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Environmental and Health Impacts of Effluents from Textile Industries in Ethiopia: The Case of Gelan and Dukem, Oromia Regional State

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1 **Environmental and Health Impacts of Effluents from Textile Industries in**
2 **Ethiopia: The Case of Gelan and Dukem, Oromia Regional State**

3

4 **Abstract**

5 This study focuses on four textile industries (DH-GEDA, NOYA, ALMAHDI and ALSAR) established
6 between 2005 and 2008 in the peri-urban areas of Dukem and Gelan. The objectives of the study were
7 to generate baseline information regarding the concentration levels of selected pollutants and to analyze
8 their effects on biophysical environments. This study also attempts to explore the level of exposure
9 humans and livestock have to polluted effluents and the effects thereof. The findings of this study are
10 based on data empirically collected from two sources: laboratory analysis of sample effluents from the
11 four selected textile plants and quantitative as well as qualitative socio-economic data collection. As
12 part of the latter, a household survey and Focus Group Discussions (FGDs) with elderly and other focal
13 persons were employed in the towns of Dukem and Gelan. The results of the study show that large
14 concentrations of BOD₅, COD, TSS and pH were found in in all the observed textile industries, at
15 levels beyond the permissible discharge limit set by the national EPA. Furthermore, S₂, R-PO₄³ and Zn
16 were found in large concentrations in DH-GEDA and ALMHADI, while high concentrations was also
17 identified in samples taken from ALSAR and ALMHADI. In spite of the clear-cut legal tools, this
18 study shows that the local environment, people, and their livestock are exposed to highly contaminated
19 effluents. We therefore recommend that the respective federal and regional government bodies should
20 re-examine the compliance to and actual implementation of the existing legal procedures and
21 regulations, and respond appropriately.

22 **Keywords:** Pollution assessment; Environmental quality; Human health; Water pollution; Textile
23 effluent.

24

25 **Introduction**

26 Industries are often considered as an ‘*engine*’ of economic growth (Azadi et al. 2011; Siyanbola et al.
27 2011) by which many countries promote their rapid economic growth. The textile industry is one of the
28 most important sub-sectors of the manufacturing industry that contributes or contributed to the
29 transformation of economies in countries such as China, Bangladesh, India, Vietnam, Turkey, and
30 Nigeria (Islam et al. 2011; UNIDO 2012; Tran 2013; Singh et al. 2013). In Bangladesh, e.g., the textile
31 and garment sector contributes to about 77% of the country’s foreign earnings and employs 50% of the
32 industrial work force (Islam et al. 2011).

33 Albeit, studies have shown that textile industries also have strong negative environmental impacts,
34 often associated with water pollution (Sponza 2002; Islam et al. 2011; Siyanbola et al. 2011; Khan and
35 Malik 2014; www.oecotextile.com (21/7/2014)). In most textile industries operating in developing or
36 transition countries, wastewater treatment is nonexistent, nonfunctional, or inefficient, leading to
37 massive environmental pollution and health problems (Pamo 2004; Islam et al. 2011; Siyanbola et al.
38 2011; Paul et al. 2012).

39 Textile industries consume large volumes of water and chemicals at different stages of the wet
40 processing phases. According to Khan and Malik (2014), one textile plant can use as many as 2000
41 different chemicals, from dyes to transfer agents. It can also use close to 2270 liters of water in order to
42 complete the production of less than 10 meters of fabrics (Islam et al. 2011). Huge amounts of water
43 are needed for bleaching, dyeing, and for conveying chemicals used in the dyeing process, as well as for
44 cleaning the machines after each textile production phase. According to Govindarajalu (2003), the water
45 consumption of an average-sized textile mill (with a production of around 8,000 kg of fabric per day) is
46 about 1.6 million liters per day. This kind of textile plant can also generate up to 200-350 m³ of
47 effluents per ton of finished products (Ranganathan et al. 2007; Gozávez-Zafrilla et al. 2008), resulting
48 in an average pollution of 100 kg chemical oxygen demand (COD) per ton of fabric (Jekel 1997). The
49 same studies have also revealed the presence of high amounts of pollutants in textile industry
50 wastewater. For instance, the effluents from the dye bath had contained COD of 5000–6000 mg/l,
51 52,000mg/l of total dissolved solids (TDS), 2,000 mg/l of Suspended Solids (SS), and pH 9 (Verma et
52 al. 2012; Khan and Malik A2014).

53 Many studies have also revealed the negative impacts of such pollution. Mark (2004), Kumer et al.
54 (2012), and Manunatha (2008), for example, have shown that industrial effluents polluting the soil can
55 affect plant growth, including agricultural crops and, apparently, affect the livelihood of farmers in the
56 area. On the other hand, Kovaipunder (2003) studied the effects of water pollution on the health status

57 of the people using polluted water, using the Noyyal River as a case study in three districts in Southern
58 India. In this context, Kovaipunder proved that health problems such as skin allergy, respiratory
59 infections, general allergies, gastritis, and ulcers are prevalent in all of the 31 sampled villages.

60 Khan and Malik (2014) also conducted a study on the environmental and health effects of textile
61 effluents in India. They showed that untreated or insufficiently treated textile effluents contain
62 chemicals that can pollute the air people breath, causing respiratory health problems. In this study,
63 Khan and Malik also discovered that effluents with high concentrations of chemical pollutants affect
64 normal functions of human cells, especially in the case of fetuses, infants, and children. According to
65 the findings of Khan and Malik, some textile pollutants in higher concentrations can alter the
66 physiology and biochemical mechanisms of humans, resulting in the impairment of the physiological
67 functions such as Osmoregulation, reproduction, and can sometimes cause death. For instance, heavy
68 metals present in textile effluents can easily accumulate in primary organs (i.e. heavy metals are not
69 biodegradable) and can therefore cause cancer (Khan and Malik 2014), one of the main reasons for
70 shorter life expectancy in many countries (WHO 2003). Beyond these health effects, effluents from
71 textile industries can directly affect the income of farmers by reducing production and indirectly
72 through higher medical expenses and reduced agricultural labor forces.

73 Effluents with concentrations above the legally permissible limits (e.g., table 1) are likely to degrade
74 and destroy local environments directly and indirectly by affecting the physical and biological
75 environment, such as land, water, and living organisms and human beings. For instance, high
76 concentrations Biological Oxygen Demand (BOD_5) increase the demands of Dissolved Oxygen (DO)
77 by decomposers, leading to the depletion of the Oxygen (O_2) required by other aquatic organisms to
78 survive. From the perspective of human health, some pollutants suppress the immune system, which
79 may have major - even deadly – health effects. This paper focuses on the negative impacts pollutants
80 have on the natural environment (as well as their effect on aquatic life and DO concentration) and its
81 implication on human health and livestock.

82 Ethiopia is an overwhelmingly agrarian economy. The agricultural sector absorbs around 85% of the
83 labor force and adds more than 40% to the national GDP (MoFED 2014). While the industry sector
84 only contributed 10% to the GDP in 2008/09 and 12% of the GDP in 2013, it's role remaining very
85 small. In 2011/12, the industry sector employed less than 5% of the labor force (MoFED 2013; GTP
86 2013) and since 1990s, the Government of Ethiopia has taken a number of steps in an effort to
87 industrialize the economy and to promote industrial development. In general, the government has given

88 specially emphasized manufacturing industries and textile industries in particular with the goal of
89 utilizing natural resources and providing employment opportunities (IDS 2002; MoFED 2013).

90 In the Ethiopian Constitution, Article 44 grants all citizens the right to live in a clean and healthy
91 environment. Furthermore, *Proclamation No. 300/2002*, article 3 (1), stipulates that, “No person shall
92 pollute or cause any other person to pollute the environment by violating the relevant environmental
93 standard”. Article 3 (2) of the same proclamation further states that the relevant authority or the
94 relevant regional environmental agency may take administrative or legal measures against a person
95 who, in violation of law, releases any pollutant into the environment.

