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1 **Combining a land surface model with life cycle assessment**
2 **for identifying the optimal management of short rotation**
3 **coppice in Belgium**

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18 **Abstract**

19 Poplar (*Populus spp.*) and willow (*Salix spp.*) short rotation coppice (SRC) are attractive
20 feedstock for conversion to renewable electricity. Site managers typically optimize biomass
21 production at their sites. However, maximum biomass production does not necessarily equate
22 an optimal CO₂ balance, water use and energy production. This is because many operational
23 actions consume water and energy and emit CO₂, either on-site or off-site. Coupling a land
24 surface model (ORCHIDEE-SRC) with life cycle assessment enabled us to determine the
25 optimal management for SRC in Belgium. We simulated 120 different management scenarios
26 for each of two well-studied Belgian SRC sites (i.e. Boom and Lochristi). Simulated soil
27 carbon changes suggested substantial carbon losses of 20-30 Mg ha⁻¹ over a time period of 20
28 years, which were within observation-based uncertainty bounds. Results showed that in
29 Belgium, which has a temperate maritime climate, optimal management of SRC has a rotation
30 cycle of two years without irrigation. Energy inputs for this optimal management were 5.2 GJ
31 ha⁻¹ yr⁻¹ for the Boom site and 5.3 GJ ha⁻¹ yr⁻¹ for the Lochristi site, while the biomass yields at
32 Boom and Lochristi were 9.0 Mg ha⁻¹ yr⁻¹ and 9.4 Mg ha⁻¹ yr⁻¹, respectively. The energy ratio
33 (i.e., ratio of bioelectricity output to cumulative energy input) for this optimal management
34 was 12, on average. Planting density turned out to be unimportant, while rotation length
35 turned out to be most important to obtain the highest energy ratio and still maintain high
36 biomass yield. Scenarios with high energy-input generated more bioenergy outputs, but the
37 energy gains did not compensate for the increased energy inputs. Reductions in energy
38 consumption per unit of bioenergy output should target the agricultural stage since it
39 accounted for the largest energy share in the production chain.

40

41 **Keywords:** Poplar; Willow; biomass yield; water use; bioenergy; carbon balance; energy
42 balance

43 **1. Introduction**

44 The increasing global energy demand and concerns about the negative effects of greenhouse
45 gas (GHG) emissions from fossil fuels call for renewable, less-polluting, and low-cost energy
46 sources [1-4]. Biomass is an alternative energy source that can be used to produce heat,
47 power, and fuels for transport at competitive costs and in large quantities [5]. It can thus play
48 a crucial role in securing energy supply and in reducing GHGs.

49 Among the non-food biomass for energy production, poplar (*Populus spp.*) and willow
50 (*Salix spp.*) short rotation coppice (SRC) are the most used in Europe [6-9]. SRC has fast
51 growth, high yield, requires few agri-chemicals and grows well in poor soils. The use of SRC
52 for energy production is presented as a near CO₂ neutral process [10], because CO₂ emitted
53 into the atmosphere through biomass burning was first taken-up from the atmosphere during
54 tree growth. Other benefits attributed to SRC include soil carbon storage, reduced erosion,
55 and improved soil quality [11]. Whether SRC can be produced without negative impacts on
56 these ecosystem services depends on how SRC is established and managed [12].

57 SRC plantations are established, managed and harvested using agricultural and forestry
58 machines. The establishment includes ploughing, initial weeding and the planting of cuttings,
59 which have to be transported from a nursery to the plantation. After the establishment and
60 depending on site conditions and the management intensity, fertilisation, weed control, and
61 supplementary irrigation may be required. After full maturity, harvesting, and the transport of
62 the harvested biomass to the bioenergy production plant is carried out. The machineries (e.g.
63 tractors) and equipment (e.g. irrigation pumps) involved in all these cultivation steps consume
64 energy and therefore emit CO₂ when operating, adding to the non-biogenic carbon emissions
65 of SRC. Thus, SRC-derived bioenergy is not entirely CO₂ neutral.

66 The selection of the most suitable management for a given SRC is important because it will
67 determine the plantation's biomass yield, bioenergy production, and the CO₂ balance.
68 However, not only the productivity (i.e. biomass yield) and the CO₂ balance are of
69 importance when considering the management of SRCs. Also the water consumption, nutrient
70 use, planting density, and net energy balance of the SRC plantation are key factors in
71 determining the optimal management. In water-limited regions irrigation may be necessary to
72 achieve high yields in SRC plantations, but has consequences for the region's water
73 availability and environment [13]. Moreover, irrigation requires high energy inputs, thereby
74 potentially lowering the SRC's energy balance, despite the positive impact on the
75 productivity. Fertiliser application is beneficial in nutrient-poor soils or in marginal lands to

76 ensure high yields and subsequent biomass production. When not really required, irrigation
77 and fertiliser unnecessarily increase the energy consumption of the plantation. From an
78 energetic point of view, it is important to maximise the difference between the energy
79 contained in the harvested biomass and that required for the site's management, as this would
80 maximise the energy efficiency and CO₂ balance of the SRC system [14].

81 SRC is established according to two different planting layouts: in Europe (Sweden,
82 Denmark, UK) and in the USA, many SRC systems are based on a double-row configuration
83 with a density of 10,000-25,000 cuttings per hectare, which facilitates the use of agricultural
84 equipment [15]. In Canada, the planting configuration consists of a single row design with a
85 planting density ranging from 18,000-20,000 cuttings per hectare, which facilitates weed
86 control during the establishment phase, and thus the rooting and growth of SRC [16]. While
87 the design choice mostly depends on the machinery available for planting and harvesting, the
88 yields and the desired dimension of end products are affected by factors such as rotation
89 length, soil type, climate conditions and the initial planting density [17]. Consequently, failure
90 to match the SRC genotypes and the site characteristics, with the planting densities, irrigation
91 volumes, and rotation cycles could reduce the sustainability of bioenergy from SRC i.e., to
92 reduce the net energy yield or increase carbon emissions. Based on two well-documented
93 SRC plantations in Belgium, the aim of this study was to identify the optimal management
94 scenario that maximizes yield and energy production at minimal energy consumption, water
95 use and GHG emissions from SRC-based electricity production.

96 **2. Materials & Methods**

97 **2.1 Site descriptions**

98 Two well-studied and well-documented SRC plantations were used here as case studies to
99 identify optimal management.

100 **2.1.1 Boom site**

101 The Boom site was an operational SRC plantation from April 1996 until November 2011 in
102 Boom, province of Antwerp, Belgium (51°05'N, 4°22'E; 5 m above sea level). Seventeen
103 different poplar (*Populus spp.*) genotypes, belonging to six parentage lines, were planted on a
104 0.56 ha former landfill [18]. The cuttings were planted in a double-row design with inter-row
105 distances of 0.75 m and 1.50 m and an intra-row spacing of 0.90 m, resulting in a planting
106 density of 10000 cuttings ha⁻¹. The climograph of the measured years at the Boom site (Figure
107 1a) shows the monthly average precipitation, the minimum, maximum, and average
108 temperature. The average annual temperature at the site was 11.1 °C and the average annual

109 precipitation was 800 mm. The former landfill was covered with a loam soil. No irrigation or
110 fertilization was applied. A more complete description of the site and the plant materials has
111 been provided elsewhere [19, 20]. The evolution of growth, biomass production and of yield
112 have been previously described in detail ([18, 21]).

113 **2.1.2 Lochristi site**

114 The Lochristi site is an operational SRC plantation since April 2010 in Lochristi, Belgium
115 (51°07'N, 3°51'E; 6 m above sea level). Twelve different poplar (*Populus* spp.) genotypes and
116 three willow (*Salix* spp.) genotypes were planted on 18.4 ha of former pasture and cropland.
117 The cuttings were planted in a double row design with inter-row distances of 0.75 m and
118 1.50 m and intra-row spacing of 1.10 m, resulting in a planting density of 8000 cuttings ha⁻¹.
119 The climograph of the measured years at the Lochristi site (Figure 1b) shows the monthly
120 average precipitation the minimum, maximum, and average temperature. The average annual
121 temperature at the site was 10.6 °C and the average annual precipitation was 800 mm. Soil
122 texture is loamy sand [14]. No irrigation or fertilization was applied. A complete description
123 of this site has been previously published [22] and can also be found on the website
124 <http://uahost.uantwerpen.be/popfull>. Eddy covariance flux measurements of all greenhouse
125 gases have been conducted and described in detail [23-26] and the plantation's carbon budget
126 was previously calculated [27].

