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# Localised Model-Based Active Controlling of Blood Flow During Chemotherapy to Prevent Nail Toxicity and Onycholysis

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## Abstract

Chemotherapy-induced nail toxicity is a widely spread phenomenon. Cooling hands and feet of patients can reduce blood flow to the fingers and consequently reducing the amount of chemical agents reaching the nails. This paper is aiming at developing a model-based controller of the finger's skin temperatures and blood flow through the fingers to reduce the risk of nail toxicity during chemotherapy. Experiments were conducted to model the dynamic response of the fingers skin temperature and blood flow using an ad hoc experimental device. The device was designed to provide a localised cooling of the fingers. The experiments were performed on a homogeneous test group of 11 middle-aged women (35-55 years old) because this is the highest risk-group for breast cancer. The fingers' skin temperatures and blood flow were measured continuously. A second order discrete time transfer function (DTF) model was suitable ( $R^2 = 0.91 \pm 0.18$ ) in all the cases to model the dynamic responses of the fingers' skin temperatures. The model estimation results have shown that the  $a$ - and  $b$ -parameters were not only varying among different test subjects but also within the same subject and for the same finger at different times. The resulting models were employed in the designing of model-based Proportional-Integral-Plus (PIP) controller. Simulations of the closed-loop systems were performed based on the identified models for each test subject. The simulation results have shown that the designed controller is able to regulate the finger's skin temperatures tightly about the desired level and yet, is still quite simple to implement in practice. Controlled active cooling with an online parameter estimation algorithm and continuous feedback of the patient finger temperatures and blood flow is a promising solution to reduce nail toxicity during chemotherapy.

## 1 Introduction

Cytotoxic chemotherapy is one of the most commonly used treatments for different types and stages of cancer. It is used to treat all stages of breast cancer, before and after surgery or radiation therapy. However, none of the used cytotoxic drugs has the ability to distinguish between normal (healthy) cells and cancer cells, consequently, causing severe adverse side effects. A large number of chemotherapeutic agents can be responsible for the development of nail changes (e.g., colouring, brittleness, damages ...) of which the most severe and painful change is the onycholysis (Figure 1). Onycholysis is the detachment of (a portion of) the nail plate from the nail bed, often preceded or accompanied by severe pain [1, 2]. Minisini et al., [3] reported that 44% of the patients treated with Taxanes (Taxol®; Bristol-Myers Squibb Company; Princeton, NJ, USA) have developed forms of nail toxicities.

Nail changes are usually transitory and often diminish during treatment and disappear completely after drug withdrawal. However, in some cases, they persist after discontinuation of the treatment. Although nail changes are not life threatening, they should be managed properly since they can cause huge discomfort for the patient and even can be the cause of early discontinuation of the chemotherapy [4]. According to Can et al., [5], management of nail toxicity involves counselling patients regarding the potential for nail toxicity, providing practical strategies (nail cutting, avoiding potential irritants) for prevention, and instituting appropriate treatment (antibiotics) when necessary. Similar to the management of alopecia, nail toxicity can also be dealt with by *Cryotherapy* [5–7]. This

44 effect is related to a cold-induced vasoconstriction (CIVC), which reduces the amount of drug reaching  
45 the nails during the chemotherapy.

46 To prevent nail toxicity and onycholysis, cryotherapy is often applied by using frozen gloves and socks  
47 (Elasto-Gel<sup>®</sup>), or ice baths. By cooling the extremities, local vasoconstriction occurs, resulting in a  
48 reduction of the blood flow towards the fingers (and toes). Hence, the drugs cannot reach the nail bed  
49 that easily. However, the currently applied techniques are unpractical, uncomfortable and even  
50 painful, and often refused by the patients involved. Another important drawback of the cooling  
51 devices (ice bath or frozen gloves) is the fact that the temperature cannot be controlled and increases  
52 rapidly after putting on the cooling devices. The problem is even more complicated since the localised  
53 cooling of the extremities temporarily decreases the blood flow through the fingers/toes' blood  
54 vessels. However, as a counter reaction to prevent cold-induced damages to the fingers/toes, cold  
55 induced vasodilation (CIVD) will occur, which is called 'hunting phenomenon or Lewis reaction' [8, 9].  
56 This will result in a sudden increase of the blood flow through the fingers/toes and consequently an  
57 increase of the skin temperature of the fingers/toes [10].

58 Hence, the ultimate objective of this research work is to introduce an actively controlled localised  
59 cooling technique of the fingers (and toes), that is able to decrease the blood flow through the fingers  
60 and yet avoid the cold-induced damages and sudden vasodilation (CIVD). The (r)evolution in modern  
61 sensing and computing technologies (price, compact size, flexibility and stretchability) is making it  
62 possible to continuously measure signals (e.g., body temperature at different parts) in real-time from  
63 human body using wearable technologies. That is giving the possibility to integrate sensors (e.g.,  
64 finger temperatures) and actuators (e.g., localise cooling/heating) in an equipped gloves, for example,  
65 to actively control [11] the finger temperatures and consequently the blood flow.

66 This paper is aiming at developing a model-based controller of the finger's skin temperatures and  
67 blood flow through the fingers to reduce the risk of nail toxicity during chemotherapy. More  
68 specifically, it is aimed at modelling the dynamic response of the finger's skin temperatures to localise  
69 cooling, developing of model-based control system for skin temperature and simulating the controller  
70 performance.

71

## 72 **2 Materials and Methods**

### 73 2.1. Controllable active cooling device (iGlove-1) and measurements

74 An in-house (University of Antwerp, Belgium) developed active localised cooling device (*iGlove-1*,  
75 Figure 2) was developed to investigate the possibility of localised active cooling of hand fingers [12].  
76 The *iGlove-1* device was developed in such way that it allowed to provide a stable localised low  
77 temperature (cooling) to the five fingers of the subject's left hand. The *iGlove-1* was equipped with 20  
78 Peltier elements (two elements positioned on both sides of each finger) to establish localised cooling  
79 of the fingers. Each element is sized about 12 × 12 × 5 mm. The *iGlove-1* device consisted of a wooden  
80 construction on which the left subject's left hand can rest. The construction was equipped with five  
81 mechanically adjustable holders to ensure a good contact between the Peltier elements and the  
82 fingers of different hand sizes. The two Peltier elements located on each side of the finger (two on the  
83 fingertip and another below the first phalanx, Figure 2) are always electrically connected in series.  
84 Each Peltier element is equipped with its own Negative Temperature Coefficient (NTC) temperature  
85 sensor for feedback of the element's temperature to the controller. Schematic overview of the control  
86 system of the *iGlove-1* device is depicted in Figure 3. An Arduino-based Proportional-Integral-  
87 Differential (PID) controller is used to handle the amount of electrical power delivered to the Peltier

88 elements (for more information see [10, 11]). The finger's skin temperature, measured by the NTC  
89 sensor, is fed-back to the PID controller, together with the desired temperature ( $T_d$ ) provided by the  
90 user via an ad-hoc PC-software interface. The PID controller is running on an Arduino-based hardware  
91 interface [12].