96 The towns of Dukem and Gelan in central Ethiopia were selected in 2004 in order to establish
97 Industrial Development Centers (IDCs). So doing was part of the Ethiopian government’s strategy to
98 accelerate economic growth by establishing industrial development corridors in the selected town’s
99 four regions, namely Oromia, Amhara, Tigray, and the Southern Nations, Nationalities, Peoples and
100 Regions (SNNPR), as well as in Addis Ababa and Dire Dawa since 2004. Thus, the selected IDCs, the
101 federal government, or private companies in collaboration with the federal government, were enabled
102 to establish Industrial Zones (IZs) that specialize in the manufacture of specific products in factories or
103 industries set up in a single premise (e.g., IZs for leather and leather products). The towns of Dukem
104 and Gelan are located close to Addis Ababa, near the country’s single railway line and major highway
105 that connects Addis Ababa to Djibouti port. Both towns possess “sufficient converted agricultural land”
106 for investment, cheap labor, and sufficient underground water reserves. The area around Dukem and
107 Gelan have relatively low slopes - between 5% and 10% (OWWDSE 2011), making land preparation
108 and construction more cost effective than in other IZs in Ethiopia.

109 In order to attract investments from the domestic and international private sector, the Ethiopian
110 government offered investment incentives such as income tax exemption, customs duties for
111 machinery, capital goods, construction materials and vehicles, as well as access to bank credit and loss
112 carryforward in cases where it is needed (Regulation no. 270/2012). Attracted by these monetary and
113 non-monetary incentives, large numbers of investors (mostly domestic) were licensed shortly after the
114 establishment of IDCs in 2005. Our data obtained from the investment offices in Dukem and Gelan
115 shows that between 2005 and 2013 more than 460 projects of all investment types in Dukem and 300
116 projects in Gelan were approved. Of these, 257 projects in Dukem and 279 projects in Gelan belong to
117 the manufacturing sector: 23 textile and garment industries were licensed in Gelan town as were
118 between 12 and 20 in Dukem town.

119 Generally, most of the licensed investment projects in the manufacturing sector were textile and
120 apparel, agro-processing, food and beverage, still and metal industries, and non-metallic construction
121 material industries. One of the pharmaceutical industries (Kadila Plc.) was also established in Gelan
122 town. Field observations showed that, apparently, some of the operating factories have been
123 discharging effluents directly into the drainage channels and the nearby streams, which likely has an
124 impact on the quality of surface water, the environment and on the health of both humans and
125 livestock. In addition to this, it was evident that effluents have significantly eroded the aesthetic value
126 of the landscapes in these areas.

127 This study focuses on four textile industries (DH-GEDA, NOYA, ALMAHDI and ALSAR) established
128 between 2005 and 2008 in the peri-urban areas of Dukem and Gelan. Three of them are established and
129 owned by foreign investors from China and Pakistan; one (DH-GEDA) is owned by an Ethiopian
130 company. With this as a backdrop, this case study focuses on the following objectives: understanding
131 the concentration levels of selected pollutants from textile industries and analyzing their effects on
132 biophysical environments. This study also tries to explore the level of exposure humans and livestock
133 have to polluted effluents and their effects.

134

135 **1 Methods and Materials**

136 **1.1 The study sites**

137 The four case study textile industries, DH-GEDA, NOYA, ALMAHDI and ALSAR, are located in the
138 towns of Gelan and Dukem, part of *Finfine* Special Zone (FSZ), Oromia Regional State, 27 and 35 km
139 respectively south of Addis Ababa. NOYA and DH-GEDA are located between 8°48'0"N - 8°51'0"N
140 latitude and 38°49'30"E - 38°52'30"E longitude in Gelan; and ALSAR and ALMAHDI are located in
141 Dukem town between 8°45'0"N - 8°48'0"N latitude and 38°52'30"E- 38° 55'30"E longitude (see Fig. 1).

142 [insert Fig. 1]

143

144 Except for DH-GEDA, with close to 150 employees, the other three textile industries had a total of
145 between 450 and 500 employees by the end of 2013. As defined by the Central Statistical Agency of
146 Ethiopia (CSA, 2016), all four-textile plants are categorized as medium and large-scale industries from
147 this point (MLSI). All four factories primarily dye and bleach fibers (polyester and acrylic yarn) as a
148 raw material.

149

150 **1.2 Data sources**

151 The findings of this study are based on data empirically collected from two sources: laboratory analysis
152 of sample effluents from the four selected textile plants and quantitative as well as qualitative socio-
153 economic data collection. During the 1950s, in the early days of modern water and wastewater quality
154 monitoring, particular issues were rarely focused on. However, the water and wastewater quality
155 assessment process has now evolved into a set of sophisticated monitoring activities that include the
156 use of water chemistry, particulate material, and aquatic biota (e.g. Hirsch et al., 1988). Many manuals
157 on water and wastewater quality monitoring methods already exist (e.g. Alabaster, 1977;
158 UNESCO/WHO, 1978; Krenkel and Novotny, 1980; Sanders et al., 1983; Barcelona et al., 1985;
159 WMO, 1988; WHO, 1992). Standard Methods for examining water and wastewater represent the best
160 current practices of water analysis. This comprehensive reference covers all aspects of water and
161 wastewater analysis techniques. In this study, the laboratory test methods and procedures applied in
162 order to determine the parameters were based on the standard methods outlined and recommended by
163 APHA (1999) and WHO/UNEP (1996). As part of the latter, a household survey and Focus Group
164 Discussions (FGDs) with elderly and other focal individuals were employed in Dukem and Gelan and
165 the results from the different sources were triangulated. The procedures that were followed during the
166 data collection are presented below.

167

168 ***1.3 Physical sample collection for laboratory test***

169 ***1.3.1 Preparation for field sample collection (Phase I)***

170 In Phase I, the sample sites were identified and all necessary preparations required for the sample
171 collection were arranged in close consultation with the laboratory of the Environmental Protection
172 Authority (EPA) in Addis Ababa. In the process of characterizing effluents from industries and on
173 matters related to determining the quality of water, it was essential to work in close consultation with
174 the EPA laboratory expertise in order to ensure the quality standards of the sample collection and the
175 use of the analytical methods (see also APHA 1999). At the beginning of the fieldwork, we identified
176 effluent discharge points for all industries and recorded their coordinates using Global Positioning
177 System (GPS) devices. Furthermore, all required tools used to collect, preserve, and transport the
178 samples were sorted, cleaned, and disinfected at the laboratory station. An icebox was prepared to
179 transport the samples at a temperature of 4⁰C to the laboratory in Addis Ababa within less than eight
180 hours after the sample collection. Codes, names and source of the samples were indicated on
181 polyethylene can in order to guarantee traceability.

182

183 **1.3.2 Field work (Phase II)**

184 In Phase II (in June 2013), the actual samples were collected in the field, using grab and composite
185 methods. The grab method was utilized in order to take in-situ measurements of some parameters that
186 otherwise would change their characteristics. A total of 200ml was taken from effluent discharge points
187 and measurements for pH, EC, TDS and temperature were recorded using HANAN Instrument Model
188 HI 98129.HI98130. In determining these parameters, appropriate calibrations and adjustments to all
189 parameters were made at each stage before taking measurements. The Color and Turbidity level of the
190 samples was determined with a Photometer 8000 (Palintest 8000 models). The next step was the
191 collection of samples for laboratory tests. This was done using the composite method from which
192 250ml samples were collected five times at half an hour intervals. The samples were then mixed and
193 put into one-liter airtight polyethylene cans (GEMS/WATER Operational Guide-3rd edition, 1992) that
194 were stored in an icebox at a temperature of 4⁰C and transported to the EPA laboratory in Addis Ababa
195 for physico-chemical and microbial analysis.

196
197 **1.3.3 Laboratory Analysis of the samples (Phase III)**

198 Phase III included entirely laboratory-based activities for the determination of physical and biological
199 parameters for all the samples. The laboratory test methods and procedures were applied in order to
200 determine the parameters based on the standard methods outlined and recommended by APHA (1999)
201 and WHO/UNEP (1996). Moreover, the Standard Analytical Procedure for water analysis developed
202 jointly by governments of India and the Netherlands in 1999 was applied. In the laboratory, the samples
203 were pre-arranged and then sent for physico-chemical and microbiological analysis of Chemical
204 Oxygen Demand (COD), Biological Oxygen Demand (BOD₅), Sulfide (S₂), Sulfate (SO₄²), Total
205 Nitrogen (T-N), Nitrate (NO₃), Nitrite (NO₂), Total Ammonia (T-NH₃), R-Phosphate (R-PO₄)₃,
206 Magnesium (Mg) and Zinc (Zn) as well as for biological determination (Total Coli form and Fecal Coli
207 form). In the microbiology lab, the Fecal Coli form (F. Coli) was determined by applying the
208 membrane filter procedure with Laurel sulfate broth. The F. Coli counts were measured by filtering
209 effluent samples with a special filter paper with a pore size of 0.45µm and 47mm diameter. This filter
210 paper allowd for the retention of all F. Coli bacteria on it, which was later placed on an absorbent pad
211 (47mm diameter) saturated with a F. Coli of medium growth and incubated at 44⁰C for 24 hours. After
212 incubation, the yellow colonies were counted, recording the number of counts per 100ml.

