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Mast cell versus basophil activation test in allergy : current status

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1 **Mast cell versus basophil activation test in allergy: current status**

2 **Short running title: Mast cell versus basophil activation test**

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53 **Author contributions**

54 All authors conceptualized the work, commented on the work, and approved it for submission.

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58 **Abstract**

59 In the past two decades, we witnessed the evolution of the basophil activation test (BAT) from  
60 mainly research applications to a potential complementary diagnostic tool to document IgE-  
61 dependent allergies. However, BAT presents some technical weaknesses such as the non-  
62 responder status observed in around 10-15% of tested patients, immediate post-event  
63 negative results. Moreover, the use of fresh basophils, ideally analysed within 4 hours of  
64 collection, restricts the number of tests that can be performed per sample, and is especially  
65 limiting when conducting batch analyses and interlaboratory comparisons to harmonize BAT  
66 practices among . All these limitations significantly hinder its wider application and urge the  
67 development of alternative testing, such as the mast cell activation test (MAT).

68 The essential difference between BAT and MAT is the heterogeneity of the starting material  
69 used to perform the assays. Since MC are tissue-resident cells, current alternatives to sourcing  
70 cells directly from tissues for functional studies are generating primary human MCs  
71 differentiated from donor progenitor cells or using immortalized mast cell lines. Hence, the  
72 methodological approach for mast cell-based functional studies is not only vastly different  
73 from BAT, but also different among MAT protocols currently developed.

74 This review summarizes the advantages and disadvantages of BAT and MAT assays, dedicating  
75 special attention to elucidating the key differences between the cellular sources used, and  
76 provides an overview of studies hitherto performed comparing both techniques in the  
77 diagnosis of IgE-mediated food and drug allergies.

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81 **Key words:** allergy, basophils, mast cells, flow cytometry, food allergy, peanut allergy, drug  
82 allergy, anaphylaxis

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84

85 **Key messages**

- 86
- BAT and pMAT are useful tools in the study of allergic and non-allergic responses.
  - 87 • Comparative studies on BAT and pMAT are still insufficient and limited to food and  
88 drug allergies.
  - 89 • Difficulties in performing comparative studies are due to the difference in the  
90 protocols used.

91

92

93 **Introduction**

94 In daily practice, clinicians predominantly rely upon skin tests and quantification of specific IgE  
95 antibodies (sIgE) to confirm the suspicion of an IgE-mediated allergy (1). However, none of  
96 these tests show absolute diagnostic reliability (2, 3) and, especially in the context of  
97 immediate drug hypersensitivity (IDH), a positive skin test is not necessarily indicative of an  
98 underlying IgE-mediated process (4). For more than half a century, functional *in vitro/ex vivo*  
99 assays have mainly focused on basophil histamine and sulphidoleukotriene release tests (5-  
100 8). However, the time-consuming and costly two-step approach, i.e., (i) cell incubation and (ii)  
101 quantification of released mediators in the supernatant, limited their mainstream use. The  
102 foundations of modern flow cytometry (FCM)-based basophil activation test (BAT) date back  
103 to 1991, with the discovery of the lysosomal-associated membrane protein (LAMP)-3, or CD63,  
104 as basophil degranulation marker (9). At present, BAT is a widely used allergy diagnostic tool,  
105 and different protocols have been developed to allow cell identification and quantification of  
106 activation/degranulation (e.g., via detection of surface markers/intracellular expression  
107 changes, and exteriorization of granule content) (10). However, traditional BAT using patients'  
108 own cells presents several disadvantages. First, although analyses can be performed up to 24  
109 hours (11-13), BAT should be ideally performed within 4 hours of sampling (13, 14). Second,  
110 around 10-15% of tested patients show complete non-response to *in vitro* stimulation  
111 (complete non-responder status) (15-17). Third, there is evidence of false negative results  
112 observed immediately after an anaphylactic event (e.g. for  $\beta$ -lactam antibiotics) (18, 19).  
113 Fourth, the need to perform experiments on the day of sample collection poses some  
114 difficulties in executing batch analyses and organizing interlaboratory comparisons, also  
115 known as round robin testing (20).

116 Importantly, BAT protocols are not harmonized among laboratories in terms of  
117 identification/activation markers, procedures (e.g., in-house developed *versus* commercially  
118 available BAT methods), allergen sources and concentrations used, resulting in not equally  
119 interchangeable interpretations between studies and potentially conflicting results (21, 22).

