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Xenobiotic and immune-relevant molecular biomarkers in harbor seals as proxies for pollutant burden and effects

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33 A significant correlation between xenobiotic markers and contaminant burden was found.
34 Significant interrelationships between markers and POP compounds, as well as with season,
35 weight and hematology values, indicate that biomarkers reflect pollutant exposure and
36 effects. A significant relationship between cortisol levels and heat-shock-protein expression
37 was observed, indicating stress experienced during restraint of the seals. Interleukin-10
38 transcription showed significant correlations with trace elements in fur pointing towards
39 immune regulatory effects of metal exposure. The molecular markers prove to be an
40 important non-invasive tool that reflects contaminant exposure and the impact of
41 anthropogenic stressors in seal species. The connection between interleukin-2, xenobiotic
42 markers and pollutants may indicate immune suppression in animals exposed to
43 contaminants with subsequent susceptibility to inflammatory disease.

44

45 Keywords: *Phoca vitulina*, biomarker, mRNA, xenobiotic metabolism, ecotoxicology, POPs,
46 trace metals, mercury, immune function, multiple stresses, pollution effects, seals

47

48 **1. Introduction**

49 Harbor seals are top predators in the upper trophic levels in the North and Baltic Seas (Burns
50 2002; Hammil 2002; Siebert et al. 2012). Although their population has been increasing over
51 the last years (HELCOM 2013; CWSS 2014) unusual mortalities of European harbor seals
52 occurred in 2014, resulting from infections with a novel influenza A H10N7 strain (Zohari et
53 al. 2014; Bodewes et al. 2015).

54 Harbor seals are threatened by various anthropogenic impacts in their marine habitat, e.g.
55 pollution by chemical and pharmaceutical substances, marine litter (plastics and
56 nanoparticles), noise, changes in prey abundance, and climate change (Harwood 2001;
57 Waterman et al. 2003; Weijs et al. 2009a). These constraints can have serious implications
58 for the health status of marine mammals resulting in stress and immune suppression that
59 may affect different species to a varying degree (Vinther & Larsen 2004). Two Phocine
60 Distemper Virus (PDV) epidemics in 1988/89 reduced the harbor seal population by 40-60%
61 (Jensen et al. 2002; Müller et al. 2004). The severity of the outbreak is thought to be, in part,
62 related to a contaminant-related reduction in the seals' immune systems (Brouwer et al.
63 1989; Ross et al. 1996). Since then, the population has recovered and increased to highest
64 numbers since counting was commenced. As top predators, harbor seals are characterized

65 by long life spans and can bioaccumulate high levels of contaminants such as Persistent
66 Organic Pollutants (POPs; Sormo et al. 2003; Wolkers et al. 2004; Weijs et al. 2009b) and
67 some trace metals (Teigen et al. 1999; Das et al. 2003; Kakuschke et al. 2007). When studied
68 comprehensively, marine mammals can be considered as sentinels of pollution (Bossart
69 2006) and ecosystem health in the ocean (Reddy et al. 2001). POPs are known to negatively
70 affect the immune system and have been reported to result in increased susceptibility to
71 viral infections in seals (De Swart et al. 1996; Ross et al. 1996). Environmental exposure with
72 metals is believed to affect marine mammal health adversely and to alter the modulation of
73 immune homeostasis (Das et al. 2008; Dupont et al. submitted). Metals may change, among
74 other functions, cell proliferation, phagocytosis or protein expression (Kakuschke et al. 2007;
75 Das et al. 2008; Dupont et al. submitted) and result in acute or chronic inflammatory
76 processes leading to hypersensitivities or autoimmune diseases (Kakuschke et al. 2006;
77 2008). Recent studies have indicated that environmental contaminants affect lipid
78 metabolism and endocrine function in marine mammals (Tabuchi et al. 2006; Lyche et al.
79 2010; Fossi et al. 2014) and increasingly reveal that different stressors interact to affect the
80 health of marine wildlife. This highlights the need to develop new tools to understand the
81 relative contributions of different stressors. To secure a better understanding of the early
82 biological effects of anthropogenic impacts on the physiology of marine mammals, recent
83 studies established new molecular biomarkers which provide insight into toxicity and
84 immunological health related impact in harbor seals, grey seals, and harbor porpoises from
85 the North and Baltic Seas (Müller et al. 2013, Weirup et al. 2013, Lehnert et al. 2014) using
86 blood samples as a minimally invasive method to establish health markers in protected
87 wildlife. The aryl hydrocarbon receptor (AHR) and its dimerization partner the aryl
88 hydrocarbon receptor nuclear translocator (ARNT) are activated by environmental pollutants
89 and reported to mediate immune toxic contaminants (Barouki et al. 2007; Fujii-Kuriyama &
90 Kawajiri 2010; Chopra & Schrenk 2011). The transcription factor peroxisome proliferator-
91 activated receptor (PPAR α) regulates mRNA expression and detoxifying enzymes (van Raalte
92 et al. 2004). Cytokines interleukin-2 (IL-2) and interleukin-10 (IL-10) are cell mediators of
93 inflammatory disease in marine mammals (Fonfara et al. 2008; Boyman & Sprent 2012) and
94 the acute-phase protein heat-shock-protein HSP70 is essential in measuring immune
95 reactions and stress (De Maio 1995; Chen & Cao 2010).