213

214 **1.4 Qualitative, quantitative data collection and Focus Group Discussion**

215 Before initiating the household (HH) survey, Kothari's (2004) (Equation 1), simplified formula was
 216 used to determine the optimum and representative sample sizes required for the survey. Of the total
 217 seven *kebeles* in Gelan and Dukem, five *kebeles* (all the three of which were in Dukem with two out of
 218 four in Gelan)¹ were selected based on the presence of a large numbers of investments in the
 219 manufacturing industry in general and of textile industries that discharge liquid effluents in particular.
 220 A Kebeles is the smallest administrative unit of local government in Ethiopia, similar to a ward, a
 221 neighborhood or a localized and delimited group of people. Each Kebele consists of at least five
 222 hundred families, or the equivalent of 3,500 to 4,000 individuals. Out of 821 HHs living in the five
 223 selected *kebeles*, *Gelan K*, *Tulu Guracha*, *Gogecha*, *Koticha* and *Xadacha*, 262 HHs were distributed
 224 proportionally to each *kebele*, which were interviewed using systematic random sampling (SRS)
 225 methods. -Kanupriya (2013) suggests the use of SRS when the study population is small and
 226 homogenous. In order to obtain complementary qualitative information, two Focus Group Discussions
 227 (FGDs) were conducted with 12 elderly participants (6 each in Gelan and Dukem, Fig 2).

228
$$n = \frac{z^2 \cdot \sigma_p^2 \cdot N}{(N-1)e^2 + z^2 \cdot \sigma_p^2}$$
 Equation

229 Where:

230 n = size of sample

231 N = size of population

232 e = acceptable error (the precision)

233 σ_p = standard deviation of population

234 z = standard variate at a given confidence level.”

235 In this formula, the following assumptions were made: the size of population is 821, standard error
 236 (acceptable error) is 0.05, standard deviation of population is 0.5 and values of standard variant at 95%
 237 confidence interval (Z) is 1.96. Thus, actual sample size was calculated as follows:

238
$$n = \frac{(1.96)^2 \cdot (0.5)^2 \cdot 821}{(821-1)(0.05)^2 + (1.96)^2 \cdot (0.5)^2} = 261.92 \sim 262$$

239 Accordingly, 262 sample respondents were fixed for household surveys.

240 [insert Fig. 2]

241

¹ Cafe Tumaa and Moreno *kebeles* without investment activities were not considered in this study.

242 The FGD participants were selected based on the number of years lived in the *kebele*, and their role
243 within their respective *kebeles*. Additionally, expert interviews with textile factory managers and
244 technicians, veterinarians, and experts in the environmental protection units at levels of urban
245 administration in the study towns were conducted.

246

247 **2 Results**

248 ***2.1 Physico-Chemical properties of the effluents***

249 Table 1 presents a list of the parameters for the physico-chemical and bacteriological characteristics of
250 textile effluents in Gelan and Dukem. Those parameters whose values exceed the permissible limits of
251 discharge into the inland surface water sources as outlined in the EPA guidelines are highlighted in
252 yellow. Accordingly, of the total 16 observed parameters in the samples from all investigated
253 industries, three parameters (COD, BOD₅ and TSS) were found to be higher than the permissible
254 discharge limit. Conversely, a high level of T. coli was recorded in effluents from ALSAR &
255 ALMHADI while S₂ was observed in effluents from DH-GEDA.

256 [Insert Table 1]

257

258 ***2.2 Comparisons of the concentration level of selected pollutants among the industries***

259 This section graphically presents the actual measured values of selected pollutants in all four observed
260 textile industries. It aims to enhance the (visual) understanding of the concentration levels of pollutants
261 against the limits² allowed by the EPA guideline. Graphs also show the differences between our
262 measured values (the straight black lines in the figures) and the tolerable concentrations for discharge
263 into inland water sources, as permitted in the EPA guideline (the broken blue lines).

264

265 ***i. Pollutants observed in high concentration in all selected industries***

266 The Biological Oxygen Demands (BOD₅), Chemical Oxygen Demands (COD) and Total Suspended
267 Solids (TSS) were found in high concentration in samples from all four of the selected industries (see
268 Table 1). Analyzing the concentration level of BOD₅ is vital, as BOD₅ is one of the most important
269 indicators of water quality (WHO, 2008). Figure 3 shows that the concentration level of BOD₅ in all of

² The maximum limit of discharge varies from one pollutant to the other one as it was stated in the EPA guideline (e.g., EPA Standard indicated in the last column of table 1).

270 the samples taken from the four textile industries in Gelan and Dukem are above the permitted
271 concentration limit of this pollutant into the inland water sources (broken horizontal line).

272 [insert Fig. 3]

273
274 The highest concentration of BOD₅ was observed in effluents from ALMHAD (252mg/l), followed by
275 DH-GEDA (139mg/l). The values of BOD₅ in effluents from NOYA (91.50 mg/l) and ALSAR (84.00
276 mg/l) were also higher than the concentration allowed by the EPA (Figure 3; Table 1: *footnote 1*).

277 Another pollutant found in high concentrations was Chemical Oxygen Demand (COD). The COD
278 content of the effluents from our case study strongly varies among the effluents from the sample
279 industries. The lines in Figure 4 show that the lowest (130.28mg/l) and the highest values (733.5mg/l)
280 were measured in DH-GEDA and NOYA respectively. In Dukem, the concentrations strongly vary
281 between effluents from ALSAR (130.28mg/l) and ALMHADI (470mg/l). The COD level in effluents
282 from NOYA and ALMHADI are nearly 5 and 3 times, respectively, higher than the concentration
283 levels tolerated by the EPA. A study by Jekel (1997) shows an average pollution of 100 kg COD is the
284 result of one ton of finished products of fabric. Accordingly, the higher COD level in effluents from
285 NOYA and ALMHADI may be due to more tons finished products. Also, the low quality of effluent
286 treatment techniques used in NOYA and ALMHADI could also result in inefficient removal of
287 pollutants below the level expected. Furthermore, the type and quality of chemicals used in the COD
288 treatment plant would also affect the efficiency of pollutant removal (Magarde et al. 2009).

289 [insert Fig. 4]

290
291 Another important parameter used to determine the pollution levels of effluents from the sample textile
292 industries, is the concentration level of Total Suspended Solids (TSS). Textile industries uses organic
293 and/or synthetic fibers as a raw material, which end up as part of the release of suspended solids in the
294 wastewater.

295 [insert Fig. 5]

296
297 Figure 5 also shows that another pollutant, TSS, was found in the samples with a high concentration
298 level. The highest concentration was found in effluents from NOYA (368mg/l), followed by
299 ALMHADI (146mg/l), ALSAR (114mg/l), and DH-GEDA (46.5mg/l). The measured TSS values from
300 NOYA, ALMHADI, and ALSAR are 12, 5, and 4 times, respectively, higher than the limit of 30mg/l
301 allowed by the EPA. One implication high TSS concentrations have on the environmental is that it

302 blocks sunlight from pervading the water, which negatively affects photosynthetic plants and hampers
303 the oxygen production in the water (Prabu et al. 2008). Furthermore, in a study by Bukhari (2008), raw
304 municipal wastewater was electro-coagulated in order to remove TSS using stainless steel electrodes.
305 The result showed that the efficiency of TSS removal depends on the amount of iron generated from
306 the anode of the reactive electrode. Also, according to Meybeck et al. (2003), the temporal variability
307 of TSS decreases with an increased basin size, lake abundance, and is higher for basins influenced by
308 glacier melt and snowmelt.

309

310 *ii. Pollutants limited to certain industries*

311 Although, Total coli form (*T. coli*) & Escherichia coli (*E. coli*) are not directly related to the textile
312 industries, they were found in effluents from ALMHADI (820mg/l) and ALSAR (712mg/l) in higher
313 concentrations (Figure 6). Coli forms are the most common indicators of the microbiological
314 contamination of water used for domestic uses (WHO 2008).