120 In an attempt to circumvent the limitations of standard BAT testing, different groups have  
121 focused on the development of passive BAT (pBAT, also referred to as indirect BAT, iBAT) (23-  
122 26). In pBAT, donor basophils are stripped and subsequently passively sensitized with patients'  
123 sera before stimulation with the relevant allergen(s). Although the pBAT is a step forward,  
124 some limitations remain. First, pBAT seems less sensitive than conventional BAT, with cells  
125 responding only when incubated with sIgE titres exceeding 1-3.5 kUA/L (23-25). The need for  
126 such high amounts of sIgE for sensitization is an important limitation, as sIgE levels in the blood  
127 can be quite low, especially for drugs and related compounds, such as antiseptics. Second, the  
128 outcome of pBAT is highly dependent on the donor, whose cellular responder status is  
129 unpredictable and can only be determined *ad hoc*. Third, pBAT and the standard BAT do not  
130 allow to study direct activation by occupation of the Mas-related G protein coupled receptor  
131 X2 (MRGPRX2) by the offending drug. To this end, cells need to be conditioned as described  
132 by Toscano *et al.* (27).

133 In parallel to the concerted efforts to harmonize BAT practices among different laboratories  
134 (20), different authors have explored the potential of FCM-based *in vitro* mast cell (MC)  
135 activation tests (henceforth called MAT) to overcome the known limitations of BAT (28-33). In  
136 the MAT, mast cell lines (e.g., LAD2) or cultured primary human MCs (hMCs) can be activated  
137 either directly, or indirectly after passive sensitization with patients' sera (pMAT) (34).  
138 Importantly, unlike BAT which uses resting viable patients' basophils as cellular source for  
139 testing, there is currently no standardized "MC" source material for MAT. Consequently, when  
140 reporting MAT results and its performance, a precise description of the source material is  
141 critical for correct interpretation and appropriate comparisons with other diagnostic tests  
142 (33), as results obtained with mast cell lines are not necessarily translatable to cultured  
143 primary MCs, and *vice versa* (35-38). Furthermore, one-to-one comparison between BAT and  
144 MAT performance is currently limited to one study (33). Starting from clinical needs and  
145 laboratory experience, the primary objective of this work is to provide a status update on  
146 comparisons between BAT and pMAT applied to food and drug allergy diagnostics. As with

147 other techniques still under development, the interpretations, hypotheses, reappraisals, or  
148 explanations expressed here may not find universal acceptance. Nevertheless, we believe that  
149 our opinion might i) benefit future evaluations of the diagnostic accuracy of IgE-mediated food  
150 and drug allergies and ii) facilitate the widespread adoption of these techniques (34, 39, 40).

151

### 152 **Basophil and mast cell-based assays: similar yet different**

153 As shown in [Figure 1-2](#) and summarized in [Table 1](#), the essential difference between BAT and  
154 MAT is the heterogeneity of the starting material used to perform the assays. As starting point  
155 for the experiments, although different basophil cell lines are available (36, 38), BAT is usually  
156 performed on resting basophils sourced from peripheral blood of tested patients, as these  
157 cells are easily accessible for *ex vivo* experiments in clinical practice. Conversely, since MCs  
158 are tissue-resident cells not found in blood, the approach for MC-based functional studies is  
159 vastly different. Ideally, functional hMC studies should be performed on MCs sourced from  
160 tissues of interest. However, such studies are hindered by a number of technical issues,  
161 namely isolating sufficient numbers of viable, mature and functionally competent hMCs, the  
162 poor *ex vivo* cellular expansion, and the possible influence of the isolation technique used on  
163 residual MC functionality. To circumvent these limitations, different strategies were adopted,  
164 including culturing primary hMCs starting from donor progenitor cells, and generating human  
165 MC lines (e.g., HMC-1, LAD1/2, LUVA, ROSA and MCPV-1 cells). Primary hMCs can be obtained  
166 by culturing CD34<sup>+</sup> and/or CD117<sup>+</sup> immature mononuclear cell progenitors isolated from  
167 different source materials, such as cord blood, bone marrow, foetal liver cells and, more  
168 recently, peripheral blood (PB) (for a detailed review of different primary hMCs generation  
169 methods see (34, 38)). However, culturing hMCs from progenitor cells poses several  
170 difficulties and challenges, namely the availability of source material, the cost of isolation and  
171 *in vitro* differentiation, the time required to generate mature hMCs, and donor- and protocol-  
172 specific biological variations. To circumvent these disadvantages, several research groups  
173 have developed different protocols for culturing sizeable numbers of primary MCs from  
174 different progenitor cells of diverse origins (28-32, 41). These protocols mainly differ in regard  
175 to the cytokines used to induce maturation and the duration of cell culture, but all ultimately  
176 produce hMCs which closely resemble naturally produced MC subsets, showing phenotypic  
177 and, most importantly, functional changes in MC behaviour that can meet specific research  
178 and diagnostic needs. For example, certain protocols could favor the maturation of cells

179 especially suited to study IgE-mediated processes, while other protocols generate cells  
180 strongly responsive to MRGPRX2 activation. Hence, the current MC heterogeneity due to the  
181 distinct maturation protocols used should not be considered a hindrance to further  
182 development and validation of hMC-MAT, but rather a valuable asset.

