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Serum NGAL is Associated with Distinct Plasma Amyloid- β Peptides According to the Clinical Diagnosis of Dementia in Down Syndrome

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Abstract.

Background: The majority of people with Down syndrome (DS) develop dementia due to Alzheimer's disease (AD). Neuropathological features are characterized by an accumulation of amyloid- β (A β) deposits and the presence of an activated immune response. Neutrophil Gelatinase-Associated Lipocalin (NGAL) is a newly identified (neuro)inflammatory constituent in AD.

Objective: This study examines NGAL as an inflammatory marker in DS and its associations with plasma A β peptides according to the follow-up clinical diagnosis of dementia.

Methods: Baseline serum NGAL and plasma A β_{40} , A β_{42} , A β_{n40} , and A β_{n42} were quantified in 204 people with DS. The diagnosis of dementia in DS was established by follow-up clinical assessments. The following study groups were characterized: DS with AD at baseline ($n=67$), DS without AD ($n=53$), and non-demented DS individuals that converted to AD ($n=84$). Serum NGAL was analyzed in 55 elderly non-DS, non-demented people.

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Results: Serum NGAL levels were significantly increased in DS subjects compared to non-DS people. Serum NGAL levels were not associated with clinical dementia symptoms in DS. However, NGAL was positively associated with A β ₄₂ and A β _{n42} in demented DS individuals and with A β ₄₀ and A β _{n40} in the non-demented DS group. NGAL was negatively associated with A β ₄₂/A β ₄₀ and A β _{n42}/A β _{n40} ratios in converted DS subjects. These associations persisted for A β _{n40}, A β ₄₂/A β ₄₀, and A β _{n42}/A β _{n40} after adjusting for demographics measures, apolipoprotein E ε4 allele, platelets, and anti-inflammatory medication.

Conclusion: Serum NGAL levels are increased in DS and associated with distinct species of A β depending on the progression of dementia as diagnosed by baseline and follow-up clinical assessments.

Keywords: Alzheimer's disease, amyloid- β , apolipoprotein E, biomarker, down syndrome, inflammation, lipocalin 2, platelets

INTRODUCTION

The prevalence of Down syndrome (DS), or trisomy 21, is approximately 1 in 700–1200 live births [1, 2] and is the most common genetic incidence of intellectual disability in humans [3]. A vast majority of people with DS develop Alzheimer's disease (AD) pathology, which is mainly characterized by amyloid- β (A β) depositions in the brain [4]. A high prevalence of the clinical diagnosis of dementia (50–70%) in DS is respectively found in mid- to late life [5, 6]. This phenomenon is due to a triplication of the human chromosome 21 (HSA21) that harbors several genes, i.e., amyloid- β protein precursor (A β PP) and β-site A β PP cleaving enzyme 2, that are responsible for the increased production of A β [4]. In addition to increased brain A β levels, individuals with DS have increased plasma A β levels compared to people without DS [7–9].

Inflammatory-associated genes on HSA21 are likely overexpressed in DS and have been suggested to contribute to an aberrant immune regulation that is characterized by a pro-inflammatory environment [10, 11]. Increased pro-inflammatory cytokines have been identified in brain tissue of people with DS [12] as well as in their circulation [13, 14], which might even be present during their early adolescence [15]. Furthermore, increased neuroinflammatory processes have been suggested to play an important role in the pathophysiological processes of DS and AD [10, 11]. This study focuses on Neutrophil Gelatinase-Associated Lipocalin (NGAL), a newly introduced inflammatory constituent in the pathophysiology of AD [16]. NGAL is a 25 kDa acute phase protein that is also known as Lipocalin-2, Siderocalin, 24p3, or Uterocalin [17]. Human studies showed that increased blood NGAL levels are associated with risk factors for AD: mild cognitive impairment [18], late-life depression [19], and elderly depressed females with impaired recall memory [20]. Serum NGAL is also increased in adult and elderly DS people compared to adult people without

DS [21]. Primary neuronal cell cultures studies showed that NGAL mRNA and protein production is increased by A β ₄₂ [22] and A β ₄₀ [23]. Furthermore, NGAL impairs neuroprotective mechanisms in neurons and exacerbates A β ₄₂-mediated neuronal cell death [16, 22]. These studies in essence indicate that NGAL is an important inflammatory marker that is involved in the pathophysiology of AD.

