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# Brain Serotonergic and Noradrenergic Deficiencies in Behavioral Variant Frontotemporal Dementia Compared to Early-Onset Alzheimer's Disease

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**Abstract.** Routinely prescribed psychoactive drugs in behavioral variant frontotemporal dementia (FTD) for improvement of (non)cognitive symptoms are primarily based on monoamine replacement or augmentation strategies. These were, however, initially intended to symptomatically treat other degenerative, behavioral, or personality disorders, and thus lack disease specificity. Moreover, current knowledge on brain monoaminergic neurotransmitter deficiencies in this presenile disorder is scarce, particularly with reference to changes in Alzheimer's disease (AD). The latter hence favors neurochemical comparison studies in order to elucidate the monoaminergic underpinnings of FTD compared to early-onset AD, which may contribute to better pharmacotherapy. Therefore, frozen brain samples, i.e., Brodmann area (BA) 6/8/9/10/11/12/22/24/46, amygdala, and hippocampus, of 10 neuropathologically confirmed FTD, AD, and control subjects were analyzed by means of reversed-phase high-performance liquid chromatography. Levels of serotonergic, dopaminergic, and noradrenergic compounds were measured. In nine brain areas, serotonin (5-HT) concentrations were significantly increased in FTD compared to AD patients, while 5-hydroxyindoleacetic acid/5-HT ratios were decreased in eight regions, also compared to controls. Furthermore, in all regions, noradrenaline (NA) levels were significantly higher, and 3-methoxy-4-hydroxyphenylglycol/NA ratios were significantly lower in FTD than in AD and controls. Contrarily, significantly higher dopamine (DA) levels and reduced homovanillic acid/DA ratios were only found in BA12 and BA46. Results indicate that FTD is defined by distinct

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serotonergic and noradrenergic deficiencies. Additional research regarding the interactions between both monoaminergic networks is required. Similarly, clinical trials investigating the effects of 5-HT<sub>1A</sub> receptor antagonists or NA-modulating agents, such as  $\alpha_{1/2}/\beta_1$ -blockers, seem to have a rationale and should be considered.

Keywords: Alzheimer's disease, brain tissue, frontotemporal dementia, monoamines, neurochemistry, neuropsychiatric symptoms, noradrenaline, prefrontal cortex, RP-HPLC-ECD, serotonin

## INTRODUCTION

Inasmuch as remarkable progress in diagnostic, molecular, genetic, and neuropathological aspects of frontotemporal dementia (FTD) has been made in recent years, efficient neurotransmitter-based pharmacotherapies for substantial improvement of cognitive and noncognitive symptoms, however, are still lacking, with no Food and Drug Administration (FDA)-approved treatment at the moment [1]. In addition, routinely prescribed psychoactive drugs in FTD are primarily based on monoamine replacement or augmentation strategies, which, conversely, have been developed to symptomatically treat other neurodegenerative, behavioral or personality disorders, such as Alzheimer's disease (AD), Parkinson's disease, major depressive disorder, obsessive-compulsive disorder, and schizophrenia [2, 3]. Moreover, current knowledge on brain monoaminergic neurotransmitter deficiencies in this devastating presenile disorder is scarce, particularly with reference to changes in AD [4], still the most common form of primary early-onset dementia [5]. The latter thus favors neurochemical comparison studies in order to fully clarify the monoaminergic underpinnings of FTD as opposed to early-onset AD, which could contribute to the development of more dementia subtype-specific pharmacological treatments in the long term, and, provide more insight into which combinations of currently administered psychotropic drugs may be more effective.

In this context, Bowen et al. [4] evidenced that there might be a severely imbalanced serotonergic system in frontal and temporal cortex of FTD compared to AD patients, whereas there are no similar reports in literature of studies that examined noradrenergic and dopaminergic brain changes. On the other hand, several cerebrospinal fluid (CSF) studies have been performed previously, even though results were found to be inconsistent. More specifically, some studies observed no alterations in CSF 5-hydroxyindoleacetic acid (5-HIAA; metabolite of serotonin (5-HT; 5-hydroxytryptamine)) content between FTD and AD [2, 6, 7], whereas Engelborghs

et al. [8] demonstrated higher CSF homovanillic acid (HVA; metabolite of dopamine (DA)) to 5-HIAA ratios in FTD, which was interpreted as a reflection of the inhibitory modulation of the serotonergic system on dopaminergic functioning [9, 10]. As for the dopaminergic neurotransmitter system, Sjögren et al. [6] concluded that only CSF HVA levels in FTD compared to healthy controls were reduced, while the study of Francis et al. [11] did not report such alterations. Interestingly, the same holds true for the comparison with AD patients [7, 8]. Additionally, Engelborghs et al. [8] also revealed a strong association between physically nonaggressive behavior and CSF levels of 3,4-dihydroxyphenylacetic acid (DOPAC; metabolite of DA) in a subgroup of FTD subjects, which indicates that monoaminergic neurotransmitter alterations might certainly underlie dementia-specific neuropsychiatric symptoms (NPS) [12, 13]. Finally, there is little evidence on noradrenergic deficiencies in FTD compared to AD, with only one CSF study that showed significantly higher 3-methoxy-4-hydroxyphenylglycol (MHPG; metabolite of (nor)adrenaline ((N)A)) levels in FTD [6]. Engelborghs et al. [8] and Vermeiren et al. [7], however, could not corroborate these findings. Sjögren et al. [6] also suggested that CSF MHPG might be useful to differentiate between both neurodegenerative conditions. Correspondingly, the addition of CSF MHPG to the traditional set of AD biomarkers (amyloid- $\beta_{1-42}$  peptide, total-, and phosphorylated tau protein) has shown to improve the discrimination of dementia with Lewy bodies from AD, but not FTD, whereas the differentiation of AD from FTD only improved marginally [14].

Besides neurochemical studies quantitatively measuring CSF or brain tissue monoamine levels, neuroimaging, receptor, and transporter binding studies also found strongly decreased 5-HT<sub>1A</sub> and 5-HT<sub>2(A)</sub> receptor bindings, a decreased presynaptic striatal DA transporter binding, and an unchanged to decreased postsynaptic striatal D<sub>2</sub> receptor binding in FTD patients compared to healthy elderly [2, 15, 16]. The authors further implied that selective serotonin reuptake inhibitors (SSRIs) as antidepressants may be

118 used as a first-line treatment to reduce NPS in FTD,  
119 even though most related clinical trials were small and  
120 uncontrolled [2]. As a result, future neurochemical  
121 FTD studies have become essential.

122 Altogether, more insight into the distribution of  
123 brain monoamines and metabolites in FTD compared  
124 to AD could subsequently not only contribute to a bet-  
125 ter monoaminergic-based therapeutic approach and  
126 understanding of disease-related pathophysiological  
127 mechanisms, but might even enable the appraisal of  
128 their added biomarker value. Therefore, the present  
129 study determined the levels of eight monoamines  
130 and metabolites in various postmortem brain regions  
131 of age- and gender-matched neuropathologically  
132 defined patients with FTD, AD, and, a healthy control  
133 group. Except for the intergroup comparisons,  
134 the relationship between antemortem NPS and the  
135 analyzed neurochemical compounds were examined  
136 in both dementia subtypes as well. On the whole and  
137 based on aforementioned studies, we mainly expect to  
138 find a severely impaired serotonergic and dopaminer-  
139 gic neurotransmission in FTD compared to AD brain  
140 with significantly altered 5-HT, DA, DOPAC, and  
141 HVA levels, and, to a lesser extent, 5-HIAA lev-  
142 els, whereas the noradrenergic system might have  
143 remained relatively preserved (except for MHPG  
144 levels).

## 145 MATERIALS AND METHODS

### 146 *Study population and protocol*

147 Frontotemporal lobar degeneration (FTLD) is an  
148 umbrella term encompassing a group of heteroge-  
149 neous pathological disorders which are characterized  
150 by relatively selective frontotemporal atrophy and  
151 disease onset before the age of 65 in 75–80% of  
152 patients. Two main clinical phenotypes exist, includ-  
153 ing the behavioral variant FTD, which accounts for  
154 more than 50% of patients (in the current study is also  
155 referred to as ‘FTD’) and primary progressive apha-  
156 sia, which can be further categorized into primary  
157 nonfluent aphasia and semantic dementia [5, 17].  
158 Primary characteristics of FTD are impairment of  
159 executive functions and severe behavioral changes,  
160 while in the initial stages of the disease, memory and  
161 perceptuospatial skills remain intact [18].

162 Besides neuropathologically confirmed FTD  
163 patients ( $n = 10$ ), ten neuropathologically confirmed  
164 AD patients and ten age-matched control subjects  
165 were included in our study population as well. All  
166 samples were retrospectively selected from the

167 Biobank of the Institute Born-Bunge (University  
168 of Antwerp, Antwerp, Belgium). Initially, patients  
169 with probable AD according to the NINCDS-  
170 ADRDA criteria of McKhann et al. [19, 20] were  
171 recruited at the Memory Clinic of the Hospital  
172 Network Antwerp (ZNA-Middelheim and ZNA-  
173 Hoge Beuken, Antwerp, Belgium) for inclusion in  
174 a prospective, longitudinal study on NPS [21]. The  
175 latter also applies to all included FTD and control  
176 subjects. Probable FTD was diagnosed using the  
177 criteria of Neary et al. [22]. All patients also fulfilled  
178 the DSM-IV-TR criteria for dementia [23]. The ten  
179 age- and gender-matched included AD subjects were  
180 part of a larger group of 40 on which neurochemical  
181 NPS-related brain research had been conducted  
182 previously [24, 25].

