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Reference:

Van Hecke Ruth, Van Rompaey Vincent, Wuyts Floris, Leysens Laura, Maes Leen.- Systemic aminoglycosides-induced vestibulotoxicity in humans
Ear and hearing - ISSN 0196-0202 - 38:6(2017), p. 653-662
Full text (Publisher's DOI): <https://doi.org/10.1097/AUD.0000000000000458>
To cite this reference: <http://hdl.handle.net/10067/1441100151162165141>

1 **Systemic aminoglycosides-induced vestibulotoxicity in humans: a systematic review**

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18 **Conflict of interest and source of funding:** None of the authors have conflicts of interest or
19 financial relationships relevant to this article to disclose. No funding was secured for this
20 study.

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1 **ABSTRACT**

2 **Objectives:** This systematic review aimed to investigate the prevalence and characteristics of
3 vestibular adverse effects of aminoglycoside (AG) therapy in humans and to analyse objective
4 vestibular tests for the detection of AG-induced vestibulotoxicity. **Design:** PubMed, Cochrane
5 Database, Web of Science, and reference lists of all included studies were screened by two
6 independent researchers. The Preferred Reporting Items for Systematic Reviews and Meta-
7 Analysis (PRISMA) guidelines were followed. Studies were included according to pre-set
8 inclusion criteria and reported outcomes of studies evaluating vestibular function using one
9 or more objective vestibular function tests in adults and/or children after systemic AG
10 administration. The methodological quality of each study was assessed using the Quality
11 Assessment Tool for Quantitative Studies. Interrater reliability was established using Cohen's
12 Kappa. **Results:** Twenty-seven studies were included, with the vast majority showing AG-
13 induced vestibulotoxic side effects, ranging from 0% to 60%. Most studies reported AG-
14 induced abnormalities by caloric and rotatory testing, whereas only a few studies reported
15 using video Head Impulse Test (vHIT) and Vestibular Evoked Myogenic Potential (VEMP)
16 testing. **Conclusion:** Since type I hair cells (particularly of the semicircular canals) are more
17 susceptible to ototoxicity, vHIT and VEMP testing seem more promising for the early detection
18 of vestibulotoxicity than caloric and rotatory testing. Prospective studies using an extensive
19 vestibular test battery are needed to further characterize the impact of AGs on the different
20 vestibular end organs and to identify the most sensitive vestibular technique for the early
21 detection of vestibulotoxicity.

22 **Keywords:** Aminoglycosides, systematic review, vestibular, vestibular screening, ototoxicity,
23 vestibulotoxicity.

24

1 **INTRODUCTION**

2 Aminoglycosides (AG) are broad-spectrum antibiotics that are commonly prescribed in life-
3 threatening diseases, such as multidrug-resistant tuberculosis, sepsis and cystic fibrosis, due
4 to their antimicrobial efficiency, widespread availability and cost-effectiveness (Caminero et
5 al. 2010; Gibson et al. 2003; Pillers et al. 2005; Schatz et al. 2005). Since the use of
6 streptomycin, the first discovered AG, it became clear that AGs may induce nephro- and
7 ototoxicity (Hinshaw et al. 1947). Despite the recognized risk for these side effects, AGs are
8 still frequently administered (Grohskopf et al. 2005; Hermann 2007; Price 1986). The overall
9 reported incidence of AG-induced ototoxicity varies from 2%-25% (Esterhai et al. 1986; Fee
10 1980; Rizzi et al. 2007). Based on histological studies, AGs induce sensory hair cell injury.
11 Prolonged exposure of inner ear cells to AGs is associated with destruction of outer hair cells
12 (OHC) in the organ of Corti and type I vestibular hair cells in both the crista ampullaris and
13 maculae, leading to auditory and vestibular impairment (Carey et al. 2002; Chiodo et al. 1994;
14 Forge et al. 2000; Huizing et al. 1987; Lyford-Pike et al. 2007). The pathogenesis of AG-induced
15 hair cell injury remains elusive. Several hypotheses have been reported (Forge and Schacht
16 2000; Poirrier et al. 2010; Rizzi and Hirose 2007; Selimoglu 2007) with oxidative stress
17 considered to be the principal mechanism. Literature suggests that cellular injury depends on
18 AG binding with iron to form a toxic metabolite, which generates hydroxyl radicals that place
19 the cell under oxidative stress (Poirrier et al. 2010; Rizzi and Hirose 2007; Selimoglu 2007),
20 resulting in apoptotic cell death. Although all AGs may damage both cochlear and vestibular
21 structures, individual AGs differ in their propensity to produce cochleo- or vestibulotoxicity.
22 Neomycin, kanamycin and amikacin are more cochleotoxic, whereas vestibular damage is
23 generally triggered by gentamicin and streptomycin (Matz 1993; Rybak et al. 2007; Selimoglu
24 et al. 2003).

