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1	Perfluoroalkyl acids (PFAAs)
2	concentrations and oxidative status in two generations of great tits inhabiting
3	a contamination hotspot
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18	Abstract

19 The ubiquity of perfluoroalkyl acids (PFAAs) contrasts with the limited information about their

20 effects. We report here PFAA plasma concentrations in wild populations of great tits (*Parus major*)

settled at and in the vicinity of a fluorochemical plant in Antwerp (Belgium). Using two

22 generations we obtained novel results on some poorly known issues such as differences between

23 sexes, maternal transfer of the compounds and potential associations with the oxidative status. For

five out of the 13 detected PFAAs, the concentrations were the highest ever reported in birds'

25 plasma, which confirms that Antwerp is one of the main hotspots for PFAAs pollution. Contrary

to other studies conducted in birds, we found that females presented higher mean concentrations

and detection frequencies for two compounds (perfluorooctane sulfonic acid (PFOS) and perfluoroundecanoic acid (PFUnDA)) than males. Maternal transfer and the dietary intake appear to be the main route of exposure for nestlings to PFOS but not to other compounds. Finally, PFAA concentrations tended to correlate positively with protein damage in adult birds while in nestlings they positively correlated with higher activity of antioxidant enzymes (glutathione peroxidase and catalase). Experimental work is needed to confirm oxidative stress as a pathway for the pernicious effects of PFAAs.

- 34 Keywords: Perfluorinated compounds, sex differences, maternal transfer, oxidative stress,
- 35 Antwerp.
- 36
- 37

38 Introduction

Perfluoroalkyl acids (PFAAs) are highly persistent substances produced and extensively used for more than six decades. Historically, long chain (LC) perfluoroalkyl carboxylic acids (PFCAs; with ≥ 7 perfluorinated carbons) and perfluoroalkyl sulfonic acids (PFSAs; with ≥ 6 perfluorinated carbons) have been the most used ones, concretely perfluorooctanoic acid (PFOA, C₇F₁₅COOH) and perfluorooctane sulfonic acid (PFOS, C₈F₁₇SO₃H). The widespread use of these PFAAs, together with their persistence and bioaccumulation potential, has resulted in a global contamination of the environment, wildlife and humans¹⁻⁴.

Since 2000, the widespread distribution and potential health effects of LC-PFAAs (reviewed by 46 OECD⁵), led the industry and regulators to take action by reducing the use and the release of these 47 48 compounds. In 2002, the 3M company voluntarily phased out the production of PFOS and in 2009 PFOS and related substances were listed under Annex B (restriction of production and use) of the 49 Stockholm Convention on Persistent Organic Pollutants. Other LC-PFCAs and PFSAs have been 50 recently included in the Candidate List of Substances of Very High Concern for Authorization 51 under the European Chemicals Regulation (REACH⁶). Due to these actions, a transition is taking 52 place in the industry to replace LC-PFAAs with short chain (SC) PFAAs and polyfluorinated 53 substitute compounds^{7,8}. However, but for many of these alternatives, information on actual 54 releases and exposures is missing. Moreover, their risks and potential toxicity to various biota 55 remains largely unexplored⁷⁻¹⁰. 56

57 Previous studies on the bioaccumulation and effects in birds¹¹⁻¹⁶ have been conducted near the 3M 58 fluorochemical plant in Antwerp using great tits and blue tits (*Cyanistes caeruleus*), lapwing 59 (*Vanellus vanellus*) and the Mediterranean gull (*Larus melanocephalus*). These studies have 60 revealed the highest PFOS concentrations ever found in wildlife (e.g. mean concentration of 48056

ng/g found in the eggs of great tits breeding at the fluorochemical plant¹⁵). Furthermore, 61 concentrations of other PFSA such as perfluorodecane sulfonic acid (PFDS) and perflurohexane 62 sulfonic acid (PFHxS) and concentrations of PFOA were also the highest reported in bird eggs^{13,15}. 63 Previous studies performed in birds described negative effects of PFAAs on reproduction^{15,17}, 64 chick survival¹⁷ and the immune system^{18,19}. The oxidative status of individuals could be used as 65 66 an indicator of the pernicious effects of PFAAs²⁰. Immune system cells or sperm cells are vulnerable targets to the oxidative damage produced by many pollutants²¹. Furthermore, organisms 67 might need to use dietary antioxidants to deal with oxidative stress (OS), which causes an 68 imbalance of the trade-off in the allocation of these substances among physiological functions (e.g. 69 reproduction, sexual signalling 21,22). Therefore, studying the oxidative status is a key element in 70 71 toxicological studies. Nevertheless, not much is known about PFAAs effects on birds' antioxidant system. The study of the transcriptional response of chicken hepatocytes exposed to PFOS pointed 72 to OS as a cause of gene alteration²³. Similarly, wild common cormorants (*Phalacrocorax carbo*) 73 74 livers, naturally exposed to PFAAs, presented an altered transcriptional response of genes involved in the antioxidant system²⁴. Despite this, a study performed in white-tailed eagle (*Haliaeetus* 75 albicilla) nestlings, did not find any relationship between PFAA concentrations and the activity of 76 the antioxidant enzyme superoxide dismutase (SOD) in $plasma^{25}$. 77

In the present study we examined plasma concentrations and the composition profile of fifteen PFAAs (11 PFCAs and 4 PFSAs) in wild populations of great tits (*Parus major*) settled along a distance gradient of 11 km from an active fluorochemical plant in Antwerp (Belgium). We studied differences in PFAA concentrations and composition profile along the gradient. We also examined the association between the measured PFAAs concentrations and the body condition and the OS status of the birds. Moreover, we sampled adult birds, their eggs and their nestlings, which enabled us to explore the maternal transfer of PFAAs to the offspring^{17,26}. The outcome of this study will
reveal the current exposure status of wildlife to PFAAs in one of the main hotspots in the world.
It will also improve our understanding of OS as a potential underlying mechanism for pernicious
effects of PFAAs and predicting the exposure consequences for wild bird populations.

