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1 Nutrient scarcity as a selective pressure for mast seeding

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31 Introductory paragraph

32 Mast seeding is one of the most intriguing reproductive traits in nature. Despite its 33 potential drawbacks in terms of fitness, the widespread existence of this phenomenon 34 suggests that it should have evolutionary advantages under certain circumstances. Using a global dataset of seed production time series for 219 plant species from all the 35 continents, we tested whether masting behaviour appears predominantly in species 36 with low foliar N and P concentrations, when controlling for local climate and 37 38 productivity. Here we show that masting intensity is higher in species with low foliar N and P concentrations and especially imbalanced N:P ratios, and that the evolutionary 39 history of masting behaviour has been linked to that of nutrient economy. Our results 40 support the hypothesis that masting is stronger in species growing under limiting 41 42 conditions and suggest that this reproductive behaviour might have evolved as an adaptation to nutrient limitations and imbalances. 43

44 Main text

Mast seeding, often called *masting*, has long intrigued biologists as one of the most bizarre reproductive behaviours found in nature^{1,2}. This behaviour consists of the synchronous production of highly variable seed crops over time³. Masting has often been considered an evolutionary paradox because organisms that skip reproductive attempts should have lower fitness than those that reproduce at every opportunity⁴. Nonetheless, the fact that this reproductive behaviour is found in different lineages suggests that masting behaviour should be beneficial, at least under certain scenarios⁵.

The most widely accepted hypotheses explaining the selective advantages of masting are all related to *economies of scale*^{6,7}. Briefly, these hypotheses state that, in terms of fitness, it is more efficient for plants to produce large number of seeds every few to several years than producing a constant amount every year. This general mechanism includes the *predator satiation* hypothesis^{2,8–10}, where predators are starved during 57 years of null or low reproduction and satiated during high reproduction mast years, leaving large numbers of seeds intact. Another example is the *pollination efficiency* 58 hypothesis^{6,11,12}, stating that, particularly for wind-pollinated plants, saturating the 59 60 atmosphere with pollen in a given year is more efficient than producing regular amounts of pollen each year in order to ensure pollination. Given that masting is 61 present in only a modest percentage of plant species¹³, such economies of scale are 62 apparently advantageous only under certain circumstances. What those circumstances 63 are remains, so far, under debate. 64

The environmental stress hypothesis¹⁴ suggests that masting behaviour should be 65 stronger under unfavourable growing conditions or limitation of resources, conditions 66 under which economies of scale should be more beneficial^{3,12,15}. This is because plants 67 growing in unfavourable environments presumably experience more difficulties in 68 acquiring the required resources to reproduce, as suggested by the resource 69 accumulation hypothesis^{16,17}. According to this hypothesis, plants growing under 70 favourable conditions will be able to accumulate the required amount of resources 71 every year and, therefore, present a regular pattern in seed production, without 72 exhibiting any underlying negative temporal autocorrelation that could indicate resource 73 depletion after reproduction¹⁶. The opposite is true for plants growing in unfavourable 74 75 conditions, which will exhibit high interannual variability and negative temporal 76 autocorrelation in seed production due to potential resource depletion after seeding. However, there is no current empirical evidence suggesting that species with higher 77 78 interannual variability in fruit production are more likely to exhibit negative temporal autocorrelation than species that produce seeds more regularly⁵. On the other hand, 79 80 weather variability has been found to be a key factor driving interannual variability in fruit production in many plant species^{12,18–21}. Temporal patterns in weather events (i.e., 81 temporal variability and autocorrelation) could, therefore, potentially shape the temporal 82 patterns of fruit production²². 83

Foliar nutrient concentrations play a key role in plant ecophysiology and ecosystem 84 functioning: photosynthetic rates are linked to foliar nitrogen (N) and phosphorus (P) 85 86 concentrations²³⁻²⁵. Together with carbon, they are the basis of ecological stoichiometry^{26,27} and are fundamental parts of the *elementome* or the *biogeochemical* 87 niche²⁸, useful for inferring ecological traits from the elemental composition of 88 organisms²⁹. Carbon (C), and especially N and P have been suggested to be potential 89 90 resources determining seed production and masting behaviour^{15,30–32} because seeds and fruits are enriched with N and P compared to vegetative tissues³³. Low foliar 91 concentrations of N and P would imply lower photosynthetic rates²³ that would in turn 92 result in reduced accumulation of C, in addition to low accumulation of N and P. Thus, 93 based on the resource budget model, plant species with low foliar N and P 94 concentrations would be expected to exhibit stronger masting behaviour in terms of 95 variability and negative temporal autocorrelation. 96

97 Both foliar N and P have been reported to be positively correlated with investment in plant reproduction^{34–36}. Additionally, the interaction between foliar N and P, (i.e., the 98 N:P ratio) has been suggested to be an important indicator of plant nutritional status, to 99 promote vegetative productivity³⁷⁻⁴⁰ and to relate to reproductive effort³⁶ and ecological 100 strategies²⁸. The lower N:P ratio of seeds compared to vegetative tissues suggest that 101 102 nutrient imbalances may constrain reproduction more than growth³⁸. Previous studies have reported that plants and shoots producing flowers often exhibit lower N:P values 103 than those that do not^{38,41}, highlighting the role of nutrient imbalances in plant 104 reproduction. However, no study has yet been focused on the evolutionary linkage 105 106 between plant reproduction and foliar nutrient stoichiometry over a large set of species.

