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1 **Title page:**

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3 Title: Characterization of top-down ETD in a travelling-wave ion guide

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17

18 **Abstract:**

19 Top-down sequencing methods are becoming increasingly relevant for protein characterization, in
20 particular electron capture (ECD) and electron transfer dissociation (ETD) which allow for extensive
21 backbone cleavage with minimal side reactions. The ability to obtain sequence-specific fragments
22 while maintaining aspects of the higher-order structure, as well as the position of deuterium labels in
23 H/D exchange, has attracted interest from scientists in the field of structural proteomics. Recently,
24 ETD has also been combined with ion mobility on commercially available quadrupole/time-of-flight
25 instruments, and this implementation paves the way to novel structural studies and investigation of
26 the ETD process itself. In the current work, we investigate the use of ETD for fragmentation of
27 standard peptides and proteins and provide a detailed description of the effect of the parameters
28 controlling the time and efficiency of the reaction. We also highlight how the combination with ion
29 mobility separation after electron transfer provides extended analytical benefits, such as assignment
30 of fragments to a specific charge-reduced state of the precursor.

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32

1 **Keywords:** Top-down mass spectrometry, intact protein mass spectrometry, top-down sequencing,
2 electron transfer dissociation, ion mobility

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1 **Highlights**

2 *ETD on a Synapt instrument allows efficient top-down fragmentation of peptides and proteins

3 *Charge reduction reactions lead to low fragment charge states, simplifying assignment

4 *Extent of ion-ion reactions is mainly controlled by T-Wave amplitude and velocity

5 *Ion mobility coupling allows fragment assignment to a specific precursor

6

1 1. Introduction

2

3 Recently, the field of structural proteomics benefits increasingly from the development of novel
4 approaches in mass spectrometry (MS), which aim to combine detailed information on the sequence
5 of proteins with aspects of their higher-order structure and interactions. The advantages of MS, as a
6 method for structural biology, further include the low sample consumption and speed of analysis,
7 allowing for example the study of dynamic phenomena and proteins which do not crystallize
8 easily[1]. Native MS approaches, utilizing ion mobility as an adjunct parameter for the determination
9 of size and shape of proteins and complexes, rely on the preservation of the global fold in vacuo, with
10 recent impressive results across the field from amyloid aggregation to large, integral membrane
11 protein assemblies. An orthogonal, complementary strategy utilizes in vitro modification of native
12 proteins or complexes in solution, followed by non-native analysis in vacuo typically using bottom-up
13 MS approaches – for instance in the case of hydrogen-deuterium exchange, crosslinking and covalent
14 labeling[2-5]. Recently, the use of hybrid methods which combine native and non-native analyses
15 with computational methods was demonstrated for structural modeling of noncovalent protein
16 complexes[6]. We anticipate that top-down strategies, with both native and denatured protein, will
17 play an increasingly important role in such integrative approaches, with their unique capability to
18 correlate detailed aspects of protein sequences with higher-order structure. Growing interest in the
19 identification, quantification and structural characterization of known and unknown protein variants,
20 collectively known as proteoforms, has also renewed interest in top-down MS sequencing[7-10].
21 Electron based dissociation methods, i.e. electron capture dissociation (ECD)[11, 12] and electron
22 transfer dissociation (ETD)[13], have proven to be valuable tools in top-down proteomics, particularly
23 when studying heavily post-translationally modified proteins[14-18]. This in itself makes these
24 methods valuable tools for structural biology, as the precise form in which a protein occurs (e.g.
25 sequence variants or its PTM state) can have a significant effect on its folding state and interaction
26 with other biomolecules. ECD and ETD dissociation typically lead to the formation of *c* and *z* ions
27 (with a minor, alternative dissociation channel leading to *a* and *y* fragments), in contrast to the *b* and
28 *y* ions formed by collision-induced dissociation (CID). While proteins are typically denatured in
29 solution, with the aim to maximize information regarding the primary structure, native proteins have
30 also been investigated by ECD. In these studies, it has been found that this dissociation technique has
31 the ability to cleave the backbone of a protein while preserving not only labile post-translational
32 modifications, but also elements of the higher-order structure. This ability to obtain sequence
33 information without completely obliterating the native fold, holds great promise for the study of
34 conformationally dynamic proteins, e.g. in amyloid aggregation or intrinsically disordered proteins.

35 The method has consequently been used to study the gas-phase structure, unfolding and refolding of
36 monomeric proteins[19-25], as well as noncovalent complexes[26-30]. In ECD of large, noncovalent
37 complexes, a correlation between the observed fragmentation pattern and structural parameters, in
38 particular the crystallographic B factor, has been proposed[31]. Similarly, ETD has been used to study
39 protein structure in the gas phase[32], and we recently reported that the ETD fragmentation pattern
40 of the native alcohol dehydrogenase tetramer reflects the solvent-accessibility of residues[33]. Other
41 novel fragmentation techniques have also proven valuable for structural biology applications, as
42 ultraviolet photodissociation (UVPD) has been shown to be capable of similar selective fragmentation
43 behavior to ECD/ETD[34-36], and surface-induced dissociation (SID) can also provide information

1 about subunit connectivity in a noncovalent protein complex[37]. While high resolution ion trap
2 instruments are now also suitable for the transmission and MS/MS analysis of native proteins and
3 protein complexes [38, 39], there are extended analytical benefits provided by combining these
4 capabilities with ion mobility (IM), on quadrupole/time-of-flight (QTOF) mass spectrometers. These
5 instrument platforms have become powerful and versatile tools for structural proteomics, especially
6 when coupled with H/D exchange and different fragmentation techniques. The commercially
7 available Synapt instruments (Waters, Wilmslow, UK) enable ETD on a Q-IM-TOF platform in a way
8 which has already been described for peptides, proteins, and noncovalent complexes[33, 40, 41]. In
9 contrast to ETD-enabled ion traps, the reaction occurs here in a travelling-wave (T-Wave) ion guide,
10 so that the exact duration of the ETD reaction is not set directly in these instruments. Instead, the
11 reaction can be conveniently regulated by adjusting the height of the travelling wave voltage. Here
12 we study key control parameters of the top-down ETD reaction on a Synapt platform, in particular
13 how the T-Wave parameters affect the extent and duration of cation-anion interaction. In particular,
14 we explore a mode whereby the ETD reaction duration is directly controlled, without requiring either
15 hardware or software modifications. In the current work, we use standard peptides and proteins of
16 different sizes to demonstrate the capacity of an ETD-capable Synapt G2 instrument to induce
17 extensive top-down fragmentation, and show that significant non-dissociative charge reduction
18 accompanies the observed fragmentation. As the aim in this case was to investigate the degree of
19 backbone cleavage, we used primarily denatured charge states of the proteins in these experiments.
20 Furthermore, we systematically investigate the effect of selected instrument parameters and provide
21 an explanation for the observed fragmentation behavior based on reagent concentrations and
22 reaction times. This improved understanding of experimental top-down ETD control parameters will
23 pave the way to obtaining more detailed information on sequence and higher-order structure of
24 large proteins including native, non-covalent complexes of biological interest.

25

26

1 2. Materials and methods

2 Substance P (Sigma S6883, 1.4 kDa), ubiquitin (*Bos taurus*, Sigma U6253, 8.6 kDa), and myoglobin
3 (*Equus caballus*, Sigma M0630, 16.9 kDa) were dissolved at a concentration of 4 μM in
4 water/acetonitrile v/v 50/50 and 1% formic acid added. Approximately 5 μL of this solution was
5 transferred to an gold-coated glass capillary prepared in-house and infused into the mass
6 spectrometer using the nanoflow version of the Z-spray ion source, with a capillary voltage of 1.2 –
7 1.6 kV, minimal (< 0.2 bar) nanoflow gas pressure, a backing pressure of 2.4 mbar and a source
8 pressure of $1.6\text{e-}3$ mbar.

9 The Synapt instrument[42] used in this study, as well as the implementation of ETD[43], have been
10 described in detail elsewhere. A diagram in Figure 1 summarizes the experimental setup. Briefly, ETD
11 reagent (1,4-dicyanobenzene) vapor is carried by a nitrogen flow at room temperature to the glow
12 discharge needle located between the sampling cone and extraction cone, where radical anions are
13 generated. The polarity of the ion optics up to and including the entrance of the trap cell is
14 continuously switched, and the quadrupole is set to transmit only the ETD reagent signal in negative
15 ion mode. After filling the T-wave trap cell with ETD reagent for 0.1 s, it is trapped there and allowed
16 to interact for 1.0 s with the nano-ESI generated analyte cations, before the cycle starts again. Cation
17 precursors and reaction products are axially propelled through the ETD “cloud” in the trap cell by
18 means of a travelling wave, the amplitude (‘height’) and velocity of which determine the extent and
19 time of ion-ion interaction. The intervals of 0.1 s and 1.0 s, respectively for anions and cations, should
20 not be confused with the ion-ion reaction time, which is typically a few tens of milliseconds
21 depending on the T-wave parameters (see below).

