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The effect of a single botulinum toxin treatment on somatosensory processing in idiopathic isolated cervical dystonia : an observational study

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1       **The effect of a single botulinum toxin treatment on**  
2       **somatosensory processing in idiopathic isolated Cervical**  
3       **Dystonia: An observational study**

4       Joke De Pauw, Phd, PT<sup>1</sup>, Patrick Cras, MD, PhD<sup>2,3</sup>, Steven Truijten, Phd<sup>1</sup>, Rudy Mercelis, MD, PhD<sup>2</sup>, Sarah  
5       Michiels, Phd, PT<sup>1,6,7</sup>, Wim Saeys, Phd, PT<sup>1,4</sup>, Luc Vereeck, Phd, PT<sup>1</sup>, Ann Halleman, Phd, PT<sup>1,5</sup>, Willem  
6       De Hertogh, PhD, PT<sup>1</sup>

7       1 Department of Physical Therapy and Rehabilitation Sciences, Faculty of Medicine and Health Sciences,  
8       University of Antwerp, Wilrijk, Belgium

9       2 Department of Neurology, Antwerp University Hospital, Edegem, Belgium

10      3 Born Bunge Institute, Faculty of Medicine and Health Sciences, University of Antwerp, Wilrijk

11      4 Rehabilitation Hospital Revarte, Edegem, Belgium

12      5 Multidisciplinary Motor Centre Antwerp (M<sup>2</sup>OCEAN), Edegem, Belgium

13      6 Department of Translational Neurosciences, Faculty of Medicine and Health Sciences, University of Antwerp,  
14      Wilrijk, Belgium

15      7 Department of Otorhinolaryngology, Antwerp University Hospital, Edegem, Belgium

16

17      Corresponding author: Joke De Pauw

18      Faculty of Medicine and Health Sciences, Campus Drie Eiken, Universiteitsplein 1, 2610 Wilrijk,  
19      Belgium.

20      [joke.depauw@uantwerpen.be](mailto:joke.depauw@uantwerpen.be)

21      Tel: +32 498126933

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28

1           **Abstract**

2           Background: Patients with idiopathic cervical dystonia (CD) experience involuntary neck  
3 muscle contractions, abnormal head position and pain; accompanied by dysfunctions in  
4 somatosensory processes such as postural control, cervical sensorimotor and perception of visual  
5 verticality, control. First-line treatment is injection with botulinum toxin (BoNT). It remains unclear  
6 whether this affects sensorimotor processes.

7           Aim: To investigate the effect of first-line care on deficiencies in somatosensory processes.

8           Methods: In this observational study, 24 adult patients with idiopathic CD were assessed 3  
9 times over a treatment period of 12 weeks following a single treatment with BoNT. Disease severity  
10 was assessed by a disease specific questionnaire, rating scale and the visual analogue scale. Seated  
11 postural control was assessed with posturography, cervical sensorimotor control was assessed by the  
12 joint repositioning error with an 8 camera infrared motion analysis system during a head repositioning  
13 accuracy test and perception of visual verticality was assessed with the subjective visual vertical test.

14           Results: Disease symptoms significantly improved following BoNT injections and deteriorated  
15 again at 12 weeks. This improvement was not accompanied by improved postural control, cervical  
16 sensorimotor control and perception of visual verticality. A trend toward improvement was seen  
17 however did not reach the level of the control population.

18           Conclusion: The peripheral and central treatment effect of BoNT has little to no effect on  
19 postural and cervical sensorimotor control in CD. Further research may explore whether sensory  
20 training or specialized exercise therapy improves somatosensory integration and everyday functioning  
21 in patients with CD.

22

## 1           **Introduction**

2           Cervical dystonia (CD) is a focal form of dystonia characterized by dystonic contractions of the  
3 neck muscles. *“Dystonia is a movement disorder characterized by sustained or intermittent muscle*  
4 *contractions causing abnormal, often repetitive movements, postures, or both. Dystonic movements*  
5 *are typically patterned, twisting, and may be tremulous. Dystonia is often initiated or worsened by*  
6 *voluntary action and associated with overflow muscle activation”*[1]. Initially, CD was regarded as a  
7 motor disorder in which deficient motor output causes involuntary neck muscle contractions,  
8 repetitive movements, abnormal head postures or both in which one or more nodes of the  
9 sensorimotor network (e.g. cortex, basal ganglia, cerebellum and brainstem) are involved [2, 3].  
10 However, the concept is shifting towards the idea that defaulted (somato)sensory processing also  
11 plays an important role in the symptomatology of CD because dysfunctions in sensory processing  
12 affect motor control and internal feedback mechanisms [4–7]. Somatosensory processes of postural  
13 control and cervical sensorimotor control are impaired in CD [8–10] and may affect everyday activities  
14 in patients with CD as they provide postural stability and functional stability of the head and neck.  
15 The first-line recommended treatment for CD is injection with botulinum toxin (BoNT) in the dystonic  
16 muscles [11]. Additionally, physical therapy is sometimes applied [12, 13]. The impact of first-line  
17 treatment, e.g. BoNT injections in the dystonic muscle(s), could provide relevant information for the  
18 selection of future physiotherapy modalities in the treatment of CD targeting somatosensory  
19 integration.

20           The neurotoxin BoNT-A targets the neuromuscular junction, blocks neuromuscular signal  
21 transmission at the motor endplate and causes alterations in peripheral sensory input [14]. Not only  
22 fewer muscle contractions are observed, afferent output from extra- and intrafusal fibers of the  
23 muscle spindles is inhibited [15, 16]. Consequently, decreased somatosensory afference from neck  
24 muscle spindles may influence central somatosensory processing of postural control, cervical  
25 sensorimotor control and perception of visual verticality since the somatosensory afference is used to  
26 construct posture and spatial orientation [17, 18]. Therefore, we would expect postural control and  
27 cervical sensorimotor control to improve after a BoNT injection and decrease when the effect of the  
28 intervention is no longer present.

