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# 3D displacement of the middle ear ossicles in the quasi-static pressure regime using new X-ray stereoscopy technique

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## Abstract

A novel X-ray stereoscopy technique, using greyscale information obtained from moving markers, was used to study the 3D motion in both gerbil and rabbit middle ear ossicles in the quasi-static pressure regime. The motion can be measured without visually exposing the ossicles. The ossicles showed non-linear behaviour as a function of both pressure and frequency. For instance, about 80% of the maximum umbo displacement occurs at a 1 kPa (peak-to-peak) pressure load, while a limited increase of the amplitude is noticed when the pressure goes to 2 kPa. In rabbit the ratio of stapes to umbo motion amplitude was 0.35 for a pressure of 2 kPa (peak-to-peak) at 0.5 Hz. From two stereoscopic projections of the marker paths, 3D motion of the ossicles could be calculated. This motion is demonstrated on high-resolution computer models in order to visualize ossicular chain behaviour.

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*Keywords:* Ossicular chain motion, X-ray stereoscopy, Transfer function.

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## 1. Introduction

The middle ear (ME) is subject to different pressure fluctuations, high or low in amplitude and fast or slow in the change rate. These have been reported to happen in daily lives, and to have an influence on hearing thresh-  
olds. Therefore, several researchers have studied the deformation of the tym-  
panic membrane (TM) and the displacement of the ME ossicles as a function  
of pressure using different approaches in different species. Moiré interfer-  
ometry was one of the popular methods to study the TM deformation in:  
gerbil [6, 7, 26], cat [19], and human [5]. Moiré interferometry allows full  
field deformation measurements, but it takes several seconds to measure the  
deformed TM shape at a single pressure. Therefore, it cannot be used to  
measure dynamic deformation. Moreover, it requires the object to be fully  
visible to permit projection of a regular grid (usually a line grating) onto the  
object and recording of this image from a different view, which increases the  
dehydration of the specimen and introduces other artefacts. Another way  
to quantify the ossicles vibration/motion is the Mössbauer technique, which  
is used for ossicles motion [18], as well as for studying the vibrations of the  
inner ear (IE) [14, 17, 12, 25].

Apart from those two techniques, Hüttenbrink [15] used a microscope  
equipped with radiographic magnification to visually study the mechanical  
behaviour of the ossicular chain in human under static pressure. Although he  
could study the 3D displacements of malleus, incus, and stapes, his approach  
could not study the effects of the dynamic pressure changes on the ossicles,

since the pressure had to be kept at the same (static) value over a long  
25 period of time. Nevertheless, Hüttenbrink presented an important detailed  
study that facilitated the understating of ossicular chain behaviour in the  
static pressure regime [15].

Recently, Gea et al. [11] studied the TM deformation in human and gerbil  
using the CT technique under static pressure, and thus derived the 3D dis-  
30 placement of the ossicles from the deformation of the TM [11]. Their method  
is only applicable under static pressures. Nevertheless, it requires a full scan  
of the ear and intensive back projection algorithms per pressure. However,  
in their approach, a complex image processing is required to obtain motion  
information.

35 Despite its importance to understand how the ME behaves in such pres-  
sure regimes, the 3D ME mechanics as a function of quasi-static pressure  
changes have not been investigated thoroughly due to practical limitations.  
Nevertheless, Dirckx and Decraemer [6] and Dirckx et al. [4] have studied  
the displacement of a gerbil umbo and a rabbit umbo and stapes respec-  
40 tively. In both studies, the displacement as a function of several quasi-static  
pressure changes were studied using high-resolution moiré interferometry for  
gerbils, and laser Doppler vibrometry (LDV) for rabbits. The LDV, which  
has become a popular technique to study the ME motion, allowed them to  
quantify umbo and stapes displacement along the direction of maximum dis-  
45 placement. But since LDV only measures displacements long one rotational  
axis, it cannot offer 3D displacement information, unless three laser beams  
from different views are used.

Vorwerk et al. [27] used a high speed camera to study the deformation of

the human TM in the quasi-static pressure regime, thus the displacement of  
50 the malleus umbo could be obtained. However, like LDV the 3D motion is  
not achievable with this approach.

In this paper, the X-ray stereoscopy technique that we developed earlier  
in our papers Salih et al. [24, 23] is used to study the 3D motion information  
of the ossicular chain of intact rabbit and gerbil ears as a function of quasi-  
55 static pressure changes. Understanding the behaviour of the ME under such  
circumstances broadens our knowledge about the ME functionality, especially  
on how the ear deals with large quasi-static pressure loads and how the IE  
is protected from large pressure variations at very low frequencies [16].

Although the deformation of the TM and the displacement of the malleus  
60 ossicle have been widely studied in the static (and quasi-static) pressure  
regime [15, 5, 26, 6, 20, 19, 11], the transferred motion to the cochlea has  
been reported only in a few studies. For instance, Dirckx et al. [4] have  
reported the transfer function in a rabbit in the quasi-static pressure regime.  
However, their approach requires that the cochlea has to be opened in order  
65 to make the stapes footplate reachable for the LDV laser beam, thus the  
measurement is not performed in intact ears. Gan et al. [10] have reported  
the transfer function in human using LDV but in the acoustical domain.

When the motions of both malleus and stapes ossicles are obtained si-  
multaneously, as is the case using the X-ray stereoscopy technique in which  
70 multiple points can be studied at the same time, the real-time transferred  
motion toward the cochlea can be resolved. This leads to a better under-  
standing of the complex ME biomechanics.

## 2. Materials and method

### 2.1. Sample preparation

75 Adult rabbits and gerbils were used to measure the displacement of the ossicles. Gerbils were euthanised using carbon dioxide, while rabbits were sacrificed using intravenous injection of sodium pentobarbital 60 mg/kg (Dolethal, Ethical Agents Ltd). The injection was performed in the vein of the pinna after local surface anaesthesia with lidocaine spray (Xylocaine, AstraZeneca). All manipulations were conducted according to the rules set  
80 by Belgian legislation and the local ethical committee of the University of Antwerp, and were in accordance with the Guiding Principles for Research Involving Animals and Human Beings as adopted by the American Physiological Society.