88 2. Material and methods

89 2.1. Sample collection

Nestboxes were placed during autumn of 2015 at five sampling sites, representing a distance
gradient from a fluorochemical plant in Antwerp (Figure S1). These sites were the fluorochemical
plant (25 nestboxes), Vlietbos (22 nestboxes; 1 km SE from the plant), Rot-Middenvijver (shortly
Rot; 18 nestboxes; 2.3 km ESE from the plant), Burchtse Weel (19 nestboxes; 3 km SE from the
plant) and Fort 4 in Mortsel (31 nestboxes; 11 km SE from the plant).

The first blood sampling period was performed before the start of the breeding season between the 95 8th of February and the 9th of March of 2016. During this period, all nestboxes were visited after 96 sunset and roosting birds were captured. Captured birds were ringed (if not already ringed), tarsus 97 length and body mass were measured and age (yearlings versus older) and sex were determined 98 following Svensson²⁷. Body condition was calculated according to the scaled mass index²⁸. We 99 also took a blood sample (maximum 150 µL) from the brachial vein using microhaematocrit 100 heparinized capillary tubes (Microvette®). These samples were kept refrigerated and centrifuged 101 at $10,000 \times g$ for 10 min at 4°C to separate plasma from the red blood cells (RBC), which were 102 stored separately at -80°C for later analysis. The number of sampled birds was 79 (between 13 and 103 18 per location). 104

From just before egg laying until incubation, nestboxes were checked every other day or daily to 105 determine the start of the egg-laying period. From each nest, the third egg was collected before the 106 incubation started. Later, in the nestling period, the second blood sampling was performed, in May 107 and June 2016. When nestlings were 10 days old, parents (mostly the female) were captured inside 108 the nestbox, using a trap door in the entrance hole, and we proceeded as was explained above. In 109 110 this way we sampled 60 birds (45 females and 15 males). Finally, when nestlings were 14 days old, all nestlings in each nest were ringed, measured (tarsus length and body mass) and a blood 111 sample was taken. A total of 441 nestlings from 79 nests were sampled, from which 179 samples 112 were selected for PFAAs and OS parameters analyses: 1) we selected 2 nestlings per nest (the 113 lightest and the heaviest); 2) we selected one complete brood per site. A small portion of nestlings' 114 RBC ($\approx 1\mu L$) was used to determine the sex genetically following the method described by 115 Griffiths et al.²⁹ with minor modifications³⁰. 116

117 Due to great tits being highly resident with birds staying close to or in their breeding area during 118 the winter, we have repeated measurements from 18 individuals (birds sampled both in winter and 119 in the nestling period).

120 2.2. PFAAs analysis in plasma

The used abbreviations for PFAA compounds are according to Buck et al.³¹(Table S1). Eleven PFCAs (PFBA, PFPeA, PFHxA, PFHpA, PFOA, PFNA, PFDA, PFUnDA, PFDoDA, PFDTrDA and PFTeDA) and 4 PFSAs (PFBS, PFHxS, PFOS and PFDS) were selected as target analytes. A mixture of isotopically mass-labelled internal standards (ISTDs) were used (supplementary material).

126 Sample extraction

Samples were extracted by using a solid-phase extraction technique, which is based on the chemical principle of weak-anion exchange described by Groffen et al.¹⁵ (supplementary material). Briefly, 80 μ L of isotopically mass-labelled internal standard mixture and 10 μ L of acetonitrile was added to each sample (10 μ L of plasma / ~0.4g of homogenized egg). After sonication, samples were left overnight on a shaking plate. After centrifugation the supernatant was transferred into a 14 mL tube and loaded on HR-XAW columns.

133 UPLC-TQD analysis

UPLC coupled tandem ES(-) mass spectrometry (ACQUITY, TQD, Waters, Milford, MA, USA)
was used to analyse PFAAs.

Individual LOQs of the detected compounds are shown in Table S2 (Table S3 those compounds with detection frequency < 20%). Based on the ratio of the mean ISTD area of the sample over the area of a blank ISTD solution, recoveries were determined. PFBS and PFHxS recoveries were too low and therefore they were excluded from further analysis. Further details about the analysis conditions, the calibration method and the quality assurance can be found in the supplementary material and in Groffen et al.¹⁵.