Here we hypothesize that mast seeding behaviour evolved predominantly in plant species with low foliar N and P concentrations and high N:P ratios. To test this hypothesis, we first explored the relationship between temporal variability and autocorrelation in reproductive effort using a global dataset of 219 plant species from 111 all the continents to see if higher variability in seed production is related to higher potential resource depletion (negative temporal autocorrelation)⁵. We then fitted 112 113 phylogenetic linear models to test whether masting intensity, here defined as the combination of temporal variability and autocorrelation using the consecutive disparity 114 (D) index^{42,43}, was related to foliar N, P and N:P ratio, while controlling for the 115 evolutionary history of the species and other potentially influencing factors such as 116 117 local climate and productivity of the regions where species were sampled. Finally, we 118 tested the model of evolution of masting behaviour by means of ancestral character reconstructions and explored whether it has evolved in concert with foliar N and P 119 economies. 120

121 Results

Redefining masting intensity: temporal variability, potential resource depletion and their evolutionary relationships

124 Our results indicated that temporal variability (here calculated as the proportional variability index⁴⁴ PV, see Methods) in seed production is evolutionary conserved 125 (Figure S1a), showing a strong phylogenetic signal⁴⁵. In contrast, lag-1 temporal 126 127 autocorrelation (AR1, indicating potential resource depletion when it takes negative values) is not phylogenetically conserved. Additionally, temporal variability and 128 autocorrelation are not evolutionary correlated. Hence, species exhibiting higher 129 temporal variability do not necessarily exhibit any particular pattern of temporal 130 autocorrelation (Figure S1b). Masting intensity, defined as D in this study (see 131 Methods), accounted for both features of masting behaviour (Figure 1), temporal 132 133 variability and potential resource depletion (negative AR1 coefficients), hence defining 134 masting behaviour more broadly than the coefficient of variation (CV) alone, as it is typically assessed⁴². Masting intensity was also preserved phylogenetically (Figure 1). 135 Our results suggest that most species exhibit low or intermediate masting intensity, 136 137 while only a few exhibit strong masting behaviour (see histogram in Figure 1a).

138 Estimating controls and the mode of evolution of masting behaviour

Phylogenetic models indicated a statistically significant negative interaction between 139 140 foliar N and P explaining variability in masting intensity across species (Table 1, Figure 2). Model results indicated that when foliar P is low, increasing foliar N increases 141 142 masting intensity as N:P increases. The inverse situation (high masting intensity at very low N:P ratios) is also possible according to model results, despite being a less likely 143 144 scenario (we only had 19 species with N:P < 8 in our dataset). The highest masting 145 intensity was found in species with high N:P while the lowest was found in species with 146 high foliar N and P concentrations. Species with low foliar N and P concentrations 147 showed intermediate values of masting intensity. Temporal variability of the local climate or productivity of the region from where species were sampled did not explain 148 149 variation in masting intensity. Mean annual precipitation, however, was positively related to masting intensity (Table 1). Temporal variability in seed production was 150 negatively related to mean annual temperature and positively related to temporal 151 variability of annual precipitation. Additionally, species with higher foliar P exhibited 152 lower temporal variability. When assessing temporal variability using the CV, we found 153 154 that species from regions with higher temporal variability in productivity also presented higher CV of seed production (β =0.22 ± 0.08, P=0.004, λ =0.58). Potential resource 155 depletion was more likely to occur in species living in climates exhibiting negative 156 157 temporal autocorrelation in annual precipitation (Table 1). Interestingly, the model also showed a positive interaction between foliar N and P, indicating that potential resource 158 159 depletion after seeding was more likely to occur in species with low foliar N and P.

Our analyses also revealed the evolutionary processes linking masting behaviour and foliar nutrient concentrations (**Table S1**). We found that adaptive processes - Ornstein-Uhlenbeck (OU) models based on ancestral character reconstructions (**Figure S2**) are the models that better explain current patterns in masting intensity, linked to foliar nutrient concentrations. Adaptive OU models explaining evolutionary history of masting 165 intensity with N, P and N×P (a measure of total N and P foliar concentration) assumed that species evolved toward different optimum values depending on whether they 166 167 present high or low masting intensity (masting and non-masting species) (OUM model). However, a single optimum for all species was equally likely for foliar N, P and N×P 168 (less than 2 units of AICc between models were found, OU1, see Methods). 169 Nonetheless, given that OUM models with different optimum values performed equally 170 171 well for foliar N, P and N×P (Table S1), OUM models are used to illustrate our results. The model that best fitted the data for foliar N:P was an adaptive OU model with 172 173 different optimum and phenotypic variation for high and low masting intensity species (OUMV model). 174

175 Results from OU models indicated that masting species had, on average, 9.5 ± 0.4% and 18.3 ± 0.5% lower foliar N and P concentrations, respectively, than non-masting 176 species (Figure 3, Table S2). Foliar N:P ratio was 11.4 ± 0.2% (mean ± standard error 177 of the mean) higher in masting species, while N×P, combined availability of N and P, 178 was 28.7 ± 1.0% lower than in non-masting species. These results were consistent 179 when using five different thresholds of masting intensity (see Methods) to classify 180 181 species as masting or non-masting species (Table S2). Like masting intensity, both 182 foliar N and P concentrations were preserved throughout the phylogeny (Figure S3).