The aims of this study were: 1) to validate if NGAL levels are elevated in DS individuals compared to non-DS controls; 2) to determine whether baseline serum NGAL levels are associated with the clinical diagnosis of dementia in DS, i.e., DS subjects with established AD at baseline (demented), without AD (non-demented), and non-demented DS people that converted to dementia over time; and 3) to associate serum NGAL with plasma A β ₄₀, A β ₄₂, A β ₄₂/A β ₄₀, A β _{n40}, A β _{n42}, or A β _{n42}/A β _{n40} in these groups.

MATERIALS AND METHODS

Study population

In total, 204 people with DS were included in this study. All participants were enrolled between 1 December 1999 and 1 December 2003 at an age of 45 years or older and are part of the previously published Rotterdam DS cohort [24–27]. Fasting venous blood samples were obtained in the morning, once at baseline of the study. Blood was directly processed and plasma and serum were stored at –80°C and –20°C, respectively. Ethical approval for this study was granted by the ethical review board of Erasmus MC Rotterdam (METc protocol number: MEC 185.974/1999/202). Written informed consent to participate and to provide blood samples was obtained from legal representatives (relatives and/or caretakers), after written information was provided. Written consent was also obtained from persons with DS who had the mental capacity to consent. To determine whether NGAL levels are increased in DS compared to healthy

107 non-DS persons, serum samples from 55 healthy non-
 108 DS persons were obtained from the Antwerp Biobank
 109 of the Institute Born-Bunge. These volunteers did not
 110 have any illness, clinical variables nor did they use
 111 any medication which may have interfered with NGAL
 112 levels. Ethics approval for human sample collection of
 113 serum was granted by the Medical Ethical Committee
 114 of the Middelheim General Hospital (Antwerp, Bel-
 115 gium) (Approval numbers 2805 and 2806). The study
 116 was also conducted in compliance with the Helsinki
 117 Declaration.

118 Clinical AD assessment

119 As previously described [24, 28], AD was assessed
 120 at baseline using the International Classification of Dis-
 121 eases (ICD)-10 from the World Health Organization
 122 [29], according to the guidelines of the Special Interest
 123 Research Group on Aging of the International Associa-
 124 tion for the Scientific Study of Intellectual Disabilities
 125 (IASSID) to diagnose dementia in adults with intellec-
 126 tual disabilities [29–31]. These criteria emphasize on
 127 non-cognitive symptoms, which are often prominent
 128 signs of dementia in adults with intellectual disabili-
 129 ties. Importantly, ICD-10 criteria have been modified
 130 for use in adults with intellectual disabilities. It has
 131 been shown that the AD criteria of the ICD-10 and
 132 the Diagnostic and Statistical Manual of Mental Dis-
 133 orders (Fourth Edition) diagnosed dementia in the same
 134 adults with DS [32] and that these diagnostic criteria
 135 show ‘substantial reliability and satisfactory validity’
 136 in other intellectual disabilities as well [33].

137 In our study, study participants were systematically
 138 screened for dementia and examined in person by a
 139 clinician. The demented individuals met the ICD-10
 140 criteria at intake and had an insidious and progressive
 141 course of the disease. In addition, validated functional
 142 questionnaires such as the Dementia Questionnaire for
 143 persons with an intellectual disability (DMR) [34],
 144 Social Competence Rating Scale for persons with
 145 an intellectual disability (SRZ) [35], and, Vineland
 146 adaptive behavior scales [36] were prospectively com-
 147 pleted by family or caretakers every twelve months
 (continues until present if the person is still alive).
 148 Three diagnostic groups were defined based on the
 149 AD assessment (ICD-10) and annual follow-up (DMR,
 150 SRZ, and Vineland): demented at baseline ($n=67$),
 151 converted ($n=84$), and non-demented ($n=53$) DS sub-
 152 jects. DS people that converted to AD, was clinically
 153 established before January 2007, thus within 3 to 7
 154 follow-up years after intake and blood sampling. All of
 155 the DS participants in this study were assessed annually

157 from baseline until January 2013 and were therefore
 158 followed for 10–14 years since baseline of this study.
 159 Body mass index (BMI) at baseline was computed
 160 as weight in kilograms divided by height in square
 161 meters.

162 Analyses of blood samples

163 Blinded analysis of serum NGAL [16], plasma
 164 A β ₄₀, A β ₄₂, and truncated A β _{n40}, and A β _{n42} [26] and
 165 apolipoprotein E (ApoE) genotype [25] was performed
 166 as previously described.

167 Blood (20 ml) obtained via the antecubital vein was
 168 collected in tubes containing K₂-EDTA and immedi-
 169 ately processed for platelet preparation. Platelet-rich
 170 plasma and blood cell fractions were separated by
 171 centrifugation. Platelet-rich plasma was removed and
 172 centrifuged again to obtain platelet pellets. Platelets
 173 were suspended in sucrose containing 5% dimethyl-
 174 sulfoxide to maintain membrane integrity and stored
 175 at –80°C until use.