127 **2.2 Management scenarios**

128 The ORCHIDEE-SRC model [28] is a modification of the ORCHIDEE model, a mechanistic
129 land surface model widely used to simulate ecosystem productivity and carbon balance [29-
130 31]. ORCHIDEE-SRC was used in this study to simulate the biomass production and the
131 carbon balance of the two studied SRC plantations. For both sites, a number of different
132 management scenarios were simulated. In these management scenarios, four management
133 options were varied: (i) planting density was varied from 5000 cuttings ha⁻¹ in steps of 5000
134 up to 15000 cuttings ha⁻¹; (ii) rotation length was varied from two years up to five years in
135 steps of one year, and (iii) optionally the first cutback was performed at the end of the
136 establishment year, instead of the year specified by the rotation cycle. After this optional
137 establishment year cut the normal rotation cycle was started; (iv) irrigation was added from 0
138 up to 200 mm yr⁻¹, in steps of 50 mm yr⁻¹. The total irrigation volume was divided by the
139 number of applications. This volume was applied weekly from April to September,
140 independent of rainfall, and assuming sprinkler irrigation. This resulted in a total of 120

141 different management scenarios for each site, and each of these 120 management scenarios
142 was simulated for 20 years, chosen as the lifetime of the plantations.

143 To enable proper simulations of SRC plantations, several modifications were implemented
144 in the ORCHIDEE model. These included: (i) the management, (ii) growth, (iii) carbon
145 allocation, and (iv) parameterisation (see [28]). Data required to run the model include
146 meteorological data, such as short-, and long-wave incoming radiation, air temperature,
147 specific humidity, wind speed, precipitation, atmospheric pressure, as well as site-specific
148 parameter data such as longitude, latitude, soil texture, meteorological instrument height,
149 planting density and plantation rotation cycle. Meteorological data of all available years were
150 collected on site with half-hourly time steps. Per site, all matching half-hours were averaged
151 over the years for all the available years.

152 These average meteorological data were used for the simulations; so the output of the
153 modelling was not dependent on the occurrence of coincidental extreme weather events. For
154 the Boom site, we averaged the data from 1996 to 2007; for the Lochristi site we averaged the
155 data of 2010 to 2012. Prior to the actual simulations, we performed a model spin-up (i.e. the
156 process of running a model to reach a state of equilibrium under the applied forcing) during
157 1510 years in order to bring the soil carbon pool to equilibrium. Because of the heterogeneity
158 of the Boom site which was a former landfill, the soil textural measurements varied strongly.
159 We therefore used the average of the measurements (49% sand, 29% silt and 22% clay) as
160 model input. For the Lochristi site soil texture measurements varied less and the measured
161 average values of 86% sand, 3% silt and 11% clay were used for the simulations.

162 **2.3 LCA system boundary**

163 A cradle-to-power production boundary was adopted in this study; thus all inputs of the whole
164 life cycle from raw material acquisition, through the production of SRC, to the generation of
165 electricity were included in the analysis (Figure 2). The LCA was performed following the
166 ISO 14040-44 standards [32]. Stages considered in the LCA included the conversion of land
167 to SRC plantation, the cultivation of SRC, the biomass harvesting, transport, and its
168 conversion to electricity in a power plant (Figure 2). We accounted for the direct land use
169 change which in this study was limited to the analysis of change in soil carbon stock; changes
170 in vegetation due to direct land use changes were not considered. Although indirect land use
171 changes influence the GHG intensity of bioenergy systems, they were not considered in this
172 study due to lack of data and uncertainties [33]. We also considered the direct energy use (i.e.,
173 diesel, lubricant, or electricity) and CO₂ emissions for each operation as well as the indirect

174 energy and CO₂ emissions for manufacturing tractors/machineries, agri-chemicals, irrigation
175 pumps, and for the production of cuttings. However, the energy and CO₂ emissions associated
176 with the construction of the power plant were assumed negligible and thus excluded from the
177 analysis. Since no co-product is generated during the production of SRC and because a
178 conventional generation was assumed for the conversion of SRC to electricity, the multi-
179 functionality issue did not occur in this study, and allocation was not necessary. The
180 cumulative energy demand and the IPCC GWP₁₀₀ [34] characterisation factors were used to
181 quantify the primary energy use and GHG emissions. Inventory data originated from several
182 sources, including literature data, Ecoinvent database [35], and data from the POPFULL
183 project (<http://uahost.uantwerpen.be/popfull>).

184 **2.4 Direct land use change**

185 Site level analyses were carried out to estimate the effects of direct land use change to SRC on
186 soil carbon storage. To this end, the ORCHIDEE model was run to equilibrium assuming a
187 ‘temperate broadleaved summer green forest’ as a proxy for the previous agricultural land
188 use. This means that at the start of the SRC experiment, the soil carbon pool was that of a
189 temperate broadleaved summer green forest. The initial soil carbon stock of the soil in
190 Lochristi was simulated to amount to 150 Mg ha⁻¹ of carbon, while that of the Boom site was
191 200 Mg ha⁻¹ of carbon. In the model simulations, the SRC was assumed to be grown on this
192 soil for 20 years, and we established for each site the change in soil organic carbon stock by
193 comparing the initial soil carbon stock and the soil carbon stock level 20 years after
194 establishment of SRC plantation.

195 **2.5 Operational energy and CO₂ emissions**

196 Operating an SRC plantation is more intensive than traditional forestry. The management
197 actions involved in the establishment and operation of SRC plantations consume energy and
198 thus emit CO₂. The farm activities considered in this study included: ploughing, weeding,
199 planting, harvesting and chipping, the transport of materials to the farm, and the transport of
200 harvested SRC chips to the power plant. Although planting at the Boom site was done
201 manually, for fair comparison, we assumed that planting at both sites was carried-out using a
202 leek planter. The direct energy use (and CO₂ emissions) for a given farm activity was
203 estimated by adding the amount of energy in the diesel and lubricant used for that activity.
204 The indirect energy use (and CO₂ emissions) of tractors and farm machineries used for a
205 given farm activity was calculated by multiplying the weight of each tractor, by the embodied
206 energy to produce the tractor, the field performance, and divided by the life-time of the

207 tractor. We followed similar computation steps to estimate the indirect energy use and CO₂
 208 emissions of irrigation pumps and equipment. Electricity consumption for irrigation was
 209 obtained from [35]. We then summed-up the direct and indirect energy use for each farm
 210 activity to obtain the total energy use (and CO₂ emissions). The energy use for transporting
 211 the cuttings to the farms was calculated as the product of the energy intensity of freight
 212 transport (i.e., 3.72 MJ Mg⁻¹ km⁻¹ [35]), the transport distance (assumed 150 km in this
 213 study), the weight of the cutting (10 g plant⁻¹), and the planting density (plant ha⁻¹) in each
 214 scenario. We repeated the same procedure to estimate CO₂ emissions associated to the
 215 transport of cuttings. The energy use (and CO₂ emissions) for transporting the harvested SRC
 216 chips to the thermal power plant was computed in a similar manner as above, and assuming a
 217 round trip of 50 km distance [18].

218 **2.6 Energy balance and CO₂ emission savings**

219 Two efficiency indicators (energy ratio and net energy production) were used to evaluate the
 220 energy balance of the SRC-based electricity system. The energy ratio was calculated by
 221 dividing the amount of electricity produced by the primary energy inputs to produce it. The
 222 net energy production was computed as the difference between the amount of electricity
 223 output and the primary energy inputs to the SRC-based electricity system. The CO₂ emission
 224 savings were calculated by comparing the CO₂ emissions from the SRC-based electricity to
 225 the carbon intensity of the non-renewable power mix in Belgium. We therefore first
 226 calculated the amount of electricity produced per g CO₂ in the biomass using equation 1.

$$227 \quad \omega = \frac{Y \cdot \eta \cdot m}{\alpha \cdot M} \quad \text{eq. 1.}$$

228 In this equation, ω (kJ g⁻¹ of CO₂) is the amount of electricity produced by the SRC, Y is the
 229 energy content of the SRC (i.e. 18.5 kJ g⁻¹ [36]), η is the conversion efficiency (i.e., 37.2%
 230 [20]), m is the atomic mass of carbon (12 g mol⁻¹), α is the carbon content of the biomass (i.e.
 231 50 g of carbon per 100 g of biomass [36]), and M is the molecular mass of CO₂ (44 g mol⁻¹).
 232 We then calculated the energy production per unit CO₂ emitted as the inverse of the carbon
 233 intensity of the non-renewable power mix in Belgium (see equation 2).

$$234 \quad \theta = \frac{1}{\varepsilon \cdot \mu} \quad \text{eq. 2.}$$

235 where θ is the energy production per unit CO₂ emitted, ε is the carbon intensity of the Belgian
 236 non-renewable grid mix electricity (CO_{2eq}, 564g kWh⁻¹ [21]), and μ is the conversion factor
 237 of kilowatt-hour to megajoule (i.e. 3.6 MJ kWh⁻¹). The above calculations resulted in 3.75 kJ
 238 g⁻¹ of CO_{2eq} for the SRC-based electricity and 6.38 kJ g⁻¹ of CO_{2eq} for the non-renewable grid
 239 mix electricity.