183 Discussion

184 The role of foliar nutrients in seed production

Our results suggest that masting intensity co-evolved with species-specific optimal foliar N and P concentrations and that species with lower N and especially lower P, and therefore high N:P, exhibit higher masting intensity (**Figure 3**). Hence, our analyses supported our initial hypothesis stating that masting behaviour evolved predominantly in plant species with low foliar N and P concentrations and high N:P ratios. This observed evolutionary pattern may have originated because of different underlying 191 mechanisms driven by environmental and physiological constraints. One potential 192 mechanism explaining these findings could be the physiological role of foliar N and P 193 concentrations in plants. Foliar N is well known for being the primary limiting nutrient for reproduction^{34,35} and vegetative growth^{46,47}. Elevated foliar P concentrations, and low 194 N:P ratios, have been shown to allow larger seed crops in multiple species^{15,36,41,48}, 195 coinciding with fast growing species according to the growth rate hypothesis²⁶. P is also 196 197 essential to maintain water-use efficiency and growth, particularly during drought conditions⁴⁹. Both nutrients are essential elements of ribosomes and, therefore, play a 198 major role in organismal metabolism⁵⁰. The production of seeds, which are structures 199 enriched with N and P³³, may potentially benefit from high concentrations of nutrients 200 201 even more than vegetative tissues.

202 Low foliar N and P concentrations and high N:P imbalance are often indicative of unfavourable environments for plant growth^{15,51,52}, such as infertile soils. These poor 203 204 growing conditions are often related to dry or cold climates where decomposition of organic matter is constrained, rates of nutrient mineralisation and weathering are 205 low^{49,53}, or disturbances (e.g., wildfires) are frequent⁵⁴. However, differences in foliar N 206 and P concentrations may not always reflect differences in nutrient availability across 207 species, as coexisting species may have different elemental compositions (the 208 209 biogeochemical niche hypothesis²⁸). Nonetheless, plants with high foliar N and P 210 concentrations must either be growing in environments without nutrient limitations or have developed mechanisms that allow them to sustain high foliar nutrient 211 212 concentrations even if they are growing under unfavourable conditions. In any case, these higher concentrations of nutrients should confer a competitive advantage in 213 214 terms of C acquisition, because higher N and P concentrations are, on average, linked to higher photosynthetic rates²³. 215

216 Evolutionary history of masting intensity and foliar nutrients

217 Being capable to invest more in reproduction does not explain masting behaviour by itself, because equal average seed crops over time could lead to different reproductive 218 219 behaviours¹⁵. The necessary link between the ecological stoichiometry and masting 220 theoretical backgrounds lies in the resource accumulation hypothesis^{16,17}. Plant species with lower or imbalanced availability of N and P may present more difficulties in 221 acquiring the necessary amount of C, N and P to successfully produce seeds regularly, 222 223 thereby mechanistically producing a reproductive behaviour aligned with high masting intensity: high interannual variability and negative temporal autocorrelation (i.e., 224 225 potential resource depletion). The combination of low and imbalanced nutrient 226 availability, causing high variability in seed production and potential resource depletion after crops, and environmental variability that synchronises the reproduction of 227 individuals through weather cues^{7,19} would finally shape the reproductive behaviour of 228 229 masting species. In fact, our results also revealed that mean annual precipitation, and its temporal variability and autocorrelation, are related to the reproductive behaviour of 230 231 plant species (Table 1). These results indicate that even nutrient-rich species can present masting behaviour if they grow under climates with highly fluctuating weather 232 conditions. Therefore, we suggest that the interaction between weather conditions and 233 234 the availability of nutrients, both conditioning photosynthetic rates, are the triggering 235 factor of the common nonlinear (often exponential-like) response²² between seed production and weather variability in masting species^{8,18,21}. 236

As a result of the environmental and physiological constraints, species may have been selected to exhibit distinctive reproductive behaviours in order to increase their fitness. Nutrient-rich species may not have developed a resource-conservative masting-like reproduction strategy because of their capacity to produce abundant seeds with regularity, avoiding losing reproduction attempts. Instead, because the investment in reproduction in terms of C and nutrients should be proportionally more expensive for nutrient-poor or nutrient-imbalanced species, the pressure to exhibit more cost-efficient 244 reproduction may have selected such species to produce fewer but larger reproductive events in order to take advantage of one or more economies of scale^{6,7,11}. As a side 245 246 effect of these massive reproductive events, negative temporal autocorrelation would also have appeared in masting species because of potential resource depletion 247 (Figure S1). Hence, these particular traits would have been preserved throughout 248 evolutionary history (Figure 1) because foliar functional traits and masting intensity 249 250 have co-evolved (Figure 3, Table S2). Nonetheless, our results do not discard other potential selective pressures that may have triggered the evolution of masting 251 252 behaviour. Some species may have been selected to mast to improve their pollination efficiency⁵⁵, to escape seed predation from voracious predators^{8,9} or because of 253 interspecific competition in different ways (e.g., seedling establishment). Also, a 254 255 selective pressure towards reproducing more constantly could happen in animal-256 pollinated species, where a more constant production of flowers would favour populations of pollinators and, hence, pollination. Further research on reproductive 257 258 behaviour of early plants, such as bryophytes, and taxa from different realms using 259 concepts from the masting literature may facilitate better understanding of the evolution 260 of different reproductive behaviours in nature.