29           This is the first study to investigate the effect of a BoNT treatment on the somatosensory  
30 processes of cervical sensorimotor control, seated postural control and perception of visual verticality.  
31 The aim of the present study was to explore whether alterations in cervical afference due to BoNT-A

1 treatment alters somatosensory integration in order to normalize postural control, cervical  
2 sensorimotor control and perception of visual verticality in patients with idiopathic CD.

### 3 **Methods**

#### 4 **1. Participants and setting**

5 A total of 24 consecutive patients with the diagnosis of idiopathic isolated late-onset cervical  
6 dystonia according to the current criteria [19] were recruited in a tertiary center of neurology at the  
7 Antwerp University Hospital. All patients received regular treatments of botulinum toxin injections  
8 and no additional exercise treatment targeting somatosensory integration. Patients were assessed at  
9 least 3 months after the last injection, immediately prior to a new injection of botulinum toxin when  
10 the clinical effect of the injection was no longer present. The group was followed during one treatment  
11 cycle and assessed on 3 occasions (see supplementary material). Patients were excluded in case of  
12 clinical features suggestive for segmental distribution of dystonia, other neurological disorders,  
13 vestibular dysfunction, or previous surgery of the cervical spine and alcohol intake in the past 24 hours.  
14 For the control group of asymptomatic individuals, additional exclusion criteria were set: rheumatoid  
15 arthritis, no bothersome neck or back pain in the past 6 months and no neck or head trauma in the  
16 past 5 years.

17 Data of the patient group for postural control was compared to a control group of 36  
18 asymptomatic controls. Data of subjective perception of visual verticality of the patient group was  
19 compared to a control group of 30 asymptomatic controls. For cervical sensorimotor control data of  
20 the patient group was compared to a normative data base of 70 asymptomatic controls. The control  
21 groups were recruited through personal contacts and in hospital and university settings.

22 The protocol was approved by the Ethics Committee of the Antwerp University Hospital  
23 (reference 14/8/74) and the study has been performed in accordance with the ethical standards laid  
24 down in the 1964 Declaration of Helsinki and its later amendments. All participants provided informed  
25 consent before participating. Recruitment took place from August 2014 to November 2015 and  
26 assessment was performed in the Multidisciplinary Motor Centre Antwerp (M<sup>2</sup>OCEAN).

#### 27 **2. Intervention and follow-up**

28 Patients received their regular BoNT injection under electro-myographic (EMG) guidance with  
29 abobotulinumtoxinA (Dysport®, Ipsen, Biopharm SAS, Boulogne-Billancourt, France) or  
30 onabotulinumtoxinA (BOTOX®, Allergan, Inc., Irvine, CA, USA). BoNT-A dose and injected muscles were

1 registered. The dosage of the two types of BoNT-A in Dysport® and BOTOX® is expressed in units.  
2 Muscles to treat were selected based on clinical evaluation and electro-myographic (EMG)  
3 assessment.

4 Baseline measurement (test 1) took place immediately prior to the BoNT treatment at least 3  
5 months after the last injection when the effect should no longer be present [14, 20]. Test 2 took place  
6 4 weeks after treatment when the highest treatment effect is expected [20]. The last assessment (test  
7 3) took place 12 weeks after the treatment when the effect should no longer be present [14, 20].

### 8 **3. Outcome measures**

#### 9 3.1 Disease severity

10 Disease specific characteristics were obtained through one questionnaire: the Cervical  
11 Dystonia Impact Profile (CDIP-58), and one rating scale filled out by the therapist: the Toronto Western  
12 Spasmodic Rating Scale (TWSTRS). Head tremor was assessed through the subscale of the Tsui scale.  
13 The rating scales and questionnaire are all validated and recommended in the assessment of patients  
14 with CD [21]. A higher score indicates greater impairment.

15 The visual analogue scale (VAS) was used to evaluate pain at the time of assessment. Patients were  
16 asked to mark the level of their pain on a 100 mm, non- hatched line at which one end represents ‘no  
17 pain’ and the other ‘the worst possible pain at this moment’. The VAS is a pain assessment tool with  
18 good clinimetric properties [22] and with a minimal clinical relevant change of 10mm [23].

19

#### 20 3.2 Somatosensory processing or integration

21 Three types of somatosensory processing were assessed e.g. cervical sensorimotor control,  
22 seated postural control and perception of visual verticality. Maintaining postural balance in stance  
23 relies predominantly on somatosensory input from the lower limbs and ankle strategy [24]. To  
24 minimize somatosensory input from the lower limbs, we assessed postural control in a seated  
25 position. To reduce the interference of fatigue, the order of testing was randomized by computer prior  
26 to testing. All assessments were conducted by the same researcher (J.D.P) in the Multidisciplinary  
27 Motor Centre Antwerp M<sup>2</sup>OCEAN.

28 **Cervical sensorimotor control** was assessed by the head repositioning accuracy (HRA) test.  
29 Measurements in 3D were obtained through an 8-camera infrared motion analysis system recording  
30 at 100Hz (VICON® T10, Oxford Metrics, Oxford). The outcome measure for cervical sensorimotor  
31 control is the joint repositioning error (JPE) which is expressed in degrees (°) [25]. This test is proven

1 to be valid and reliable [26]. Rigid plates with reflective markers were placed on the head and sternum.  
2 No alleviating effect was reported of the pressure of the head band in the patient group. The  
3 measurement error of the VICON® T10 system in Multidisciplinary Motor Centre Antwerp M<sup>2</sup>OCEAN  
4 is <1°[27]. A more detailed description of marker placements and data analysis was published  
5 previously [9].