92

93

94 The *iGlove-1* device is equipped with five NTC sensors (with an accuracy of  $\pm 0.1$  °C) to continuously  
95 measure the temperatures of the left-hand fingers. Another 20 NTC sensors are measured the  
96 temperature of the *Peltier* elements (one sensor for each element). A Laser Doppler blood flow meter  
97 (MoorVMS-LDF2<sup>®</sup> by Moor Instruments) was used to measure the changes in the blood flow  
98 underneath the nail bed. The blood flow measurements were performed on only two fingers, the  
99 index finger and the thumb, of the subject's left hand as a representation of the changes in the blood  
100 flow to the five fingers.

101 The temperature sensors were positioned on the underside of each fingertip, while the probes of the  
102 Laser-Doppler blood flow meter were placed on the middle of the nails of the thumb and index fingers.  
103 Skin temperatures of the five fingers and the ambient air (in degree Celsius, °C) were recorded, as well  
104 as the blood flow (in Perfusion Unit, PU) of the thumb and the index finger, every second.

105

## 106 2.2. Experiments

107 All the experiments and the experimental protocol were approved by the Ethical Committee of KU  
108 Leuven October 2014. In total, 33 experimental trials were conducted during the course of this study.

### 109 2.2.1. Test subjects

110 A homogeneous group of 11 healthy women between the ages of 35 and 55 years (given in Table 1)  
111 performed the tests. This age range was chosen since a high incidence rate of breast cancer is recorded  
112 within this age interval given the fact that chemotherapy is often applied for premenopausal women.

113

114 During the course of the experiments, all the test subjects were in a healthy conditions, non-smokers,  
115 having no hand injuries and with no evidences of *Perniosis* or *Raynaud* phenomenon. No (excessive)  
116 alcohol consumption by the all the test subjects was insured starting from the evening (6-8 pm) before  
117 the test with a normal (7-9) hours of sleep during night.

### 118 2.2.2. Experimental protocol

119 Acclimatisation stage: at the start of the measurements, each test subjects was seated in the test  
120 room for  $\pm 30$  minutes to acclimatise to the, continuously measured, ambient air temperature ( $22.4 \pm$   
121  $0.6$  °C) within the test room.

122 Step experiment stage: after placing the subject's left hand fingers in their appropriate positions on  
123 the *iGlove-1* device (Figure 2), the set-point temperature to the controller of the Peltier elements was  
124 set to 20 °C for 15 minutes. For another 30 minutes, a step-down decrease in the temperature of the  
125 Peltier element was applied by setting the controller set-point to 2 °C. These applied set-points were  
126 chosen in such way to insure that both the CIVC and CIVD phenomenon are triggered [12].

127 For each test subject, the previous test protocol was repeated three times in three consecutive days  
128 at the time of the day given in total 33 full experimental trials.

### 129 2.3. System identification

130 To design a model-based active control system for the fingers' skin temperature, a linearized  
131 representation of the system is required. Although the system under study (the finger/blood  
132 circulation) is inherently a non-linear system, the essential perturbation behaviour can often be  
133 approximated well by simple linearized Transfer Function (TF) models [11–17].

134 For the purposes of the present paper, therefore, the following linear, single-input, single-output (SISO)  
135 discrete-time-system was considered,

$$136 \quad y(k) = \frac{b_1 z^{-1} + \dots + b_m z^{-m}}{1 + a_1 z^{-1} + \dots + a_n z^{-n}} u(k - \delta) + \xi(k) = \frac{B(z^{-1})}{A(z^{-1})} u(k) + \xi(k) \quad (1)$$

137 where  $y(k)$  is the finger's skin temperature ( $^{\circ}\text{C}$ ) and  $u(k)$  is the temperature of the Peltier elements  
138 ( $^{\circ}\text{C}$ ), while  $A(z^{-1})$  and  $B(z^{-1})$  are appropriately defined polynomials in the backshift operator  $z^{-1}$ ,  
139 i.e.,  $z^{-i}y(k) = y(k - i)$  and  $\xi(k)$  is additive noise, a serially uncorrelated sequence of random  
140 variables with variance  $\sigma^2$  that accounts for measurement noise, modelling errors and effects of  
141 unmeasured inputs to the process (assumed to be a zero mean). For convenience, any pure time delay  
142 of  $\delta > 1$  samples can be accounted for by setting the  $\delta - 1$  leading parameters of the  $B(z^{-1})$   
143 polynomial to zero, i.e.  $b_1, \dots, b_{\delta-1} = 0$ .

144 A step-input was applied, as explained earlier, by suddenly decreasing the temperature of the Peltier  
145 elements from 20 to 2  $^{\circ}\text{C}$ . In practice, the transition of the input to the new steady state is taking some  
146 times (transition time). However, for convenience, in this paper the step-input was idealised and  
147 normalised by assuming that it starts from zero and changes instantaneously at time equal zero,

$$148 \quad \text{i.e., } u(t) = \begin{cases} 0 & \text{for } t < 0 \\ 1 & \text{for } t \geq 0 \end{cases} \quad (2)$$

149 The Simplified Refined Instrumental Variable (SRIV) algorithm was utilised in the identification and  
150 estimation of the models (model parameters and model structure) [18-19].

151 Firstly, the appropriate model structure was identified, i.e., the most appropriate values for the triad  
152  $[n, m, \delta]$  (see equation 1). Two main statistical measures were employed to determine the most  
153 appropriate values of these triad. Namely, the coefficient of determination  $R_2^T$ , based on the response  
154 error; and *YIC* (Young's Information Criterion), which provides a combined measure of model fit and  
155 parametric efficiency, with large negative values indicating a model which explains the output data  
156 well and yet avoids over-parameterisation [19-20].

157

### 158 2.4. Non-Minimal State Space (NMSS) formulation and model-based Proportional-Integral-Plus (PIP) 159 controller design

160 This paper is discussing the design of an active control system for finger's skin temperatures and  
161 consequently, controlling the CIVC, using the temperature of the Peltier elements as control input.  
162 The PIP controller can be interpreted as a logical extension of the conventional Proportional-  
163 Integral/Proportional-Integral-Differential (PI/PID) controllers, with the advantage of  
164 additional dynamic feedback and input compensators, which are introduced automatically  
165 when the process has second order higher dynamics, or pure time delays greater than one  
166 sampling interval (which is the case in the process presented in this paper). Additionally, by

167 formulating the non-minimal state space (NMSS) models, directly from the identified models  
 168 (see section 2.4.), the full state variable feedback can be implemented directly from the  
 169 measured input and output signals (i.e., the controller design is automatically adopted when the  
 170 model parameters and/or structure are changing online in closed-loop)..

