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Development and validation of an OECD reproductive toxicity 1 test guideline with the mudsnail Potamopyrgus antipodarum 2 (Mollusca, Gastropoda) 3 4 Katharina Ruppert^{a*}, Cornelia Geiß^a, Clare Askem^b, Rachel Benstead^c, Rebecca Brown^{d,1}, 5 Maira Coke^e, Virginie Ducrot^{f,2}, Philipp Egeler^g, Henrik Holbech^h, Thomas H. Hutchinson^{b3}, 6 Karin L. Kinnberg^h, Laurent Lagadic^{f,2}, Gareth Le Page^{d4}, Ailbhe Mackenⁱ, Peter 7 Matthiessen^j, Sina Ostermann^a, Agnes Schimera^{a5}, Claudia Schmitt^{k6}, Anne Seeland-Fremer¹ 8 Andy J. Smith^b, Lennart Weltje^m, Jörg Oehlmann^a 9 10 ^a Goethe University Frankfurt am Main, Department Aquatic Ecotoxicology, Biological 11 Sciences Division, Max-von-Laue-Str. 13, 60348 Frankfurt, Germany. 12 ^b CEFAS Lowestoft Laboratory Pakefield Road, Lowestoft NR33 0HT, United Kingdom. 13

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ABSTRACT

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43 Mollusks are known to be uniquely sensitive to a number of reproductive toxicants including 44 some vertebrate endocrine disrupting chemicals. However, they have widely been ignored in 45 environmental risk assessment procedures for chemicals. This study describes the validation 46 of the *Potamopyrgus antipodarum* reproduction test within the OECD Conceptual Framework 47 for Endocrine Disrupters Testing and Assessment. The number of embryos in the brood pouch and adult mortality serve as main endpoints. The experiments are conducted as static systems 48 49 in beakers filled with artificial medium, which is aerated trough glass pipettes. The test 50 chemical is dispersed into the medium, and adult snails are subsequently introduced into the 51 beakers. After 28 days the reproductive success is determined by opening the brood pouch 52 and embryo counting. This study presents the results of two validation studies of the reproduction test with eleven laboratories and the chemicals tributyltin (TBT) with nominal 53 54 concentrations ranging from 10 - 1000 ng TBT-Sn/L and cadmium with concentrations from 55 1.56 - 25 µg/L.

The test design could be implemented by all laboratories resulting in comparable effect concentrations for the endpoint number of embryos in the brood pouch. After TBT exposure mean EC_{10} , EC_{50} , NOEC and LOEC were 35.6, 127, 39.2 and 75.7 ng Sn/L, respectively. Mean effect concentrations in cadmium exposed snails were, respectively, 6.53, 14.2, 6.45 and 12.6 µg/L.

61 The effect concentrations are in good accordance with already published data. Both validation 62 studies show that the reproduction test with *P. antipodarum* is a well-suited tool to assess 63 reproductive effects of chemicals.

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65 Keywords: Standardisation, Mollusk, Reproduction, Endocrine Disruption, Tributyltin,
66 Cadmium.

1. INTRODUCTION

68

69 The Organisation for Economic Co-operation and Development (OECD) is one of the focal 70 institutions for the harmonization of test methods that are used for the risk assessment of chemicals (Gourmelon and Ahtiainen, 2007). In 2002 the Conceptual Framework for 71 72 Endocrine Disrupters Testing and Assessment was agreed by the OECD providing a guide to 73 the available in silico, in vitro and in vivo tests giving information for the assessment of 74 endocrine disrupters with 5 levels of increasing complexity. Tests on invertebrates belong to 75 level 4 and 5 of the Conceptual Framework and provide data on adverse effects on endocrine 76 relevant endpoints (e.g. reproduction), although these tests are not of mechanistic nature like 77 e.g. (in vitro) receptor binding assays: they may respond to various mechanisms caused by the general toxicity of a substance and may therefore also cover non-endocrine disrupting 78 79 mechanisms (OECD, 2012a). They comprise species like Daphnia magna, Chironomus 80 riparius and Lumbriculus variegatus. So far, a standard test for routine chemical testing with the species-rich phylum of mollusks has not yet been established within the Conceptual 81 82 Framework, although mollusks are ecologically crucial organisms, which are essential to the 83 biosphere and to the human economy (i.e. the shellfish industry) (Matthiessen, 2008; 84 Shumway et al., 2003). Furthermore, they are highly sensitive to a number of endocrine disrupting chemicals (e.g. organotins) and other reproductive toxicants (Duft et al., 2007; 85 86 Jorge et al., 2013; Matthiessen, 2008). A prominent example for the impact of a chemical on 87 aquatic invertebrate populations in the field is the virilization effect on mollusks caused by 88 tributyltin (TBT). It caused imposex and intersex development as two masculinization 89 phenomena in more than 260 gastropod species, damaged the oyster-growing industry and 90 caused severe losses of invertebrate biodiversity in coastal waters (Matthiessen and Gibbs, 91 1998; Titley-O'Neal et al., 2011). To close this gap OECD welcomed and supported the 92 development of standard reproduction tests with mollusk species (Gourmelon and Ahtiainen, 93 2007; Matthiessen, 2008). In 2008, the German Environment Agency and the Department for