1 The clinical features of AG-induced cochleotoxicity are frequently discussed (Chen et al. 2013;
2 Farzal et al. 2016; Prayle et al. 2010; Rybak and Ramkumar 2007; Schacht et al. 2012). Since
3 OHCs at the cochlear base are more susceptible to toxicity than apical hair cells, high
4 frequencies are generally more severely affected (Fausti et al. 1984) resulting in a high-
5 frequency sensorineural hearing loss, often accompanied by tinnitus. With continued
6 exposure, hair cell damage progresses to the apex, placing patients at increased risk for further
7 hearing impairment (Huizing and de Groot 1987). Distortion product otoacoustic emissions
8 and high-frequency audiometry are internationally recommended as the most sensitive tests
9 for cochleotoxicity (Al-Malky et al. 2015; Farzal et al. 2016; Geyer et al. 2015; Stavroulaki et al.
10 1999; Tange et al. 1985).

11 Vestibulotoxicity from systemic AGs in humans is less frequently described, which may be due
12 to the presenting symptoms of vestibulotoxicity. Both ears are often affected, resulting in
13 oscillopsia, chronic disequilibrium and postural instability (Black et al. 1993; Lucieer et al.
14 2016; van de Berg et al. 2015; Ward et al. 2013), symptoms which are often underappreciated
15 by clinicians as relating to the ear, compared to tinnitus or spinning vertigo. These symptoms
16 of vestibulotoxicity are often attributed to the underlying diseases, for which AGs were
17 prescribed, and are often discovered late because patients receiving AG therapy are often
18 bedridden. Finally, vestibular organ failure may be masked by sensory substitution by vision
19 and proprioception, obscuring vestibular damage compared to the more salient effects of
20 cochlear damage (van de Berg et al. 2015).

21 Cochlear and/or vestibular dysfunctions can influence academic performance, social,
22 cognitive and mental development (Davis et al. 1986; van de Berg et al. 2015), which may
23 result in a diminished quality of life (Guinand et al. 2012; Mendel et al. 1999; Stevenson et al.
24 2015; Sun et al. 2014). Therefore, routine screening for audiovestibular side effects should be

1 conducted during (and after) AG therapy, such that AG administration can be stopped or
2 adjusted in time to prevent ototoxic damage (Ariano et al. 2008; Black et al. 2001; Leis et al.
3 2015). In contrast to the monitoring of cochleotoxic effects, there is no standardized protocol
4 to screen for AG-induced vestibulotoxicity.

5 The aim of this systematic review is to determine the prevalence and characteristics of
6 vestibular adverse effects of AG therapy in humans and to analyse objective vestibular
7 techniques for early detection of systemic AG-induced vestibulotoxicity.

8

9 **METHODS**

10 This study was performed according to the international Preferred Reporting Items for
11 Systematic Reviews and Meta-Analysis (PRISMA) guidelines (Liberati et al. 2009).

