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1 **Delayed autumn phenology in the Northern Hemisphere is related to change in**
2 **both climate and spring phenology**

3

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18

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21 **Abstract**

22 The timing of the end of the vegetation growing season (EOS) plays a key role in terrestrial
23 ecosystem carbon and nutrient cycles. Autumn phenology is, however, still poorly understood
24 and previous studies generally focused on few species or were very limited in scale. In this
25 study, we applied four methods to extract EOS dates from NDVI records between 1982 and
26 2011 for the northern hemisphere, and determined the temporal correlations between EOS and
27 environmental factors (i.e. temperature, precipitation and insolation), as well as the correlation
28 between spring and autumn phenology, using partial correlation analyses. Overall, we
29 observed trend towards later EOS in ~ 70% of the pixels in Northern Hemisphere, with a
30 mean rate of 0.18 ± 0.38 days per year. Warming pre-season temperature was positively
31 associated with the rate of EOS in most of our study area, except for arid/semi-arid regions,
32 where the precipitation sum played a dominant positive role. Interestingly, increased
33 pre-season insolation sum might also lead to a later date of EOS. In addition to the climatic
34 effects on EOS, we found an influence of spring vegetation green-up dates (SOS) on EOS,
35 albeit biome dependent. Our study, therefore, suggests that both environmental factors and
36 spring phenology should be included in the modeling of EOS to improve the predictions of
37 autumn phenology as well as our understanding of the global carbon and nutrient balances.

38 **Introduction**

39 The timing of phenological events, such as start of the growing season (SOS) and end of the
40 growing season (EOS), is particularly sensitive to climate change (Chuine *et al.*, 2004;
41 Menzel *et al.*, 2006; Stocker *et al.*, 2013; Fu *et al.*, 2015b). Previous studies, however, have
42 mainly focused on SOS (Schwartz *et al.*, 2006; Cleland *et al.*, 2007; Fu *et al.*, 2014b; Guo *et*
43 *al.*, 2015) and investigations of the response of EOS to climate change are much fewer
44 (Miloud & Ali, 2012; Gallinat *et al.*, 2015). Recent studies, however, reported that EOS
45 dynamics may play a critical role in determining the length of vegetation growing season
46 (Zhu *et al.*, 2012; Garonna *et al.*, 2014), and subsequently regulate terrestrial water, carbon
47 and nutrient cycles (Piao *et al.*, 2008; Richardson *et al.*, 2013; Keenan *et al.*, 2014; Estiarte &
48 Peñuelas, 2015). However, we are still far from understanding the dynamics of autumn
49 vegetation phenology and its associated controls (Klosterman *et al.*, 2014; Estiarte & Peñuelas,
50 2015). Hence, thorough investigation of EOS and its environmental and physiological
51 controls (i.e. SOS) is needed to promote autumn phenology modeling and increase our
52 understanding of global carbon and nutrient cycles in the context of climate change.

53

54 Current knowledge of long-term variation in autumn phenology was generally obtained from
55 ground observations (Menzel *et al.*, 2006; Gill *et al.*, 2015; Panchen *et al.*, 2015). In addition,
56 large spatial and temporal scale analyses facilitated by remote-sensing based phenology data
57 have indicated an overall delayed trend in EOS (Stöckli & Vidale, 2004; Julien & Sobrino,
58 2009; Garonna *et al.*, 2014). However, large uncertainty occurs within and among these

59 remote-sensing based EOS estimations, which is mainly associated with the methods that
60 were used to extract EOS dates from the Normalize Differenced Vegetation Index (NDVI)
61 seasonal cycle. These methods of EOS estimation consist of two main procedures. First,
62 elimination of noise from NDVI time-series using smoothing and filtering functions (Roerink
63 *et al.*, 2000; Moody & Johnson, 2001; Chen *et al.*, 2004). Second, determination of EOS
64 based on predefined NDVI thresholds or changing characteristics in temporal profile (Myneni
65 *et al.*, 1997; Zhang *et al.*, 2003; Piao *et al.*, 2006; Julien & Sobrino, 2009; Shen *et al.*, 2014).
66 Given the large differences in EOS estimation among different methods, combining multiple
67 methods are thus preferred when exploring EOS dynamics.

68

69 Compared to SOS (Fu *et al.*, 2014b; Ge *et al.*, 2014; Wang *et al.*, 2015), the linkages between
70 EOS and its driving factors are very unclear (Sparks & Menzel, 2002; Menzel *et al.*, 2006).
71 Recent studies reported positive correlations between day length and/or light intensity and
72 EOS dates (Keskitalo *et al.*, 2005; Günter *et al.*, 2008; Borchert *et al.*, 2015; Liu *et al.*, 2015).
73 However, the physiological mechanism of light regulation of EOS is still unclear due to the
74 difficulty in separating the effects of day length (i.e., photoperiod) and light intensity (Calle *et*
75 *al.*, 2010). In regional investigations of the influence of light fluctuations, solar radiation was
76 used as an integrated measure of both day length and solar intensity (Calle *et al.*, 2010). In the
77 present study, we therefore explored the correlation between EOS and light based on the sum
78 of daily absorbed solar radiation over the time period preceding EOS (referred to as the
79 insolation sum over the preseason). In addition to light effects, recent experimental efforts