176 Covariates

177 Age, gender, and BMI were included as covariates
 178 based on previous findings [19]. The presence of the
 179 ApoE ε4 allele was included as covariate as well since
 180 it can affect serum inflammatory markers [37] and
 181 possibly plasma A β levels [38]. Furthermore, blood
 182 platelets were included as final confounding factor,
 183 since previous studies described them as an importance
 184 source of plasma A β ₄₀ and A β ₄₂ [39, 40]. Recently, in
 185 a large cohort with elderly participants we showed that
 186 increased NGAL levels were associated with the use of
 187 anti-inflammatory medication [19]. Therefore, the use
 188 of non-steroidal anti-inflammatory drugs (NSAIDs)
 189 was included as final covariate. Only three DS peo-
 190 ple used corticosteroids and they were therefore not
 191 included as covariate.

192 Statistical analyses

193 In order to obtain a normal distribution of the serum
 194 NGAL levels, four identified outliers were trimmed
 195 to 304.19 ng/ml resulting in a skewness of 0.65 and
 196 kurtosis of –0.25. As some covariates had missing
 197 data, we imputed the mean value of the other subjects
 198 in case of continuous variables or the most frequent
 199 score in case of dichotomous or nominal data. Variables
 200 with missing values in the whole sample were: BMI
 201 ($n=5$), ApoE ($n=4$), platelets ($n=9$), A β ₄₀ ($n=11$),
 202 A β ₄₂ ($n=10$), A β ₄₂/A β ₄₀ ($n=11$), A β _{n40} ($n=11$),

203 A β _{n42} ($n=22$), and A β _{n42}/A β _{n40} ($n=22$). Missing A β
 204 variables were due to insufficient plasma volumes for
 205 the analyses of A β peptides. First, for the description
 206 statistics of study participant demographics, analysis
 207 of variance (ANOVA) was performed for continuous
 208 variables (with a Tukey *post-hoc* test for pair-wise
 209 comparisons in case of an overall effect between the
 210 three groups, i.e., age), and Pearson's chi squared tests
 211 for categorical variables. ANOVA with Tukey *post hoc*
 212 test for pair-wise comparisons was used to determine
 213 differences of NGAL protein levels between non-DS
 214 controls, demented, converted, and non-demented DS
 215 people. This was followed by analyses of covariance
 216 (ANCOVA) with Bonferroni *post hoc* test with serum
 217 NGAL levels as dependent variable to analyze NGAL
 218 levels between the studied groups, adjusted for age and
 219 gender as confounding factors. First, ANCOVA was
 220 performed to determine the interaction of A β ₄₀, A β ₄₂,
 221 A β ₄₂/A β ₄₀, A β _{n40}, A β _{n42}, or A β _{n42}/A β _{n40} with diag-
 222 nostic status of dementia (non-demented, converted,
 223 and demented at baseline) with NGAL as the depen-
 224 dent variable. A *p*-value of less than 0.1 was considered
 225 as statistically significant for interaction terms [41].
 226 Since an interaction effect was found, linear regres-
 227 sion analyses were conducted separately within each
 228 DS study group, with NGAL as the dependent variable,
 229 to examine its associations with serum A β ₄₀, A β ₄₂,
 230 A β ₄₂/A β ₄₀, A β _{n40}, A β _{n42}, or A β _{n42}/A β _{n40}. Subse-
 231 quently, multiple regression models were performed
 232 separately for the three different DS groups, with
 233 NGAL as dependent variable, to examine the asso-
 234 ciations of plasma A β ₄₀, A β ₄₂, A β ₄₂/A β ₄₀, A β _{n40},
 235 A β _{n42}, or A β _{n42}/A β _{n40} with serum NGAL concen-
 236 trations adjusted for confounding variables. *P*-values
 237 for were considered statistically significant at a value
 238 of less than 0.05. All analyses were conducted with
 239 SPSS version 22.0.

RESULTS

Population demographics

Demographics and clinical information of non-DS
 242 controls and DS persons are shown in Table 1. Non-
 243 DS controls were older than DS people and DS subjects
 244 with dementia at baseline and people whom converted
 245 to dementia during follow-up were older than the
 246 non-demented DS group. No significant differences
 247 were observed for gender, BMI, ApoE ε4 allele, or
 248 platelet numbers between groups. Significant differ-
 249 ences in NGAL levels were found between the non-DS
 250 people and the DS groups. While the presence of
 251 ApoE ε4 allele have been associated with increased
 252 blood pro-inflammatory cytokines in humans [37,
 253 42], results from this study show that NGAL levels
 254 were not significantly associated with the presence
 255 of the ApoE ε4 allele (unpaired *t*-test, *t*(198)=0.416;
 256 *p*=0.321).