315 The presence of all types of coli forms, especially of *E. coli* (also code-named *E. coli* 0157:H7), in
316 water used for domestic consumption can cause health problems for humans, children in particular
317 (WHO 2008). In spite of the potential health risk of water in streams or waterways, local people rely on
318 the water to meet their demands, especially for sanitation and livestock.

319 [insert Fig. 6]

320

321 *2.3 Effects of industrial effluents in the study areas*

322 *2.3.1 Aesthetic values and quality of local environment*

323 In spite of their importance for economic growth, industrial plants are generally associated with the
324 generation and discharge of solid or liquid wastes. The reduce, reuse and proper recycling of these
325 wastes require adequate financial and technological resources. In this regard, most industries in Gelan
326 and Dukem have established neither treatment plants nor adequate storage or discharge channels for
327 their wastes. As a result, polluted liquids are directly discharged into the open landscape (Fig. 7).

328 [insert Fig. 7]

329

330 The volume of some discharged effluents was so high that they block local resident's walkways. Some
331 of them were discharged without even using decolorizes in order to remove the different dyes used
332 during the process of dyeing or bleaching the fibers and/or yarns (Fig. 7: a and b) or effluents with high

333 turbidity levels (Fig. 7: c) that were discharged from NOYA, DH-GEDA and ALMHADI textile
334 industries respectively.

335

336 ***2.3.2 Impact of Effluents on People's Health***

337 Another aspect of this study was to assess the effects of contaminated effluents from textile industries
338 on the health of people living around the textile factories, especially those living very close to the
339 factories and downstream along the discharge channels. According to data obtained from special
340 reports from the Oromia Regional State, close to 84% of the total population of Oromia Regional State
341 live in rural areas, with an average tap water supply of less than 50% (ORS 2012). Accordingly, most
342 of the households living around the textile factories in Gelan and Dukem depend on surface water for
343 domestic use (Fig. 8).

344 [insert Fig. 8]

345

346 Table 2 illustrates households' access to potable water for domestic use in the towns of Gelan and
347 Dukem. It shows that most households have access to potable water for domestic use in both towns.
348 Accordingly, nearly 84% and 82% of the households in Gelan and Dukem, respectively, replied that
349 they have access to potable water. On the other hand, nearly 14% and 12% of the interviewed
350 households in Gelan and Dukem, respectively, replied that they do not have access to potable water at
351 all.

352 [Insert Table 2]

353

354 In this context, having access to potable water does not necessarily mean that these households are
355 connected to a public water pipeline system in their compounds or at least close to their residences.
356 Information obtained from the water and energy offices in Dukem and Gelan indicate that potable
357 water supply coverage is less than 40% and that households obtain their water from different sources:
358 public tap water, private houses and/or from the premises of some investors, and from open surface
359 water sources such as streams and open channels. In some parts of Dukem and Gelan, investors have
360 constructed ground water wells for industrial purposes, and at times, they allow residents who live
361 close to the premise to tap these resources (Fig. 7). Yet, obtaining water from these sources is tedious
362 and access is restricted. Wells remain closed during daytime working hours, between 8.00 am to 5:30
363 pm, and before and after residents have to wait in long queues to obtain a jerry cane of water every two
364 or three days. Households who live close to the urban centers travel longer distances in order to fetch

365 water from public taps, for which they have to pay. Others buy water from private water traders.
366 Particularly poorer households and those who reside in areas that are rural, have to rely on surface
367 water from nearby rivers or streams – which is often contaminated by effluents from industries, textile
368 industries in particular.

369 The participants of the FGDs stated that residents who live along channels that transport textile
370 effluents and those who live downstream are more vulnerable than those who live faraway. Thus, in the
371 face of a very limited potable water supply and open surface discharge of industrial wastewater, the
372 likelihood of local people being exposed to effluents would be high. With this in mind, respondents
373 were asked if they think that any of their household members ever became sick because of the exposure
374 to industrial effluents locally discharged into open spaces, canals or streams.

375 The responses of the interviewees are shown in Table 3. They indicate that the perceived nexus
376 between health problems and the exposure to industrial effluents induced by textile industries was null
377 in Xadacha *kebele*, in Dukem (0.0% or ‘Yes’ answers), and relatively high in Gelan K (9.1%),
378 T/Guracha (12,5%), and Gogecha (14.7%) *kebeles* in Gelan. In the Koticha *kebele* in Dukem, however,
379 30.6% (19) of the interviewees said that at least one of their household members had become sick
380 following exposure to industrial effluents. Unlike all other *kebeles*, Koticha hosts both ALMHADI and
381 ALSAR textile industries. The incidence of health problems mostly related to skin allergies and
382 stomach health problems.

383 [Insert Table 3]

384
385 One of the participants in the FGD explained the health effects of polluted water in the following way:

386 *“At the very beginning no one realized that sickness such as skin disease (allergy) and*
387 *other internal (stomach) health problems were related to the exposure to polluted water*
388 *in the stream that we used to rely on for many years in the past. We were not given any*
389 *orientation or warning against the potential health risks of polluted water. Those who*
390 *walk bare foot and cross through the flow lines of effluents or polluted streams*
391 *contracted skin allergy and internal disease. Besides, most of our children who look for*
392 *the livestock in the open field walk bare foot through polluted water; some of them who*
393 *took bath in the polluted water contracted health problems, skin allergy in particular.*
394 *As time goes on local people began distancing themselves from all the surface water*
395 *except potable or pond water”.*

396

397

2.3.3 Health effects on livestock

398 Livestock is a major source of income for many households in the study area and rearing livestock
399 depends on the availability of safe drinking water. Table 4 shows the principal sources of water for
400 livestock drinking are rivers and streams in Gelan K (66.2%), Gogecha (58.8%) and T/Guracha (50%)
401 *kebeles* in Gelan. Conversely, households in Xadacha (83.3%) and Koticha (72.6%) use tap water to
402 water their livestock.

403

[Insert Table 4]

404

405 In spite of these differences, livestock is set free in order to graze in the open landscape during the long
406 dry season and on the fields after harvest. Hence, the provision of tap water does not mean that
407 livestock is not exposed to effluents (Fig. 9). This was also witnessed in the FGDs, where particular
408 worries were expressed about the health of children who rely on milk and milk products from their own
409 livestock.

410

[insert Fig. 9]

411

412 Based on the prevailing scenarios, an assessment was made in order to understand the magnitude of
413 livestock health problems and the accompanied effects for which the result of the household survey
414 data was displayed in Table 5.

415

[Insert Table 5]

416

417 Tabel 5 shows that the magnitude of assumed effects of effluents on the health of livestock vary in each
418 studied *kebele*, depending on its location and the level of access to the municipal water supply. The
419 livestock of residents who live in the downstream *kebelesof* Gelan k and Koticha (Fig. 10) are
420 relatively more affected than those in upstream *kebeles*, like Xadacha and Gogecha. Most residents in
421 Gelan K, Koticha, and parts of T/Guracha *kebele* live downstream. Accordingly, 64.5%, 56.3% and
422 50% in Gelan K, Koticha and T/Guracha *kebeles*, respectively, reported cases of sick livestock,
423 compared to 11.1% and 32.4% in Xadacha and Gogecha *kebeles*.

424

[insert Fig. 10]

425

426 In order to assess the sources of water for livestock and the health condition of livestock at the *kebele*
427 level, a *Chi-Square test* was conducted and the results show that The *Pearson Chi-Square test* result

428 shows that there is a link between the location of the study *kebeles* and the sickness of livestock: ($\chi^2 =$
429 122.45, df = 6, $P < 0.05$) (Table 6).

430 [Insert Table 6]

431
432 In order to identify the types of livestock that are more vulnerable to health problems assumed to be
433 caused by polluted water, respondents were asked to reflect on their past experiences. Accordingly, of
434 the five livestock categories considered in this study (cattle, donkey, horse, sheep, and goat), cattle
435 were identified as most vulnerable, followed by donkeys, in all the study *kebeles*. Furthermore, in an
436 expert interview, a veterinarian expressed his view on the nexus between livestock sicknesses and
437 effluents as follows:

438 *“Generally, microorganisms, pathogens are known for causing human or livestock*
439 *health problems and that some of the effluents discharged from industries hold high*
440 *amounts of organic loads: textile, food and beverage, tannery, etc. The presence of high*
441 *organic loads amounts to the presence of microorganisms (aerobic/anaerobic) that*
442 *survive by decomposing organic loads. Therefore, the use of water infected with*
443 *pathogens means high risks of contracting disease by the livestock. Based on this fact,*
444 *most of the livestock that were brought to the veterinary clinics for treatment were*
445 *diagnosed for bacterial infections mainly “Salmonella”. Based on our recorded data,*
446 *more cases were reported for cattle and donkeys than other livestock which were in*
447 *fact much less in number among the livestock types owned by most households”*
448 *(Question no. 8; expert interview conducted 20.02.2014).*

449
450 The role of livestock on the livelihoods of households in the study area is immense. Therefore, their
451 long lasting sickness or even death can easily disrupt the economic situation of a household.