22 The glow discharge was tuned to provide a signal of approximately $2\text{e}6$ reagent counts per second
23 (make-up gas flow 35 mL/min, discharge current 20 μA). Instrument settings were as follows:
24 sampling cone 60 V, extraction cone 2 V, trap pressure $6.2\text{e-}2$ mbar, trap collision energy 4 V, trap DC
25 bias 8 V, transfer pressure $1.2\text{e-}2$ mbar. To provide supplemental activation, the transfer collision
26 energy was set to 20 V for top-down ETD (except for substance P, where this was not necessary and
27 set to 2 V). The instrument was operated in Sensitivity mode and fitted with a 32 K quadrupole. For
28 top-down ETD followed by ion mobility separation, the IM cell was pressurised with 2.5 mbar of N_2
29 (He cell gas flow 140 mL/min, IM gas flow 60 mL/min), with IM wave height 40 V and IM wave
30 velocity 1000 m/s. Transfer pressure and collision energy in IM mode were increased to $3.7\text{e-}2$ mbar
31 and 60 V, respectively. Significant horizontal ‘streaking’ in the 2D (arrival time versus m/z) plot was
32 initially observed in these experiments, possibly due to trapping inefficiencies, and it was found to be
33 critical to lower the trap wave height below 0.4 V to avoid this. Uncalibrated drift times are reported
34 in this study, and mobilograms are shown displaying the full 200-bin drift time range. For IM of
35 cesium iodide, the instrument was operated in CID mode and the IM cell was filled with 3.1 mbar of
36 N_2 (He cell gas flow 180 mL/min, IM gas flow 90 mL/min). The IM wave height in this case was kept
37 constant at 10 V. For relative peak intensity measurements, the spectrum was smoothed (2x three-
38 channel Savitsky-Golay method[44]) and centered using MassLynx (version 4.1). The intensities of the
39 peaks of interest (see Supplementary S-1) were subsequently exported and expressed as a fraction of
40 the summed intensities over the entire measured m/z range. Finally, the relative intensities for each
41 ion over the entire parameter range were rescaled to a similar maximum intensity, in order to display
42 the evolution of the peak intensity for multiple ion types more clearly. For reliable (relative)
43 quantitation, it was important that the signals have a high signal-to-noise (S/N) ratio. Top-down

1 spectra were therefore acquired on this older, less sensitive Synapt instrument for around one
2 minute (i.e. longer than the timescale of a typical LC peak) in order to increase this ratio, which is
3 expected to be proportional to the square root of the number of scans combined. However, many
4 fragments can already be identified after only a few seconds. For ETD of peptides, (near-)complete
5 fragmentation is observed with a high S/N ratio already after a few seconds.

6

1 **3. Results and discussion**

2 *3.1 Characteristics of Synapt ETD*

3 In the first part of this study, we assessed the performance of ETD, as implemented on the Synapt G2
4 instrument, to induce extensive backbone fragmentation. We used substance P, a 1.3 kDa peptide, as
5 well as two denatured proteins (ubiquitin; 8.6 kDa and apo-myoglobin; 16.9 kDa) as test compounds,
6 and were able to achieve highly efficient fragmentation, particularly in the terminal regions, similar
7 to what is typically reported in top-down ECD/ETD in ion traps[19, 45-47]. The 3+ precursor of
8 substance P shows cleavage of eight out of ten N-C α bonds, with the remaining two on the N-
9 terminal side of proline and thus immune to ETD fragmentation (as the resulting 'fragments' remain
10 bound via the pyrrolidine side chain). For ubiquitin (9+ precursor), 64 out of 75 bonds were cleaved,
11 with three of the remaining nine cleavage followed by proline. In the case of 18+ apo-myoglobin with
12 its four proline residues, 72 out of 152 backbone bonds were cleaved. Representative top-down ETD
13 spectra as well as observed sequence coverage for ubiquitin and apo-myoglobin are shown in Figure
14 2, and a Synapt ETD spectrum of substance P can be found in the Supplementary Information (S-5).
15 Important control parameters for (top-down) ETD and their typical values (leading to maximum
16 sequence coverage) are summarized in Table 1. The effect of varying these parameters is
17 investigated systematically in section 3.2. It is important to note that the ETD fragments appear at
18 relatively low charge states compared to typical top-down ECD results[19, 47, 48]. Significant non-
19 dissociative charge reduction is also visible in these spectra, indicating that the proton transfer
20 reaction (PTR) and non-dissociative electron transfer (ETnoD) accompany fragmentation. Evidence
21 for the occurrence of both of these reactions is provided by the observed isotope distributions, which
22 simultaneously broaden and shift to higher m/z compared to the ions formed by electrospray
23 ionization (See Supplementary S-2). The reason for this is that PTR of an $[M+nH]^{n+}$ precursor
24 generates even-electron $[M+(n-1)H]^{(n-1)+}$ ions just like ESI itself, while ETnoD produces $[M+nH]^{(n-1)+}$
25 radicals which retain the proton mass, but not the charge. From the observed isotope distributions in
26 S-2, it becomes apparent that PTR and ETnoD occur concomitantly. However, the precise ratio
27 between these competing reactions depends in part on the choice of reagent, and preference for
28 either electron or proton transfer is believed to be associated with the Franck-Condon factor and
29 electron affinity[49, 50]. Other important factors determining the extent to which electron transfer
30 leads to non-dissociative charge reduction are the size and structure of the precursor, as at least
31 some of the observed ETnoD product ions could actually consist of c and z ions which remain
32 noncovalently bound. The stability of this fragment complex is dependent on factors such as the
33 remaining higher-order structure and Coulombic repulsion between the fragments[19, 20, 33, 51-57].
34 A more in-depth analysis of different ETD processes leading to charge reduction, and how they
35 depend on precursor charge state, instrument parameters, etc. is currently underway in our
36 laboratory.

37 As mentioned, in order to maximize the sequence coverage achieved in top-down ETD, it is important
38 to provide sufficient supplemental activation (ion acceleration into the argon pressurized region) in
39 the transfer cell so that all fragments are released. Top-down ETD spectra of denatured ubiquitin
40 using varying levels of transfer cell collision energy are shown in Supplementary S-3. The effect of this
41 additional post-ETD ion acceleration is twofold: First, transmission of high m/z species is improved
42 (particularly for large cross-section, low charge species with low ion mobility), as can be seen from
43 the increased intensity of charge-reduced intact precursor and the presence of heavy ETD fragments.

1 Second, *c*- and *z*-type fragments are released from ETnoD products in the transfer cell by
2 supplemental activation[33, 51-57]. Evidence for the release of ETD fragments which remained
3 noncovalently bound to each other is provided by ion mobility experiments, as shown in Figure 3. In
4 this experiment, intact 6+ ubiquitin was subjected to top-down ETD and the resulting products were
5 separated in the IM cell prior to supplemental activation. The reason for choosing the 6+ precursor
6 in this case was twofold: First of all, because there are more noncovalent interactions present in this
7 relatively compact ion, the intensity of the charge-reduced (ETnoD) product – at least some of which
8 is actually a noncovalent complex of a *c/z* fragment pair – is quite high. Second, as the degree of
9 conformational heterogeneity in the 6+/5+/4+/3+ range is rather low for ubiquitin – all form compact
10 structures, particularly if gentle ionization conditions are used[58] – baseline ion mobility separation
11 of the 3+ species from the others is conveniently achieved. It can be seen that most of the fragments
12 have arrival times similar to the (charge-reduced) precursors, indicating that they were released
13 either before IM separation, or from the highly charged precursor in the transfer cell. Certain
14 fragments however (highlighted in the green box in the 2D plot in Figure 3) clearly share the arrival
15 time of the 3+ ubiquitin, proving that they were only released after IM separation due to
16 supplemental activation. In order to ensure efficient release of noncovalently bound ETD fragments
17 from the 3+ charge-reduced ubiquitin, the voltage for supplemental activation was increased to the
18 point where the higher charge states – as a result of picking up more energy after acceleration across
19 the same voltage difference – started to exhibit efficient CID fragmentation. Consequently, the low-
20 arrival time spectrum (orange box) shows predominantly *b* and *y* ions, while the high-arrival time
21 mass spectrum (green box) yields a ‘pure’ ETD spectrum, with a high signal-to-noise ratio. Most of
22 the fragments in this spectrum are also singly charged, possibly accounting for at least some of the
23 low-charged fragments we typically observe in Synapt ETD.

24

25 *3.2 Systematic investigation of important control parameters*

26 As the ETD reaction occurs in a travelling-wave device, the main control parameters which determine
27 the extent and the duration (‘reaction time’) of cation-anion interaction are the amplitude (‘height’)
28 and velocity of the trap T-Wave[33, 43]. The reaction is typically controlled by adjusting the wave
29 height, as a lower wave height allows for more mixing of the ions, resulting initially (as the voltage
30 decreases) in non-dissociative charge reduction of the precursor. Further reduction of the wave
31 height leads to more efficient fragmentation, as shown in the ubiquitin spectra in Figure 4, and the
32 substance P and apo-myoglobin spectra in Supplementary S-4. Use of an extremely low voltage may
33 result in significant neutralization of fragments, resulting in a reduction of the total ion current (data
34 not shown). Interestingly, we observed that adjusting the wave velocity to a value either significantly
35 lower or higher than the default (300 m/s) while keeping the wave height high (1.50 V) also leads to
36 initially charge reduction and then fragmentation, as observed when lowering the wave height. This
37 can be explained as follows: in the normal wave velocity regime, a high wave keeps the analyte and
38 reagent ions bunched together in regions of low and high electric potential, respectively, decreasing
39 the local (‘effective’) reagent ion concentration. In contrast, a low wave height allows ions to spread
40 out by ‘rolling over’ the wave, similar to the well-known phenomenon in travelling-wave ion mobility
41 spectrometry[42, 59, 60], leading to more effective mixing of both ion types and simultaneously
42 increasing the residence time of the analyte ions in the trap cell. This effect can typically be observed
43 at wave heights of ca. 1.3 V or lower in top-down experiments, while efficient charge reduction and

1 fragmentation tend to occur mostly below 0.5 V. In the high-wave height regime, the use of a very
2 low wave velocity leads to minimization of the effect of roll-over, and as a result, although the
3 effective reagent concentration might stay low, the reaction time can be easily set to very high
4 values. As the reaction time now becomes approximately equal to the length of the trap cell (18 cm)
5 divided by the wave velocity, this corresponds to a reaction time between 18 and 1.8 ms as we
6 increase the wave velocity from 10 to 100 m/s. Conversely, at a very high wave velocity, the
7 occurrence of roll-over increases significantly, increasing both the mixing of both ion types and the
8 reaction time.