96

97 Pollutant-associated biomarkers (AHR, ARNT & PPAR α) were established in blood samples of
98 harbor seal pups in rehabilitation and a decrease of infectious disease- and pollutant-
99 induced markers after admission (IL-2, AHR, ARNT & PPAR α) as well as a decrease of stress
100 markers (HSP70) during rehabilitation was observed (Weirup et al. 2013). Differences in gene
101 transcription between pups and adult grey seal were observed (Lehnert et al. 2014),
102 probably related to the accumulation of pollutants. Therefore, more insight into the
103 ecotoxicology of anthropogenic stressors is required to safeguard the health of endangered
104 marine mammals by exploring molecular biomarkers (Byers et al. 2000).
105 The aim of this study was to use molecular biomarkers in free-ranging harbor seals to assess
106 pollutant- and stress related immune response and to validate/correlate gene expression of
107 relevant markers with total POPs and specific compounds, as well as with trace element
108 concentration in blood and fur, taking into account hematology and life history parameters
109 of the harbor seals.

110

111 **2. Materials and Methods**

112 **2.1. Blood and fur sampling**

113 *North Sea, Germany:* Harbor seals (n=54) were captured on two sandbanks (Lorenzenplate
114 and Kolumbusloch) in the North Sea (supplementary material fig. 1) during spring
115 (March/April) and autumn (August/September) from 2009 to 2012. 46 animals were adults
116 (females n=30; males n=16) and 8 animals were yearlings (females n=3; males n=5) judging
117 by their weight and length. Medicals including hematology were performed, the weight,
118 length and blubber thickness of the animals were taken (supplementary table 1). The seals
119 were captured in nets and restrained during the examinations and before their release back
120 into the sea.

121 **2.2. Blood status**

122 As described by Dierauf & Gulland (2001) the blood was taken from the epidural
123 intravertebral vein and collected in ethylenediaminetetraacetic acid (EDTA) tubes. Samples
124 taken for hemogram profiles were measured with ScilVet ABC™ Animal Blood Counter (Scil
125 Animal Care Company GmbH, D68519 Viernheim, Germany). Differential hematology profile
126 of red blood cells (RBC), their derivatives hemoglobin (HGB), and white blood cells (WBC)
127 and their derivatives lymphocytes (LYM), monocytes (MO) and granulocytes (GRA) and blood
128 chemistry can be found in supplementary table 1.

129 **2.3. Real time RT-PCR analysis**

130 For analyses of mRNA transcription levels blood samples were taken in EDTA monovettes
131 containing 3ml of RNA*later* (RiboPure-Blood Kit, Ambion Life Technologies). RNA samples
132 were frozen at -20°C or isolated directly according to the manufacturers' protocol, from 500-
133 700µL EDTA blood (RiboPure™-Blood Kit, Ambion Europe, Huntington, UK).

134 The Thermo Scientific Nanodrop 2000 unit (Peqlab Biotechnologie GmbH) was used with 2µl
135 RNA to determine the RNA concentration and integrity. Murine reverse transcriptase (RT-
136 PCR Core Kit™; Applied Biosystems, Weiterstadt, Germany) reverse transcribed 80-100ng/µL
137 RNA under the following temperature conditions: 8min at 25°C, 15min at 42°C, 5min at 96°C
138 and at 4°C until samples were removed and then stored at -20°C. Template cDNA was
139 analyzed with the ThermocyclerMX4000™real-time PCR Systems (Stratagene Europe,
140 Amsterdam, Netherlands). SYBR®Green QPCR Master Mix (Agilent Technologies) was used
141 according to the manufacturers' protocol for real-time quantification. For each parameter
142 standard curves with a dilution series from 10⁸ to 10² DNA-copies/µl were run, with
143 reactions performed in duplicate and with negative controls. The reaction volume of 20µl in
144 each sample of the reaction batch included 0.3µl of reference dye, 8.3µl of DEPC-Aqua 10µl
145 Mastermix a 0.2µl of sense and 0.2µl of antisense primer and 1.0µl cDNA. PCR initiated with
146 95°C for 3min, followed by 45 cycles with denaturation at 95°C for 5sec and a primer-specific
147 annealing temperature for 20sec. At the end of the annealing and at the end of the
148 dissociation program fluorescence was measured at a wavelength of 530nm. A dissociation
149 program was run for 1min at 95°C, followed by 41 cycles during which the temperature was
150 increased in each cycle, starting at 55°C and ending at 95°C, excluding the measurement of
151 nonspecific PCR products and primer dimers and to determine true amplification. Primers,
152 annealing temperatures and amplicon length are shown in table 1. As internal standards and
153 after verification of their stability with geNorm software (version 3.5; March 2007) reference
154 genes β-actin, β2M and YWAHZ were used to normalize biomarker mRNA transcription (Kok
155 et al., 2005).