85 Next, the temporal bone was dissected from the skull. A 3 cm long plastic tube was glued (LOCTITE<sup>®</sup> 401<sup>TM</sup>) to the ear canal (EC), through which an air pressure was applied, which moves the TM and thus the ME ossicular chain. At the medial side of the bullae, a small opening was created in order to place  $\approx 40 \mu\text{m}$  diameter tungsten beads, which are used as  
90 marker points. Three beads were placed on the malleus handle; one at the umbo and two further on the manubrium toward the lateral process. Two beads were placed on the stapes; one bead at each crus, cf. figure 1. The preparation was performed under a surgery microscope (Zeiss OPMI Sensera S7) to avoid any damage to the internal structures. Some beads were also  
95 placed on the promontory to assure that the specimen did not move during the measurement and the displacements of the marker points on top of the ossicles are thus exclusively due to air pressure. Moreover, a registration of

the beads position before and after conducting the measurements was done to double check that the beads didn't move from their positions on the ossicles during the experiments. However, the beads adhered to the wet ossicles and promontory due to surface tension, thus no glue was needed. Please note that the bead didn't affect the dynamics of the ossicles since its weight (around  $6.5 \mu\text{g}$ ) is negligible compared to the weight of the ossicles, e.g. the tip of a gerbil malleus with the dimensions of:  $600 \mu\text{m}$  (width)  $\times$   $500 \mu\text{m}$  (height) has a weight of about 0.1 mg.

During preparation, the specimens were kept humid by working under a jet of mist of an ultrasonic humidifier (Bonaire BU-1300) directed via a plastic tube onto the specimen. After preparation, the specimens were wrapped in a wet piece of paper towel and placed in a container made of thin acrylic tubing, to avoid dehydration of the tissue structures, cf. figure 2.

The measurement protocol with moistening that has been followed allowed to do the measurements in fresh samples in order to reduce the post-mortem artifacts, since the measurements were finalized in 1.5 hours after sacrificing the gerbils and within 3 hours for rabbit. The latter took longer as the measurements had to be done in a CT facility 60 km away from the laboratory, where the specimens were prepared. Moreover, some pressure cycles were applied before conducting the measurements as preconditioning process, which can reduce the artefacts due to viscoelastic properties of the membrane. Preconditioning is a biomechanical phenomenon, in which that tissue behaviour changes due to repetitive loading-unloading experiments [9]. Funk et al. [8], have studied the differences between preconditioning and unpreconditioning processes for ankle ligaments. They concluded that pre-

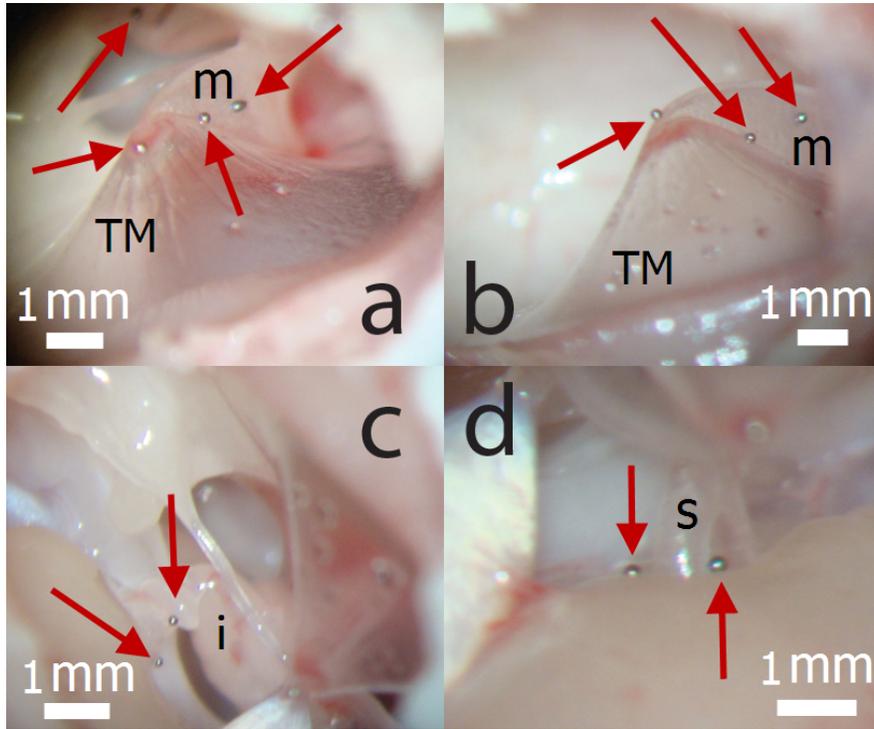


Figure 1: Snapshots of beads on top of rabbit ossicles taken under a surgical microscope: (a) arrows indicate three beads on a rabbit malleus and one (top left) on the incus, (b) zoomed in for the beads on the malleus, (c) one bead on the incus and one on the stapes crura and (d) one bead on the stapes crura and other one on the promontory, which has been used to assure that the specimen did not move during the measurement. TM: tympanic membrane, m: manubrium, i: incus and s: stapes.

conditioning affected the short-time behaviour of ligament relaxation, but not the long-time behaviour [8]. In hearing research, several studies have demonstrated this phenomenon, such as Gaihede [9], Aernouts and Dirckx [2]. Gaihede [9] has studied the tympanometric preconditioning. He reported that the preconditioning process leads to more stable results of TM response to pressure loads. Therefore, we decided to precondition our specimens before start conducting the measurements.

### 130 *2.2. Pressure generation*

A custom-built pressure generator was used to apply a uniform dynamic air pressure to the EC. The pressure setup consisted of an electromagnetic actuator (Frederiksen 2185.00) that was attached to an adaptable gas volume in connection with a tube. When the actuator moves, the pressure changes, since the amount of gas remains constant. With a pressure sensor (Druck PDCR 10/L) coupled to the tube, the exact pressure values were obtained using a custom-built feedback system [1].

A function generator (Tektronix TDS 210), attached to the feedback system, was used to generate the frequency of the desired pressures within the range of  $\pm 2$  kPa at frequencies varying from 0.1 to 100 Hz. In this paper, pressures of 1 and 2 kPa (peak-to-peak amplitude) at frequencies of 0.5, 1, 5, 10, 20, 30, 40 and 50 Hz were used.