80 have reported that warming during summer and autumn significantly delays the timing of leaf
81 senescence (Gunderson *et al.*, 2012; Marchin *et al.*, 2015), which was consistent with
82 long-term ground observations (Sparks & Menzel, 2002; Ibáñez *et al.*, 2010). Precipitation
83 was also reported to play a role in determining EOS (Richardson *et al.*, 2013; Estiarte &
84 Peñuelas, 2015), especially in arid regions (Liu *et al.*, 2015). Moreover, Fu *et al.* (2014a)
85 reported earlier autumnal senescence as a consequence of warming-induced earlier spring leaf
86 out, using a manipulative warming experiment. However, how these climatic variables and
87 spring phenology determine EOS dates at larger spatial and temporal scales has not been well
88 investigated.

89

90 In the present study, we applied four widely used methods to estimate the EOS dates from the
91 long-term satellite NDVI records (1982-2011) from the Global Inventory Modeling and
92 Mapping Studies (GIMMS). The primary objectives of this study are (1) to quantify the
93 change in EOS across the Northern Hemisphere (north of 30°N); (2) to investigate the
94 environmental controls (e.g. temperature, precipitation and insolation) on the date of EOS and
95 (3) to explore the linkage between SOS and EOS.

96 **Materials and Methods**

97 ***Study area and biomes***

98 Our study was conducted across the Northern Hemisphere, excluding the sub-tropical regions
99 (i.e. latitudes lower than 30 °N) due to their unclear seasonality in vegetation dynamics.
100 Moreover, we excluded pixels dominated with cropland (i.e. referred from MODIS Landover
101 classification product (IGBP) classification, Fig. S1), because their seasonal cycle is largely
102 influenced by human regulation. For the sake of reducing noise resulting from non-vegetation
103 signals, area covered with bare soil/sparse vegetation (i.e. annual mean NDVI lower than 0.1)
104 was also excluded from our analysis (Zhou *et al.*, 2001).

105

106 ***Datasets***

107 ***Gridded Climate data***

108 In this study, the monthly temperature and precipitation data with a spatial resolution of
109 0.5x0.5° were extracted from CRU-TS 3.21 climate dataset (Harris *et al.*, 2014) and covered
110 the period from 1982 to 2011. This climate dataset was gridded from archives of
111 meteorological station records across the world's land areas and a previous climatology using
112 a spatial interpolation method (New *et al.*, 2000; Mitchell & Jones, 2005). Monthly insolation
113 data (i.e. the sum of incoming short-wave solar radiation) from 1982-2011 was obtained from
114 the CRU-NCEP datasets with a spatial resolution of 0.5x0.5°
115 (ftp://nacp.ornl.gov/synthesis/2009/frescati/model_driver/cru_ncep/analysis/readme.htm).

116 Both the CRU-TS and CRU-NCEP databases have been applied in recent climate change and

117 phenological research (Peng *et al.*, 2013; Forkel *et al.*, 2014; Piao *et al.*, 2015).

118

119 *Satellite NDVI records*

120 NDVI, determined as the ratio of the difference between near-infrared reflectance and red
121 visible reflectance to their sum, is commonly used as a proxy of vegetation greenness and
122 photosynthetic activity (Myneni & Hall, 1995; Myneni *et al.*, 1997). Thus, its seasonal curve
123 could be used to determine the timing of phenological events (e.g. both start and end of
124 growing season) (Buitenwerf *et al.*, 2015; Forkel *et al.*, 2015). In this study, we employed the
125 latest and longest release of satellite NDVI records (referred as NDVI_{3g}) by NASA's GIMMS
126 group (Tucker *et al.*, 2004; Tucker *et al.*, 2005). Multiple corrections have been applied to
127 eliminate errors and noise related to change of satellite sensors, atmospheric interference and
128 non-vegetation dynamics (Vermote *et al.*, 1997; Pinzon *et al.*, 2005; Sobrino *et al.*, 2008;
129 Pinzon & Tucker, 2014). It contains fortnightly NDVI observations at a spatial resolution of
130 one-twelfth of a degree (~ 8 km) during the past three decades. We therefore extracted the
131 NDVI pixels with a complete cycle (Jan.1982 - Dec.2011) and assigned the middle of the
132 whole compositing period to the acquisition date of each NDVI image to construct NDVI
133 time-series.