156 **2.4. Trace element analyses**

157 Concentrations of trace elements (Cd, Cu, Fe, Ni, Pb, Se, Zn) were measured in blood (n = 40)
158 and fur (n = 10, n = 15 for Hg) of harbor seals sampled between 2009 and 2012 as described
159 previously (Habran et al. 2012). About 0.2 g of freeze-dried blood, 0.25 g of washed and
160 freeze-dried fur was weighed to the nearest 0.0001g. All these samples were subjected to

161 microwave-assisted digestion in Teflon™ vessels with 4 ml HNO₃ (65%), 1 ml H₂O₂ (30%) and
162 3 ml of 18.2 MΩ-cm deionized water. After cooling, samples were diluted to 50 ml with 18.2
163 MΩ-cm deionized water in a volumetric flask. Cd, Cr, Cu, Fe, Ni, Pb, Se, V and Zn levels were
164 determined by inductively coupled plasma mass spectroscopy (ICP-MS, PerkinElmer, Sciex,
165 DCR 2). Multiple-elements (⁷⁴Ge, ¹⁰³Rh, ²⁰⁹Bi, ⁶⁹Ga) internal standards (CertiPUR®, Merck)
166 were added to each sample and calibration standard solutions. Quality control and quality
167 assurance for ICP-MS included field blanks, method blanks, certified reference materials
168 (CRMs) – Seronorm L-3, DOLT-3, NIES-13 and BCR-063. Certified reference material recovery
169 (%) and the instrumental quantification limits for each element are listed in table 2.
170 Reported concentrations for all elements in blood and fur are expressed on a dry weight
171 basis in mg/g.

172 **2.5. Total Hg analysis**

173 About 30-50 mg of freeze-dried blood (n=40) and 1-4mg of fur (n=15) were accurately
174 weighed and loaded into quartz boats. Total Hg (THg) levels were determined by atomic
175 absorption spectroscopy, AAS (DMA-80, Direct Mercury Analyzer, Milestone). The method
176 has been validated for solid samples using US EPA Method 7473. Quality assurance methods
177 included evaluating by measuring blanks, duplicates and CRMs – Seronorm L-3 and NIES-13
178 with every 10 samples. Concentrations of all analyzed metals included in the statistical
179 analyses are shown in supplementary material table 2.

180 **2.6. Chemical analysis of organic contaminants**

181 ***Target analytes***

182 In all samples, target compounds were polychlorinated biphenyls (PCBs, IUPAC-numbers: 52,
183 74, 95, 99, 101, 105, 110, 118, 128, 138, 149, 151, 153, 156, 170, 171, 172, 174, 177, 180,
184 183, 187, 194, 196/203, 199, 206, and 209); polybrominated diphenyl ethers (PBDEs, IUPAC-
185 numbers: 47, 100, 153); oxychlordan (OxC), *trans*-nonachlor (TN), hexachlorocyclohexanes
186 (HCHs), alpha-, beta- and gamma HCH isomers; and DDT and metabolites. Standards were
187 purchased from Dr Ehrenstorfer, Accustandard, Wellington and Cambridge Isotope
188 Laboratories.

189 ***Sample preparation***

190 The method for serum analysis was described by Weijs et al. (2009a) and Dirtu et al. (2010).
191 Briefly, an accurate volume of serum (typically around 1.5ml) was spiked with internal
192 standards (CB 143, epsilon-HCH, BDE 77 and BDE 128), diluted 1:1 with Milli Q water, mixed

193 with formic acid, sonicated for 20min and extracted using solid-phase extraction (SPE)
194 cartridges (6 mL/500 mg Oasis HLB, Waters). Elution was done by 10ml of MeOH:DCM (1:1,
195 v/v). After evaporation to near dryness, the analytes were reconstituted in 0.5ml
196 hexane:DCM (1:1, v/v) and the extract was further cleaned on acidified silica (500 mg, 44%
197 H₂SO₄, w/w). POPs were eluted with 10ml of hexane:DCM (1:1, v/v) and further evaporated
198 to dryness under a gentle nitrogen stream and reconstituted in 100µl iso-octane.

199 **Analysis**

200 For the analysis of PBDEs and HCHs, a GC–MS operated in electron capture negative
201 ionisation (ECNI) mode was equipped with a 30 m×0.25 mm×0.25 µm DB-5 capillary column
202 (J&W Scientific). The ion source temperature was 170 °C. The MS was used in the selected
203 ion monitoring mode (SIM) mode with two ions monitored for each HCH and CHL in specific
204 windows, while ions m/z=79 and 81 were monitored for PBDEs during the entire run. Two µl
205 of the extract was injected in cold pulsed splitless mode, splitless time 1.50 min. Helium was
206 used at constant flow (1.0 ml/min).

207 For the analysis of PCBs and DDTs, a GC–MS operated in electron impact ionisation (EI) mode
208 was equipped with a 25 m×0.22 mm×0.25 µm HT-8 capillary column (SGE). The ion source
209 temperature was 230 °C. The MS was used in the SIM mode with two ions monitored for
210 each PCB homologue group and for each individual pesticide in specific windows. Two µl of
211 the extract was injected in cold pulsed splitless mode, splitless time 1.50 min. Helium was
212 used at constant flow (1.0 ml/min). Concentrations of all analyzed POP compounds included
213 in the statistical analyses are shown in supplementary material table 3.