183 Apart from general physical and neurologi-  
184 cal examinations, blood screening tests, structural  
185 neuroimaging by CT, MRI or SPECT, neuropsychol-  
186 ogy examination (Mini-Mental State Examination  
187 scores, MMSE), and optional CSF/blood sampling  
188 for biomarker and/or DNA analyses, a baseline  
189 behavioral assessment was routinely performed as  
190 well. Follow-up behavioral ratings of AD and FTD  
191 patients were performed, if possible. Age-matched  
192 control subjects were hospitalized in the Middel-  
193 heim General Hospital (Antwerp, Belgium) and gave  
194 brain donation autopsy consent shortly before death.  
195 Moreover, clinical neurological examination and a  
196 retrospective review of the clinical history, neuropsychol-  
197 ogy examination, and hospital records did  
198 not demonstrate any evidence of dementia, psychi-  
199 atric antecedents, or cognitive decline. Furthermore,  
200 none of the control subjects suffered from central  
201 nervous system pathology which was neuropathol-  
202 ogy confirmed. Death causes were carcinoma  
203 (esophageal ( $n = 1$ ); cervical ( $n = 1$ ); lung ( $n = 2$ ); neu-  
204 roendocrine ( $n = 2$ )), multiple myeloma ( $n = 1$ )), liver  
205 cirrhosis ( $n = 1$ ), cardiovascular disease/metabolic  
206 syndrome ( $n = 1$ ) and Burkitt’s lymphoma ( $n = 1$ ).  
207 Written informed consents concerning autopsy and  
208 subsequent use of brain tissue, clinical documenta-  
209 tion and behavioral information for research purposes  
210 were obtained from all participants. The study was  
211 approved by the Medical Ethical Committee of the  
212 Middelheim General Hospital (Antwerp, Belgium)  
213 and conducted in compliance with the Helsinki  
214 Declaration.

215 In case AD, FTD, or control subjects who  
216 gave brain donation consent died, brain autopsy  
217 was performed within 8 h postmortem, followed  
218 by freezing of the left hemisphere at  $-80^{\circ}\text{C}$

219 neurochemical analysis, and fixation of the right  
220 hemisphere in paraformaldehyde (12%) for neu-  
221 ropathological examination.

### 222 Behavioral assessment

223 Behavior of AD and FTD patients was assessed  
224 together with relatives and/or nursing staff using a  
225 battery of behavioral assessment scales, including:  
226 Behavioral Pathology in Alzheimer's Disease Rat-  
227 ing Scale (Behave-AD) [26]; Middelheim Frontality  
228 Score (MFS) [18]; Cohen-Mansfield Agitation Inven-  
229 tory (CMAI) [27]; and Cornell Scale for Depression  
230 in Dementia (CSDD) [28]. Dementia staging was  
231 based on the Global Deterioration Scale (GDS) with  
232 a range varying from 1 (nondemented) to 7 (termi-  
233 nal stage of dementia) [29]. During each NPS rating,  
234 only the behavioral phenomena covering the last two  
235 weeks prior to the assessment were included and  
236 rated. Behavioral assessments were repeated during  
237 each neurological follow-up examination in the hos-  
238 pital, if possible ( $n=2$  for AD with one ( $n=1$ ) and  
239 two ( $n=1$ ) follow-up ratings;  $n=4$  for FTD with  
240 one ( $n=1$ ), three ( $n=2$ ) and four ( $n=1$ ) follow-  
241 up ratings). A final retrospective behavioral scoring  
242 was performed in case patients died approximately  
243 more than two weeks after the last follow-up visit.  
244 However, in total, eight AD and six FTD patients  
245 underwent only one rating close to death, given the  
246 short amount of time which was left since they entered  
247 our study protocol. No behavioral scores were avail-  
248 able for the control group. For this study, behavioral  
249 scores of the final assessment as close as possible to  
250 date of death were used.

### 251 Neuropathological evaluation

252 Neuropathological diagnosis was performed on  
253 the formaldehyde-fixed right hemisphere. A stan-  
254 dard selection of 10 to 13 regionally dissected brain  
255 regions, including frontal, temporal and occipital  
256 blocks of the neocortex, amygdala, hippocampus  
257 (at the level of the posterior part of the amygdala  
258 and the lateral geniculate body), basal ganglia, tha-  
259 lamus, brain stem, substantia nigra (SN), pons at  
260 the level of the locus coeruleus (LC) and cerebel-  
261 lum, was embedded in paraffin and routinely stained  
262 with hematoxylin-eosin, cresyl violet and Bodian's  
263 technique. Furthermore, routinely applied immunos-  
264 tains were 4G8 (amyloid) and AT8 (P-tau<sub>181-P</sub>), as  
265 well as staining against hyperphosphorylated TAR  
266 DNA-binding protein (TDP)-43 and ubiquitin. When

267 the presence of Lewy bodies was suspected on  
268 hematoxylin-eosin and ubiquitin immunoreactivity,  
269 an anti- $\alpha$ -synuclein staining was applied.

270 AD patients were neuropathologically diagnosed  
271 according to the criteria of Braak and Braak [30],  
272 Braak et al. [31], and, Jellinger and Bancher  
273 [32] to decide on definite AD. Additionally, the  
274 'ABC' scoring method of Montine et al. [33] was  
275 applied to all AD brains collected after May 2011  
276 ( $n=2$ ). FTD patients, on the other hand, were diag-  
277 nosed using the criteria by Cairns et al. [34] and  
278 Mackenzie et al. [35–37], which propose a new ter-  
279 minology for FTLT-subtypes and a classification of  
280 TDP-43 proteinopathies into types A-D [37]. The  
281 overall histopathological diagnoses of the included  
282 FTD subjects were FTLT-tau/Pick's disease ( $n=3$ )  
283 and FTLT with ubiquitin-positive inclusions (FTLT-  
284 U) ( $n=7$ ), of which the FTLT-U patients could  
285 be further categorized into FTLT-TDP-43 type A  
286 ( $n=3$ ), FTLT-TDP-43 type B ( $n=3$ ) and FTLT-  
287 ubiquitin proteasome system (UPS) ( $n=1$ ).

### 288 Regional brain dissection

289 Regional brain dissection of the left frozen hemi-  
290 sphere was performed according to a standard  
291 procedure [24, 25] during which 21 brain regions  
292 are dissected. With regard to this study design, a  
293 total of 11 behaviorally and neurochemically relevant  
294 brain areas were ultimately analyzed by reversed-  
295 phase high-performance liquid chromatography (RP-  
296 HPLC) with electrochemical detection (ECD), i.e.,  
297 Brodmann area (BA) 6, 8, 9, and 10 (medial and pre-  
298 frontal cortex), BA11 and 12 (orbitofrontal cortex),  
299 BA22 (temporal cortex), BA24 (cingulate gyrus),  
300 BA46 (dorsolateral prefrontal cortex), amygdala,  
301 and hippocampus.

### 302 Neurochemical analysis sample preparation 303 procedure and pH measurement

304 A recently optimized and validated RP-HPLC-  
305 ECD system for the fast and simultaneous detection  
306 of monoaminergic compounds in human brain tissue  
307 was used to simultaneously measure the concen-  
308 trations of 5-HT, (N)A, DA, and their respective  
309 metabolites, i.e., 5-HIAA, MHPG, and DOPAC/HVA  
310 [39]. In short, sample analysis was performed using  
311 an Alexys™ Dual Monoamines Analyzer (Antec  
312 Leyden BV, Zoeterwoude, The Netherlands) by  
313 which each brain tissue sample was directly ana-  
314 lyzed in duplicate. Output ranges were 500 pA and

315 1 nA with two electrochemical VT03 flow cells each  
316 containing a glassy carbon working electrode of  
317 0.7 mm and an *in situ* Ag/AgCl reference electrode  
318 at 670 mV placed in a Decade II electrochemi-  
319 cal detector (Antec Leyden BV, Zoeterwoude, The  
320 Netherlands). An isocratic flow rate of 40  $\mu$ L of  
321 mobile phase per minute was set for both LC 110  
322 pumps. The optimal conditions for separation of  
323 the monoaminergic compounds were obtained using  
324 a mobile phase comprising 13 % methanol com-  
325 bined with a mixture of phosphoric (50 mM) and  
326 citric acid (50 mM), octane-1-sulfonic acid sodium  
327 salt (1.8 mM), KCl (8 mM), and ethylenediamine-  
328 traacetic acid (EDTA; 0.1 mM; pH 3.6). Samples  
329 were loaded onto two microbore ALF-125 columns  
330 (250 mm  $\times$  1.0 mm, 3  $\mu$ m particle size) filled with  
331 a porous C18 silica stationary phase. Separation  
332 of the monoamines and metabolites was achieved  
333 at a stable column and VT03 flow cell tempera-  
334 ture of 36°C with a total runtime of approximately  
335 45 min per sample. Levels of the monoaminergic  
336 compounds were calculated using Clarity™ Soft-  
337 ware (DataApex Ltd, 2008, Prague, The Czech  
338 Republic). All purchased chemicals were of analyt-  
339 ical grade. The brain sample preparation procedure  
340 prior to RP-HPLC-ECD analysis was fast and  
341 simple, and performed as described in Van Dam  
342 et al. [39].

343 Samples need to be nonacidotic (i.e., pH > 6.1)  
344 [40, 41] in order to guarantee high-quality brain  
345 tissue, since acidosis may induce alterations in neu-  
346 rotransmitter concentrations and enzyme activities.  
347 Several factors such as a prolonged death struggle,  
348 antemortem stroke, and a long postmortem delay  
349 could acidify brain tissue [42]. For this study, pH  
350 values of the cerebellar cortex were measured since  
351 the cerebellar pH has previously been shown to be  
352 most representative for the entire brain hemisphere  
353 [43]. The accompanying analytical procedure was  
354 performed as described by Stan et al. [43].

### 355 *Statistical analysis*

356 Nonparametric statistics were applied due to the  
357 limited number of patients and ordinal variables  
358 (behavioral scores). A Shapiro Wilk test of normal-  
359 ity was first performed to test whether our obtained  
360 data complied with a normally distributed study pop-  
361 ulation. Fisher's Exact test was applied to compare  
362 male/female ratios and patients taking/not taking psy-  
363 chotropic medication across groups. Kruskal-Wallis  
364 analyses with *post hoc* Mann-Whitney U tests were

365 used for comparison of all demographic, clinical,  
366 behavioral, pH, and monoaminergic data between  
367 AD, FTD, and control subjects. In all cases, only data  
368 remaining statistically significant following a Bonfer-  
369 roni correction for multiple comparisons ( $p < 0.017$   
370 for three group comparisons) were considered sig-  
371 nificant. Mann-Whitney U tests were also applied to  
372 look at potential confounding effects of psychotropic  
373 medication within each group.