### *2.3. Measurement of the motion*

As presented in our papers [24, 23], a method has been developed to study the 3D motion of the internal structures of an object. It makes use of

X-ray stereoscopy in order to obtain the 3D motion information within non-transparent objects, such as the ME, from greyscale variations. In short, the method works as follows: Using the X-ray point source of a CT machine, two images are recorded from two different directions (by rotating the object  
150 over  $90^\circ$  between imaging), while the internal structures are moving. The 3D coordinates of marker points in the world coordinates system can be obtained from the coordinates of the marker points in the camera coordinates system in the pair of images. When the 3D coordinates of the two outer points of the displacement are calculated, the amplitude of motion for a periodically  
155 moving object can be measured.

Since the ossicles moved during the X-ray imaging due to the applied air pressure, the integrated recorded intensity along the path of motion of the marker points, which have been placed on top of the ossicles, showed different values due to the motion speed. When a marker remains longer in  
160 a given position, more X-rays will be absorbed and vice versa., cf. figure 3. Therefore, the greyscale of the X-ray shadow image can be used to reconstruct the time information by integration and thus the 3D motion information can be obtained.

The measurements were performed as follows: The gerbil specimens were  
165 placed in the specimen holders of a  $\mu$ CT (skyscan 1072) with a spot size of  $8 \mu\text{m}$ , while the rabbit specimens were imaged using a custom built state-of-the-art  $\mu$ CT (UGCT -Ghent University), which can achieve feature recognition of  $2 \mu\text{m}$ , as specified by the X-ray tube manufacturer [21]. The latter was used since rabbit bone is more dense, thus more X-ray energy is needed  
170 to distinguish between the tungsten beads and the bone.

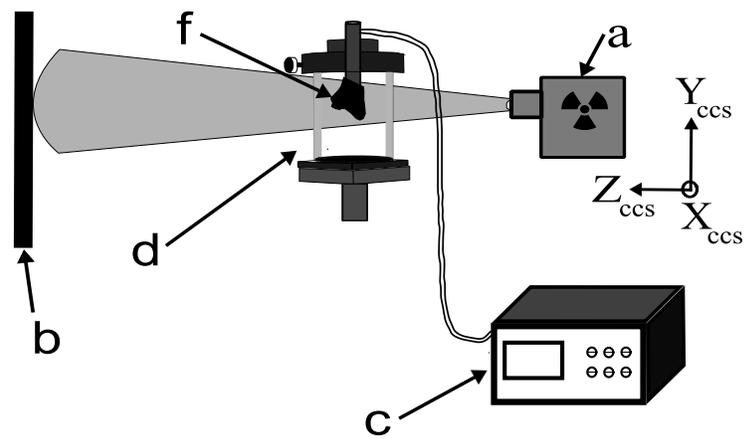


Figure 2: A schematic drawing of the X-ray stereoscopy setup to measure a dynamic displacement in gerbil and rabbit ears: (a) X-ray point source, (b) detector, (c) pressure generator, (d) specimen holder that rotates over angle  $\beta$  and (e) specimen.

Next, a pair of images with  $90^\circ$  separation angle was recorded with exposure time of 10 s during excitations with 1 and 2 kPa (peak-to-peak) at 0.5, 1, 5, 10, 20, 30, 40, and 50 Hz. This exposure time has been chosen to be an integer number of periods of the movement. After the measurement session, a full CT scan was performed in order to generate a computer model of the ossicles with beads, which will be used later to demonstrate the motion. The displacement of the marker points is registered to the ossicles computer model using the aligning function in *Amira*<sup>®</sup> 5.3.3 (Visage Imaging).

### 3. Results

#### 3.1. Gerbil ear

An example of the tungsten beads movement during sinusoidal stimulation is presented in figure 3 in order to show how the greyscale varies between rest (figure 3(a)) and excited state (figure 3(b)). The figure shows that at the two outer points, more X-rays were absorbed as the ossicles motion becomes slower (the bead spends more time here) than in the middle of the motion event, where the bead moves faster and thus absorbs less X-rays.

The displacement amplitudes of 6 gerbil umbos as a function of 1 and 2 kPa (peak-to-peak) at frequencies of 0.5, 5, 10 and 50 are presented in figure 4. For a pressure of 1 kPa (peak-to-peak), the gerbil umbos show an average amplitude of  $(307 \pm 40) \mu\text{m}$  at 0.5 Hz, which increases to  $(348 \pm 28) \mu\text{m}$  at 50 Hz. The amplitudes of other frequencies lie in between. When a bigger pressure is applied to the gerbil ears (2 kPa), the amplitude increase to values between  $(354 \pm 42) \mu\text{m}$  at 0.5 Hz and  $(428 \pm 26) \mu\text{m}$  at 50 Hz, cf. figure 5.

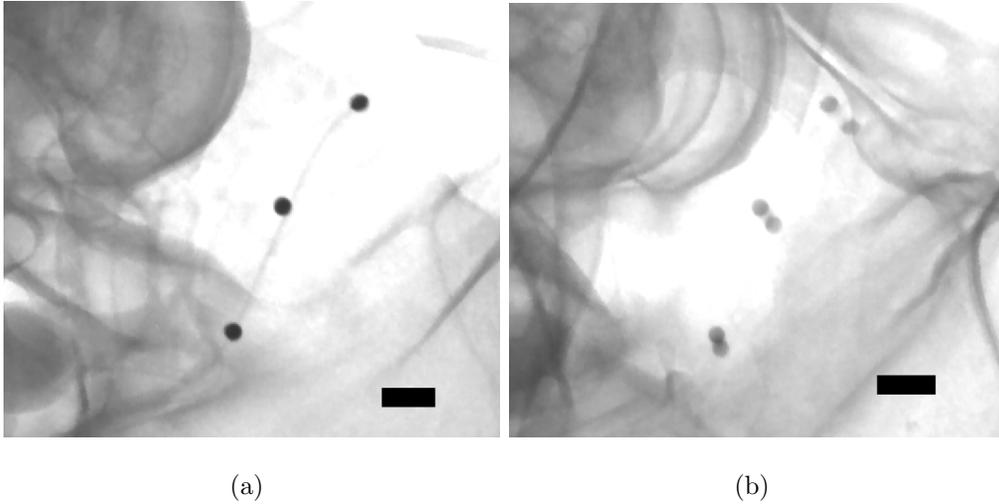


Figure 3: Snapshots of X-ray shadow images show three tungsten beads on top of the malleus ossicle of a gerbil at: a) rest state before applying a pressure and b) during linear loading of the tympanic membrane with a pressure of 2 kPa (peak-to-peak) at a frequency of 50 Hz. The scale bar is 500  $\mu\text{m}$ .