214 **QA/QC**

215 Multi-level calibration curves ($r^2 > 0.999$) in the linear response interval of the detector were
216 created for the quantification. Quality control (QC) was performed by regular analyses of
217 procedural blanks, by random injection of standards, spiked samples and solvent blanks. The
218 QC scheme is also assessed through regular participation to interlaboratory comparison
219 exercises organized by AMAP (POPs in human serum). Obtained values were deviating with <
220 20% from consensus values. For analytes detected in procedural blanks, the mean
221 procedural blank value was used for subtraction. After blank subtraction, the limit of
222 quantification (LoQ) was set at 3 times the standard deviation of the procedural blank. For
223 analytes not detected in procedural blanks, LoQs were calculated for a signal-to-noise ratio =
224 10. Results were expressed on a wet weight basis.

225 **2.7. Statistical analysis**

226 Chemical compounds analyzed in harbor seal below LoQ were not considered for statistical
227 analyses. Compounds with more than 50% of the samples below LoQ were excluded. POPs
228 tested are summarized in supplementary material table 3.

229 ***Multivariate analyses***

230 Non-metric multi-dimensional scaling (NMDS), derived from Euclidean distance was used to
231 visualize similarities between pollutant burdens in harbor seals. No attempt was made to
232 quantify toxicity differences among pollutants; however, equal weighting was guaranteed by
233 standardizing (dividing by maximum value) compounds. Two dimensions were sufficient to
234 minimize the stress factor to a good result of 0.07. From all tested pollutant compounds
235 sums were derived for relevant pollutant groups per blood sample (Table 1). The variable
236 “sum POPs” is the sum of all standardized pollutant compounds with detection frequency
237 >50% throughout all blood samples. It is heavily influenced by the group of PCBs with 28
238 compounds included. The other compound groups are only represented by 3, 2, 2, and 1
239 compounds (for PBDEs, DDTs, chlordanes (CHLs) and HCHs respectively, table 1). In order to
240 test significance between euclidean similarity matrix of standardized POP compounds and
241 explanatory variables (Table 2) PERMANOVAs were used with 999 permutations. Animals
242 caught in 2009 were not tested for trace elements, thus trace elements were not included in
243 the multivariate analyses.

244 ***Univariate analyses***

245 Trace elements showed best fit assuming normal distribution. To account for non-normal
246 errors and over-dispersion in organic pollutant groups, negative binomial regressions were
247 applied. For β -HCH, censored (or tobit) generalized regression models with the negative
248 binomial distribution were applied to account for the samples under limit of quantification
249 (LoQ). More specifically, we tested if pollutant loads of the pollutant groups PCBs, PBDEs,
250 DDTs, CHLs and β -HCH differed between tested years and if their concentration changed
251 with body weight. In a second model group we tested for differences in pollutant groups
252 between males and females and between spring and autumn samples. These effects were
253 also tested in trace elements in the blood. In the results, we only show significant effects of
254 trace elements. Once differences in organic pollutant burdens were established, biomarkers
255 were added to the models including sex and season in order to test whether xenobiotic
256 biomarkers reflect burdens of organic pollutant groups in the blood. To account for non-

257 normal errors Spearman rank correlations were used to test relationships between
258 biomarkers and sum POPs. To avoid excess testing only the biomarkers that were found to
259 be relevant in the explorative NMDS and PERMANOVAs were tested. To test whether stress
260 effects are reflected in HSP70 levels in harbor seals a Spearman rank correlation was
261 calculated with cortisol. All multivariate tests were run with the package vegan (Oksanen et
262 al. 2013) in the R 3.0.2 environment (R Core Team 2014). The censored generalized
263 regression model was run in the package VGAM (Yee 2014).

264

265

266 **3. Results**

267 ***POPs***

268 A negative relationship between organic pollutant compound groups in the blood and the
269 weight of the animals was apparent in all negative binomial regression models for most
270 organic compound groups ($p < 0.001$). Only β -HCH compounds showed no significant effect of
271 weight ($p = 0.14$) (Fig. 1). There was a non-significant trend of decreasing β -HCH levels within
272 the 4 study years ($p = 0.056$), whereas all other organic compound groups stayed at
273 comparable levels ($p > 0.1$) during the study period.

274 Sum POPs in blood taken in spring and autumn differed significantly ($p < 0.05$) with higher
275 loads in autumn except for DDT with a marginally significant difference ($p = 0.087$). Males had
276 higher loads ($p < 0.05$) in all organic compound groups compared to females, but β -HCH (Fig.
277 2) showed no significant difference between sexes.

278 ***POPs and molecular biomarkers***

279 The NMDS plot (Fig. 3) shows the relationship between molecular biomarkers, pollutant
280 groups and hematology values and similarities in pollutant burden in blood samples of the
281 first two rotated (thus showing the most important) NMDS axes. All significant effects tested
282 in PERMANOVAs were plotted post-hoc over the NMDS (see also table 2). Sum POPs, as sum
283 of all compounds per sample explains the highest proportion of variance of the first NMDS.
284 PCBs, which are heavily influential on sum POPs and the primary matrix, are also highly
285 correlated to sum POPs, as are PBDEs. CHLs and DDTs show a higher correspondence to the
286 second NMDS axis and are almost orthogonal to the biomarkers AHR and ARNT. β -HCH is the
287 least important of all pollutant groups in this context.