171 The following methodology approach has been successfully used in earlier research work [16, 22], in  
 172 which the NMSS model is formulated so that the full state feedback can be implemented directly from  
 173 the measured input and output signal of the controlled process[22, 24].

174 Hence, the TF model (1) can be represented by the following NMSS equations [28],

$$175 \left. \begin{aligned} x(k) &= \mathbf{F}x(k-1) + \mathbf{g}u(k-1) + \mathbf{d}y_d(k) \\ y(k) &= \mathbf{h}x(k) \end{aligned} \right\} \quad (3)$$

176 where  $x(k)$  is the  $(n+m)$  dimensional non-minimal state vector, that is containing the present and  
 177 the past sampled values of the output variable  $y(k)$ , the past sampled values of the input variable  
 178  $u(k)$  and the integral of error between the reference (or command) input  $y_d(k)$  and the sampled  
 179 output variable  $y(k)$ .  $\mathbf{F}$ ,  $\mathbf{g}$ ,  $\mathbf{d}$  and  $\mathbf{h}$  are the state transition matrix, input vector, command vector and  
 180 output vector, respectively [28]. The state variable feedback (SVF) control law associated with the  
 181 NMSS model (3) takes the form:

$$182 u(k) = -\mathbf{k}^T x(k) \quad (4)$$

183 where  $\mathbf{k}$  is the  $(n+m)$  dimensional SVF control gain vector:

$$184 \mathbf{k}^T = [f_0 \ f_1 \ \dots \ f_{n-1} \ g_1 \ \dots \ g_{m-1} \ -k_1] \quad (5)$$

185 where  $f_0$  and  $k_1$  are the proportional and integral actions, respectively, which are enhanced by higher  
 186 order input and feedback compensators  $G(z^{-1})$  and  $F_1(z^{-1})$ , respectively, and are defined as follows,

$$187 F_1(z^{-1}) = f_1 z^{-1} + \dots + f_{n-1} z^{-(n-1)},$$

$$188 \left. \begin{aligned} F(z^{-1}) &= f_0 + f_1 z^{-1} + \dots + f_{n-1} z^{-(n-1)} \\ G(z^{-1}) &= 1 + g_1 z^{-1} + \dots + g_{m-1} z^{-(m-1)} \end{aligned} \right\} \quad (6)$$

190

### 191 3. Results and discussions

#### 192 3.1. Cold induced vasoconstriction (CIVC) and Cold induced vasodilation (CIVD)

193 Supporting the findings of previous studies [e.g., 12], data from 10 test subjects, out of 11 (test subject  
 194 s11 did not show a clear response), have shown an evidence of CIVC (with average decrease in finger's  
 195 skin temperature of  $10.2 \pm 1.8$  °C and average decrease in blood flow rate of  $320 \pm 92$  PU) due to  
 196 applying the localised cooling. The measured blood flow of the 10 subjects, in both the index and the  
 197 thumb fingers, have shown a decreasing pattern with the decreased fingers' skin temperatures. The  
 198 blood flow measurements have shown signal perturbations due to the high sensitivity of the device  
 199 to the movements during the experiments. Therefore, a moving average filtering, with window size of  
 200 20 samples, was applied to the signals before processing. Example of the CIVC evidence is shown in  
 201 Figure 4, where decreasing in blood flow (about 400 PU drop), coupled with a drop in the finger's skin  
 202 temperature (about 15 °C), in response to step decrease in the temperature of the Peltier elements  
 203 were noticed.

204 Evidences of CIVC followed by CIVD were noticed with some subjects. Figure 5 is showing an example  
205 of CIVD evidence coupled with a rewarming of the index finger's skin after its temperature was  
206 dropped due to CIVC.

207 From theoretical point of view, one can find it is more convenient to use the blood flow measurements  
208 as control output (i.e., controlled variable). However, due to the noticed motion-intolerance  
209 behaviour of the blood flow measurements, it was found more practically convenient in the present  
210 paper to use the finger's skin temperature was used as control output to indirectly manipulate the  
211 blood flow through the fingers.

### 212 3.2. Control model identification and parameter estimates

213 The SRIV algorithm combined with the  $YIC$  and  $R_2^T$  suggested, in general, that a second order (number  
214 of poles,  $n = 2$ ) discrete-time TF models described the dynamic responses of finger's skin temperature  
215 to step-decreases in the input (Peltier element's temperature) most accurately (i.e.,  $R_2^T = 0.92 \pm 0.0765$   
216 and  $YIC = -9.66 \pm 3.22$ ). More specifically, the SRIV algorithm identified the following general discrete-  
217 time TF model structure,

$$218 \quad y(k) = \frac{b_1 z^{-1} + \dots + b_m z^{-m}}{1 + a_1 z^{-1} + a_2 z^{-2}} u(k - \delta) + \xi(k) \quad (7)$$

219 where number of zeros ( $m$ ) and time delays ( $\delta$ ) were different from one finger to another within the  
220 same test subject or between different subjects. The number of zeros ( $m$ ) were within the range of 2  
221 and 8. Table 2 is showing the average intra-person (within the same test subject)  $b$ -parameter  
222 estimates for each test subject.

223 The model estimation results have shown that the  $a$ -and  $b$ -parameters were not only varying among  
224 different test subjects but also within the same subject and for the same finger at different times. One  
225 test person (s11) was left out of consideration because the Lewis reaction was significantly more  
226 profound in her case than for the other test persons. Figure 6 is showing the deviation in both  $a_1$ -and  
227  $a_2$ -parameter estimates within the same finger (index finger) for each test subject.

228

229 When the same finger (index finger) is considered, the average value of the model's  $a_1$ -parameters  
230 was  $-2 \pm 0.12$  for the 10 considered test subjects. Whereas, the  $a_2$ -parameters showed an average  
231 value around  $1 \pm 0.12$ . When the  $a$ -parameters of different fingers of the same person are compared,  
232 a lower standard deviation ( $< 0.08$ ) for both. To investigate the significance of inter-person and intra-  
233 person differences in  $a$ -parameters, Student's  $t$ -test was performed [29]. The  $t$ -test was performed at  
234 significance level 0.05. At significance level 0.05, no significant differences (with average  $p$ -value of  
235  $0.28 \pm 0.10$ ) were found in  $a$ -parameters for the different fingers within the same test subject (intra-  
236 person). However, the differences in  $a$ -parameters, by comparing the thumb and middle fingers,  
237 among the different test subjects (intra-person) were found significant (average  $p$ -value  $< 0.05$ ). On  
238 the other hand, by comparing the  $a$ -parameters of the index finger for each test subject, the intra-  
239 person differences were found to be not significant (average  $p$ -value  $< 0.05$ ) except for test subject  
240 s10 the differences in both  $a$ -parameters were found significant ( $p$ -value  $> 0.05$ ).