134

135 *Phenology extraction methods*

136 Numerous methods have been developed to extract SOS and EOS from the seasonal cycle of
137 NDVI. However, NDVI data might be misrepresented by snow (Grippa *et al.*, 2005). In

138 addition, the performance of phenology extraction methods was reported to be sensitive to the
139 influence of snow coverage during the non-growing season (Shen *et al.*, 2013). Due to the
140 absence of available snow information in the GIMMS NDVI_{3g} dataset, we used daily air
141 temperature (interpolated from monthly temperature data using spline function) and certain
142 criteria (i.e. below 0 °C for a sequence of five days) to screen out pixels that were potentially
143 covered by snow, and subsequently replaced the NDVI estimate with that of the temporally
144 nearest snow-free date. Another purpose of applying this temperature threshold was to ensure
145 that the estimated EOS would not be positioned beyond the thermal growing season. Finally, a
146 five-point median moving average filter was introduced to delete abnormally high/low values
147 in the NDVI cycle, which were subsequently replaced with smoothed value. After this
148 preprocessing of the NDVI data, four methods were applied. The detailed information,
149 including the data filtering function and corresponding criteria used to help determining the
150 date of EOS from the smoothed NDVI seasonal curve, is displayed in Table 1 and
151 supplementary materials. The coefficients of each data filter function were optimized using
152 the Levenberg–Marquardt (LM) method (Moré, 1978), thus changed the biweekly sampled
153 NDVI data resolution on a daily basis. We then applied the relevant criteria to estimate EOS.

154

155 *Analyses*

156 Linear least squares regression was used to estimate the temporal trends of EOS from 1982 to
157 2011 at pixel level. Trend analysis was applied in both the ensembles and the individual
158 methods of the four methods to provide robust estimates of the change in EOS across the

159 Northern Hemisphere. The EOS data and the vegetation map were remapped into the same
160 resolution of the climatic variables (i.e. $0.5 \times 0.5^\circ$). Spearman's rank correlation coefficients
161 were used to determine the preseason length that was defined as the period when the highest
162 correlation coefficients occurred between the date of EOS and each of the climatic factors (i.e.
163 temperature mean, precipitation sum and insolation sum) calculated from periods ahead of
164 EOS with a one-month step. The maximum range of this period was set from June to the
165 multi-year average date of EOS following previous studies (Jeong *et al.*, 2011; Yue *et al.*,
166 2015). The preseason for each of the three climatic factors i.e. temperature mean, precipitation
167 sum and insolation sum, were determined separately. Then, we applied a temporal partial
168 correlation analysis between EOS and mean temperature, precipitation sum, and insolation
169 sum over the preseason, as well as the date of SOS. This technique has been applied in
170 previous studies involving climate change and vegetation phenology (Peng *et al.*, 2013; Fu *et*
171 *al.*, 2015a; Fu *et al.*, 2015b). The ensemble mean of the partial correlation coefficients were
172 calculated for each and across all biomes.

173 **Results**

174 *Changes in autumn phenology and climate in the Northern Hemisphere*

175 During the period 1982 - 2011, the mean date of EOS in the Northern Hemisphere was
176 delayed with an average rate of 0.18 ± 0.38 days per year. More than 70% of the study area
177 experienced delayed trends of EOS, with roughly 43% of them statistically significant at $P <$
178 0.05 (Fig. 1a, dotted regions). Advanced EOS was, however, mainly observed in
179 arid/semi-arid regions (e.g. Central Asia), Siberia, Northern Eurasia and northwestern North
180 America. Consistent results were found across each of the four individual methods (Fig. S3a,
181 e, i and m).

182

183 The optimal length of the preseason ranged between 0 (current month of EOS) and 4 months
184 (i.e. autumn/summer) (Fig. S2), but averaged at 1.4 (temperature), 1.2 (precipitation) and 1.1
185 (insolation) months preceding the date of EOS (Fig. S2a-c). During the past three decades,
186 increasing temperature was observed in most of the study area (94%), with statistically
187 significant trends (52% of area) occurring mainly in southwestern North America, Northern
188 Canada, Eastern Eurasia and Northern Europe (Fig. 1b). Changes in precipitation and
189 insolation were non-uniform (Fig. 1). Neither positive nor negative trends dominated (both
190 nearly 50%) over the study areas. Nonetheless, decreasing precipitation was detected in
191 Southwestern North America, Central Eurasia and Northern China, and increasing
192 precipitation in Northeastern Canada and Russia. Insolation decreased in Siberia,
193 Northeastern and Western North America, but increased in part of Central North America,

194 Central Eurasia and Northern China. This spatial pattern of changes in climate factors was
195 found in each of the four applied methods (Fig. S3).

196

197 *Climatic controls on autumn phenology in the Northern Hemisphere*

198 After removing the influence of precipitation, insolation and SOS with the partial correlation
199 approach, we found large positive correlations between temperature and EOS in more than 71%
200 of the study area (around 27% of them were statistically significant at $P < 0.05$). Significant
201 positive correlations were mainly found in Northeastern North America, Northern Europe and
202 Eastern Russia. No statistically significant correlations between pre-season temperature and
203 EOS were found in arid/semi-arid Central Asia, suggesting that pre-season temperature might
204 not be the primary factor for EOS in dry climate areas (Fig. 2a). For precipitation, we found
205 that neither the positive nor the negative partial correlations dominated the whole regions (Fig.
206 2b). While, negative correlations were observed at high latitudes, such as Northern Europe,
207 Western Canada, Alaska and Western US. In dry regions, e.g. Central North America, Central
208 Eurasia and Northern China, positive correlations were dominated, suggesting that more
209 precipitation in summer/autumn would contribute to a later end of the growing season. The
210 partial correlations between EOS and insolation sum was also spatially different. We found
211 positive correlations mainly at high latitudes, i.e. Siberia, Eastern Russia and Alaska, in more
212 than 65% of the study area. Negative correlations between EOS and insolation were mainly
213 found in temperate regions, but the occurrence was fragmented (Fig. 2c). Our results inferred
214 from the partial correlations were confirmed by simple correlation analysis in both percentage

215 of positive/negative correlations and their spatial patterns (Fig. 2d-f). In addition, the
216 influence of temperature, precipitation and insolation on EOS was consistently found in each
217 of the four individual methods (Fig. S4-7).