183 A concern raised by Chirumbolo *et al.* (42) is that hMCs are usually generated from precursors  
184 sourced from healthy subjects, which might not be equally representative of MCs sourced  
185 from patients with different allergic manifestations. However, as addressed elsewhere (43),  
186 there is little, if any, evidence supporting this concern. Krohn *et al* (44), showed MCs cultured  
187 from asthmatics and controls to respond similarly to recombinant Der p 2, the major allergen  
188 from house dust mite (*Dermatophagoides pteronyssinus*). Furthermore, Cop *et al.* showed  
189 that CD117<sup>+</sup> hMCs cultured from subjects with birch pollen allergy and healthy donors  
190 displayed similar CD203c and high affinity IgE receptor (FcεRI) expression densities (45), as  
191 well as MCs of patients with IgE/FcεRI-independent anaphylaxis to rocuronium showed similar  
192 MRGPRX2 expression and function to MCs of patients with IgE/FcεRI-mediated anaphylaxis  
193 (46).

194 Another drawback of hMC cultures is that they cannot be maintained for an extensive period  
195 of time, with new samples required for each assay. This contributes significantly to make hMC  
196 generation time consuming, expensive, and difficult to standardise as diagnostic test for  
197 clinical use. The use of immortalised MC lines expressing fully functional FcεRI could possibly  
198 provide an alternative approach (47).

199 Currently available hMC lines derive either from healthy MCs immortalized by producing  
200 targeted KIT or *Ras* gene mutations (LUVA, MCPV-1, ROSA<sup>KIT<sup>WT</sup></sup>), or sourced from donors with  
201 mast cell leukaemia with KIT mutation and/or other karyotype changes (HMC-1, LAD1/2). As  
202 a result, immortalized MCs can double in culture within weeks, either spontaneously or with  
203 the help of specific cytokines, thus obtaining enough cells displaying similar properties,  
204 making them ideal for repetitive testing over an extended period of time. However, not all MC  
205 lines are created equal, especially for functional testing, as not all express viable FcεRI (48),  
206 nor their receptor expression and responsiveness to IgE/FcεRI-mediated activation is stable  
207 over time. In fact, cell lines of tumour origin such as LAD2 cells (49) are considered  
208 intermediately differentiated (35) and unstable, since they eventually lose their capacity to  
209 undergo FcεRI-mediated degranulation (38), and become less responsive to anti-IgE under the



210 same conditions (33). In addition, as these cell lines are either patented or owned by selected  
211 laboratories, some additional costs can be incurred for use for diagnostic purposes.

212 If one has the means to generate hMCs from progenitor cells, a third choice could be to either  
213 immortalize hMCs, or invest in strategies extending survival/prolonging their lifespan. Using  
214 transgenic mice for the human high-affinity IgE, Zbaren *et al.* engineered a conditional  
215 homeobox B8 (Hoxb8)-immortalized progenitor line from bone marrow cells. The mature  
216 Hoxb8 mast cells in their study seem promising tools for testing IgE/FcεRI-mediated allergies,  
217 however further comparisons with existing diagnostic approaches are lacking (50).

218

### 219 **BAT versus MAT in food allergy**

220 To our knowledge, the first one-to-one comparative analysis between BAT and pMAT in IgE-  
221 mediated food allergy dates back to 2018 (33). In this study, Bahri *et al.* showed that pMAT is  
222 an elegant tool to diagnose peanut allergy and peanut sensitization. The pMAT used FCM-  
223 based analysis and quantification of primary hMCs generated from CD117<sup>+</sup> peripheral blood  
224 precursors. hMCs were sensitized with sera of children and adolescents with peanut allergy,  
225 following a positive or negative double-blind placebo-controlled food challenge (DBPCFC), and  
226 hMCs were subsequently stimulated with peanut extract. The blinded comparison between  
227 hMC-pMAT and existing diagnostic tools (including component-resolved diagnostics and BAT)  
228 revealed hMC-pMAT's superior diagnostic performance and proved useful to explore  
229 differences in effector cell function between basophil and MC responses during allergic  
230 reactions. The hMC-pMAT proved also to be a very sensitive assay, with significant  
231 upregulation of surface expression of the degranulation marker CD63 after stimulation with  
232 peanut at concentrations up to 2-log lower than what is required for BAT (51). A second  
233 indirect comparison between pMAT and BAT in peanut allergy/sensitization was made by  
234 Santos *et al.* (52). In this study, the authors investigated whether peanut-induced MC  
235 activation could be elicited by passive sensitization using LAD2 cells (LAD2-pMAT) (49).  
236 Activation of LAD2 cells sensitized with plasma from children with confirmed peanut allergy  
237 after stimulation with peanut extract was greater than the activation when sensitized with  
238 plasma from peanut-sensitized children or non-allergic children. In contrast to the one-to-one  
239 blinded comparison between hMC-pMAT and BAT conducted by Bahri *et al.* (33), data of LAD2-  
240 pMAT were indirectly compared with the outcomes of BAT from a study conducted in 2014  
241 (51).