94 Environment, Food and Rural Affairs of the United Kingdom started the coordination for test 95 method development. As a first step a detailed review paper on Mollusks Life-cycle Toxicity Testing was prepared summarising the state of knowledge on mollusk testing and proposing 96 possible test designs and test species (OECD, 2010a). Beside the pond snail Lymnaea 97 98 stagnalis (Gastropoda: Lymnaeidae) and the Pacific oyster Crassostrea gigas, (Bivalvia: 99 Ostreidae) one of the most promising candidate species for a standardised test guideline was 100 the New Zealand mudsnail Potamopyrgus antipodarum (Gastropoda: Tateidae). This species 101 has already been subject of several ecotoxicological studies (summarized in Sieratowicz et al. 102 2011) and is known to be sensitive to a number of reproductive toxicants and endocrine 103 disrupting chemicals (Duft et al., 2007; Gust et al., 2010; Jobling et al., 2004; Ruppert et al., 2016; Sieratowicz et al., 2011). Originating from New Zealand the mudsnail P. antipodarum 104 was introduced to other parts of the world in the mid-19th century with the ballast water of 105 106 ships (Ponder, 1988). It is common in aquatic ecosystems including lotic as well as lentic ecosystems (Alonso and Castro-Diez, 2012). But it also occurs in estuarine areas because it 107 108 can tolerate salinities up to 15‰ (Jacobsen and Forbes, 1997) demonstrating its high 109 ecological relevance. The shell length reaches up to 6 mm (Duft et al., 2007). During dry or 110 cold periods, snails live completely buried in the sediment (Duft et al., 2003). P. antipodarum 111 feeds on detritus, algae, and bacteria, being rasped from the surface of plants, stones, or the 112 sediment (Macken et al., 2012). European populations consist almost exclusively of 113 parthenogenetic females with embryos developing in the anterior part of the pallial oviduct 114 section, which is transformed into a brood pouch from which juvenile snails are released 115 through the vaginal opening (Fretter and Graham, 1994). Snails reach sexual maturity at an age of about 30 weeks at a size of about 3.5 mm (Jensen et al., 2001; Møller et al., 1994). 116 117 Reproduction takes place throughout the year (Gust et al., 2011b).In Europe three clonal genotypes were identified: clone A can mainly be found at freshwater sites all over Europe 118 whereas clone B and C characterize coastal and brackish-water habitats. Clone C is only 119

120 found in Wales and a few locations on continental Europe (Städler et al., 2005). Differences between clones have also been subject of several studies. A comparative sensitivity study with 121 122 sediment-bound cadmium and four clones of P. antipodarum (clone A, B, C and one New Zealand clone) revealed that interclonal differences on life-history traits like specific growth-123 124 rate and reproductive output were within an order of magnitude (Jensen et al., 2001). Other 125 studies show differences in life-history traits and feeding rates in response to a salinity 126 gradient between clone A and B (Jacobsen and Forbes, 1997). In acute toxicity tests with 127 P. antipodarum the widths of the tolerance also differed among clones. Due to its wide 128 distribution in freshwaters, European clone A was used for further test development.

In 2011, the leading countries Germany, United Kingdom, France and Denmark promoted the 129 130 inclusion of the development and validation of new test guidelines on mollusk reproductive 131 toxicity testing within the OECD test guideline programme (project 2.36) (OECD, 2015). In a 132 collaborative work between academia, industry and government the test protocols with the two gastropod species P. antipodarum and L. stagnalis were successfully optimised, pre-133 134 validated and validated (Ducrot et al., 2014; OECD, 2015, Charles et al., 2016), an intensive 135 process including data mining, method standardization and optimization, and ring tests 136 (OECD, 2005). In April 2016 the reproduction tests with *P. antipodarum* and *L. stagnalis* were officially approved by the national coordinators of the OECD member countries as test 137 138 guidelines. They are the first aquatic non-arthropod-test, which were successfully validated 139 within the Conceptual Framework for Endocrine Disrupters as a level 4 assay. The present 140 study shows the results of the two first validation rounds with P. antipodarum using the 141 substances cadmium and TBT with 11 European laboratories.

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146 **2. MATERIALS AND METHODS**

147 2.1 Implementation of the validation tests

148 2.1.1 Snail production, biological quality checking and acclimation

All snails used for this study were obtained from the long-term breeding stock established in 149 our laboratory (Goethe University, Frankfurt, Germany) and belong to the clone A genotype 150 151 according to Städler et al. (2005). In 2009, this culture was reinvigorated with snails 152 originating from populations collected in the Kalbach, a small creek in Frankfurt, Hesse, 153 Germany and in 2011 with snails from the Lumda, a small creek near Rabenau in Hesse, 154 Germany. In the culture, the snails were kept at $16 \pm 1^{\circ}$ C and a light:dark regime of 16:8 h in 155 15 L glass aquaria with aerated reconstituted water (deionised water; pH 8 (\pm 0.5) adjusted with NaOH and HCl; conductivity 770 µS/cm adjusted with TropicMarin[®] sea salt (Dr. 156 Biener GmbH, Wartenberg, Germany) and NaHCO₃) as proposed in OECD (2010a). Snails 157 158 were fed ad libitum twice a week with finely ground TetraPhyll® (Tetra GmbH, Melle, 159 Germany). Once a week, at least one third of the culture medium was renewed.

Before shipping to the partner laboratories, the reproductive output was checked in snails 160 from the stock culture. Overall, about 4700 snails were shipped in 1 L glass beakers, 161 containing 500 snails in 950 mL culture medium and finely ground TetraPhyll[®] (ad libitum). 162 Shipping duration was 1 day, except for laboratory 2F where shipping took 2 days. In the 163 164 participating laboratories, snails were acclimated between 5 and 68 days at 15-17°C. The different acclimation periods were due to the scheduling of the test period in the laboratories. 165 Surviving snails of the first batch sent to laboratory 1B (see 3.1) were acclimated for 5 166 months. The experiments of validation I with cadmium were conducted between May and 167 July 2010. Validation II experiments with cadmium and TBT were performed between June 168 169 2013 and March 2014.

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2.1.2 Principle of the reproduction test and experimental conditions

172 Adult P. antipodarum of a defined size class (Table 1) are exposed to a concentration range of 173 the tested chemical and control groups for 28 d. Each exposure group, including a negative 174 (water) and if required solvent controls, consists of four replicates containing ten individuals 175 each. Test medium is changed three times a week to maintain exposure concentrations and 176 adequate water quality parameters, e.g. O₂, pH and conductivity, which are monitored before 177 exposure medium renewals. Dead snails are counted and removed from the test vessels during 178 medium renewal. Once a week, the test vessels are changed to prevent biofilm growth. After 179 28 d, snails are sacrificed at -20°C in a freezer or quick-frozen in liquid nitrogen, and stored at 180 -80°C. Using a stereo microscope the snails are dissected by cracking the shell with pliers and 181 removing the shell parts from the soft body with tweezers. The brood pouch is opened 182 carefully and the embryos are extracted using needles and tweezers. The main endpoint, the 183 number of embryos in the brood pouch of each individual, is assessed. Table 1 summarises 184 the main experimental conditions.