218

219 To provide a comprehensive interpretation of the climatic effects on EOS, we show a map of
220 the partial correlation coefficients of the climatic variables with EOS in Northern Hemisphere
221 (Fig. 3a), as well as the distribution of the partial correlation coefficients in climate space (Fig.
222 3b-d). Consistent with the above results, we found that precipitation associates best with EOS
223 in semi-arid/arid regions, while the temperature plays a key role in cold regions. In detail, we
224 found that precipitation exerts a dominant control over EOS in regions with MAP less than
225 500 mm and MAT greater than 0 °C. In humid areas (e.g. MAP > 750 mm), the role of
226 temperature is dominant, while in semi-humid (e.g. 350 mm < MAP < 750 mm) and cold
227 regions (e.g. MAT < -5 °C), both temperature and insolation determine the EOS dates. Similar
228 results in term of dominant climatic drivers were found in each of the four methods (Fig.
229 S8a-n).

230

231 *The influence of spring phenology on autumn phenology in Northern Hemisphere*

232 The correlation between SOS and EOS was investigated using both partial correlation
233 removing impact of temperature, precipitation and insolation (Fig. 4a) and simple correlation
234 (Fig. 4b). Positive correlations were mainly observed in Northern Eurasia, Siberia and
235 Northern North America, while negative correlations occurred in middle latitudes (e.g. eastern

236 Northern America). Over all, positive correlations dominated and were found in 60% (20%
237 were significant) of our study area for both correlation analyses. Similar results were found
238 for both the partial and simple correlation analysis, and across the individual methods,
239 although in the Piecewise logistic method (Fig. S9g-h), the percentage of positive correlation
240 was relatively lower.

241

242 *Drivers of autumn phenology at biome level*

243 The biome-dependent partial correlation coefficients between EOS, climatic factors and
244 spring phenology (i.e. SOS) are displayed in Fig. 5. The climatic controls on the date of EOS
245 were substantially different among biomes. Generally, the role of temperature in postponing
246 the date of EOS was clearly observed in forest biomes (more than 85% of pixels of these
247 biomes expressed positive correlations, except for DNF). The influence of precipitation was
248 more dominant in Grasslands (positive in about 73%), while the influence of insolation was
249 evident in forests. SOS played a more critical role in deciduous forests when compared with
250 environmental factors. In detail, the date of EOS of ENF was mainly associated with
251 environmental factors, i.e. temperature (positive correlation at 85% of the area), precipitation
252 (74%) and insolation (70%), and the influence of SOS was ambiguous. For DNF, insolation
253 and SOS were found to be positively associated with EOS at more than 73% and 85% of total
254 pixels, and more approximately one-fifth of them were significant. For DBF, both
255 environmental factor and SOS affected the EOS dates, with a dominance of temperature (95%
256 of DBF area) and SOS (78%). In addition, temperature and precipitation (67%) were

257 positively associated with EOS, while insolation (61%) and SOS were negatively associated
258 with EOS. The EOS of MF was positively correlated to temperature (86%) and insolation
259 (69%), but negatively correlated to precipitation (69%) and SOS (61%). Compared to forests,
260 the EOS of both shrublands and savannas was generally associated with temperature,
261 insolation and SOS. For Grasslands in contrast, precipitation was the most relevant
262 environmental driver of EOS, dominating in 73% of total pixels (significant in 32%).
263 Furthermore, the influence of SOS (70%) was also stronger compared to temperature (63%)
264 and insolation (52%). The effects of climatic factors and SOS were consistently present in
265 three methods, i.e. Hants-Mr, Polyfit-Mr and Double logistic, while for the Piecewise logistic
266 method, the distribution of positive/negative correlations was slightly different in few biomes
267 (Fig. S10).

268 **Discussion**

269 ***Changes in EOS in Northern Hemisphere***

270 Using long-term (from 1982 to 2011) satellite NDVI records and four widely used methods to
271 extract EOS, our results revealed a trend of delayed EOS at an average rate of 0.18 ± 0.38
272 days per year across the Northern Hemisphere. This finding is consistent with previous
273 studies that documented a delay in EOS at regional scale, e.g. Northern America (Reed, 2006;
274 Zhang *et al.*, 2007; Dragoni & Rahman, 2012), Eurasia (Stöckli & Vidale, 2004) and China
275 (Liu *et al.*, 2015). Nonetheless, the differences in the rate of changes in EOS exist among
276 regions and studies, i.e. from 0.19 to 0.45 days per year, which may be related to differences
277 in both methodology and study periods and areas.