241 These variations in the model structure and parameter estimates (especially within  $b$ -parameters) are  
242 forming a challenge for designing an active control system. To overcome such challenge, an on-line  
243 system identification and parameter estimations algorithm, such as SRIV or optimal Refined  
244 Instrumental Variables (RIV), is suggested to be used in a closed-loop [22]. The finger's dynamic  
245 bioresponse (e.g., skin temperature and blood flow) are nonlinear, however, the approach

246 presented in this paper is based on online model-based modelling of the process under question  
 247 assuming a piece-wise linearity of the system. This approach has been applied successfully to  
 248 model and control bio-systems in many previous studies (e.g., [14], [16], [17] and [18]).

249 For convenience, the obtained models from the index fingers (of the 10 test subjects) were considered  
 250 for the control design and analysis. Table 3 is showing the average (and  $\pm$  standard deviation)  $a$ - and  
 251  $b$ -parameters and number of zeros ( $m$ ) for the index fingers of the considered 10 test subjects.

252

253 To simplify the representation of the design and analysis of the control systems in this paper, a  
 254 representative model structure (i.e., the model triad  $n, m$  and  $\delta$ ) was chosen to be used for designing  
 255 the model-based PIP controller. Model structure denoted by [2 2 2], i.e.,  $n = 2$ ,  $m = 2$  and  $\delta = 2$ , was  
 256 the most representative (with highest  $R_2^T$  and the most negative  $YIC$  values, see Table 4) structure  
 257 describing the dynamic responses of index finger's skin temperature for the 10 test subjects.

258 Figure 7 is illustrating an example for the dynamic responses of the index finger skin temperature, for  
 259 test subject s7, to step-decrease in the input. The response was best described by the following  
 260 discrete-time TF model, whose structure can be denoted by the triad [2 2 2], with  $R_2^T = 0.996$  and  $YIC$   
 261  $= -12.53$ ,

$$262 \quad y(k) = \frac{0.011z^{-2} - 0.0015z^{-3}}{1 - 1.995z^{-1} + 0.995z^{-2}} u(k) \quad (8)$$

263 or in the following difference equation form,

$$264 \quad y(k) = 1.995y(k-1) - 0.995y(k-2) + 0.011u(k-2) - 0.0015u(k-3) \quad (9)$$

265 where  $a_1 = -1.995$ ,  $a_2 = 0.995$ ,  $b_2 = 0.011$  and  $b_3 = -0.0015$

### 266 3.3. NMSS formulation and PIP controller design

#### 267 3.3.1. Framework of calculation

268 The NMSS formulations were done for the 10 test subjects. However, to ease the explanation of the  
 269 calculations, the following formulations are based on the identified model (8), for test subject s7, as  
 270 an example for the framework of NMSS formulation and PIP controller design.

271 From equation (3), the  $(n + m)$  non-minimal state vector,  $x(k)$ , for the TF model (8) is given by,

$$272 \quad x(k) = [y(k) \quad y(k-1) \quad u(k-1) \quad u(k-2) \quad z(k)]^T \quad (10)$$

273 The NMSS in this case is based on five state variables, namely,  $y(k)$ ,  $y(k-1)$ ,  $u(k-1)$ ,  
 274  $u(k-2)$  and  $z(k)$ . Where  $z(k)$  is integral-of-error between the reference (or command) input  $y_d(k)$   
 275 and the sampled output variable  $y(k)$ , defined as follows,

$$276 \quad z(k) = z(k-1) + y_d(k) - y(k) \quad (11)$$

277 The state transition matrix  $\mathbf{F}$ , input vector  $\mathbf{g}$ , command vector  $\mathbf{d}$  and output vector  $\mathbf{h}$  of the NMSS  
 278 system [26] are subsequently defined in below, based on the TF model (8),

$$279 \quad \mathbf{F} = \begin{bmatrix} 1.995 & -0.995 & 0.011 & -0.0015 & 0 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ -1.995 & 0.995 & -0.011 & 0.0015 & 1 \end{bmatrix}$$

280  $\mathbf{g} = [0 \ 0 \ 1 \ 0 \ 0]^T$

281  $\mathbf{d} = [0 \ 0 \ 0 \ 0 \ 1]^T$

282  $\mathbf{h} = [1 \ 0 \ 0 \ 0 \ 0]^T$

283

284 Hence, the NMSS servomechanism (PIP) control model of TF (8) is as follows:

285 
$$\begin{bmatrix} y(k) \\ y(k-1) \\ u(k-1) \\ u(k-2) \\ z(k) \end{bmatrix} = \begin{bmatrix} 1.995 & -0.995 & 0.011 & -0.0015 & 0 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ -1.995 & 0.995 & -0.011 & 0.0015 & 1 \end{bmatrix} \begin{bmatrix} y(k-1) \\ y(k-2) \\ u(k-2) \\ u(k-3) \\ z(k-1) \end{bmatrix} + \begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \\ 0 \end{bmatrix} u(k-1) + \begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \\ 0 \end{bmatrix} y_d(k) \quad (12)$$

286 and,

287  $y(k) = [1 \ 0 \ 0 \ 0 \ 0]x(k) \quad (13)$

288 From equations (5) and (6), based on TF model (8), the controller filters are defined as follows,

289  $\mathbf{F}(z^{-1}) = f_0 + f_1 z^{-1}$

290  $\mathbf{G}(z^{-1}) = 1 + g_1 z^{-1} + g_2 z^{-2} \quad (14)$

291 Hence, from equations (4) and (14), the PIP control law, in difference equation form, for the system  
292 understudy is given by:

293  $u(k) = u(k-1) + k_I(y_d(k-1) - y(k)) - f_0(y(k) - y(k-1)) - f_1(y(k-1) - y(k-2)) -$   
294  $g_1(u(k-1) - u(k-2)) \quad (15)$

295

### 296 3.3.2. PIP closed-loop transfer function

297 The closed-loop control system can be represented in TF form as follows [28],

298 
$$y(k) = \frac{k_I B(z^{-1})}{(1-z^{-1})(G(z^{-1})A(z^{-1})+F(z^{-1})B(z^{-1}))+k_I B(z^{-1})} y_d(k) \quad (16)$$

299

300 From equations (8), (14) and (15) the closed-loop control system can be represented in block diagram  
301 form by Figure 8.