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86	Table	1: Summary	of main	experimental	conditions	in the	ring tests
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Test duration	28 days
Test water	Reconstituted water (with 0.3 g Tropic Marin® salt and 0.18 g NaHCO ₃ per 1 litre de-ionised water)
	water quality requirements: pH 7.5 – 8.5, conductivity 770 \pm 100 μ S/cm, oxygen concentration > 80% ASV (air saturation value)
Test vessels	1 L glass beakers (validation I) or 500 mL glass beaker (validation II) with lids
Water renewal	3 times per week
Temperature	15-17°C
Light intensity	300 – 500 lux
Photoperiod	16:8 h L:D
Food source	Finely ground Tetraphyll®
Feeding	0.25 mg/animal and day
Snails origin	Laboratory culture, which was established with snails from Kalbach Frankfurt, Germany (August
Test snails size	2009) 3.5 – 4.5 mm
Snails density	10 snails per 800 mL medium (validation I) 10 snails per 400 mL medium (validation II) (4 replicates per tested concentration for both validation I and II)
Core test endpoints	Survival, reproduction

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2.1.3 Tested chemicals and exposure water sampling

189 Cadmium

190 For the validation studies with cadmium, different cadmium salts were used. In validation I, 191 cadmium sulphate hydrate (CAS no. 7790-84-3) purchased from Merck KGaA (Darmstadt, 192 Germanv) was used. In validation II, cadmium chloride (CAS-No.: 10108-64-2, Sigma-Aldrich[®], Germany) was tested. Both, coming from a single batch, were provided to the 193 194 participating laboratories by Goethe University. In validation I, four laboratories performed 195 the test with cadmium sulphate hydrate (laboratory codes 1A-1D) and five laboratories 196 conducted the reproduction test with cadmium chloride for validation II (laboratory codes 2A, 197 2E-2K). The nominal cadmium concentrations were chosen based on pre-tests at Goethe 198 University (data not shown). Five concentrations of cadmium were used with a factor of 2 199 between concentrations: 1.56, 3.13, 6.25, 12.5 and 25 µg/L. No carrier solvent was used. 200 Stock solutions with a cadmium concentration of 250 µg/L were prepared by adding ultra-201 pure water to the substances. For lower concentrations, a dilution series was prepared from 202 the stock solutions. In the first and second validation experiments, 80 µL and 40 µL, 203 respectively, of the stock solutions were added to the 1 L and 500 mL test vessels, 204 respectively, to obtain the nominal test concentrations. To calculate the time-weighted mean 205 (TWM) concentrations of cadmium according to annex 6 of the OECD test guideline 211 (OECD, 2012b), 25 mL of water from all test concentrations and water control was sampled 206 207 over two (validation I) and four (validation II) renewal intervals, respectively. Samples from 208 freshly prepared exposure media were taken at medium renewal, and pooled samples of all 209 replicates were taken before medium renewal.

Water samples were stored in 50 mL polypropylene tubes (Sarstedt, Nümbrecht, Germany)
and acidified with 65% nitric acid (Suprapur[®], Merck KGaA, Darmstadt, Germany).
Chemical analysis was performed via inductively coupled plasma mass spectrometry (ICP-

MS, ELAN DCR-e, Perkin Elmer, Überlingen, Germany) in validation I at the International Graduate School Zittau, Chair Environmental Technology in validation I and at chemlab GmbH Bensheim, Germany in validation II, according to DIN EN ISO 17294-2 (2005). For the first and second validation studies, the limits of determination (LOD) were 0.01 μ g/L and 0.03 μ g/L, respectively; the limits of quantification (LOQ) were 0.025 μ g/L and 0.5 μ g/L, respectively.

219

220 Tributyltin

221 TBT was tested as tributyltin chloride (96% purity, CAS No. 1461-22-9, Merck Schuchardt 222 OHG, Hohenbrunn, Germany) from a single batch, which was provided to the participating 223 laboratories by Goethe University. The nominal TBT concentrations were chosen based on pre-tests at Goethe University (data not shown). All laboratories tested concentrations ranging 224 225 from 10 to 400 ng TBT-Sn/L, except for laboratory 2J and 2Ab which considered a concentration range from 25 up to 1000 ng TBT-Sn/L. Glacial acetic acid (100% purity, CAS 226 227 No. 64-19-7, Merck KGaA Darmstadt, Germany) containing max. 0.002% hydrochloric acid 228 (Suprapur®, CAS No. 7647-01-0, Merck KGaA Darmstadt, Germany) was used as solvent 229 for TBT exposure groups. The resulting solvent concentration in the test vessels of all TBT 230 exposed groups and of the solvent control was $10 \,\mu$ L/L.

231 Analytical measurements for TBT were performed by chemlab GmbH, Bensheim, Germany, according to DIN EN ISO 17353-F13 by gas chromatography (Agilent 7890A with Agilent 232 233 5975C). Pooled water from all test concentrations and solvent controls was sampled over two 234 renewal intervals. Water samples were stored in high density polyethylene amber bottles at 4°C in darkness before analysis. Sample volume was 1000 mL for the lowest test 235 236 concentration and the solvent controls, 500 mL for samples of nominal 25 ng/L and 250 mL 237 for the three highest test concentrations. The LOD was 0.82 ng TBT Sn/L, the LOQ was 238 2.05 ng TBT-Sn/L.