9 This view is substantiated by a more systematic study of the effect of these two parameters on
10 charge reduction and fragmentation of 9+ ubiquitin (isolated in the quadrupole). In Figure 5 (a-d), the
11 relative intensities (calculated as described in the Materials and methods section) of the 9+ ubiquitin
12 precursor, charge-reduced protein signals and some ETD-fragments are shown as a function of wave
13 height and velocity (with the latter on a logarithmic scale, to cover the range of 10 – 6000 m/s). The
14 intensity of a set of 25 ions, which were selected based on their favorable signal-to-noise ratio across
15 multiple spectra (See Supplementary S-1), was monitored in this experiment, and some examples
16 which represent N- and C-terminal fragments of different sizes and charge states are displayed in
17 Figure 5. Figure 5a shows the evolution of different nominal charge states (products of charge
18 reduction). Successive maxima for decreasing charge are observed as the wave height is lowered,
19 following the trend predicted by the model described above. Likewise, in Figure 5b, as we move
20 further away from the default wave velocity of 300 m/s to either lower or higher values, successive
21 maxima for charge-reduced ubiquitin charge states are observed. In Figure 5c, the effect of the wave
22 height on ETD fragment intensities is shown. As expected, fragments generally become more intense
23 at lower wave height, although it is worth mentioning that the multiply charged fragments achieve a
24 maximum at higher wave heights, and therefore less ion-ion interaction, than the smaller, singly
25 charged fragments. This phenomenon will be discussed in more detail below. Similar behavior is
26 observed in the plot of fragment intensity versus log. wave velocity shown in Figure 5d. As expected,
27 the total fragment intensity increases at lower wave heights and also at very low and high wave
28 velocities, as shown in Figures 5e and 5f. Total precursor (9+ and charge-reduction products
29 combined) intensity follows the opposite behavior, as expected. It bears repeating at this point that
30 the data shown in Figure 5f were acquired using a wave height of 1.50 V, which is why no
31 fragmentation is observed at ‘intermediate’ wave velocities, including the default value of 300 m/s.
32 Conversely, at a reduced wave height, use of the default wave velocity does result in efficient
33 fragmentation, as displayed in Figure 5e. Confirmation of our hypothesis concerning the effect of
34 ‘extreme’ wave velocities on the residence time of ions in the T-Wave trap cell via a direct
35 measurement is unfortunately not possible. We can qualitatively show this effect by recording IM-
36 MS spectra of Csl while varying the IM travelling wave velocity. The resulting plot of Cs⁺ ion arrival
37 time vs. log. wave velocity is shown in Supplementary S-5, displaying the expected U-shape, i.e. ions
38 traversing the T-Wave cell fastest at medium wave velocities (provided that the amplitude of the
39 wave is sufficiently high).

40 In Figure 6, the relative intensities of intact protein and ETD fragments of apo-myoglobin (18+
41 precursor selected in the quadrupole) are shown as a function of wave height (at a constant wave
42 velocity of 300 m/s) and log. wave velocity (at a constant wave height of 1.50 V), similar to the
43 ubiquitin data shown in Figure 5(a-d). The trends observed here are the same. The z₅₅ fragment
44 occurs at four different charge states (2+ to 5+), and the order in which these appear depends on the

1 travelling wave parameters in the same way as the charge-reduced precursors. The appearance of
2 successively lower charge states with increased 'reaction time' indicates that the fragments observed
3 under typical ETD conditions are the product of multiple reaction steps, the majority of which lead to
4 non-dissociative charge reduction. Precursor charge state and fragment intensities for substance P
5 (3+ precursor selected) are shown in Supplementary S-6, along with a representative spectrum.
6 Trends observed for substance P product ion yields match those for the proteins studied here. The
7 maximum intensity of the c_9^{2+} fragment is observed under conditions which allow less ion-ion
8 interaction than those which favor c_9^+ , showing that this behavior is also not unique to intact
9 proteins.

10 Another parameter which controls the extent of ion-ion interaction is radial confinement of the ions,
11 which is provided by the amplitude of the RF voltage applied to the ring electrodes in the trap cell.
12 This voltage needs to be greater than ca. 50 V in order to achieve transmission at all, and an increase
13 leads to more extensive ion-ion interaction (Supplementary S-7), similar to a decrease in wave
14 height. All of the same trends concerning charge reduction, fragmentation, and fragment charge
15 states described for the trap T-Wave height are observed here as well. It seems likely that this is due
16 to the ions being confined to a smaller volume with increasing trap RF amplitude, increasing their
17 'effective' local concentration.

18 The pressure of the background gas also plays an important role in mediating ion-ion interactions:
19 According to a commonly cited general mechanism for gas-phase ion-ion chemistry[61], the reaction
20 between analyte cations and reagent anions progresses within a stable orbiting complex, the
21 formation of which occurs with a rate constant that is inversely proportional to the square of the
22 relative velocity of both interaction partners. Hence, the probability of formation for this complex is
23 greatly increased when the ions are slowed down by collisions with an inert gas. Reducing the
24 relative velocity of the ions after the formation of the orbiting complex is also beneficial, as this leads
25 to a decreased orbit and higher probability of proton or electron transfer[62]. The choice of helium
26 as the background gas has several advantages, besides its chemical inertness: First of all, the low
27 mass results in minimal conversion of kinetic into internal energy of the ions and hence minimizes
28 unintentional collision-induced dissociation[63]. Collisional scattering of the ions, which could
29 potentially destroy the complex in which the reaction occurs, is also decreased with a low-mass
30 background gas[61]. Finally, the reduced center-of-mass collision energy leads to improved trapping
31 efficiency of the ETD reagent, which can otherwise transfer its electron to a background gas
32 molecule. Formation of the cation-anion complex can also lead to a third type of charge reduction
33 besides electron or proton transfer, namely attachment of the reagent anion[61, 64]. In the Synapt
34 instrument, the resulting adducts can be detected, particularly when a precursor with a low charge
35 state is used (Supplementary S-8). As expected based on the mechanism described above, plots of
36 9+ ubiquitin charge reduction and fragmentation versus trap gas flow give evidence for more
37 extensive cation-anion interaction at higher pressure, similar to the effect of a reduction in wave
38 height (see Figure 7). The decrease in precursor intensity at very low pressure seen in this figure is
39 explained by increased CID fragmentation, as well as reduced collisional cooling, resulting in
40 expansion of the ion beam and less efficient transmission of ions through the ion guide.

41 Finally, it is expected that the ratio between the number of anions and cations present in the trap cell
42 also plays a role. It is advisable to tune for approximately $1e4$ analyte counts/s, and $1e6$ reagent ion
43 counts/s. We varied the ratio between analyte cations (9+ ubiquitin) and reagent anions entering the

1 trap by adjusting the quadrupole transmission window for the precursor (making transmission of the
2 precursor less efficient) and the glow discharge current (varying the ETD reagent intensity). This
3 resulted in the ubiquitin cation count varying between $5e3$ and $2e4$ counts/s, and the reagent anion
4 count between $3e5$ and $6e6$. The results of these experiments can be seen in Supplementary S-9. In
5 general, it seems that as long as there is a significant (approximately 100-fold or greater) excess of
6 anions, the ETD reaction can progress efficiently, while the absolute number of charge carriers
7 present plays only a secondary role. However, as expected, a reduction of this ratio leads to far less
8 extensive cation-anion interaction.

9

1 **4. Conclusions**

2 The advent of electron-based dissociation techniques such as ECD has opened up new possibilities
3 for top-down and structural proteomics, not least due to their ability to sequence the peptide
4 backbone while maintaining aspects of the higher-order structure. The development of ETD has
5 enabled this type of experiment on instruments other than FT-ICR, thereby making this approach
6 accessible to a wider range of scientists. In recent years, the availability of ETD on QTOF instruments
7 has the advantage of relatively high resolution, while coupling with ion mobility paves the way to
8 studies which link 3D structural information with the observed fragmentation patterns. In agreement
9 with earlier work, the ability to apply post-ETD or post-IMS supplemental activation was found to be
10 crucial for the release of fragments from the more structured parts of a protein, and facilitates in-
11 depth studies of the multistep fragmentation mechanism.

12 Although the cation-anion interaction time is not directly programmable on the QTOF, the reaction
13 can be easily controlled by adjusting one parameter only, the wave amplitude. As this amplitude is
14 lowered, the extent of the ion-ion interaction increases. We have also studied other parameters,
15 such as the wave velocity and ion confinement, and qualitatively explained the relative abundance of
16 fragment ions obtained. Ion-ion reactions lead to both electron and proton based charge reduction
17 at the same time, along with dissociation from the former, in a multi-step process. Combined with
18 the capacity to detect high m/z ions, this leads to the observation of relatively low fragment charge
19 states and simplified data interpretation.

20 In top-down studies, particularly of larger proteins or complexes, a detailed understanding of ETD
21 reaction tuning becomes increasingly important in order to maintain good fragment ion yields.
22 Understanding of how these parameters affect the observed charge reduction and fragmentation
23 behavior is crucial to tune for the behavior necessary in a specific experiment and to maximize the
24 information obtained from ETD experiments. As this understanding increases, we expect that the use
25 of top-down ETD in combination with ion mobility will become more widespread in structural
26 proteomics studies.