288 No clear grouping between spring and autumn samples is obvious (Fig. 3, grey upward
289 triangles for spring and black squared symbols for autumn), however, on the positive side of
290 the first axis there are more samples collected in autumn. Apart from pollutant groups the
291 next important variables to explain the variance are, in order of decreasing magnitude:
292 weight, SGPT, AHR, ARNT, total protein, IL-2 and creatinine. The hematology values SGPT,
293 creatinine and total protein indicate a negative relationship to PCBs and PBDEs. The
294 biomarkers AHR, ARNT and IL-2 are mostly explained by the first NMDS axis.
295 Correspondingly, weak but significant Spearman rank correlations were found between sum
296 POPs and AHR ($\rho=0.29$, $p=0.036$; Fig. 4a) and ARNT gene transcription ($\rho=0.406$,
297 $p=0.003$; Fig. 4b).

298 A significant Pearson correlation between gene transcription of the xenobiotic biomarker
299 AHR and pro-inflammatory cytokine IL-2 was found ($r=0.92$, $p<0.001$) (Fig. 4c). PPAR α
300 transcription showed a significant positive correlation with monocytes ($r=0.58$, $p<0.001$).

301 When testing the relationship between biomarkers and pollutant groups, a general pattern
302 was observed (Fig. 5a-d). Apart from the difference between males and females in spring
303 and autumn (shown in Fig. 2), a positive relationship between some biomarkers and some
304 pollutant groups (PCBs and AHR, DDTs and ARNT, PBDEs and AHR, PBDEs and IL-2) in autumn
305 and for males in spring was found. Females, in comparison, show a negative correlation in
306 spring. The correlation between PCBs and AHR transcription is supported by a significant
307 threefold interaction between sex, season and AHR ($p=0.011$). A twofold interaction
308 between season and sex as shown above and sex and AHR transcription indicate that their
309 interrelationships differ between females and males. The relation of PBDEs and AHR
310 transcription was described by a significant main effect of season with higher values in
311 autumn ($p=0.011$) and a significant positive correlation to AHR transcription was observed
312 ($p=0.019$) (Fig. 5). A marginally higher PBDE contamination in males ($p=0.065$) was found. IL-
313 2 transcription showed a similar non-significant pattern ($p=0.084$), the contribution of
314 season and sex is similar to the previous model (season: $p=0.020$, sex: $p=0.066$). DDT
315 presented the same overall pattern, however only the sex effect is significant ($p=0.030$).
316 ARNT showed a non-significant positive relation to DDT ($p=0.078$) (Fig. 5). For DDT, no
317 differences were found between season, nonetheless model fit was better including season
318 as fixed effect, as found by a likelihood ratio test of competing models.

319 A weak but significant Spearman correlation was found between HSP70 gene transcription
320 and cortisol values in adult harbor seals ($\rho=0.45$, $p=0.004$) (supplementary material fig. 2).

321 ***Trace elements***

322 Concentrations of Ni and Cu in blood samples taken in 2011 were significantly lower than in
323 2010 ($p<0.05$), but levels increased again in 2012. Fe in blood samples levels decreased in
324 2012 ($p<0.001$), whereas Cd showed a significantly higher concentration in 2012 ($p<0.001$).
325 Cd was the only trace element showing some negative relation to body weight. This relation
326 was however statistically non-significant ($p=0.072$). The trace element concentrations
327 remained similar between seasons. However, Ni, Se, and Cu concentrations were lower in
328 males ($p<0.05$) than in females.

329 ***Trace elements and molecular biomarkers***

330 Significant correlations between trace element concentrations in blood and fur, biomarker
331 transcription and hematology values were found (see table 3). IL-10 showed significant
332 positive effects with Ni, Cu, Zn, and Pb concentrations measured in fur samples. Hg in blood
333 and fur was positively correlated to hemoglobin. Fe was positively correlated with HSP70,
334 PPAR alpha and monocytes, whereas it showed a negative correlation to AHR and IL-2
335 transcription. Selenium was negatively correlated with IL-2 transcription. Cadmium showed
336 positive correlations with AHR and IL-2 transcription, but negative correlations with HSP70
337 and PPAR α gene transcription. Lead was positively related to HSP70 and PPAR α
338 transcription.

339

340 **4. Discussion**

341 ***POPs***

342 POP concentrations found in blood in this study were comparable to those found in recent
343 studies in pinniped species (Weijs et al. 2009a). POP concentrations found in harbor seal
344 serum remained stable over the study period, with only HCH showing a potentially
345 decreasing trend. Blood samples of juvenile harbor seals showed higher POP contamination
346 than blood samples of adults. The same trend was found for PBDEs in a study analyzing PCBs
347 and PBDEs in serum of harbor seals sampled from 2006 to 2008 in the North Sea (Weijs et al.
348 2009a), where juveniles had higher levels than adult males and females, probably as a
349 consequence of lactational transfer. Higher POP burdens were found in male harbor seals
350 compared to females investigated in this study. This is supported by findings for PCBs

351 analyzed in serum of harbor seals sampled in 2006-2008 in the North Sea, where
352 concentrations in adult males were slightly higher than in juveniles and lowest in juvenile
353 females (Weijs et al. 2009a). This effect is probably caused by mobilization of lipophilic
354 contaminants in harbor seals during lactation, and high lipid and contaminant transfer to
355 offspring during that time period, which in fact influences pollutant load in blood depending
356 on the season (Debier et al. 2006). The results of this study underline how pollutants are
357 accumulated with age in marine top predators while some are lost during gestation and
358 lactation by females to their young (Debier et al. 2003). Apart from reproductive status,
359 general body condition, e.g. emaciation, may have an influence on the levels of chlorinated
360 and brominated contaminants in serum of marine mammals (Weijs et al. 2009a). However,
361 the animals investigated in this study were all estimated to be in good or moderate
362 nutritional state.