142 2.3. Antioxidant and oxidative stress parameters measurement in red blood cells

Total antioxidant capacity (TAC) was estimated by ferric ion reducing antioxidant power (FRAP) assay³². Reduced and total glutathione (GSH) was detected using a reversed-phase HPLC of Shimadzu (Shimadzu, 's Hertogenbosch, The Netherlands³³). The ratio between GSH and the oxidized form (GSSG) was used as an index of redox state³⁴. Superoxide dismutase (SOD), catalase (CAT) and glutathione peroxidase (GPX) were determined by homogenizing haemolysates of red blood cells of 0.01 M PBS buffer (pH 7.4) contained 1.15% KCl and 0.02 M EDTA³⁵. SOD, CAT and GPX activities were determined by measuring the decrease in nitroblue tetrazolium (NBT) reduction³⁶, H₂O₂³⁷ and NADPH contents³⁸, respectively. Protein carbonyls as oxidative damage markers was measured using Protein Carbonyl Colorimetric Assay Kit by Cayman Chemical's (Ann Arbor, MI, USA; see also Levine et al.³⁹). All above-mentioned parameters have previously been successfully quantified in the great tit⁴⁰. Detailed protocols can be found in the supplementary material.

155 *2.4. Statistical analysis*

To perform statistical analyses we used JMP Pro 14. In each location, we only considered those compounds with detection frequency \geq 50%, values below LOQ were replaced by LOQ/2^{17,41}. All PFAA concentrations were log transformed to obtain a normal distribution. Temporal data from adult birds were pooled together (adults from both the late winter and the spring) and analysed separately from nestlings' data. To study the maternal transfer we used a database that included data from mothers captured in the nestling period (spring) and data from their eggs and their offspring.

We performed linear mixed models to compare the concentrations of the different PFAAs and 163 levels of different oxidative stress parameters among locations. For adult birds, we included the 164 location, sex and age of the bird, the sampling period and the interactions between them as factors 165 and we followed a backward elimination. We included bird identity (determined by ring number) 166 as a random effect. To calculate the mean, median, range and detection frequency values of PFAA 167 compounds in adults in each sampling site (Table S2), each bird was only considered once (the 168 winter measurement in case the bird was captured both in winter and in spring). For nestlings, we 169 170 included nest identity (determined by nestbox number) as a random effect and we included clutch size as a factor in the models. To compare the detection frequency of the different PFAAs among 171

locations we performed a Generalized Linear Model (GLMz) with binomial distribution and we 172 proceeded as for the concentrations but only including each bird once. When significant results 173 were found (p < 0.05) post-hoc analyses (Tuckey test) were conducted for pairwise comparison. To 174 compare the distribution of single PFAA compounds in the mothers, their eggs and the offspring, 175 data were treated using methods of survival analyses for left-censored data, i.e. reverse Kaplan-176 Meier method^{42,43}. To compare Σ PFAA and Σ PFCA concentrations and to test for effects of the 177 body condition on PFAA concentrations we used an ANOVA analysis including the type of sample 178 as a factor and the body condition as covariate. Relationship between compound concentrations in 179 180 each location and relationships between mothers', eggs' and nestlings' concentrations were investigated using Spearman's correlation test. 181

To study the relationship between PFAAs and OS parameters or body condition, firstly, in order 182 to reduce the number of covariates and to account for collinearity among them, we conducted 183 Principal Component Analysis (PCA). In this analysis we included all those compounds with a 184 detection frequency $\geq 20\%$ (7 compounds for adults and 4 compounds for nestlings; Table S4), for 185 these compounds values below LOQ were replaced by LOQ/2. The number of significant principal 186 components was selected according to the Kaiser criterion (i.e. eigenvalue higher than 1⁴⁴). Two 187 188 Principal Components (PCs) were selected for adults (hereafter adults-PC1 and adults-PC2) and one for nestlings (hereafter nestlings-PC1). Each compound loading and variance explained by 189 each PC are shown in Table S4. Adults-PC1 explained 62% of the variance and adults-PC2 190 191 explained a further 19%. Nestlings-PC1 explained 73% of the variance.

We performed linear mixed models for each OS parameter and for the body condition of the birds.
OS parameters were log transformed to obtain a normal distribution when necessary. For adults,
the age and sex of the bird, the season, the PCs and the interactions between them were included

as explanatory variables and followed a backward elimination, while including the ring number as
a random effect. For nestlings we proceeded as for adults but including the nestbox number as
random effect.

198 **3. Results**

199 3.1. *PFAA concentrations, detection frequencies, correlations and profiles in adult birds*

PFOS concentration in the plasma of adult birds decreased significantly with the distance from the plant (all p<0.001;Figure 1, Table S2). PFDoDA concentration at the plant site was significantly higher than at the other sites (p<0.001). For PFOA there is a season-dependent site effect (site*season interaction p=0.05), with significantly higher concentrations at the plant (p<0.0001) but only in winter. There was not significant difference between sites for PFUnDA (p>0.13; Figure 1).

For PFOS (p=0.01) and PFUnDA (p=0.02), females had significantly higher concentrations than males (Figure S2). This sex effect was independent of the sampling site (site*sex interactions p>0.09) but it was more apparent at 3M where mean concentrations (±SE) were (females / males): PFOS 94153 ± 33531 / 46337±17596 pg/uL, PFUnDA 21.3 ± 6.2 / 12.3 ± 4.1 pg/uL. The age of the birds did not affect the concentrations of PFAAs.

Differences between periods were found for PFOA and PFDoDA (all p<0.01). Concentrations (mean \pm SE) were higher in winter for PFOA (winter=60.2 \pm 4.2 pg/uL, spring=42.2 \pm 5.2 pg/uL; these differences were significant in Vlietbos and Fort 4 (both p \leq 0.003)) whereas for PFDoDA higher concentrations were found in spring (winter=6.1 \pm 1.2 pg/uL, spring=9.6 \pm 2.9 pg/uL) regardless of the sampling site (site*season interactions p=0.54).