Serum NGAL levels in healthy non-DS volunteers compared to DS individuals

Differences in NGAL levels between the stud-
 260ied groups was further explored, since signifi-
 261cant differences in NGAL levels between non-DS
 262 controls, demented, converted and non-demented
 263 DS groups (ANOVA, *F*=10.12, *df*=3, *p*<0.001) were
 264 found. NGAL levels were significantly lower in non-
 265 DS individuals 114.35 (37.5) ng/ml compared to
 266 demented 162.5 (61.9) ng/ml (*p*<0.001), converted
 267 155.2 (53.6) ng/ml (*p*<0.001), and non-demented
 268 DS 163 (63.7) ng/ml (*p*<0.001) subjects (Fig. 1).
 269 Moreover, analysis with ANCOVA (*F*(3, 253)=8.69,
 270 *p*<0.001) and Bonferroni *post hoc* tests showed that
 271 serum NGAL levels were increased in demented
 272

Table 1
 Demographics and clinical info of study participants

Characteristics	Non-DS controls (<i>n</i> =55)	Demented DS (<i>n</i> =67)	Converted DS (<i>n</i> =84)	Non-demented DS (<i>n</i> =53)	Statistics for DS participants
Gender, female <i>n</i> (%)	25 (46)	26 (39)	33 (39)	21 (40)	$\chi^2=0.71$, <i>df</i> =3, <i>p</i> =0.87
Age (y), mean (SD)	75.5 (9.4) ^a	54.5 (5.9) ^b	53.1 (5.3) ^c	49.7 (4.3)	$F(3, 255)=190.38$, <i>p</i> <0.001
BMI, mean (SD)	–	25 (4.8)	25.7 (3.9)	25.4 (3.8)	$F(2, 198)=0.41$, <i>p</i> =0.67
ApoE ε4 allele, <i>n</i> (%)	–	22 (33.8)	21 (25.6)	14 (26.4)	$\chi^2=1.36$, <i>df</i> =2, <i>p</i> =0.51
Platelets, mean (SD)	–	232.1 (78.4)	224.7 (90.9)	232.1 (73.6)	$F(2, 192)=0.19$, <i>p</i> =0.83
NSAID, <i>n</i> (%)	–	11 (19)	10 (12.8)	3 (6.3)	$\chi^2=4.40$, <i>df</i> =2, <i>p</i> =0.36
NGAL, mean (SD)	114.4 (52.2)	162.5 (37.5)	155.2 (53.6)	163.8 (63.7)	$F(3, 255)=10.12$, <i>p</i> <0.001

^aNon-DS controls versus demented at baseline, converted and non-demented *p*<0.001, ^bdemented versus non-demented *p*<0.001, ^cAD converted versus non-demented *p*=0.013. *n*, number; y, years; SD, standard deviation; BMI, body mass index; ApoE, Apolipoprotein E; NSAID, non-steroidal anti-inflammatory drugs; NGAL, neutrophil gelatinase-associated lipocalin; AD, Alzheimer's disease; DS, Down syndrome.

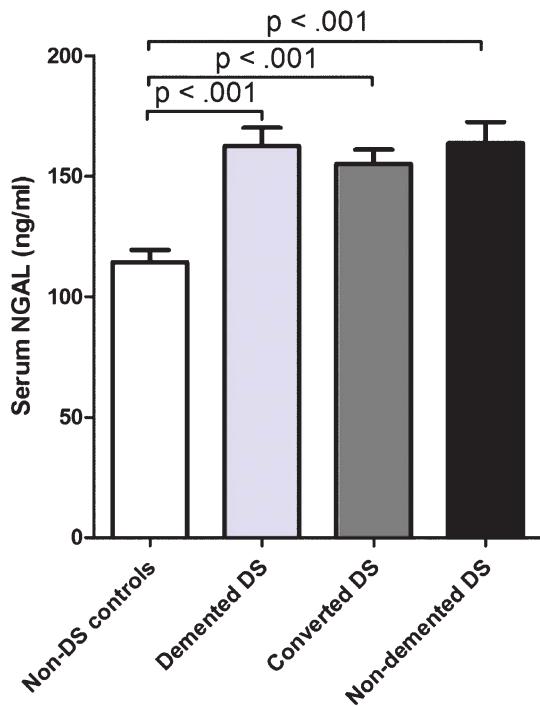


Fig. 1. Adjusted marginal mean values of serum NGAL levels in healthy non-DS controls versus demented DS at baseline, converted DS, and non-demented DS, including *p*-values of analysis of variance (ANOVA). Bars indicate the mean protein concentrations in the different study groups and are expressed \pm standard error of the mean (s.e.m.).

converted and non-demented compared to non-DS controls ($p < 0.001$ in all groups) after including age and gender as covariates.