240 **3. Results**

241 **3.1. Land use change impacts on soil carbon stock**

242 The simulated soil carbon stock changes for the Lochristi and the Boom site are shown in
243 Figure 3. The conversion of land to SRC plantation resulted in a continuing decline of soil
244 organic carbon, which was due to combined effects of high initial soil organic carbon and the
245 low carbon inputs from SRC plants to counteract losses of carbon by soil respiration. The rate
246 of loss of soil organic carbon was $1.5 \text{ Mg ha}^{-1} \text{ yr}^{-1}$ for the Boom site and $1 \text{ Mg ha}^{-1} \text{ yr}^{-1}$ for the
247 Lochristi site. The losses of soil carbon were higher at the Boom site than at the Lochristi site
248 (Figure 3). The influence of planting density on soil organic carbon was negligible at both
249 sites.

250 **3.2. Biomass yields**

251 Observed annual biomass yields were very well reproduced by the model, and this at both
252 sites (Figure 4-6). Depending on the scenario analysed, simulated annual biomass yields at the
253 Boom site ranged from 7.5 to $9.3 \text{ Mg ha}^{-1} \text{ yr}^{-1}$, while at the Lochristi site the biomass
254 production varied from 7.9 to $10.4 \text{ Mg ha}^{-1} \text{ yr}^{-1}$ (Figure 4-6). Also the sites' gross primary
255 production and ecosystem respiration were very well simulated (data shown in [25] for gross
256 primary production: $R^2 = 0.95$, $\text{NRMSE} = 0.064$, $\text{PCC} = 0.89$; for ecosystem respiration: $R^2 =$
257 0.95 , $\text{NRMSE}=0.078$, $\text{PCC} = 0.91$). Soil temperature and soil moisture were simulated
258 adequately, though we noted some discrepancies originating from the simplicity of the soil
259 moisture simulation in ORCHIDEE-SRC, which also influenced the latent heat flux [28].
260 Among all management options, changes in rotation length elicited the largest differences in
261 biomass yield (different symbols in Figure 4), with shorter rotations yielding higher biomass.
262 Changes in irrigation and the implementation of an establishment year cut had a smaller
263 impact on yields, while varying the initial plantation density did not change yields in the
264 simulations.

265 **3.3. Carbon balance**

266 The modelled biogenic CO_2 uptake from the atmosphere, i.e. the NEP (Net Ecosystem
267 Production), was positively and linearly correlated with the harvestable woody biomass
268 production (Figure 4a). The harvestable woody biomass contained more carbon than the net
269 carbon uptake from the atmosphere, indicating a loss of soil carbon for both sites (Figure 4a).
270 Because of the higher soil carbon losses at the Boom site (see 3.1), the net atmospheric CO_2
271 uptake was lower on this site than on the Lochristi site. In addition to plant and soil CO_2
272 fluxes, also management-related CO_2 emissions (both on-site and off-site) contributed to the

273 SRC CO₂ balance, albeit to a lesser degree (Figure 4b; 5-30% for Boom; 2-8% for Lochristi).
274 Irrigation was the management option causing the highest CO₂ emissions (Figure 4b).
275 Although these non-biogenic CO₂ emissions were not very large, between 0.2 and 0.7 Mg ha⁻¹
276 yr⁻¹, they caused a very noticeable difference in the net carbon emission patterns of the
277 different management scenarios (Figure 4a-c).

278 When the biogenic CO₂ uptake and the management-related CO₂ emissions were summed,
279 rotation length remained the dominant control over the net CO₂ balance of the plantations,
280 with shorter rotations being more favourable (Figure 4c). The effect of the establishment year
281 coppice depended on the rotation length, but was generally negligible. The effect of irrigation
282 differed between Boom and Lochristi. In Boom, irrigation reached an optimum yield stimulus
283 at 100 mm. Up to this level, biomass production increased more than the net CO₂ emissions.
284 Above 100 mm the CO₂ emissions continued to increase, while biomass production no longer
285 increased. This was in contrast with the loamy-sandy Lochristi site that had a much lower
286 water retention capacity due to its sandy texture, and where more irrigation continued to
287 increase the biomass production, as well as the uptake of CO₂ from the atmosphere. For the
288 Lochristi site, the increase in CO₂ uptake from zero to 50 mm irrigation was three to four
289 times larger than for Boom. Adding more irrigation still had a positive impact on biomass
290 production and CO₂ emissions, albeit much smaller than that of the 50 mm application.

291 A clear difference occurred between the two sites when the CO₂ credits for the displaced grid
292 electricity production were considered (Figure 4d). At Boom, the SRC-based bioelectricity
293 system lost carbon, thus had a negative carbon balance, which however, turned positive (i.e.
294 became a CO₂ sink) when the substitution effects were considered. The optimal management
295 scenario for the CO₂ balance at this site had two year rotations and no irrigation. Adding
296 irrigation increased the biomass production slightly, but also reduced the carbon sink potential
297 of the SRC plantation. At the Lochristi site, the SRC-based bioelectricity system was a net
298 CO₂ sink, which became almost zero when the CO₂ emissions from energy consumption
299 during the management of SRC plantation was considered, but SRC-based bioelectricity
300 became a larger sink thanks to the substitution effects. For this site (i.e. Lochristi) the shortest
301 rotation of two years, gave the best CO₂ emission savings (Table S1). Adding 50 mm of
302 irrigation increased the biomass production by about 0.5 Mg ha⁻¹ yr⁻¹, compared to the no-
303 irrigation scenarios, while the net CO₂ emission was not altered. Further increasing the
304 irrigation had a less pronounced effect on the biomass production, while the CO₂ emissions to
305 the atmosphere increased. For the best performing rotation lengths, the effect of the
306 establishment year cut was negligible.

307 **3.4. Water use**

308 The mean annual evapotranspiration was correlated with the annual harvestable aboveground
309 biomass (Figure 5). A detailed model analysis of the water balance showed that 50% of the
310 incoming (rain + irrigation) water was evapotranspired. In the irrigated scenarios, the increase
311 in evapotranspiration was equal to only 5% of the irrigation water volume. Another 5% of this
312 irrigation water was lost as runoff and the remaining 90% was lost as drainage. For both sites,
313 differences among the management scenarios were, nonetheless, due only to differences in
314 irrigation volumes, with increased irrigation resulting in increased evapotranspiration. This
315 effect of irrigation on evapotranspiration, however, remained small: adding 200 mm water
316 only added about 10 mm of annual evapotranspiration at both sites. Neither the planting
317 density, nor changes in irrigation frequencies (e.g. daily instead of weekly) had noticeable
318 effects on annual evapotranspiration at these sites.

319 **3.5. Energy balance**

320 Depending on the management options adopted, the energy inputs for the production of
321 bioenergy (i.e. electricity) ranged from 3.6 to 24.3 GJ ha⁻¹ yr⁻¹ at the Boom site, and from 3.7
322 to 24.7 GJ ha⁻¹ yr⁻¹ at the Lochristi site (Figure 6). Irrigation appeared to be the most energy
323 consuming activity at both sites (0 - 84%), followed by transport (13 - 79%) and harvesting
324 (2.5 - 31%). Relatively little energy input was consumed for ploughing (0.2 - 1.4%), planting
325 (0.3 - 2.3%), and weeding (0.2 - 2.2%). Within-site variation in energy outputs was due to
326 management choices (i.e. which rotation cycle, which amount of irrigation, planting density
327 etc.). The annual bioelectricity produced from the harvested biomass varied from 51.7 to 64.2
328 GJ ha⁻¹ yr⁻¹ for the Boom site, and from 54.2 to 71.3 GJ ha⁻¹ yr⁻¹ for the Lochristi site,
329 depending on the scenario. Each 50 mm increase of irrigation came at the expense of around
330 5 GJ ha⁻¹ yr⁻¹ energy inputs. Scenarios with an irrigation of 200 mm consumed five times
331 more energy than scenarios without irrigation, but also resulted in increased biomass yields.
332 However, the increase in biomass energy production due to increased irrigation represented
333 only 10% of the increase in energy inputs.

334 Energy ratios decreased with rotation lengths at both sites, with shorter rotations having
335 higher energy ratios in all scenarios (Figure 6). Although shorter rotations required higher
336 energy inputs, mainly due to the more frequent harvests, they also yielded a higher biomass
337 production (thus higher energy production). Since the differences in biomass yield (i.e.,
338 energy output) between rotation lengths were always higher than the differences in energy
339 inputs for the production of SRC, shorter rotations had higher energy ratios (Figure 6). We

340 found, however, no impact of the planting density on the energy ratios. Overall our estimates
341 of energy ratios ranged from 2.3 to 12.1 depending on the site and management scenario
342 (Figure 6), indicating that these SRC-based bioelectricity systems were energetically viable.