- 239 2.1.4 Test validity criteria 240 The following conditions were set as validity criteria for validation I and II: 241 The dissolved oxygen value should be at least 60% of the air saturation value in the 242 controls throughout the test 243 • Overall mortality in the control groups should not exceed 20% at the end of the test. For validation II, a third validity criterion was added to align the draft test guideline with 244 245 available guidelines for freshwater invertebrates (OECD, 2004, 2012b): 246 Water temperature should be $16 \pm 1^{\circ}$ C throughout the test in all exposure groups
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2.2 Raw data recording and analysis

Embryo number and shell length for each female were recorded and entered into an Excel[®] 249 (Microsoft Corporation, Redmond, USA) spreadsheet previously prepared by the ring-test 250 coordinator. Statistical analysis was carried out using GraphPad Prism[®] (Version 5.03, 251 252 GraphPad Software Inc., San Diego, USA). Fisher's exact test was used to test for differences 253 in survival between treatments and controls. Embryo numbers were analysed as arithmetic 254 means of each replicate using one-way analysis of variance (one-way ANOVA) followed by 255 Dunnett's multiple comparison test to evaluate statistical differences from the respective 256 control group, if requirements for these parametric tests were fulfilled (normal distribution 257 and homogeneity of variance). If normal distribution and homogeneity of variances could not be achieved even after a logarithmic or square root transformation of data, significant 258 259 differences between exposure groups were assessed using the Kruskal-Wallis test followed by 260 Dunn's multiple comparison test. Water and solvent controls were combined for TBT because 261 they were not statistically significantly different (Green and Wheeler, 2013). For all 262 comparisons α was set 0.05. The 10% and 50% effect concentrations (EC₁₀, EC₅₀) for 263 reproductive toxicity and survival were derived using a LogNorm or Weibull nonlinear regression model (Kusk, 2003). The best-fitting model was chosen, i.e. the highest r^2 . 264

265 **3 RESULTS**

266 *3.1 Post shipping mortality*

No striking post-shipping mortality occurred after sending the snails to the laboratories and during the acclimation phase, except in laboratory 1B. Here, many snails died for unknown reasons. Hence, a second batch of snails was sent to this laboratory. Surviving snails from the two batches were combined and used for the test.

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3.2 Water quality parameters and compliance with validity criteria

Table 2 summarises the mean physico-chemical parameters for all participating laboratories of validation I and II. The pH values ranged between 7.95 (2E) and 8.39 (2F) which is in the determined range of 7.5-8.5. Also the measured conductivity and mean oxygen concentrations were similar among laboratories. All laboratories which conducted the reproduction tests with cadmium (1A-2H) fulfilled the given validity criteria for temperature and oxygen concentrations and recommended pH and conductivity.

In all laboratories control mortality was between 0% and 2.5%, except for laboratory 2J. Here, a mean mortality of 30% was observed in the solvent control. All laboratories performing the reproduction tests with TBT in validation II achieved the defined temperature scale, except for laboratory 2K. Here, a mean temperature of 19°C was measured instead of 16°C. Therefore, the non-valid test results of laboratories 2J and 2K are not considered in the evaluation of reproduction data but can be found in the Supporting Information.

286 Table 2: Mean of the physico-chemical parameters during the validation studies I and II

	pH			Conductivity [µS/cm]			Temperature [°C]			O ₂ saturation [%]		
Laboratory	mean	SD	n	mean	SD	n	mean	SD	n	mean	SD	n
1A	8.15	0.196	120	840	16.0	120	15.3	0.362	120	94.3	1.75	120
1B	8.32	0.136	140	742	28.8	140	17.4	0.300	140	96.8	2.80	140
1C	8.11	0.090	120	718	23.8	120	16.0	0.597	120	98.5	4.50	120
1D	8.28	0.457	197	737	53.0	197	14.9	0.467	197	99.6	2.28	197
2Aa	8.26	0.700	144	811	58.8	144	15.4	0.386	144	95.0	5.99	144
2Ab	8.13	0.900	84	788	31.3	84	16.7	0.311	84	93.8	7.01	84

			A	CCEP	TED N	IANU	JSCRI	PT				
2E	7.95	0.707	144	774	22.5	24	15.4	0.344	24	101	1.26	24
2F	8.39	0.680	155	819	31.3	108	16.1	0.709	156	98.5	4.99	155
2G	8.34	0.670	156	755	44.5	156	16.2	0.405	156	95.0	4.28	156
2H	8.06	0.660	156	711	64.4	156	15.8	0.559	156	90.6	6.34	156
2I	8.24	0.890	85	668	37.5	85	17.0	0.498	85	102	5.05	85
2J	8.24	0.863	91	762	34.3	91	15.6	0.279	91	93.4	7.90	70
2K	8.31	0.870	91	719	128	91	19.0	1.050	91	86.9	10.4	91

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288

3.3 Actual exposure concentrations

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Cadmium

290 Table 3 summarises the calculated TWMs of measured cadmium concentrations from both 291 validation studies. More detailed information can be found in the Supporting Information. 292 Overall, the measured cadmium concentrations were similar among the laboratories. Most of the TWMs were below nominal concentrations and varied between 60.9% and 91.2% of 293 294 nominal cadmium concentrations. Only in laboratory 2G one measured value was 135% 295 higher than the nominal concentration of 6.25 µg/L. In a few control samples very low 296 background concentrations were measured in the range of ng/L. Only in laboratory 1B 297 9.89 µg cadmium/L occurred in one sample of old control water, which was most probably 298 the result of a sample tube mix up, as no cadmium could be measured in the freshly prepared 299 sample 2 days before. Therefore, this sample was not included in the TWM calculation. All 300 effect concentrations reported in this study are based on TWM concentrations in order to 301 facilitate the comparison of results between labs.

302

303 Table 3: Time weighted mean concentrations of cadmium in validation I and II

Nominal concentration			Meas	La sured con	aboratori ncentrati		Cd/L]		
[µg Cd/L]	1A	1B	1C	1D	2A	2E	2F	2G	2H
Control	n.d.	n.d.	n.d.	n.d.	n.d.	n.d.	n.d.	n.d.	n.d.
1.56	1.13	1.18	1.12	1.21	1.07	1.06	1.06	1.41	0.95
3.13	2.46	2.54	1.95	2.44	2.09	2.14	2.37	2.48	2.21
6.25	5.61	4.83	5.62	4.69	4.62	4.24	4.74	8.42	4.78
12.5	10.9	9.71	7.70	9.45	11.1	9.03	10.6	10.1	9.19
25	20.0	19.1	22.8	19.0	20.8	17.8	21.0	15.9	18.6

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n.d.: not detected (below LOD)
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TBT

In Table 4, calculated TWMs of measured TBT concentrations are shown. In the solvent controls no TBT was detected. In most laboratories TWMs were below nominal concentrations. The average TWM concentration was 44.2% of nominals and values varied between 10.1% and 121%. Initial concentration varied between 6.31% and 285% whereas measured concentrations of old samples varied between 1.79% and 299%. Therefore, TWMs were used to calculate effect concentrations. More detailed information on the actual exposure concentrations of each participating laboratory can be found in the Supporting Information.