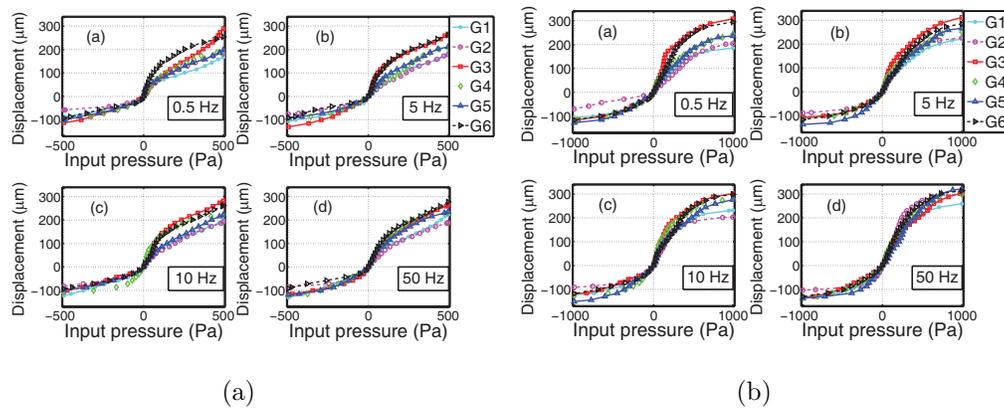


Figure 4: Displacement of gerbil umbos as a function of: (a) 1 kPa and (b) 2 kPa, at frequencies of: 0.5 Hz, 5 Hz, 10 Hz and 50 Hz.

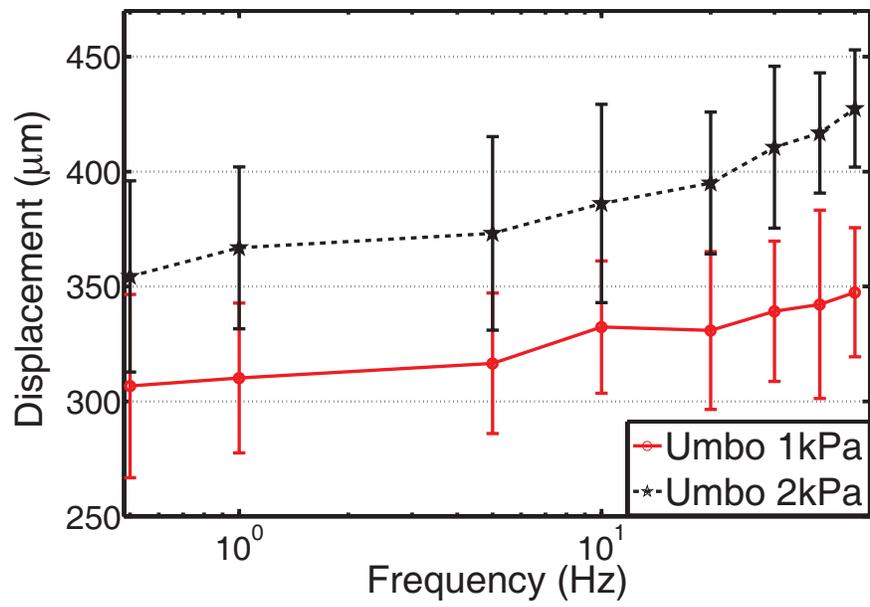


Figure 5: Average displacement amplitude of gerbil umbos as a function of 1 kPa (red solid line) and 2 kPa (dashed black line).

195 Due to practical limitations, it was not possible to reconstruct the path  
of motion for all of the beads that were placed toward the lateral process  
of the malleus manubria. Nevertheless, the amplitudes of motion for all  
mid manubrial beads have been obtained from stereoscopy. The manubria  
displacements as a function of 1 kPa (peak-to-peak) show values between  
200  $(162\pm 17) \mu\text{m}$  at 0.5 Hz and  $(205\pm 16) \mu\text{m}$  at 50 Hz. When 2 kPa (peak-to-  
peak) is applied, the displacement at 0.5 Hz shows a value of  $(213\pm 26) \mu\text{m}$ ,  
while this value increases to be  $(254\pm 24) \mu\text{m}$  at 50 Hz.

### 3.2. Rabbit ear

Since the dimensions of the rabbit ossicles are bigger than in gerbils [22],  
205 the positioning of beads becomes easier, which facilitates the accurate mea-  
surement of the umbos, manubria (2 places) and stapes displacements. Fig-  
ure 6 shows the displacements of 6 rabbit umbos as a function of peak-to-peak  
pressures values of 1 kPa (figure 6(a)) and 2 kPa (figure 6(b)).

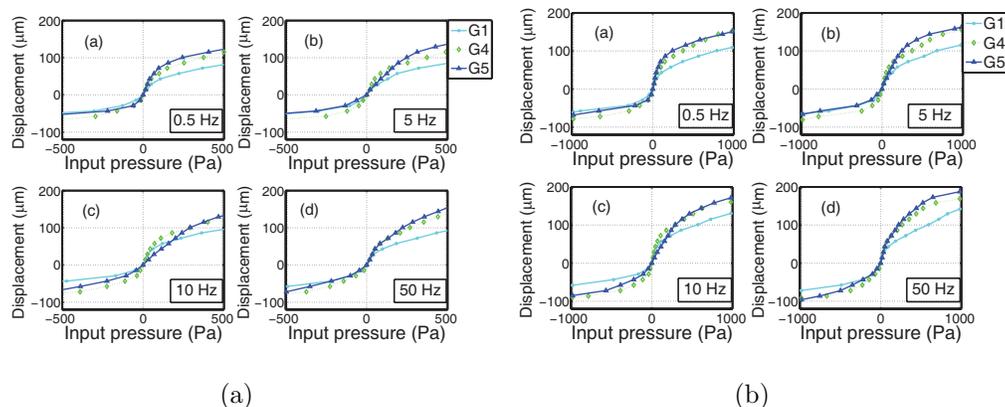


Figure 6: Displacement of rabbit umbos as a function of peak-to-peak pressures values of:  
(a) 1 kPa and (b) 2 kPa, at frequencies of: 0.5 Hz, 5 Hz, 10 Hz and 50 Hz.

