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1 Amoxicillin for acute lower respiratory tract
2 infection in primary care: subgroup analysis by
3 bacterial and viral etiology

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24 **Running title:** Amoxicillin for high-risk patients

25 **Keywords:** Amoxicillin; etiology; illness deterioration; lower respiratory
26 tract infection; symptom duration; symptom severity

27

28

29 **Abstract**

30 **Objective.** We aimed to assess the effects of amoxicillin treatment in adult
31 patients presenting to primary care with a lower respiratory tract infection
32 (LRTI) who are infected with a potential bacterial, viral, or mixed
33 bacterial/viral infection.

34 **Methods.** The multicenter randomized controlled trial focused on adults
35 with LRTI not suspected for pneumonia. Patients were randomized to
36 receive either antibiotic (amoxicillin 1g) or placebo three times daily for
37 seven consecutive days using computer-generated random numbers (follow-
38 up 28 days). In this secondary analysis of the trial, symptom duration
39 (primary outcome), symptom severity (scored 0-6), and illness deterioration
40 (reconsultation with new or worsening symptoms, or hospital admission)
41 were analyzed in pre-specified subgroups using regression models.
42 Subgroups of interest were patients with a (strictly) bacterial, (strictly) viral
43 or combined infection and patients with elevated values of procalcitonin, C-
44 reactive protein or blood urea nitrogen.

45 **Results.** 2058 patients (amoxicillin n=1036; placebo n=1022) were
46 randomized. Treatment did not affect symptom duration (n=1793). Patients
47 from whom a bacterial pathogen only was isolated (n = 207) benefited from
48 amoxicillin in that symptom severity (n= 804) was reduced by 0.26 points
49 (95% CI: [-0.48; -0.03]). The odds of illness deterioration (n=2024) was
50 0.24 (95% CI: [0.11; 0.53]) times lower from treatment with amoxicillin
51 when both a bacterial and a viral pathogen were isolated (combined
52 infection; n=198).

53 **Conclusions.** Amoxicillin may reduce the risk of illness deterioration in
54 patients with a combined bacterial and viral infection. We found no
55 clinically meaningful benefit from amoxicillin treatment in other subgroups.

56

57

58 **Introduction**

59 Acute lower respiratory tract infection (LRTI) is common in primary
60 care.[1] Antibiotic treatment is of limited benefit both overall and in
61 subgroups at higher risk of an adverse course. Nevertheless, antibiotics are
62 prescribed for most patients with LRTI.[2–5] Primary analysis of the largest
63 trial to date, the Genomics to combat Resistance against Antibiotics in
64 Community-acquired LRTI (GRACE; <http://www.grace-lrti.org>)
65 randomized placebo controlled trial (RCT), found no clear evidence of a
66 clinically meaningful benefit from treatment with amoxicillin.[2] A follow-
67 up analysis that examined the benefit of amoxicillin in clinically defined
68 subgroups of patient with LRTI who are most likely to be prescribed
69 antibiotics (i.e. patients with green sputum or those with significant
70 comorbidities) found no clear evidence of meaningful benefit from
71 amoxicillin even in these subgroups.[3] Only those patients with evidence of
72 pneumonia on chest X-ray benefited from amoxicillin treatment.[6]

73 However, it is unclear whether patients infected with bacterial pathogens
74 might selectively benefit from antibiotic treatment, and filling this evidence
75 gap could help better target antibiotic prescribing in primary care. This
76 secondary analysis of the GRACE RCT therefore aims to assess whether
77 patients from whom potential bacterial pathogens are isolated receive
78 benefit from amoxicillin treatment. In addition, we aimed to assess whether
79 isolation of a viral pathogen and high levels of C-reactive protein (CRP),
80 blood urea nitrogen (BUN) or procalcitonin (PCT) were associated with
81 benefit from treatment with amoxicillin . [7–9]