314

315 Table 4: Time weighted mean concentrations of TBT in validation II

Nominal concentration [ng TBT-Sn/L]		Measur		aboratori		Γ-Sn/L]	
	2Aa	2Ab	2E	2F	2G	2H	2I
Solvent control 10	n.d. 4.11	n.d. n.t.	n.d. 5.40	n.d. 2.61	n.d. 4.30	n.d. 3.04	n.d. 6.39
25	30.5	18.6	28.8	4.66	12.5	7.75	9.43
65	30.7	27.8	36.8	9.30	39.2	17.6	16.2
160	56.1	50.8	96.3	16.1	41.4	35.7	38.0
400	120	229	198	41.8	132	94.9	69.7
1000	n.t.	838	n.t.	n.t.	n.t.	n.t.	n.t.

316

- n.d.: not detected (below LOD), n.t.: not tested
- 317

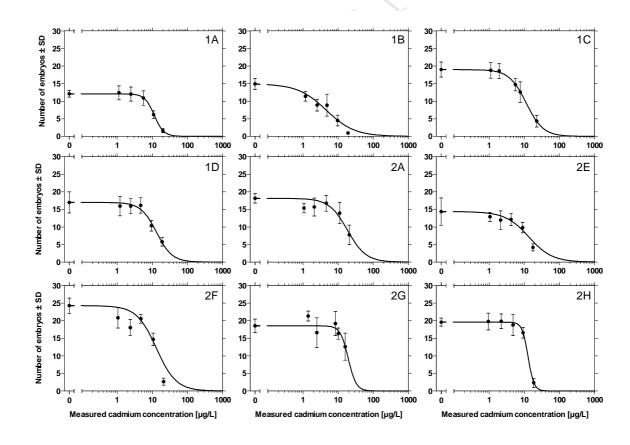
318

3.4 Effects of cadmium on P. antipodarum

In both validation studies, the mortality of snails across treatments was similar between the laboratories and did not exceed 5.1% after 28 days, except in laboratories 1A and 2F. There, mortalities of 32.5% and 37.5%, respectively, were observed at the highest test concentrations of 20 μ g/L and 21 μ g/L, which were statistically significant compared to the water controls (Fisher's exact test, p < 0.001).

In all participating laboratories of validation I and II a concentration-dependent decrease of embryo numbers could be observed. Figure 1 shows the concentration-response curves fitted to the experimental data. Obtained effect concentrations are summarised in Table 5. In

327 comparison to the other laboratories, laboratory 1B detected considerably lower effect concentrations, resulting in an EC₁₀ of $0.69 \,\mu$ g/L and a NOEC of $1.18 \,\mu$ g/L. Effect 328 329 concentrations' 95%-confidence intervals of laboratory 1B did not overlap with confidence 330 intervals of effect concentrations from other laboratories of the validation studies. These 331 showed similar results with EC₁₀ values ranging from 3.46 μ g/L to 10.3 μ g/L. EC₅₀ values 332 show a 4.2-fold difference between the laboratories. NOEC values were between 1.95 µg/L 333 and 11.1 μ g/L. When excluding laboratory 1B, a 1.72-fold difference in EC₅₀ values was 334 observed between the studies. Due to the bad health status which was most likely due to shipping stress this most probably resulted in a higher sensitivity of the snails from the mixed 335 cohorts (see 3.3) in laboratory 1B, therefore, the obtained effect concentrations were excluded 336 from the calculation of the coefficients of variation. 337



338

Figure 1: Total embryo numbers (mean ± standard deviation (SD)) after 28 days exposure to measured cadmium
 concentrations in laboratories reporting valid test results of validation I and II (n = 4 replicates per group).

343 Table 5: Effect concentrations (NOEC, LOEC, EC₁₀ and EC₅₀ with 95%-confidence intervals in brackets) for

total embryo number based on time weighted means of measured concentrations in µg Cd/L and corresponding
 coefficients of variation (CV%), excluding laboratory 1B.

Nominal					Laboratories	5				CV%
concentration	1A	1B	1C	1D	2A	2E	2F	2G	2Н	
NOEC	5.61	1.18	1.95	4.69	11.1	4.24	4.74	10.1	9.19	50.5
LOEC	10.9	2.54	5.62	9.45	20.8	9.03	10.6	15.9	18.6	42.2
EC_{10}	4.52	0.689	3.46	4.49	7.19	6.10	7.74	10.3	8.47	35.5
	(2.52-6.51)	(0.25-1.91)	(2.28-5.25)	(2.81-7.17)	(4.37-11.8)	(3.88-9.59)	(6.3-9.78)	(6.61-16.2)	(7.15-10.0)	
EC ₅₀	11.3	4.59	11.4	13.2	18.5	13.5	13.1	19.4	12.8	21.8
	(9.48-13.2)	(3.00-7.02)	(9.30-13.9)	(10.8-16.0)	(15.1-22.5)	(11.3-16.0)	(11.6-14.8)	(13.5-27.9)	(11.4-14.3)	

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3.5 Effects of tributyltin on P. antipodarum

In the exposure groups of laboratories reporting valid test results with a maximum nominal concentration of 400 ng TBT-Sn/L, snail mortality was \leq 5%. Laboratory 2Ab tested a maximum nominal concentration of 1000 ng TBT-Sn/L. At this concentration, mortality was significantly increased (87.5%, p<0.001).

Figure 2 shows the results of the validation studies from the 7 laboratories reporting valid test results. In every experiment a significant decrease in the embryo numbers occurred in a concentration-response manner. Obtained effect concentrations EC_{10} , EC_{50} , NOEC and LOEC are summarised in Table 6. NOECs showed a 5.98-fold difference between laboratories. Calculated EC_x values are similar and most of the 95%-confidence intervals are overlapping. EC_{50} value fold difference was 1.79, with an inter-laboratory coefficient of variation of 39.3%.