278

279 We found that a warming climate in summer/autumn delayed the date of EOS in most of the
280 Northern Hemisphere, especially at cold regions (e.g. higher latitudes), which is consistent
281 with previous studies based on field experiments and satellite data (Estrella & Menzel, 2006;
282 Delpierre *et al.*, 2009; Ge *et al.*, 2014; Vitasse *et al.*, 2014). The positive effect of temperature
283 on EOS is likely related to the warming-induced enhancement of activities of photosynthetic
284 enzymes (Shi *et al.*, 2014), to the reduced speed of chlorophyll degradation (Fracheboud *et al.*,
285 2009), to the reduced probability of being exposed to frost in autumn (Schwartz, 2003;
286 Hartmann *et al.*, 2013), or to the increased potential for growth and photosynthetic
287 consumption. In contrast, we also found negative correlations between temperature and EOS
288 in arid and semi-arid regions, such as in grassland in Central Eurasia. This may be related to

289 the fact that a warmer autumn might critically reduce water availability in dry regions (Dai *et*
290 *al.*, 2004), with negative impacts on plant growth and photosynthesis activity (Tezara *et al.*,
291 1999) and increased risk of chlorophyll degradation and plant mortality (Anderegg *et al.*,
292 2013; Dreesen *et al.*, 2014), and subsequently resulting in earlier EOS. This was further
293 confirmed by the larger positive partial correlation between precipitation and EOS over these
294 regions. We found a negative effect of precipitation on EOS in colder regions (e.g. MAT \leq
295 5°C, Fig. 2, Fig. S4-7, Fig. S11), which may be because high soil moisture can limit nutrient
296 availability for growth in these often permafrost-affected regions (Bonan & Shugart, 1989).
297 Interestingly, we found a positive correlation between insolation and EOS at high latitudes.
298 Increase in insolation have been demonstrated to retard the accumulation of abscisic acid and
299 subsequently slow the speed of leaf senescence (Thimann & Satler, 1979a; Thimann & Satler,
300 1979b; Gepstein & Thimann, 1980). Enhanced photosynthetic capacity, CO₂ sequestration
301 rate (Bonan, 2002) and chlorophyll levels (He *et al.*, 2005; Kim *et al.*, 2008) also contributed
302 to the delaying effect of greater insolation on EOS. Thus, the delayed trends at high latitudes
303 induced by rising temperature could also be dampened by a decrease in availability of
304 insolation, especially in Siberia.

305

306 At biome level, the positive influence of temperature was more pronounced in forest than in
307 grasslands. EOS of DNF was primarily regulated by insolation and SOS, while for DBF, all
308 factors were associated with the date of EOS. For grassland, although precipitation dominated
309 the change in EOS, temperature and SOS should also be considered. Overall, there is still no

310 consensus on which factors could eventually determine the process of autumn phenology, the
311 correlation between EOS and climatic factors was complex and more manipulative
312 experiments focusing on EOS phenology, are clearly needed to explore the mechanisms
313 behind the observed delayed trend of autumn phenology.

314

315 *The influence of spring phenology on autumn phenology*

316 Besides the climatic controls on EOS, we also observed additional effects of spring phenology
317 on autumn phenology in line with a recent experimental study (Fu *et al.*, 2014a) and remote
318 sensing-based studies (Keenan & Richardson, 2015; Wu *et al.*, 2016). Multiple mechanisms
319 have been proposed to explain the carryover effects of SOS (i.e. earlier SOS is followed by an
320 earlier date of EOS). 1) The timing of leaf senescence was reported to be constrained by
321 factors associated with leaf traits directly, such as leaf life span (Reich *et al.*, 1992) and
322 programmed cell death (Lim *et al.*, 2007). 2) Earlier spring might lead to soil water loss in the
323 early part of growing season, thereby increasing the prevalence of drought during summer
324 (Buermann *et al.*, 2013) that may subsequently result in earlier leaf senescence. 3) Earlier leaf
325 emergence may increase the risk of being exposed to spring frost (Hufkens *et al.*, 2012), and
326 the outbreak of harmful insects (Jepsen *et al.*, 2011), which may be related to earlier leaf
327 senescence. 4) The correlation between SOS and EOS was also suggested to be related to the
328 limitation in the size of the plants' carbon sink: earlier accumulation of non-structural
329 carbohydrate in spring might have contributed to the earlier achievement of its maximum
330 carbon content in autumn (Charrier & Améglio, 2011; Fu *et al.*, 2014a). Nonetheless, it should

331 be noted that the influence of earlier SOS on the determination of EOS was weaker than
332 climatic variables across all biomes, and even in some areas with deciduous forest, a negative
333 correlation were founded, suggesting more experimental efforts are needed to improve the
334 understanding of the climatic and SOS effects on the EOS phenology process.

335

336 In conclusion, using four widely accepted EOS extraction methods and satellite derived
337 NDVI records from 1982 to 2011, we found an overall trend of delayed EOS across the
338 Northern Hemisphere. Our study revealed the different dominant drivers of EOS dynamics at
339 spatial and biome level. Warming temperature postponed the date of EOS in most (~ 70%) of
340 our study area, except for arid/semi-arid regions (e.g. Central Eurasia). Increased precipitation
341 at high latitudes lead to earlier EOS, while sufficient insolation would facilitate the
342 prolongation of the growing season in autumn. Moreover, we confirmed additional influence
343 of SOS on EOS, which displayed positive correlations in high latitudes and negative
344 correlations mainly in eastern North America. Multiple factors regulate the date of EOS at
345 biome level. Except for temperature, effects of precipitation were also clearly observed,
346 especially in ENF and grassland. The influence of insolation was mainly evident in forests.
347 SOS played a significant role in DNF and DBF when compared with climate factors. Our
348 study, therefore, suggests that both climatic factors and SOS should be considered in the
349 modeling and simulation of EOS and to improve our understanding of EOS phenology
350 responses to future climate change scenarios.