12 **Search strategy**

13 A systematic literature search was conducted using PubMed, Web of Science and Cochrane
14 databases. The search strategy was based on keywords derived from the PICOS approach
15 (Participants, Intervention, Comparison, Outcome and Study design). The articles had to
16 report outcomes of vestibular function evaluation using one or more objective vestibular
17 function tests (O) in adults and/or children (P) (before and) after systemic AG administration
18 (I). Because of the limited amount of data on vestibulotoxicity, all types of group designs (C
19 and S) were accepted. The keywords used for the literature search are represented in Table 1.

20 **Study selection**

21 Based on the selection criteria (Table 2), the title and abstract of all retrieved articles were
22 screened, followed by a full-text screening of the selected articles. If the study did not meet
23 the selection criteria, the article was excluded. An additional 'hand search' was conducted by
24 screening the reference lists of all included studies, to prevent missing useful citations. The

1 literature search and screening procedure was conducted by two independent researchers,
2 with calculation of Cohen's Kappa score (Landis et al. 1977) to determine the level of interrater
3 agreement. The cut-off date for articles to be included was November 2016.

4 **Data extraction**

5 The following items were collected from each included study: author and year, study design,
6 patient information (sample size, sex ratio, mean age and pathology/infection for which AGs
7 were prescribed), information about control group, information about AG use (applied AG,
8 dose, duration and manner of administration), additional cochlear toxicity, objective
9 vestibular function tests (choice of test and time of evaluation), vestibular outcome measures,
10 main findings on vestibular symptoms and tests, as well as recommendations for screening.

11 **Risk of bias assessment and level of evidence**

12 The methodological quality of all included articles was evaluated using The Quality
13 Assessment Tool For Quantitative Studies (developed by the Effective Public Health Practice
14 Project (EPHPP)) (Thomas et al. 2004), which rates six methodological study parameters:
15 selection bias, study design, potential confounding variables (predetermined before bias
16 assessment was started), blinding, data collection methods and withdrawals and dropouts.
17 The overall study quality was considered to be 'strong' if none of the parameters was rated as
18 'weak', 'moderate' if one parameter was rated as 'weak', and 'weak' if two or more
19 parameters were rated as 'weak' (EPHPP 2009a, 2009b). The overall methodological quality
20 score was not used as criterion for eligibility because of the limited existing literature on this
21 topic. However, bias assessment was conducted to enhance the consistency of the results and
22 to reveal further information about flaws in the literature. Additionally, the level of evidence
23 was determined for each article and its study design based on the Oxford Centre for Evidence-
24 based Medicine-Levels of Evidence guidelines (Phillips et al. 2009).

1 **RESULTS**

2 A total of 1361 records were retrieved from the electronic databases (Pubmed: 658; Cochrane
3 Database: 38; Web of Science: 665). A summary of the search results and reasons for exclusion
4 are depicted in Figure 1. The percentage of interrater agreement between the two
5 investigators was high (95.9%), yielding a very good interrater agreement kappa score of 0.814
6 (SE = 0.039) (Landis and Koch 1977).

7 **Characteristics of the included studies**

8 The 27 studies consisted of randomized controlled trials (n=2), a controlled clinical trial (n=1),
9 prospective cohort studies (n=12), cross-sectional studies (n=9) and retrospective case series
10 (n=3), all of which are represented in Table 3. The publication year ranged from 1973 (Elfving
11 et al. 1973; Tjernstrom et al. 1973) to 2016 (Ahmed et al. 2016). The sample size of the study
12 participants varied from 10 (Gendeh et al. 1993) to 418 (Kim et al. 2011). The 27 relevant
13 articles comprised a total of 1675 patients treated with AGs. The mean age ranged from 2.4
14 days (Finitzo-Hieber et al. 1979) to 70.0 years (Ahmed et al. 2011). A detailed description of
15 the study characteristics is represented in Table 3.