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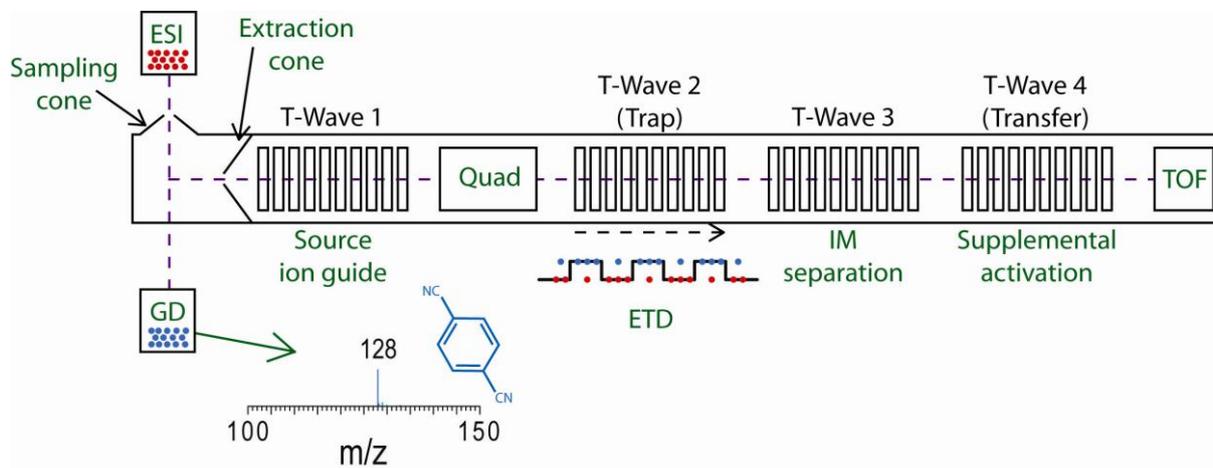
30 **Acknowledgements**

31 We thank the Research Foundation – Flanders (FWO) for funding a PhD fellowship (F.L.). The Synapt
32 G2 mass spectrometer is funded by a grant from the Hercules Foundation – Flanders. Financial
33 support from the Flemish Institute for Technological Research (VITO) is gratefully acknowledged.

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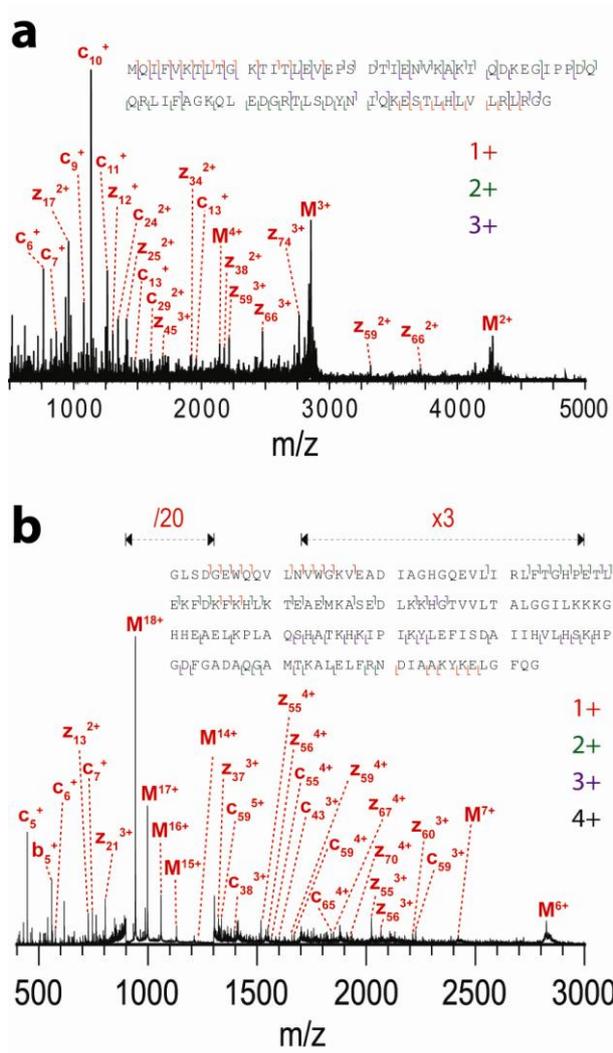
1 **Figure 1:** Diagram of the layout of the Synapt G2 instrument used in this study, depicting the
2 positions of the electrospray ionization (ESI) source, glow discharge (GD), quad(rupole) mass filter,
3 trap, ion mobility and transfer T-Wave cells, and the time-of-flight (TOF) analyzer. The inset shows
4 the structure of the reagent (1,4-dicyanobenzene) and its quadrupole-selected mass spectrum.
5 Under the conditions used, the first isotope peak of the reagent is also transmitted and, as expected,
6 has an intensity of around 10% of the signal at m/z 128.



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8

1 **Figure 2:** Top-down ETD spectrum of (a) 9+ bovine ubiquitin; (b) 18+ myoglobin. The insets show the
 2 sequence and fragmentation pattern. Singly, doubly, triply, and quadruply charged fragments (the
 3 most intense one is indicated in case a fragment appears at multiple charge states) are indicated in
 4 red, green, purple, and black, respectively.

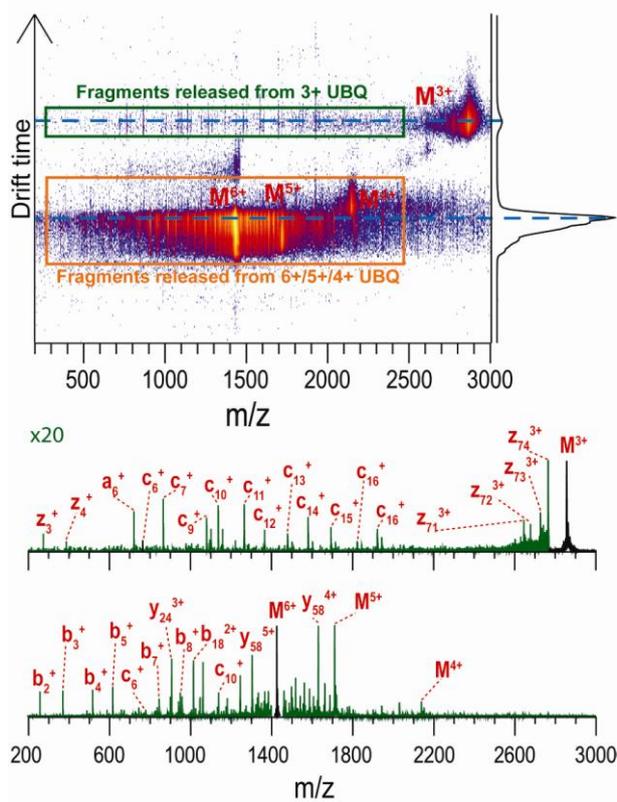


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1 **Figure 3:** Charge reduction of 6+ ubiquitin in top-down ETD in ion mobility mode, represented as a
 2 2D-plot. The mobilogram is displayed on the right of the 2D plot, and it can be seen that the arrival
 3 time distributions of 6+, 5+, and 4+ ubiquitin overlap, while the 3+ peak is clearly separated from the
 4 higher charge states as well as fragments released before IM separation. Abundant fragment ions are
 5 released in the transfer cell (visible to the left of the corresponding precursor charge state). Below
 6 the 2D plot, the top mass spectrum shows the (ETD) fragments released from 3+ ubiquitin (green box
 7 in the 2D plot) and the bottom spectrum shows the (mainly CID) fragments released from the higher
 8 charge states (orange box in 2D).

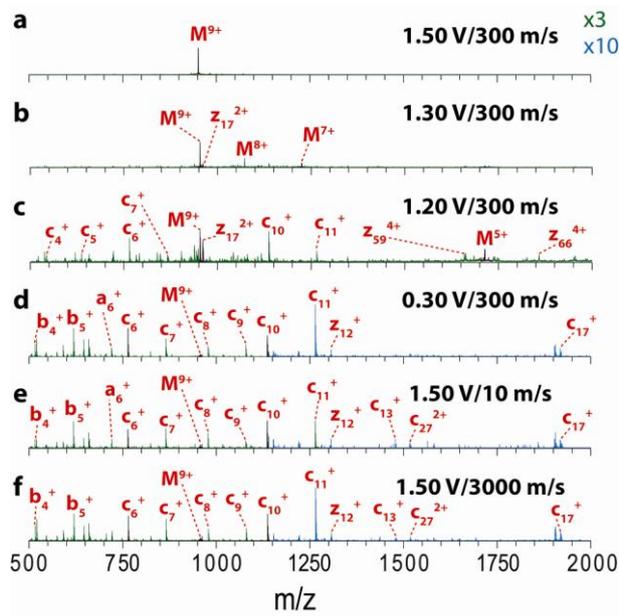
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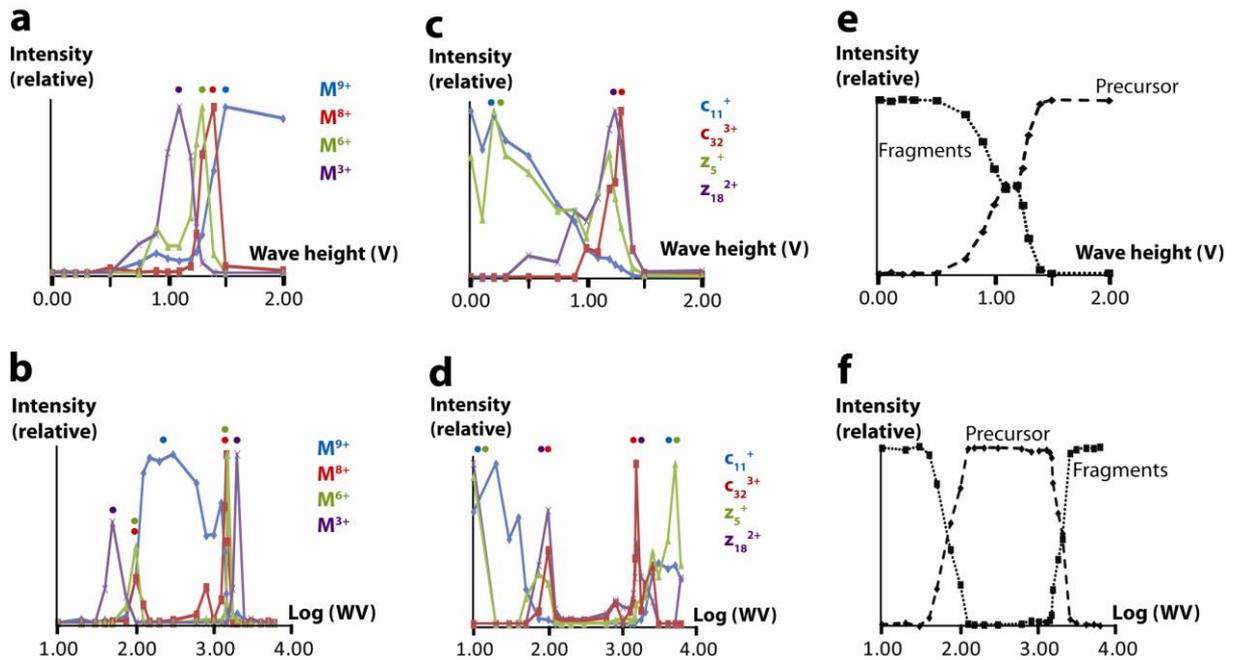
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1 **Figure 4:** Top-down ETD of 9+ ubiquitin with (a) wave height 1.50 V, wave velocity 300 m/s; (b) wave
 2 height 1.30 V, wave velocity 300 m/s; (c) wave height 1.20 V, wave velocity 300 m/s; (d) wave height
 3 0.30 V, wave velocity 300 m/s; (e) wave height 1.50 V, wave velocity 10 m/s; (f) wave height 1.50 V,
 4 wave velocity 3000 m/s