Association of NGAL with A β levels, characterized by diagnosis of dementia in DS

Firstly, ANCOVA analyses were performed to determine the interaction of A β_{40} , A β_{42} , A $\beta_{42}/A\beta_{40}$, A β_{n40} , A β_{n42} , or A $\beta_{n42}/A\beta_{n40}$ with the diagnostic status of dementia (demented, converted, and non-demented), with NGAL as dependent variable, to verify if associations of NGAL with A β should be performed separately in the DS groups based on dementia diagnosis. Outcomes from ANCOVA showed the following interactions; A β_{40} ($p = 0.838$), A β_{42} ($p = 0.050$), A $\beta_{42}/A\beta_{40}$ ($p = 0.435$), A β_{n40} ($p = 0.053$), A β_{n42} ($p = 0.007$), or A $\beta_{n42}/A\beta_{n40}$ ($p = 0.071$). Since the majority showed a significant interaction, as the *p*-value was considered significant less than 0.1, the presented results are stratified

by dementia diagnosis. As shown in Table 2, higher serum NGAL levels were significantly associated with higher plasma A β_{40} levels in the non-demented DS group, which remained significant after adjustments for confounding factors; age, gender, BMI, ApoE $\varepsilon 4$, and platelets, but the significant association was lost after including NSAIDs as confounding factor. Linear regression analyses showed a significant association of higher NGAL levels with higher A β_{42} levels. However, significance was lost after correcting for confounding factors. Higher NGAL levels were significantly associated with a lower A $\beta_{42}/A\beta_{40}$ ratio in converted DS people. This association lost significance after adjusting for age, gender, BMI, ApoE $\varepsilon 4$, and platelets, however remained significant after including NSAIDs as covariant. Higher NGAL showed a strong association with higher A β_{n40} levels in non-demented DS people independent of confounding factors. A significant association of higher NGAL levels with higher A β_{n42} levels was found in the demented DS group, which remained significant after correcting for age, gender, BMI, ApoE $\varepsilon 4$, and platelets. Inclusion of NSAIDs as covariant consequently resulted in a significant association of increased NGAL levels with increased A β_{n42} levels in the demented and non-demented DS individuals and decreased A β_{n42} levels in the converted DS people. Increased NGAL levels were significantly associated with a decreased A $\beta_{n42}/A\beta_{n40}$ ratio in converted DS subjects. This association remained marginally significant ($p = 0.055$) after correcting for age, gender, BMI, and ApoE $\varepsilon 4$. Accordingly, the association remained significant after inclusion of platelet levels and NSAIDs.

DISCUSSION

The current study shows that serum NGAL levels were increased in elderly DS subjects compared to healthy, non-DS controls. Furthermore, serum NGAL levels were not associated with the clinical symptoms of dementia in DS. However, definite associations of NGAL levels with A β_{40} , A β_{42} , their truncated species, and their ratios depended on the follow-up clinical diagnosis of dementia. Therefore, these results support the notion that a pro-inflammatory environment is present in DS and that NGAL is an inflammatory marker that is significantly associated with distinct species of A β , moderated by the presence or absence of the clinically established dementia diagnosis over time.

Table 2
Association of serum NGAL levels with plasma amyloid- β species, including covariates, per diagnostic DS group