242 In both studies, BAT and MAT offered better accuracy in the diagnosis of peanut allergy  
243 compared to peanut-specific IgE or Ara h 2 (20,24,13). However, unlike Bahri *et al.* (33), Santos  
244 *et al.* reported that BAT showed greater diagnostic accuracy compared to LAD2-pMAT, due to  
245 its higher sensitivity (51) (Table 2). However, the comparison between pMAT and BAT used  
246 BAT observations from an earlier study, in which non-responders were excluded for both i)  
247 calculation of performance metrics in the diagnosis of peanut allergy (whole primary study  
248 population) and ii) external validation of BAT diagnostic cut-offs (51). This is not in line with  
249 the recommendations of a 2015 position paper, recently updated (12), on BAT's clinical utility,  
250 suggesting to treat non-interpretable invalid BAT results from non-responding patients as  
251 false negatives to calculate the test performances (53). As argued elsewhere (17, 54),  
252 complete BAT non-responders, i.e., cases with basophils not responsive to stimulation with  
253 the positive control and allergen, should not be discarded when assessing the overall test  
254 performance. Furthermore, as the LAD2-pMAT was not tested in parallel with alternative MCs  
255 (i.e., cultured primary hMCs, other human MC lines) in the Santos *et al.* study, the poor  
256 performance of LAD2-pMAT compared to BAT should not be generalized nor inferred to other  
257 pMATs (32, 33, 41).

258 In clinical practice, physicians need to unambiguously identify the causative food with  
259 unequivocal and congruent positive and negative results between allergen-specific IgE (sIgE)  
260 and skin tests. In this respect, interestingly, both studies (33, 52) included a small distinctive  
261 subgroup of peanut-sensitized individuals which was used to ascertain whether the pMAT  
262 could discriminate between allergy and mere sensitization, as reflected by a positive sIgE  
263 and/or skin test but negative challenge. In the study by Bahri *et al.* (33), based upon the  
264 findings in children and adolescents with a sIgE peanut < 15 kUA/L (55) or skin prick test  
265 response < 8 mm (56), hMC-pMAT continued to provide superior discrimination compared to  
266 other diagnostics, including traditional BAT. In the study by Santos *et al.* (52), the LAD2-pMAT  
267 was able to discriminate between peanut-allergic and peanut-sensitized children showing  
268 similar levels of peanut sIgE, with a strong correlation with BAT results.

269 Collectively, these findings are highly relevant, as the discrimination between allergy and  
270 sensitization in pollen-associated food allergy syndromes is one of the most challenging  
271 problems encountered during the investigation of IgE/FcεRI-mediated food allergy in clinical  
272 practice (57).

273 In conclusion, hitherto comparative studies on BAT and pMAT focused primarily on peanut  
274 allergy in children and adolescents, and several open questions related to these models still  
275 need to be addressed before conclusions on the diagnostic superiority of available tests in IgE-  
276 mediated food allergy can be drawn.

277

### 278 **BAT versus MAT in immediate drug hypersensitivity and allergy**

279 As reviewed elsewhere (10, 58, 59), over the past two decades BAT has become a widespread  
280 diagnostic tool, especially useful for the diagnosis of immediate drug hypersensitivity and  
281 allergy and proving to be beyond a simple diagnostic aid. *Ex vivo* basophil testing might deepen  
282 our insight into immune (allergic) and nonimmune (non-allergic) processes, such as the  
283 occupation of the MRGPRX2 receptor by different drugs, help with the identification of  
284 antibody recognition sites, and improve our understanding of cross-reactivity and  
285 desensitization strategies (4, 27, 59).

286 The first attempt to explore the utility of pMAT in immediate drug hypersensitivity was  
287 reported in 2015 (Master thesis of D. Ludwig available from:  
288 <https://eprints.soton.ac.uk/416617/>). The addition of prilocaine hydrochloride, paracetamol,  
289 benzylpenicillin, lidocaine, propofol, cefuroxime sodium, rocuronium bromide and  
290 bupivacaine hydrochloride to LAD2 cells failed to directly stimulate  $\beta$ -hexosaminidase release  
291 from non-sensitized cells. In contrast, when using chlorhexidine, a net release of around 10%  
292 of the total stored  $\beta$ -hexosaminidase was observed when added at a concentration of 0.03  
293 mM, and approximately 30% at 0.1 mM. However, this “release” was supposedly the result of  
294 a direct cytotoxic effect of the biguanide antiseptic. Preliminary attempts to passively sensitize  
295 LAD2 cells with IgE from patients followed by stimulation with relevant allergen were not  
296 successful. Although Fc $\epsilon$ RI appeared to be expressed on the cell surface, cells changed during  
297 culture so that either intact Fc $\epsilon$ RI was no longer expressed, or there was a defect in the  
298 coupling of the receptor to the degranulation process. For this reason, no further studies  
299 involving sensitization with human sera were performed. This disappointing finding with the  
300 LAD2-pMAT in immediate drug hypersensitivity/allergy is in stark contrast with the promising  
301 results obtained when using hMC-pMAT (60-62), which could become a novel instrument to  
302 explore the MC-activating capacity of drug-reactive IgE antibodies. For this purpose, the utility  
303 of hMC-pMAT was explored in IgE-mediated allergy to chlorhexidine and rocuronium, two  
304 predominant causes of peri-operative hypersensitivity, to try to connect as closely as possible