363 In this study, both sampling locations were in immediate vicinity to each other, thus
364 pollutant exposure and potential prey are assumed to be similar for all tested animals. There
365 was a negative correlation between weight and contaminants in blood with heavier animals
366 showing lower pollutant burdens, probably caused by a 'dilution effect' (Weijs et al. 2009a).
367 The samples with the highest pollutant burden were sampled in autumn.

368 ***POPs and molecular biomarkers***

369 The NMDS plot shows the relationship between molecular biomarkers, pollutant groups and
370 hematology values (Borcard et al. 2011). Most of the pollutant groups were highly correlated
371 with each other. Harbor seals with a high concentration of one pollutant group were more
372 likely to be severely contaminated with the other pollutant groups. β -HCH was an exception,
373 and was less correlated to the other compound groups. As it is a more water-soluble
374 compound than the others it may represent different mobilization pathways than the other
375 compound groups (Willett et al. 1998). The xenobiotic biomarkers AHR and ARNT contribute
376 significantly to some of the pattern. Their correlation is supported by a weak but significant
377 correlation between the sum POPs and AHR and ARNT (Fig. 4). This is supported by findings
378 in other marine animals, in which AHR pathways were shown to be conserved and to play a
379 critical role in the mediation of POP toxicology (Dougherty et al. 2008; Zhou et al. 2010). IL-2
380 is also highly correlated with AHR as observed in the NMDS plot. The hematology values for
381 total protein, SGPT and creatinine show some negative response to pollutant burden.

382 In autumn, positive relations of POP compounds with biomarkers were found, while in
383 spring, females also show a negative relation contrary to males. This relation is statistically
384 relatively weak, nevertheless the same pattern was found for three POP groups (PCBs, PBDEs
385 and DDTs) and two pollutant-induced (AHR and ARNT) and immune relevant (IL-2)
386 biomarkers. It is assumed that this pattern in pollutant exposure and gene transcription
387 reflects seasonal changes in mobilization of liposoluble contaminants and subsequent
388 expression of transcription factors metabolizing toxic compounds, partly due to gestation
389 and lactation of females in spring. Harbor and grey seal pups have been reported to show
390 decreasing gene transcription of pollutant-induced markers AHR and ARNT during
391 rehabilitation, after first lactating and then being fed a compensatory milk product
392 containing bovine milk products, plant fat and egg powder (Weirup et al. 2013, Lehnert et al.
393 2014). This underlines that exposure to liposoluble contaminants induces gene transcription
394 of AHR and ARNT which clearly correlates with sum POPs and POP compounds in this study.

395 ***Trace elements***

396 Trace element concentrations found in blood and fur samples in this study were in a
397 comparable range to those found in other studies (Kakuschke et al. 2008; Das et al. 2008;
398 Habran et al. 2012). Trace elements analyzed in blood and fur samples of harbor seals were
399 lower in males compared to females. This is concurrent with a study by Wenzel et al. (1993)
400 who observed higher levels in Hg concentrations in fur from female compared with male
401 harbour seals. Species- and gender related differences in Hg concentrations could be due to
402 sexual dimorphism, diet and different energy requirement for gestation and lactation (Aubail
403 et al. 2011). No clear age-dependent patterns in trace element concentrations of the harbor
404 seals were observed. Metal concentrations in free ranging seals of the North Sea have been
405 reported (Griesel et al. 2008; Das et al. 2008) with young seals showing high burdens of
406 selected metals, probably caused by a transplacental transfer from the mother to fetus or
407 through the milk during the lactation period (Kakuschke et al. 2008).

408 Recent studies suggested that the maternal transfer of Se was prominent during lactation,
409 whereas the Hg transfer was larger during gestation in elephant seal mothers and pups
410 (Habran et al. 2011). In grey seals pups all investigated trace elements (n=11) were shown to
411 be transferred via lactation (Habran et al. 2012), except Cd which was transferred through
412 the placenta.

413 ***Trace elements and molecular biomarkers***

414 Anti-inflammatory cytokine IL-10 transcription showed high positive correlations to trace
415 element concentrations of Ni, Cu, Zn and Pb in fur of harbor seals in this study. This probably
416 indicates a negative influence of increased heavy metal loads on immune homeostasis
417 (Kakuschke et al. 2007), and the influence of metals on the function of immunocompetent
418 cells by immune-enhancement or immunosuppression effects. In ringed seal (*Pusa hispida*
419 *saimensis*) pup fur samples from Finland, a relationship between Ni concentrations and
420 stillbirths was found (Hyvärinen & Sipilä 1984). Other studies found increased IL-10
421 transcription correlated with increased susceptibility to infectious disease in harbor
422 porpoises (Beineke et al. 2007) and inhibited lymphocyte proliferation in harbor seal pups
423 from the North Sea by Be, Pb, Cd and Hg in newborn pups (Kakuschke et al. 2008).