The average displacement amplitudes of the umbos, manubria and stapes  
 210 with their standard deviations are presented in figure 7. This figure shows  
 clearly that the maximum displacement is performed by the umbo while the  
 stapes movement is the smallest.

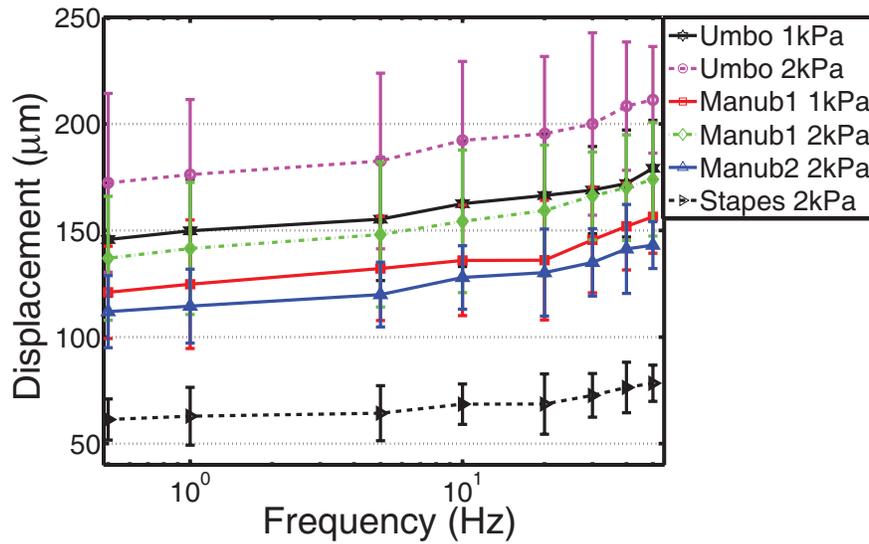
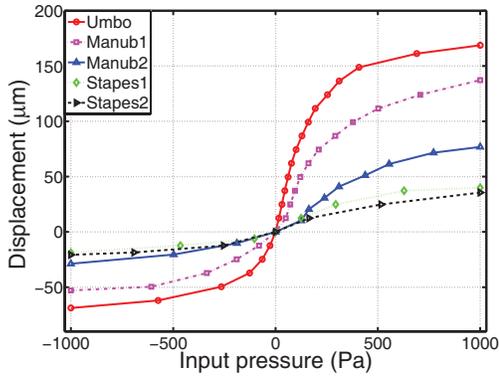


Figure 7: Average amplitude of rabbits' umbos, manubria and stapes s as a function of 1 kPa and 2 kPa (peak-to-peak).

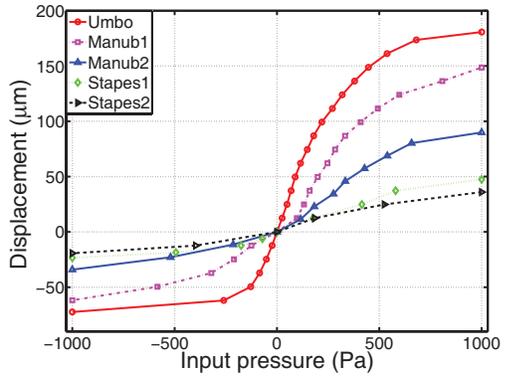
To facilitate the comparison between the ossicles displacements, figure  
 8 presents displacements of the umbo, manubrium (at two positions) and  
 215 stapes (one at each crus) of specimen #R4 as a function of 2 kPa (peak-to-  
 peak) at different frequencies.

### 3.3. 3D displacement

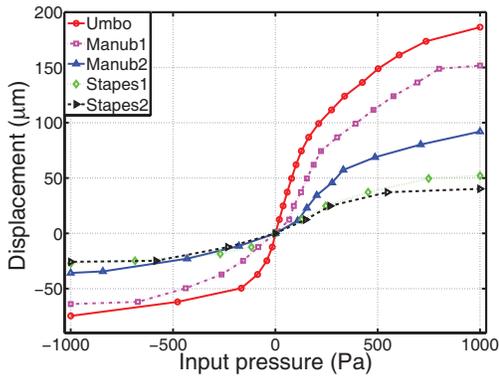
As mentioned before, full scans of the specimens have been made in order  
 to generate computer models of the gerbil and rabbit ears, including the



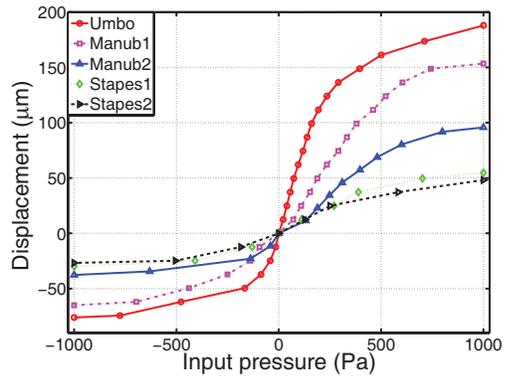
(a) 0.5 Hz



(b) 5 Hz



(c) 10 Hz



(d) 50 Hz

Figure 8: Displacement of umbo, manubrium (at two positions) and stapes (at the two crus) of a rabbit (specimen R4) as a function of 2 kPa (peak-to-peak) at frequencies: (a) 0.5 Hz, (b) 5 Hz, (c) 10 Hz and (d) 50 Hz.

220 beads. These models are required to represent the 3D displacement of the  
ossicles, since the coordinates of the beads - relative to the ossicle - are needed  
to show the motion. From 180 projections (one per  $1^\circ$ ), a back projection is  
calculated, and a set of virtual slice images of the object is obtained. These  
slices are then segmented and the 3D shape of the object is reconstructed. If  
225 the internal structure has the full 6 degrees of freedom, then 3 markers are  
needed to determine its full three-dimensional motion.