16 **Risk of bias assessment and level of evidence**

17 As measured by the EPHPP tool (Thomas et al. 2004), two (7%) studies were rated as strong
18 (Fee 1980; Lerner et al. 1986), ten (37%) as moderate (Ahmed et al. 2011; Barza et al. 1980;
19 Black et al. 2004; Finitzo-Hieber et al. 1979; Gendeh et al. 1993; Gendeh et al. 1991; Kim et al.
20 2011; Lehmann et al. 1976; Pedersen et al. 1987; Zagólski 2008) and fifteen (56%) as weak
21 (Agrawal et al. 2013; Ahmed et al. 2016; Aust 2001; Chen et al. 2013; Deka et al. 1977; Elfving
22 et al. 1973; Eviatar et al. 1981; Hauch et al. 1986; Ishiyama et al. 2006; Noone et al. 1974;
23 Scheenstra et al. 2009; Tjernstrom et al. 1973; Tjernstrom et al. 1982; Weber et al. 2009;

1 Winkel et al. 1978). Ratings for each individual parameter of the tool are represented in Table
2 4.
3 Thirteen of the fifteen studies that rated weak were mainly due to the lack of appropriate
4 consideration of predetermined potential confounders (i.e. age, gender, renal insufficiency,
5 pre-existing audiovestibular dysfunction, duration of AG therapy, total daily dose, previous AG
6 exposure and co-administration of other agents). The second significant reason for the weak
7 rating was study design and this was evident in ten of the fifteen studies; cross-sectional
8 studies and case series were automatically considered as weak. Studies with a high dropout
9 rate were assigned as weak. Most studies were rated as strong for data collection methods,
10 because they used validated and reliable vestibular function tests. Nine of the twenty-seven
11 studies (32%) were labelled as moderate for this parameter, since the judgement of the test
12 results was based on visual evaluation (i.e. Frenzel goggles) by a single investigator. In only
13 two studies (Fee 1980; Lerner et al. 1986) the researchers were not aware of the administered
14 AG. Uncertainty of blinding was rated as moderate. Finally, the criteria for selection bias were
15 mostly rated as moderate or weak because detailed information about the selection
16 procedure was lacking.

17 **Synthesis of the included studies**

18 *Characteristics of AG-induced vestibulotoxicity*

19 In twenty-one studies, the prevalence of AG-induced vestibulotoxicity was identified. In the
20 other six studies (Agrawal et al. 2013; Ahmed et al. 2011; Black et al. 2004; Deka et al. 1977;
21 Ishiyama et al. 2006; Weber et al. 2009), the aim was to determine vestibular outcome
22 measures in patients that were selected based on their known AG-induced vestibulotoxicity.
23 As presented in Table 3, different types of AGs were used. The prevalence of vestibulotoxicity
24 categorised by the type of AG is represented in Table 5.

1 The patients (in the prevalence studies) with reported vestibulotoxicity after AG-therapy
2 (n=117) usually showed bilateral vestibular dysfunction (n=46), although in six studies
3 unilateral dysfunction (n=11) was observed as well (Chen et al. 2013; Eviatar and Eviatar 1981;
4 Noone et al. 1974; Scheenstra et al. 2009; Tjernstrom et al. 1982; Winkel et al. 1978). As
5 reported in Table 3, in fourteen studies (52%), patients were questioned concerning vestibular
6 symptoms after AG administration (Ahmed et al. 2011; Barza et al. 1980; Black et al. 2004;
7 Deka et al. 1977; Fee 1980; Gendeh et al. 1991; Ishiyama et al. 2006; Kim et al. 2011; Lehmann
8 et al. 1976; Lerner et al. 1986; Noone et al. 1974; Pedersen et al. 1987; Scheenstra et al. 2009;
9 Tjernstrom et al. 1973). In these studies—except for two (Kim et al. 2011; Lerner et al. 1986)—
10 vertigo, dizziness, disequilibrium and oscillopsia were the most reported symptoms (Black et
11 al. 2004; Deka et al. 1977; Fee 1980; Ishiyama et al. 2006; Lehmann et al. 1976; Tjernstrom et
12 al. 1973). In two studies (Black et al. 2004; Deka et al. 1977) nausea, headache, cognitive
13 impairment and visual sensitivity were reported as well. However, lack of awareness of the
14 vestibular impairment was also a frequent finding in other cases (Fee 1980; Lerner et al. 1986;
15 Noone et al. 1974; Pedersen et al. 1987; Scheenstra et al. 2009; Tjernstrom et al. 1973).