	A β ₄₀			A β ₄₂			A β ₄₂ /A β ₄₀			A β _{n40}			A β _{n42}			A β _{n42} /A β _{n40}		
	B(SE)	β	p	B(SE)	β	p	B(SE)	β	p	B(SE)	β	p	B(SE)	β	p	B(SE)	β	p
<i>Unadjusted</i>																		
Demented	0.43 (0.23)	0.24	0.064	3.25 (1.36)	0.30	0.021	24.35 (135.82)	0.024	0.858	0.37 (0.21)	0.23	0.077	4.66 (1.86)	0.32	0.015	77.06 (146.64)	0.07	0.60
Converted	0.30 (0.16)	0.21	0.056	-1.09 (1.23)	-0.10	0.38	-192.75 (96.68)	-0.22	0.05	0.25 (0.18)	0.16	0.16	-2.33 (1.47)	-0.18	0.12	-313.57 (135.90)	-0.26	0.024
Non-demented	0.44 (0.21)	0.28	0.042	2.75 (1.66)	0.23	0.10	-103.80 (108.33)	-0.13	0.34	1.04 (0.27)	0.48	<0.001	4.47 (2.66)	0.24	0.099	-424.03 (249.41)	-0.25	0.096
<i>Model 1</i>																		
Demented	0.25 (0.25)	0.14	0.31	2.29 (1.36)	0.20	0.097	35.53 (139.20)	0.034	0.80	0.20 (0.22)	0.12	0.36	4.82 (1.99)	0.29	0.019	141.78 (150.29)	0.13	0.35
Converted	0.27 (0.16)	0.20	0.084	-1.04 (1.29)	-0.09	0.42	-190.90 (98.71)	-0.22	0.057	0.17 (0.18)	0.13	0.33	-2.35 (1.49)	-0.18	0.12	-273.24 (139.86)	-0.22	0.055
Non-demented	0.44 (0.22)	0.28	0.049	2.61 (1.75)	0.21	0.14	-107.33 (113.71)	-0.14	0.35	1.03 (0.29)	0.48	0.001	4.80 (2.76)	0.26	0.090	-441.50 (265.94)	-0.26	0.11
<i>Model 2</i>																		
Demented	0.23 (0.24)	0.13	0.96	2.13 (1.36)	0.19	0.12	34.97 (137.50)	0.033	0.80	0.21 (0.22)	0.13	0.32	4.23 (2.01)	0.25	0.041	119.60 (147.72)	0.11	0.42
Converted	0.21 (0.16)	0.16	0.19	-1.40 (1.29)	-0.13	0.28	-175.00 (99.20)	-0.21	0.082	0.15 (0.18)	0.10	0.42	-2.75 (1.49)	-0.22	0.069	-300.19 (139.21)	-0.25	0.035
Non-demented	0.45 (0.22)	0.29	0.049	2.64 (1.81)	0.21	0.15	-115.99 (116.02)	-0.15	0.32	1.02 (0.29)	0.47	0.001	4.51 (2.83)	0.24	0.12	-447.54 (268.93)	-0.26	0.11
<i>Model 3</i>																		
Demented	0.24 (0.25)	0.15	0.35	2.14 (1.37)	0.22	0.13	12.36 (143.62)	0.013	0.93	0.21 (0.21)	0.15	0.33	4.30 (2.01)	0.28	0.039	130.00 (147.75)	0.13	0.38
Converted	0.19 (0.17)	0.14	0.26	-2.08 (1.35)	-0.19	0.129	-211.36 (101.89)	-0.25	0.042	0.12 (0.18)	0.08	0.50	-3.70 (1.28)	-0.29	0.005	-387.35 (118.74)	-0.33	0.002
Non-demented	0.44 (0.23)	0.29	0.062	3.81 (1.92)	0.31	0.055	-105.16 (117.89)	-0.14	0.38	1.02 (0.31)	0.48	0.002	6.84 (2.99)	0.36	0.029	-299.11 (295.59)	-0.17	0.32

Model 1: Adjusted for age, gender, BMI, and ApoE e4 allele. Model 2: Model 1, added with platelet levels. Model 3: Model 1 and 2, added with use of NSAID. NGAL, neutrophil gelatinase-associated lipocalin; A β , amyloid- β ; BMI, body mass index; NSAID, non-steroidal anti-inflammatory drugs; DS, Down syndrome.

341 *Serum NGAL levels in DS and healthy non-DS*
342 *subjects: The role of A β*

343 As mentioned above, our results show that serum
344 NGAL levels in older DS people were significantly
345 increased compared to healthy elderly non-DS peo-
346 ple. This finding is in accordance with a study by
347 Dogliotti and colleagues showing that serum NGAL
348 levels are significantly increased in adults and elderly
349 people with DS compared to adult non-DS healthy
350 controls [21]. Because NGAL is encoded on human
351 chromosome 9 [43], increased NGAL levels may not
352 be directly attributed to the triplication of HSA21.
353 Importantly, studies with neuronal cell cultures have
354 shown that NGAL protein and mRNA production
355 is stimulated by A β ₄₂ [22] and A β ₄₀ [23]. In this
356 regard, a robust increase of NGAL protein levels is
357 present in postmortem brain tissue of AD patients
358 with a similar regional distribution pattern as the A β
359 pathology [16]. These studies, therefore, indicate that
360 increased NGAL production may be the result of A β
361 accumulation that is characteristically present in DS
362 brain, already at a young age. NGAL thus may be
363 related to A β -related pathophysiological processes in
364 the development of dementia in DS. Correspondingly,
365 the association of serum NGAL with different plasma
366 A β species was further investigated in this study
367 population.