424 Cd and Pb loads in blood showed significant correlations to xenobiotic biomarkers AHR and
425 PPAR α as well as immune-relevant IL-2 and acute-phase-protein HSP70 transcription,
426 indicating clear effects of heavy metal concentrations on the xenobiotic metabolism, stress-
427 and immune response. Cadmium showed a positive relation with AHR and IL-2 transcription,
428 possibly reflecting negative impact of Cd concentrations and exposure to infectious disease.
429 This is supported by a study measuring mRNA-expression of IL-2 and IL-4 in grey seal
430 lymphocytes where Ni and Be induced lowest cytokine expression compared to other metals
431 and the quotient IL-2/IL-4 was increased due to a strong down-regulation of the Th2
432 cytokine IL-4 (Kakuschke et al. 2006).

433 Cd in blood showed a negative correlation with HSP70 and PPAR α transcription, while lead
434 showed a positive relation with HSP70 and PPAR α transcription in blood samples. Both
435 relationships probably underline that effects of metals on the immune system may include
436 immunosuppression or acute as well as chronic inflammatory processes leading to
437 hypersensitivities or autoimmune diseases (Kakuschke et al. 2007).

438 Mercury concentrations in blood and in fur were positively correlated to hemoglobin values
439 in the seals investigated. Hg is bound to hemoglobin in the red blood cells and therefore
440 changes in hemoglobin concentration can affect Fe and Hg concentrations measured in
441 whole blood (Habran et al. 2011). Although mercury did not show any significant
442 relationships with immune-relevant or xenobiotic biomarkers in this study, high Hg
443 concentrations were found associated with a prevalence of parasitic infections and
444 pneumonia in harbor porpoises from the North and Baltic Sea (Siebert et al. 1999) and
445 experimental inoculation with Hg has been shown to result in lethargy, weight loss and

446 death in harp seals (*Pagophilus groenlandicus*) (Ronald et al. 1977). Methylmercury altered
447 *in vitro* immunoproliferation in lymphocytes of harbour seals from the North Sea (Das et al.
448 2008).

449 Fe values in blood samples showed negative correlations to AHR and IL-2 biomarker
450 transcription, but positive correlations with HSP70, PPAR α and monocytes. The correlation
451 of PPAR α transcription with monocytes was observed in grey seals (Lehnert et al. 2014),
452 while a similar correlation between monocytes and ARNT and HSP70 gene expression was
453 observed in harbor seal pups (Weirup et al. 2013). This may indicate influences of the
454 xenobiotic metabolism (PPAR α /ARNT) on immune status (monocytes) and reflect heightened
455 immune response due to exposure to environmental contaminants. Nickel in blood showed
456 a positive relationship to lymphocytes but a negative to monocytes, indicating possible
457 regulatory functions of blood cells towards trace element exposure (Alkahem 1994; Caicedo
458 et al. 2010). Selenium showed a weak negative correlation to IL-2, underlining the
459 interrelationships between selenium and immune cell modulation (Kiremidjian-Schumacher
460 et al. 1990).

461 **Biomarkers**

462 IL-2 is highly correlated with AHR which may be due to possible immune-modulatory effects.
463 Increased AHR transcription is probably caused by exposure to environmental pollutants.
464 This is in accordance with preliminary results with primary harbor seal hepatocytes, which
465 were incubated with PCB mixtures (Behr et al. 2008; Hellwig 2011; Korff et al. submitted). A
466 subsequent up regulation of pro-inflammatory cytokine IL-2 may reflect immune
467 suppression and enhanced susceptibility to infectious disease.

468 HSP70 and cortisol showed a positive correlation in adult harbor seals, probably reflecting
469 stress experienced by the seals during capture. In previous studies on harbor and grey seal
470 pups HSP70 transcription decreased after admission and throughout rehabilitation, which
471 probably reflects habituation and underlines the use of this acute-phase-protein as a stress
472 marker in pinnipeds (Fonfara et al. 2008, Weirup et al. 2013, Lehnert et al. 2014).

473

474 **Conclusions:**

475 To our knowledge, this is the first study investigating gene transcription of immune-relevant
476 and xenobiotic molecular biomarkers to assess the impact of environmental pollutants on
477 the health of free-ranging harbor seals using minimally invasive blood and fur samples.

478 Transcription patterns observed suggest that organic pollutant compounds and trace
479 elements have immune-modulatory effects on the harbor seals and that their impact may be
480 detrimental to the seals health, potentially causing immune suppression and subsequent
481 increased susceptibility to infectious disease. We conclude that the markers used in this
482 study are useful to detect and assess pollutant-induced changes in vulnerable pinniped
483 species.

484

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494 and Ocean Protection (LKN-SH), Germany.

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684 rticleId=20967](http://www.eurosurveillance.org/ViewArticle.aspx?ArticleId=20967).