6 In the HRA test, blindfolded participants are instructed to relocate their head as accurately as  
7 possible to a self-determined neutral head position after performing an active movement in the 2  
8 cardinal planes (flexion – extension and left - right rotation of the neck) [28]. The neutral head position  
9 for patients was equal to the dystonic head position. They were asked to perform the neck movements  
10 without using sensory tricks and within comfortable limits to avoid supplementary nociceptive input.  
11 This test was verbally explained, followed by a demonstration and performed 10 times in each plane  
12 of movement. The JPE was calculated quantitatively by the absolute error (AE) and qualitatively by the  
13 constant error (CE) [25, 29]. The absolute error (AE) is the mean of the total deviation from the neutral  
14 head position over the trials [29]. Whereas the constant error (CE) is a measure of both direction and  
15 deviation from the neutral head position. It is calculated as the mean of the repositioning error over  
16 the trials incorporating the positive and negative values in each trial in the cardinal plane [25].

17 **Seated postural control** was assessed during quiet sitting with 2 embedded force plates  
18 (AMTI®, Advanced Mechanical Technology Inc., Watertown, MA). Center of Pressure (CoP)  
19 displacement was measured with a sampling frequency of 1000Hz and filtered through a 4<sup>th</sup> order zero  
20 phase Butterworth lowpass filter with a cut-off frequency of 10Hz [30]. Participants were seated on a  
21 chair without back or arm rests on one force plate. Both feet were placed next to each other with the  
22 hands resting on the thighs on the adjacent force plate. The signals were processed with Vicon®  
23 software (version 1.8.5). A custom made Matlab model (version 2016b) was written to calculate CoP  
24 parameters in which total CoP was calculated as the weighted average of the CoP displacements on  
25 the 2 force plates.

26 The following CoP parameters were calculated, as previously described by Prieto et al. [31]:  
27 range of the antero-posterior and mediolateral displacements (mm) (range ML, range AP), sway path  
28 as distance covered by the successive positions of the moving COP (mm), the sway area (mm<sup>2</sup>) is an  
29 ellipse which encompassed 95% of the CoP distribution, the mean velocity of CoP displacements in  
30 the antero-posterior and medio-lateral direction (mm/s) (mVel ML and mVel AP). Smaller sway  
31 parameters represent better postural stability.

1 Three samples of 30 seconds were recorded with eyes closed and eyes open [32] with a 30s rest  
2 between trials. The first 10 s of each trial were discarded to avoid non-stationarity in the start of the  
3 measurement [33].

4 **Perception of visual verticality** was obtained through the Subjective Visual Vertical (SVV) test  
5 [34, 35], measured with the Difra vertitest type DI072010 (Difra, Belgium) with an accuracy of 0.1°.   
6 The vertitest is positioned behind the participant and projects a laser bar of approximately 1 m on an  
7 opposing white wall. Participants sat on a chair without backrest in a completely darkened room. Head  
8 position was not corrected in patients with CD, control subjects kept the head in a neutral position.  
9 The laser bar was made invisible to the participant when the researcher set the bar in the starting roll  
10 position. The participant then rotated the laser bar to a vertical position using a remote control. The  
11 deviation in degrees (°) was noted where a clockwise (CW) deviation of the bar results in a positive  
12 SVV score and a counterclockwise (CCW) in a negative score. The fixed order of the 7 starting roll  
13 positions of the laser bar in relation to the earth's vertical was 20° CCW, 10° CW, 5° CCW, 0° (earth's  
14 vertical), 5° CW, 10° CCW and finally 20° CW. The average of the 7 trials was calculated.  
15 Participants performed 1 practice trial and did not receive any feedback about their performance  
16 during the assessment. No time limits were set for the adjustments.

17 A head on body tilt of <60° leads to a contralateral overestimation of the tilt in asymptomatic  
18 subjects. They compensate by setting the laser bar to a contralateral tilt of the visual vertical. This is  
19 referred to as the "E-effect" [36]. If patients with CD show an E-effect, we expect a CW deviation and  
20 positive values in patients with left laterocollis. Patients with a right laterocollis would have a negative  
21 SVV score because of the CCW deviation. When calculating a mean SVV score of patients with right or  
22 left laterocollis, this would lead to a value close to 0. Therefore, the raw SVV score of patients with  
23 left laterocollis were multiplied with -1 to allow between subject comparison.

#### 24 **Statistical analysis**

25 Data were analyzed using SPSS® vs. 22. Shapiro-Wilks test was calculated in order to assess  
26 normality of data distribution. Level of significance was set at 0.05 for all analysis and corrected with  
27 a Bonferroni correction in case of multiple outcome parameters.

28 Non-normally distributed data were analyzed using the Friedman test in order to detect  
29 differences over time in the patient group. In case of significant differences on the Friedman test, a  
30 Wilcoxon test was performed to detect differences between specific time intervals. Next, to explore  
31 whether treatment effect influenced somatosensory processes over time the patient group was

1 subdivided in responders and non-responders to BoNT treatment. Patients with an improvement of  $\geq$   
2 20% on the total TWSTRS score were categorized as responders to the BoNT treatment [37]. Again, a  
3 Friedman test was used to calculate differences over time in the responder and non-responder group.  
4 In order to explore between-group differences between the group responders and non-responders, a  
5 Mann-Whitney U test was used to calculate differences in age, disease severity and disease duration.  
6 A chi square test was used to explore differences in gender and presence of dystonic head tremor.

7 Changes in sensorimotor parameters following BoNT treatment were correlated to mean  
8 differences in disease characteristics, differences in cervical sensorimotor control and postural control  
9 by means of Spearman rho correlation coefficients.