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1 **Figure 5:** Plots of (a) ion yield of selected ubiquitin charge states (9+ precursor and charge-reduction
 2 products) versus wave height (wave velocity = 300 m/s); (b) selected ubiquitin charge states versus
 3 log. wave velocity (wave height = 1.50 V); (c) selected ubiquitin fragments versus wave height
 4 (velocity = 300 m/s); (d) selected ubiquitin fragments versus log. wave velocity (height = 1.50 V); (e)
 5 relative intensities of intact (including charge reduced species) ubiquitin and ETD fragments versus
 6 wave height (velocity = 300 m/s); (f) relative intensities of intact precursor (including charge reduced
 7 species) ubiquitin and ETD fragments versus log. wave velocity (height = 1.50 V). The 9+ precursor
 8 was isolated in the quadrupole in all of these experiments. The default wave velocity of 300 m/s
 9 corresponds to $\log(WV) = 2.5$.

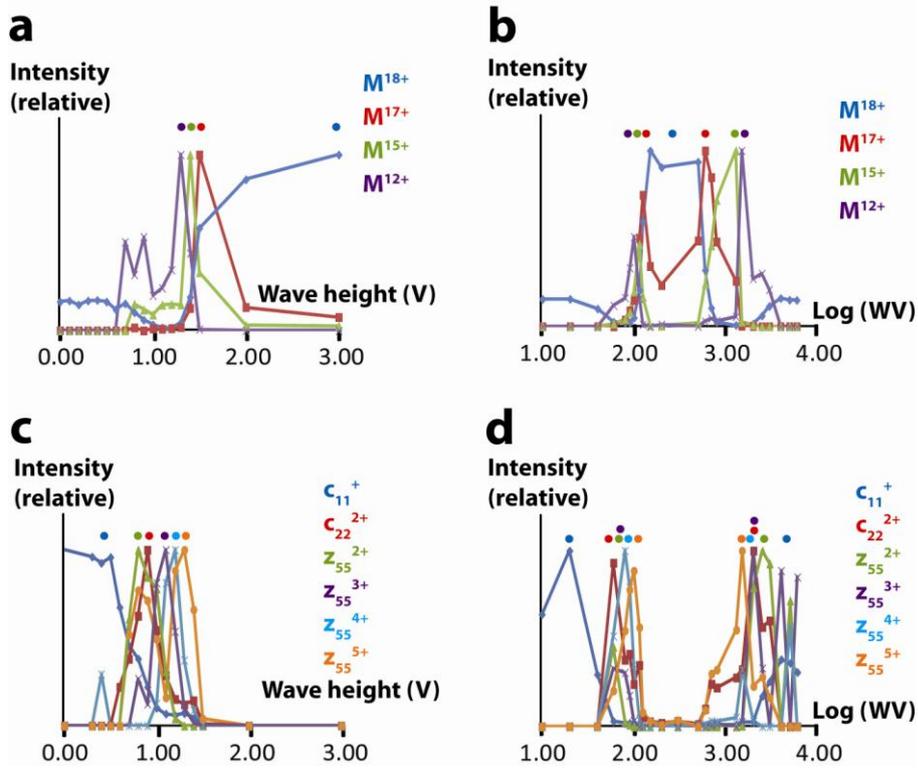


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1 **Figure 6:** selected charge states of apo-myoglobin (18+ precursor and products of non-dissociative
 2 charge reduction) versus (a) wave height (wave velocity = 300 m/s) and (b) log. wave velocity (wave
 3 height = 1.50 V); selected apo-myoglobin ETD fragments versus (c) wave height (wave velocity = 300
 4 m/s) and (d) log. wave velocity (wave height = 1.50 V)

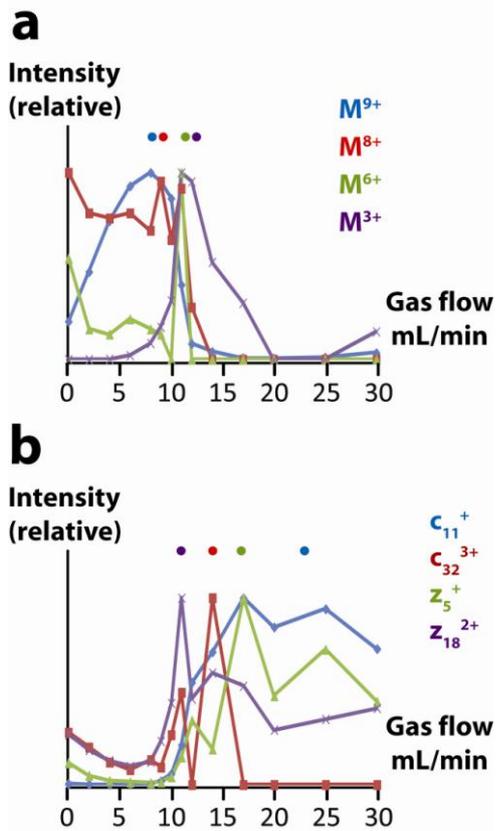
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1 **Figure 7:** Effect of trap He gas flow on charge reduction and fragmentation of 9+ ubiquitin. The table
 2 shows the measured pressure at the different gas flows investigated (normal operating regime
 3 shown in bold). The trap T-wave height and velocity were kept constant at 0.50 V and 300 m/s,
 4 respectively.



5

He flow (mL/min)	Pressure (mbar)
0	8.86e-5
2	6.28e-3
4	1.26e-2
6	1.90e-2
8	2.53e-2
9	2.81e-2
10	3.14e-2
11	3.42e-2
12	3.73e-2
14	4.33e-2
17	5.21e-2
20	6.12e-2
25	7.65e-2
30	9.09e-2

6

7

1 **Table 1:** Important parameters for ETD optimization

Parameter	Effect	Typical value
Trap wave height	Control of ion/ion reaction time	< 0.35 V
Trap wave velocity	Control of ion/ion reaction time	300 m/s
Trap pressure	Collisional cooling of ions	(5e-2) – (7e-2) mbar
Trap RF amplitude	Increase of effective ion concentration	450 – 530 V
Transfer collision energy	Provides supplemental activation	5 – 20 V
Reagent:analyte ratio	Reagent excess needed for multistep process	100 – 200

2

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- 1 **Supplementary Information**
- 2 S-1 List of ions for which the intensity was monitored
- 3 S-2 Comparison of the observed isotope distribution of nominal ubiquitin charge states formed by
- 4 charge reduction and ESI
- 5 S-3 Effect of supplemental activation in top-down ETD of 9+ ubiquitin
- 6 S-4 Substance P and myoglobin ETD spectra under different T-Wave conditions
- 7 S-5 Drift time of Cs⁺ (IM) versus log (WV)
- 8 S-6 ETD products of substance P (3+): charge reduction vs. fragmentation with varying T-wave height
- 9 and velocity
- 10 S-7 Effect of the trap RF amplitude on (a) charge reduction and (b) fragmentation of 9+ ubiquitin
- 11 S-8 Adduct formation between ubiquitin cations and 1,4-dicyanobenzene anions
- 12 S-9: Effect of variation of the reagent/analyte ion ratio
- 13
- 14

1 **S-1 List of ions for which the intensity was monitored**

2

3 Substance P

Ion	<i>m/z</i> (monoisotopic)
M ³⁺	449.912
M ²⁺	674.364
M ⁺	1347.720
C ₆ ⁺	752.453
C ₇ ⁺	899.522
C ₈ ⁺	1046.590
C ₉ ⁺	1103.611
C ₁₀ ⁺	1216.706
Z ₉ ⁺	1077.540
Z ₁₁ ⁺	1330.693
C ₉ ²⁺	552.799