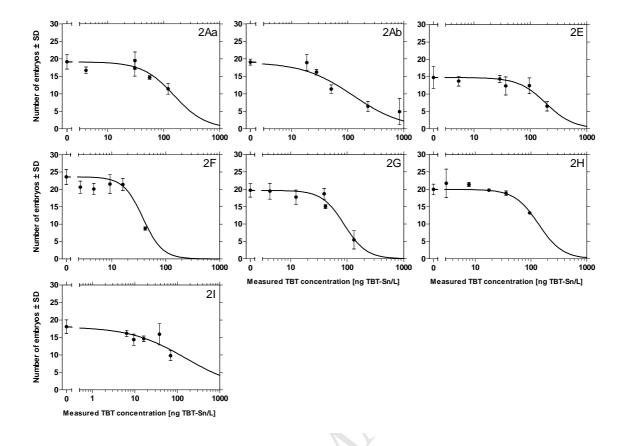




Figure 2: Total embryo numbers (mean ± standard deviation (SD)) after 28 days exposure to measured TBT concentrations in laboratories reporting valid test results of validation II (n = 4 replicates per group, 8 for merged controls)

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Table 6: Effect concentrations (NOEC, LOEC, EC_{10} and EC_{50} with 95%-confidence intervals in brackets) for total embryo number based on time weighted means of measured concentrations in ng TBT-Sn/L and corresponding coefficients of variation (CV%).

Nominal				Laboratories				CV%
concentration	2Aa	2Ab	2E	2F	2G	2H	2I	
NOEC	30.7	18.6	96.3	16.1	39.2	35.7	38.0	68.3
LOEC	56.1	27.8	198	41.8	41.4	94.9	69.7	77.0
EC_{10}	45.0	12.7	89.1	22.4	36.8	36.5	6.62	76.9
	(28.4–71.2)	(5.73–28.3)	(53.2-149)	(15.6–32.3)	(26.1–51.7)	(20.4–65.0)	(0.95–45.9)	
EC ₅₀	153	124	188	37.9	88.8	137	159	39.3
	(109-213)	(77 - 200)	(157-226)	(28.5-50.4)	(73.5-107)	(93.2-200)	(48.8-519)	

372 4 DISCUSSION

373 4.1 Reproducibility of test results among laboratories and comparison with literature 374 The proposed test protocols were successfully applied by all participating laboratories. The 375 assessed effect concentrations are comparable and in an adequate range of acceptance when 376 comparing with other validation studies for chronic tests with invertebrates and dealing with 377 reproductive endpoints. For example, Taenzler et al. (2007) and Tassou and Schulz (2009) 378 performed a small scale ring test with a total of 4 laboratories for OECD guideline No. 233, 379 life-cycle toxicity test with *Chironomus riparius*. For pyriproxifen the NOECs varied between 380 $4 \mu g/L$ and $20 \mu g/L$ (fold difference of 5), and the coefficient of variation was 58.5%, which 381 is documented in the validation report for this test guideline (OECD, 2010b). In the ring test for OECD guideline No. 225 (OECD, 2007), Sediment-Water Lumbriculus Toxicity Test 382 383 Using Spiked Sediment, with 15 laboratories and the substance pentachlorophenol 384 coefficients of variation varied between 37.9% for the EC₅₀ and 68.6% for the LOEC. The maximum inter-laboratory factor was 23.5 for the LOEC, which is even higher compared to 385 386 the maximum inter-laboratory factor of 13.5 for the EC_{10} in the *P. antipodarum* studies with 387 TBT reported in this study, which is notoriously tricky to work with.

In another ring test study with the mollusk *L. stagnalis* Ducrot et al. (2014) found coefficients of variations of 29.5% and 71.5% for EC_{10} and EC_{50} values, respectively, for 5 valid laboratories looking at an endpoint of eggs per individual-day and the heavy metal cadmium. This is comparable with the variability of effect data in our study.

The higher variability of data in the TBT study may be due to the lower water solubility, the higher adsorption potential and degradation of the test compound. As a consequence the actual exposure concentrations will also vary among laboratories. Additionally, approximately 1000-fold lower test concentrations were tested, which also caused a higher experimental error in the laboratories and measurements of concentrations were only conducted at two renewal intervals. This would have caused higher experimental error in the calculation of the

TWM for each laboratory. However, another point demonstrating the usability of the 398 399 reproduction test with *P. antipodarum* is that only 2 out of 18 tests failed to meet the validity 400 criteria. In laboratory 2K this was due to technical issues causing the mean temperature to fluctuate outside the proposed validity criteria limit of $16 \pm 1^{\circ}$ C. In laboratory 2J the mortality 401 402 in the solvent control was 30% for unknown reasons. The snails in the water control group in 403 this test were not negatively affected. The mean embryo numbers in water and solvent 404 controls only varied slightly between laboratories and were in a range of natural variability in 405 laboratory cultures (Geiß et al., 2016; Sieratowicz et al., 2011).

406

407 *4.2 Cadmium*

408 The non-essential trace metal cadmium is listed by the US Environmental Protection Agency 409 as one of 126 priority pollutants and has multiple effects on the cellular level. It affects 410 proliferation, differentiation and causes apoptosis. Indirectly it provokes the generation of reactive oxygen species and DNA damage. (Bertin and Averbeck, 2006; Waisberg et al., 411 412 2003). Due to its persistent nature and ability to accumulate in organisms, cadmium is an 413 important stressor in the environment. The estimated effect concentrations in the studies with 414 cadmium are in the range of effect data of already published data with P. antipodarum. In similar reproduction tests, Sieratowicz et al. (2011) and Ruppert et al. (2016) found EC_{10} 415 416 values of 1.30 μ g/L and 9.73 μ g/L and EC₅₀ values of 11.5 μ g/L and 11.3 μ g/L, respectively. 417 Data obtained by Sieratowicz et al. (2011) were based on nominal concentrations. In 418 comparison to the standard test organism D. magna, P. antipodarum shows a similar 419 sensitivity. Borgmann et al. (1989) found a reproductive inhibition of about 82.1% at 420 7.78 µg/L for D. magna. In comparison to a study with the gastropod mollusk species, 421 L. stagnalis (Ducrot et al., 2014), P. antipodarum displayed a higher sensitivity to cadmium. These authors found that the mean EC_{50} was 94.5 μ g/L, which is 6.7-fold higher compared to 422 the mean EC₅₀ of 14.2 μ g/L in the present study. In the eastern oyster *Crassostrea virginica* 423

424 cadmium exposure resulted in impaired oxygen uptake in the gills and led to a reduction in the aerobic scope. This may negatively affect the organism's fitness and lower the energy 425 426 reserves which were potentially destined for e.g. reproduction (Ivanina et al., 2008). The reason for the comparably high sensitivity of snails in laboratory 1B could be that the tested 427 428 snails were partially from the cohort sent with the first batch, which showed a high post-429 shipping mortality. Therefore, part of the tested snails might have been in a poor condition for 430 unknown reasons resulting in lower effect concentrations. Because we cannot exclude such 431 confounding factors, like for example illness, that could have influenced the outcome of this 432 study, we excluded laboratory 1B from the overall evaluation.