10 Additionally, a Mann-Whitney U test was used to calculate differences between the control  
11 groups and the patient group at test 3 (12 weeks follow-up).

## 12 **Results**

### 13 1. Demographic characteristics

14 Baseline subject demographics of patients with CD are presented in Table 1. The age of the 20  
15 females and 4 males ranged between 30 and 86 year with a mean of 59.2 year ( $\pm 13.9$  SD). Disease  
16 severity ranged from 21.75 to 61.75/85 on the TWSTRS with a mean score of 36.07 ( $\pm 9.74$  SD). The  
17 score on the CDIP-58 ranged from 25.86 to 75.86/100 with a mean score of 47.69 ( $\pm 13.79$  SD). Visible  
18 dystonic head tremor was present in 10 patients (41,7%). No participants were lost to follow-up.

19 A group of 36 asymptomatic subjects (16 men and 20 females) with a mean age of 58.9 year  
20 ( $\pm 16.6$  SD) participated as the control group for postural control. For perception of visual verticality a  
21 control group of 30 asymptomatic subjects (12 males and 18 females) participated with a mean age  
22 of 59.4 year ( $\pm 17.4$  SD). The normative database for cervical sensorimotor control consisted of 70  
23 asymptomatic controls with at least 10 participants per decade (30-90 years), except for decade +80  
24 years (n=4). The age of the patient group did not differ from the control groups.

### 25 2. Treatment characteristics

26 Of the 24 patients, 18 received botulinum injection of BOTOX<sup>®</sup> and 6 received injections of  
27 Dysport<sup>®</sup> (75% and 25% of the participants resp.). BoNT-A was injected in 2 to 7 different muscles with  
28 a mean of 3.8 muscles injected during one treatment session (See Table 1).

1 The splenius capitis muscle(s) was injected in 100% of the treatment sessions, the  
2 sternocleidomastoideus in 62.5%, the semispinalis capitis in 58.3%, the levator scapulae in 58.3%, the  
3 trapezius in 29.3% and the scalene with 4.2%.

4 After treatment, 14 patients were categorized as 'responders' and 10 as 'non-responders'. No  
5 differences were found between the responders and non-responders for age, disease severity, disease  
6 duration and gender. The proportion of patients with dystonic head tremor was significantly higher in  
7 the non-responders group compared to the responders ( $\chi^2(1) = 8,03, p = 0.011$ ). In the non-responders  
8 group, 72% of the patients showed a dystonic head tremor.

### 9 3. Disease characteristics over time

10 Disease severity, reflected by the mean score on the TWSTRS and CDIP-58, significantly  
11 decreased with 21.3% and 21.9% resp. after BoNT-A treatment (see Fig.1) and increased from test 2  
12 to test 3. The pain at time of assessment, measured by the VAS, significantly changed over time  
13 ( $p=0.031$ ). With a significant decrease in pain intensity at test 2 compared to baseline ( $p=0.015$ ) and a  
14 significant increase in pain from test 2 to test 3 ( $p=0.017$ ).

### 15 4. Somatosensory processing over time

#### 16 **Cervical sensorimotor control**

17 Patients showed impaired cervical sensorimotor control at baseline compared to the control  
18 population for all parameters except for the absolute error on return from extension.

19 During follow-up, the head repositioning error, calculated as the absolute and constant error,  
20 did not change over time except for the movement direction "return from flexion" in the patient group  
21 (AE ext  $p= 0.023$ , AE fl  $p= 0.011$ , AE rot left  $p= 0.582$ , AE rot right  $p= 0.513$ ) (see Fig.2 top and Table in  
22 supplementary material). The head repositioning error calculated as the constant error did not change  
23 over time (CE ext  $p= 0.093$ , CE fl  $p= 0.034$ , CE rot left  $p= 0.959$ , CE rot right  $p= 0.846$ ) (see Fig.2 bottom  
24 and Table in supplementary material). The joint position error as calculated by the absolute error and  
25 the constant error tended to decrease over time for repositioning after extension, although not  
26 significantly after Bonferroni correction.

27 Both the responders and non-responders group showed no significant changes in joint position error  
28 over time.

1 At test 2, the head repositioning error of patients was significantly larger in patients compared  
2 to controls for the constant error in return from every movement direction (CE ext  $p < 0.0001$ , CE fl  
3  $p < 0.0001$ , CE rot left  $p = 0.002$ , CE rot right  $p < 0.0001$ ), for the absolute error in return from left and  
4 right rotation (AE ext  $p = 0.046$ , AE fl  $p = 0.365$ , AE rot left  $p = 0.006$ , AE rot right  $p = 0.003$ ).

5 At test 3, the head repositioning error of patients was significantly larger compared to  
6 asymptomatic controls for the constant error in return from every movement direction (CE ext  $p =$   
7  $0.001$ , CE fl  $p < 0.0001$ , CE rot left  $p = 0.001$ , CE rot right  $p < 0.0001$ ), and for the absolute error in return  
8 from left rotation (AE ext  $p = 0.729$ , AE fl  $p = 0.500$ , AE rot left  $p = 0.007$ , AE rot right  $p = 0.050$ ).

### 9 10 **Postural control over time**

11 All postural control parameters in patients with CD were significantly larger at baseline  
12 compared to the control group for the patients with head tremor. In the patient group without head  
13 tremor, all postural control parameters were significantly larger at baseline compared to the control  
14 group except for the mean velocity in the medio-lateral direction.

15 One parameter of postural control changed over time in the patient group without head  
16 tremor: the range of the CoP displacement in the antero-posterior direction ( $p = 0.006$ ). The Wilcoxon  
17 test showed post hoc that the CoP displacement in the antero-posterior direction was significantly  
18 smaller at week 12 compared to baseline in the condition eyes open ( $p = 0.045$ ). No other parameter  
19 changed over time in the patient group with and without head tremor from baseline to follow-up at 4  
20 and 12 weeks (See Fig. 3 and Table in supplementary material).