374 Finally, in order to calculate neurochemical corre-  
375 lations of MFS-, CMAI-, CSDD-, and Behave-AD  
376 cluster scores in the total group of AD ( $n = 10$ )  
377 and FTD ( $n = 10$ ) patients, nonparametric Spear-  
378 man's Rank Order correlation statistics were applied.  
379 Again, a Bonferroni correction was performed and  
380 only those significant data were taken into account  
381 ( $p < 0.000022$ ). All analyses were performed using  
382 SPSS 22.0 for Windows (IBM SPSS Software,  
383 Armonk, NY, IBM Corp).

## 384 **RESULTS**

### 385 *Demographics clinical data behavioral* 386 *assessment scores dementia staging and pH* 387 *values*

388 Corresponding data are summarized in Table 1 and  
389 the electronic Supplementary Material. The AD, FTD  
390 and control groups were age- and gender-matched.  
391 Moreover, the number of patients taking/not taking  
392 psychotropic medication was comparable between  
393 all groups ( $p = 0.5$ ). In the AD group, adminis-  
394 tered subtypes of psychotropic medication were  
395 antidepressants ( $n = 1$ ), antipsychotics ( $n = 2$ ), and  
396 cholinesterase inhibitors ( $n = 1$ ). In the FTD group,  
397 patients were on antidepressants ( $n = 4$ ), antipsy-  
398 chotics ( $n = 2$ ), cholinesterase inhibitors ( $n = 1$ ),  
399 and benzodiazepines ( $n = 2$ ). Lastly, some con-  
400 trol subjects were on antidepressants ( $n = 1$ ) and  
401 benzodiazepines ( $n = 1$ ). There were statistically sig-  
402 nificant differences regarding storage times of the  
403 frozen brain material between the AD and control  
404 group, and, the FTD and control group ( $p = 0.0005$   
405 and  $p = 0.004$ , respectively). The average interval  
406 between the last behavioral rating and time of death  
407 was 0 and 2.9 days for the AD and FTD groups,  
408 respectively. Postmortem delay, GDS scores, and  
409 pH-values were comparable between groups. Addi-  
410 tionally, all FTD and control subjects had cerebellar  
411 pH values > 6.1. In contrast, two AD patients had  
412 low cerebellar pH-values (< 6.1), for which supple-  
413 mentary pH analyses on the remaining 11 brain

Table 1  
Demographics, clinical data, dementia staging, and pH values

Parameter	AD (n = 10)	FTD (n = 10)	Controls (n = 10)	Kruskal-Wallis/Mann-Whitney U
<i>Demographics and clinical data</i>				
Age at onset dementia (y)	60.0 ± 7.8 (49–71)	56.5 ± 5.7 (47–65)	N/A	<i>p</i> = 0.225
Age at death (y)	66.4 ± 6.4 (58–75)	63.4 ± 6.3 (51–72)	65.7 ± 5.3 (57–73)	<i>p</i> = 0.610
Gender, male/female (n)	7/3	7/3	6/4	Fisher's Exact test = 0.418 <i>p</i> = 1.000
Storage time tissue at –80°C (y)	3.0 ± 1.2 <sup>aa</sup> (0.3–9.9)	4.9 ± 1.4 <sup>b</sup> (0.7–15.0)	9.9 ± 0.7 <sup>aa,b</sup> (4.3–11.6)	<i>p</i> = <b>0.001</b>
Postmortem delay* (h)	3.9 ± 0.4 (2.8–7.0)	4.2 ± 0.6 (2.0–7.6)	5.1 ± 0.5 (2.5–7.0)	<i>p</i> = 0.249
Taking/not taking psychotropic medication (n)	3/7	5/5	2/8	Fisher's Exact test = 2.015 <i>p</i> = 0.500
<i>Dementia staging and pH data</i>				
GDS score (7): Dementia staging	6.6 ± 0.7 (5–7)	6.7 ± 0.7 (5–7)	N/A	<i>p</i> = 0.654
pH values cerebellar brain tissue	6.3 ± 0.4 (5.9–7.1)	6.4 ± 0.3 (6.1–6.8)	6.3 ± 0.2 (6.1–6.6)	<i>p</i> = 0.481

Mean ± SD; minimum-maximum range is displayed in parentheses. The results of Kruskal-Wallis and Mann-Whitney U tests for 3 and 2 group comparisons, respectively, are displayed in the rightmost column. Significant *p* values (<0.05) are depicted in bold. One and two superscript letters are used to indicate significant group differences after *post hoc* Mann-Whitney U analyses with *p* < 0.017 and *p* < 0.001, respectively. Letters a and b signify differences between AD and controls and FTD and controls, respectively; \*Postmortem delay indicates the number of hours between time of death and storage of the brain at –80°C. AD, Alzheimer's disease; FTD, frontotemporal dementia; GDS, Global Deterioration Scale; N/A, not applicable.

regions were performed. Eventually, brain samples with acidotic pH values were excluded from statistical analysis, i.e., BA8 (*n* = 1), BA9 (*n* = 1), BA22 (*n* = 1), and BA46 (*n* = 1).

Brain MRI data (not shown) revealed that 7 out of 10 FTD subjects had no asymmetric brain degeneration on average two years before brain autopsy. There was a maximum of 39 and minimum of 3 months between MRI scans and death. Of the three individuals with asymmetric brain atrophy, one had predominant left atrophy of temporal and frontal lobes, and the two others had a slightly more pronounced right atrophy of the temporal horn (but not frontal lobe). As for the behavioral data, Behave-AD cluster B (*p* = 0.013), AB (*p* = 0.013), D (*p* = 0.008), and total (*p* = 0.013) scores, as well as the CMAI cluster 1 (*p* = 0.006), 3 (*p* = 0.023), and, CSDD total scores (*p* = 0.034) were all significantly higher in the AD group as compared to their FTD counterparts (Supplementary Table 1).

#### Neurochemical results

Monoaminergic data of the intergroup comparisons are summarized in Table 2. Nonsignificant data were omitted. Likewise, the most significant group differences regarding 5-HIAA/5-HT ratios, 5-HT

levels, MHPG/NA ratios, and NA levels are represented in Figs. 1–4.

#### Serotonergic findings

Firstly, 5-HIAA/5-HT ratios, indicative of the catabolic serotonergic turnover, were significantly lower in FTD compared to AD subjects in eight brain regions (BA8, *p* = 0.002; BA9, *p* = 0.010; BA10, *p* = 0.009; BA11, *p* = 0.004; BA12, *p* = 0.004; BA22, *p* = 0.00002; BA46, *p* = 0.013; and hippocampus, *p* = 0.003). The same applied to five brain regions for the FTD versus control group comparison (BA8, *p* = 0.011; BA9, *p* = 0.007; BA11, *p* = 0.015; BA12, *p* = 0.015; and BA22, *p* = 0.004). Remarkably, only in BA22, a significant difference in 5-HIAA/5-HT ratios could be detected between AD and control subjects, with higher values in the AD group (*p* = 0.004; Fig. 1).

Furthermore, 5-HT concentrations were higher in FTD than in AD patients in nine out of 11 brain regions (BA6, *p* = 0.009; BA8, *p* = 0.002; BA9, *p* = 0.008; BA11, *p* = 0.007; BA12, *p* = 0.001; BA22, *p* = 0.001; BA46, *p* = 0.003; amygdala, *p* = 0.002; and hippocampus, *p* = 0.003). In the amygdala and hippocampus, 5-HT levels were significantly higher in control subjects compared to AD patients as

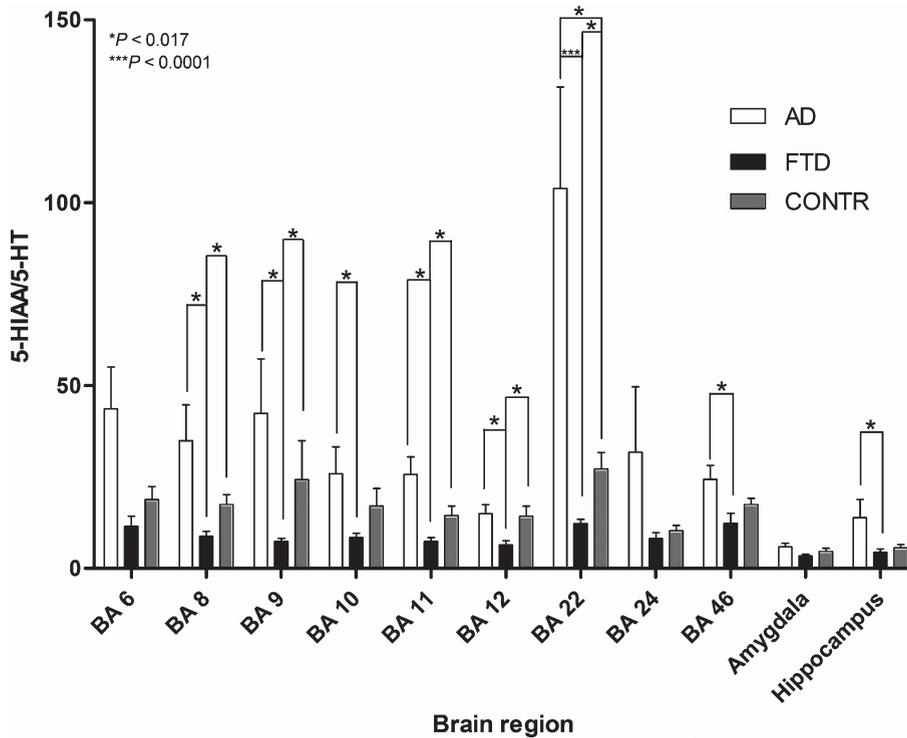


Fig. 1. 5-HIAA/5-HT ratios, indicative of the catabolic serotonergic turnover, across eleven brain regions in AD compared to FTD and control subjects. Data are presented as mean with SD. Only differences (Kruskal Wallis,  $p < 0.05$ ) remaining statistically significant after *post hoc* Mann-Whitney U tests with Bonferroni correction are indicated by asterisks (\* $p < 0.017$ ; \*\*\* $p < 0.0001$ ). 5-HIAA, 5-hydroxyindoleacetic acid; 5-HT, 5-hydroxytryptamine; AD, Alzheimer's disease; BA, Brodmann area; CONTR, controls; FTD, frontotemporal dementia.

well ( $p = 0.00009$  and  $p = 0.001$ , respectively). No significant differences, however, were identified between the FTD and control group. Notably, in BA10 and BA24, no significant group differences were found (Fig. 2).