433

434 *4.3 TBT*

The effects of TBT on P. antipodarum reproduction occurred at very low concentrations 435 436 within the order of ng/L and are in accordance with EC₁₀ and EC₅₀ values of 37.8 ng TBT-Sn/L and 115 ng TBT-Sn/L, respectively reported by Duft et al. (2007) for an 8-weeks 437 438 experiment. Considering that only two measuring intervals were used to calculate the time 439 weighted mean measured concentration and subsequent effect concentrations with a difficult-440 to-handle substance like TBT, the results of the validation studies showed a very good 441 accordance among participating laboratories. In a whole-sediment biotest with TBT 442 conducted by Duft et al. (2003) P. antipodarum exhibited a decline in embryo numbers 443 resulting in an EC₁₀ of 0.98 μ g TBT-Sn/kg representing its high sensitivity at environmentally 444 relevant concentrations. The higher or comparable sensitivity of *P. antipodarum* towards this 445 endocrine disrupting substance in comparison to other standard test organisms could also be 446 demonstrated. In a study with D. magna and TBT-oxide, the detected LOEC was 447 1.8 μ g TBTO/L (= 716 ng TBT-Sn/L) and the NOEC was 1.0 μ g TBTO/L (= 398 ng TBT-448 Sn/L) (Mathijssen-Spiekmann, 1989). In experiments conducted by McAllister and Kime (2003) with zebrafish (Danio rerio) 0.1 ng TBT/L and higher concentrations induced a male 449

450 biased population producing a high incidence of sperm lacking flagella after a 70-days post-451 hatch exposure. In other caenogastropod species TBT is known to induce imposex, an 452 imposition of male characteristics in females (Giraud-Billoud et al., 2013). One of the most 453 sensitive mollusk species to TBT is the dogwhelk, *Nucella lapillus*. Female specimens show 454 an increase of imposex after 4 weeks at concentrations below 5 ng TBT-Sn/L (Stroben et al., 455 1992). The detailed biochemical mechanism of TBT causing imposex in snails is still a matter 456 of debate (Oehlmann et al., 2007). One of them is that TBT inhibits the cytochrome P450-457 dependent aromatase, which is responsible for the conversion of androgens to estrogens 458 (Bettin et al., 1996). A further possible mechanism is that TBT acts as an agonist of the 459 retinoid X receptor (Nishikawa et al., 2004). Overall, in the chronic risk assessment of TBT 460 an assessment factor of 10 could be used due to a broad dataset on effects of TBT on aquatic 461 organisms with effect data from at least 3 trophic levels. The assessed results with 462 P. antipodarum exposed to TBT (lowest NOEC: 16.1 ng TBT-Sn/L) would have led to a Predicted No Effect Concentration (PNEC) of 1.6 ng TBT-Sn. Although the resulting PNEC 463 464 would still not be protective for marine mollusks, it underlines the specific sensitivity of this 465 phylum of invertebrates to this chemical. This demonstrates the advantages of *P. antipodarum* 466 as a potential test species for freshwater invertebrate risk assessment.

467

468 4.4 Resource and expertise requirements

The similar results between the laboratories in this ring test study demonstrate the ability of all participating persons to perform the reproduction tests with *P. antipodarum* regardless of their previous expertise with this species. The draft test guideline circulated to the participating laboratories, offered precise guidance for performing the test including the evaluation of the reproductive performance of the snails, with detailed instructions on shell removal, brood pouch opening and counting of embryos. For culturing and testing the snails, no unusual or hard to obtain equipment is needed and minimal space is required. A 15 L

476 aquarium can be used to culture up to 1500 snails (Sieratowicz et al., 2013). For a reproduction test with five test concentrations of a chemical, including water control and 477 solvent control, 28 beakers are used, which only requires an area of around 1 m². The 478 479 performance of the static test is comparatively simple and inexpensive to perform. A total of 480 280 animals are needed by using the test design proposed in this study. The water 481 consumption amounts to only 170 L, if three water renewals per week are performed in 482 500 mL beakers. Test preparation and implementation require 4-6 hours of manpower and for 483 three water renewals per week during the test performance (including the measurement of 484 physico-chemical parameters), the work input amounts to 8-10 hours per week. For the final 485 evaluation of the reproductive performance using a binocular microscope the required 486 manpower is, depending on the number of embryos, 7-9 hours.

- 487
- 488

4.5 Ecological relevance and further scope of application

Because mollusks are second to the arthropods as the invertebrate group with the most species 489 490 (>130,000), chronic toxicity tests with mollusks may be more amenable to ecological 491 extrapolation than tests with representatives of smaller taxonomic groups (Matthiessen, 2008). 492 Of this species-rich phylum, the known gastropod species contribute ca. 85% of all mollusks 493 (Gruner, 1993) and play important ecological roles in various ecosystems contributing 494 significantly to the biomass (Oehlmann et al., 2007). The sensitivity of many mollusk species 495 towards pollutants is also due to their limited ability to eliminate toxicants via excretory 496 organs in comparison to other invertebrate groups (Lee, 1985; Legierse et al., 1998). The 497 assessed results in this study demonstrate the susceptibility of the test organism 498 P. antipodarum to the tested reference substances. The decrease of embryo numbers in the 499 snail caused by chemicals can affect population and community levels in its natural 500 environment. Apart from the fact that P. antipodarum is an invasive species in other parts of 501 the world, in New Zealand P. antipodarum plays an important role as a grazing invertebrate in