261 Methods

262 <u>Datasets</u>

263 Masting database

Data on interannual reproductive effort (seed or fruit production) were compiled from Web of Science searches, scanning the literature cited of published papers to look for more records of reproductive effort, contacting managers of wildlife surveys, forestry districts, and regional seed surveys, and soliciting datasets in the Ecolog listserv (https://listserv.umd.edu/archives/ecolog-l.html). See Pearse et al.¹⁴ for more information on data collection methods and characteristics of the dataset.

We only included records when: i) data were available for more than four consecutive 270 years per species at a given site (with clear geographical coordinates), ii) records could 271 272 be clearly assigned to plants of a particular species, iii) records were not measured in 273 such an indirect way their accuracy could be jeopardised (e.g., anticipated correlations 274 with gamete abundance), iv) data represented seed or fruit production, or inflorescence set only for those cases where inflorescences are strongly linked to seed or fruit 275 276 production, and v) records from iteroparous perennial plants whose seed set could not 277 be explained by changes in population size. We did not include records of pollen 278 production nor from records from agricultural settings.

Overall, the reproductive effort dataset contained 1084 records of reproductive effort including 363 plant species (trees, shrubs, vines, grasses and herbs) from 205 studies, ranging from 1900 to 2014 and covering the six majorly vegetated continents (Africa (17), North America (466), Europe (280), Japan (68), New Zealand (67), Central America (118) and other regions (68)). On average, records were 11.9 years long, although 131 studies had more than 20 years of data¹⁴.

For each site and species, we calculated the proportional variability index in seed production (PV)⁴⁴ as a measure of temporal variability. The PV index overcomes several statistical and mathematical issues of the CV index⁴⁴. The PV index was calculated as:

- -

$$PV = \frac{2\sum z}{n(n-1)}$$

290 Where z is calculated as:

291
$$z = 1 - \frac{\min(z_i, z_j)}{\max(z_i, z_j)}$$

Where "*z*" represents the list of individual values (seed production per year) from which to calculate the pairwise comparisons and "n" indicates the number of values in a 294 variable. We also calculated the lag-1 temporal autocorrelation (AR1) as a measure of 295 potential resource depletion using the acf function in R, and the consecutive disparity index (D)^{42,43} as a measure of masting intensity. We defined masting intensity as D 296 297 because, like the PV index, this index also overcomes several statistical and 298 mathematical limitations of the coefficient of variation (CV = standard deviation × mean⁻ ¹), including dependence on the mean, dependence on the length of the time series, 299 and bias associated with non-Gaussian data commonly used to describe masting^{13,14}. 300 301 More importantly, we used D because it combines two of the main features describing 302 masting behaviour: temporal variability and lag-1 autocorrelation (AR1) in seed production⁴². D is defined as: 303

304
$$D = \frac{1}{n-1} \sum_{i=1}^{n-1} \left| ln \frac{p_{i+1} + k}{p_i + k} \right|$$

305 Where p_i is the series value (seed production in our case) at time *i*, *n* is the series 306 length and k is a constant (often one unit) to avoid numerical indetermination in time 307 series with 0 values. D is high when temporal variability is high and lag-1 temporal 308 autocorrelation is negative (i.e., strong masting behaviour, showing potential resource depletion after large seed crops^{20,31}). Conversely, D is low when temporal variability is 309 310 low and temporal autocorrelation is close to zero or positive (i.e., describing a constant pattern of seed production and hence, no masting behaviour). Given that the CV is still 311 312 the most widely used index to assess temporal variability in masting studies, we also 313 calculated the CV of seed production per species and site to compare its results with those shown by the PV index. When multiple records from the same species were 314 315 available, we calculated the average masting intensity (D), temporal variability (PV and CV) and the potential resource depletion coefficient (AR1) per species. 316

317 Climate, productivity and foliar nutrients

We extracted mean annual temperature (MAT, °C) and precipitation (MAP, mm yr⁻¹) 318 and their seasonality (MAT_s [standard deviation of monthly values x 100] and MAP_s 319 320 [CV]) for each location in our masting database from the WorldClim2 database⁵⁶. The 321 climate database contains long-term means (1950-2000), calculated on a 30 arc-322 second grid. Data for variability and autocorrelation for temperature and precipitation was extracted from the CRU TS v3.25 dataset⁵⁷. To estimate site productivity we used 323 324 a remotely-sensed gross primary production (GPP) database⁵⁸. For annual temperature and precipitation of the CRU TS and the GPP global databases we 325 326 calculated D, PV and AR1 indices for each pixel. We then extracted climate (i.e., MAT_D, MAP_D, MAT_{PV}, MAP_{PV}, MAT_{AR1} and MAP_{AR1}) and productivity (i.e., GPP_D, GPP_{PV} and 327 GPP_{AR1}) data for each site and species in our masting database and calculated the 328 329 average per species. We used these variables in our statistical analyses to control for 330 site-specific differences in temporal variability and autocorrelation patterns of climate 331 and productivity.