305 to the current expertise with BAT (63), although not designed for a head-to-head comparison  
306 between hMC-pMAT and BAT. To ensure the broadest possible evaluation of hMC-pMAT,  
307 archival sera were selected to perform MC experiments, which considered the different BAT  
308 outcomes to allow further stratification into subgroups (i.e. with positive, negative, or non-  
309 responder BAT). We observed that, in general, the results obtained through hMC-pMAT  
310 largely paralleled BAT findings, and that the hMC-pMAT could therefore i) become an  
311 attractive alternative to BAT and ii) help overcome the problems associated with non-  
312 responder basophils, although there is still room for improvement in the rocuronium allergy  
313 test protocol. Taken together, based on the comparison between definitive chlorhexidine-  
314 allergic patients (i.e. patients with a compelling history and combined congruent positivity for  
315 sIgE, skin test, and BAT) and control individuals, and considering both chlorhexidine  
316 concentrations, we found hMC-pMAT with chlorhexidine to be a reliable diagnostic tool  
317 (sensitivity 92.3%, specificity 100%, positive predictive value 100%, and negative predictive  
318 value 95.2%) (60, 61). For rocuronium, when considering definitive rocuronium allergic  
319 patients (congruent positive sIgE, skin test and BAT), sensitivity would be around 70%, and  
320 when considering rocuronium tolerant individuals (congruent negative sIgE, skin test and BAT)  
321 specificity 100% (62). Collectively, these findings indicate the need for drug-specific activation  
322 protocols, since the optimal stimulation conditions (time, concentration) used for BAT might  
323 not be directly translatable to the hMC-pMAT.

324 The diagnostic approach for immediate drug hypersensitivity and allergy cannot be considered  
325 complete if it fails to address drug cross-reactivity or identify safe drug alternatives for  
326 patients to use. Therefore, a second step in the exploration of BAT and hMC-pMAT in drug  
327 allergy was the demonstration that both techniques can provide information on cross-  
328 sensitization profiles (24, 64, 65). To appreciate the relevance of these findings, it is important  
329 to understand the limitations of currently available methods in assessing potential drug cross-  
330 reactivity. A significant part of our knowledge of drug cross-reactivity profiles stems from sIgE  
331 binding and hapten inhibition studies. However, these approaches are hindered by difficulties  
332 in solid phase coupling of studied drugs, or by the masking of relevant antigenic epitopes.  
333 Furthermore, results of sIgE inhibition studies are not necessarily predictive of the clinical  
334 outcome during subsequent exposure (66, 67) in contrast to skin tests, the current preferred  
335 predictors for the clinical significance of potential drug cross-reactivity. However, being an *in*  
336 *vivo* procedure, skin tests, particularly intradermal tests, can be dangerous (68-70), and a

337 positive skin response does not necessarily indicate an underlying sIgE/FcεRI-dependent  
338 mechanism (4). A positive skin test might also reflect an irritant response, or non-specific  
339 histamine release by MRGPRX2 occupation, as this receptor is abundantly expressed by skin  
340 MCs (71). In light of these difficulties, basophils and MCs constitute attractive complementary  
341 alternatives to explore both functionally relevant drug cross-reactivity patterns, and to better  
342 discriminate between IgE-dependent (allergic) and MRGPRX2-dependent (non-allergic)  
343 immediate drug hypersensitivity (4). For example, when studying the cross-reactivity profile  
344 of chlorhexidine using pBAT and hMC-pMAT, only MC-based experiments were capable to  
345 demonstrate the MRGPRX2 agonistic activity of polyhexamethylene biguanide (24, 65).

346

### 347 **Conclusions, unmet needs and perspectives**

348 The major challenge of allergy diagnosis lies in the development of safe, accessible and reliable  
349 diagnostics, capable of correctly predicting the clinical outcome following exposure to the  
350 offending allergen(s) and cross-reactive structures. Over the past three decades, evidence has  
351 accumulated that FCM-assisted analysis and quantification of *ex vivo*-activated basophils in  
352 BAT might meet these requirements (10, 12, 39). Moreover, there is ever-increasing evidence  
353 that BAT can be more than a diagnostic aid, with potential non-diagnostic applications such as  
354 therapeutic monitoring, tracking the natural progression of diseases over time, and the  
355 identification of allergenic recognition sites and their changes (59). However, it is becoming  
356 increasingly clear that BAT requires to understand degranulation metrics and their limitations  
357 for the correct interpretation of its results (10, 12, 72). As already illustrated in the  
358 introductory paragraph, while BAT produces results quickly, hence making it suitable for  
359 routine clinical use, the non-responder status and the difficulty in standardizing the technique  
360 are major setbacks to its wider application. To overcome these limitations, numerous  
361 alternatives have been developed, such as the pBAT and pMAT.