16 Vestibulotoxicity was accompanied by cochleotoxicity in nine (Barza et al. 1980; Black et al.
17 2004; Deka et al. 1977; Elfving et al. 1973; Eviatar and Eviatar 1981; Fee 1980; Lerner et al.
18 1986; Tjernstrom et al. 1982; Winkel et al. 1978) of twenty studies (45%) in which the
19 occurrence of cochleotoxicity was examined as well (Ahmed et al. 2011; Barza et al. 1980;
20 Black et al. 2004; Chen et al. 2013; Deka et al. 1977; Elfving et al. 1973; Eviatar and Eviatar
21 1981; Fee 1980; Finitzo-Hieber et al. 1979; Gendeh et al. 1993; Gendeh et al. 1991; Kim et al.
22 2011; Lehmann et al. 1976; Lerner et al. 1986; Noone et al. 1974; Pedersen et al. 1987;
23 Scheenstra et al. 2009; Tjernstrom et al. 1982; Winkel et al. 1978; Zagólski 2008). In one of the
24 studies (Pedersen et al. 1987), patients showed AG-induced hearing loss, but it was unclear

1 whether these patients were the same as those in whom vestibulotoxicity was observed. In
2 the remaining ten studies vestibulotoxicity occurred without accompanying cochlear damage.
3 Deka, et al. (1977) and Kim, et al. (2011) noted that vestibulotoxic symptoms occurred earlier
4 than cochleotoxic symptoms.

5 *The vestibular test battery*

6 An overview of the tests used in the included studies is reported in Table 3. In twenty-one
7 studies (78%), the caloric test was the preferred vestibular function test for detecting
8 vestibulotoxicity, and was the only vestibular test used in twelve studies (Barza et al. 1980;
9 Deka et al. 1977; Fee 1980; Gendeh et al. 1993; Gendeh et al. 1991; Hauch et al. 1986;
10 Lehmann et al. 1976; Lerner et al. 1986; Noone et al. 1974; Pedersen et al. 1987; Scheenstra
11 et al. 2009; Tjernstrom et al. 1973; Tjernstrom et al. 1982; Winkel et al. 1978). In the twenty-
12 one prevalence studies, the frequency of abnormal caloric responses post-AG ranged from 0%
13 to 60%. In four descriptive studies (Agrawal et al. 2013; Ahmed et al. 2011; Black et al. 2004;
14 Deka et al. 1977), an abnormal caloric response was observed in 75.5% - 100% of the cases. In
15 these studies, however, the diagnoses were based on the absence of caloric-induced
16 nystagmus. Reduction in the peak slow phase velocity (SPV) was the most commonly used
17 criterion for the evaluation of vestibulotoxicity. For example Fee (1980) and Lerner, et al.
18 (1986) used 33% and 50% respectively as the minimal reduction of the peak SPV. In the studies
19 by Agrawal, et al. (2013), Eviatar and Eviatar (1981) and Scheenstra, et al. (2009) the responses
20 were classified as abnormal if the peak SPV did not reach more than 5°/s, 10°/s or the values
21 did not meet the predetermined norms. In a few studies, the SPV could not be calculated since
22 the judgement of the vestibular response was based on qualitative evaluation (Ahmed et al.
23 2016; Barza et al. 1980; Gendeh et al. 1991; Hauch et al. 1986; Tjernstrom et al. 1982; Zagólski

1 2008). In the study of Pedersen et al. (1987) a reduction of at least 25% in the caloric sum was
2 used.