343 The highest energy ratios were simulated for two-year rotations without irrigation. This
344 scenario had an energy ratio of 12, on average. As expected, variation in energy input due to
345 irrigation influenced the energy ratios more than any other parameter. Indeed, both sites
346 showed a substantial reduction in energy ratios when 50 mm yr⁻¹ water was added to the two-
347 years rotation scenario, compared to the same scenario without irrigation (Figure 6). The
348 energy ratios further dropped to 2.3 and 2.6 for the sites in Boom and Lochristi, respectively,
349 when 200 mm yr⁻¹ water was supplied to the two-year rotation scenario. Similar trends were
350 found for other rotation lengths. Both sites exhibited similar net energy balances, although
351 this balance was somewhat lower for the Boom site, because of the lower biomass production.
352 As with the energy ratios, the scenario with the highest net energy production included two
353 year rotations without irrigation (Figure 7; Table S2).

354 **4. Discussion**

355 **4.1 Land use change, biomass yields, carbon balance, water use, and energy balance**

356 Land use change is a key factor which influences soil organic carbon stocks as well as the life
357 cycle GHG emissions of bioenergy crops [37, 38]. Our model simulations suggested that land
358 conversion to SRC plantations reduced soil carbon over the lifetime of the SRC plantation
359 (i.e. 20 years). Our findings of decreased soil organic carbon stocks under SRC plantations are
360 consistent with published studies that all reported soil carbon losses following conversion
361 from cropland to SRC [39] and conversion of forest to SRC plantations [40-43]. However,
362 while our simulated soil carbon losses (1-1.5 Mg ha⁻¹yr⁻¹ depending on the site, Figure 3) were
363 within the estimates for the Lochristi site reported by [39], they were near the lower end of
364 the measured range. The reader must be informed that our simulated soil carbon losses may
365 be overestimated because our model was run to equilibrium assuming a deciduous forest
366 cover. In reality, the assessed SRC plantations were established on former cropland
367 (Lochristi) and on a municipal waste site (Boom).

368 The observed and simulated annual biomass yields (7.5 - 10.4 Mg ha⁻¹ yr⁻¹) across sites and
369 scenarios demonstrated that SRC can be grown on a wide range of soil types, including low
370 quality soils such as landfill sites [44]. The simulated biomass yields were consistent with
371 measured yields at both the Boom [18], and the Lochristi site [45]. They also agreed with the

372 simulated yields of SRC in United Kingdom (4.9 - 10.7 Mg ha⁻¹ yr⁻¹ [46]), Germany (2.6 –
373 16.3 Mg ha⁻¹ yr⁻¹ [47]), northern Europe and nearby countries (4.5 – 7 Mg ha⁻¹ yr⁻¹ [48]). SRC
374 trials without use of fertiliser in Canada showed higher biomass yields (16.9-18.1 Mg ha⁻¹ yr⁻¹
375 [49]) than in this study. The high yields found in these trials were attributed to high soil
376 quality, good soil drainage, use of improved genotypes, and absence of diseases. Our
377 simulation did not account for the improvement in plant breeding and genetic modification,
378 which can increase biomass productivity [50, 51].

379 With regard to the net carbon balance, the Boom site was a source of carbon to the
380 atmosphere, while the Lochristi site was a small sink of carbon to the atmosphere. The former
381 (i.e. Boom site) was established on a landfill covered by loamy soil. This causes a huge
382 disturbance, which rendered large amounts of physically protected soil organic matter
383 available for decomposition. Moreover, it can also not be excluded that organic waste in the
384 landfill might have produced large amounts of CO₂ and/or methane that subsequently
385 oxidized during its upward diffusion through the soil. The site in Lochristi had a sandy
386 texture. This inter-site difference shows that site characteristics play a crucial role, in our case
387 not in the biomass yield, but in the carbon balance and sustainability of SRC plantations. Soil
388 characteristics such as soil type and fertility can affect root biomass production and
389 distribution in the soil, which may impact on soil carbon stock [52]. Soil fertility may also
390 impact soil carbon storage, where fertile sites may have higher soil organic carbon stocks than
391 unfertile soils [53]. We limited our study to two Belgian sites; a more elaborate study
392 including more sites with a wider range of site conditions might identify which site
393 characteristics are best for SRC plantations in terms of biomass production, and net carbon
394 balance.

395 Differences in evapotranspiration between these two sites were due to differences in soil
396 properties and the microclimatic conditions. For instance, the SRC site in Lochristi had a
397 much sandier soil compared to that in Boom. The water holding capacity of sandy soil is low,
398 explaining the increased benefit of added irrigation at the Lochristi site. The benefit of added
399 irrigation on biomass production was, however, small, i.e. less than 0.5 Mg ha⁻¹ yr⁻¹ for the
400 Boom site and less than 1 Mg ha⁻¹ yr⁻¹ for the Lochristi site, which was probably attributable
401 to the temperate maritime climate in Belgium. These findings corroborated studies that
402 reported no or only limited effects of irrigation on SRC biomass yields [54], but also
403 contrasted with other studies in regions with a larger water deficit during the growing season,
404 which did find substantial increases in biomass yield due to irrigation [55-57]. Water use and
405 water use efficiency vary substantially among hybrid poplar clones [58, 59]. Therefore, in

406 water limited regions, clonal selection in addition to management are important strategies for
407 minimizing water use impacts of SRC. Given that rainfall is highly variable within and among
408 years, the use of average rainfall to estimate evapotranspiration – as in this study – does not
409 provide a complete understanding of the effects of SRC plantations on water use. The
410 computed annual evapotranspiration at both sites agreed with studies that observed low (325 –
411 481 mm [60-63]) or moderate (550 – 620 mm [61, 64, 65]) annual evapotranspiration for
412 SRC. It disagreed however, with studies that observed high annual evapotranspiration for both
413 non-fertilised (725 – 870 mm [65, 66]) and fertilised (755 – 2090 mm [65, 67-69]) SRC
414 plantations. It also refuted the perception that SRC consumes more water than *e.g.* forests
415 [70]. Differences in evapotranspiration estimates between studies in literature and ours can be
416 explained by site-specific factors such as local temperature and precipitation, soil types,
417 species of SRC, crops' age and use of fertiliser.

418 A proper combination of planting density and rotation length can increase biomass yields by
419 33% [71, 72]. Indeed, several studies have pointed to increases in biomass yields of SRC,
420 which are directly dependent on planting density [72-74]. However, in our study we did not
421 find any effects of planting density on SRC yields. The wide simulated range of planting
422 density produced similar biomass yields at both sites. This corroborated studies that reported
423 minor or no effects of planting density on biomass productivity of SRC [75-77]. Higher
424 planting densities may even decrease yields due to excessive mortality [78, 79]. With regard
425 to the rotation cycle, our results corroborated a UK study that found a two-year rotation cycle
426 as the optimal harvesting frequency for high yielding, high density planting of SRC [80].
427 However, high biomass yields have been associated to longer rotation cycle in other studies.
428 In Germany for instance, a SRC plantation harvested each seven-years was reported to have
429 higher biomass yields than a SRC plantation with a three-year cutting cycle [81], while
430 biomass yields were higher in SRC plantation with a three-year rotation cycle than a SRC
431 plantation with two-year rotation length in the USA [82]. These studies, however, did not
432 assess the effects of the cutting cycle on energy ratios. In Italy, one study reported higher
433 biomass yields and energy ratios for a triennial cutting cycle as compared to a biennial harvest
434 cycle [83], whereas another study found that SRC plantation with a longer rotation cycle (>
435 two-year rotation cycle) had higher biomass yields and energy ratios relative to SRC
436 plantation with a shorter cutting cycle (two years) [84].

437 Studies on effects of planting densities and/or rotation cycles on biomass yields and/or
438 energy ratios are inconclusive, with some showing increasing yields and high energy ratios,
439 and others showing decreasing yields and/or low energy ratios associated with higher planting

440 densities and/or longer rotation cycles. Such contradictions reinforce the belief that the
441 biomass yields (thus the energy yield) of SRC result from complex interactions between
442 different factors such as soil quality, planting density, management practices, pest/diseases,
443 and rotation length [85]. The high energy ratio obtained for two-year rotation cycle in this
444 study was explained by the combined effect of high biomass yields, absence of fertiliser
445 application, and absence of pest infestation, which compensated for the energy inputs incurred
446 by the increasing number of harvests for shorter rotation cycles.