362 The development of the pBAT is undoubtedly an asset, but even this test has some limitations.  
363 For instance, it is clearly less performant at lower sIgE concentrations, hence less sensitive  
364 than the traditional BAT, and difficult to standardise because of donor variability and the  
365 rather limited number of experiments that can be performed over a 24-hour window using  
366 single donors (23, 24). Furthermore, basophils are not suitable for detecting activation via  
367 MRGPRX2 binding.

368 The pMAT is a practical solution to the aforementioned limitations of both BAT and pBAT, and  
369 a promising diagnostic tool in the field of IgE-mediated allergy. This technique has virtually no  
370 non-responders, succeeds in demonstrating degranulation with low sIgE concentrations, and  
371 can be performed on a large scale using single/pooled hMCs donors or MC cell lines, which  
372 facilitates standardisation. However, after 5 years since its seminal description, we still have  
373 limited data coming mostly from peanut allergy and peri-operative hypersensitivity causing  
374 severe anaphylaxis from chlorhexidine and rocuronium. Admittedly, both the hMC-pMAT and  
375 LAD2-pMAT present some general and more specific limitations, but not insurmountable.  
376 First, in contrast to BAT/pBAT, pMAT techniques require laboratory facilities for cell culture,  
377 which are expensive and time-consuming. However, pMAT uses serum samples that can be  
378 easily frozen, stored and shipped to a reference centre experienced in MC lines and cultures,  
379 capable of offering batch testing at a reasonable cost.

380 As described elsewhere (34), the main limitations of the LAD2-pMAT are the slow growth rate,  
381 the intermediate differentiation, the loss of FcεRI responsiveness over time, and variable  
382 expression of the MRGPRX2 receptor compared to human tissue-derived MC. This could make  
383 the assay less sensitive to IgE cross-linking or MRGPRX2-based stimulation, and less suitable  
384 for studying allergic and non-allergic processes over time. Furthermore, LAD2 cells express  
385 very low levels of tryptase and chymase, and are less efficient in cytokine generation, making  
386 these cells not ideal for broader functional assays.

387 Conversely, the weaknesses of the hMC-pMAT are the elevated cost, the time required to  
388 generate mature hMCs (ranging from 4 to 10 weeks depending on the protocol), and the  
389 donor-dependent variation in response, as observed in BAT. However, an optimal culture can  
390 yield several million cells, allowing to conduct a considerable number of experiments with  
391 single donor hMCs. Alternatively, pooling different hMC donors can also help reduce inter-  
392 assay variation. Unlike LAD2, attempts to immortalise or freeze cultured hMC have yet proven  
393 unsuccessful (unpublished data). In summary, basophil- and MC-based techniques present  
394 each their advantages and disadvantages, which are major key determinants for their  
395 appropriate use. While we suggest being mindful and thorough in the exploration of the  
396 limitations of each assay, the application of these novel techniques should be expanded to  
397 additional allergens/drugs research, diagnostic settings, and the monitoring of allergic  
398 diseases in the future.

399 Although BAT and pMAT are gaining increasingly more relevance in allergy diagnostics and  
400 starting to appear regularly in guidelines and expert reviews, any speculation on the  
401 superiority of one methodology over the other should be supported by evidence from solid  
402 prospective one-to-one comparative studies, which are still insufficient to this day. However,  
403 comparative analyses might not be straightforward, as reproducibility is difficult for a plethora  
404 of different reasons, primarily caused by the lack of standardization of BAT and/or pMAT  
405 protocols. The biggest hurdles most difficult to standardize are the difference in source  
406 materials used (LAD2, hMCs obtained from different donors and via different culture  
407 protocols), markers used for cell identification, activation and degranulation, assay execution  
408 (e.g., priming, stimulation time and concentration), the type of allergenic material tested  
409 (native extract, purified or recombinant component), as well as the reporting and  
410 interpretation of data. Furthermore, as with any study of this nature, another major difficulty  
411 is accurately identifying patients and control individuals without over-reliance on other  
412 diagnostics that lack sensitivity and specificity. Moreover, the limitations of both diagnostic  
413 tools, including factors affecting the test performance, like age, geography, and allergenic  
414 cross-reactivity that could influence or confound the outcome are, in our opinion, still not  
415 properly elucidated yet.

416 In conclusion, both BAT and pMAT are promising methods for allergy research and diagnosis,  
417 each showing unique pros and cons. However, both BAT and pMAT require more analysis and  
418 standardization before entering clinical practice as valid and reliable day-to-day tools.