368 *Associations between serum NGAL levels and*
369 *different plasma A β species*

370 Increased serum NGAL levels were: 1) positively
371 associated with A β ₄₂ and A β _{n42} in the demented DS
372 group; 2) positively associated with A β ₄₀ and A β _{n40}
373 in non-demented DS subjects; and 3) negatively asso-
374 ciated with A β ₄₂/A β ₄₀ and A β _{n42}/A β _{n40} ratios in
375 those non-demented DS individuals that converted to
376 dementia over time. These findings are of interest
377 considering the neuropathological regulation of A β
378 accumulation in DS during lifetime. Neuropathologi-
379 cal studies in DS demonstrated that sequential changes
380 of A β plaque formation occur during the lifespan in
381 people with DS, which can provide insights concerning
382 the associations of NGAL with A β found in this study.
383 Intraneuronal A β ₄₂, but not A β ₄₀, has been reported
384 in very young DS people (3 years old) [44]. With
385 increasing age, extracellular A β ₄₂ plaques gradually
386 accumulate and mature [44, 45]. Extracellular deposi-
387 tion of A β ₄₂ in senile plaques precedes the presence
388 of A β ₄₀ by approximately a decade [45, 46]. During
389 the later stages in life (around 50 years), A β ₄₀ accu-

390 mulation gradually increases in mature plaques and,
391 moreover, it is the predominant A β species in cerebral
392 amyloid angiopathy in DS [45, 47]. Although almost
393 all individuals with DS have A β deposition resembling
394 AD neuropathology [48, 49], there is a wide variation
395 in the age at onset of dementia. This is due to complex
396 mechanisms that are involved in A β regulation dur-
397 ing the progression to dementia [50]. In this respect,
398 alterations in the ratio between A β ₄₂ and A β ₄₀ may
399 function as a significant predictor for the development
400 of dementia due to AD [51, 52].

401 The positive association of increased NGAL with
402 A β ₄₀ in non-demented DS subjects may indicate that
403 A β ₄₀ has not yet accumulated into plaques in the
404 brain resulting in a positive correlation with NGAL
405 in the peripheral blood circulation. This association
406 remained significant after adjustments for confounding
407 factors were made. On the other hand, the association
408 of increased NGAL with A β ₄₂ in the demented DS
409 group may be explained by microglial processes dur-
410 ing later stages of A β pathology in DS. It was shown
411 that activated microglia and astrocytes were present
412 in diffuse and neuritic plaques [53] and microglia
413 cells can clear A β ₄₂ from the brain to compensate
414 for A β pathology [54]. Alternatively, increased inflam-
415 matory processes associated with microglia activation
416 may induce an increase in A β PP and consequently an
417 increase in A β ₄₂ production [10]. Both of these above-
418 mentioned processes can lead to increased levels of
419 circulating A β ₄₂ peptides. However, this significant
420 association diminished after adjustments for age, gen-
421 der, BMI, and ApoE ε4 allele. Interestingly, increased
422 NGAL levels were negatively associated with the
423 A β ₄₂/A β ₄₀ ratio in the converted DS group. This
424 association remained marginally significant after the
425 adjustments for age, gender, BMI, and ApoE ε4 were
426 made. Considering changes of A β ₄₀ and A β ₄₂ in the
427 brain described in the abovementioned neuropatholog-
428 ical studies and the association of increased serum
429 NGAL with plasma A β ₄₀ in non-demented and A β ₄₂
430 in demented DS subjects, it is reasonable to speculate
431 that NGAL is associated with a shift in A β regula-
432 tion present in people with DS whom are in process
433 of converting to dementia. Moreover, it has been pre-
434 viously shown that truncated A β increases in parallel
435 to their full length peptides in DS brain [55]. Similar
436 associations of NGAL with full length A β and their
437 truncated isoforms can therefore be expected. Indeed,
438 our findings persisted for A β _{n40} and A β _{n42}, similarly
439 to their full-length isoforms. Generally, the associa-
440 tion of NGAL levels was even stronger with truncated
441 forms of A β than with full length A β .