302

303 Analysing the characteristic equation, the denominator of equation (16), of the closed-loop system  
304 under study has revealed that the closed-loop TF is 5<sup>th</sup> order. The five control gains were calculated,  
305 from the characteristic equation, by assigning the poles manually to desired location in the complex  
306 z-plane (self-adaptive PIP control system will be introduced in further studies).

307 One of the main advantages of the above design approach is that it is automatically accommodating  
308 with the multiple-time delays that were observed with the various identified TF models for the finger's  
309 skin temperatures for different test subjects. The presented PIP controller automatically handles a  
310 pure time delay by simply feeding back sufficient past values of the input variable (the temperature  
311 of the Peltier element) to span the time delay [26].

312

### 313 3.3.3. Control simulation

314 The incremental form of the PIP control law, equation (15), can be implemented (or simulated) directly  
315 by coding it in a digital computer or impeded system. For practical application and simulation, to avoid  
316 integral windup in the PIP controller, which caused by integration of control errors during periods of  
317 actuator saturation, equation (15) was employed with the following correction,

$$318 \quad u(k) = \begin{cases} u_{min}, & \text{when } u(k) < u_{min} = -4 \\ u_{max}, & \text{when } u(k) > u_{max} = 20 \end{cases}$$

319

320 Simulations of the closed-loop systems were performed based on the identified models for each test  
321 subject. That by combining the identified model for each test subject and the PIP control algorithm in  
322 a closed-loop. A zero mean ( $\mu = 0$ ) white noise term with variance ( $\sigma$ ) of 0.1 was added to both output  
323  $y(k)$  and input  $u(k)$  signals to simulate the measurement and actuator noises, respectively. A  
324 simulation example of the closed-loop response of the designed PIP controller based on the TF model  
325 (8) of index finger's skin temperature for subject s7, in which all the poles were assigned at 0.95, is  
326 shown in Figure 9.

327 The response of the finger's skin temperature in the simulated closed-loop, using the designed PIP  
328 controller based on each identified model, was following the desired set-points tightly with almost no  
329 overshooting. Although, choosing the poles close to the unity (i.e., equal 1) was causing the system to  
330 slow down towards steady-state, but it was more secure to avoid overshooting, which was more  
331 appropriate for such application.

332

### 333 3.3.4. Robustness analysis

334 An important consideration in the designed model-based PIP control system was the robustness of  
335 the control system performance to uncertainty associated with the model parameters [26]. The  
336 robustness problem here was arisen because the control system in this paper was designed based on  
337 approximation models (with the structure [2 2 2]) for the index finger's temperature of each test  
338 subject. In which the estimated  $a$ - and  $b$ -parameters (i.e.,  $a_1$ ,  $a_2$ ,  $b_2$  and  $b_3$ ) were varying from test  
339 subject to another as shown in Table 4. The robustness analysis for the designed PIP controller was  
340 performed using the Monte Carlo (MC) simulation approach. The MC analysis employed in the  
341 presented paper was based on parameter covariance matrix [26] generated by the parameter  
342 estimation algorithm, SRIV, used to model the index finger's skin temperature from the 10 considered  
343 test subjects. In other words, the model parameters for each realisation in the MC analysis were  
344 selected randomly from the estimated ranges (presented in Table 4) and then combined randomly to  
345 form 50 different model combinations.

346

347 The MC analysis with 50 realisations of the feedback PIP controllers (Figure 10), showed that the  
348 control design was robust (with zero per cent unstable realisation) to the uncertainty and variations  
349 that might be associated with the estimated model parameters (within the defined ranges). However,  
350 it should be noted the controller was designed based on an approximation model structure, denoted  
351 by the triad [2 2 2], that was assumed to be representative for the fingers of all test subjects. Hence,  
352 for robust implementation of the controller, it is suggested for future work to develop an on-line

353 model identification algorithm with self-adoptive PIP control system [30], that is capable of tracking  
354 rapid parameter changes. This self-adaptive system is based on an on-line Time-Variable-Parameter  
355 (TVP) version of the recursive estimation algorithm (e.g., SRIV), which can be implemented in a closed-  
356 loop form.

357 The developed localised-cooling device (iGlove-1), together with the model-based PIP control system,  
358 has shown a promising solution to actively regulate the cooling of the patient finger's tips during the  
359 chemotherapy sessions.

360

#### 361 4. Conclusions

362 The ultimate goal of this research work is to develop localised cooling system to actively control  
363 finger's skin temperature and consequently the blood flow to the fingertip during chemotherapy. An  
364 in house developed active cooling device (*iGlove-1*) was developed to investigate the possibility of  
365 localised active cooling of hand fingers with continuous feedback from the finger's skin temperature.  
366 A group of 11 females of 35 – 55 years old participated in the series of experiments. The skin  
367 temperature at the tip of the five fingers of the left hand has been measured, together with the blood  
368 flow in the thumb and index finger. Localised cooling was applied by decreasing the temperature of  
369 the Peltier elements to 2 °C to model the dynamics responses of the finger's skin temperatures of each  
370 finger for each test subject. Except for one test subject (s11), the test group showed a typical hunting  
371 phenomenon. In which, evidence of CIVC (with average decrease in finger's skin temperature of 10.2  
372  $\pm 1.8$  °C and average decrease in blood flow rate of 320  $\pm 92$  PU) followed by CIVD evidence were  
373 noticed. In general, second order discrete-time TF models were the best (i.e., with average  $R_2^T = 0.92$   
374  $\pm 0.08$  and  $YIC = -9.66 \pm 3.22$ ) to describe the dynamic responses of finger's skin temperature for all the  
375 consider test subjects. No statistically significant ( $p$ -values  $< 0.05$ ) intra-person differences were found  
376 between the  $a$ -parameters for all the 10 considered test subjects. However, the inter-person  
377 differences between the  $a$ -parameters were found significant ( $p$ -values  $> 0.05$ ). On the other hand,  
378 except for test subject s10, the inter-person differences between the  $a$ -parameters for the index  
379 fingers were found not significant (average  $p$ -value  $< 0.05$ ). To simplify the design and analysis of the  
380 PIP control system, the models from index finger only were considered. Model-structure denoted by  
381 the triad [2 2 2] was the most suitable (with average  $R_2^T = 0.82 \pm 0.05$  and  $YIC = -8.25 \pm 6.14$ ) to be a  
382 representative model-structure to design the model-based PIP control system. Monte Carlo (MC)  
383 analysis was employed with 50 realisations to test the robustness of the designed PIP control system  
384 against parameter perturbations and variations. Within the defined ranges of variations in the model  
385 parameters, the MC analysis showed that the designed model-based PIP controller was robust (i.e.,  
386 with zero per cent unstable realisation) able to regulate the finger's skin temperatures tightly about  
387 the desired level. The developed localised-cooling device (iGlove-1), together with the model-based  
388 PIP control system, has shown a promising solution to actively regulate the cooling of the patient  
389 finger's tips during the chemotherapy sessions.