216 Regarding the detection frequencies of the different PFAA compounds (Table S2), PFOA was

found above it LOQ at 99% of the samples. PFOS was detected above its LOQ at 72% of the

samples. Significant differences existed in the detection frequency of PFOS among locations 218 (p<0.0001) appearing less frequently at Burchtse Weel (60%) than at the plant and Vlietbos 219 (100%), and at Fort 4 (25%) than at all the other locations. PFOS detection frequency was higher 220 in females (80.0%) than in males (62.3%; p=0.03) at all sampling sites (site*sex interaction 221 p=0.85). PFUnDA overall detection frequency was 48% and significant differences existed among 222 223 locations ($p \le 0.01$) with lower detection frequency at Burchtse Weel (32%) and Fort 4 (26%) than at Vlietbos (62%) and Rot (70%) and similar than at the plant (50%). PFUnDA appeared more 224 often in female (61%) than in male (32%) birds (p=0.001) regardless of the sampling site (site*sex 225 226 interaction p=0.38). PFDoDA overall detection frequency was 63% with no significant differences among locations. PFDoDA was detectable more often (p=0.001) in spring (80%) than in winter 227 (52%). Detection frequencies of PFNA (overall 27%), PFDA (overall 24%) and PFDTrDA 228 (overall 33%) were only at the plant site \geq 50% (70, 50 and 55% respectively). 229 An overview of the correlations found among compounds (with a detection frequency of $\geq 50\%$) 230 at the different locations is given in Table S5. When a compound was < LOQ it was substituted by 231 LOQ/2. Almost all the compounds were correlated with each other at the plant site except for the 232 following pairs: PFOA/PFUdA, PFOA/PFDTrDA, PFNA/PFDTrDA and PFDA/PFTrA. By 233 234 contrast, no correlations were found for Vlietbos. At Rot PFDoDA was significantly correlated

with PFUnDA and PFOS and at Burchtse Weel PFOA and PFOS were significantly correlated.

The PFAAs profile was clearly dominated by PFOS at the plant (93% of the PFAAs) but this

percentage decreased with the distance from the plant to only 30% at Fort 4. On the other hand,

the contribution of PFOA to the total of PFAAs increased from 1% at the plant to 41% at Fort 4.

The PFCAs profile was dominated by PFOA at all the locations (from 46 to 58%) followed by

240 PFDA at the plant site and by PFUnDA at the other locations (Table S2).

For PFHpA and PFDS, concentrations in all samples were below the LOQ (7.4 and 5.1 pg/ μ L respectively). Moreover, values above the LOQ were only detected in four samples for PFHxA (range 8.5 – 9.7 pg/ μ L), five samples for PFBA (9.4 – 133.2 pg/ μ L), seven samples for PFTeDA (1.4 – 2.4 pg/ μ L) and 15 samples for PFPeA (52 – 202 pg/ μ L). LOQ and detection frequencies of these compounds in each sampling site are shown in Table S3.

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247 3.2. Spatial PFAAs contamination in nestlings

248 Mean concentrations of PFAAs found in nestlings are shown in Figure 1 (median concentrations,

249 LOQs, ranges and detection frequencies shown in Table S6).

Significantly different concentrations were found among locations for all compounds (all p<0.000; 250 Figure 1) and post hoc analysis revealed that differences occurred between the plant and all the 251 other locations. No significant differences in concentrations of PFAAs between sexes were found 252 (all p>0.185). Clutch size did not have a significant effect on nestling concentrations (all p>0.08). 253 254 For PFOS, among nests, concentrations varied up to 58-fold at the plant, 143-fold at Vlietbos, 9fold at Rot, 11-fold at Burchtse Weel and 6 fold at Fort 4. For SPFCAs, concentrations varied up 255 to 15 fold at the plant and around 3-fold at the other locations. The maximum variation of PFOS 256 257 concentrations within nests was similar at the plant, Vlietbos and Burchtse Weel (around 4-fold) and slightly higher at Rot (7-fold) and at Fort 4 (6 fold). For Σ PFCAs, the maximum variation 258 within nest was around 3-fold at the plant site and Vlietbos and around 2-fold at the other locations. 259 PFOA (LOQ: 2.9 pg/ml) was detected in all samples whereas PFOS (LOQ: 46.6 pg/ml), PFDoDA 260 (LOQ: 1.8 pg/ml) and PFBA (LOQ: 6.5 pg/ml) were detected in 61%, 34% and 20% of the 261 samples, respectively. Differences exist in the detection frequencies of these compounds among 262 locations (all p<0.001; Table S6). The detection frequency of PFOS decreased with the distance 263