82

83 **Methods**

84 *Data*

85 The details of the GRACE RCT have been described in detail elsewhere.[2]
86 In summary, non-pregnant adults presenting to primary care with acute
87 cough, in whom pneumonia was not suspected, were recruited between
88 November 2007 and April 2010 by primary care physicians in 16 networks
89 across 12 European countries (Belgium, England, France, Germany, Italy,
90 the Netherlands, Poland, Spain, Slovakia, Slovenia, Sweden and Wales).
91 Patients who did not consume antibiotics in the month before consultation,
92 were randomized to receive either an antibiotic (amoxicillin 1g) or a placebo
93 three times daily for seven consecutive days. All patients were asked to
94 complete a symptom diary daily until their symptoms had settled (up to a
95 maximum of 28 days). The diary recorded the severity of cough, phlegm,
96 shortness of breath, wheezing, runny nose, chest pain, muscle ache,
97 headache, disturbed sleep, feeling unwell, fever and interference with daily
98 activities. Symptoms were scored on a 7 point scale (0: normal / not
99 affected, 1: very little problem, 2: slight problem, 3: moderately bad, 4: bad,
100 5: very bad, 6: as bad as it could be).[10] For each patient, a nasopharyngeal
101 swab was taken on the day of presentation. This sample was then analyzed
102 using bacterial and viral polymerase chain reaction analysis. We tested for
103 both bacterial pathogens (*Streptococcus pneumoniae*, *Haemophilus*
104 *Influenza*, *Mycoplasma pneumoniae*, *Chlamydia pneumoniae*, *Bordetella*
105 *pertussis*, *Legionella pneumoniae*) and viral pathogens (rhinovirus,
106 influenza virus, coronavirus, respiratory syncytial virus, human
107 metapneumovirus, parainfluenza virus, adenovirus, polyomavirus,
108 bocavirus).[11] Samples with a pathogen present, either bacterial or viral,
109 are referred to as confirmed infections. Samples in which a bacterial
110 pathogen was detected are referred to as bacterial infections. If no viral
111 pathogens were present in these samples, they are referred to as purely
112 bacterial infections. Samples in which a viral pathogen was detected are
113 referred to as viral infections. If no bacterial pathogens were present in
114 these samples, they are referred to as purely viral infections. Samples in

115 which both a bacterial and a viral pathogen were detected are referred to as
116 combined infections. Note that these categorizations are not mutually
117 exclusive. Within 24 hours of presentation to the GP, a venous blood sample
118 was obtained. CRP and BUN were measured using the conventional
119 immunoturbidimetric method. PCT was measured using a rapid sensitive
120 assay. [11] We defined an elevated CRP, PCT and BUN as the top 25% of
121 measurements in our patient population (referred to as high CRP, high PCT
122 and high BUN, respectively).

123

124 *Main outcomes*

125 ***Symptom duration.*** The primary outcome was the duration of symptoms
126 rated moderately bad or worse by the patient (score 3 or above) following
127 the initial presentation (in days).[12]

128 ***Symptom severity.*** A secondary outcome was symptom severity, calculated
129 as the mean diary score for all symptoms on days 2-4 (rated by the patient).
130 This time frame was selected because before day 2 antibiotics will have had
131 little chance to provide benefit, and after day 4 the overall symptom severity
132 is less than moderately bad.[12]

133 ***Illness deterioration.*** An additional secondary outcome was illness
134 deterioration, defined as a return to the physician with worsening symptoms,
135 new symptoms, new signs or illness requiring admission to hospital within
136 four weeks of the initial consultation (documented through a notes
137 review).[13]

138 *Analysis*

139 We fitted a Cox regression model for symptom duration (allowing for
140 censoring), a linear regression model for symptom severity and a logistic
141 regression model for illness deterioration.[14–16] All analyses controlled

142 for severity of symptoms at baseline and included an interaction term
143 between a particular subgroup (in the studied subgroup or not) and
144 treatment (amoxicillin or placebo). This interaction term was used to assess
145 whether the effectiveness of amoxicillin treatment varied by the subgroup.
146 Similar models, excluding the interaction term, were fitted for patients in the
147 selected subgroup.

148 The subgroups of interest were patients with a confirmed, bacterial, purely
149 bacterial, viral, purely viral or combined infection. We were also interested
150 in subgroups with a high CRP, high BUN or high PCT. Subgroups were not
151 mutually exclusive.

152 *Ethics approval*

153 The study was approved by ethics committees in all participating countries.
154 The competent authority in each country also gave their approval. Patients
155 who fulfilled the inclusion criteria were given written and verbal
156 information on the study and provided written informed consent. The
157 GRACE RCT is registered with EudraCT (2007-001586-15), UKCRN
158 Portfolio (ID 4175), ISRCTN (52261229), and FWO (G.0274.08N).