4

5 Ubiquitin

Ion	<i>m/z</i> (monoisotopic)
M ⁹⁺	952.076
M ⁸⁺	1070.96
M ⁷⁺	1223.81
M ⁶⁺	1427.611
M ⁵⁺	1712.931
M ⁴⁺	2140.912
M ³⁺	2854.213
M ²⁺	4280.816
C ₆ ⁺	764.449
C ₇ ⁺	865.497
C ₁₀ ⁺	1136.650
C ₁₁ ⁺	1264.745
C ₁₇ ⁺	1921.120
C ₂₄ ²⁺	1346.728
C ₂₇ ²⁺	1517.331
C ₃₂ ³⁺	1196.991
C ₃₈ ³⁺	1404.107
Z ₃ ⁺	272.136
Z ₅ ⁺	541.321
Z ₁₇ ²⁺	959.056
Z ₁₈ ²⁺	1040.587
Z ₂₂ ²⁺	1248.683
Z ₂₅ ²⁺	1412.757
Z ₅₉ ³⁺	2214.176
Z ₆₀ ³⁺	2247.199

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7

1 Apo-myoglobin

Ion	<i>m/z</i> (monoisotopic)
M ¹⁸⁺	942.173
M ¹⁷⁺	997.535
M ¹⁶⁺	1059.818
M ¹⁵⁺	1130.405
M ¹⁴⁺	1211.077
M ¹³⁺	1304.159
M ¹²⁺	1412.755
M ¹¹⁺	1541.096
M ¹⁰⁺	1695.104
C ₁₁ ⁺	1230.612
C ₁₂ ⁺	1344.655
C ₂₂ ²⁺	1207.112
C ₂₃ ²⁺	1235.622
C ₂₄ ²⁺	1304.152
C ₃₀ ²⁺	1623.831
C ₃₁ ²⁺	1701.882
Z ₂₄ ²⁺	1349.208
Z ₃₁ ²⁺	1672.343
Z ₃₇ ²⁺	1982.987
Z ₅₅ ²⁺	3034.083
Z ₅₆ ²⁺	3098.131
Z ₅₅ ³⁺	2023.058
Z ₅₆ ³⁺	2065.756
Z ₆₀ ³⁺	2211.503
Z ₅₅ ⁴⁺	1517.545
Z ₅₆ ⁴⁺	1549.569
Z ₅₅ ⁵⁺	1214.238
Z ₅₆ ⁵⁺	1239.857

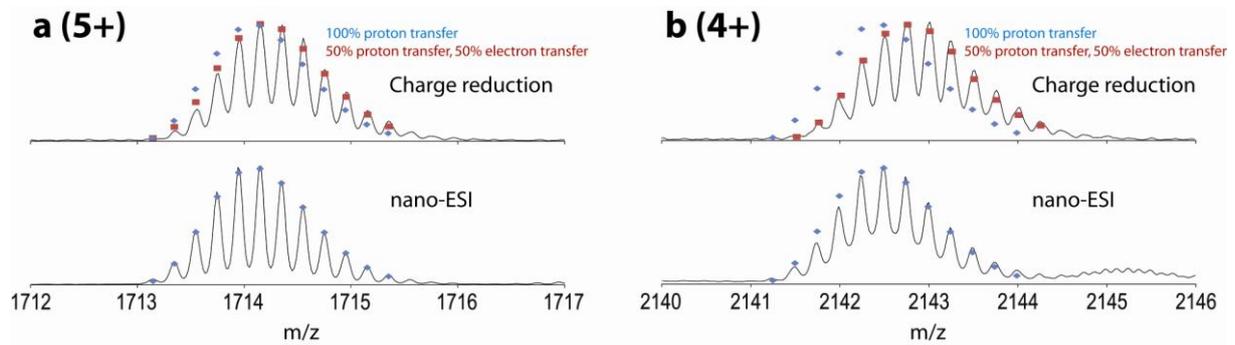
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4

1 **S-2 Comparison of the observed isotope distribution of the nominal ubiquitin 4+ charge state**
2 **formed by charge reduction and ESI**

3

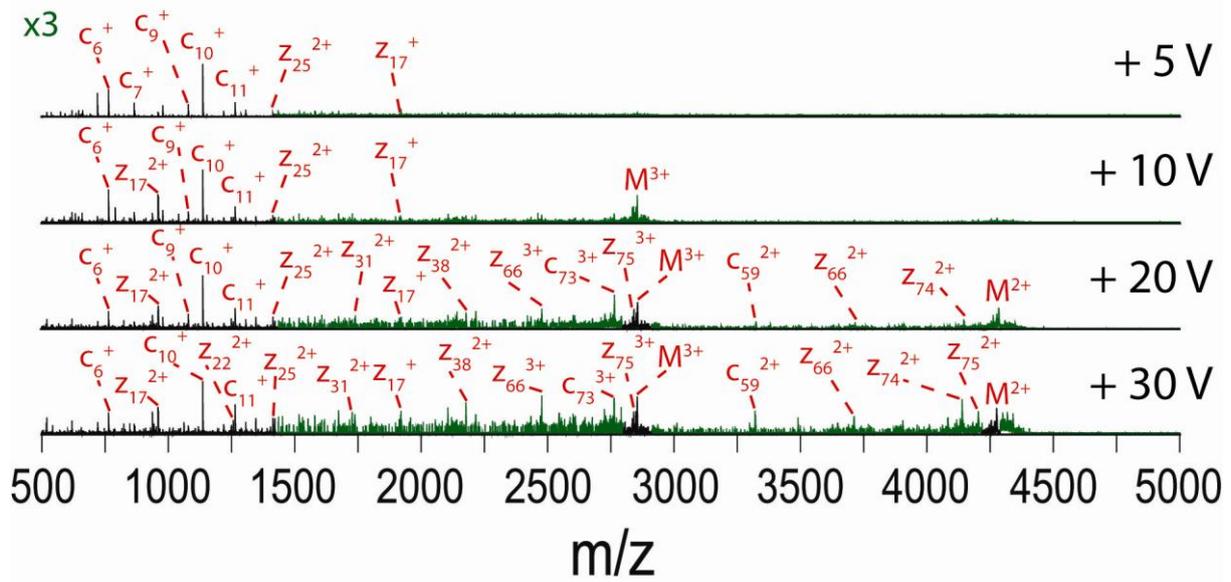


4

5 Isotope distributions observed after (top) charge reduction of 6+ ubiquitin and (bottom) native ESI,
6 for the (a) 5+ and (b) 4+ charge state. In blue, the theoretical distribution of the $[M+nH]^{n+}$ product,
7 formed by ESI or pure proton transfer is shown. In red, the expected isotope distribution is shown if
8 50% of the charge reduction occurs via proton transfer, and 50% via nondissociative electron
9 transfer. Specifically, for the 5+ ion (one charge reduction step), this is 50% $[M+5H]^{5+}$ and 50%
10 $[M+6H]^{5+}$, and for the 4+ ion, 25% $[M+4H]^{4+}$, 50% $[M+5H]^{4+}$, and 25% $[M+6H]^{4+}$.

11

1 **S-3: Effect of supplemental activation in top-down ETD of 9+ ubiquitin**



2

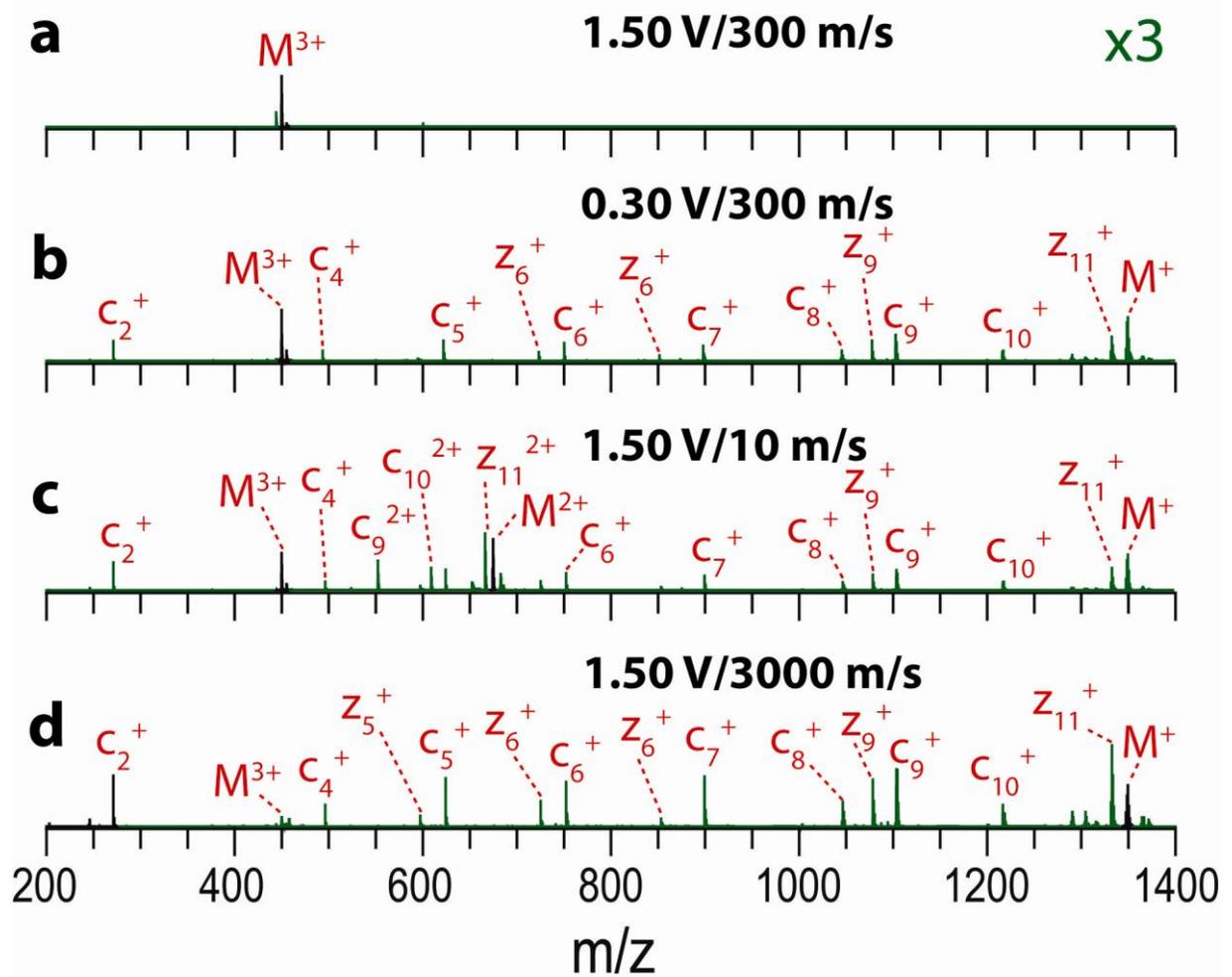
3 The spectra displayed here were acquired with a different degree of supplemental activation in the
4 transfer cell (voltage offset shown on the right), but otherwise standard top-down ETD conditions
5 were used as described in the Materials and methods section. Increased detection of large fragments
6 and charge-reduced intact protein is observed with increasing supplemental activation.