from the plant because many samples fell below the LOQ. Like in adults, PFOS appeared more 264 often in females than in males (p=0.014), mainly due to the differences found at Rot (p=0.005). 265 All the studied compounds in nestlings from the plant were correlated with each other (Table S7). 266 PFOA was correlated with PFOS at Vlietbos but not at Rot. 267 PFOS dominated the PFAAs profile at the plant (98%) and at Vlietbos (70%) but at Rot it 268 269 represented only 47% of the Σ PFAAs, exactly the same as PFOA. PFOS ratio decreased in farther locations where PFOA was the dominant compound. Regarding the PFCAs profile, PFOA was the 270 dominant compound at all the locations (ratio range from 81 to 91%). 271 PFPeA, PFHxA, PFHpA, and PFDS concentrations were below their respective LOQ in all the 272 samples. For PFNA we found 16 samples with concentrations above the LOQ (range 4.70 - 18.4 273 $pg/\mu L$), with 15 of these samples belonging to nestlings sampled at the plant. Moreover, these 274 nestlings belonged only to 8 nestboxes (out of 14 with nestlings at the plant). Similarly, for 275 PFDTrDA we found 11 samples above the LOQ (2.92 - 12.1 $pg/\mu L$), most of them at the plant 276 site. We found 10 samples above the LOQ for PFDA (6.9 - 14.7 pg/µL) and PFUnDA (8.6 - 24.7 277 $pg/\mu L$). Finally, we found three samples with concentrations above the LOQ of PFTeDA (1.7 - 6.7 278 pg/uL): LOO and detection frequencies of these compounds in each sampling site are shown in 279 Table S8. 280

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282 3.3. Relationship between mothers, eggs and offsprings concentrations

Concentrations in females plasma in spring (mothers), and in the plasma of offspring (the heaviest and the lightest nestlings in the nest) were compared (Table S9, Figure S3). We only compared those compounds with a detection frequency \geq 50% in at least one of the sampling sites (i.e. PFOA, PFDoDA and PFOS). PFOA concentrations were significantly higher in the nestlings than in the mothers (p<0.001). PFOS concentrations were higher in the mothers than in the nestlings (p \leq 0.04). No differences between sample types were found for PFDoDA. \sum PFAA and \sum PFCA concentrations were higher in the mothers than in the nestlings (p<0.01 and p<0.0001 respectively). No significant differences were found in the concentration of any compound between siblings. Body condition did not explain the differences between mothers and offspring for any of the compounds (all p>0.14).

Correlations were studied between mother, the third egg and offspring concentrations. We found 293 significant correlations in Σ PFAA concentrations between mothers and eggs (p<0.001; r=0.52), 294 295 mothers and offspring (all p<0.0001; r \ge 0.70) and between siblings (the heaviest and the lightest; p<0.0001; r=0.71), but not between eggs and nestlings (all p>0.11; r<0.26). Very similar 296 correlations were found in PFOS concentrations with slightly higher correlations between eggs 297 and nestlings (both p=0.06, r=0.31; Figure 2). PFOA concentrations in mothers were correlated 298 with the concentration in the eggs (p < 0.01; r = 0.41) but no correlations were found between 299 300 mothers/eggs and offspring, nor between siblings. For PFDoDA and Σ PFCAs no correlations were found. 301

302

303 3.4. Correlation of PFAA concentrations with body condition and the oxidative status

Body condition and OS parameters' results at the five sampling sites for adults and nestlings are shown in Table S10 and S11 respectively (differences between sites on these parameters are also indicated).

Adults-PC1 was mainly influenced by PFOS, PFDA, PFNA, PFOA, PFUnDA and PFDoDA; high
concentrations of these compounds corresponded with high values of adults-PC1. Adults-PC2 was

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mainly influenced by PFDTrDA, with high values of aduts-PC2 mainly indicating highconcentrations of PFDTrDA (Table S4).

311 A trend existed in adult birds to present higher levels of protein carbonyls with higher values of 312 Adults-PC1 (p=0.08) and Adults-PC2 (p=0.07; Figure S4). This means that birds with higher concentrations of PFAAs tended to have also higher oxidative protein damage. There was also a 313 314 significant effect of the sampling season in protein carbonyls' concentrations (p<0.0001) with higher concentrations in winter, although the interaction between Adults-PCs and the season was 315 316 not significant ($p \ge 0.26$). We did not find significant correlations (all p > 0.14) between Adults-PCs and the body condition or between Adults-PCs and the other measured stress parameters (GSH 317 and GSSG concentrations or the ratio between them, SOD, CAT and GPX activity or the 318 measurement of the TAC) in adult birds. 319

Nestlings-PC1 was influenced by PFOS, PFOA, PFDoDA and PFBA: therefore highconcentrations of these compounds corresponded with high values of nestlings-PC1.

Nestlings' GPX activity was positively correlated with Nestlings-PC1 (p=0.006; Figure 3) and the body condition of the chick (p=0.007). There was also a marginally significant result in the interaction between Nestlings-PC1 and the sex (p=0.06). When we performed a separate analysis for males and females, the relationship between Nestlings-PC1 and GPX activity was only significant in females (p=0.002; Figure 3) with increased enzyme activity detected in higher exposed females.

Nestlings' CAT activity was positively correlated with Nestlings-PC1 (p=0.05; Figure 3), body condition (p=0.012) and marginally affected by the sex of the chick (p=0.06), with higher activity in females. We did not find significant correlations between PFAA concentrations and the other stress parameters or the body condition in nestlings (all p>0.23).

332 **4. Discussion**

333 4.1. *PFAA concentrations in adults and nestlings*

Concentrations found in this study are, like in previous studies performed in the area^{11-15,45}, among the highest ever reported in wildlife. According to previous studies¹¹⁻¹⁵, a pollution gradient was detected for PFOS but this decrease was not so evident for other PFAA compounds^{13,15}. Considering the literature on plasmatic PFAAs concentrations in birds (Table S12) it is evident that the entire study area is influenced by the presence of the fluorochemical plant^{11,13,15}.