Lastly, in BA22, 5-HIAA concentrations were significantly higher in AD compared to FTD patients ( $p = 0.013$ ), whereas in the amygdala, 5-HIAA levels were significantly lower in AD compared to control subjects ( $p = 0.001$ ) (Table 2).

#### Noradrenergic findings

For all brain regions analyzed, FTD patients had the lowest MHPG/NA ratios, indicative of the catabolic noradrenergic turnover, compared to their AD counterparts, with the most pronounced differences in BA6, BA9, BA10, BA11, BA24, amygdala and hippocampus (all  $p < 0.0001$ ; Fig. 3). Additionally, in BA9 ( $p = 0.007$ ), BA10 ( $p = 0.007$ ), BA11 ( $p = 0.003$ ), BA22 ( $p = 0.005$ ), BA24 ( $p = 0.009$ ), and BA46 ( $p = 0.007$ ), MHPG/NA ratios were significantly lower in FTD as opposed to control subjects as

well. Moreover, in the prefrontal cortex, ratios were higher in the AD compared to the control group (BA6,  $p = 0.004$ ; BA8,  $p = 0.006$ ; BA9,  $p = 0.001$ ; and BA10,  $p = 0.005$ ).

In contrast, NA levels were significantly higher in the FTD than in the AD group for all 11 brain regions, while in BA6 ( $p = 0.0003$ ), BA8 ( $p = 0.001$ ), BA9 ( $p = 0.0002$ ), BA10 ( $p = 0.004$ ), BA11 ( $p = 0.01$ ), BA24 ( $p = 0.004$ ), and amygdala ( $p = 0.006$ ) NA levels were significantly lower in AD as opposed to controls. Furthermore, in BA8 ( $p = 0.015$ ) and BA46 ( $p = 0.003$ ), NA levels were significantly higher in FTD patients in comparison with control subjects (Fig. 4).

Finally, MHPG concentrations were significantly lower in FTD than in AD patients in eight regions (BA9,  $p = 0.001$ ; BA10,  $p = 0.0005$ ; BA11,  $p = 0.0002$ ; BA22,  $p = 0.0003$ ; BA24,  $p = 0.002$ ; BA46,  $p = 0.003$ ; amygdala,  $p = 0.0003$ ; and hippocampus,  $p = 0.001$ ). There were no significant differences in MHPG levels between the AD and control group. Contrarily, MHPG concentrations were higher in control subjects than in FTD patients in BA9

Table 2  
Brain region-specific monoaminergic data of AD, FTD, and control subjects

Brain region	MA, MT, or ratio	AD (n = 10)	FTD (n = 10)	Controls (n = 10)	Kruskal Wallis
BA6	NA (ng/g)	13.7 (8.7–18.7) n = 10 <sup>aa,ccc</sup>	83.9 (65.9–101.5) n = 10 <sup>ccc</sup>	54.9 (30.8–87.7) n = 10 <sup>aa</sup>	p = 0.0002
	DA (ng/g)	6.5 (4.0–10.6) n = 10	10.0 (6.2–15.7) n = 10 <sup>b</sup>	4.5 (2.7–7.0) n = 10 <sup>b</sup>	p = 0.039
	5-HT (ng/g)	3.9 (1.4–10.7) n = 10 <sup>c</sup>	16.8 (11.0–22.1) n = 10 <sup>c</sup>	9.4 (6.5–15.4) n = 10	p = 0.017
	HVA/DA	20.2 (16.5–38.2) n = 10	15.3 (8.5–29.1) n = 10 <sup>b</sup>	41.3 (17.6–68.0) n = 10 <sup>b</sup>	p = 0.022
	MHPG/NA	23.5 (13.7–40.7) n = 10 <sup>a,ccc</sup>	1.9 (0.9–2.6) n = 10 <sup>ccc</sup>	3.1 (1.2–8.8) n = 10 <sup>a</sup>	p = 0.0004
BA8	NA (ng/g)	7.9 (6.1–15.1) n = 9 <sup>a,cc</sup>	63.8 (46.2–82.6) n = 10 <sup>b,cc</sup>	33.8 (19.5–54.4) n = 10 <sup>a,b</sup>	p = 0.0002
	5-HT (ng/g)	2.8 (1.8–9.1) n = 9 <sup>c</sup>	19.1 (9.5–23.4) n = 10 <sup>c</sup>	9.4 (5.3–12.6) n = 10	p = 0.006
	5-HIAA/5-HT	24.6 (12.8–45.1) n = 9 <sup>c</sup>	7.2 (5.9–10.8) n = 10 <sup>b,c</sup>	15.2 (11.7–23.5) n = 10 <sup>b</sup>	p = 0.003
	MHPG/NA	48.9 (16.6–54.6) n = 9 <sup>a,cc</sup>	2.1 (1.4–3.5) n = 10 <sup>cc</sup>	4.6 (1.5–16.2) n = 10 <sup>a</sup>	p = 0.001
BA9	MHPG (ng/g)	465.7 (311.8–539.4) n = 9 <sup>c</sup>	90.2 (57.9–175.4) n = 10 <sup>b,c</sup>	291.4 (156.9–553.2) n = 10 <sup>b</sup>	p = 0.002
	NA (ng/g)	4.0 (2.7–16.0) n = 9 <sup>aa,ccc</sup>	55.0 (34.1–59.5) n = 10 <sup>ccc</sup>	33.5 (26.0–46.3) n = 10 <sup>aa</sup>	p = 0.00008
	5-HT (ng/g)	6.0 (2.9–11.9) n = 9 <sup>c</sup>	19.0 (10.1–25.8) n = 10 <sup>c</sup>	11.6 (6.1–15.4) n = 10	p = 0.019
	5-HIAA/5-HT	28.0 (10.4–72.5) n = 9 <sup>c</sup>	6.4 (5.5–9.9) n = 10 <sup>b,c</sup>	15.2 (8.9–20.3) n = 10 <sup>b</sup>	p = 0.008
	MHPG/NA	59.3 (23.5–237.3) n = 9 <sup>a,ccc</sup>	2.3 (1.2–4.4) n = 10 <sup>b,ccc</sup>	6.5 (4.7–23.4) n = 10 <sup>a,b</sup>	p = 0.00007
BA10	MHPG (ng/g)	508.9 (246.1–1080.1) n = 10 <sup>cc</sup>	113.7 (65.3–174.1) n = 10 <sup>cc</sup>	245.9 (146.6–567.7) n = 10	p = 0.002
	NA (ng/g)	5.1 (3.8–16.1) n = 10 <sup>a,ccc</sup>	36.5 (31.1–40.7) n = 10 <sup>ccc</sup>	24.4 (16.1–36.11) n = 10 <sup>a</sup>	p = 0.0003
	5-HIAA/5-HT	19.7 (10.1–33.6) n = 10 <sup>c</sup>	7.9 (5.9–10.2) n = 10 <sup>c</sup>	13.0 (7.4–19.0) n = 10	p = 0.021
	MHPG/NA	97.4 (21.1–236.0) n = 10 <sup>a,ccc</sup>	3.0 (2.2–4.7) n = 10 <sup>b,ccc</sup>	9.7 (4.6–31.2) n = 10 <sup>a,b</sup>	p = 0.0001
BA11	MHPG (ng/g)	473.6 (257.7–728.0) n = 10 <sup>cc</sup>	143.3 (50.7–182.5) n = 10 <sup>b,cc</sup>	432.8 (287.7–671.9) n = 10 <sup>b</sup>	p = 0.001
	NA (ng/g)	8.0 (6.2–17.3) n = 9 <sup>a,cc</sup>	29.8 (26.3–31.7) n = 10 <sup>cc</sup>	19.7 (13.2–25.9) n = 10 <sup>a</sup>	p = 0.001
	5-HT (ng/g)	11.2 (6.5–13.8) n = 10 <sup>c</sup>	21.8 (14.0–27.5) n = 10 <sup>c</sup>	18.0 (11.0–21.7) n = 10	p = 0.017
	5-HIAA/5-HT	27.9 (12.2–37.4) n = 10 <sup>c</sup>	6.4 (4.2–10.2) n = 10 <sup>b,c</sup>	11.7 (9.0–18.8) n = 10 <sup>b</sup>	p = 0.005
	MHPG/NA	56.0 (29.5–99.7) n = 9 <sup>ccc</sup>	3.8 (2.5–6.7) n = 10 <sup>b,ccc</sup>	21.6 (9.0–39.9) n = 10 <sup>b</sup>	p = 0.0003
BA12	NA (ng/g)	8.1 (5.7–19.3) n = 10 <sup>c</sup>	30.2 (19.4–42.8) n = 10 <sup>c</sup>	20.7 (13.6–41.7) n = 10	p = 0.004
	A (ng/g)	4.2 (2.4–6.0) n = 5 <sup>c</sup>	12.4 (9.1–12.9) n = 7 <sup>c</sup>	8.0 (4.9–14.5) n = 4	p = 0.017
	DA (ng/g)	2.9 (2.5–5.7) n = 10 <sup>c</sup>	8.9 (5.0–17.2) n = 10 <sup>c</sup>	4.3 (2.7–6.6) n = 10	p = 0.017
	5-HT (ng/g)	16.1 (9.3–22.8) n = 10 <sup>c</sup>	31.1 (23.9–85.5) n = 10 <sup>c</sup>	23.5 (15.1–33.0) n = 10	p = 0.006
	5-HIAA/5-HT	13.6 (9.2–20.7) n = 10 <sup>c</sup>	5.3 (3.7–9.0) n = 10 <sup>b,c</sup>	12.5 (6.5–20.3) n = 10 <sup>b</sup>	p = 0.010

(Continued)

Table 2  
(Continued)