21 The group responders and non-responders showed no significant changes postural sway parameters  
22 over time.

23 At test 3, all postural sway parameters of patients were significantly larger in patients  
24 compared to controls in the eyes open and eyes closed condition ( $p$  ranged from  $< 0.0001$  to  $0.014$ ).

### 25 **Perception of visual verticality over time**

26 Perception of visual verticality was not different from the asymptomatic control group  
27 ( $p = 0.43$ ) at baseline. This remained so through follow-up.

## 1           **Discussion**

2           In this observational study, impaired postural and cervical sensorimotor control was found in  
3 patients with idiopathic cervical dystonia at baseline. A single treatment of BoNT-A injection in  
4 patients with idiopathic cervical dystonia showed a significant beneficial effect on disease symptoms  
5 but showed little to no effect on cervical sensorimotor control, postural control or the perception of  
6 the visual vertical. Contrary to the hypothesis, the decrease in disease symptoms and pain did not  
7 result in increased postural control and cervical sensorimotor control as no correlations were found  
8 in the group of patients who showed a good treatment effect. The perception of visual verticality was  
9 well within normal ranges and remained so through the follow-up period.

10           The impaired postural control (e.g. increased postural sway parameters) and cervical  
11 sensorimotor control (e.g. impaired head repositioning accuracy) in CD might be attributed to  
12 dysfunctions in sensory afference from the neck as well as dysfunctions in the sensorimotor network  
13 at the level of the central nervous system. Since peripheral vestibular function seems intact as  
14 measured by the subjective visual vertical test, and previously reported by Rosengren et al.[38], the  
15 results of decreased postural stability and sensorimotor control may support the hypothesis for the  
16 involvement of the cerebellum in the pathophysiology of CD [39–41].

17           In standard care, BoNT is injected in the dystonic muscles causing local chemodenervation at  
18 the neuromuscular junction. This leads to fewer muscle contractions and a reduction in afferent  
19 sensory information [14, 15, 42, 43]. Next to the peripheral effect of BoNT, secondary central  
20 neurological changes have been observed following BoNT injection. It appears that BoNT modulates  
21 basal ganglia activity [44], decreases the loss of intracortical inhibition [45], and modulates the  
22 somatosensory cortex [46, 47] in the sensorimotor network. These mechanisms of action could affect  
23 somatosensory processes such as cervical sensorimotor control and postural control.

24           Our results showed a reduction of pain and other disease characteristics such as improved mobility,  
25 disability and head position following treatment with BoNT. The reduction of  $\geq 20\%$  of the total TWTRS  
26 score in our patient population was expected as a clinically relevant improvement and is comparable  
27 to other research [37, 48]. Then symptoms increased again towards baseline level at week 12 as  
28 expected due to the temporary effect of BoNT [11, 14]. The improvement in disease symptoms at  
29 week 4 is not accompanied by an increased cervical sensorimotor control nor postural stability. None  
30 of the parameters from postural and cervical sensorimotor control follow the curve of the treatment  
31 effect as seen in the improvement of pain and disease severity scores on the TWSTRS and CDIP-58.

1 Although some parameters (e.g. HRA extension and sway area) tend to gradually improve over time,  
2 they did not reach the level of the control population. Several hypotheses should be considered.

3 First, the presence of neck pain might affect the results since the pain matrix and the  
4 sensorimotor network show overlapping brain areas [3, 49]. In patients with aspecific chronic neck  
5 pain [50–53], impaired cervical sensorimotor control and postural control have been observed as  
6 cervical somatosensory afference contributes to postural control and sensorimotor control [17, 54–  
7 56]. Our results showed that pain severity decreased following BoNT treatment to increase to baseline  
8 level after 12 weeks. The reduction in neck pain did not correlate to differences in postural or cervical  
9 sensorimotor control. Therefore, we believe that pain might contribute to the sensorimotor  
10 dysfunctions but is not the sole cause.

11 Second, the density of muscle spindles in the injected muscles with BoNT-A is not the highest  
12 density found in neck muscles. The highest density of muscle spindles is found in suboccipital muscles  
13 and the longus colli muscle [57, 58]. These muscles are located close to the spine and were not treated  
14 in our patient group with CD. The proportion of decreased somatosensory input from the injected  
15 neck muscles could therefore be insufficient in normalizing cervical sensorimotor control and postural  
16 control.

17 Third, sensory reweighting might influence the sensorimotor processes of cervical  
18 sensorimotor control and postural control. Previous research reported that patients with CD  
19 seemingly ignore proprioceptive input from muscle spindles generated by neck muscle vibrations [8,  
20 59]. This could explain the non-linear response of cervical sensorimotor control in patients with CD to  
21 the altered sensory afference from the neck muscle spindles following BoNT treatment. It would imply  
22 that patients downregulate the impact of proprioceptive afference in sensorimotor processes [60, 61].  
23 The mean disease duration of our study population was 8 years, the participants could therefore  
24 increasingly rely on vestibular cues as proprioceptive information from the neck might be discarded  
25 as not reliable. Additionally, secondary adaptations in the sensorimotor network following BoNT  
26 treatment have been observed with functional magnetic resonance imaging such as reduced basal  
27 ganglia activation and cortical activation [3, 47]. The peripheral and secondary central effect of BoNT  
28 is apparently not sufficient to normalize cervical sensorimotor control or postural control. Moreover,  
29 the group with dystonia received regularly BoNT treatment. Therefore it is plausible that cortical [45]  
30 or subcortical plasticity [62] would be present following the injections. This would decrease treatment  
31 effects detectable after one single BoNT injection. A botulinum toxin naïve group of patients would  
32 provide more insight in this matter.