452

453 **2.4 Economic costs of human and livestock treatments**

454 **2.4.1 Cost of medical treatment for a family member**

455 Another aspect of this study was to assess the economic costs of human and livestock treatments. This
456 section shows the estimated costs that a household might pay for a medical treatment that is needed due
457 to exposure to industrial effluents at a Kebele health post. The mean costs for a treatment for a sick
458 individual were more or less similar in Gelan (US\$ 5.9) and Dukem (US\$ 4.0) in 2014 (Table 8). Based
459 on the interviews made with drug dealers in Gelan and Dukem, the lowest costs arise when sick

460 individuals purchase ‘Paracetamol’ (also called “pain-killer”) in order to get relieve his/her pain or
461 from an itching skin due to a skin allegey. In extreme cases, however, a patient may pay total costs up
462 to US\$ 11.5 (and Dukem) and US\$ 15 (in Gelan) respectively (Table 7).

463 [Insert Table 7]

464

465 **2.4.2 Economic costs of livestock treatment**

466 In this regard, an attempt was made to collect information on the economic costs of livestock treatment
467 in a veterinary health post. Table 8 outlines the mean costs for treatment of cattle per visit.

468 [Insert Table 8]

469

470 The variations in livestock treatment costs between US\$ 1.8 in Gelan and US\$ 1.6 in Dukem, the slight
471 variation in the treatment cost was mainly attributed to the level of sickness and the type of veterinary
472 health posts visited.³ On the other hand, the loss of livestock due to health problems, which might be
473 due to the exposure to polluted surface water, is a serious economic loss for the concerned households.
474 Table 9 gives a summary of the average price of the livestock at local markets.

475 [Insert Table 9]

476

477 The mean market price of sick/affected cattle in Table 10 was calculated based on the estimated cattle
478 price of the local markets. Respondents have estimated the price of their cattle at the local market
479 between US\$50 and US\$ 600 (Table 10), based on age, size and health status of the animal. Therefore,
480 losing cattle costs a household, on average, about 300 US\$ per animal.

481

482 **2.5 Community trainings and consultations**

483 According to proclamation no. 300/2002, the environmental awareness of local communities should be
484 raised through community training and/or consultations that would enable them to protect themselves,
485 as well as their property, against the danger posed by toxic substances. Against this backdrop, the
486 question was raised to the interviewees if they ever received any form of training or consultation from
487 local or regional governments aimed in order to create awareness of how to protect their household

³ Usually, private owned health posts are costlier than public ones. In Dukem town, most people bring their sick livestock to public health posts for which they pay less compared to Gelan where the prices are set by private clinic owners.

488 members and/or their livestock against effluents from the nearby industries. The findings are shown in
489 Table 10.

490 [Insert Table 10]

491
492 It is evident from Table 11 that the large majority of the respondents (79.4%) did not receive any form
493 of information, training, and/or consultation at all. In the face of widespread and uncontrolled discharge
494 of effluents into the open environment (Fig. 2), this is an astonishingly high figure. Only 15.2% of the
495 interviewees reported that they received information on the potential harm caused by the industrial
496 effluents.

497

498 **3 Discussion**

499 The environmental and health related problems associated with wastewater discharged from textile
500 industries have since long been sources of global concern. Textile effluents consisting of high
501 concentrations of toxic chemicals and organic loads – often beyond the permissible limit - can alter the
502 physico-chemical characteristics of humans, animals and plants, as well as whole ecosystems (Zaharia
503 et al. 2011). Through this they produce multiple indirect economic costs, e.g. by reducing agricultural
504 production, or by increasing the cost of drinkable water and health treatment. Of the total sixteen
505 parameters observed in the laboratory, this study focused on and selected ones that help to determine
506 the quality of water for different uses. The discussion involved comparing the values obtained in the
507 laboratory and the permissible limit of discharge allowed focusing on why some of these pollutants
508 were observed in large concentrations and the implications of polluted surface water on the health of
509 humans and livestock.

510

511 ***3.1 Major pollutants and their concentration levels against the national standards***

512 In all samples collected from the effluents of the four case study textile industries, six variables were
513 measured much higher than the permissible limit of discharge. Three of them (BOD₅, COD and TSS)
514 were observed in effluents from all four textile plants while the others were plant specific. In this
515 context, Islam et al. (2011) has found BOD₅, COD, TSS and T° values of 573.89mg/l, 1223.33mg/l,
516 1123.11mg/l and 5022°C, respectively, from samples taken from textile industry effluents in Gazipur
517 and Narayanganj cities in Bangladesh. Likewise, Singh et al. (2013) had conducted a study on effluents
518 from eight textile factories Punjab in India. Their results show concentrations of BOD₅ between

519 156mg/l and 790mg/l. Likewise, the measured values for COD and TSS concentration levels from the
520 same industries range from 120mg/l to 3050mg/l and 898mg/l to 5145mg/l, respectively.

521 Likewise, the results of a study conducted by Siyanbola et al. (2011) on effluents from five textile
522 industries in Nigeria shows high concentrations of BOD₅, COD, and TSS, between 340mg/l and
523 560mg/l for BOD₅, between 615mg/l and 1245mg/l for COD, and between 0.11mg/l and 310mg/l for
524 COD. The measured values of temperature in wastewater discharged from textile plants in most cases
525 falls well within the national standards of their respective countries. In our study, however, an
526 exceptionally high temperature of 77°C was measured in effluents from the NOYA textile industry in
527 Gelan. This is nearly double the national and international permissible limit of the maximum
528 temperature of 40° C for discharged effluents, as well as the highest temperature measured in effluents
529 from textile industries worldwide. Islam et al. (2011) also measured an exceptionally high temperature
530 (i.e. slightly higher than 50°C) in effluents discharged from a textile industry in Narayanganj city in
531 Bangladesh. A wastewater temperature of 77°C is likely to have strong negative impacts on the
532 surrounding animals, plants, soils, and wetlands. Another important pollutant identified in the sampled
533 effluents was T. Coli, where 820±195mg/l and 712±37.0mg/l were found in the samples taken from
534 ALMHADI and ALSAR industries, respectively.

535 The main reason for the presence of these pollutants in large quantities is attributed to the fact that most
536 textile industries use organic materials and fibers as raw materials. More importantly, the absence of
537 effluent treatment and/or the low quality of effluent treatment techniques used (e.g., due to age or
538 model) results in the pollutants being removed to a level below expected inefficiently. Furthermore, the
539 type and quality of chemicals used in the effluent treatment plant would also affect the pollutant's
540 removal efficiency (Magarde et al. 2009; Govindarajalu 2003; Khan and Malik 2013). It is important to
541 say that all industries investigated in this study, except NOYA, have their own effluent treatment plants
542 and yet still discharge highly polluted effluents. The measured values of the sampled effluent taken
543 from the NOYA industry showed that 8 of the 16 parameters are much higher than the national limits.
544 According to the technician who works on the effluent treatment plant and the manager of the company
545 (i.e. ALMAHDI), the design of the treatment plant and the chemicals they use were not effective. In an
546 expert interview, the ALMHADI manager indicated that they are aware of the problem, but that the
547 more effective wastewater treatment measures are expensive and priority of their company is profit.

548 Unlike ALSAR, ALMHADI was able to regulate the amount of most pollutants within the intended
549 national limit. For instance, of the 16 investigated pollutant types, the values of only 4 pollutants were
550 seen as slightly higher than the permissible limit. The values for all of the other 8 parameters were

551 lower than the EPA regulation (Table 1). The manager of the company ALMHADI, was already aware
552 of the problem and was focused on an appropriate industrial waste management strategy.