	HVA/DA	54.4 (31.0–73.5) <i>n</i> = 10 <sup>c</sup>	17.2 (13.4–22.6) <i>n</i> = 10 <sup>c</sup>	51.4 (21.0–71.1) <i>n</i> = 10	<i>p</i> = 0.018
	MHPG/NA	40.8 (16.5–69.5) <i>n</i> = 10 <sup>c</sup>	3.2 (2.7–5.1) <i>n</i> = 10 <sup>c</sup>	11.3 (1.7–39.1) <i>n</i> = 10	<i>p</i> = 0.012
BA22	MHPG (ng/g)	554.9 (471.5–779.9) <i>n</i> = 9 <sup>cc</sup>	103.1 (60.3–198.0) <i>n</i> = 10 <sup>b,cc</sup>	360.5 (260.4–360.7) <i>n</i> = 10 <sup>b</sup>	<i>p</i> = 0.001
	NA (ng/g)	10.0 (6.23–19.9) <i>n</i> = 7 <sup>c</sup>	33.6 (21.8–50.6) <i>n</i> = 10 <sup>c</sup>	18.7 (13.6–28.5) <i>n</i> = 10	<i>p</i> = 0.006
	5-HIAA (ng/g)	317.7 (144.8–485.8) <i>n</i> = 9 <sup>c</sup>	117.7 (97.4–197.0) <i>n</i> = 10 <sup>c</sup>	171.5 (121.7–320.6) <i>n</i> = 10	<i>p</i> = 0.026
	5-HT (ng/g)	4.2 (1.9–7.3) <i>n</i> = 9 <sup>c</sup>	12.8 (8.3–13.5) <i>n</i> = 10 <sup>c</sup>	7.4 (3.8–11.9) <i>n</i> = 10	<i>p</i> = 0.009
	5-HIAA/5-HT	67.8 (33.8–175.4) <i>n</i> = 9 <sup>a,ccc</sup>	11.9 (9.0–14.9) <i>n</i> = 10 <sup>b,ccc</sup>	23.5 (18.0–36.7) <i>n</i> = 10 <sup>a,b</sup>	<i>p</i> = 0.00008
	DOPAC/DA	2.4 (1.5–4.7) <i>n</i> = 8 <sup>a</sup>	1.1 (0.8–2.6) <i>n</i> = 10	1.0 (0.5–1.5) <i>n</i> = 10 <sup>a</sup>	<i>p</i> = 0.044
	MHPG/NA	83.5 (27.1–91.4) <i>n</i> = 7 <sup>cc</sup>	3.6 (2.0–5.7) <i>n</i> = 10 <sup>b,cc</sup>	20.0 (7.8–46.9) <i>n</i> = 10 <sup>b</sup>	<i>p</i> = 0.001
BA24	MHPG (ng/g)	552.0 (252.0–856.3) <i>n</i> = 10 <sup>cc</sup>	101.4 (65.7–164.6) <i>n</i> = 10 <sup>b,cc</sup>	336.9 (158.1–587.5) <i>n</i> = 10 <sup>b</sup>	<i>p</i> = 0.001
	NA (ng/g)	17.6 (10.5–22.7) <i>n</i> = 9 <sup>a,cc</sup>	45.3 (36.8–53.0) <i>n</i> = 10 <sup>cc</sup>	33.5 (26.4–43.4) <i>n</i> = 10 <sup>a</sup>	<i>p</i> = 0.001
	MHPG/NA	36.9 (14.9–67.0) <i>n</i> = 9 <sup>ccc</sup>	2.3 (1.8–3.5) <i>n</i> = 10 <sup>b,ccc</sup>	9.3 (3.4–21.9) <i>n</i> = 10 <sup>b</sup>	<i>p</i> = 0.0004
BA46	MHPG (ng/g)	205.6 (145.4–514.3) <i>n</i> = 9 <sup>c</sup>	82.6 (47.4–156.1) <i>n</i> = 10 <sup>c</sup>	105.8 (58.6–462.4) <i>n</i> = 10	<i>p</i> = 0.035
	NA (ng/g)	5.5 (4.4–14.8) <i>n</i> = 9 <sup>cc</sup>	41.7 (30.8–52.1) <i>n</i> = 10 <sup>b,cc</sup>	12.7 (6.8–22.1) <i>n</i> = 10 <sup>b</sup>	<i>p</i> = 0.001
	DA (ng/g)	3.0 (2.1–8.4) <i>n</i> = 9 <sup>c</sup>	12.2 (6.9–17.7) <i>n</i> = 10 <sup>b,c</sup>	4.2 (3.4–5.5) <i>n</i> = 10 <sup>b</sup>	<i>p</i> = 0.005
	5-HT (ng/g)	6.0 (3.0–7.6) <i>n</i> = 9 <sup>c</sup>	18.1 (9.4–22.4) <i>n</i> = 10 <sup>c</sup>	9.9 (6.6–14.6) <i>n</i> = 10	<i>p</i> = 0.006
	5-HIAA/5-HT	27.8 (11.6–33.4) <i>n</i> = 9 <sup>c</sup>	9.4 (7.1–14.2) <i>n</i> = 10 <sup>c</sup>	17.8 (15.3–20.0) <i>n</i> = 10	<i>p</i> = 0.024
	HVA/DA	29.1 (15.1–52.4) <i>n</i> = 9	11.1 (7.8–18.5) <i>n</i> = 10 <sup>b</sup>	32.8 (16.7–48.0) <i>n</i> = 10 <sup>b</sup>	<i>p</i> = 0.022
	MHPG/NA	57.8 (20.5–75.8) <i>n</i> = 9 <sup>c</sup>	2.7 (1.1–3.0) <i>n</i> = 10 <sup>b,c</sup>	11.4 (4.2–37.9) <i>n</i> = 10 <sup>b</sup>	<i>p</i> = 0.001
Amygdala	MHPG (ng/g)	651.3 (327.3–1009.7) <i>n</i> = 9 <sup>cc</sup>	124.9 (70.8–205.1) <i>n</i> = 9 <sup>b,cc</sup>	304.8 (193.5–759.3) <i>n</i> = 10 <sup>b</sup>	<i>p</i> = 0.002
	NA (ng/g)	59.8 (40.3–78.7) <i>n</i> = 9 <sup>a,c</sup>	104.3 (66.2–114.5) <i>n</i> = 9 <sup>c</sup>	84.5 (77.5–121.8) <i>n</i> = 10 <sup>a</sup>	<i>p</i> = 0.010
	5-HIAA (ng/g)	481.2 (317.4–668.1) <i>n</i> = 9 <sup>a</sup>	765.6 (471.9–878.4) <i>n</i> = 9	999.8 (754.5–1270.4) <i>n</i> = 10 <sup>a</sup>	<i>p</i> = 0.004
	HVA (ng/g)	558.6 (378.5–706.9) <i>n</i> = 9 <sup>a</sup>	562.5 (395.3–779.1) <i>n</i> = 9 <sup>b</sup>	1132.5 (751.0–1421.4) <i>n</i> = 10 <sup>a,b</sup>	<i>p</i> = 0.006
	5-HT (ng/g)	109.6 (49.4–139.9) <i>n</i> = 9 <sup>aaa,c</sup>	225.2 (173.3–274.5) <i>n</i> = 9 <sup>c</sup>	244.9 (221.7–297.2) <i>n</i> = 10 <sup>aaa</sup>	<i>p</i> = 0.001
	MHPG/NA	7.9 (4.8–26.5) <i>n</i> = 9 <sup>ccc</sup>	1.7 (0.8–2.1) <i>n</i> = 9 <sup>ccc</sup>	3.0 (1.4–9.9) <i>n</i> = 10	<i>p</i> = 0.001
Hippocampus	MHPG (ng/g)	716.8 (290.0–1099.3) <i>n</i> = 10 <sup>c</sup>	140.0 (71.9–193.7) <i>n</i> = 9 <sup>b,c</sup>	416.3 (232.9–713.5) <i>n</i> = 10 <sup>b</sup>	<i>p</i> = 0.002
	NA (ng/g)	23.0 (17.2–36.7) <i>n</i> = 9 <sup>c</sup>	50.4 (40.6–82.3) <i>n</i> = 9 <sup>c</sup>	43.0 (25.8–81.9) <i>n</i> = 10	<i>p</i> = 0.014
	5-HT (ng/g)	41.4 (20.2–61.1) <i>n</i> = 10 <sup>a,c</sup>	85.9 (59.0–144.6) <i>n</i> = 9 <sup>c</sup>	87.8 (69.3–111.2) <i>n</i> = 10 <sup>a</sup>	<i>p</i> = 0.002

(Continued)

Table 2  
(Continued)

Brain region	MA, MT, or ratio	AD (n = 10)	FTD (n = 10)	Controls (n = 10)	Kruskal Wallis <i>p</i> =
	5-HIAA/5-HT	9.3 (6.5–12.7) <i>n</i> = 10 <sup>c</sup>	3.3 (2.6–5.9) <i>n</i> = 9 <sup>c</sup>	5.3 (3.4–7.3) <i>n</i> = 10	<i>p</i> = 0.008
	MHPG/NA	20.1 (9.2–70.7) <i>n</i> = 9 <sup>ccc</sup>	2.8 (1.1–3.5) <i>n</i> = 9 <sup>ccc</sup>	8.2 (2.3–31.4) <i>n</i> = 10	<i>p</i> = 0.001

Median with IQR denoted between parentheses and *p* values after Kruskal Wallis analysis in the rightmost column ( $p < 0.05$ ). Only data that remained statistically significant after *post hoc* Mann-Whitney U tests with Bonferroni correction ( $p < 0.017$  for three group comparisons, one superscript letter) are displayed. Two and three superscript letters are used to indicate significant differences with  $p < 0.001$  and  $p < 0.0001$ , respectively. Superscript letters a, b and c signify differences between AD and controls, FTD and controls, and, AD and FTD, respectively. 5-HIAA, 5-hydroxyindoleacetic acid; 5-HT, 5-hydroxytryptamine (serotonin); A, adrenaline; AD, Alzheimer's disease; BA, Brodmann area; DA, dopamine; DOPAC, 3,4-dihydroxyphenylacetic acid; FTD, frontotemporal dementia; HVA, homovanillic acid; MA/MT, monoamine and metabolite; MHPG, 3-methoxy-4-hydroxyphenylglycol; NA, noradrenaline.