7

8

1 S-4: Substance P and myoglobin ETD spectra under different T-Wave conditions

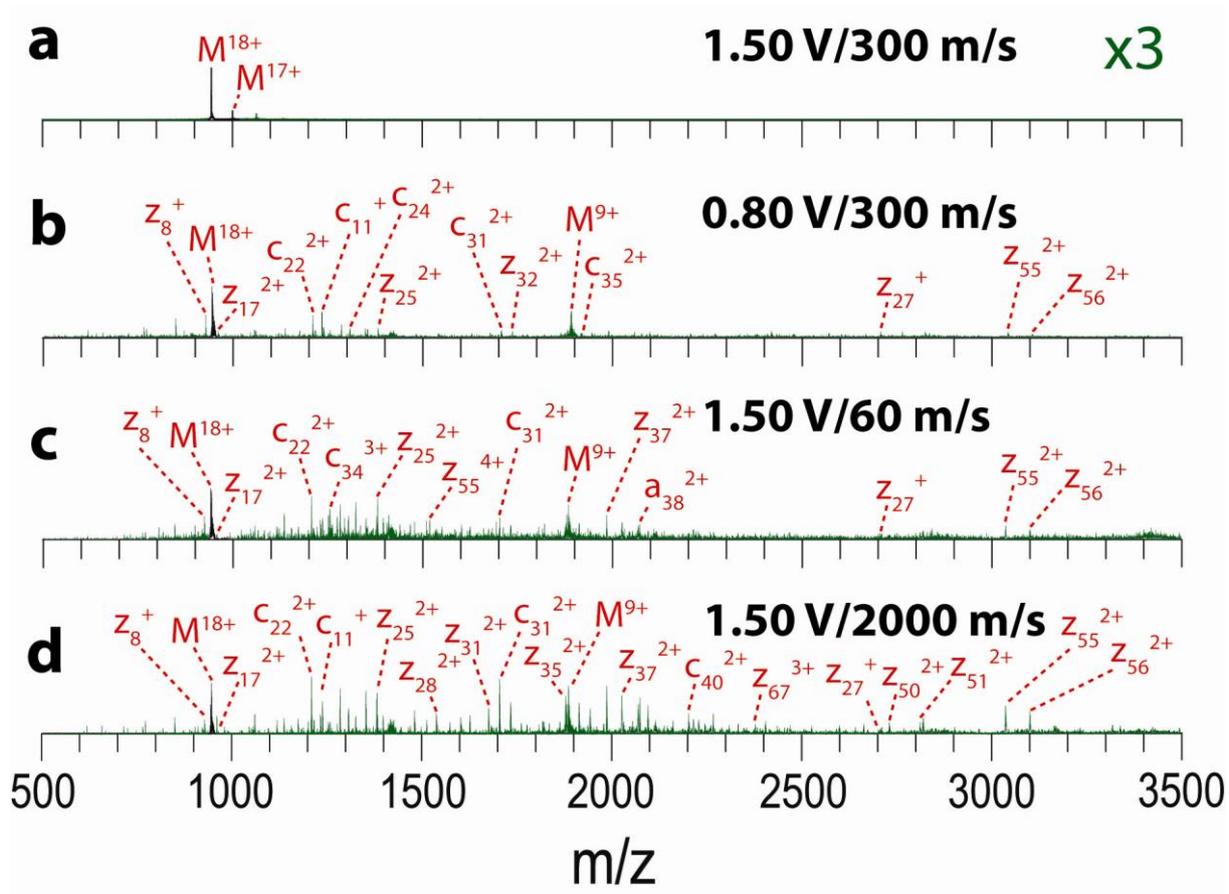
2



3

4 Substance P (3+) ETD spectra at (a) wave height 1.50 V, wave velocity 300 m/s; (b) wave height 0.30
5 V, wave velocity 300 m/s; (c) wave height 1.50 V, wave velocity 10 m/s; (d) wave height 1.50 V, wave
6 velocity 3000 m/s

7



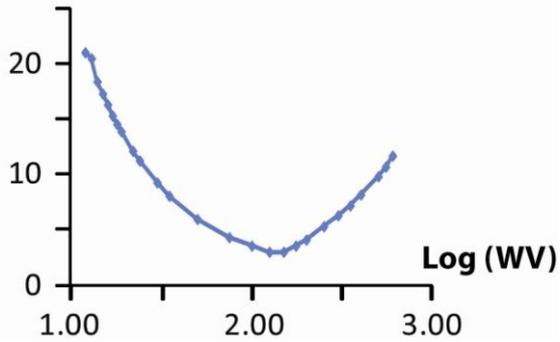
- 1
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Top-down ETD spectra of myoglobin (18+) with (a) wave height 1.50 V, wave velocity 300 m/s; (b) wave height 0.80 V, wave velocity 300 m/s; (c) wave height 1.50 V, wave velocity 60 m/s; (d) wave height 1.50 V, wave velocity 2000 m/s

1 S-5 Drift time of Cs⁺ (IM) versus log (WV)

G

Arrival time (ms)



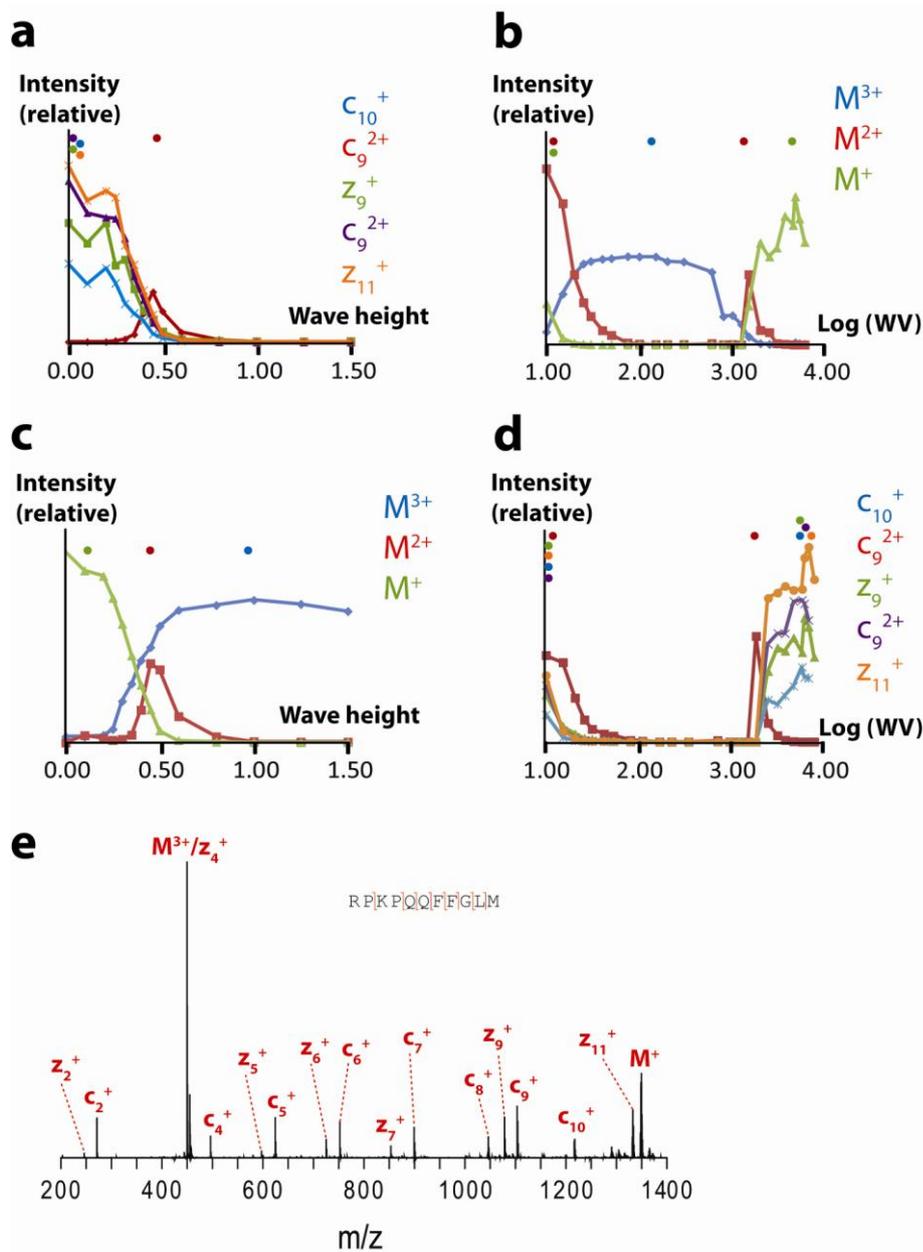
2

3

4 The wave height in the ion mobility cell was kept constant at 10 V, while the wave velocity was varied
5 between 10 and 600 m/s. As expected, the arrival time reaches a minimum at an intermediate wave
6 velocity (150 m/s; log (WV) = 2.2), and increases moving to either lower or higher velocity.