159

160 **Results**

161 In total, 2058 patients (out of 2061) that did not consume antibiotics in the
162 month before consultation were randomized. Symptom duration and
163 symptom severity were reported for 87% (1793/2058) and 88% (1804/2024)
164 of patients respectively. Illness deterioration (or no deterioration) was
165 documented in 98% (2024/2058) of whom 18% (355/2024) experienced
166 illness deterioration. The vast majority of those with illness deterioration
167 represented reconsultation with new or worsening symptoms. Sample size
168 information for subgroup analyses is presented in Figure 1.

169 **Symptom duration.** No subgroups were identified that were significantly
170 more likely to benefit from amoxicillin for the duration of symptoms (in
171 days) rated moderately bad or worse (Table 1).

172 **Symptom severity.** Patients with a purely bacterial infection benefitted from
173 amoxicillin treatment (Table 2; interaction term -0.25 (95% CI: [-0.49;
174 0.00])); the mean symptom severity score was 0.26 (95% CI: [-0.48; -0.03])
175 points lower compared to patients on placebo (Table 2).

176 **Illness deterioration.** Patients with a bacterial infection benefited from
177 amoxicillin in terms of illness deterioration (Table 3; interaction term 0.47
178 (95% CI: [0.27; 0.82]) OR 0.46 (95% CI: [0.29; 0.75]).

179 Patients with a combined infection treated with amoxicillin were less likely
180 to experience illness deterioration (Table 3; interaction term 0.26 (95% CI:
181 [0.11; 0.59] OR 0.24 (95% CI: [0.11; 0.53]) : 32% (95% CI: [23-41%]) of
182 patients receiving placebo experienced illness deterioration compared to
183 only 10% (95% CI: [4-16%]) of patients receiving amoxicillin (Figure 2).

184

185 **Discussion**

186 We found no clear evidence of clinically meaningful benefit in terms of
187 symptom duration from amoxicillin treatment in patients consulting in
188 primary care with LRTI and from whom we isolated potential bacterial
189 pathogens, viral pathogens or identified mixed viral/bacterial infections.
190 However, amoxicillin treatment did reduce symptom severity among
191 patients with a purely bacterial infection, and did reduce the risk of illness
192 deterioration in patients with a combined infection, but this effect was not
193 seen among those with a purely bacterial infection.

194 Previous analyses from this GRACE trial of amoxicillin versus placebo in
195 patients presenting with acute LRTI in primary care found that amoxicillin

196 provided little benefit, both overall and in patients aged 60 and above. In
197 fact, amoxicillin treatment was even associated with slight harm, in that
198 more patients experienced side effects than were prevented from
199 experiencing illness deterioration [2] A secondary subgroup analysis found
200 that only those patients with significant co-morbidities (mostly asthma or
201 chronic obstructive pulmonary disease) benefitted from amoxicillin
202 treatment in terms of reduced symptom severity between days 2 and 4 after
203 first consulting in primary care. However, there was no benefit in terms of
204 symptom duration or odds of illness deterioration, suggesting questionable
205 clinical significance of the modest statistical short-term benefits of
206 amoxicillin treatment in this subgroup .[3]

207 The secondary subgroup analysis presented here has found that patients with
208 a purely bacterial infection benefit from amoxicillin in terms of reduced
209 symptom severity, and that patients with a combined infection benefit from
210 amoxicillin in terms of a reduced chance of illness deterioration. Although
211 the benefit from amoxicillin treatment in those infected only by potential
212 bacterial pathogens is of questionable clinical significance and has only
213 borderline statistical significance, the effect in the combined infection group
214 was an almost 20% reduction in the probability of illness deterioration.