390

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467  
468

Table 1. Age and assigned ID number of the each test subjects (#s)

Test person (ID number)	s1	s2	s3	s4	s5	s6	s7	s8	s9	s10	s11
Age (year)	47	50	35	42	54	39	43	41	44	46	53

Table 2. The average ( $\pm$  standard deviation)  $b$ -parameter estimates, number of zeros ( $m$ ) and delays ( $\delta$ ) per test subject (s#) with the average ( $\pm$  standard deviation) coefficient of determination  $R_2^T$  and  $YIC$ .

s#	$B(z^{-1})$								$m$	$\delta$	$R_2^T$	$YIC$
	$b_0$	$b_1$	$b_2$	$b_3$	$b_4$	$b_5$	$b_6$	$b_7$				
1	0	0	0	-0.0122 $\pm 0.006$	0.0712 $\pm 0.032$	-0.237 $\pm 0.121$	0	0	3	3	0.92 $\pm 0.04$	-6.25 $\pm 2.12$
2	0	0.1853 $\pm 0.023$	0.3711 $\pm 0.086$	0.1859 $\pm 0.075$	0	0	0	0	3	1	0.95 $\pm 0.03$	-11.20 $\pm 3.06$
3	0	0	0	0.0014 $\pm 0.002$	-0.0014 $\pm 0.002$	0	0	0	2	3	0.98 $\pm 0.01$	-10.20 $\pm 4.06$
4	0.0008	0	0	0.1597 $\pm 0.080$	0	0	0	0	2	0	0.94 $\pm 0.04$	-11.08 $\pm 2.06$
5	0	0	0	0.6226 $\pm 0.123$	-1.8180 $\pm 0.202$	1.7694 $\pm 0.45$	-0.5740 $\pm 0.132$	0	4	4	0.90 $\pm 0.05$	-9.08 $\pm 2.06$
6	1.0e-05	0	0	0	0	0.3337 $\pm 0.134$	0	0	2	0	0.86 $\pm 0.06$	-5.17 $\pm 1.78$
7	0	0	0.012 $\pm 0.006$	-0.0015 $\pm 0.001$	0	0	0	0	0	2	0.96 $\pm 0.02$	-10.18 $\pm 2.14$
8	0.0002	0	0	0	0	0.972 $\pm 0.325$	-0.968 $\pm 0.465$	0	3	0	0.95 $\pm 0.03$	-9.37 $\pm 3.45$
9	0	0	0	0.0024 $\pm 0.002$	-0.0023 $\pm 0.002$	0	0	0	2	3	0.88 $\pm 0.05$	-7.56 $\pm 4.04$
10	0	0	0	0.0561 $\pm 0.008$	-0.12 $\pm 0.523$	0.0533 $\pm 0.007$	0	0	3	4	0.96 $\pm 0.01$	-11.23 $\pm 2.31$

Table 3. Average and standard deviation of parameter estimates,  $R_2^T$  and  $YIC$  for index finger from the considered 10 test subjects.

$A(z^{-1})$			$B(z^{-1})$			$m$	$R_T^2$	$YIC$
$a_1$	$a_2$	$b_0$	$b_1$	$b_2$	$b_3$			
-1.985	0.986	0.0	0.0012	-0.337	-0.258	3	0.91	-7.25
$\pm 0.562$	$\pm 0.610$	$\pm 0.0$	$\pm 0.0002$	$\pm 0.349$	$\pm 0.221$		$\pm 0.04$	$\pm 3.14$

Table 4. Average ( $\pm$  standard deviation) a- and b-parameter estimates for the representative model structure, denoted [2 2 2], of the index finger's skin temperature for the 10 considered test subjects.

	$A(z^{-1})$		$B(z^{-1})$		$R_T^2$	$YIC$
	$a_1$	$a_2$	$b_2$	$b_3$		
Mean	-1.993	0.986	-0.227	-0.072	0.86	-8.25
$\pm$ std	$\pm 0.562$	$\pm 0.610$	$\pm 0.329$	$\pm 0.176$	$\pm 0.05$	$\pm 6.14$
Min	-2.653	0.955	-0.015	-0.125	0.79	-13.20
Max	-1.955	1.655	0.112	0.131	0.99	-3.34



Figure 1. Example of haemorrhagic onycholysis. Development of the nail lesions can be associated with intense pain [3].

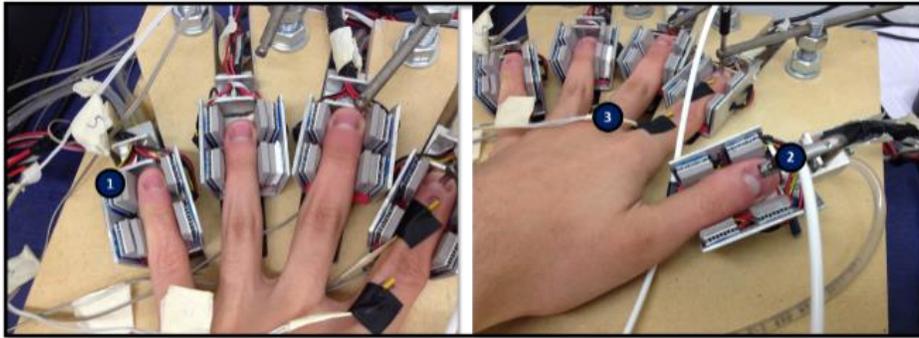


Figure 2. The *iGlove-1* controlled cooling device for the left hand. (1) Peltier cooling elements (2) one probe of the blood flow Laser Doppler meter (3) NTC temperature sensor to measure the finger skin temperature.

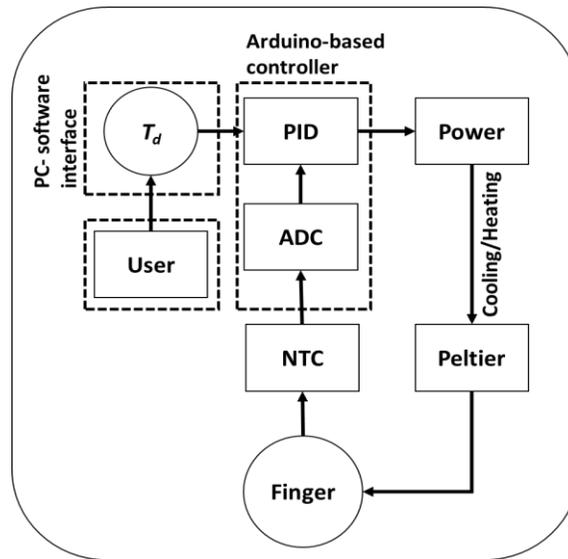


Figure 3. Schematic overview of the control system of the *iGlove-1* device [11]. A PID controller implemented using an Arduino-based hardware interface controls the electric power to the *Peltier* elements and consequently drives its temperature to the desired one ( $T_d$ ). The temperatures of the elements is measured using an NTC sensors to be fed-back to the PIC controller after conversion to digital using an Analog-to-Digital Converter (ADC). All the data from and to the *iGlove-1* device is sent to the PC for further analysis.