Data for foliar concentration of N and P for the species in our database were gathered 332 from the TRY trait database (https://www.try-db.org/TryWeb/Home.php)59, the BIEN 333 database (http://bien.nceas.ucsb.edu/bien/)60, the ICP Forests database on foliar 334 elemental concentration⁶¹ (www.icp-forests.net), and the Catalan Forest Inventory 335 (http://www.creaf.uab.cat/iefc/). To estimate an average value per species, we first 336 337 calculated the mean value per species and database. We then merged all databases and calculated the mean values per species. Species names in our database were 338 339 checked and corrected using The Plant List database in the R package Taxonstand⁶². Phylogenetic analyses were performed using the plant phylogeny provided by Qian & 340 341 Jin (2016). Out of the 363 species in the masting database, 219 species (~60%) names 342 matched those in the phylogenetic tree, and therefore, only those were used for further analyses. Analyses using foliar N and P data were restricted to the 168 species (~46%) 343 for which we could find data. 344

345 *Data analyses*

346 Evolutionary link between masting intensity, temporal variability and autocorrelation

347 To explore how well the phylogenetic ancestry can explain masting behaviour, we first 348 estimated the phylogenetic signal (i.e. tendency for related species to resemble each 349 other more than they resemble species drawn at random from the phylogenetic tree) of masting intensity (D), PV and AR1 using the phylosig function in the R⁶⁴ package 350 351 *phytools*⁶⁵. Phylogenetic signal was assessed by the lambda (λ) metric, which varies 352 from 0 (where phylogenetic and trait similarity are totally independent) to 1 (where the traits are completely explained by shared ancestry). We then used continuous mapped 353 phylogenetic trees (contMap function in R package phytools) to visualise their 354 phylogenetic signal. Finally, we explored the evolutionary relationship between 355 temporal variability, temporal autocorrelation and masting intensity using pairwise 356 correlations correcting for the phylogeny. Relationships were shown using 357 phylomorphospace plots ⁶⁵, which depicts each species as a data point in a trait space, 358 together with the phylogenetic relationship of each species-point. 359

360 Controls of masting intensity and its mode of evolution

361 We first tested whether masting intensity (D) was related to climate, productivity, foliar 362 N and P concentrations and their interaction. To do so, we fitted phylogenetic linear models in which the response variable was masting intensity (D) and the predictors 363 were foliar N and P concentrations and their interaction, MAT, MAP, MAT_s, MAP_s, 364 MAT_D and MAP_D for climate and GPP and GPP_D for productivity. Phylogenetic models 365 366 were fitted optimising lambda (i.e., the strength of phylogenetic signal) and using the phylolm function in the R phylolm package⁶⁶. The final model was achieved by 367 removing the least significant terms from the full model, in a step-by-step process, until 368 369 all variable estimates were significant. The same models were fitted for the PV and AR1, but changing the predictors from D to PV or AR1 respectively (e.g., MAT_{PV} 370

instead of MAT_D when predicting PV). Because the CV has been widely used to assess temporal variability, we also fitted a model using CV as the predictor variable to compare its results with those of the model using the PV index. Masting intensity and PV were transformed to natural logarithms to normalise model residuals. We used the package *visreg*⁶⁷ to visualise model results.

376 Evolution of masting intensity and foliar N and P and their interaction

To test the hypothesis that masting behaviour has evolved as an adaptation to nutrient imbalances and low foliar N and P concentrations, we performed three step analysis. First, we classified species as masting and non-masting. Second, we reconstructed the ancestral state between the two types of reproducting behaviour, and third, we fitted different evolutionary models to test whether foliar concentrations of N, P and N:P ratio and N×P (N times P, as a measure of the overall availability of nutrients) evolved under the reconstructed discrete selective regimes (masting or non-masting).

To define masting behaviour, and as a test for robustness of our results, we classified 384 species as subsets that represent masting (high temporal variability and strong 385 potential resource depletion) or non-masting (low temporal variability and no resource 386 387 depletion) behaviours based on 5 different thresholds of masting intensity (D), selecting 388 only the lower and upper bounds for the analyses and discarding the intermediate species. The selected percentile thresholds were: i) from 0% to 33% non-masting 389 (N=38 species) and from 66% to 100% masting (N=58); ii) from 0% to 25% non-390 masting (N=32) and from 75% to 100% masting (N=43); iii) from 0% to 20% non-391 masting (N=27) and from 80% to 100% masting (N=34); iv) from 0% to 15% non-392 393 masting (N=22) and from 85% to 100% masting (N=26) and v) from 0% to 10% non-394 masting (N=16) and from 90% to 100% masting (N=17).