351

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355

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Figure legends

Figure 1. Change of EOS determined by the average of four EOS extraction methods and corresponding climatic variables during the periods from 1982 to 2011. The period of each climatic variable was defined as the period that highest correlation coefficient was determined by the simple linear correlation analysis between each climatic variable and EOS. Dotted regions indicated the detected trends were significant at $P < 0.05$.

Figure 2. Spatial pattern of partial correlation coefficient and simple correlation coefficient between climatic factors and EOS determined by the average of four individual methods. Fig. 2a-c were the results of temperature, precipitation and insolation using partial correlation, while Fig. 2d-f were calculated using simple correlation. Dotted regions indicated correlations were significant at $P < 0.05$.

Figure 3. Distribution of the dominant climatic factors of EOS determined by the average of four individual methods (a) and their variation along the gradient of mean annual temperature, precipitation and insolation (b-d). Red (temperature), blue (precipitation) and green (insolation) were applied to indicate which factor was more dominant in each pixel.

Figure 4. Spatial pattern of partial (Fig. 4a) and simple correlation (Fig. 4b) coefficient between EOS and SOS determined by the average of four individual methods. Partial correlation coefficient was calculated after controlling climatic factors (i.e. temperature, precipitation and insolation). Dotted regions indicated correlations were significant at $P < 0.05$.

Figure 5. Partial correlations coefficient between EOS, SOS and climatic variables of each biome determined by average of four methods. Bars above 0 represented percentage of

positive correlations, while the remaining showed negative percentages. Colored part indicated percent of significant correlations at $P < 0.05$.

Table 1 Summary of four widely applied methods in determine the date of EOS using satellite based NDVI records.

Methods	Data filter function	Determination of EOS	Reference
Hants-Mr	$NDVI(t) = a_0 + \sum_{i=1}^n a_i \cos(\omega_i t - \phi_i)$	Maximum decrease in fitted NDVI	(Jakubauskas <i>et al.</i> , 2001; De Wit & Su, 2005)
Polyfit-Mr	$NDVI(t) = \alpha_0 + \alpha_1 t^1 + \alpha_2 t^2 + \dots + \alpha_6 t^6$	Maximum decrease in fitted NDVI	(Piao <i>et al.</i> , 2006)
Double logistic	$NDVI(t) = wNDVI + (mNDVI - wNDVI) \times \left(\frac{1}{1 + e^{-mS(t-S)}} + \frac{1}{1 + e^{mA(t-A)}} - 1 \right)$ $NDVI(t) = mNDVI - (mNDVI - wNDVI) \times \left(\frac{1}{1 + e^{-mS(t-S)}} + \frac{1}{1 + e^{mA(t-A)}} - 1 \right)$	model parameter A	(Pinty <i>et al.</i> , 2007; Julien & Sobrino, 2009)
Piecewise logistic	$NDVI(t) = \begin{cases} \frac{c_1}{1 + e^{a_1 + b_1 t}} + d_1 & t \leq \alpha \\ \frac{c_2}{1 + e^{a_2 + b_2 t}} + d_2 & t > \alpha \end{cases}$	Local minima for the derivatives of fitted NDVI curve	(Zhang <i>et al.</i> , 2003; Zhang <i>et al.</i> , 2007)

Data filter function was used to reconstruct time series NDVI curve from satellite data which could be potentially interrupted by residue noise from cloud contamination and unstable atmosphere conditions. Afterwards, predefined criteria used to determine the date of EOS was applied. In the data filter function, t is Julian date, and $NDVI(t)$ indicates the value of NDVI at Julian day t . The remaining coefficients can be estimated using iterative nonlinear least square technique (i.e. L-M method).