215 We only found clear evidence of benefit (with p-values below 0.01) from
216 amoxicillin treatment in the group of patients who had a bacterial infection.
217 Given that the amoxicillin treatment is on average ineffective in patients
218 with a purely bacterial infection, the effect of antibiotics in patients with a
219 bacterial infection is driven by the effect in those patients with a combined
220 infection. Assuming that this effect was not due to chance, it may be
221 biologically plausible: viral infections may predispose to secondary bacterial
222 infections by causing mucosal damage or inflammation, lead to a longer or
223 more severe illness course, and thus make these patients more likely to
224 benefit from amoxicillin.[17–19]. However, the number of patients with a

225 combined infection (9.6%; 199/2056) who could potentially benefit from
226 antibiotic treatment indicates that the clinical impact of developing
227 prediction rules or point of care tests for such patients is limited: 50 patients
228 would have to be tested with a range of bacterial and viral diagnostic tests in
229 order to identify five who have a combined infection, and all of these would
230 have to be treated for one individual to benefit. Not only would such a
231 policy need to be shown to be cost-effective in the short term, but the
232 potential medicalization of illnesses (by signaling to the population that
233 people with LRTI need to be tested) would have to be considered. Because
234 neither symptom duration nor symptom severity were clearly affected by
235 amoxicillin treatment, and the odds of illness deterioration was influenced
236 by amoxicillin treatment only in a very specific subgroup. The potential
237 benefits of amoxicillin treatment should therefore be balanced against side-
238 effects, such as diarrhea, nausea or skin rash and the long-term risk of
239 antibiotic resistance.[20] Thus, most of these patients should probably not
240 be prescribed an antibiotic, and/or clinicians could consider using a delayed
241 antibiotic prescription, in order to avoid inappropriate use of antibiotics.[21]
242 Nevertheless, it is important to be aware of the potential harm caused by
243 under-treatment of a combined infection, so all patients need to be given
244 clear advice about when to reconsult.

245 *Strengths and limitations*

246 The findings from this study are applicable to European primary care
247 clinical practice, as patient recruitment took place in 16 networks across 12
248 European countries. Some of the subgroups we studied were small,
249 increasing risk of a Type II error. The subgroup with combined bacterial and
250 viral infection was also not specified in advance, which increases the risk of
251 a ‘false positive’ result (type I error) due to multiple comparisons, and thus
252 the results should be interpreted with caution. Similarly, the impact of
253 amoxicillin on symptom severity among patients with a purely bacterial

254 infection was of borderline significance, and was also of doubtful clinical
255 importance. In contrast, the impact of amoxicillin treatment on reducing the
256 risk of illness deterioration in patients with a bacterial infection, and in
257 patients with a combined infection, was highly statistically significant.

258 *Conclusion*

259 We found no clear evidence of benefit from amoxicillin treatment in adults
260 presenting to primary care with LRTI for symptom severity or duration,
261 irrespective of etiology or biomarker test results. Amoxicillin treatment does
262 reduce the risk of illness deterioration when both a viral and a bacterial
263 pathogen are isolated. However, point of care testing to target antibiotic
264 prescribing only to those with a combined bacterial and viral infection is
265 unlikely to be a cost effective.

266

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294

295 **Conflicts of interest**

296 We have no conflicts of interest to declare.

297

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- 379

Table 1. Symptom duration* in patients consulting in primary care with LRTI treated with amoxicillin versus placebo.

| | Median symptom duration (IQR) | | Interaction term ^a [95% CI] | p-value | Hazard ratio for subgroup ^a [95% CI] | |
|---|-------------------------------|------------|---|---------|--|---------|
| | Amoxicillin | Placebo | | | | p-value |
| Whole cohort (n=1804) | 6 (3-11) | 7 (3-13) | | | 1.06 [0.96 – 1.17] | 0.268 |
| Confirmed infection (n=1163) | 6 (3-11) | 7 (4-11) | 0.92 [0.75 – 1.14] | 0.435 | 1.03 [0.91 – 1.16] | 0.673 |
| Bacterial infection (n=392) | 6 (3-16) | 7 (4-14) | 0.96 [0.76 – 1.23] | 0.767 | 1.03 [0.83 – 1.27] | 0.821 |
| Purely bacterial infection (n=209) | 5 (3-16.5) | 9 (5-17) | 1.10 [0.80 – 1.51] | 0.554 | 1.13 [0.84 – 1.53] | 0.421 |
| Viral infection (n=883) | 6 (3.5-11) | 7 (3-11) | 0.92 [0.75 – 1.12] | 0.394 | 1.01 [0.88 – 1.17] | 0.884 |
| Purely viral infection(n=700) | 6 (3-11) | 7 (3-11) | 0.98 [0.80 – 1.21] | 0.855 | 1.04 [0.89 – 1.23] | 0.599 |
| Combined infection (n=183) | 7 (4-14) | 6 (3.5-11) | 0.83 [0.59 – 1.15] | 0.250 | 0.89 [0.65 – 1.21] | 0.450 |
| High PCT (n=436) | 6 (4-13) | 7 (4-13) | 1.06 [0.84 – 1.34] | 0.602 | 1.09 [0.89 – 1.33] | 0.423 |