395 To reconstruct ancestral states of masting behaviour, for each of the five classifications 396 we performed stochastic character mappings⁶⁸, which reconstructs the state of the 397 ancestors of a phylogeny based on the observed traits of the current species and the 398 phylogenetic structure. Ancestral reconstructions were performed using the 399 *make.simmap* function in the *phytools* R package⁶⁵, simulating 1000 stochastic 400 ancestral reconstructions, specifying equal rates of transition amongst the character 401 states and using the "*mcmc*" method.

402 Once we had the 1000 stochastic character mappings for each masting classification, 403 we performed generalised Ornstein–Uhlenbeck Hansen models to test whether the inferred evolutionary trajectories in foliar concentrations of N, P, N:P and N×P 404 (hereafter "continuous traits") were associated with the two alternative masting 405 behaviour strategies (hereafter "ancestral states") and whether they followed an 406 407 adaptive (Ornstein-Uhlenbeck: OU) or random (Brownian motion-BM) model of evolution⁶⁹⁻⁷¹. To do so, we used the OU function from OUwie R package⁷². We fitted 408 five different models using the 1000 ancestral reconstructions mentioned above for 409 410 each classification. The five different models represent different types of underlying evolutionary processes, being: i) single-state BM models (BM1), where evolutionary 411 rates for the continuous traits are equal for all ancestral states, ii) BM models with 412 413 different evolutionary rates for each ancestral state (BMS), iii) OU models with a single optimal value for the continuous traits for all ancestral states (OU1), iv) OU models with 414 different optimal values but a single alpha (the strength of the pull towards the optimal 415 values of the trait) and single theta (the rate of phenotypic variation around the optimal 416 value) for each state (OUM), and v) OU models that assumed different optimal values 417 with multiple rates of phenotypic evolution (theta) for each state (OUMV). 418

Models containing negative eigenvalues (non-sound models) were deleted when summarising our results²⁹. Different evolutionary models were compared using secondorder Akaike information criterion (AICc) amongst all sound models. Those models with the lowest AICc were considered to be those that fitted the data best. For models assuming different optimal values of foliar N, P, N:P and N×P for masting and non424 masting species (i.e., OUM and OUMV models) we calculated the geometric mean of 425 the percentage differences of each model. Statistical differences in optimal values 426 estimated between subsets of masting and non-masting species by the OU models 427 with different state means (OUM and OUMV) were tested using paired *t*-tests. Given 428 that results pointed out to the same direction (see Supplementary Information) for all masting intensity thresholds, we only show those from the intermediate (0% to 20% for 429 430 non-masting and 80% to 100% for masting). These analyses used the 168 species for which we had data for masting intensity and foliar N and P concentrations. All statistical 431 analyses were perform with R statistical software version 3.5.1⁶⁴. 432

433 Data availability

The authors declare that the data supporting the findings of this study will be made openly available upon acceptance at USGS ScienceBase. Any use of trade, firm, or product names is for descriptive purposes only and does not imply endorsement by the US Government.

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628 Author Contributions

629 M.F-M., I.P., and I.A.J. conceived the paper. M.F-M and F.S analyzed the data. M.F-

630 M., J.S., J.P., I.P., W.K., and J.M.L, provided data. All authors contributed substantially

to the writing and discussion of the paper.

633 Figure captions

634 Figure 1: Masting behaviour intensity per species and its relationship with potential resource depletion (negative AR1) and temporal variability (PV) of 635 636 reproductive effort. Panel (a) shows the reconstructed evolution of masting intensity based on the disparity (D) index (see Methods) and 219 species. The inset graph 637 638 shows the distribution of values of masting intensity. Panels (b) and (c) are phylomorphospace plots showing the evolutionary correlation between masting 639 intensity (In(D), natural logarithm of disparity), potential resource depletion (AR1, 640 negative values indicate potential resource depletion may happen) and temporal 641 642 variability (PV). Plant silhouettes in panel (a) were drawn by FS and are available at PhyloPic (<u>http://phylopic.org</u>). 643

Figure 2: 3D graph showing the interaction between foliar N and P on masting intensity. Combined high foliar N and P concentrations decreased masting intensity, although the highest masting intensity was found in species with the highest N to P imbalances. Response surface of the negative interaction between N and P (P = 0.007) was estimated from a phylogenetic linear model (**Table 1**). See **Methods** for further information about the models.