419

420

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- 604

605 **Tables**

606 **Table 1: Summary of key differences, strengths and limitations between BAT and pMAT.**

CHARACTERISTICS	BAT	LAD2-pMAT	hMC-pMAT
Non-responders (%)	10-15%	No	No
Ideal timing from sample collection	4 hours	Time-independent	Time-independent
Batch testing possible	No	Yes	Yes
Passive sensitization required	No <sup>#</sup>	Yes	Yes
Donor-dependent variability	Yes	No	Yes
Standardized protocols	No	No	No
Cellular source of clonal origin	No	Yes	No
Stable FcεRI response over time	Yes	No	Yes
MRGPRX2 testing possible	No <sup>*</sup>	Yes	Yes
Mediator release	++	+	+++
Easy technical execution	+++	+	+
Cost of the technique	+	++	+++

607 # except in pBAT (23-25)

608 \* forced expression of the MRGPRX2 receptor can be obtained through stimulation or cell purification

609 (27)

610 += Low

611 +++= Medium

612 ++++= High

613 Abbreviations:

614 FcεRI: high-affinity IgE receptor; MRGPRX2: Mas-related G protein-coupled receptor 2.

615

616

617 **Table 2: Performance of BAT and pMAT allergy tests in the diagnosis of peanut allergy**

BAT		pMAT					Ref.
%CD63+ (AUC ROC)		%CD63+ (AUC ROC)		Peanut sIgE	Ara h 2 sIgE		
Whole studied population (95% CI)	Equivocal SPT/sIgE results subgroup (95% CI)	Whole studied population (95% CI)	Equivocal SPT/sIgE results subgroup (95% CI)	MC type used	Threshold (kUA/L)	Threshold (kUA/L)	
0.97 (0.93-1.0)	0.92 (0.8-1.0)	0.874 (nd)	nd	LAD2 cell line	<0.4	<0.2	(51) (52)
0.94 (0.87-1.0)	0.84 (0.67-1.0)	0.99 (0.96-1.0)	0.97 (0.90-1.0)	PB-derived hMCs	<0.5	<0.21	(33)

618

619 Abbreviations

620 AUC ROC: area under the curve receiver operator characteristics curve; hMC: human mast cells; nd:  
621 not disclosed; PB: peripheral blood; sIgE: allergen-specific IgE; SPT: skin prick tests.

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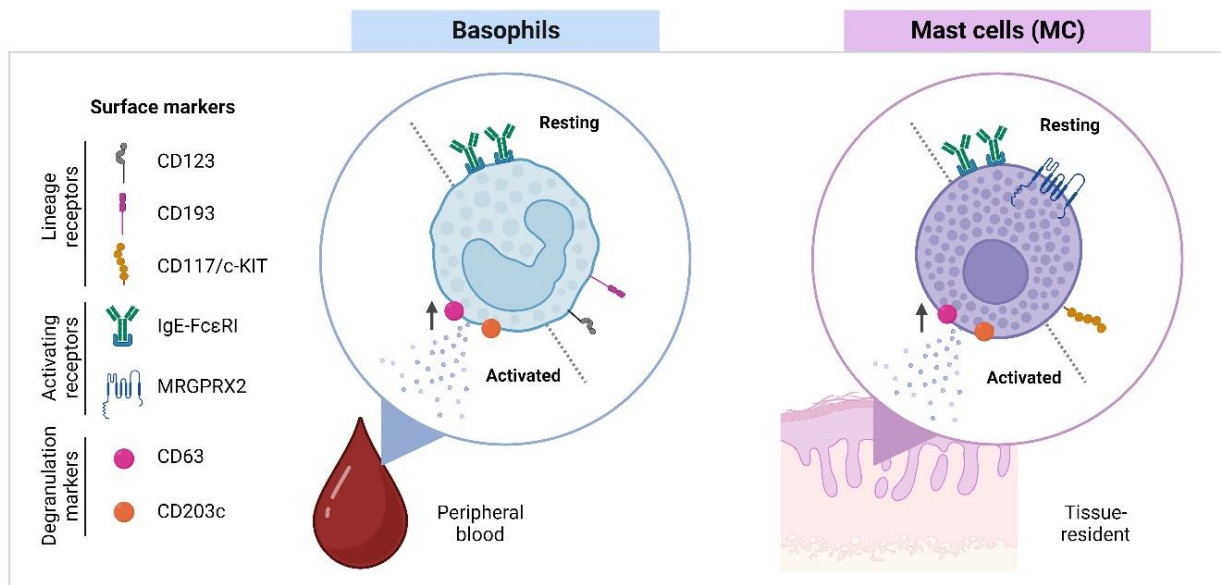
624 **Figure captions**

625 **Figure 1: Human basophils and mast cells express different receptors and are highly**  
626 **compartmentalized.**

627 Summary of key differences between basophils and mast cells in receptor expression and main location  
628 of mature cells found in the human body.

629 Abbreviations: IgE-FcεRI: Immunoglobulin IgE-high affinity IgE receptor complex; MRGPRX2: Mas-  
630 related G-protein coupled receptor type X2. Figure created with Biorender.com.