For five of the detected compounds (PFBA, PFOA, PFDA, PFDoDA and PFOS) concentrations 339 found in the present study (in adults and in most cases also in nestlings) were the highest ever 340 reported in birds' plasma. Concentrations of other four compounds (PFNA, PFUnDA, PFDTrDA 341 and PFTeDA) were only surpassed by concentrations found in bald eagle (Haliaeetus 342 *leucocephalus*) nestlings sampled in the upper mid-west of the USA⁴⁶, a region with several 343 sources of PFAAs, including a 3M fluorochemical plant. PFDA concentrations in nestlings of the 344 present study were also surpassed by those found in bald eagle nestlings⁴⁶. PFAA compounds are 345 highly bio-accumulative (especially LC ones) and, at similar exposure condition, higher 346 concentrations would be expected in a top predator (bald eagle) compared to a small passerine 347 348 (great tit).

We only found two studies that measured PFAA concentrations in blood of passerine species. In a study by Custer et al.²⁶ tree swallow (*Tachycineta bicolor*) nestlings that hatched very close to a US 3M fluorochemical plant were analysed. Compared to that study, concentrations in the nestlings hatched at the Antwerp 3M plant were higher for all the compounds measured in both studies (PFOA, PFNA, PFDA, PFUnDA, PFDoDA and PFOS). Moreover, even when comparing concentrations in tree swallows with the ones we found in the nestlings from Fort 4 (10 km away from the plant), they were higher for all the compounds but PFOS in the present study. Remarkableis the difference in PFOA concentrations, which were around 50 times higher in our study.

The second study that measured PFAAs in blood of passerine birds was by Dauwe et al.¹² who 357 measured PFOS concentrations in adult great tits in 2005. The study area was the same as in the 358 current study but birds were only sampled in Vlietbos, Rot and Burchtse Weel (concentrations 359 360 ranges were 173-1625, 154-234 and 24.3-123 pg/ μ L respectively). Whole blood was used as the matrix. When comparing both studies we found that the mean concentrations of PFOS were higher 361 in the present study: 3-, 1.2- and 1.7-fold higher at Vlietbos, Rot and Burchtse Weel respectively. 362 363 These differences are very probably due to the different matrix used, whole blood vs plasma. It has been calculated that concentrations measured in whole blood are 2 to 5-fold lower than in 364 plasma⁴⁷. Taking this into account, the concentrations we found are very similar to the ones found 365 by Dauwe et al.¹². This, or even a decrease in the concentrations, was expected as 3M plant has 366 phased out PFOS production since 2002. Other studies performed in the same area have detected 367 a decrease in PFOS concentrations measured in great tit eggs¹³ from 2006 to 2011 and also in wood 368 mice liver from 2002 to 2006⁴⁵. In other places in Europe and USA the same decrease has been 369 detected since 2000 in several bird species^{46,48-50}. 370

PFAA concentrations and profiles found in the present study appear to correspond with both a high historical contamination, with high concentration of PFOS and LC-PFCA, and a recent contamination, with the presence of SC-PFCAs such as PFBA and PFHxA, all related to current fluorinated compounds production (as final compounds, degradation products or impurities⁷). In the future, the analytical method should be improved to increase the recoveries, and thus the detection possibilities, of SC-PFSAs in blood, including PFBS and PFHxS. Also other currently used per- and polyfluoroalkyl substances such as 3H-perfluoro-3-[(3-methoxy-propoxy)propanoic acid], ammonium salt (ADONA) or dodecafluoro-2-methylpentan-3-one (3MTM NovecTM 1230) should
be included in future analyses.

A remarkable result is the higher concentrations and detection frequencies of PFUnDA and PFOS 380 found in adult females compared with males (Figure S2), although most pronounced at the plant 381 site, these differences were consistent at all sites and in both sampling periods. Most of the studies 382 383 on PFAA concentrations in birds did not observe differences between sexes (reviewed in Sturm and Ahrens⁵¹), and the ones that did always have reported higher concentrations in males⁵²⁻⁵⁵. 384 Moreover, in two studies performed previously in great tits' blood¹² and liver¹¹ in the same area, 385 no differences in PFOS concentrations between sexes were found. In general for PFAA 386 compounds, as for other contaminants, females could present lower concentrations due to the 387 excretion of these compounds through the eggs⁵⁶. We know that female great tits actually excreted 388 PFOS through the eggs, as very high concentrations of PFOS were detected in the eggs analysed 389 in this study. On the other hand, no PFUnDA concentrations were found in those eggs which can 390 sometimes be due to low exposure and modest detection limits 391

In mammals, sex differences in the elimination half-life of some PFAA compounds have been observed, and the elimination is not always faster in females⁵⁷. The reason for the differences in elimination is not well understood but some studies pointed to a hormonal regulation of the elimination⁵⁷. These sex differences could also be explained by behavioural reasons such as differences in foraging strategies⁵⁸, or ecological reasons such a greater longevity and thus higher accumulation in females. Further research is therefore necessary to better understand the sex differences and their consequences.