| | | | | | | |
|-------------------------|----------|----------|--------------------|-------|--------------------|-------|
| High BUN (n=441) | 6 (3-13) | 7 (3-13) | 0.96 [0.76 – 1.21] | 0.723 | 0.99 [0.81 – 1.22] | 0.956 |
| High CRP (n=421) | 6 (4-11) | 7 (4-12) | 1.03 [0.81 – 1.31] | 0.797 | 1.06 [0.86 – 1.31] | 0.567 |

381 * Calculated as the median (IQR) number of days with symptoms rated moderately bad or worse by the patient following the initial
382 presentation.

383 IQR: Interquartile range. ^a Estimates controlled for baseline symptom severity; values < 1 favor amoxicillin.

384

385 **Table 2. Symptom severity* (standard deviation) in patients consulting in primary care with LRTI treated with amoxicillin versus**
386 **placebo.**

| | Amoxicillin | Placebo | Interaction term^a [95% CI] | p- value | Difference for subgroup^a [95% CI] | p- value |
|-------------------------------------|--------------------|----------------|--|---------------------------|---|---------------------------|
| Whole cohort (n=1793) | 1.59 (0.95) | 1.70 (1.01) | | | -0.07 [-0.15 – 0.01] | 0.065 |
| Confirmed infection (n=1158) | 1.71 (0.99) | 1.82 (1.02) | 0.03 [-0.13 – 0.19] | 0.720 | -0.06 [-0.16 – 0.04] | 0.221 |
| Bacterial infection (n=390) | 1.56 (0.95) | 1.87 (1.05) | -0.09 [-0.28 – 0.10] | 0.330 | -0.14 [-0.31 – 0.03] | 0.108 |

| | | | | | | |
|---|-------------|----------------|-------------------------|-------|-----------------------|-------|
| Purely bacterial infection (n=207) | 1.44 (0.95) | 1.90 (1.09) | -0.25 [-0.49 – 0.00] | 0.048 | -0.26 [-0.48 – -0.03] | 0.027 |
| Viral infection (n=880) | 1.78 (1.00) | 1.83 (1.01) | 0.12 [-0.03 – 0.28] | 0.119 | -0.02 [-0.13 – 0.10] | 0.801 |
| Purely viral infection (n=697) | 1.80 (1.01) | 1.83 (1.01) | 0.09 [-0.07 – 0.25] | 0.251 | -0.02 [-0.15 – 0.11] | 0.755 |
| Combined infection (n=183) | 1.69 (0.94) | 1.84 (1.00) | 0.10 [-0.15 – 0.36] | 0.423 | -0.01 [-0.27 – 0.25] | 0.943 |
| High PCT (n=434) | 1.67 (0.98) | 1.87 (1.14) | -0.09 [-0.27 – 0.09] | 0.326 | -0.13 [-0.30 – 0.04] | 0.144 |
| High BUN (n=439) | 1.45 (0.93) | 1.52 (0.98) | -0.03 [-0.21 – 0.16] | 0.782 | -0.08 [-0.23 – 0.07] | 0.294 |
| High CRP (n=420) | 1.88 (1.00) | 2.03 (1.03) | -0.07 [-0.25 – 0.12] | 0.473 | -0.12 [-0.29 – 0.06] | 0.201 |

387 * Calculated as the mean (standard deviation) diary score for all symptoms on days 2-4 (rated by the patient)

388 ^a Estimates controlled for baseline symptom severity; negative values favor amoxicillin.