447 Overall, all management scenarios showed energy ratios far larger than unity (2.3 – 12.1;
448 Figure 6), confirming literature findings that SRC-based electricity systems are efficient
449 energy systems for reducing reliance on fossil energy resources [86, 87]. This also placed
450 SRC-bioelectricity in slightly favourable position relative to photovoltaics (2- 4 [88]) and in
451 similar position relative to wind power (4 - 16 [88]). The computed energy ratios remained,
452 however, lower than the energy ratios of coal - (30 [88]), and natural gas - (28 [88]) fired
453 power without carbon capture and storage. Carbon capture and storage requires about 23-40%
454 additional energy consumption, depending on the power plants' efficiency [89]. Thus, in some
455 scenarios, SRC-based bioelectricity would even be competitive with natural gas and/or coal
456 power with carbon capture and storage. Estimates of energy ratios in this study were
457 consistent with the range of values reported in the literature [86]. Note that direct comparison
458 of estimates among studies is hampered by assumptions on yields, cutting cycles, conversion
459 efficiencies and system boundaries.

460 **4.2 Finding the optimal management**

461 When deciding on a general optimal management scenario, the focus should be on yield,
462 because this determines the income for the farmer. However, the site's water use, energy and
463 CO₂ balance should also be included in the decision-making algorithm (and eventual subsidy
464 system). Otherwise, farmers might adopt wasteful energy and water use practices that increase
465 biomass production at the expense of high energy inputs or high greenhouse gas emissions.
466 For example, we showed that in all simulated scenarios, energy ratios at both sites dropped
467 significantly when irrigation was added. This suggests that the additional water input (and
468 thus energy inputs) to the SRC system did not lead to substantial increase in biomass yields
469 (i.e. energy output), and was thus detrimental to the energy balance of the SRC-based
470 electricity system. Irrigation thus had limited effects on SRC biomass yields in Belgium
471 where there is enough precipitation. Our study aimed to find an optimal management, for
472 which the highest yield is attained at the minimal water use and best possible energy and CO₂

473 balance. Since the energy ratio tends towards lower energy values whereas the net energy
474 balance provides a net energy production value, the latter indicator was adopted for
475 identifying the optimal management for SRC-based electricity in this study. On the basis of
476 the net energy value, a two-year rotation cycle without irrigation was identified as the key
477 determinant of the optimal management scenario for SRC-based bioelectricity at both studied
478 sites. When the carbon balance was considered, a two-year rotation without irrigation was
479 optimal for the Boom site, while for the site in Lochristi a two-year rotation with 50 mm yr⁻¹
480 of irrigation was optimal. However, given that the added energy of the irrigation outweighs
481 the small net carbon gain realised by irrigation, we concluded that a two-year rotation cycle
482 without irrigation would be optimal for both sites.

483 Our identification of the optimal management scenario, however, was aimed at bioenergy
484 production. If the objective had been production of pulpwood, other important factors like the
485 bark, limbs and the foliage content of the harvested SRC biomass would have come into play.
486 The bark, limb and foliage content of SRC biomass decrease as the rotation length increase
487 [90, 91] and limited amounts of these organs in the wood products are desirable in SRC
488 biomass destined for pulpwood. However, when utilized as an energy crop, rotation length
489 does not need to be lengthened because bark, limbs, and foliage have negligible adverse
490 effects on SRC-based power, heat, or fuel production.

491 Economic factors also influence decision-making on the optimal management scheme. The
492 cultivation of SRC requires high initial investments related to establishment costs [92].
493 Financial returns occur at each cutting event, and the financially most optimal rotation length
494 therefore shortens as interest rates increase. On the other hand, harvest costs also occur more
495 regularly with shorter rotation cycles and may be as important as the stand establishment
496 costs. We thus caution against generalisation of the results of this study.

497 **5. Conclusion**

498 Under Belgian conditions of mild temperatures and sufficient rainfall during the growing
499 season the optimal SRC management for power or heat production does not involve irrigation
500 and has two-year rotation cycles. In this scenario the farmer achieves almost the highest
501 biomass yield, and especially the highest benefit for the environment in terms of net energy
502 and carbon balance. The analyses of energy ratio under different management options
503 revealed that increases in energy inputs not necessarily yield equivalent increases in energy
504 outputs. From the energy and carbon balance point of view, this means that high energy-input
505 systems may generate more bioenergy outputs, but that the gains may not compensate for the
506 increased energy inputs. Given that the agricultural stage accounted for the largest energy

507 share in the production chain, a reduction in energy consumption per unit of bioenergy output
508 must necessarily come about through a lowering of energy consumption in this phase.