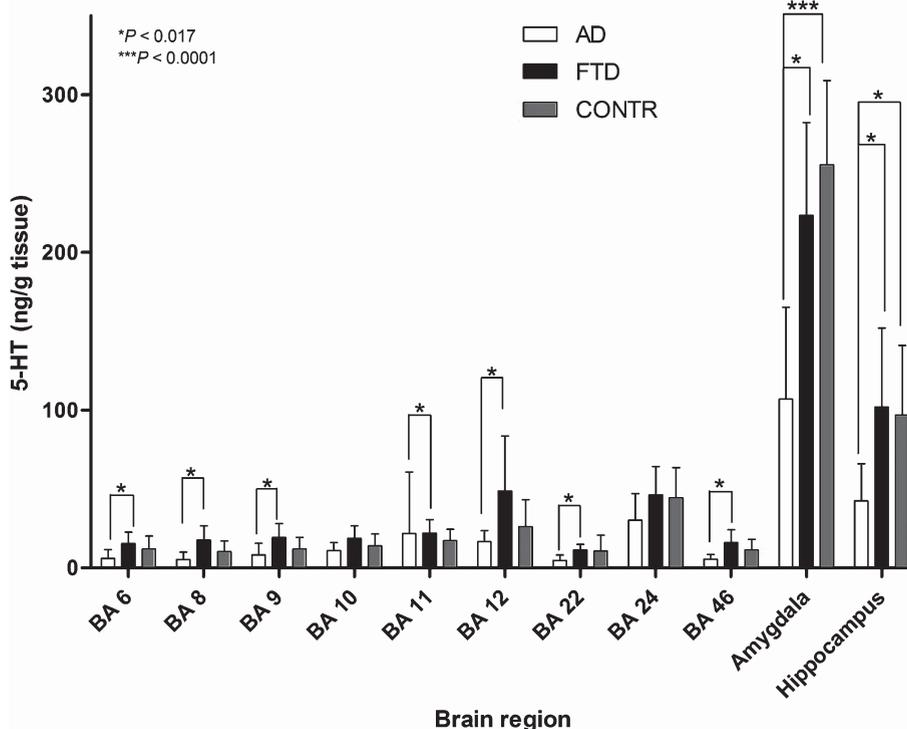


Fig. 2. 5-HT levels across eleven brain regions in AD compared to FTD and control subjects. Data are presented as mean with SD. Only differences (Kruskal Wallis,  $p < 0.05$ ) remaining statistically significant after *post hoc* Mann-Whitney U tests with Bonferroni correction are indicated by asterisks (\* $p < 0.017$ ; \*\*\* $p < 0.0001$ ). 5-HT, 5-hydroxytryptamine; AD, Alzheimer's disease; BA, Brodmann area; CONTR, controls; FTD, frontotemporal dementia.

508 ( $p = 0.007$ ), BA11 ( $p = 0.002$ ), BA22 ( $p = 0.002$ ),  
509 BA24 ( $p = 0.009$ ), amygdala ( $p = 0.013$ ), and hip-  
510 pocampus ( $p = 0.002$ ).

#### 511 Dopaminergic findings

512 Overall, significant dopaminergic group differ-  
513 ences were scarce, with only higher DA levels and  
514 lower HVA/DA ratios, indicative of the catabolic  
515 dopaminergic turnover, in BA12 ( $p = 0.007$  and

$p = 0.002$ , respectively), and higher DA levels in  
516 BA46 ( $p = 0.008$ ) in FTD compared to AD patients.  
517 In BA6 and BA46, higher DA levels ( $p = 0.01$  and  
518  $p = 0.003$ ) and lower HVA/DA ratios ( $p = 0.005$  and  
519  $p = 0.01$ ) in FTD compared to control subjects were  
520 observed accordingly. No significant differences concern-  
521 ing HVA/DA ratios were found between AD and  
522 control groups, apart from higher DOPAC/DA  
523 ratios in BA22 of AD subjects ( $p = 0.016$ ;  
524 Table 2).  
525

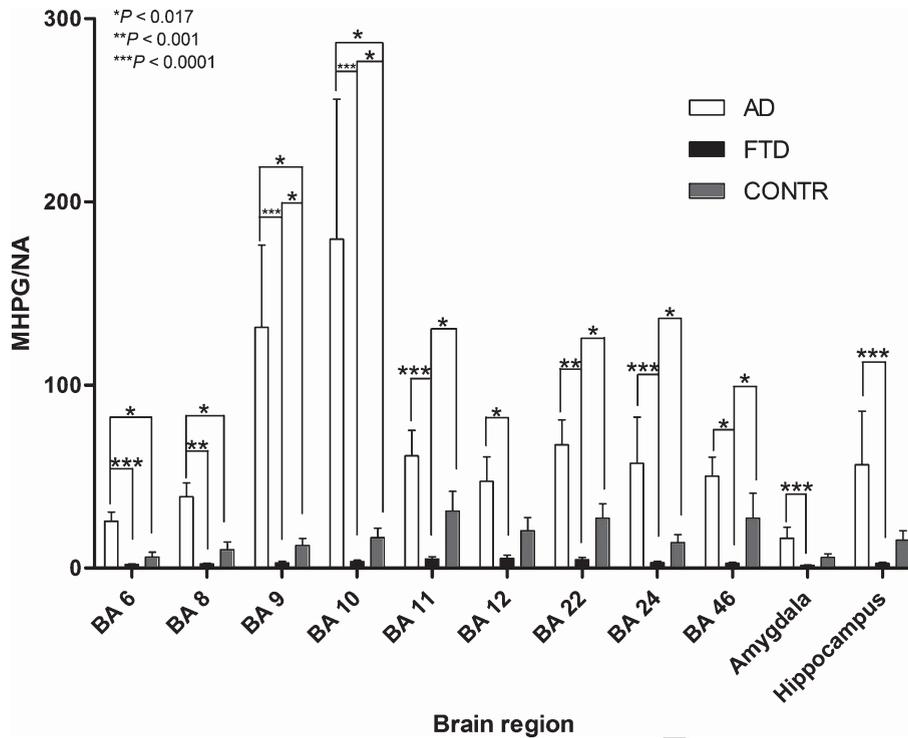


Fig. 3. MHPG/NA ratios, indicative of the catabolic noradrenergic turnover, across eleven brain regions in AD compared to FTD and control subjects. Data are presented as mean with SD. Only differences (Kruskal Wallis,  $p < 0.05$ ) remaining statistically significant after *post hoc* Mann-Whitney U tests with Bonferroni correction are indicated by asterisks (\* $p < 0.017$ ; \*\* $p < 0.001$ ; \*\*\* $p < 0.0001$ ). AD, Alzheimer's disease; BA, Brodmann area; CONTR, controls; FTD, frontotemporal dementia; MHPG, 3-methoxy-4-hydroxyphenylglycol; NA, noradrenaline.

### Neurochemical correlates of NPS in AD and FTD

Neurochemical correlates of NPS in the AD and FTD groups are presented in Supplementary Table 2. Due to the extensive amount of data with  $p < 0.05$ , only the most significant correlations were finally retained ( $p < 0.005$ ). None of these correlations, however, remained statistically significant following a total Bonferroni correction for multiple comparisons (i.e.,  $p < 0.00002$ ).

As for the AD group, NA levels in BA12 correlated with CMAI cluster 1 scores (aggressive behavior) ( $p = 0.0002$ ,  $R = +0.914$ ,  $n = 10$ ), whereas in BA22, HVA/5-HIAA ratios correlated with Behave-AD cluster G scores (anxieties and phobias) ( $p = 0.001$ ,  $R = +0.850$ ,  $n = 9$ ). Furthermore, hippocampal 5-HIAA concentrations inversely correlated with Behave-AD total scores, CMAI cluster 3 scores (verbally agitated behavior) and CMAI total scores ( $p = 0.0001$ ,  $R = -0.927$ ,  $n = 10$ ;  $p = 0.00006$ ,  $R = -0.939$ ,  $n = 10$ ; and  $p = 0.0003$ ,  $R = -0.903$ ,  $n = 10$ ). Hippocampal HVA/5-HIAA ratios also correlated with Behave-AD cluster G scores, CMAI cluster 2 (physically nonaggressive

behavior) and cluster 3 scores ( $p = 0.004$ ,  $R = +0.816$ ,  $n = 10$ ;  $p = 0.001$ ,  $R = +0.872$ ,  $n = 10$ ; and  $p = 0.001$ ,  $R = +0.865$ ,  $n = 10$ ), as well as Behave-AD and CMAI total scores ( $p = 0.0004$ ,  $R = +0.902$ ,  $n = 10$ ; and  $p = 0.0003$ ,  $R = +0.903$ ,  $n = 10$ ).

In the FTD group, NA levels in BA9 inversely correlated with Behave-AD cluster D scores (aggressiveness) ( $p = 0.004$ ,  $R = -0.813$ ,  $n = 10$ ). Additionally, 5-HT concentrations in BA12 were inversely associated with Behave-AD global scores ( $p = 0.003$ ,  $R = -0.834$ ,  $n = 10$ ). Lastly, in BA46, HVA/DA ratios correlated with CMAI total scores ( $p = 0.004$ ,  $R = +0.812$ ,  $n = 10$ ).

### Possible confounding effects of psychotropic medication

In the AD group, 5-HT levels in BA10 ( $p = 0.017$ ), DOPAC/DA ratios in BA22 ( $p = 0.036$ ), and MHPG/NA ratios in the hippocampus ( $p = 0.024$ ) were significantly higher in patients who were on psychotropic medication before death ( $n = 3$ ) compared to patients free of such medication ( $n = 7$ ).