The malleus mainly moves along one direction and at low frequencies  
it rotates along a certain axis [3]. Therefore, two beads suffice to measure  
the rotational movement. It has been noticed that the beads strongly af-  
230 fect the reconstructed images since the reconstruction algorithm is based on  
Lambert-Beer law, which doesn't work properly for a very dense materials as  
the case with the tungsten. These low-resolution reconstructed images thus  
affect the segmented/created computer models, which make them less useful  
to demonstrate the motion event. To tackle this problem, high-resolution  
235 morphological computer models of the MEs of gerbils and rabbits that have  
been developed earlier [22], were used for the 3D representation. The models  
including the beads were registered with the high-resolution models in order  
to demonstrate the motion on this better quality representation.

The 3D displacements of the marker points, which were placed on the  
240 gerbil malleus and rabbit malleus and stapes, were applied to the models in  
order to represent the ossicles displacements. In a gerbil, just the motion of  
malleus ossicle can be shown, while in a rabbit displacements of both malleus  
and stapes ossicles can be shown. The motion of malleus and incus is mainly  
a rotation, and therefore linear motions can be measured best at points far

245 from the rotation axis. We also need to be able to position the beads, which  
proved to be not possible on some parts of the incus as they are hidden under  
the bone. Therefore motions were measured using beads on the manubrium  
at positions some distance away from the axis of rotation and which could  
be reached to position the markers. We did not manage to put a marker  
250 perfectly at the tip of the incus, but we could place it on the stapes crura.  
Within the measuring resolution, incus and stapes will move together.

Figure 9 shows superimposed snapshots of the 3D reconstruction of the  
malleus ossicle of a gerbil in its two extreme positions, when pressure between  
-1 kPa and +1 kPa is applied at a frequency of 50 Hz. The shape of the  
255 (considered) rigid structure is obtained from the tomography. The position  
of the ossicle was determined from the stereo projections of the marker points  
(the two spheres, one at the umbo and the other at the manubrium). Using  
the new greyscale analysis technique, time information of the motion as a  
function of pressure is obtained. This can, of course, not be shown in a  
260 single image.

The same for rabbits is presented in figure 10. The figure shows superim-  
posed snapshots of the reconstructed 3D motion of the ossicular chain when  
2 kPa (peak-to-peak) is applied to the TM at 50 Hz. From the figure, one  
sees that when the umbo moves in the lateral side (at + pressure), the stapes  
265 goes in the medial side toward the cochlea, and vice versa.

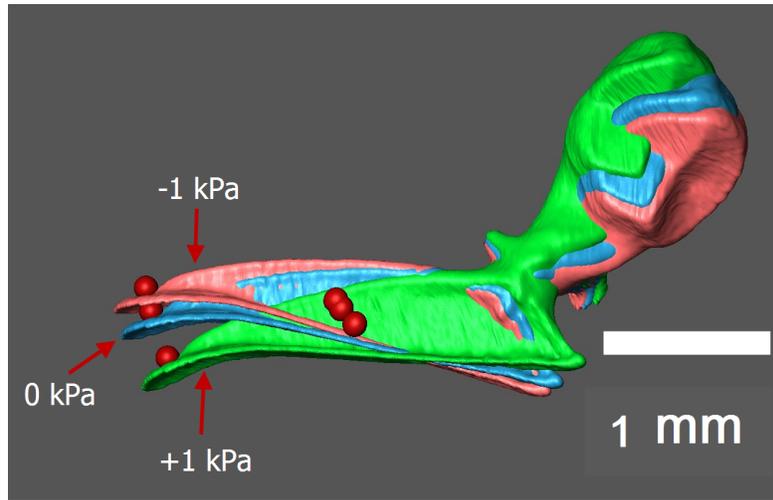


Figure 9: Superimposed snapshots of the 3D motion of the malleus of a gerbil ME during pressurizing the EC with 2 kPa (peak-to-peak) at a frequency of 50 Hz. The beads are represented by spheres that are out of the scale.

## 4. Discussion

### 4.1. Measurement setup

Unlike the moiré interferometry and LDV techniques, X-ray stereoscopy does not require the internal structures/features under study to be visually exposed. Moreover, the 3D displacements for the ossicles are obtained from  
 270 just one pair of images recorded in few seconds. This approach uses one single X-ray point source with the object rotated in between the recording of a pair of images, so no need for two X-ray sources as in classical X-ray stereoscopy. By setting the exposure time to an integer number of periods of  
 275 the movements, the displacements of the ME ossicle as a function of dynamic sinusoidal pressure changes of frequencies between 0.5 and 50 Hz and peak-to-peak amplitudes of 2 kPa can be studied in less than 30 s, which is not

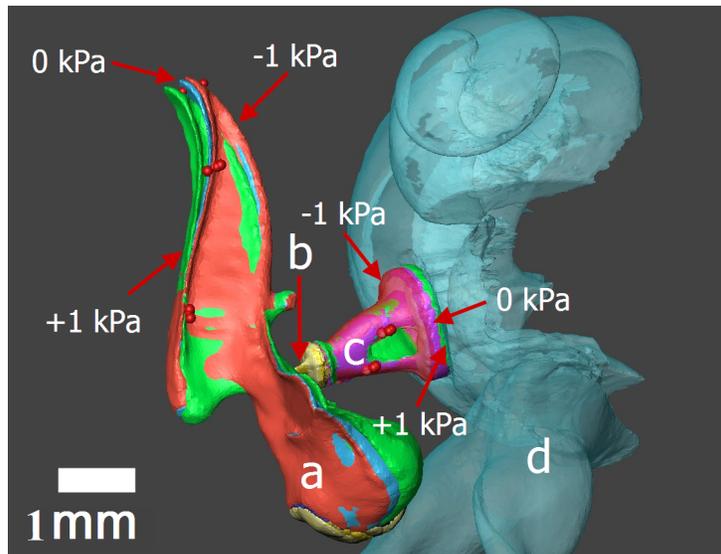


Figure 10: Superimposed snapshots of the 3D motion of a rabbit ossicular chain during pressurizing the EC with 2 kPa (peak-to-peak) at a frequency of 50 Hz. Arrows indicate the displacement as a function of pressure. (a) is the malleus, which contains 3 beads, (b) is the incus, it is displaced as a part of the malleus, (c) is the stapes, which has two beads one at each crura. The stapes moves inward (at + pressure) and outward (at - pressure) the cochlea (d), which does not move as a function of pressure. The beads are represented by spheres that are out of the scale.

achievable with moiré interferometry, or with a full CT scan.