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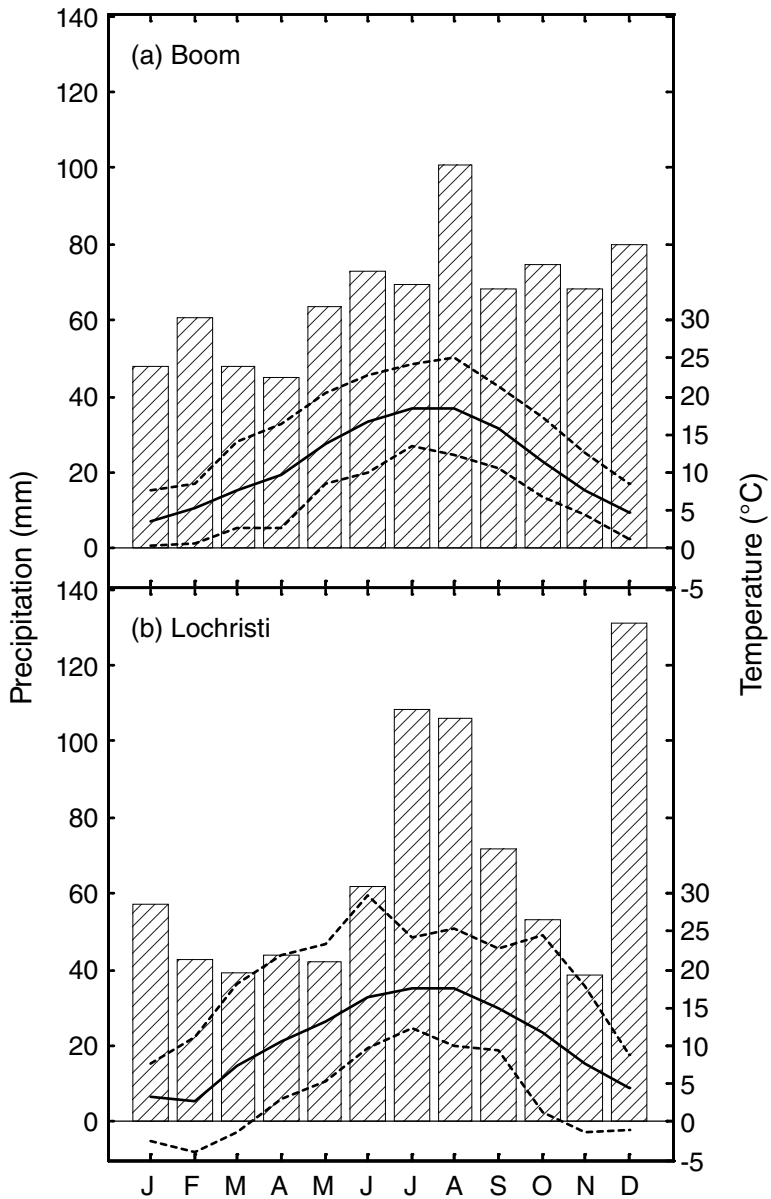
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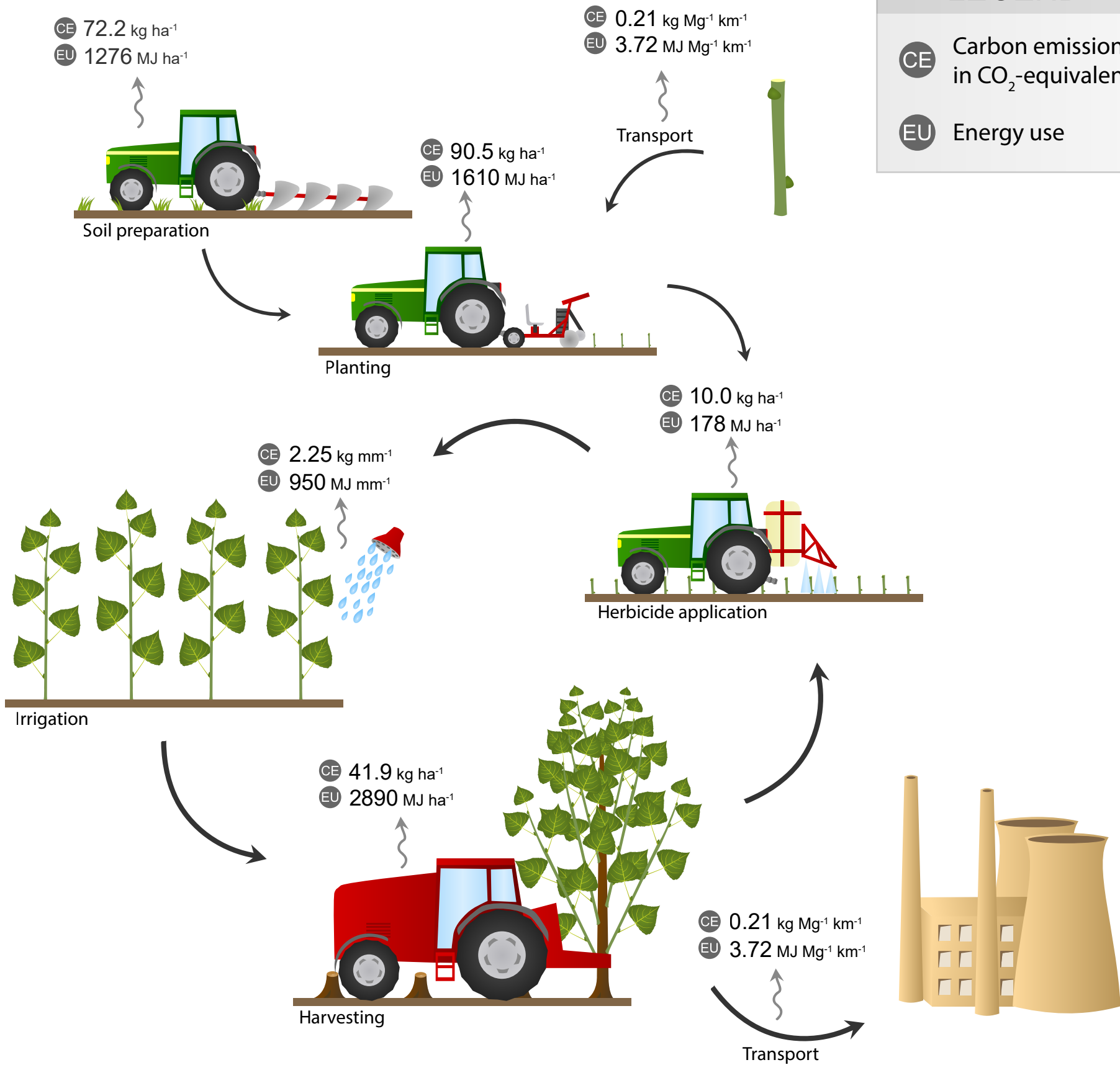
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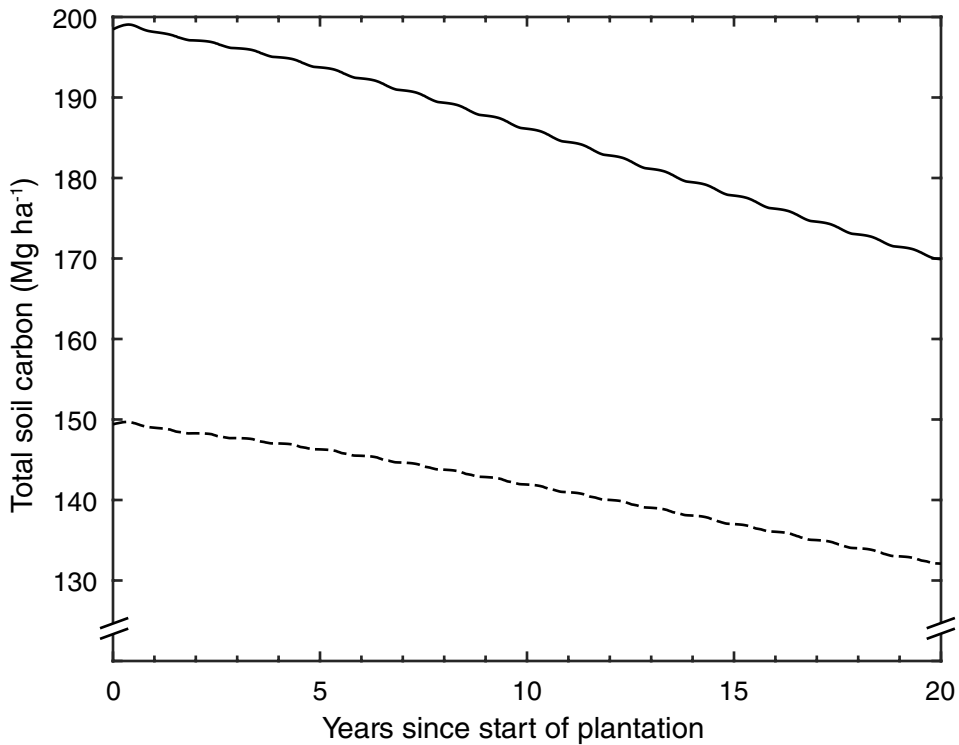