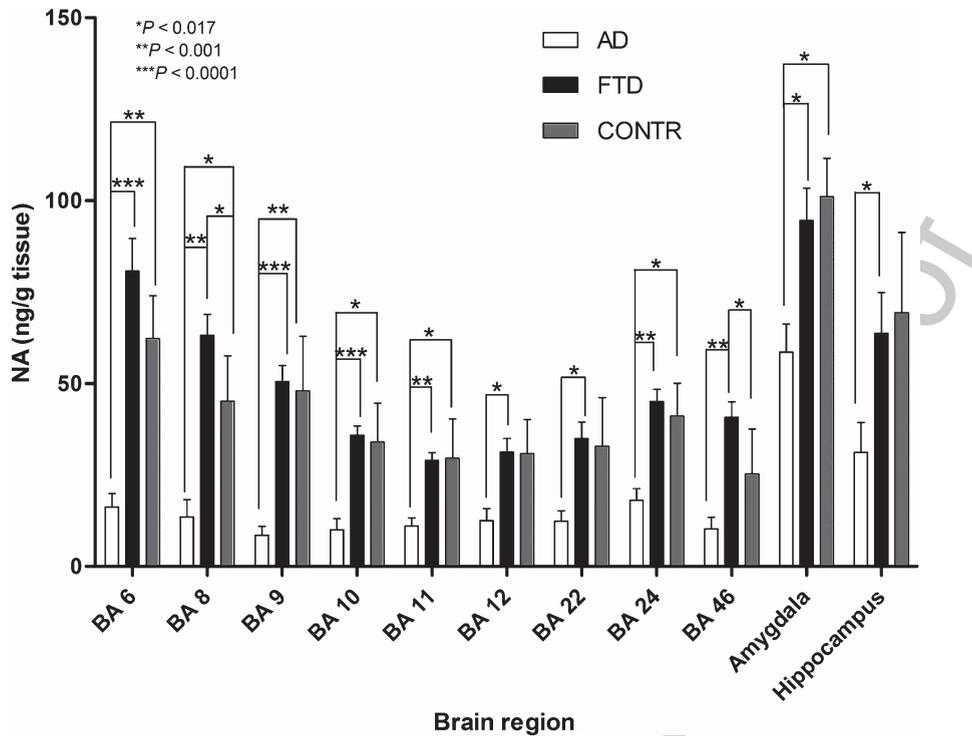


Fig. 4. NA levels across eleven brain regions in AD compared to FTD and control subjects. Data are presented as mean with SD. Only differences (Kruskal Wallis,  $p < 0.05$ ) remaining statistically significant after *post hoc* Mann-Whitney U tests with Bonferroni correction are indicated by asterisks (\* $p < 0.017$ ; \*\* $p < 0.001$ ; \*\*\* $p < 0.0001$ ). AD, Alzheimer's disease; BA, Brodmann area; CONTR, controls; FTD, frontotemporal dementia; NA, noradrenaline.

Concerning the FTD group, MHPG/NA ratios in both BA6 ( $p = 0.008$ ) and BA8 ( $p = 0.032$ ) were significantly lower in pharmacologically-treated patients ( $n = 5$ ) compared to those who were free of psychotropic medication ( $n = 5$ ). The same applies to MHPG levels in BA8 ( $p = 0.032$ ), amygdala ( $p = 0.016$ ), and hippocampus ( $p = 0.016$ ), and, finally, DA levels in BA9 ( $p = 0.016$ ), DOPAC ( $p = 0.032$ ), and 5-HT ( $p = 0.032$ ) levels in the amygdala.

As for the control subjects, 5-HIAA levels in BA6, BA8, BA12, BA22, BA46 and amygdala, as well as NA levels in BA10 and BA22 were significantly lower in patients on psychotropic agents ( $n = 2$ ) compared to their medication-free counterparts ( $n = 8$ ; for all,  $p = 0.044$ ).

Because four times as many FTD patients were on antidepressants ( $n = 4$ ; i.e., trazodone,  $n = 2$ ; amitriptyline  $n = 1$ ; and serlain  $n = 1$ ) compared to AD and control subjects ( $n = 1$  for both groups), these drugs may certainly have influenced our serotonergic and/or noradrenergic results. However, only 5-HIAA/5-HT ratios in BA6 and BA9 and MHPG/NA ratios in BA6, BA8, and hippocampus

were significantly lower in the subgroup of FTD subjects on antidepressants ( $n = 4$ ) compared to their antidepressant-free counterparts ( $n = 6$ ). There were no significant alterations regarding 5-HT or NA levels.

## DISCUSSION

### Monoaminergic findings

The key findings of the present study are that FTD patients have a remarkably distinct monoaminergic profile compared to AD patients, with predominantly imbalanced levels of brain serotonergic and noradrenergic compounds and an apparently unaltered dopaminergic neurotransmitter system. The latter is in sharp contrast with some of the aforementioned studies [2, 8, 15] and our initial expectations.

Increased 5-HT levels, corresponding with the findings of Bowen et al. [4], combined with decreased 5-HIAA/5-HT ratios in practically every analyzed brain region of FTD compared to AD and/or control subjects, could possibly be indicative of a serotonergic neurotransmitter imbalance in patients suffering

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615 from FTD. In general, this presenile dementia sub-  
616 type is characterized by a more extensive loss of  
617 pyramidal neurons in the supragranular layers of the  
618 frontotemporal cortex than AD [44, 45]. In addition,  
619 these layers are enriched with 5-HT<sub>1A</sub> receptors that  
620 have been reported to index the soma of corticocortical  
621 glutamatergic pyramidal neurons in the neocortex  
622 [11, 46], and 5-HT concentrations have shown to  
623 inhibit glutamate release via these receptors [47].  
624 Our observed serotonergic alterations could there-  
625 fore reflect a relative excess of extraneuronal 5-HT  
626 in relation to the number of surviving glutamatergic  
627 pyramidal neurons. As a consequence, cognitive and  
628 behavioral symptoms in FTD might arise as a result  
629 of deficient glutamatergic neurotransmission. This  
630 excess of 5-HT may be due to preservation of 5-HT  
631 afferents, as was claimed by Bowen et al. [4], based on  
632 increased 5-HT reuptake site measures in FTD com-  
633 pared to AD. The mean reuptake value in temporal,  
634 parietal and frontal cortex for their FTD group was no  
635 less than 153% and 120% of AD and control values.  
636 Such preservation of serotonergic indices could be  
637 due to collateral sprouting, as has been demonstrated  
638 in animal models [48]. The authors further suggest  
639 that a 5-HT<sub>1A</sub> receptor antagonist may be indicated  
640 to counteract the overstimulation of 5-HT on these  
641 receptors [4]. Interestingly, 5-HT<sub>1A</sub> receptors or even  
642 5-HT itself could represent neurochemical markers  
643 for glutamatergic neurotransmission in FTD accord-  
644 ingly [49]. Clinical trials with SSRIs (e.g., paroxetine,  
645 fluvoxamine, sertraline, citalopram) or other sero-  
646 tonergic antidepressants (e.g., trazodone) also led to  
647 conflicting results, with mixed treatment effects on  
648 both cognition and behavior, and, even a worsening  
649 of cognitive functioning in one trial (paroxetine; for  
650 Review, see [2] and [3]), which fits our aforemen-  
651 tioned postulation.

652 As for the serotonergic metabolite 5-HIAA, levels  
653 were only significantly decreased in the temporal cor-  
654 tex (BA22) of FTD compared to AD subjects. Such  
655 preservation of 5-HIAA is largely consistent with  
656 previous comparative studies that not only examined  
657 frontal, temporal and parietal brain tissue [4], but CSF  
658 as well [6–8].

659 Apart from neocortical brain areas, the present  
660 study also analyzed amygdala and hippocampus,  
661 which yielded similar results, and therefore our  
662 findings point in the direction of a more diffuse sero-  
663 tonergic brain deficiency in FTD. In this context, a  
664 40% reduction in the number of serotonergic raphe  
665 nuclei (RN) neurons has previously been observed  
666 [50], even though the accompanying postsynaptic

667 serotonergic dysfunction via their ascending pro-  
668 jections to the forebrain, including the amygdala,  
669 hippocampus and other subcortical nuclei, certainly  
670 necessitates further neurochemical investigation,  
671 given that a neuronal loss in RN is rather suggestive  
672 for an overall decrease (and not increase) in 5-HT  
673 levels. Unfortunately, glutamate levels, 5-HT reup-  
674 take values or RN atrophy measures are lacking in  
675 our study.

676 Similar to the serotonergic data, we found even  
677 more significantly increased NA levels and decreased  
678 MHPG/NA ratios in all 11 regions in FTD brain.  
679 Additionally, MHPG levels were strongly decreased  
680 in eight out of 11 brain regions. These noradrenergic  
681 abnormalities suggest that the LC, the principal site  
682 for brain synthesis of NA, may be severely damaged  
683 in FTD. Yang and Schmitt [50], however, found no  
684 neuronal loss in the LC of 12 FTD compared to 30  
685 AD patients, whereas in latter AD group, both the  
686 RN and LC were severely affected. Nevertheless, the  
687 LC also receives serotonergic innervation from the  
688 upper RN [51] with predominantly inhibitory effects  
689 on the firing of these LC neurons, which is medi-  
690 ated by receptors of the 5-HT<sub>1</sub> family [52, 53].  
691 Hence, even though the LC appears to be struc-  
692 turally intact, it cannot be excluded that serotonergic  
693 deafferentation might eventually lead to secondary,  
694 postsynaptic noradrenergic changes in the frontotem-  
695 poral cortex, hippocampus, and amygdala among  
696 others. This remains purely hypothetical. Contrar-  
697 ily, previous studies that examined NA levels and  
698 its main metabolite, MHPG in CSF, did not find  
699 such noradrenergic alterations [7, 8, 14], except for  
700 Sjögren et al. [6], who found higher CSF MHPG lev-  
701 els in FTD compared to both early- and late-onset  
702 AD. Lastly, it is of interest to mention that Sparks  
703 et al. [54] observed a decreased monoamine oxidase  
704 A (MAO-A) enzyme activity in the temporal lobe  
705 of patients with Pick's disease, which might – at  
706 least partly – explain the increased NA levels and  
707 decreased MHPG/NA ratios in our FTD group. This  
708 particular enzyme plays a strategic role in inactivating  
709 catecholamines that are free within the nerve terminal  
710 endings, of which MAO-A preferentially deaminates  
711 NA and 5-HT [55]. On the other hand, the authors  
712 found increased MAO-A activity in the frontal lobe  
713 of AD subjects, which corresponds to the decreased  
714 NA levels and increased ratios of our AD group as  
715 well.