553

554 **3.2 *The environmental implication of wastewater from textile industries***

555 According to Kant (2012), effluents with high temperature and pH values above the tolerable limit (as
556 proven in this study for the effluents from NOYA) could cause the extinction of important
557 microorganisms. Likewise, the presence of high amounts of BOD₅ in wastewater has led to the
558 depletion of DO, which is important for the survival of wetland ecosystems. The environmental
559 implication of high BOD₅ in wastewater is associated with the removal of Dissolved Oxygen (DO),
560 which is central for aquatic ecosystems. The amount of DO available in water is directly affected by the
561 amount of BOD₅ loads in effluents. High concentrations of BOD₅ could create an ideal environment
562 for the growth of microorganisms that survive by decomposing the organic matter using DO. Thus, at
563 higher concentrations, BOD₅ remove more DO that are equally required for the survival of other
564 aquatic life, mainly fish and other aerobic organisms that will be threatened in such circumstances
565 (Islam et al. 2011; Prabu et al. 2008; Kovaipunder 2003). The removal of more DO affects the
566 availability of DO required for the plant's metabolism and reproduction (Mallya, 2007). COD was
567 another pollutant found in large concentrations in the effluents sampled from all of our four case study
568 industries. The main problem related to high COD concentrations is that it depletes available dissolved
569 oxygen. In this environment, anaerobic microorganisms use DO to oxidize inorganic loads in the water.
570 Hence, sustained removal of DO has a destructive effect on aquatic biodiversity by reducing the
571 metabolism and the water's ability to recharge water oxygen.

572 In this study, we also considered pH, S₂, NO₃, P-SO₄³, and Zinc. The pH value is linked to the
573 biological productivity of aquatic ecosystems in a way that does not deviate from the specified limit
574 without risking damage to their productivity (Islam et al. 2011; Tüfekci et al. 2007). Given that, our
575 study revealed that the pH value calculated for all industries was within the specified limit but that the
576 measured value was very close to the margin of alkalinity (Table 1). According to WHO/UNEP (1999),
577 pH values between 6.5 and 8.5 are within the typical range of most major drainage basins around the
578 world and are usually referred to in order to indicate good water quality. According to our results, the
579 concentration of Sulfate in effluents from DH-GEDA was slightly higher than the permitted discharge
580 limit (table 1), indicating that its higher levels in the surface water would present health risks to people.
581 Earlier studies have also demonstrated that high sulfate concentrations in water used by humans, could
582 increases the chance of exposure to diarrhea (Khan et al. 2014).

583

584 **3.3 Textile waste water and its effects on the health of the human and livestock**

585 Households who reside far away from the towns of Dukem and Gelan and those who live downstream
586 were found to be the most vulnerable to health problems. Generally, the relative number of human
587 health problems associated with polluted surface water was much lower than the figures indicated for
588 livestock.

589 According to the results of this study, children who live close to the wastewater discharge canals and
590 those who live in downstream *kebeles*, were more affected than those who live farther away from
591 wastewater sources and pathways. A verification of the principal causes of human health problems
592 would, of course, demand medicinal diagnoses and specialized laboratory tests. Yet, the high levels of
593 contamination in wastewater with different chemicals and the high T. Coli content as well as the high
594 temperature of effluents, are considered as factors that contribute to human health problems. However,
595 the magnitude of the problem varies within the study *kebeles*, especially with regard to the perceived
596 nexus between health problems and industrial effluents (between 0,0% in Xadacha and 30,6% in
597 Koticha). Residents in downstream areas and those who live in areas with limited or no access to
598 potable water reported the highest occurrence of related health problems.

599 The main water-related problem for households is that the availability of public and private potable
600 water sources was not sufficient to cover the demands of domestic households. Many households are
601 thus forced to use water from open streams and drainage channels that are often polluted by effluents.
602 Coli forms are the most commonly used indicators of contamination in drinking water. Water that
603 contains coli forms should immediately be tested further for fecal coli forms or *E. coli* (see below).
604 Boiling coli contaminated water for one minute is a reliable way to disinfect it. Of the two types of the
605 pollutants, the presence of *E. coli*, also code-named *E. coli* 0157:H7, in the water used for domestic
606 consumption, can cause human health problems, for children in particular (WHO 2008).

607 An important point observed in this study is the prevalence of livestock health problems to those
608 observed for humans. Yet, we observe considerable variations in the distribution of the problems: at
609 study town level, more livestock health problems were reported in Gelan than in Dukem (Table 5). At
610 *kebele* level, however, the results reveal that households in *kebeles* situated downstream were more
611 affected than those situated upstream. For instance, situated along the flow lines of the effluents where
612 livestock could easily access the wastewater, sickness among livestock was reported in Gelan *k*, and in
613 parts of T/Guracha and the Koticha *kebele*. This is primarily due to the absence of any alternative
614 sources of drinking water for the livestock and the people in the study *kebeles*. Thus, unless the issue

615 of environment and the livelihood of these people are properly handled, the ongoing scenario suggests
616 that there will be more damage to the environment and the livelihoods of the local people.

617

618 **4 Conclusions**

619 Ethiopia is one of the least-developed countries worldwide and agriculture is the backbone of its
620 national economy. Conversely, the industrial sector is in its infancy, accounting for less than 5% of the
621 work force and contributing less than 13% to the national GDP. Since the formulation of the Industrial
622 Development Strategy (IDS), the Ethiopian government has taken a couple of proactive measures in
623 order to ‘modernize’ the economy by promoting the industrial sector. The main justification of the
624 industrial development project is its economic benefits at local, regional and national levels. However,
625 the project also showed some significant negative impacts.

626 Since 2005, Dukem and Gelan town have undergone rapid industrialization process that involved the
627 rapid flow of investors, whose origin is local. The results of this study revealed that the concentration
628 of some physico-chemical and bacteriological pollutants (BOD₅, COD and TSS) in textile effluents in
629 Gelan and Dukem is higher than the permissible limit defined by the Ethiopian Federal Environmental
630 Protection Authority (EPA). The concentrations of other pollutants, however, were below that limit.
631 This study also indicated that the environmental consequences of disposing untreated or inefficiently
632 treated wastewater into ambient environments damage the aquatic biodiversity. Moreover, one of the
633 critical problems of textile industries in developing countries is the management of the vast amounts of
634 waste generated. Challenges are particularly associated with disposal of wastewater into the ambient
635 environment. Therefore, in areas where development activities take place, consultation with the local
636 communities raise community awareness of development activities. Consultation or holding
637 community training boosts, not only the awareness and participation/support for development activities
638 in their locality, but also raises their awareness in protecting their family and properties from the
639 negative outcomes of the proposed or ongoing changes.

640 According to the findings of this study, the indiscriminate conversion of large tracts of prime
641 agricultural lands has been negatively affecting the livelihoods of the affected households in many
642 ways. In the first place, intensive land conversion caused a sharp reduction in the total cultivated land
643 size and the volumes of food crop production both at the study kebele and at household level leading to
644 household food insecurity. The study showed that industrialization and land use change has affected
645 household food security in three aspects. Firstly, they lost large agricultural land area (i.e. nearly half of
646 what they owned at the start of the program in 2005) for the establishment of industrial projects, which

647 did not ensure stable jobs or better wage for peasants. Secondly, the expropriation of farmlands
648 significantly reduced the self-reliance of the households on food. Many of the surveyed households
649 reported that they are not able to produce enough food for their own consumption and high living costs
650 (i.e., due to reduced farmland size and production, none existence or limited opportunities of off-farm
651 and non-farm employment incomes) and the price of staple food crops is also increasing. Finally,
652 health problems (i.e. human and livestock) are found as an important result of deteriorating
653 environmental pollution in general and from the high risk posed by the industrial effluents.

654 Based on the findings of this study, many of the farming households are not comfortable with the
655 procedures involved in process of land expropriations. Because the lack of transparency during field
656 measurements of the expropriated farmland size, the elements considered in estimating the values of
657 their properties and in the final compensation amounts. The grievances of most of the affected
658 households are so intense in relation to the inadequacy of the compensation money and the manner in
659 which the compensation money was aid to them. Due to the very short notification period (sometimes
660 30 days), the affected families are not given much time to adapt to changing living circumstances when
661 they lose their land.

662 Another grievance by the affected households was related to the low development level of the
663 converted lands and lack of off-farm or non-farm employment opportunities for some of the
664 households, where the income derived from agricultural activities is simply too low to cover all living
665 expenses either due to too small farmland size or turned into landless. Moreover, the younger
666 generations do not wish to work as farmers. Based on the results of the field GPS survey, although
667 agricultural land was converted into IZs, many licensed investors did not develop the land, hence did
668 not invest as initially proposed. This is confirmed by this study. It shows that the majority of the
669 licensed investors (72% in Gelan and 63% in Dukem) did not develop their land in a stipulated period.