The association of NGAL levels with A β ₄₂/A β ₄₀ and A β _{n40}/A β _{n42} ratio strengthened after adjusting for NSAIDs as confounding factor. In addition, the associations of NGAL levels with A β _{n42} levels became significant in all of the DS groups. In a previous cohort with a large population of elderly participants, we found that increased NGAL levels were associated with the use of anti-inflammatory medication, which may be explained by underlying somatic conditions [19]. Therefore, the increase in significance of associations after correcting for NSAIDs may be due to correcting for underlying physical ailments related to inflammatory conditions, explaining additional variance in NGAL levels unrelated to levels of A β peptides.

456 *The relationship between NGAL, 457 neurodegeneration, and DS*

Fundamental research indicates that NGAL plays a role in several mechanisms involved in the pathophysiology of AD. Cell culture studies have shown that NGAL induces pro-apoptotic signaling cascades in neurons and exacerbates oligomeric A β ₄₂-mediated neuronal cell death [16, 22]. In addition, NGAL can aggravate oxidative damage to neuronal cells [22, 56]. This is of importance since people with DS have an increased susceptibility for oxidative stress due to an extra copy of superoxide dismutase 1 [5]. Furthermore, NGAL exerts neuro-immunomodulatory effects. Increased NGAL induces astrocytes and microglia to a pro-inflammatory phenotype and silences their anti-inflammatory functioning [57, 58], whereas elimination of NGAL reduced neuroinflammation and neuronal damage after neuronal injury in mice [59, 60]. As basal NGAL levels increase with age in DS [21], it could increase the sensitivity toward toxic forms of A β and oxidative stress and, therefore, contribute to neurodegeneration and, consequently, the development of clinical symptoms of dementia that occur mid- to late life in DS.

480 *Plasma A β as a potential biomarker for dementia 481 conversion in DS*

Blood-based biomarkers that can predict the conversion to dementia in DS are much desired because they would provide a valuable tool to enable and plan optimal adaptive caregiving. In addition, biomarkers can improve our knowledge of aberrant physiological processes involved during the disease progression. Several studies have investigated the association of plasma A β in DS and their potential as diagnostic markers

for dementia with inconsistent results [61]. A possible explanation for these discrepancies is that changes of plasma A β concentrations in relation to the status of dementia might not be large enough for its use as a biomarker. In this respect, results from this study indicate that the association of NGAL with A β species may provide an indication of changes in A β accumulation during the progression to dementia in DS.

498 *Strengths and limitations*

This study has several strengths worth mentioning. This study consisted of a large DS population group. In addition to AD diagnosis at baseline using the ICD-10 criteria, follow-up clinical assessment in this DS population using validated questionnaires for dementia in DS enabled the identification of those DS individuals that remained non-demented or converted to dementia over time. Several important confounding factors were included that were shown to have potential associations with NGAL and A β . The role of circadian influences on blood markers was minimized by obtaining fasting morning blood samples. In addition, NGAL possesses great storage stability, i.e., NGAL can be subjected to several freeze-thaw cycles without affecting outcomes of its analyses which make it suitable for application as a biomarker [62].

In order to properly interpret the results presented in this study, study limitations ought to be acknowledged. ANCOVA analysis did not show a significant interaction of A β ₄₀ and A β ₄₂/A β ₄₀ with the diagnosis of dementia, with NGAL as dependent variable and therefore, outcomes from these findings should be interpreted with caution. Increased significant associations of NGAL levels with A β ₄₂/A β ₄₀ and A β _{n40}/A β _{n42} ratio and A β _{n42} after correcting for NSAIDs may be due to underlying ailments that were not documented in this study. Results of this study are based on baseline blood sampling, but longitudinal studies with clinical assessments of dementia in DS accompanied with follow-up blood collection is warranted. Of particular interest would be to follow DS people from a younger age (<40 years) to accurately evaluate the association of NGAL with A β in the progression to dementia.

533 CONCLUSIONS

In conclusion, this study confirmed that serum NGAL levels are increased in elderly DS subjects compared to elderly non-DS controls and strengthens the notion that an increased pro-inflammatory condition

is present in people with DS. Furthermore, NGAL was not associated with either diagnosed dementia or progression to dementia in DS. However, serum NGAL levels were associated with different plasma A β species according to the clinical symptoms of dementia. Therefore, the association of serum NGAL with plasma A β may reflect the neuropathological regulation of A β accumulation and circulation in accordance to the clinical symptoms of dementia in DS. Finally, the measurement of circulating NGAL levels may improve the sensitivity of plasma A β as a biological marker for dementia in DS that merits further investigation.

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