1 Fourth, a learning effect should be considered. Although a learning effect seems unlikely since  
2 the time interval between assessments was 4 and 8 weeks. In neck pain populations, a significant  
3 improvement in joint position sense was obtained after a 4 to 6 week interval of regular exercises 2  
4 times a day [63, 64]. It is unlikely that the 3 assessments in this study would result in a learning effect.  
5 Nonetheless habituation to the laboratory setting may influence the assessment as mental stress  
6 deteriorates the symptoms of dystonia [65].

7 Finally, the outcome measures might not be sensitive enough to detect changes. The  
8 clinimetric properties regarding the validity and reliability of the head repositioning accuracy test, as  
9 outcome for cervical sensorimotor control, have been reviewed multiple times in several patient  
10 populations where a joint repositioning error is 0.58° - 1.66° larger in patient populations, depending  
11 on the measurement device used [26, 66]. The responsiveness to change however is not well  
12 documented [63, 67]. Posturography as outcome for postural stability in stance is widely used and is  
13 responsive to changes in time following exercise interventions [68]. However, this has not been  
14 established for posturography in a seated condition to our knowledge.

15 There are some limitations to this study. As no patient control group was included, this report  
16 is an observational study. We did not include a patient control group because it is ethically not  
17 preferable to deny first line recommended treatment to patients with CD. With a year incidence of 8–  
18 12 cases per million [69], it is difficult to recruit BoNT naive patients for a cross-over design.  
19 Nevertheless, the data provide valuable baseline measurements in patients regularly treated with  
20 BoNT, not receiving additional physiotherapy targeted at postural and cervical sensorimotor control.  
21 Although future research is needed to confirm the results of our study.

22 The results are biased by the small number of patients and high percentage of non-responder patients  
23 (40%). A cut-off of 20% improvement on the TWSTRS scale was used to allocate patients in the  
24 responders group. Some considerations can be made concerning the rather high percentage of non-  
25 responder patients. First, in the group of non-responders, 72% of the patients showed a dystonic head  
26 tremor. The measure to assess improvement, the TWSTRS, does not include tremor assessment.  
27 Therefore, the TWSTRS score cannot reflect the improvement in head tremor. These findings also  
28 reflect the difficulty of treating dystonic head tremor [70] and the limited effect of BoNT in the  
29 treatment of head tremor [71, 72]. Second, disease severity of the participants in this trial was mild to  
30 moderate. Some clinical trials preset a disease severity of 30 points on the total TWSTRS score [73]  
31 although a minimal score of 20 is also applied [74]. In our sample, 8 of the 24 patients had a TWSTRS  
32 score <30 points. This implies little margin for improvement after treatment. Finally, 2 patients  
33 showed an improvement of 19% and were therefore included in the non-responder group although

1 the improvement was clinically relevant. Assigning the 2 patients to the responder group would lower  
2 the percentage of non-responders to 33%.

3

4 In conclusion, our study found a beneficial effect of BoNT on disease severity and pain. One  
5 single BoNT intervention however has little to no effect on head repositioning accuracy, seated  
6 postural control or perception of visual verticality in patients with idiopathic CD. As a peripheral  
7 intervention does lead to a normalization of somatosensory integration, the results of this study  
8 confirm the impairments in different nodes of the sensorimotor network. Additional to the peripheral  
9 intervention with BoNT, a specialized exercise treatment targeting somatosensory integration might  
10 be beneficial in the standard care of patients with CD.

11

## 1           **References**

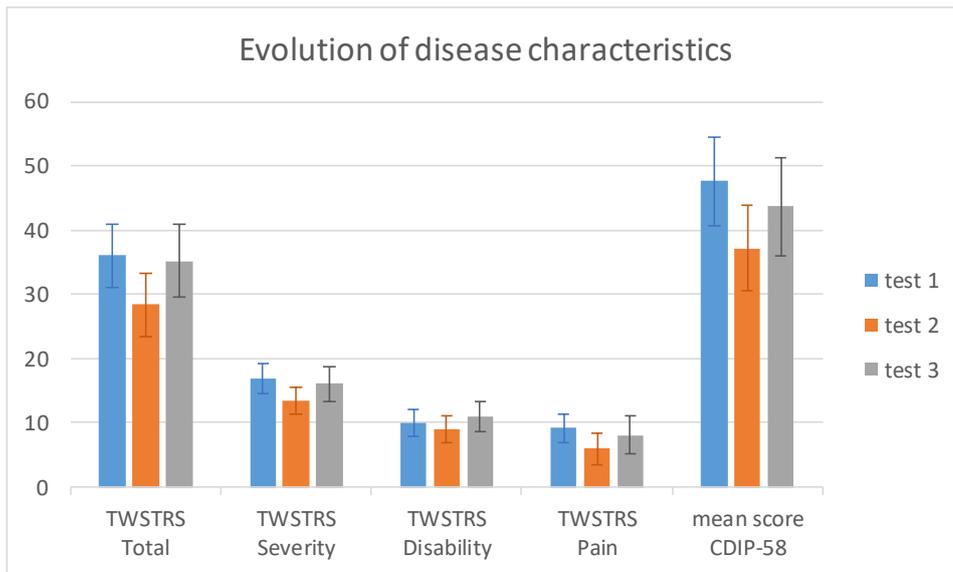
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Figure 1: Evolution of disease characteristics over time