The greyscale variation of the X-ray shadow images in 2D marked the  
280 motion of the bead along the 3D (approximately linear) path of the ossicles  
motion. Combined with the 3D coordinates, calculated from stereoscopy, the  
3D displacement can be demonstrated on computer models, which helps to  
identify the behaviour of the ossicular chain in such pressure regime. Another  
advantage of the current method is that the displacement at several points  
285 on the ossicles can be achieved simultaneously; unlike LDV where one point  
per laser beam can be studied.

Figure 3 shows an example of X-ray shadow images. At rest state, tung-  
sten beads have approximately the same greyscale value. From these, the  
size of the beads is determined, which is used to set the size of the Gaussian  
290 filter that is used to obtain the greyscale values [23]. Using smaller beads  
will improve the measurement accuracy, but there are practical issues that  
determine the appropriate bead size. On the one hand they need to be ma-  
nipulated on the ossicles, on the other hand they need to absorb enough  
X-rays.

295 Figure 3(b) shows how the displacement of the bead at the umbo is larger  
than that of the bead close the lateral process of the malleus. Moreover, it  
shows that they move in relative different directions. In addition, one sees  
that there is a variation in the background intensity around the beads; the  
bead in the middle has a brighter background than the other two. The  
300 background of the bead at the umbo, the upper one, is not homogeneous.  
Therefore, first order correction for changes in the background intensities was  
needed. Consequently, the greyscale of each bead is calculated individually

using the actual background intensity in order to accurately reconstruct the path of motion.

305 When a faster motion event (high frequency) is studied, more accurate results are expected than in a slower motion (low frequency), if the exposure time remains the same in the two cases. This is because a marker moving at higher frequency crosses the same points more times than at lower frequency, thus the recorded intensity is averaged over more periods which leads to a  
310 more continuous variation in the greyscale. Nevertheless, even in a very low frequency, exposure time can be set to ensure tens of periods depending on the ability of the X-ray machine.

As discussed before, one can determine the 3D position of the markers in their most outward positions, and determine the amplitude of displacement.  
315 In principle, this information does not suffice to quantify any general motion, as the exact position of the marker at each time point is unknown. It is, for instance, not possible to determine the phase of the motion; one can only determine the magnitude of the displacement along a linear path of motion. In most applications, however, the high-resolution method will be mainly  
320 intended to measure small movements, which are to a good approximation along a linear path, making fully generalized motion measurement less of an issue. However, the method is not limited to be used just in ME research, it can find its way in other biomechanics applications since it offers fast 3D motion measurements within opaque objects.

#### 325 *4.2. Ossicles displacement*

As shown in the results, all specimens exhibited similar behaviour for the ossicles displacements as a function of pressure. However, one can see

some variations in the displacement amplitude. This can be attributed to several reasons such as interspecies variation or the positioning of the beads  
330 on top of the ossicles (it is practically impossible to place the beads at the same position in different specimens). The pixel size cannot be set to the same value for all specimens due to a practical reason: the orientation of the specimen is varied between recordings to ensure the best view. Nevertheless, the average displacement amplitude of the ossicles in gerbils and rabbits,  
335 showed a standard deviation of less than  $45 \mu\text{m}$ , which is equivalent to about 6 pixels.

The displacements of the ossicles in both gerbil and rabbit showed a sigmoid or *S*-like shape, meaning that the displacement as a function of pressure increases fast at the beginning, while it increases much slower when higher  
340 pressure is applied. Consequently, most of the displacement happened while pressure varied between -500 Pa and +500 Pa. Pressures of 1000 Pa (peak-to-peak) produced displacements which have a magnitude of 80% of the ossicles displacements. For instance, rabbit umbos show an average displacement amplitude of  $(179 \pm 23) \mu\text{m}$  for a pressure of 1 kPa (peak-to-peak) at 50 Hz.  
345 This value increases by about  $40 \mu\text{m}$  to  $(211 \pm 25) \mu\text{m}$  when the pressure increases to 2 kPa (peak-to-peak), which means that approximately 80% of the displacement occurs below/at 1 kPa. This shows clearly that the ossicles have a strong nonlinear behaviour as a function of pressure, which has been reported earlier by Dirckx et al. [4].

350 From the results section, one sees that the displacements of the ossicles show bigger values when pressure cycles of higher frequency were applied. The displacement in both gerbil and rabbit umbos increase by about  $73 \mu\text{m}$

and  $38 \mu\text{m}$ , respectively, when the frequency increases from 0.5 Hz to 50 Hz for the same pressure amplitude. This shows that the ossicles move less when  
355 a static and quasi-static pressure changes were applied than when frequencies approach the acoustic domain. Nonetheless, the ME is known to act as a high pass filter protecting the IE from excessive pressure changes. This protective function has been observed in human [15]. It was mainly attributed to the sliding motion between the malleus and incus. In rabbit and gerbil, the incus  
360 and malleus are fused as one ossicle, but we also see that ultra-low frequencies cause less stapes motion than acoustical frequencies. Additionally, when a positive pressure is applied to the EC (negative ME pressure), smaller displacements for the ossicles are observed than for a negative EC pressure (positive ME pressure) [4, 6]. This effect can, at least, partly be attributed to  
365 the conical shape of the TM, making movements towards the ME (medially) more difficult than inflation movements towards the EC (laterally).

In gerbils, the umbos show an average amplitude of  $(428 \pm 26) \mu\text{m}$  at 2 kPa, 50 Hz, while the beads at the manubrium toward the lateral process show an average amplitude  $(254 \pm 24) \mu\text{m}$ . This shows that the displacement  
370 drops by more than 40% between the two measurement points. On average, the beads at the umbo measure about  $200 \mu\text{m}$  to  $300 \mu\text{m}$  from the tip of the manubrium, while the beads further toward the lateral process have been placed from  $1050 \mu\text{m}$  to  $1200 \mu\text{m}$ , relatively to the beads at the umbo.

The current gerbil umbos show maximum amplitude at a pressure of 2  
375 kPa of  $(428 \pm 26) \mu\text{m}$  while Dirckx and Decraemer have reported a value of about  $410 \mu\text{m}$  for the same pressure [6]. In their paper, they measured the displacement as at pressures up to 4 kPa, and they found a value of 460

$\mu\text{m}$ , which confirms that limited displacement can occur with increasing the pressure to the more than 1 kPa. Gea et al. [11] have reported a limit value  
380 of 294  $\mu\text{m}$ . This shows a difference of more than 100  $\mu\text{m}$  between the current results and the one obtained by Gea et al. [11]. However, the latter is done in static pressure load, which may decrease the amplitude of motion, as it has been reported now that the displacement increases as a function of frequency.