685

686 Table 1: Primer sequences, amplicon length, annealing temperature, accession numbers and
687 efficiencies for reference genes, cytokine, heat shock protein and xenobiotic markers
688
689

Gene	Primer sequences (5'-3')		Length of Amplicon (bp)	Annealing temperature (°C)	Accession number	Efficiency (%)
	s	as				
B2M	GCT ACG TGT CAG GGT TCC AT	CAT GCT TTA CAC GGC AGC TA	177	58	GU046700	98.9
YWHAZ	AGA CGG AAG GTG CTG AGA AA	TCA TCA CCA GCA GCA ACT TC	208	58	GU046699	97.9
β-actin	CCATCGGCAACGAGCGGTTCC	CGTGGTGGCGTAGAGGTCCTTCC	146	64	DQ212697	98.8
HSP70	GGG GCT GAA CGT GCT GAG G	CCG CTT GTT CTG GCT GAT GTC	280	61	DQ118386	98.7
IL-2	CTT GCA TCG CAC TGA CTC TT	GCT CCA ACT GTT GCT GTG TT	89	57	AY919322	93.2
IL-10	CCT GGG TTG CCA AGC CCT GTC	ATG CGC TCT TCA CCT GCT CC	206	64	AY919326	98.9
AHR	AACAGCTAGGCATTGATTTGAAG	GTGGCTGCGGGTAGTCTGAACA	180	60	AB056700.1	100.1
ARNT	AGCACTGTCCCTGCCACCCA	GGGGTCCAAGTTGGGGCTGC	200	60	AB201467.1	96.6
PPAR α	GATCGTCCCGGCTTCTAAAC	GCTGCGCGTGCTCTGTGAC	129	62	AF350327.1	98.5

690 Table 2: Explanatory variables tested in PERMANOVAs of Euclidean similarity matrix of POP
 691 compounds. P-values are shown for significant effects $p \leq 0.05$ or marginally significant
 692 effects $p \leq 0.1$ and $p > 0.05$. (<tested effects with p values > 0.1 were the following: Year, Sand
 693 bank, Age group, Length, Sex, PPAR α , IL-10, HSP70, Leukocytes, Erythrocytes, Thrombocytes,
 694 Granulocytes, Lymphocytes, Monocytes, Kalium, Natrium, SGOT, Bilirubin, Urea).

<u>explanatory Variable</u>	<u>p-values</u> <u>(PERMANOVAs)</u>
<u>Month</u>	<u>0.069</u>
<u>Season</u>	<u>0.036</u>
<u>Weight</u>	<u>0.005</u>
<u>AHR</u>	<u>0.003</u>
<u>ARNT</u>	<u>0.011</u>
<u>IL-2</u>	<u>0.014</u>
<u>Hemoglobin</u>	<u>0.062</u>
<u>Protein</u>	<u>0.008</u>
<u>Calcium</u>	<u>0.058</u>
<u>SGPT</u>	<u>0.003</u>
<u>Cholesterin</u>	<u>0.087</u>
<u>Creatinine</u>	<u>0.047</u>

695

696

697 Table 3: Spearman rank correlations between trace elements ($\mu\text{g} \times \text{g}^{-1}$ dry weight) and
 698 biomarkers and hematology values. Table shows Spearman rho in bold for significant effects
 699 $p < 0.05$.

700

	Hg	Fe	Ni	Cu	Zn	Pb
	<i>fur samples (n=10, n = 15 for Hg)</i>					
IL-10 (norm. copy numbers)	0.061	0.585	0.809	0.799	0.912	0.851
Hemoglobin (g/dl)	0.547	-0.5	-0.419	-0.326	-0.103	-0.14
	Hg	Fe	Ni	Se	Cd	Pb
	<i>blood samples (n=40)</i>					
AHR (norm. copy numbers)	0.038	-0.591	-0.123	-0.311	0.608	-0.091
HSP70 (norm. copy numbers)	-0.126	0.473	-0.366	-0.007	-0.565	0.451
IL-2 (norm. copy numbers)	0.059	-0.664	0.101	-0.389	0.692	-0.191
PPAR α (norm. copy numbers)	-0.13	0.487	-0.19	-0.024	-0.543	0.505
Lymphocytes (#)	0.035	-0.068	0.562	0.016	0.301	-0.286
Monocytes (#)	-0.269	0.495	-0.517	0.016	-0.397	0.51
Hemoglobin (g/dl)	0.348	-0.084	0.163	0.1	0.172	-0.127

701

702

703

704 Figure Captions

705 Fig. 1: Pollutant load correlated to weight of the investigated harbor seals over the study
706 years. Upward triangles show juveniles and dots adults. Indicated in grey are samples of b-
707 HCH that were under the limit of quantification (LoQ) of 10 (pg/ml).

708

709 Fig. 2: Pollutant load in blood samples in male and female harbor seals during spring and
710 autumn between 2009 and 2012.

711

712 Fig. 3: NMDS plot showing similarities between POP compounds and significant relationships
713 to pollutant groups, sum pollutants, hematology values and biomarker gene transcription in
714 spring and autumn (grey diamonds and black squares respectively) in harbor seal (n=54)
715 blood samples (stress=0.07).

716

717 Fig. 4: Correlation between a) AHR, b) ARNT gene transcription and standardized total sum
718 organic pollutants [excluding POPs > 50% under LoQ] in harbor seal blood samples, and c)
719 correlation between IL-2 and AHR gene transcription in blood samples of harbor seals.

720

721 Fig. 5: Relationships between POP compounds PCBs, PBDEs, DDTs and biomarker gene
722 transcription (AHR, IL-2) in male and female harbor seals during spring and autumn.