399

400 4.2. *Relationship between mothers, eggs and offspring concentrations*

The distribution of PFOS in mothers, their eggs and nestlings, and the fact that concentrations in 401 mothers and nestlings (and to a lesser extent in eggs and nestlings) correlated with each other, are 402 suggesting that the main exposure of nestlings to this compound is through maternal transfer and/or 403 the diet (provided by the parents). The transfer of PFOS from females to the eggs was previously 404 described in birds^{16,17,54,59} but as far as we know this is the first study that correlates plasmatic 405 concentrations in the mother with plasmatic concentrations in the offspring. On the other hand, for 406 Σ PFCAs, the lack of correlation between mothers, eggs and nestlings, and even between siblings, 407 could be indicating that maternal transfer or the diet is not the main route of exposure for these 408 409 compounds. Moreover, higher concentrations of PFOA (~1.6 times) and PFBA were found in the offspring while Σ PFCAs were higher in the mothers (Table S9, Figure S3). These differences in 410 PFCAs profile could be explained in two non-exclusive ways: 1) Mothers and offspring were 411 exposed differently during the nestling period. 2) Birds were exposed to precursor substances (e.g. 412 fluorotelomer alcohols) and these compounds follow different biotransformation pathways in 413 adults and nestlings⁶⁰. This second hypothesis is supported by correlations found between PFNA 414 concentrations in the mothers and PFOA concentrations in the offspring and between LC-PFCAs 415 (PFOA, PFDA and PFDTrDA) in the mothers and PFBA in the offspring. Future studies to be 416 performed in this hot-spot should include the study of "precursors" together with the study of 417 PFAAs. 418

419

420 4.3. Associations between PFAA concentrations and the oxidative status

In adult great tits, a trend existed for more exposed birds to have higher levels of protein damage (measured as protein carbonyls). This could mean that the antioxidant defences failed in neutralizing the extra reactive oxygen species (ROS) generated because of the pollutants, and thus 424 oxidative damage occurred. Similarly, a recent study performed in Artic black-legged kittiwakes
425 (*Rissa tridactyla*)⁶¹ found that high blood levels of protein damage were associated with high
426 plasma concentrations of certain LC-PFAA compounds (i.e. PFDoDA, PFTriA and PFTeDA).
427 Additionally, they found negative associations between the non-enzymatic antioxidant capacity
428 (i.e. vitamins, carotenoids, glutathione) of these birds and high plasma concentrations of other LC429 PFAAs such as PFUnA, PFTeDA or PFOS. .

In nestlings, we detected a positive correlation between PFAAs load and antioxidant defences.
More exposed nestlings presented higher activity of GPX and CAT enzymes, both part of the first
line of defence against ROS. Their increased activity seemed to efficiently neutralized ROS, as no
changes in other endogenous antioxidants (glutathione) or oxidative damage were detected.

Due to the presumable long duration of the physiological stress⁶² and the susceptibility of early stages of life to oxidative damage⁶³, detrimental effects could occur in these birds. We also must consider that, due to limitations in sample volume, we were not able to measure exogenous antioxidant concentrations (i.e. vitamins and carotenoids) or other oxidative damage parameters. Therefore, consequences for the birds are difficult to predict.

Finally, it is also important to note that the tissue we used (RBC) is not the main target of PFAAs⁵⁷, 439 and therefore, we hypothesize that the effects of oxidative damage produced by PFAAs would be 440 more evident in other tissues (e.g. liver or adipose tissue) but this remains to be tested. A previous 441 442 study performed in tree shallows nestlings from the Great Lakes did not find any association 443 between oxidative stress parameters measured in the liver and PFAAs concentrations in the plasma²⁰.On the other hand, a previous study performed in wood mice living in the vicinity of the 444 fluorochemical plant in Antwerp, found positive associations between PFOS concentrations and 445 446 the level of lipid peroxidation in the liver of these mice⁶⁴

Our study provides evidence that OS is a possible pathway for the pernicious effects of PFAAs, however the causal relationship has to be proven. In humans, PFOA has been recently classified by the Agency for Research on Cancer as "possibly carcinogenic"; DNA damage secondary to oxidative stress has been pointed out as the cause of this carcinogenic effect⁶⁵.

The obtained data represent an important step towards the understanding of the consequences of exposure to these compounds for wild birds at the individual and the population level. Continuous monitoring of exposure and effects in these populations will give us longitudinal and multigenerational data, which are essential for PFAAs risk assessment.

455

456 ASSOCIATED CONTENT

Supporting Information: Detailed description of the methods (including PFAAs analysis in plasma 457 and antioxidant and oxidative stress parameters measurement in red blood cells). Map of the study 458 area (Figure S1). Tables showing target PFAA compounds and their acronyms (based in Buck et 459 al. 2011; Table S1), limits of quantification, mean and median concentrations, range and detection 460 frequencies of PFAAs in plasma of adult great tits (Table S2), limits of quantification and detection 461 frequencies of PFAA compounds with detection frequency < 20% in plasma of adult great tits 462 (Table S3), results of the Principal Component Analysis conducted on the PFAA compounds 463 measured in adults and nestlings (Table S4), coefficient and probability of the correlations found 464 between different PFAAs at the five sampling sites in the plasma samples of the adults (Table S5), 465 466 limits of quantification, mean and median concentrations, range and detection frequencies of PFAAs in plasma of great tits' nestlings (Table S6), coefficient and probability of the correlations 467 found between different PFAAs at the five sampling sites in the plasma samples of the nestlings 468 469 (Table S7), limits of quantification and detection frequencies of PFAA compounds with detection

