)	0	5.0 ± 0.0	-	5.3 ± 0.1	0	5.0 ± 0.0	-	5.9 ± 0.1	0	-	5.7 ± 0.0
POS 10 mg/kg PO (5)	5	11.0 ± 0.0	91.7	4.2 ± 0.1**	5	16.0 ± 0.0	99.4	3.0 ± 0.8**	5	75.3	5.1 ± 0.1**
OIPC 50 mg/kg PO (5)	3	8.0 ± 1.2	43.8	4.4 ± 0.6	2	8.8 ± 3.0	18.4	5.1 ± 0.7	2	36.9	5.3 ± 0.3
OIPC 25 mg/kg PO (5)	3	9.0 ± 1.4	43.7	4.6 ± 0.3	0	7.4 ± 2.0	0	ND	0	7.5	5.6 ± 0.1
OIPC 50 mg/kg IP (5)	ND <sup>d</sup>				2	10.0 ± 2.6	18.9	5.3 ± 0.5	2	67.4	5.2 ± 0.1**
OIPC 25 mg/kg IP (5)	ND				3	11.0 ± 3.1	18.4	5.1 ± 0.7	3	42	5.3 ± 0.3

<sup>a</sup> 5-day treatment was started immediately after infection, survivors were sacrificed at 10 dpi

<sup>b</sup> 10-day treatment was started immediately after infection, survivors were sacrificed at 15 dpi

<sup>c</sup> 5-day treatment was started immediately after infection, survivors were sacrificed at 4 dpi

<sup>d</sup> Not done

\*\* Significant difference  $P \leq 0.05$