7

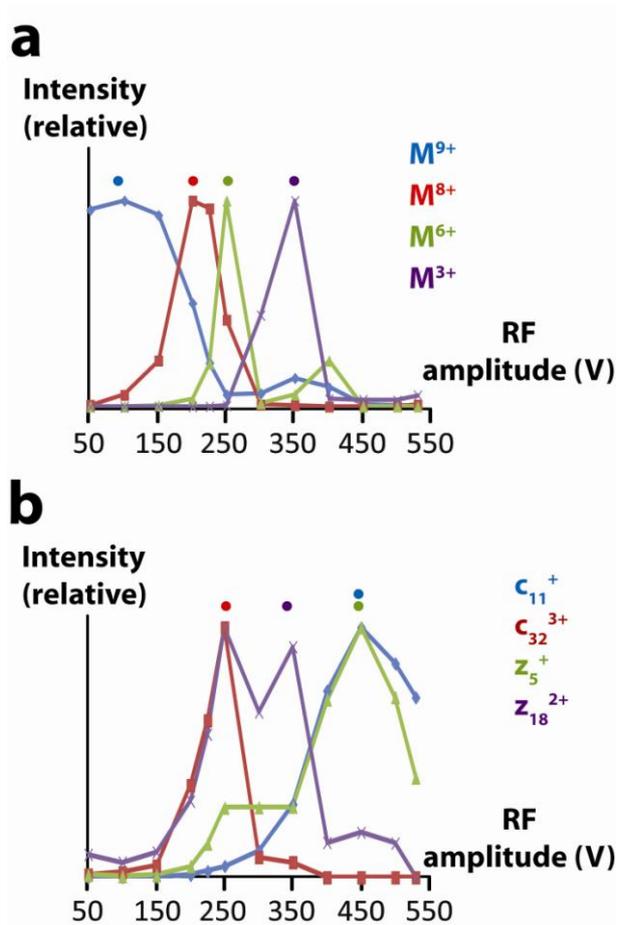
- 1 S-6 ETD products of substance P (3+): charge reduction vs. fragmentation with varying T-wave
- 2 height and velocity



- 3
- 4
- 5 Plots of (a) nominal substance P charge states versus wave height (wave velocity = 300 m/s); and (b)
- 6 log. wave velocity (wave height = 1.50 V); (c) selected substance P fragments versus wave height
- 7 (wave velocity = 300 m/s); and (d) log. wave velocity (wave height = 1.50 V). Panel (e) shows a
- 8 representative ETD spectrum for substance P, acquired at a wave height of 0.30 V and wave velocity
- 9 of 300 m/s. The 3+ charge state was selected in the quadrupole in all cases.
- 10

1 S-7 Effect of the trap RF amplitude on (a) relative abundance of (reduced) charge states of the
2 intact precursor and (b) fragmentation of 9+ ubiquitin

3



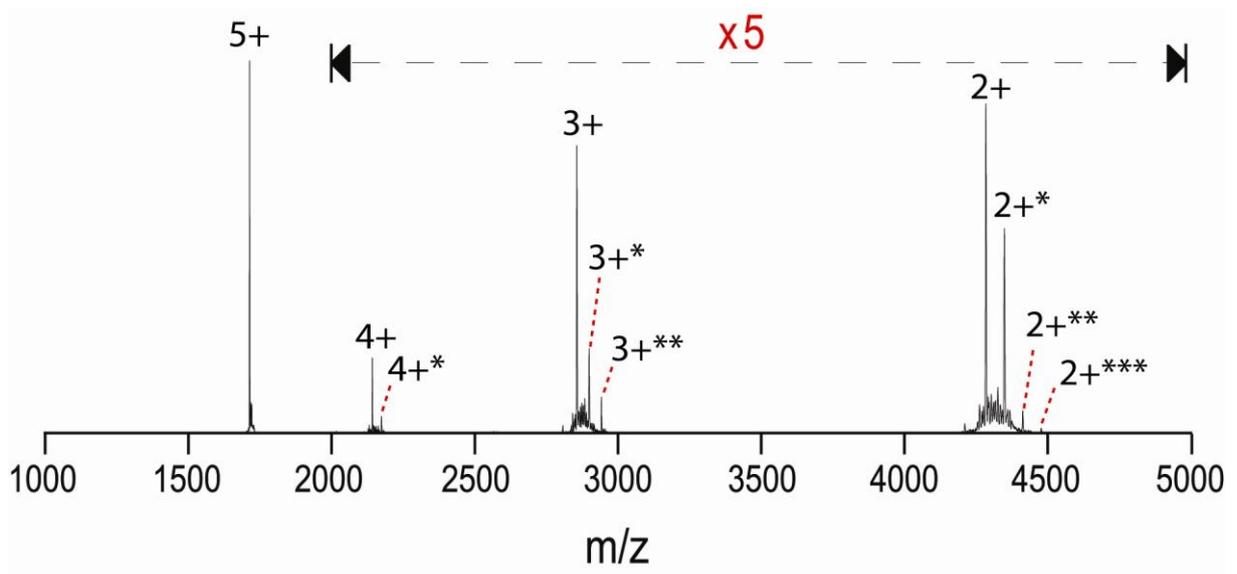
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5

6 The trap T-wave height and velocity were kept constant at 0.50 V and 300 m/s, respectively, during
7 these experiments. The normal operating regime for the trap RF amplitude in top-down ETD of
8 proteins is 450 – 530 V.

9

1 S-8 Adduct formation between ubiquitin cations and 1,4-dicyanobenzene anions



2

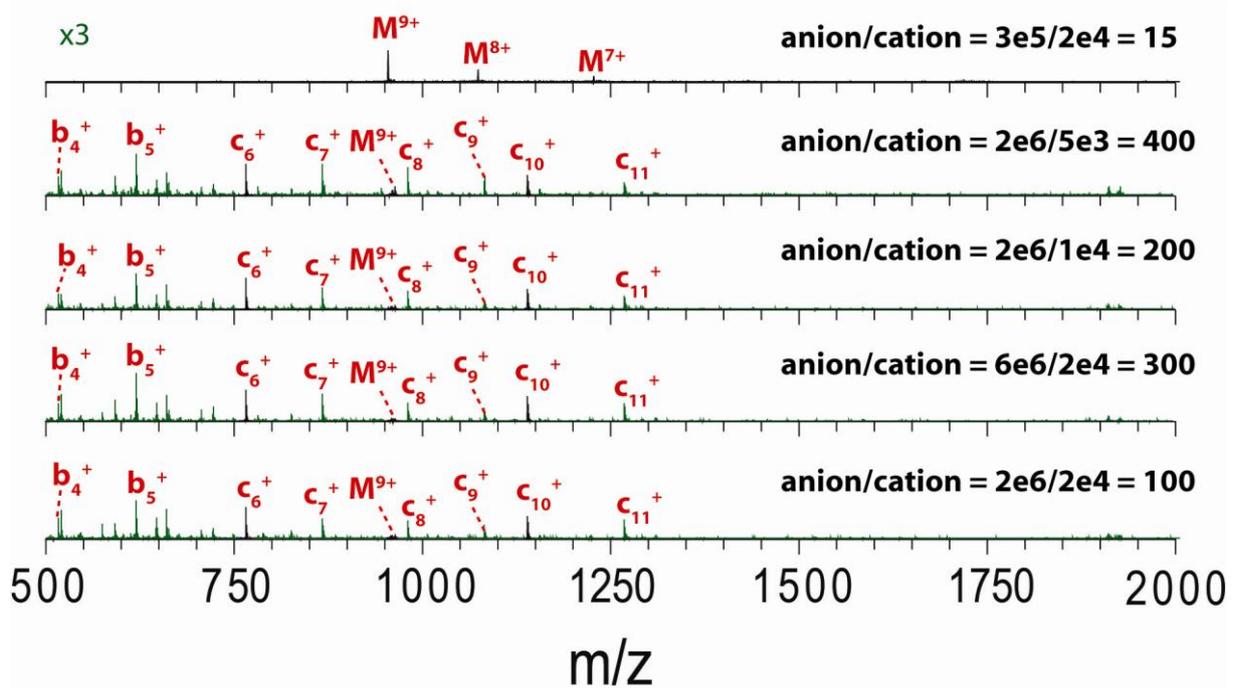
3 Formation of adducts with the ETD reagent can be observed during charge reduction of 5+ ubiquitin.

4 The number of reagent anions in the complexes is indicated by asterisks.

5

1 S-9 Effect of variation of the reagent/analyte ion ratio

2



3

4 The four bottom spectra have a significant (≥ 100 -fold) reagent excess and all lead to similar
5 spectra, showing efficient ETD fragmentation, even though both reagent and analyte counts, as well
6 as their ratio are varied significantly. The top spectrum, with a reduced reagent/analyte ratio, only
7 shows charge reduction, similar to how other tuning parameters reduce the 'effective' reagent
8 concentration or reaction time