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Table 3. Illness deterioration* in patients consulting in primary care with LRTI treated with amoxicillin versus placebo.

| | Amoxicillin | Placebo | Interaction term ^a | | Odds ratio for subgroup ^a | |
|---|-------------|----------|-------------------------------|---------|--------------------------------------|---------|
| | | | [95% CI] | p-value | [95% CI] | p-value |
| Whole cohort (n=2024) | 162/1019 | 193/1005 | | | 0.80 [0.63 – 1.00] | 0.051 |
| Confirmed infection (n=1292) | 100/652 | 137/640 | 0.58 [0.36-0.95] | 0.029 | 0.67 [0.50-0.88] | 0.005 |
| Bacterial infection (n=420) | 30/189 | 67/231 | 0.47 [0.27-0.82] | 0.007 | 0.46 [0.29-0.75] | 0.002 |
| Purely bacterial infection (n=222) | 21/100 | 32/122 | 0.91 [0.46-1.79] | 0.792 | 0.75 [0.40-1.40] | 0.364 |
| Viral infection (n=1000) | 72/514 | 98/486 | 0.66 [0.41-1.04] | 0.075 | 0.64 [0.46-0.90] | 0.010 |
| Purely viral infection (n=802) | 63/425 | 63/377 | 1.12 [0.69-1.81] | 0.639 | 0.87 [0.59-1.27] | 0.464 |
| Combined infection (n=198) | 9/89 | 35/109 | 0.26 [0.11-0.59] | 0.001 | 0.24 [0.11-0.53] | <0.001 |
| High PCT (n=481) | 39/248 | 59/233 | 0.62 [0.36-1.06] | 0.079 | 0.55 [0.35-0.86] | 0.010 |
| High BUN (n=473) | 40/235 | 45/238 | 1.15 [0.67-1.99] | 0.605 | 0.88 [0.55-1.41] | 0.593 |
| High CRP (n=478) | 41/239 | 49/239 | 1.03 [0.60-1.75] | 0.927 | 0.80 [0.51-1.27] | 0.350 |

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* Defined as a return to the physician with worsening symptoms, new symptoms, new signs or illness requiring admission to hospital

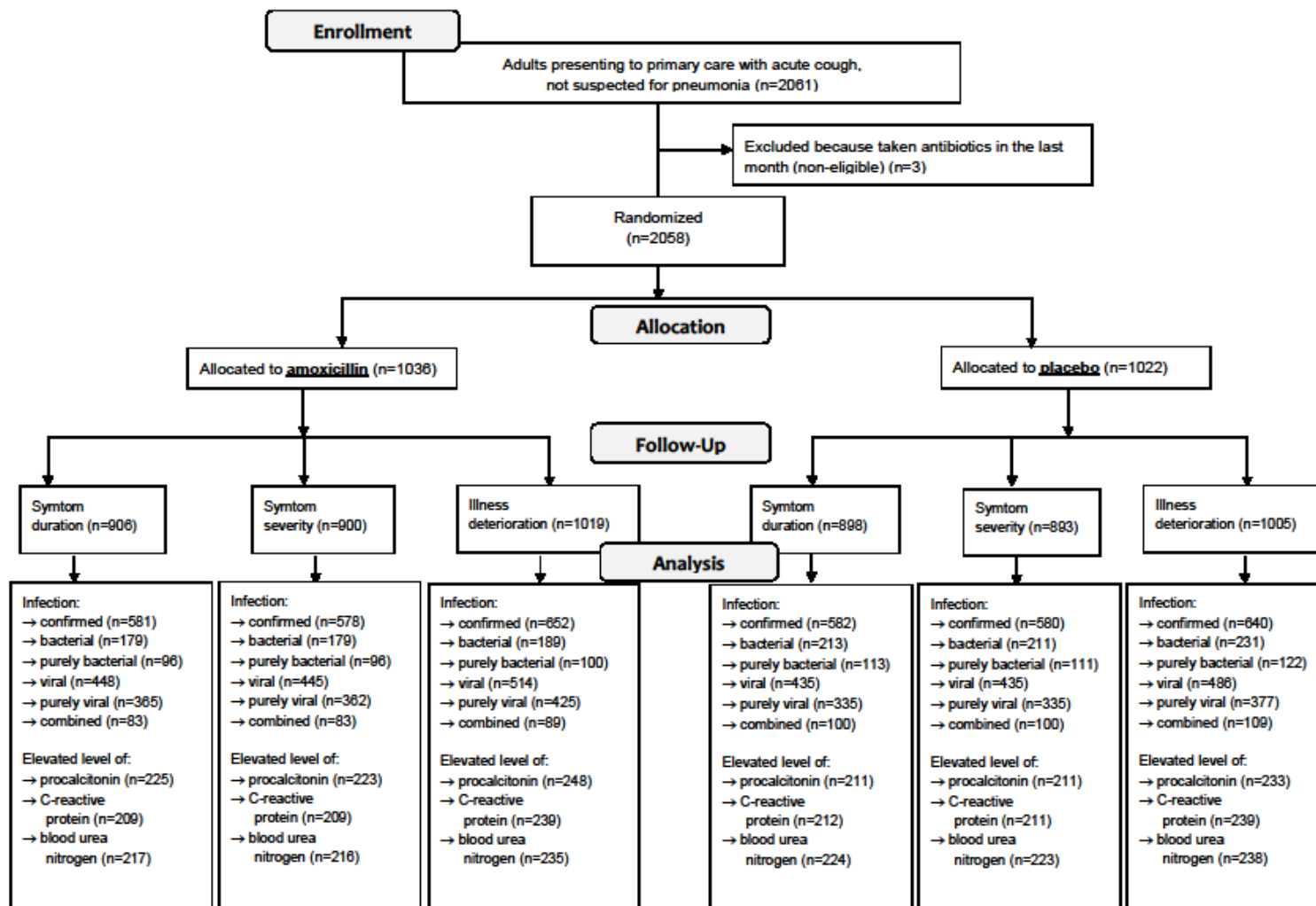
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within four weeks of the initial consultation (determined through a notes review)