716 Similarly, based on our results, it can be  
717 concluded that the dopaminergic neurotransmitter  
718 system remained largely unaffected in FTD compared  
719

to AD patients and healthy controls. In general, the handful of significant differences that were found, may partly be attributed to psychotropic drug therapy shortly before death (see Results). The latter is in stark contrast to previous findings of Engelborghs et al. [8], who reported an increased activity of dopaminergic neurotransmission due to a potentially altered inhibitory control of the serotonergic system, represented by increased HVA/5-HIAA ratios, in CSF of 25 FTD compared to 181 AD patients. No such differences in HVA/5-HIAA ratios were found in any of the analyzed brain regions in our study, suggesting that the serotonergic inhibitory control on dopaminergic neurotransmitter release was supposedly not affected in AD nor FTD. We have to bear in mind, however, that the hypothesis that monoamine levels in peripheral body fluids reflect central monoaminergic metabolism is based on assumptions (e.g., the assumption that a change in monoaminergic metabolism in a discrete brain area is measurable in large compartments such as CSF) [56]. Conversely, there are other CSF reports that agree with the theory of a relatively spared dopaminergic system in FTD [6, 7]. With regard to studies that analyzed brain tissue samples, one case study found unchanged DA levels in different regions of the neo- and allocortex [57], whereas another case study found dramatically reduced levels of DA and HVA in several neocortical brain areas, as well as thalamus, SN, and striatum [58], both in comparison with identical regions of seven control brains. Lastly, in a small study of only three FTD patients, CSF and brain tissue measurements also suggested that DA release remained relatively intact [11]. Overall, these contradicting results certainly necessitate further investigation since the impairment of the serotonergic system may have a profound impact on the dopaminergic system [50].

Finally, it is of note that serotonergic pathways – apart from strong interactions with dopaminergic, noradrenergic, and glutamatergic systems – are also known to intensively interact with the cholinergic system, and that the 5-HT<sub>1A</sub> receptor can facilitate various types of memory by enhancing cholinergic as well as glutamatergic neurotransmission if antagonized [59], making it a valuable and strategic therapeutic target.

#### *Neurochemical correlates of NPS*

Strikingly, most of the correlations pertained to agitated and/or aggressive behavior in AD as well

as FTD patients. In the AD group, considering the ten patients were part of a larger group of 40 in total on which similar research was conducted (See Study population and protocol, above), results therefore correspond well with those of our previous studies [24, 25].

As for FTD patients, an increased enzymatic turnover of DA to DOPAC and then HVA, as represented by HVA/DA ratios, in the middle frontal gyrus (BA46) might be related to agitated behavior (CMAI total score). To some degree, this finding is consistent with Engelborghs et al. [8] who mentioned that CSF DOPAC levels of FTD patients correlated with physically nonaggressive behavior (CMAI cluster 2 score) and agitation in general (CMAI cluster 3 score). Moreover, CSF DOPAC levels were able to predict future aggressive and agitated behavior in FTD patients.

In both study groups, NA levels of the prefrontal cortex (BA12 and BA9) correlated with aggressive behavior, albeit inversely in FTD patients. The correlation in AD partially corresponds with the results of Matthews et al. [60], who observed a similar correlation between aggressive behavior and severity of noradrenergic cell loss in the LC of AD patients before. The same monoamine was thus associated with more and less apparent aggressive behavior in comparable prefrontal regions in AD and FTD brain, respectively.

Results need to be interpreted with caution, however, since none of these correlations described above remained statistically significant following Bonferroni correction for multiple comparisons.

#### *Study strengths and weaknesses*

All AD and FTD patients were clinically and behaviorally well-characterized by combining clinical, neuropsychological, and neuroimaging data, as well as behavioral assessment scores obtained during baseline and follow-up investigations. These data gave rise to the clinical diagnosis of *probable* AD or FTD. In addition, postmortem neuropathological examination of the paraformaldehyde-fixated right hemisphere established the diagnosis of *definite* AD or FTD, and all groups were age- and gender-matched. Moreover, all brain dissections of the frozen left hemispheres, as well as the neuropathological assessments of the right hemispheres were always performed by the same neuropathologist(s)/scientist, thus minimizing variability. Postmortem degradation of the neurochemical compounds was minimized by

819 the inclusion of two quality control measures. Firstly,  
820 only nonacidotic brain tissue samples were included.  
821 Secondly, the average postmortem delay in all three  
822 groups remained more than sufficient within the 6–8 h  
823 range (4–5 h) (e.g., if compared to the study of Bowen  
824 et al. [4] (30–48 h)).

825 As opposed to aforementioned strengths, this  
826 study also comprised a number of limitations. As  
827 such, behavioral assessment scores of the control  
828 group were not available and those of the FTD  
829 group lacked sufficient variation (Supplementary  
830 Table 1) so that, eventually, very little significant  
831 neurochemical correlates of NPS were found in  
832 this group. Nonparametric tests were also applied  
833 due to the limited number of subjects in each  
834 study group ( $n=10$ ) and ordinal variables (behav-  
835 ioral scores), and, because our data did not comply  
836 with those of a normally distributed population (data  
837 not shown), statistical power might potentially have  
838 been lost. Unfortunately, MMSE scores were unsuit-  
839 able or absent for data analysis owing to the severe  
840 disease progression in some patients (e.g., mutism)  
841 or because obtained MMSE scores were not recent  
842 enough. Furthermore, the existing information on  
843 monoamine deficits in particular with reference to  
844 distinct histological FTLD subgroups, such as FTLD  
845 with (Pick's disease) and without (FTLD-U) tau  
846 pathology [5], is sparse [4], and might have intro-  
847 duced some bias in our neurochemical dataset not  
848 only due to heterogeneity in spreading of TDP-43  
849 positive inclusions across the different cortical layers  
850 depending on the FTLD-TDP-43 subtype [61], but  
851 also due to interindividual variation in topographic  
852 distribution of pathology. We also have to acknowl-  
853 edge that most of the included AD and FTD subjects  
854 had advanced disease stages, which may lead to dis-  
855 similar findings in patients with early disease stages,  
856 still the main target group for pharmaceutical inter-  
857 vention and biomarker discovery and verification.  
858 The variable degree of cortical atrophy between and  
859 within patient groups may also have introduced a neu-  
860 rochemical bias, given that there were three out of  
861 ten FTD subjects with asymmetric brain degenera-  
862 tion (visualized on MRI). The latter is in reference  
863 with Whitwell et al. [62], who found a minority of  
864 35% of behavioral variant FTD patients with asym-  
865 metric frontal lobes (15% asymmetric right and 20%  
866 asymmetric left).

867 With regard to the use of antidepressants, our sero-  
868 tonergic and noradrenergic results may have been  
869 influenced by confounding medication effects to  
870 some degree, particularly in BA6, BA8, BA9 and

871 hippocampus (See Results). On the other hand, possi-  
872 ble confounding psychotropic drug effects in general  
873 may have introduced type I errors since several nora-  
874 drenergic alterations were observed as well, although  
875 restricted to MHPG/NA ratios and/or MHPG lev-  
876 els of BA6, BA8, amygdala, and hippocampus (See  
877 Results). However, neurochemical effects of psy-  
878 chotropic drugs may last for up to several weeks  
879 after cessation of treatment. Therefore, neurochem-  
880 ical data of patients who did not take psychotropic  
881 medication at the date of death may also be influenced  
882 by these effects.

## 883 CONCLUSIONS

884 By and large, our findings support the premise  
885 that FTD and AD are distinguishable by their  
886 monoaminergic profiles not only in frontotemporal  
887 brain regions, but also in amygdala and hippocampus.  
888 More specifically, FTD seems to be predominantly  
889 characterized by imbalanced levels of brain sero-  
890 tonergic and noradrenergic compounds and by an  
891 apparently unaltered dopaminergic neurotransmitter  
892 system. We speculate that the observed serotoner-  
893 gic alterations might be caused by preservation of  
894 5-HT afferents (and thus 5-HT levels), consequently  
895 leading to an underactivity of prefrontal glutamater-  
896 gic neurotransmission, as was previously concluded  
897 by Bowen et al. [4]. On the other hand, we are  
898 the first, to our knowledge, to report on severe  
899 brain noradrenergic neurotransmitter deficiencies in  
900 FTD compared to AD, hypothetically resulting from  
901 an impaired connection between the RN and LC.  
902 Tackling both of these monoaminergic disturbances  
903 might, therefore, improve cognitive and/or behav-  
904 ioral deficits in patients suffering from this presenile  
905 disorder. Additionally, clinical trials that investigate  
906 the effects of 5-HT<sub>1A</sub> receptor antagonists and/or  
907 NA-modulating agents, such as  $\alpha_{1/2}$ - or  $\beta_1$ -blockers  
908 [63, 64], may be considered. For instance, the efficacy  
909 of  $\alpha_2$ -adrenoreceptor antagonists has been demon-  
910 strated before in three FTD subjects [65], and a novel  
911 generation of promising  $\alpha_{2C}$ -antagonists, such as  
912 ORM-10921/ORM-12741, is currently being tested  
913 (phase 2 clinical trial NCT02471196), albeit in AD  
914 [64, 66].

915 Our study would of course have been more infor-  
916 mative if some of the alternative indicators of neuro-  
917 transmission, such as binding potential measures of  
918 5-HT<sub>1A/2A</sub>-, glutamate-,  $\alpha$ - or  $\beta$ -noradrenergic re-  
919 ceptors, and MAO and cholinergic enzyme activities

had been determined simultaneously. Moreover, given the discriminative features of both serotonergic (5-HT levels and 5-HIAA/5-HT ratios) and noradrenergic (MHPG, NA levels, and MHPG/NA ratios) compounds, future studies should examine their added potential in CSF in combination with the traditional set of AD biomarkers, as was previously attempted by Herbert et al. [14], and of which a good first indication came from CSF MHPG levels.

Finally, the strong and reciprocal connections between the RN and LC, as well as their postsynaptic efferents to the neo- and allocortex, certainly necessitate further investigation, accompanied with a complete topographic mapping of monoaminergic alterations in FTD and AD brain, including not only the RN and LC, but also the SN and striatum.

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## SUPPLEMENTARY MATERIAL

The supplementary material is available in the electronic version of this article: <http://dx.doi.org/10.3233/JAD-160320>.

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