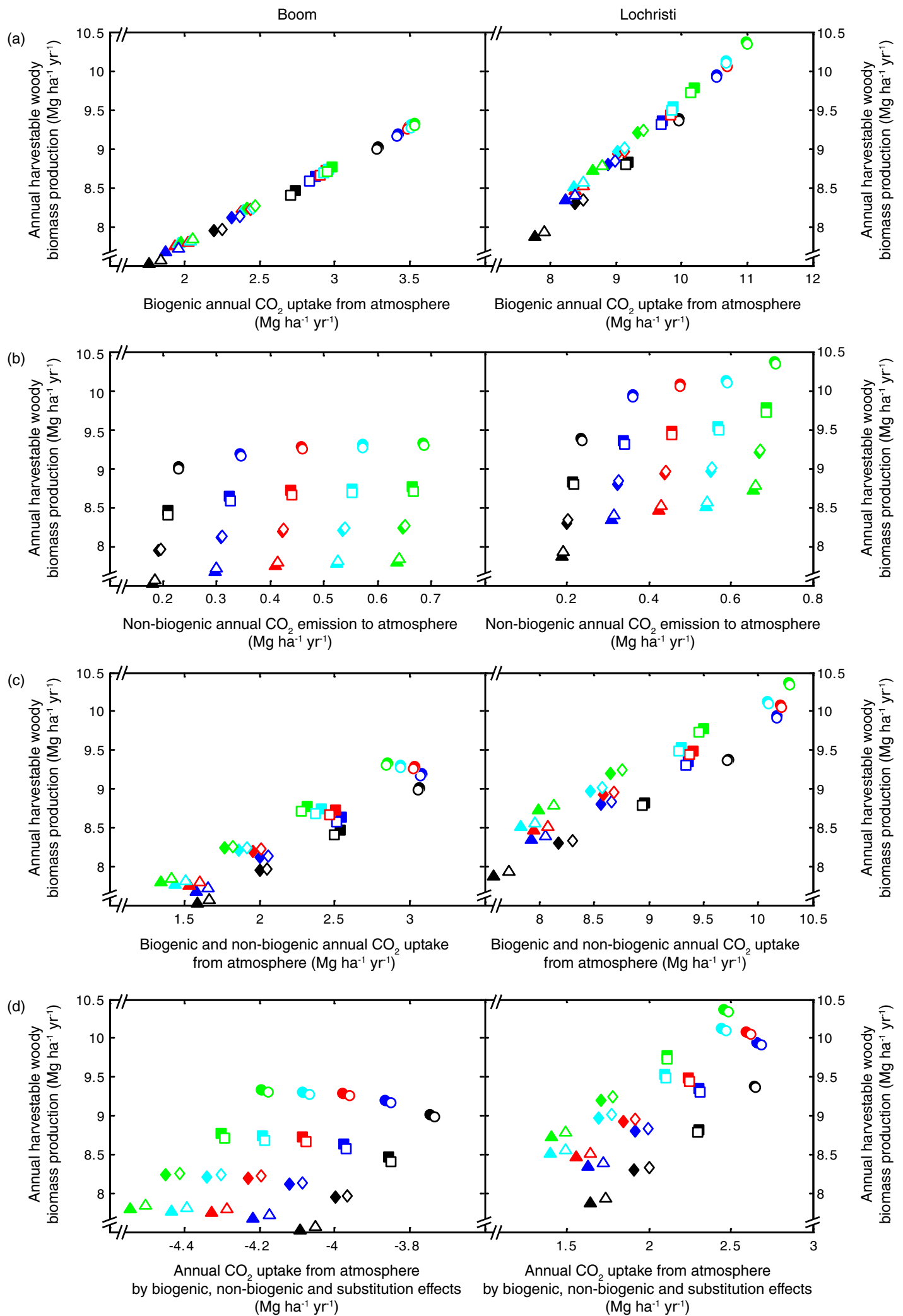
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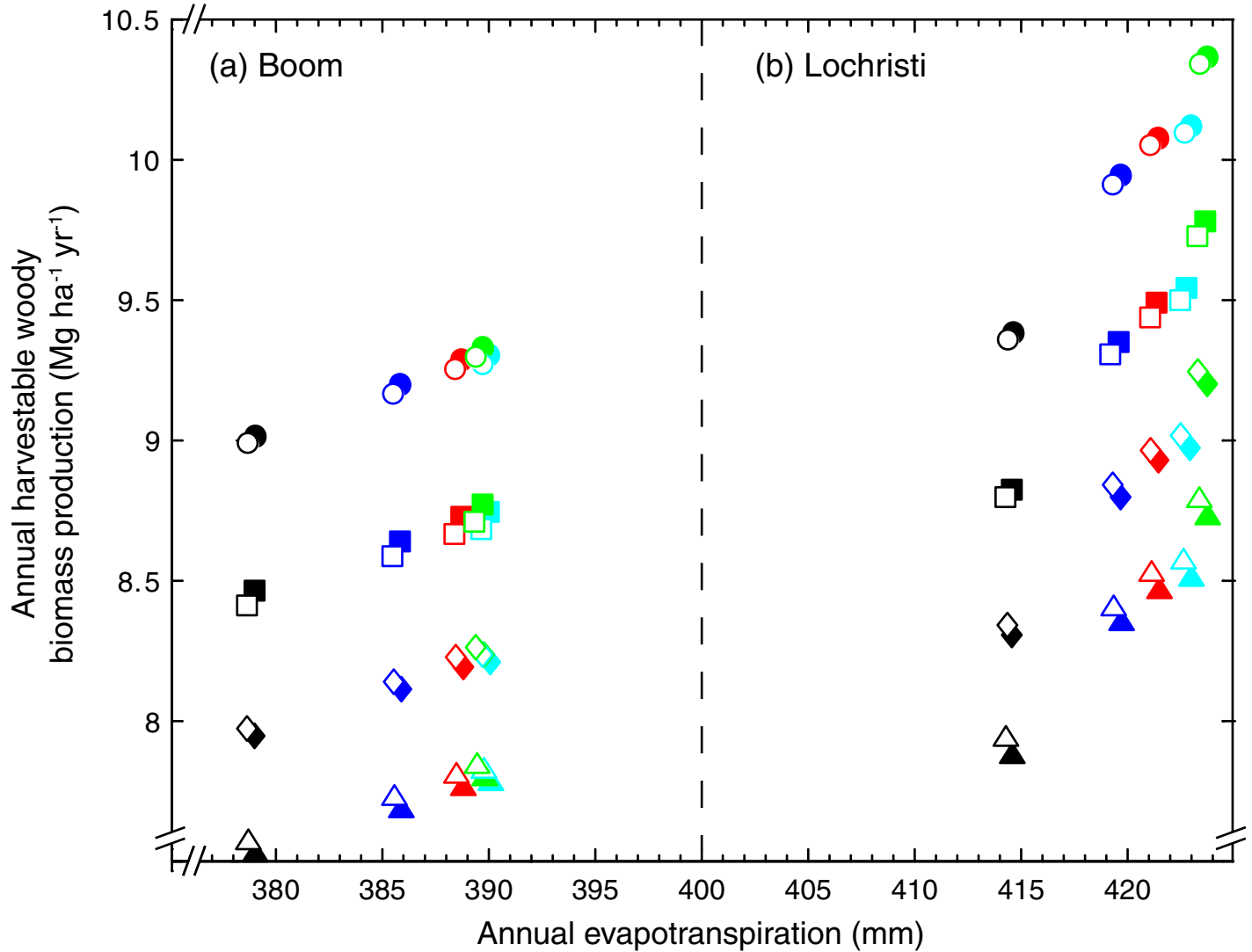
CE Carbon emissions in CO₂-equivalent