3 Rotatory chair testing was the second most commonly used test of vestibulotoxicity and was
4 also used in eight studies, while in four it was the only vestibular test used (Aust 2001; Elfving
5 et al. 1973; Finitzo-Hieber et al. 1979; Gendeh et al. 1991). Three studies investigated the
6 prevalence of vestibulotoxicity in children using the rotatory chair test. The prevalence of
7 abnormal responses ranged from 0% (Aust 2001) to 100% (Ishiyama et al. 2006). In three
8 descriptive studies (Black et al. 2004; Ishiyama et al. 2006; Kim et al. 2011) the rotatory chair
9 parameters were described in detail. Black et al. (2004) found abnormal responses in all 33
10 subjects who were tested with single-sine and pseudorandom rotation stimuli. In eight study
11 participants a normal VOR gain was found, whereas the time constant (T_c) was abnormal. In
12 23 subjects, both gain and T_c were significantly reduced. In the study of Ishiyama, et al. (1992)
13 a reduced gain and increased phase were seen over the entire tested frequency range.
14 However, the decrease in VOR gain was greater in the lower frequencies (0.05 – 0.2 Hz),
15 whereas some patients had preserved gain at intermediate frequencies (0.8 Hz). Additionally,
16 minimal responses to high acceleration step changes in velocity and a bilateral ultra-low VOR
17 T_c could be observed. Kim, et al. (2011) observed abnormal VOR responses in 2.9% of their
18 study participants. In comparison with the control group, VOR gain values were significantly
19 lower at all three frequencies tested. Moreover, VOR gain values were significantly decreased
20 at higher frequencies (0.04 - 0.16Hz) compared to the lower frequency (0.01Hz).

21 The horizontal head impulse test (Halmagyi et al. 1988) was used in five studies in patients
22 with known AG-induced vestibulotoxicity (Agrawal et al. 2013; Ahmed et al. 2016; Ahmed et
23 al. 2011; Ishiyama et al. 2006; Weber et al. 2009). In these studies, except for one (Weber et
24 al. 2009), the detection of the catch-up saccades was based on clinical assessment and all

1 patients showed catch-up saccades during head impulses to one or both sides. In the study
2 using search coils (Weber et al. 2009), bilateral symmetrical reductions of the VOR gain,
3 depending on the degree of vestibulotoxicity, were seen in patients with known gentamicin-
4 induced vestibulotoxicity. Additionally, the overt catch-up saccades were 5.6 times larger than
5 in normal subjects.

6 For the evaluation of otolith organ function, oVEMP and cVEMP (Colebatch et al. 1992;
7 Rosengren et al. 2005) were used in only one (Agrawal et al. 2013) and three studies,
8 respectively (Agrawal et al. 2013; Chen et al. 2013; Zagólski 2008). All studies using cVEMP
9 used 500 Hz tone burst to evoke a response, whereas the one study that used oVEMP used a
10 mini-shaker to generate the response. In the study of Agrawal, et al. (2013), the study
11 participants had bilateral vestibulopathy (BV) with different aetiologies, including nine with
12 BV secondary to AG therapy. Sixty-four and 61% of all patients had a reduction of oVEMP and
13 cVEMP amplitude respectively in comparison with the control group. Although not specifically
14 discussed, the greatest reduction of VEMP amplitude was detected in patients with AG-
15 induced BV. However, the difference in decreased amplitude was only significant in oVEMP
16 testing. In the study of Chen, et al. (2013) three patients (13%) treated with amikacin had
17 abnormal cVEMP amplitudes. Zagólski (2008) reported a similar prevalence of absent cVEMP
18 responses (14%).