frequency < 20% in plasma of great tits nestlings (Table S8), Σ PFAAs, Σ PFCAs, PFOA, PFDoDA 470 and PFOS mean concentrations in mothers, eggs and both nestlings (the lightest and the heaviest 471 in the nest) at the five sampling sites (Table S9), mean values of body condition and oxidative 472 stress biomarkers in red blood cells of adult great tits at the five sampling sites (Table S10), mean 473 values of body condition and oxidative stress biomarkers in red blood cells of nestlings at the five 474 475 sampling sites (Table S11), PFAA concentration (range) measured in plasma of different bird species around the world (Table S12). Additional graphic representation of the concentrations of 476 PFUnDA and PFOS found in adult birds' plasma, at the five sampling sites, separated by sex 477 478 (Figure S2), mean concentrations of PFOA, PFOS and Σ PFCAs found in the mother, egg and offspring (the lightest and the heaviest nestlings in the nest; Figure S3), relationship between 479 Adults-PC1, Adults-PC2 and protein carbonyl content in blood of adult birds sampled in winter 480 and spring (Figure S4). 481

482

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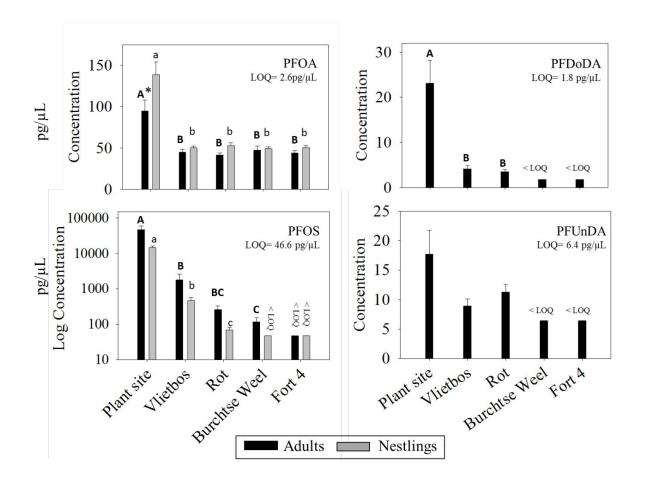


Figure 1.Mean concentrations (\pm SE) of different PFAAs found in adult birds'(black) and nestlings'(grey) plasma at the five sampling sites in 2015: a fluorochemical plant in Antwerp and four sites with an increasing distance from the plant site (i.e. 1 km Vlietbos, 2.3 km Rot, 3 Km Burchtse Weel and 11 km Fort 4). Different upper case letters and lower case letters indicate different concentrations among sampling sites in adults birds and nestlings respectively. Additionally, mean concentrations (\pm SE) could be calculated (detection frequency \geq 50%) at the plant site in adults for PFNA (21.8 \pm 5.3) and PFDTrDA (8.5 \pm 2.3) and in nestlings for PFBA (24.1 \pm 4.6) and PFDoDA (12.2 \pm 1.9).

Temporal data from adult birds were pooled together (adults from both the late winter and the spring).

*For PFOA, concentration at the plant site was only significantly higher in winter (no differences in spring).

<LOQ; detection frequency was below 50% and mean values were not calculated (the value given is the LOQ).

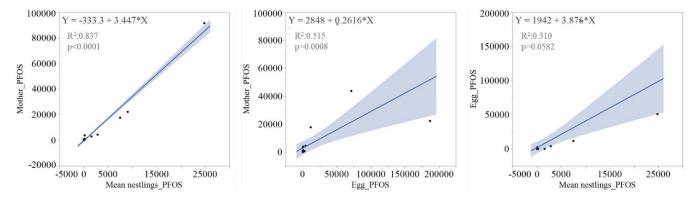


Figure 2. Correlations between mother, offspring ($pg/\mu L$;mean values were calculated for the lightest and the heaviest nestlings in the nest) and egg (ng/g) PFOS concentrations. Spearman correlation values, ps and the regression equations are given. Regression lines are shown with 95% confidence bands shaded.

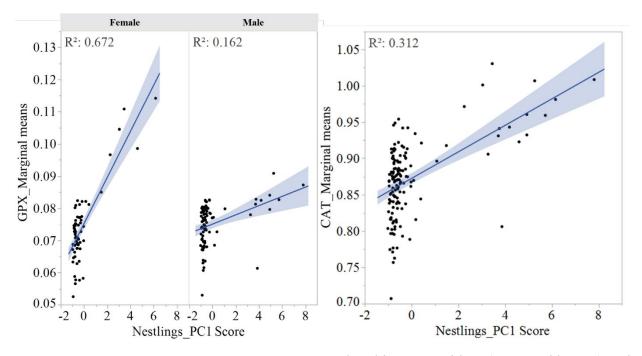


Figure 3. Relationship between nestlings-PC1 and the glutathione peroxidase (separated by sex) and catalase activity (marginal means as obtained in the mix models when considering body condition as a covariate and nestbox as random effect). in 2015 at 5 sites in the vicinity of Antwerp, Belgium. Nestlings-PC1 was influenced by PFOS, PFOA, PFDoDA and PFBA: therefore high concentrations of these compounds corresponded with high values of nestlings-PC1. Regression lines are shown with 95% confidence bands shaded.

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