In rabbits, the results show average umbo displacements of  $(211\pm 25)$   $\mu\text{m}$   
385 while Dirckx et al. [4] have reported  $(165\pm 19)$   $\mu\text{m}$ . Stapes displacements were found to be  $(78\pm 9)$   $\mu\text{m}$  with the X-ray stereoscopy approach. Moreover, Dirckx et al. [4] have reported a limited value of  $(34\pm 5)$   $\mu\text{m}$ . One can notice that the current results show a relatively bigger displacement for the ossicles. This can be attributed to the requirements that LDV should  
390 exactly measure along the direction in which the object under study has its maximum displacement. Due to practical issues, this is always a challenge because of the anatomical structure of the ME. Therefore, the displacement might be smaller. Whilst in X-ray stereoscopy, one only needs to place the specimen in the path of an X-ray bundle and rotate the object to have the  
395 best view. Moreover, the displacement is measured from the 3D coordinates of the marker points; therefore, the motion in all directions is taken into account. However, interspecies variations as well as the position of the marker point, relative to the ossicles, are also sources of variability.

### 4.3. 3D motion

400 As the stereoscopy technique offers the 3D coordinates of the two outer points of a motion event, and as the greyscale variety of X-ray shadow images can be used to obtain time information, the 3D motion of the beads and thus

the ossicles are obtained on a linear path. Moreover, they can be shown in a 3D computer model. In gerbils, it was only possible to present the motion  
405 for the malleus ossicle, whilst in rabbits the motion of stapes ossicle could be presented as well. The displacement of the incudo-malleolar complex was treated as one rigid body using three beads, one at the umbo and two further at the manubrium toward the lateral process. It is not possible to present the 3D displacement in a single image, so, snapshots of these motions are  
410 presented in figure 9 and figure 10 in order to give a reader an idea about how the ossicles move.

#### 4.4. *Transfer function*

The contribution of the ME ossicles to transfer the motion from the TM toward the cochlea is well-known as one of the ME functions. However,  
415 few efforts have been made to identify this action in the quasi-static pressure regime. In the current study, the displacements of the malleus and the stapes ossicles in rabbits have been measured simultaneously. In this way, the real time transfer function is achieved, which leads to a better understanding of the behaviour of the ME in such circumstances.

420 As seen in the results section, the motion transfer from the malleus to the incus shows non-linearity as a function of both pressures and frequencies. When 2 kPa (peak-to-peak) with a frequency of 0.5 Hz is applied to rabbit ears, the ratio of stapes,  $(61 \pm 10) \mu\text{m}$ , versus malleus,  $(172 \pm 42) \mu\text{m}$ , displacements show a value of 0.35. The ratio is increased a bit to 0.36 at  
425 50 Hz ( $(78 \pm 9) \mu\text{m}$  for the stapes and  $(211 \pm 25) \mu\text{m}$  for the malleus). This shows that it is the ratio that remains practically unchanged, even under high pressure, while the displacement increases with frequency.

This ratio is very close to the lever arm value that is reported in rabbit (0.4) [13]. However, it is bigger than the ratio (0.2 to 0.3) that has been  
430 reported by Dirckx et al. [4]. The latter can be attributed to the fact that Dirckx et al. [4] measured the footplate motion without the fluid load of the cochlea, while the current measurements have been done in intact ears [4].

#### 4.5. *Hysteresis*

In previous work, using laser vibrometry, it has been shown that both  
435 umbo motion and stapes motion shows hysteresis at very low motion frequencies [4]. Although it was shown that hysteresis is mainly present for pressure change rates lower than 1 kPa/s, some hysteresis may be present in the movements we measured in this paper. In the current technique we measure the average displacement path over time, so it is not possible to dis-  
440 criminate between the pressure increasing phase and the pressure decreasing phase. Hence, the technique does not yet allow to study hysteresis, which is a limitation of the method at this point. A possible solution could be to expose the camera only during one phase of the pressure cycle, but in order to do this the output of the X-ray source needs to be switched on and off  
445 synchronized with the pressure phase.

## 5. **Conclusion**

A setup has been developed that makes it possible to measure the 3D motion of the ME ossicles in a closed ME, without the need of visually exposing the ossicles. Pressures in the range of 0 to 2 kPa (peak-to-peak)  
450 at the frequencies of 0.5 to 50 Hz were used. The approach makes use of the combination of X-ray stereoscopy and greyscale (thus time) information,

obtained from the X-ray shadow images, in order to reconstruct the 3D linear path of motion such as the motion of the ossicles motion as a function of quasi-static pressure changes.

455 The ossicles motion is found to show a nonlinear response to the applied pressure. The major part of the displacement amplitude occurred during pressurizing the EC with peak-to-peak pressure amplitudes of 0 and 1 kPa, and only a small increase of the amplitude is noticed between 1 and 2 kPa (peak-to-peak). Moreover, the ossicles displacements increase with frequency,  
460 which means that ME is adapted to avoid big deformation in response to the quasi-static high-pressure changes.

The ratio of stapes to umbo motion amplitude is 0.35 for a pressure of 2 kPa (peak-to-peak) at a frequency of 0.5 Hz. The ratio increases a bit to 0.36 as a function of a faster pressure change (at 50 Hz). The value agrees with  
465 the lever arm ratio that is reported in rabbits. High-resolution computer models facilitate our understanding of ME behaviour in this pressure regime.

The results show that the new method of X-ray stereoscopy, combined with greyscale analysis along the path of moving markers, opens up new possibilities to measure the ME ossicles motion in 3D. Gerbil morphology  
470 is on the edge of the resolution of the current approach, for rabbit better results can be obtained. For human temporal bones, dimensions and motions will still be larger, so relative measurement resolution will be better. Nevertheless, it will be a challenge to detect the marker beads within the strongly absorbing dense temporal bone. With more powerful X-ray point  
475 sources becoming available, the method discussed in the present work will allow new possibilities to study ossicles motion in the transition range between

quasi-static and acoustic pressures.

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