19 In the context of this review, other non-objective tests used were not described in detail.

20 As shown in Table 3, only 8/27 (30%) studies using a complementary test battery of these
21 multiple objective tests (Agrawal et al. 2013; Ahmed et al. 2016; Ahmed et al. 2011; Black et
22 al. 2004; Chen et al. 2013; Eviatar and Eviatar 1981; Ishiyama et al. 2006; Zagolski 2008). Three
23 studies (Ahmed et al. 2016; Black et al. 2004; Eviatar and Eviatar 1981) discussed both caloric
24 and rotatory test results. The clinical HIT as well as caloric test results were represented in the

1 studies of Agrawal et al. (2013), Ahmed et al. (2011) and Ahmed et al. (2016). Two studies
2 estimated the outcome on both HIT and rotatory chair testing (Ahmed et al. 2016; Ishiyama
3 et al. 2006). Lastly, the studies of Chen et al. (2013) and Zagólski (2008) discussed both the
4 prevalence of abnormal caloric test results as well as abnormal cVEMP results. The prevalence
5 of abnormal test results categorized by each study is shown in Table 6.

6

7 **DISCUSSION**

8 **Vestibulotoxicity in humans**

9 The considerable range (0%-60%) in prevalence of vestibulotoxicity is caused by many factors
10 contributing to the amount and severity of AG-induced ototoxic side effects, including the
11 type of AG, which may be more or less vestibulo- or cochleotoxic (Matz 1993; Rybak and
12 Ramkumar 2007; Selimoglu et al. 2003). A systematic review conducted by Kahlmeter and
13 Dahlager (1984), reported that among all AG adverse effects in clinical studies between 1975
14 and 1982, the highest prevalence of vestibulotoxicity was found in patients who were treated
15 with amikacin, tobramycin and gentamicin. The results in the current systematic review
16 support these findings, however streptomycin was found to be another important contributor
17 to AG vestibulotoxicity (Table 5). Although there are many studies about vestibulotoxicity of
18 diverse AGs, studies comparing side effects among different AGs are rare (Selimoglu et al.
19 2003). Consequently, whether a particular AG is more or less vestibulotoxic in humans is
20 unknown.

21 Factors contributing to the amount of cochleotoxicity include pre-existing renal failure,
22 cumulative exposure, duration of AG administration, co-administration of known ototoxic
23 drugs, and increased age. (Forge and Schacht 2000; Govaerts et al. 1990; Rogers et al. 2011).

24 Unfortunately, evidence to support the influence of these risk factors on vestibulotoxicity is

1 scarce (Ariano et al. 2008; Rogers and Petersen 2011). For example, there is some evidence
2 that vestibulotoxicity due to systemic AGs is dose-dependent (Chen et al. 2013) but this finding
3 needs to be corroborated. Although discussion of this information falls outside the scope of
4 the study's objective, dosing data is consequently represented in Table 3. However, if these
5 factors also contribute to the prevalence of vestibulotoxicity, the reported prevalence should
6 be critically evaluated in studies that did not control for these confounding variables (Table 4).
7 For example, the high upper level (60%) of the overall prevalence range was established by a
8 study including only 10 patients with chronic renal failure in whom vestibular function tests
9 were performed after cumulative exposure to gentamicin (Gendeh et al. 1993). On the other
10 hand, in three studies, evidence of vestibulotoxicity was lacking, which may be explained by
11 the use of a very low gentamicin dose (Gendeh et al. 1991), a low follow-up rate and the use
12 of only one vestibular function test (Aust 2001; Hauch et al. 1986). Additionally,
13 vestibulotoxicity was potentially under- or overestimated in other studies due to the similar
14 flaws. Perhaps more important is the influence of baseline testing, which was lacking in twelve
15 of the twenty-one prevalence studies and most likely resulted in an overestimation of
16 vestibulotoxicity (Ahmed et al. 2016; Aust 2001; Chen et al. 2013; Elfving et al. 1973; Eviatar
17 and Eviatar 1981; Finitzo-Hieber et al. 1979; Hauch et al. 1986; Kim et al. 2011; Noone et al.
18 1974; Scheenstra et al. 2009; Tjernstrom et al. 1973; Zagólski 2008).