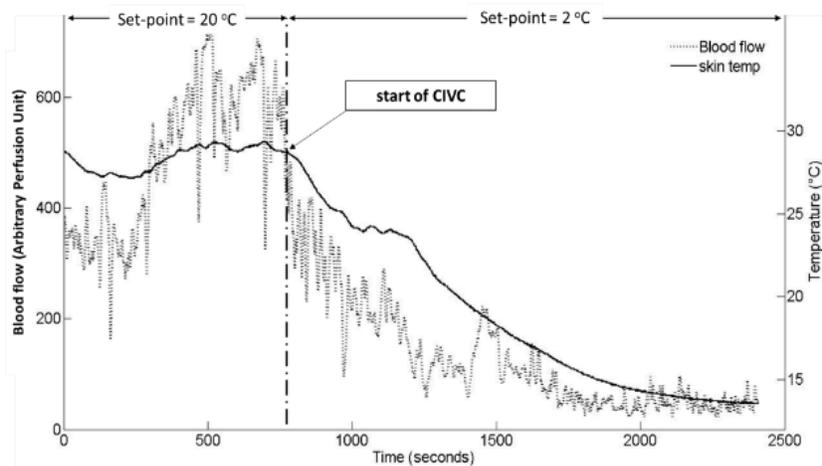


Figure 4. The coupled responses of blood flow to the index finger's skin (of subject #s4) temperature to step decrease in the *Peltier* elements temperatures (from 20 °C to 2 °C).

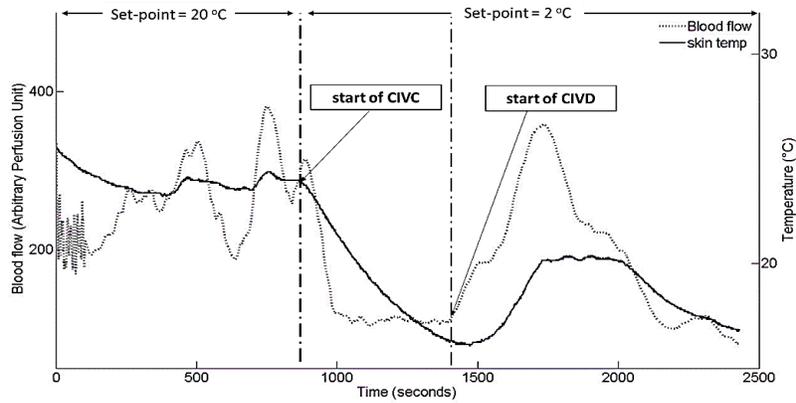


Figure 5. Example of an evidence of CIVC, coupled by a drop in the index finger's skin temperature, followed by CIVD causing rewarming of the finger's skin temperature (of subject #s6) in response to the step decrease in the temperature of the Peltier elements.

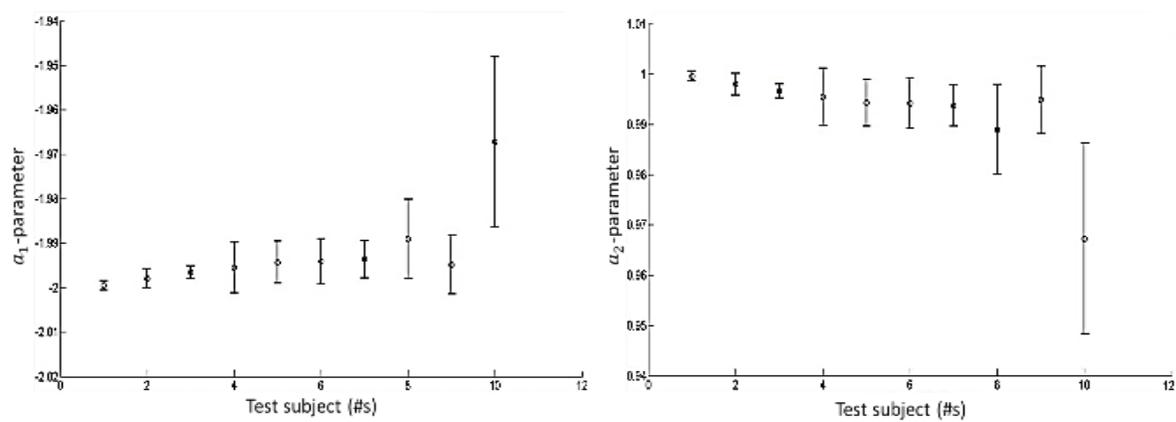


Figure 6. The mean and standard deviation of  $a_1$ - and  $a_2$ -parameters of the model estimates for each test subject based on measurements from their index fingers.

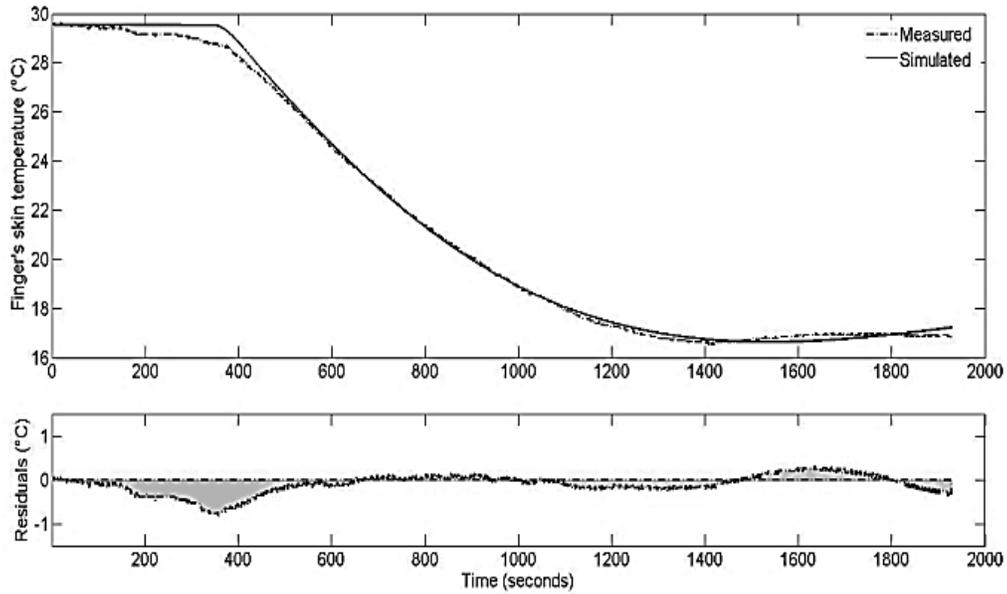


Figure 7. Example (from subject number  $s_7$ ): modelled dynamic response of Finger's (index finger) skin temperature to step decrease in Peltier element temperature, shows a comparison between the measured and simulated temperatures and the residuals.