Figure 1

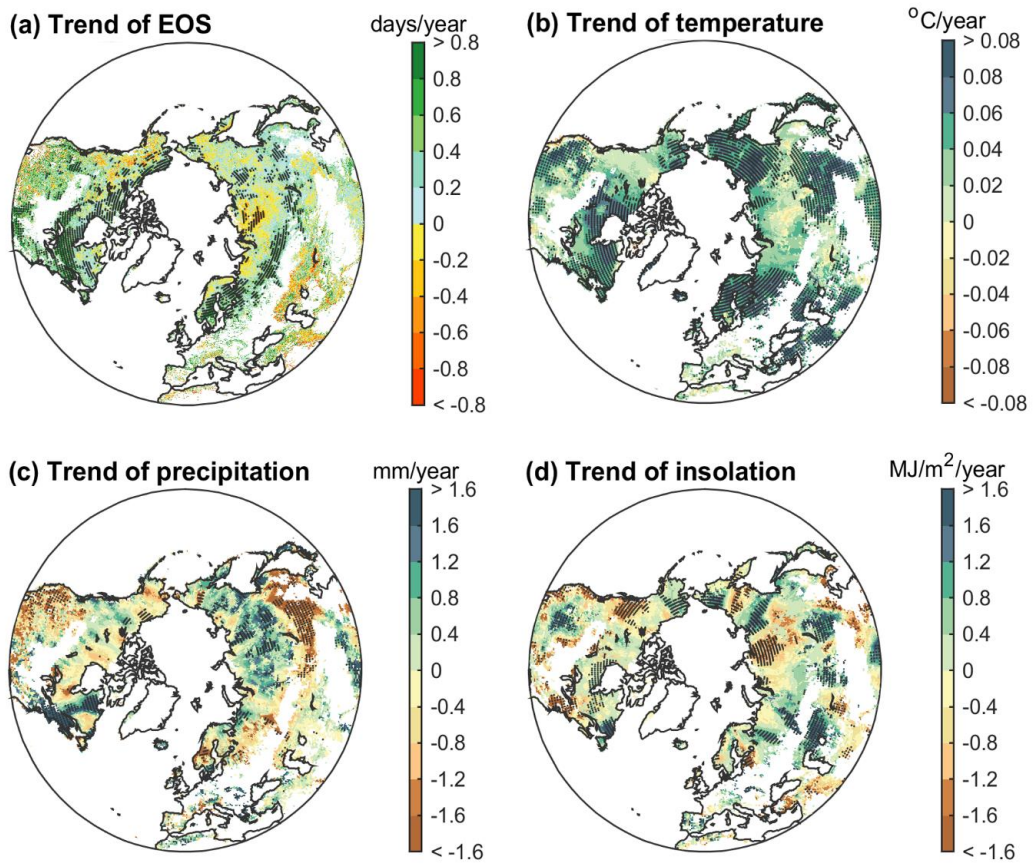


Figure 2

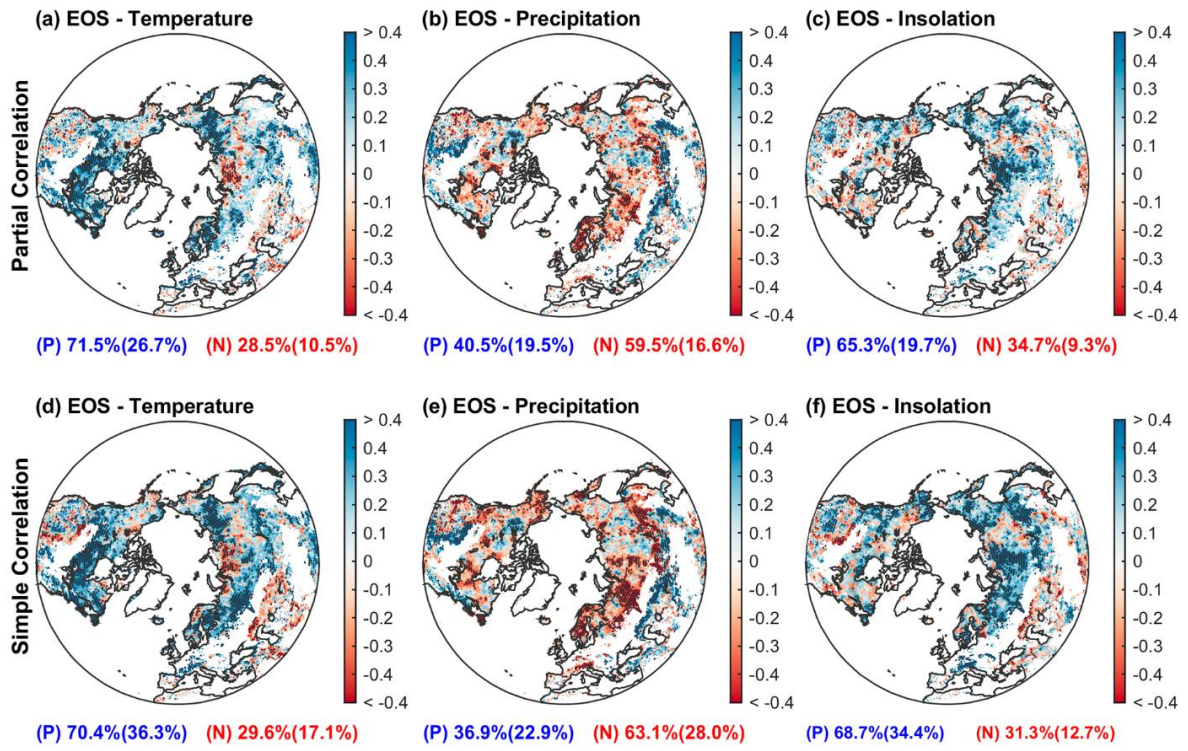