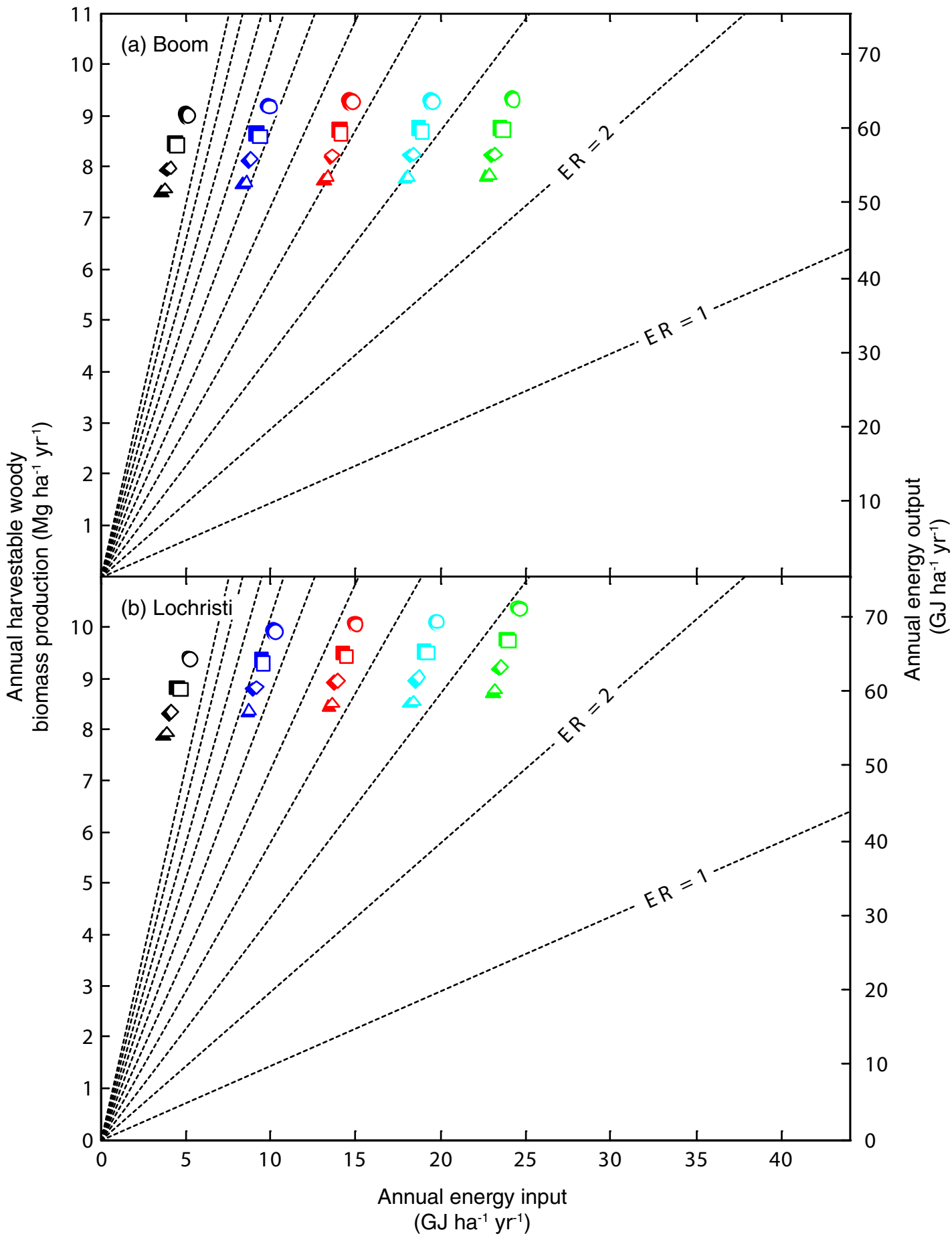
EU Energy use











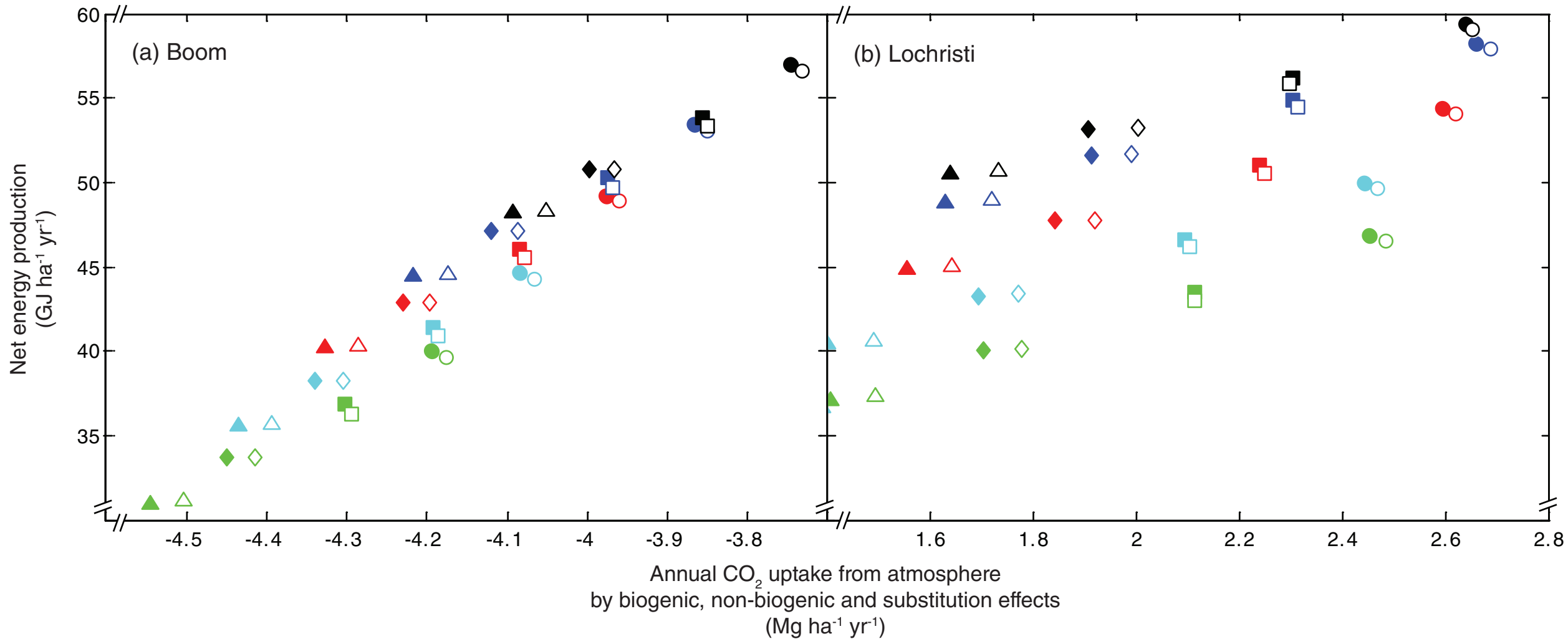


Figure 1: Climograph of (a) the Boom site and (b) the Lochristi site. The bars show the monthly averages precipitations, the solid lines represent the monthly averages temperatures while the dashed lines show the maximum and minimum monthly temperatures at both sites: Boom (1996-2007), Lochristi (2010-2012).

Figure 2: Visualization of the management actions and the specific energy use and CO₂ emissions of the different equipment used to carry out activities that were considered in the selection of the optimal management scenario.

Figure 3: Soil carbon stock of the SRC plantation at the Boom site (solid line) and the Lochristi site (solid line). The shown scenario has the following characteristics: density = 5000 cuttings ha⁻¹; rotation length = 2 years; no establishment cut; no irrigation.

Figure 4: The CO₂ emissions and uptake by the plantation. This graph compares the average annual harvestable woody biomass production to (a) the modelled biogenic annual CO₂ uptake from the atmosphere (= NEP), (b) the calculated non-biogenic annual CO₂ emissions to the atmosphere from the management activities, (c) the combined on and non-biogenic CO₂ uptake from the atmosphere and (d) the combined on and non-biogenic CO₂ uptake with addition of the addition of the energy substitution effect. The different management scenarios are shown as different symbols.

rotation length: ● = 2 yr, ■ = 3 yr, ◆ = 4 yr, ▲ = 5 yr

establishment year: open symbol = no establishment year cut, filled symbol = establishment year cut

irrigation: black = no irrigation, blue = 50 mm yr⁻¹, red = 100 mm yr⁻¹, cyan = 150 mm yr⁻¹, green = 200 mm yr⁻¹

plantation density: larger symbol = higher planting density.

Figure 5: The annual evapotranspiration by the plantations: (a) Boom site, (b) Lochristi site.

This graph compares the average annual harvestable woody biomass production to the annual evapotranspiration of the plantations. The different management scenarios are shown as different symbols.

rotation length: ● = 2 yr, ■ = 3 yr, ◆ = 4 yr, ▲ = 5 yr

establishment year: open symbol = no establishment year cut, filled symbol = establishment year cut

irrigation: black = no irrigation, blue = 50 mm yr⁻¹, red = 100 mm yr⁻¹, cyan = 150 mm yr⁻¹, green = 200 mm yr⁻¹

plantation density: larger symbol = higher planting density.

Figure 6: The annual energy input into the plantations and annual harvestable biomass at the Boom site (a) and the Lochristi site (b). This graph compares the average annual harvestable woody biomass production to the average annual energy input into the plantations. The dotted lines represents the energy ratios (ER) of 1 to 10. For an energy ratio of 1, the energy input equals the energy output, for an energy ratio of 10, the energy output is ten times higher than the energy input. The different management scenarios are shown as different symbols.

rotation length: ● = 2 yr, ■ = 3 yr, ◆ = 4 yr, ▲ = 5 yr

establishment year: open symbol = no establishment year cut, filled symbol = establishment year cut

irrigation: black = no irrigation, blue = 50 mm yr⁻¹, red = 100 mm yr⁻¹, cyan = 150 mm yr⁻¹, green = 200 mm yr⁻¹

plantation density: larger symbol = higher planting density.

Figure 7: A comparison of the annual net energy balance and the annual CO₂ uptake from the atmosphere, including biogenic, non-biogenic and substitution effects for the Boom site (a) and the Lochristi site (b). The net energy balance is difference between the energy input and the energy output. The different management scenarios are shown as different symbols.

rotation length: ● = 2 yr, ■ = 3 yr, ◆ = 4 yr, ▲ = 5 yr

establishment year: open symbol = no establishment year cut, filled symbol = establishment year cut

irrigation: black = no irrigation, blue = 50 mm yr⁻¹, red = 100 mm yr⁻¹, cyan = 150 mm yr⁻¹, green = 200 mm yr⁻¹

plantation density: larger symbol = higher planting density.

Table S1: Carbon balances for short rotation coppice at Boom and Lochristi sites:
planting density 5000 cuttings ha⁻¹, no establishment year cut

Rotation length	Boom Site			Lochristi Site		
	2 yr			2 yr		
Irrigation	0 mm	100 mm	200 mm	0 mm	100 mm	200 mm
<i>CO₂ emissions (kg ha⁻¹ yr⁻¹)</i>						
Transport cuttings	1	1	1	1	1	1
Soil preparation	4	4	4	4	4	4
Planting	5	5	5	5	5	5
Weeding	5	5	5	5	5	5
Irrigating	0	225	450	0	225	450
Harvesting	21	21	21	21	21	21
Tr. To power plant	192	198	199	200	215	221
BM conversion to el.	16527	17020	17102	17199	18480	19001
Total CO₂ emissions	16754	17477	17786	17433	18955	19707
<i>CO₂ uptake (kg ha⁻¹ yr⁻¹)</i>						
Biogenic uptake	3289	3491	3534	9962	10684	10989
Substitution effects	9720	10009	10058	10114	10868	11175
Total CO₂ uptake	13009	13500	13592	20076	21552	22163
Total CO₂ balance	-3745	-3977	-4194	2643	2598	2456

Tr = transport, BM= biomass, el. = electricity, yr = year

Table S2: Energy inputs and outputs for short rotation coppice at Boom and Lochristi sites: planting density 5000 cuttings ha⁻¹, no establishment year cut

Rotation length	Boom Site			Lochristi Site		
	2 yr			2 yr		
Irrigation	0 mm	100 mm	200 mm	0 mm	100 mm	200 mm
<i>Energy input (MJ ha⁻¹ yr⁻¹)</i>						
Transport cuttings	1	1	1	1	1	1
Soil preparation	64	64	64	64	64	64
Planting	81	81	81	81	81	81
Weeding	89	89	89	89	89	89
Irrigating	0	9500	19000	0	9500	19000
Harvesting	1445	1445	1445	1445	1445	1445
Tr. To power plant	3352	3452	3469	3488	3748	3854
Total energy input	5032	14632	24149	5168	14928	24534
<i>Energy output (MJ ha⁻¹ yr⁻¹)</i>						
BM conversion to el.	61482	63314	63621	63979	68746	70685
Total energy output	61482	63314	63621	63979	68746	70685
Energy ratio	12.2	4.3	2.6	12.4	4.6	4.7
Net energy production	56450	48682	39472	58811	53818	46151

Tr = transport, BM= biomass, el. = electricity, yr = year