670 In consequence, the substantial conversion of farmland into 'industrial land' negatively affects local
671 people not only through the loss of their farmland but through the lack of promised employment
672 opportunities and improved infrastructure that might have otherwise offset their losses in the
673 agricultural sector. In relation to employment opportunities, high labor migration coupled with labor
674 selection turned against the chances of getting opportunities for the local people where the level of
675 human capital development is very low and with no specific skill acquired by most of the unemployed
676 people except activities related to farming. As a result, those households who heavily rely upon
677 farming activities, on their own land or on the land of others by working as farm laborers, often have
678 more difficulties in taking care of their families when agricultural land conversion takes place and

679 agricultural land holdings decline. In short, although non-agricultural activities are considered
680 positively related to higher income and sustainable livelihoods, the success of non-agricultural
681 trajectories depends upon the households' 'starting position'. It is no given that people, especially the
682 poor, can actually take advantage of new employment opportunities outside the agricultural sector.”
683 Finally, the results of this study can highlight a significant lack of comprehensive studies that can
684 indicate the impact of textile industries effluents on the health of people in the towns of Dukem and
685 Gelan. Accordingly, a study on the impact of textile industries' effluents on people's health in Dukem
686 and Gelan should be considered in future studies.

687

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809

810 **Appendix**

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812

Survey questionnaire for sampled informants

813

814 **PART I**

815 **General Information**

816 **I. Location identification**

817 1. *Woreda*/town -----

818 2. Name of *Kebele*/village -----

819 3. Name of data collector -----

820 4. Date of data collection -----

821 **PART II**

822 **I. Household demographic characteristics**

823 1. Sex of household head: a. male ----- b. female -----

824 2. Age: -----

825 3. Place of birth: -----

826 4. Marital status: a. single b. married c. separated d. widowed e. divorced

827 5. Educational level:

828 a. Cannot read and write b. can read and write c. 1 – 4 d. 5 – 8 e. 9 – 10 f. 11 – 12 g. > 12th

829 6. Ethnicity:

830 a. Oromo b. Amhara c. Tigre d. other, specify,-----

831 7. Religion:

832 a. Waqefata b. orthodox c. protestant d. catholic e. Muslim f. other, specify, ---

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835 **PART III: Questionnaire on the Livelihood Assets of a household**

836

837 **N.B. Multiple answers is possible where required**

838 **I. Human capital/asset of a household**

839 1. If you are married or heads a family, please, indicate your family size by age, sex, educational status and major
840 occupation:

No	Pseudo name	Family profile				Remark
		sex	age	educational status	basic occupation (>1 answer is allowed)	
1						
2						
3						
4						
5						

6						
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II. Access to Natural capital/assets of a household / Economic assessment

A. Land

1. Do you have agricultural land?
 - a. yes b. no

2. Would you please mind indicating the size of each land use type for the years specified in the table?

Land use type	Size in local unit (i.e. qarxii)			Remark
	Before 2004/05	In 2008/09	In 2012/13	
Cultivated land				
Fallowed land				
Grazing land				
Planted Forest land				
Others,				

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3. Would you please, tell total size of cultivated land and total amount of crops harvested over the years indicated?

Crop type	Total size cultivated land (<i>Qarxii</i>)			Amount produced (<i>Qunt.</i>)		
	2004/05	2008/09	2012/13	2004/05	2008/09	2012/13
wheat						
<i>teff</i>						
barley						
Oats						
maize						
peanut						
Horse bean						
Haricot bean						
Others, list						

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4. For how many months of the year that you annual crop production could able to feed your family?
 - a. <3 months b. 3-6 months c. 6-9 months d. 9-1 year e. >1year e. other, specify -----
5. What has happened to the size of your agricultural land over the past 8 years?
 - a. Increased b. decreasing c. intact d. other, specify, -----
6. If your answer to Q5 is ‘decreasing’, what are the major causes for that?

- 860 a. converted to investment in industries c. Shared with family member
861 b. fall within urban housing expansion d. other, specify, -----
862 7. If your answer to Q6 is 'a', how many hectare/'*qarxii*' is converted to industrial establishment?
863 a. 0.25ha b. 0.25-0.5ha c.0.5- 0.75ha d.0.75-1ha e. 1-1.5ha f. whole farm land g. other, specify-----
864 8. Were you consulted by local/regional government authorities about the conversion of your land?
865 a. Yes b. No
866 9. If your answer to Q8 is 'yes', how did you decide/ were convinced to give up your land and properties on it?
867 a. voluntarily b. order to cede c. other, specify, -----
868 10. Were you paid compensation? a. yes b. no
869 11. If your answer to Q10 is 'yes' how much birr, -----
870 12. If your answer to Q10 is 'yes', how did you collect your compensation money?
871 a. all in one installment b. installment was made phase by phase c. not yet paid d. other, specify,-----
872 13. If your answer to Q10 is 'yes', how did you rate/compare the amount of compensation money with your land and
873 properties on it if any? Compensation money was:
874 a. higher than aggregate value of my land and properties on it
875 b. was equivalent to the value of my land and properties on it
876 c. lower than the aggregate value of my land and properties on it
877 d. very much lower than the aggregate value of my land and properties on it
878 e. Other, specify -----
879
880 14. What did you do with the compensation money? Explain, four major activities
881 a. -----
882 b. -----
883 c. -----
884 15. How do you rate your household's current living status and standards before collecting compensation money and
885 after collecting compensation? Do you thing, your living status and standard improved significantly
886 a. Strongly agree e. disagree
887 b. Agree f. strongly disagree
888 c. unsure
889 16. Have you ever displaced from your residential areas to cede your land for ongoing investment activities in your
890 area? a. yes b. no
891 17. If your answer to Q16 is 'no', have you ever worried that you will be some day in the future? a. yes b. no
892 18. If your answer is yes, what is your plan as to solve the problems that might come because of displacement?
893 a. -----
894 b. -----
895 c. -----

896 **B. Agriculture - Industry linkages**
897

- 898 1. Do you have access to supply raw materials from your produce (crops, livestock, etc) for operating industries in
 899 your area? a. yes b. no
- 900 2. If your answer to Q1 is 'yes', would you please, specify top three items in order of their importance for you,
 901 a. -----
 902 b. -----
 903 c. -----
- 904 3. Do you have an opportunity/possibility to purchase consumable products produced from operating industries in
 905 your area? a. yes n. no
- 906 4. If 'yes' to Q3, what type of consumable goods? Please list top three important items and compare prices with
 907 conventional market price
 908 a. ----- (cheap, similar, expensive)
 909 b. ----- (cheap, similar, expensive)
 910 c. ----- (cheap, similar, expensive)

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913 **C. Employment opportunities in relation industrial activities**

- 914
915 1. Can you indicate employment history of your household members?
916

Employment Status	male	age	female	age	total	Remarks
employed						
unemployed						

- 917
918 2. Is there anyone of your family member who is hired in any of the nearby investment activities? a. yes b. no
- 919 3. If your answer is 'yes', can you indicate the type of employment? (>= one answer possible)
 920 a. Daily laborer d. professional work, specify -----
 921 b. Foreman e. other, specify -----
 922 c. compound keeper
- 923 4. How much is the average monthly income for unskilled household member employed in industry? (in birr)
 924 a. <500 b. 501-750 c. 751- 1,000 d. 1,001-1250 e.1251-1500 f. >1,500
- 925 5. What is the household monthly saving from the income obtained from employment in the industry?
 926 a. < 100 birr b. 101 – 150 c. 151-200 d. 201- 250 e. 251 – 300 f. other, specify -----
- 927 6. Do you and/or other people in your locality have access to employment opportunities in the processes of industrial
 928 establishment? a. yes b. no
- 929 7. If your answer to Q6 is 'yes', what type/s of employment/job opportunities are easily/ commonly available for
 930 local people in your area? Indicate in terms of their decreasing order of availability
 931 a. Wage labor b. daily labor c. compound keeper c. casual work d. other, specify-----
- 932 8. What are the major problems related to employment in industries?