650 Figure 3: Different optimum values of foliar N and P for subsets of masting and 651 non-masting species. Panel a shows model results for foliar N and P concentrations for a subset of species identified as masting (high masting intensity) and non-masting 652 based on percentiles 20th and 80th of the D distribution (see **Methods**). Panel b shows 653 654 model results for foliar N:P and N×P (overall nutrient availability) for masting and nonmasting species. For panels a and b, 1000 and 927 stochastic character mapping 655 simulations were respectively used (see Table S2). For foliar N:P results from OUMV 656 models were used, while for N, P and N×P, OUM models were used (see Table S1 657 and Table S2). See Methods for further information. 658

659 Table 1: Model summary of the phylogenetic linear models based on 168 species. Masting intensity was defined as the D index, PV indicates temporal 660 variability and AR1 potential resource depletion coefficient (i.e., negative values 661 662 indicate potential resource depletion). Beta (β) shows the standardised model 663 coefficients, followed by the standard error of the mean (s.e.m), the P-value (P) and lambda (λ) indicating the phylogenetic signal in the models. See **Methods** for further 664 665 information. Acronyms: mean annual precipitation (MAP), foliar nitrogen (N), foliar phosphorus (P), mean annual temperature (MAT), temporal autocorrelation at lag 1 666 (AR1). 667



Figure 1

671 Figure 2



672

673 Figure 3









	в	s.e.m	Ρ	λ
Masting intensity (D)				
МАР	0.22	0.09	0.016	
Ν	0.04	0.10	0.708	
Р	-0.04	0.10	0.674	
N×P	-0.19	0.07	0.007	0.47
Temporal variability (PV)				
ΜΑΡ _{ΡV}	0.16	0.08	0.042	
MAT	-0.18	0.09	0.040	
Ρ	-0.16	0.08	0.044	0.41
Potential resource depletion (AR1)				
MAP _{AR1}	0.27	0.08	0.001	
N	-0.09	0.10	0.001	
Р	-0.05	0.10	0.008	
N×P	0.22	0.07	0.002	0.00

678 Supplementary Material

Figure S1: Evolutionary relationship between potential resource depletion coefficient (AR1) and temporal variability (PV) in seed production shown in a continuous trait phylogenetic reconstruction (a) and a phylomorphospace plot (b). Potential resource depletion and variability in seed production were not evolutionary correlated. Negative values of AR1 indicate that potential resource depletion may happen, see **Methods**. N=219 species.



Figure S2: Phylogenetic tree including the subset of low (non-masting) and high 686 masting intensity (masting) species used to perform the generalised Ornstein-687 Uhlenbeck model results presented in the main text (20th – 80th percentile thresholds 688 for non-masting and masting species, Figure 3, Table S1 and S2). The phylogenetic 689 tree includes the estimated probability that ancestor nodes were masting or non-690 691 masting species (large circles) as pie charts. Small circles indicate the current category 692 of the species. The ancestral character reconstruction was performed using 1000 693 stochastic character-mapped trees (see Methods for further information).



Figure S3: Evolutionary relationship between foliar N and P shown in a continuous trait
phylogenetic reconstruction (a) and a phylomorphospace plot (b). Foliar N and P
concentrations were evolutionary correlated. N=168 species.



Table S1: Mean differences (ΔAICc, second-order Akaike information criterion) 700 between each of the model's AICc and the model with the lowest AICc. Evolutionary 701 models were Brownian motion (BM1, BMS) and generalised Ornstein-Uhlenbeck-702 based Hansen (OU1, OUM, OUMV), fitting "masting" and "non-masting" species-state 703 704 and foliar nutrient concentrations (N: nitrogen, P: phosphorus, N:P: ratio N-to-P and, 705 N×P: N times P (overall nutrient availability). Average AICc values were calculated 706 using the subset of models in which none of them presented negative eigenvalues 707 (sound models, column N). Non-masting and masting columns indicate the number of 708 species used in each category depending on the percentile of masting intensity used to 709 classify species as non-masting (i.e., higher than e.g., 33%) and masting (i.e., lower 710 than e.g., 66%). Models with Δ AICc lower than 2 (indicating equal performance) were 711 highlighted. See **Methods** for further information.

	BM1	BMS	OU1	OUM	OUMV	Ν	Non-masting	Masting
33 - 66%							38	58
Ν	36.36	30.79	0.00	1.35	3.19	1000		
Р	42.52	46.34	0.51	1.39	0.00	1000		
N:P	123.81	98.59	18.25	20.23	0.00	903		
N×P	31.99	35.48	0.00	0.69	0.25	1000		
25 - 75%							32	43
Ν	35.51	26.82	0.00	1.11	2.43	1000		
Р	33.48	36.88	0.00	0.77	1.04	1000		
N:P	112.98	85.34	21.92	23.69	0.00	906		
N×P	27.60	30.71	0.00	0.50	1.34	1000		
20 -8 0%							27	34
Ν	29.05	17.30	0.00	1.11	1.28	1000		
Р	29.46	32.96	0.00	0.54	1.35	1000		
N:P	97.95	72.61	19.86	21.29	0.00	927		
N×P	23.34	26.12	0.00	0.46	2.07	1000		
15 - 85%							22	26
Ν	25.21	18.42	0.00	0.68	2.34	1000		
Р	23.22	27.27	1.08	0.27	0.00	998		
N:P	97.78	68.37	30.33	31.11	0.00	890		
N×P	15.37	18.94	0.25	0.00	0.96	1000		
10 - 90%							16	17
Ν	24.60	19.25	0.00	2.15	4.33	999		
Р	14.88	17.99	0.00	1.78	3.96	999		
N:P	76.40	52.25	29.94	31.03	0.00	914		
N×P	11.35	13.51	0.00	1.89	4.31	1000		