Figure 3

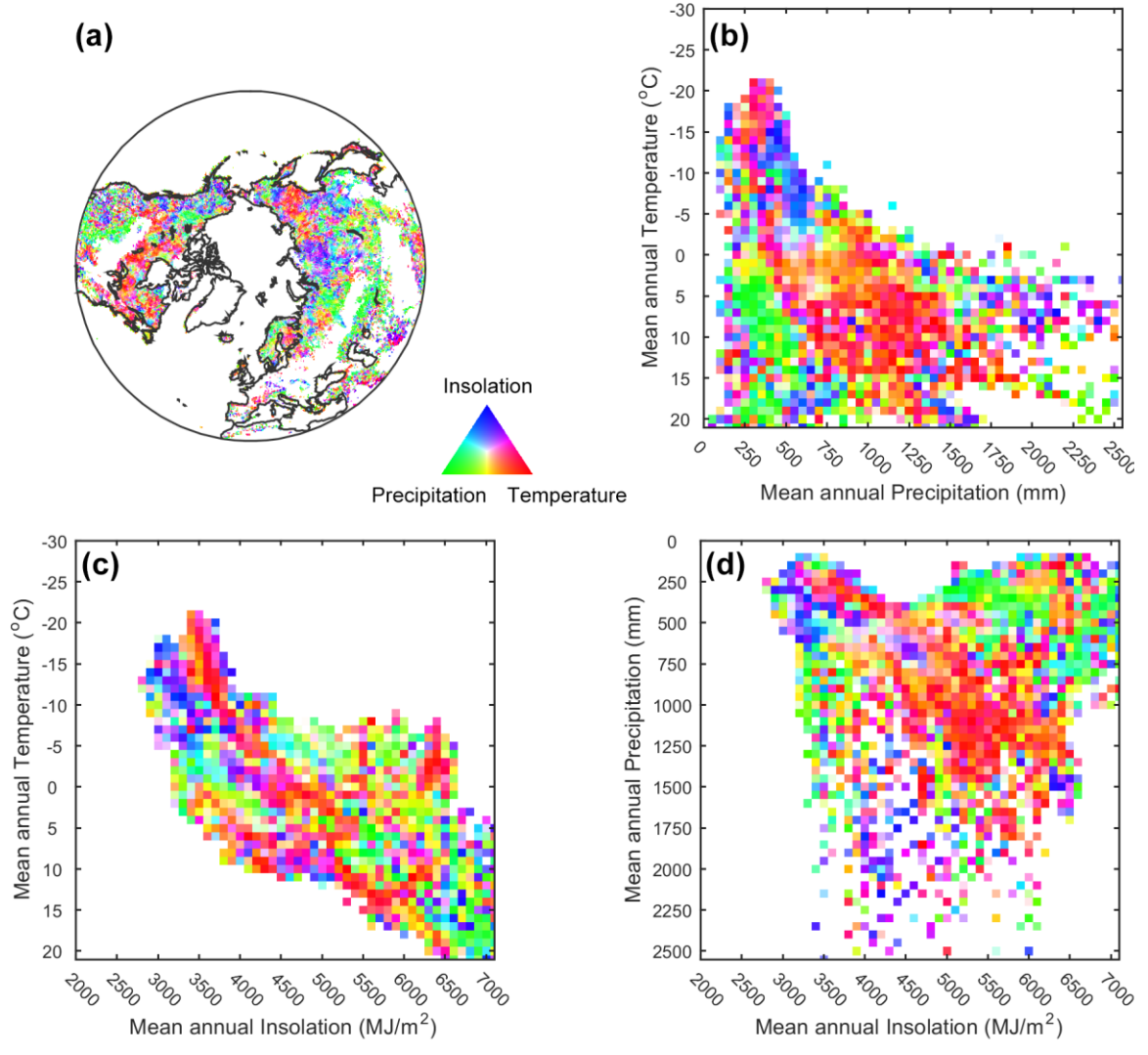


Figure 4

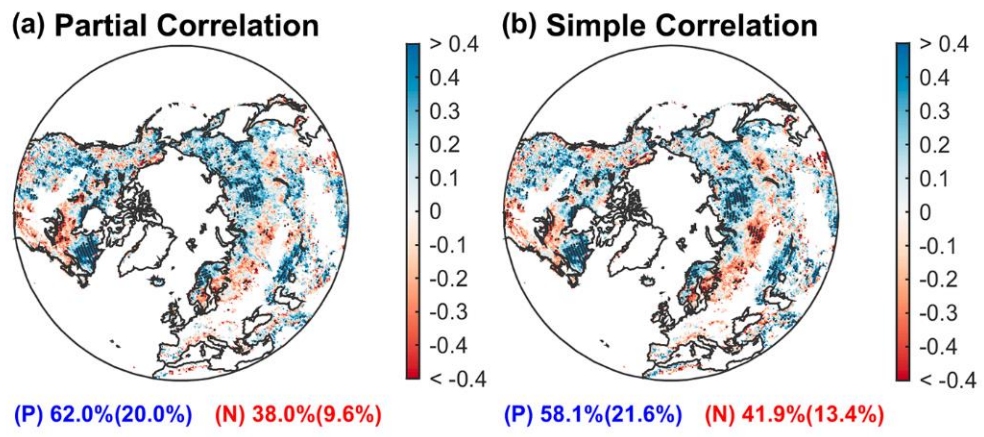


Figure 5