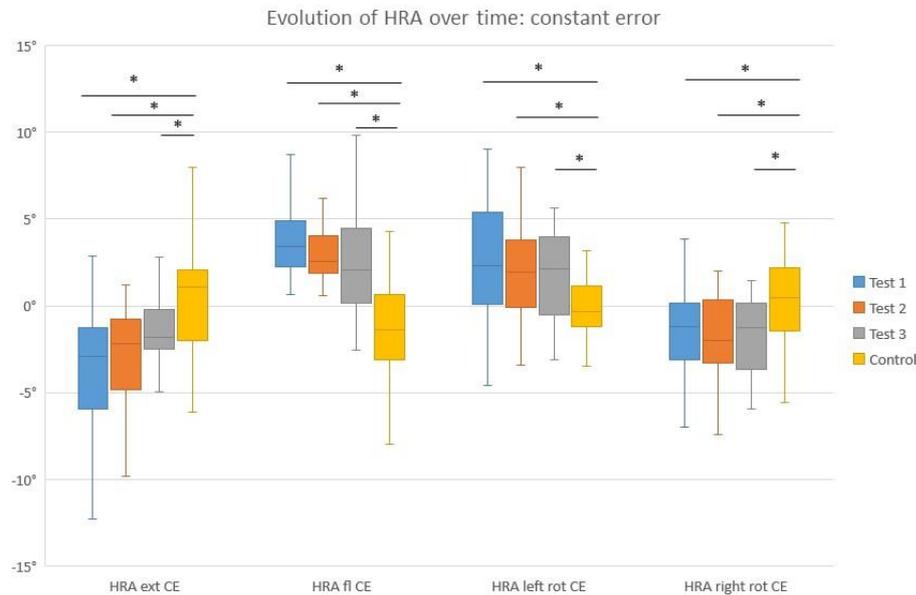
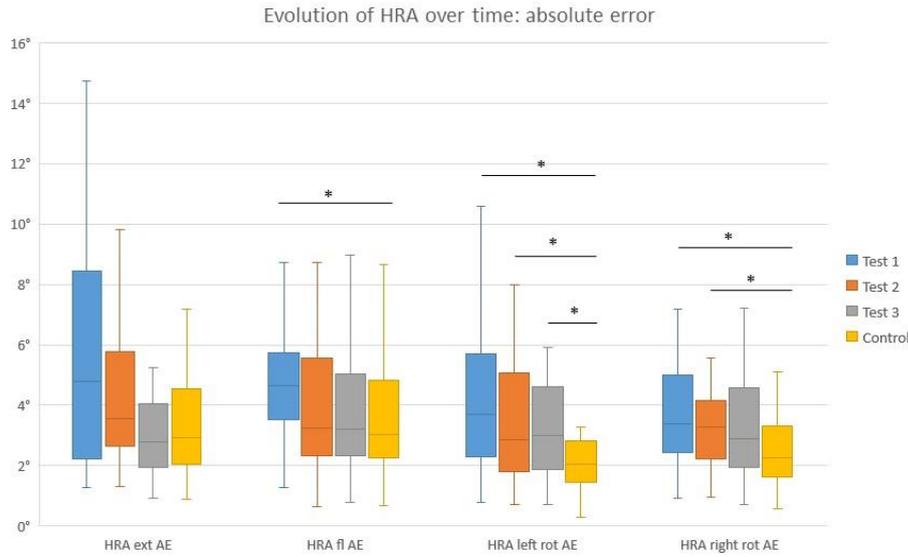
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Mean score and standard deviation are presented, \* significant difference between test 1 and 2 for the mean score on the Toronto Western Spasmodic Rating Scale (TWSTRS) and Cervical Dystonia Impact Profile (CDIP-58)