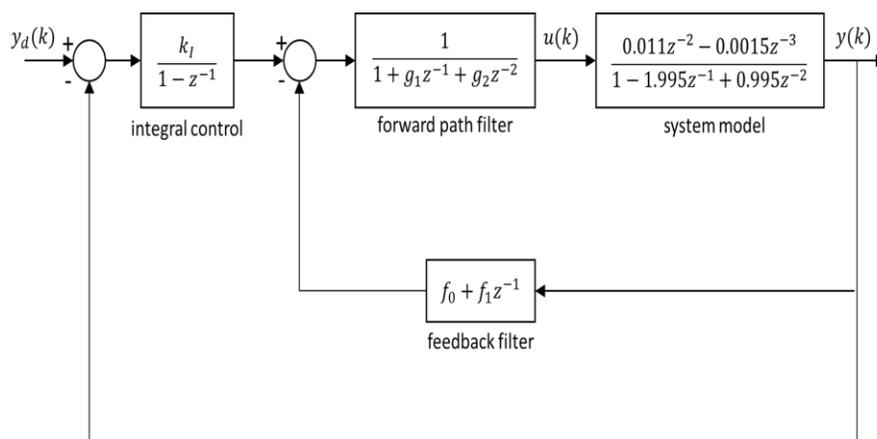


Figure 8. Block diagram of the closed-loop PIP control system based on TF model (8).

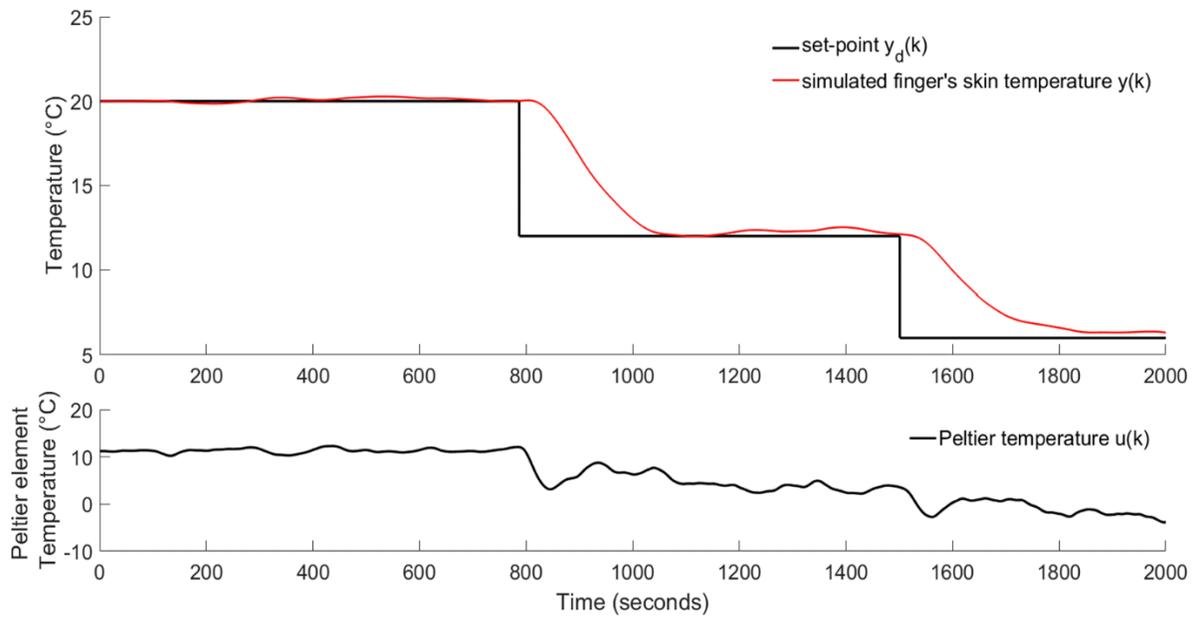


Figure 9. A simulation example of the Simulated closed-loop step responses  $y(k)$  (upper graph) using the designed PIP controller of the finger's skin temperature, showing the control input signal  $u(k)$  (lower graph).

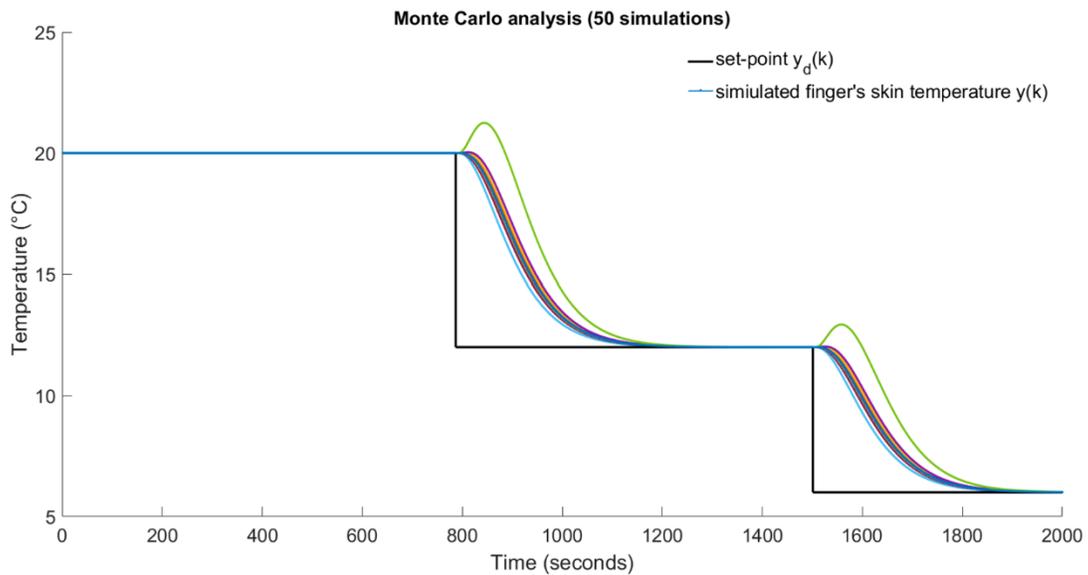


Figure 10. Monte Carlo responses of the various PIP controllers to a step changes in the command (set-point  $y_d(k)$ ) input, with 50 Monte Carlo realisations.