- 933 a. lack of education b. lack of skill c. availability of excess labor from other places
 934 d. employers are selective: prefer people from urban origin than from rural area e. other, specify -----
 935 9. What implication (positive-negative) do you think employment in the industries has on own agricultural activities
 936 in your locality? Please, put in order of their importance
 937 a. Diversify sources of household income b. divert/reduce farm labor
 938 c. Affect agricultural production d. accelerate rural-urban migration e. other, specify -----
 939 10. Do you agree with the processes of rapid industrialization and the accompanied rural land conversion in your area?
 940 a. Strongly agree b. agree c. unsure d. disagree e. strongly disagree
 941 11. If rapid industrialization is associated with major negative impacts, what do you suggest to be undertaken by the
 942 government to avoid or reduce the negative impacts in your locality?
 943 a. -----
 944 b. -----

945
 946 **III. Access to physical capital/assets**

947 **A. Infrastructure**

- 948 1. When did you get access to the following infrastructures in your locality/*Keble*? Please, put thick mark ‘√’ based on
 949 the years indicated in the table,

Type of Infrastructure	2004/05	2008/09	2012/13	Remark
paved				
Gravel				
Coble stone				
Asphalt				
Potable water				
Power/electric				
Health centers				
School				
i. 1-4				
ii. 5-8				
iii. 9-10				

- 950
 951 **IV. Financial capital/assets**
 952 **A. Income and saving**
 953 1. Do you have your own savings of money in liquid and/or grain form to be used for emergencies and/or other
 954 household use purposes?
 955 a. Yes, I have own savings d. No, I do not have saved/savings so far
 956 b. I do not have extra money/grain to save e. I am not interested in saving
 957 c. I do not have any idea about saving
 958 2. Did you or any of your family members involve in non-agricultural income generating activities?

- 959 a. Yes b. No
- 960 3. What do you or your family member do with the income obtained from non-agricultural activities?
- 961 a. purchase food c. pay back debts d. purchase farm implements and inputs
- 962 b. Save for future uses e. other, specify, -----
- 963

964 **B. Livestock ownership**

- 965 1. Do you own livestock?
- 966 a. Yes b. No
- 967 2. If your answer to Q2 is 'yes', please give us the following details for the periods indicated in the following table

Livestock category	Year		
	2004/05	2008/09	2012/13
cattle			
oxen			
caw			
calves			
heifers			
bulls			
Sub-total			
Equines			
horse			
donkey			
mules			
Sub-total			
Ruminants			
sheep			
goat			
Sub-total			
others			
chickens			

- 968
- 969 3. Do you face animal feed problems such as communal and/or own grazing land shortages over the last five years
- 970 back from 2011?
- 971 a. Yes b. No
- 972 4. If your response to Q3 is 'yes', what is/are the causes?
- 973 a. shrinking of own grazing land
- 974 b. lack of communal grazing lands
- 975 c. communal grazing land converted to investment and settlement activities
- 976 d. Lack of clean drinking water f. other, specify -----
- 977 5. If your answer to Q3 is 'yes', what measures did you take to overcome shortages of grazing lands/pasture?
- 978 a. Limiting livestock number b. avoiding equines to save pasture c. purchase fodder
- 979 d. sold to shift to employment in industry e. other, specify -----
- 980

981 **V. Access to Social capital/ social assessment**

982 **A. Schooling**

- 983 1. Are there any children in your family who are not going to school over the last five years? a. yes b. no
- 984 2. If your answer is yes for Q1, what are the major reasons for not sending children to school? (> 1 answer is possible)
- 985 a. Unable to afford school expense f. Lack of awareness
- 986 b. In need of child labor g. Abduction of girls
- 987 c. engaged in daily labor/wage in industries h. Changing place of living
- 988 d. Absence of schools i. Other, specify -----
- 989 e. Schools are far from home
- 990 3. Are there any children in your family who dropped out of school over the last five years?
- 991 a. Yes b. No
- 992 4. What are the major reasons for school dropout? (More than one answer is possible)
- 993 a. Economic problems (Unable to afford school expense) e. Lack of awareness
- 994 b. In need of child labor f. Abduction of girls
- 995 c. Absence of schools g. Changing place of living
- 996 d. Schools are far from home h. Other (specify) -----

997

998 **B. Socio-cultural aspects**

- 999 1. What main socio-cultural **problems/prospects** is/are emerging and how do you rate their trends after industrial
- 1000 establishments in your locality? Please, write the later of your choice in front of each question

1001

Types of social problems/opportunities	a. severe b. moderate	a.increasing b. decreasing
	b. low d. no problem observed yet	c. constant d. not sure
Theft		
Conflict over grazing land		
Conflict over agricultural land		
Juvenile delinquency		
Commercial sex workers		
Beggary		
Unemployment		
Street-ism and orphan/child related problems		
Disability – related to working in industries		
Elders without support		
Alcoholisms		
<i>Jigii</i>		
<i>Idirii</i>		
<i>Equbii</i>		
Other, list and rate		

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Part IV.

Environmental Assessment

1. What are your current sources of water for household consumption in your locality?
a. River/stream water b. spring water c. pond in backyard d. tap water potable e. other, specify -----
2. If you answer to Q2 is 'a', what do you do with it?
a. Drinking b. for cooking c. bath d. washing and sanitation e. other, specify -----
3. How do you rate the quality of river/stream water in your area for human uses after the processes of industrial establishment based on your local knowledge/experience?
a. excellent b. very good c. good d. bad/unclean/polluted
4. If your answer to Q3 is 'd', did you or your family member get sick of using river/stream water for drinking?
a. Yes b. No
5. If your answer to Q4 is 'yes', how many of family member got sick on average in a year? ----indicate age -----
6. Did you or any of your family members visit health center for medical treatment so far up when sick?
a. Yes b. No.
7. If your answer to Q6 is 'yes', how much money did you pay on average each time you or your family visited health centers? -----
8. Did any of your family member/ relatives die of sickness due to drinking river/stream water so far? Please indicate their age ----- a. Yes b. No
9. What are the most common diseases prevailing in your area over the last five years? (More than one answer is possible)
a.. STD b. TB c. Diarrhea d. Typhoid Fever e. Intestinal parasites f. Gastric g. Ameba
h. Eye disease i. Tonsillitis j. Other (specify) -----
10. What other impact/s does using river water in your area bring on your family, livestock and agricultural activities?
a. Children drop schools due to health problems c. Farm labor often affected
b. Abortion and maternal health problems d. Deaths among children and elders
c. Other specify -----
11. What is/are the principal sources of water for livestock consumption in your area?
a. River/stream water b. pond c. potable water d. other, specify -----
12. Did you or your livestock get sick of using polluted river water for drinking?
a. Yes b. No
13. If your answer to Q12 is 'yes', how many of your livestock got sick on average? -----indicate age-----
14. Did you take sick livestock to health center for medical treatment so far? a. yes b. no
15. If your answer to Q14 is 'yes', how much money did you pay on average each time for treatment? -----
16. Which livestock types are more vulnerable to health problems up on using river/stream water in your area?
a. Cattles: ox, caws, calves heifers, bulls b. equines c. small animals (sheep, goats) d. other specify -----
17. Would you please, mention **three** pressing health problems of your livestock after industrialization process begins in your locality in terms of their order?

1040 a. -----

1041 b. -----

1042 18. What other impact/s does using river water in your area bring on people, livestock and agricultural activities?

1043 a. Farm labor often affected

1044 b. Affected agricultural production

1045 c. Livestock incomes such as milk and milk products declined

1046 d. Abortion and maternal health problems

1047 e. Deaths among calves

1048 f. Other, specify -----

1049 19. What do you think should be done by you, local administration, investors and government at higher levels do in order
1050 to reduce or avoid the principal sources of river/stream water pollution in your locality and enhance the usability of the
1051 river/streams?

1052 a. -----

1053 b. -----

1054 c. -----

1055

1056 **Part V**

1057 **Which of the following best represent your Copping and adaptations Strategies to farmland losses?**

1058 **(Multiple responses are possible)**

1059 1. How do you cope with problems of land and food shortages for your household? Please, put ‘√’ mark (>1 answer
1060 possible)

1061 a. share cropping

j. consume less preferred food

1062 b. land rent

k. borrowing grain from relatives/neighbors

1063 c. work in others farm

l. cash/money loans from merchants

1064 d. diet change: type, quantity and quality reduction

m. labor sale: work for the others farmers

1065 e. livestock sale

n. grass sale

1066 f. ox/oxen, equines rent

o. fuel wood and animal dung sale

1067 g. farm land renting

p. daily labor in investment sites

1068 h. buy food on credit basis

q. sale of hand crafts

1069 i. migrate to urban centers

r. other, list

1070