Table S2: Estimated foliar nitrogen (N) and phosphorus (P) concentrations, N:P and N×P (overall nutrient availability) optimal values for 712 masting and non-masting species using OUMV and OUM models (see Methods for further information about the models), chosen based on the 713 714 lowest ΔAICc estimating different state means for masting and non-masting species (Table S1). Masting and non-masting species were classified depending on the percentile of masting intensity (e.g., masting for higher than 66%, non-masting for lower than 33%, see subheaders 715 within the table). Columns 2.5%, 50 and 97.5% indicate, for masting and non-masting species, the percentiles of the optimal values based on 716 the sound models (without negative eigenvalues, N column) used. M>N% indicate the percentage of models in which masting species 717 718 presented average higher N, P, N:P or N×P optimal values than non-masting species. Δ M-N, followed by s.e.m (standard error of the mean), indicate the paired (across simulations) difference between optimal values in masting and non-masting species. P (t-test) shows the P-value of 719 the paired t-test testing for differences in the mean optimal values of masting and non-masting species. Δ M-N%, followed by s.e.m., indicates 720 the average percentual difference (geometric, paired differences) in mean optimal values between masting and non-masting species. 721

723 Table S2:

		Masting		I	Non-mastin	g								
	2.5%	50%	97.5%	2.5%	50%	97.5%	M>N%	ΔM-N	s.e.m	P (<i>t</i> -test)	ΔM-N%	s.e.m	Ν	Model
33 - 66%														
Ν	1.59	1.78	1.92	1.70	1.92	2.13	20.5%	-0.15	0.01	<0.001	-7.6%	0.4%	1000	OUM
Р	0.15	0.17	0.18	0.17	0.20	0.21	6.2%	-0.03	0.00	<0.001	-14.7%	0.3%	1000	OUMV
N:P	10.57	11.15	11.44	10.46	10.79	11.28	83.5%	0.31	0.01	<0.001	2.9%	0.1%	903	OUMV
N×P	0.26	0.31	0.38	0.33	0.42	0.50	9.3%	-0.10	0.00	<0.001	-24.3%	0.7%	1000	OUMV
25 - 75%														
N	1 57	1 73	1 01	1 7/	1 0/	2 1 1	11 5%	-0.20	0.01	<0.001	-10 5%	0 3%	1000	OUM
P	0.14	0.16	0.18	0.17	0.19	0.22	10.1%	-0.20	0.01	<0.001	-15.2%	0.3%	1000	OUM
N:P	10.14	11 38	11 03	10/13	10.15	11 17	02.1%	0.05	0.00	<0.001	5 3%	0.470	906	
N×P	0.23	0.31	0.30	0.33	0.75	0.51	Q Q%	-0.11	0.01	<0.001	-27.2%	0.170	1000	OUM
	0.25	0.51	0.55	0.55	0.42	0.51	5.570	-0.11	0.00	<0.001	-27.270	0.870		00m
20 - 80%														
Ν	1.55	1.76	2.02	1.68	1.97	2.18	23.3%	-0.19	0.01	<0.001	-9.5%	0.4%	1000	OUM
Р	0.14	0.16	0.19	0.18	0.20	0.23	9.0%	-0.04	0.00	<0.001	-18.3%	0.5%	1000	OUM
N:P	10.85	11.74	12.53	10.06	10.51	10.88	97.7%	1.20	0.02	<0.001	11.4%	0.2%	927	OUMV
N×P	0.22	0.32	0.42	0.33	0.45	0.54	11.9%	-0.13	0.00	<0.001	-28.7%	1.0%	1000	OUM
15 - 85%														
N	1 5 4	1 71	1 05	1 77	2.02	2 22	10.000/	0.20	0.01	<0.001	14 20/	0 40/	1000	
P	1.54	1.71	1.95	1.77	2.03	2.22	10.00%	-0.29	0.01	<0.001	-14.3%	0.4%	998	
ı N∙P	0.14	0.10	0.18	0.20	0.22	0.24	0.9%	-0.00	0.00	<0.001	-20.1%	0.3%	890	
NyP	10.44	11.88	12.80	9.28	9.71	9.99	99.7% 2 800/	2.12	0.02	<0.001	21.7%	0.2%	1000	
INAF	0.21	0.30	0.41	0.38	0.49	0.59	3.80%	-0.19	0.00	<0.001	-38.7%	1.0%	1000	COM
10 - 80%														
Ν	1.67	1.87	2.05	1.64	1.85	2.05	54.2%	0.02	0.01	<0.001	1.3%	0.4%	999	OUM
Р	0.14	0.18	0.21	0.18	0.20	0.24	12.0%	-0.03	0.00	< 0.001	-14.8%	0.5%	999	OUM
N:P	9.90	12.52	13.96	9.49	9.85	10.22	96.6%	2.46	0.04	< 0.001	24.5%	0.3%	914	OUMV
N×P	0.23	0.36	0.48	0.33	0.45	0.56	20.6%	-0.09	0.00	< 0.001	-22.2%	2.0%	1000	OUM