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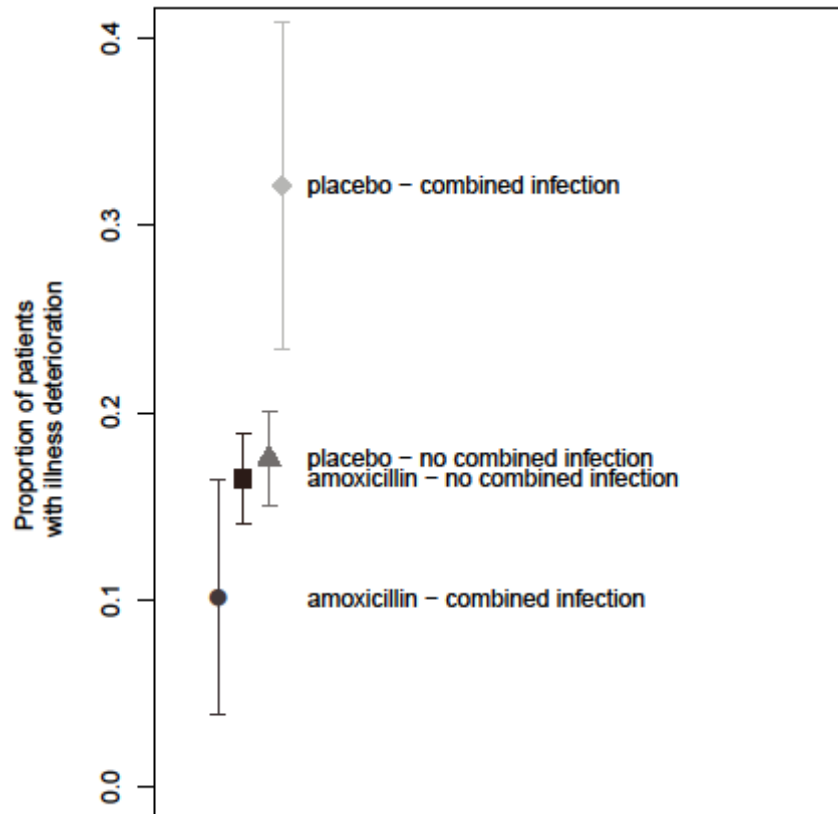
^a Estimates controlled for baseline symptom severity; values < 1 favours amoxicillin.

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398 Figure 1. Patient flow chart.



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400 Figure 2. Illustration of the interaction between amoxicillin treatment (versus placebo) and having a combined infection (versus not having one):
 401 estimates and 95% confidence intervals.