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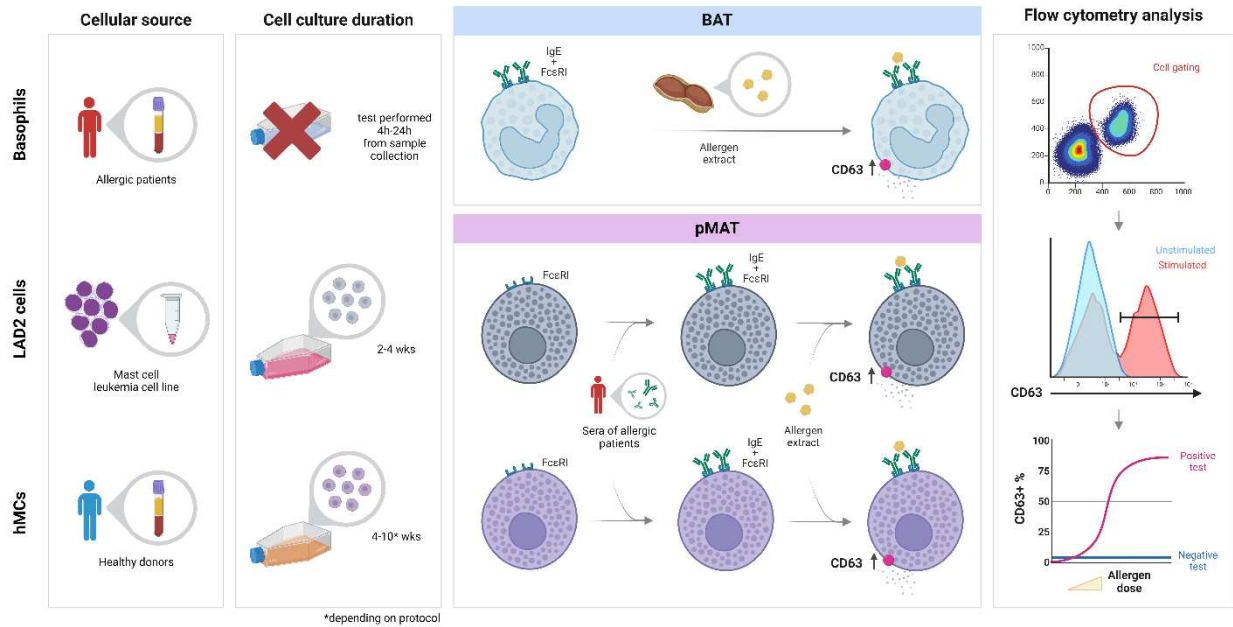
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636 **Figure 2: BAT and pMATs: summary of currently described techniques**

637 A simplified depiction of described methods used to perform BAT and pMAT in IgE-mediated allergies.

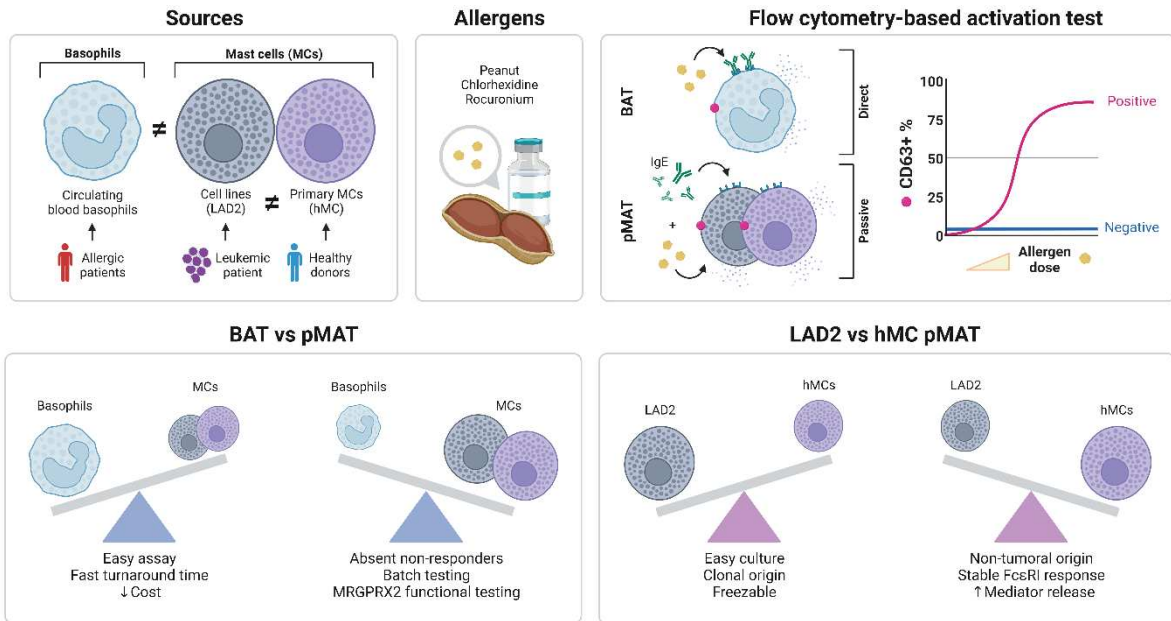
638 Figure created with Biorender.com.



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Functional cell-based assays for the diagnosis of allergic and hypersensitivity responses



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