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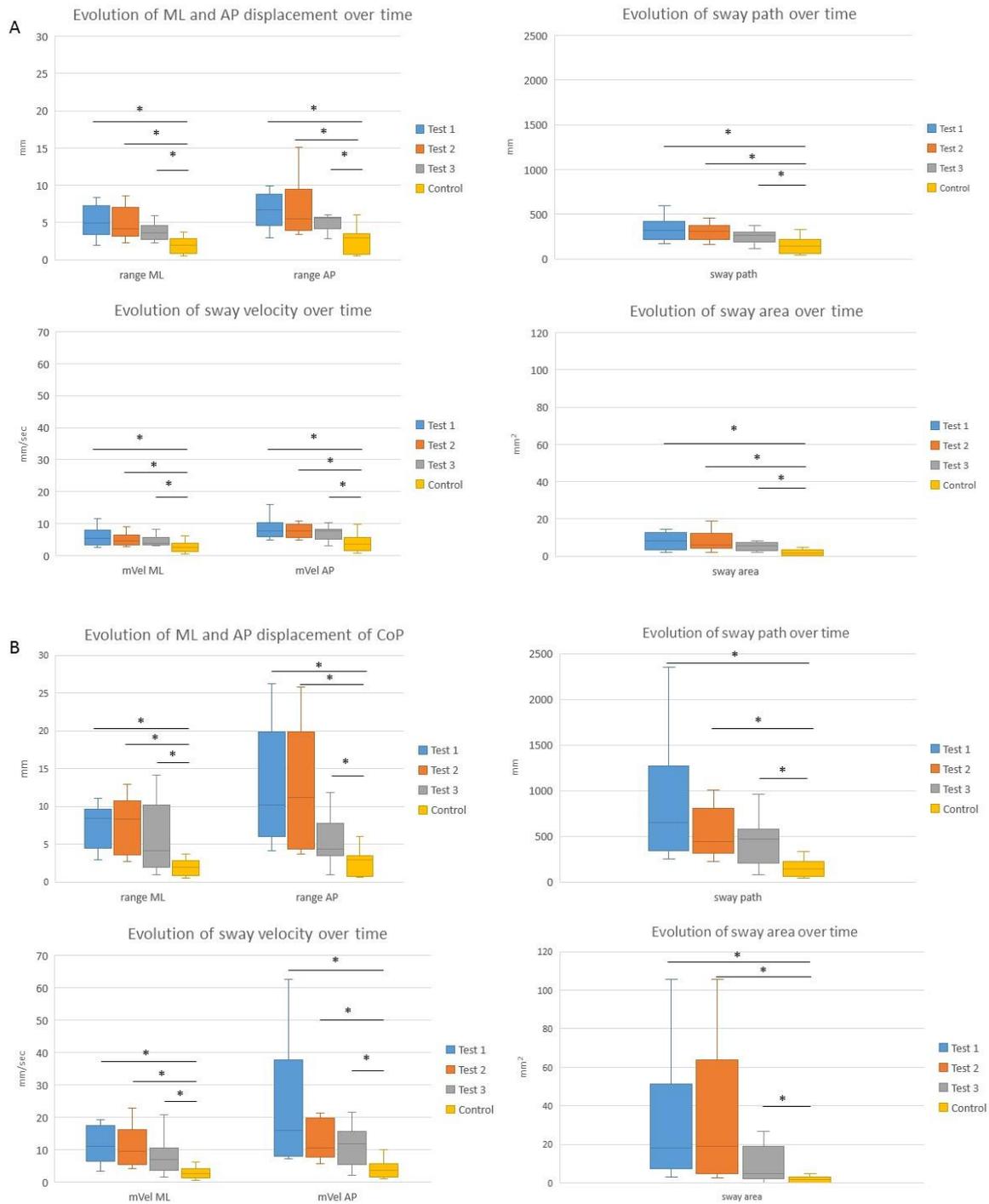
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Figure 2: Evolution over time of the absolute and constant joint position error  
 AE: absolute error (top), CE: constant error (bottom), ext: extension of the cervical spine, fl: flexion of the cervical spine, rot: rotation. Median and interquartile range are presented.  
 The graphs depict changes in head repositioning accuracy from baseline (test 1) to 4 weeks follow up (test 2) to 12 weeks follow up (test 3). The CE was sig. larger in the patient group at test 1,2 and 3 compared to controls and in the opposite direction. Patients overshoot (e.g. surpass the neutral head position) whereas asymptomatic controls undershoot (e.g. stop before reaching the neutral head position)\* significant difference after Bonferonni correction



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5 **Figure 3: Center of Pressure (CoP) displacements over time**

6 Changes in CoP displacements in patients without head tremor (A: top figure) and in patients with head tremor  
 7 (B: bottom figure). The graphs depict changes in CoP displacements in the eyes closed condition from baseline  
 8 (test 1) to 4 weeks follow up (test 2) to 12 weeks follow up (test 3). Range ML: range of the CoP displacement  
 9 in medio-lateral direction, range AP: range of the CoP displacement in antero-posterior direction, mVel ML:  
 10 mean velocity of the CoP displacement in medio-lateral direction, mVel AP: mean velocity of the CoP  
 11 displacement in antero-posterior direction, Area: sway area of an ellipse that encompassed 95% of the CoP  
 12 distribution, Path: sway path represents distance covered by the successive positions of the moving COP  
 13 \* significant difference after Bonferonni correction

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1 Table 1: Baseline subject demographics and treatment characteristics of the 24 participants

Gender	Age (years)	Duration CD (years)	Type of CD	tremor	TWSTRS /85	CDIP-58 /100	Dose (units)	Number injected muscles
F	44	2	Right T + Left La	0	34.8	68.6	100 B	3
M	41	7	Right La	0	29.5	41.0	100 B	5
F	76	14	Right T + Left La + Left Lateral shift	0	44.7	49.6	500 D	3
F	68	15	Left T	0	28.2	36.2	100 B	4
F	35	9	Left T + Re	0	26.7	48.6	100 B	5
F	71	7	Right T + Right La + sagittal shift forward	0	36.0	41.7	100 B	3
F	58	11	Right T + Left La	4	40.2	42.4	500 D	4
F	62	7	Right T + Left La	0	44.7	67.9	100 B	2
F	61	9,5	Right T + Right La + An	0	56.0	53.8	200 B	4
F	59	14	Right T + Left La	1	27.0	41.7	100 B	2
M	71	8	Right T + Right La + sagittal shift backward	0	41.7	34.8	1000 D	5
M	56	18	Right T	0	30.2	43.8	1000 D	3
F	30	11	Right T + Right La	4	21.7	25.9	100 B	6
M	43	8	Right T + Right La	0	36.7	44.5	200 B	4
F	70	7	Right T + Left La	0	26.7	30.3	100 B	2
F	55	10	Right T + Right La	1	34.7	50.0	500 D	3
F	70	35	Right T + Right La	4	40.2	75.9	100 B	3
F	86	34	Left T + Right La + An	1	22.2	28.6	100 B	3
F	74	8	Left T + Right La	4	27.0	42.1	100 B	5
F	48	9	Right T + Right lateral shift	2	46.2	73.4	150 B	7
F	59	17	Left T + Left La	0	61.7	63.1	500 D	4
F	71	31	Left T + Left La + An	1	30.5	38.9	100 B	4
F	50	6	Right T + Right La	0	38.5	55.9	100 B	4
F	64	15	Right T + left La	4	34.1	45.5	100 B	4

Legend: M=male, F=female, T=torticollis, La=laterocollis, An=anterocollis, Re=retrocollis, TWSTRS=Toronto Western Spasmodic Rating Scale, CDIP-58=Cervical Dystonia Impact Profile, SD= standard deviation Tremor according to Tsui scale: product of severity x duration (severity: 1=light 2=severe and duration 1=intermittent 2= constant)[38], D: units of Dysport®, B:units of BOTOX®

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