the maintenance of littoral freshwater communities by grooming macrophyte hosts of 502 503 periphytic algae and removing settled sediment. It increases the resistance of macrophytes to 504 sedimentation and affects the algal composition, biomass, and production (Bennett et al., 2015; Gust et al., 2011a; James et al., 2000). It is a common species in many different 505 506 environments. As an inhabitant of freshwater environments it lives in the upper layers of 507 aquatic sediments of streams, lakes and estuaries (Winterbourn, 1970). In the past years its 508 sensitivity towards pollutants and endocrine disrupting chemicals has been demonstrated both 509 in the laboratory and in the field (Geiß et al., 2016; Giudice and Young, 2010; Gust et al., 510 2011a; Ruppert et al., 2016; Schmitt et al., 2008; Sieratowicz et al., 2011). In an overview portrait, Duft et al. (2007) compared three prosobranch snail species, Marisa cornuarietis, 511 512 Nassarius reticulatus and P. antipodarum, with regard to their (1) sensitivity towards tested 513 model compounds at environmentally relevant concentrations in laboratory tests and also 514 towards field sediment samples, (2) their "user-friendliness", (3) the possibility of culturing the snails in the laboratory and (4) their fields of application (e.g. estuarine and freshwater, 515 516 sediment). P. antipodarum has been proposed as a suitable model organism and representative 517 of the molluskan species for chemical risk assessment (Duft et al., 2007; Matthiessen, 2008). 518 The application possibilities of *P. antipodarum* in toxicity tests are diverse. In addition to exposure via water the testing of substances via sediments is also possible. It has also been 519 520 used in several effect monitoring studies (Duft et al., 2007; Giebner et al., 2016; Gust et al., 521 2011a) demonstrating its assets as a test organism and broad scope of application for toxicity

521 2011a) demonstrating its assets as a test organism and broad scope of application for toxicity 522 testing. Furthermore, it is possible to study additional endpoints with *P. antipodarum* like 523 velocity, space utilization or time to reach food or to start normal movement after 524 manipulation when exposed to a toxicant (Alonso and Camargo, 2004; Alonso and Camargo, 525 2012; Alonso et al., 2016). Alonso et al. (2016) developed a video-based behavioral bioassay 526 with pulse exposures of acetone. These endpoints were also very sensitive and ecologically 527 relevant as an indicator of the state of animal fitness and a good link between physiological

and ecological effects. For the proposed test design of the reproduction test it is indeed necessary to observe especially abnormal behavior like avoidance of water, avoidance of food or lethargy. But the guideline recommends only selective observations (at least three times per week) because it is quite difficult to systematically observe the animals in the reproduction test without removing and hence, additionally disturbing them. This could have additional effects on the reproduction of the snails (Sieratowicz et al., 2013).

534

535 *4.6 Outlook*

After the successful completion of these first validation studies reported here, two further ring 536 tests with the substances prochloraz, trenbolone, triclosan and triclocarban were performed 537 538 (Geiß et al., 2017). Here, the test design was slightly amended (six replicates with six snails 539 per replicate) to improve the statistical power of the reproduction test. The used test design 540 with 4 replicates and 10 snails per replicate was originally designed for an evaluation with the weighted mean embryo number per treatment group. This evaluation is based on common 541 542 error propagation rules and considers the tank as the experimental unit, but takes into account 543 the within-tank (*i.e.* individual animal) variability (OECD, 2010a). To adapt the draft 544 technical guideline to the standards of OECD guidelines, the evaluation method was changed 545 and a further validity criterion was added: the mean embryo number per snail in the controls 546 should be > 5. This criterion was also fulfilled in all reproduction tests presented in this study. 547 The draft test guideline of the reproduction test with P. antipodarum has been acknowledged 548 by the experts of the "validation management group on ecotoxicity testing" (VMG-Eco) of the 549 OECD and the OECD ad hoc Expert Group on Invertebrate testing who supported the 550 submission of the draft test guideline. After a successful second international commenting 551 round by OECD member states the guideline was approved by the Working Group of the 552 National Coordinators for the Test guidelines Programme (WNT) and became effective as 553 OECD Guideline for the Testing of Chemicals, Section 2, No. 242.

554 4 CONCLUSIONS

555 As a common species in many different environments Potamopyrgus antipodarum has been 556 subject in several studies and demonstrated its sensitivity towards pollutants, including 557 endocrine disrupting chemicals. As a representative of the very diverse group of mollusks it 558 has been proposed as a suitable model organism for the chemical risk assessment. The 559 presented test design with *P. antipodarum* was implemented by all participating laboratories 560 resulting in comparable concentration-response characteristics and effect concentrations. The 561 robustness and the intra- as well as inter-laboratory reproducibility of the reproduction test has 562 been proven, with most of the laboratories finding comparable NOEC, LOEC, EC_{10} and EC_{50} 563 values with overlapping 95%-confidence intervals for the latter. The outcome of this ring test 564 is in an adequate range of acceptance when comparing with other validation studies for 565 chronic tests. The results obtained in the tests with the difficult to handle chemical TBT 566 demonstrate the sensitivity of P. antipodarum in comparison to other standard test organisms 567 and shows that the presented reproduction test with P. antipodarum is a well suited tool for 568 assessing reproductive effects of chemicals. Additionally, its broad scope of application for toxicity testing and monitoring studies offers multiple possibilities for the risk assessment of 569 570 chemicals. Several additional endpoints allow to link physiological and ecological processes.

571 Therefore, the obtained results will contribute to the further development of test methods and 572 evaluation concepts for the regulation of reprotoxic chemicals in REACh, as well as 573 pesticides, biocides and pharmaceuticals.

574

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Highlights:

- A new OECD reproductive toxicity test guideline with the New Zealand mudsnail Potamopyrgus antipodarum has been developed
- A draft test protocol was used to assess effects of cadmium and TBT in 11 laboratories

in a validation study

- Effect values were reproducible and consistent with literature data
- Successful validation of the protocol was acknowledged by OECD by final acceptance of the test guideline in 2016