19 The prevalence of AG-induced vestibular impairment in children is ambiguous as well. Three
20 studies demonstrated a similar prevalence as in adults (Chen et al. 2013; Eviatar and Eviatar
21 1981; Zagólski 2008). In contrast, four studies found little or no signs of vestibulotoxicity (Aust
22 2001; Elfving et al. 1973; Finitzo-Hieber et al. 1979). Therefore, Aust (2001) suggested that
23 children, and especially neonates, are less sensitive to AG adverse effects. However, it has to
24 be noted that most of these studies performed examinations several years after the AG-

1 therapy (Eviatar and Eviatar 1981) and evaluation of the vestibular function was often based
2 on clinical exam or the use of a single vestibular test.

3 Vestibular symptoms are possibly the earliest ototoxic effects of systemic AG therapy (Deka
4 et al. 1977; Kim et al. 2011). However, the symptoms of vestibulotoxicity are usually
5 unrecognized and inadequately reported (Halmagyi et al. 1994; Liu et al. 2015). This may be
6 attributed to the bilateral nature of toxicity-induced vestibular deficits, resulting in rather
7 vague symptoms, as reported in the current review (Ahmed et al. 2011; Black et al. 2004; Black
8 and Pesznecker 1993; Deka et al. 1977; Ishiyama et al. 2006; Lucieer et al. 2016; van de Berg
9 et al. 2015). Additionally, the patient may relate these symptoms to the underlying (often life-
10 threatening) disease for which the AG treatment is given. Moreover, most of these patients
11 are bedridden and symptoms will not be noticed until they start walking (Ahmed et al. 2012),
12 resulting in a delayed onset of symptoms (Ahmed et al. 2011; Deka et al. 1977; Kim et al. 2011).
13 In conclusion, since symptoms of AG-related vestibulotoxicity are rather vague, clinicians
14 should specifically inquire about multiple vestibular symptoms (not only vertigo) after AG
15 administration, as vestibular symptoms can have a considerable impact on someone's quality
16 of life (Guinand et al. 2012; Mendel et al. 1999; Sun et al. 2014; van de Berg et al. 2015; Zingler
17 et al. 2009).

18 As reported by several included studies, a significant amount of patients did not experience
19 co-existing hearing impairment, suggesting that vestibulotoxicity can occur without
20 accompanying cochleotoxicity (Ahmed et al. 2011; Chen et al. 2013; Finitzo-Hieber et al. 1979;
21 Gendeh et al. 1993; Gendeh et al. 1991; Kim et al. 2011; Lehmann et al. 1976; Noone et al.
22 1974; Scheenstra et al. 2009; Zagólski 2008). Therefore, it is also important to screen for
23 cochleo- and vestibulotoxicity separately (Ahmed et al. 2012; Dobie et al. 2006; Liu et al. 2015).

24

1 **The vestibular test battery**

2 Histologic examinations demonstrate that AGs can affect the whole vestibular sensorineural
3 epithelium, with type I hair cells considered most susceptible components (Black and
4 Pesznecker 1993; Lindeman 1969; Nakashima et al. 2000; Rybak and Ramkumar 2007; Tsuji et
5 al. 2000; Zingler et al. 2009). Additionally, several histological and animal studies reported that
6 the SCCs are more vulnerable to toxicity than the otolith organs (Ishiyama et al. 2007;
7 Lindeman 1969; Nakashima et al. 2000; Sun et al. 2015; Tsuji et al. 2000).

8 For the detection of vestibulotoxicity, the majority of the included studies used caloric and
9 rotatory chair testing, tests capable of examining the low and mid frequency range of the
10 horizontal canal function, respectively (Goncalves et al. 2008; Maes et al. 2011; van de Berg
11 et al. 2015; Wuyts et al. 2007). For caloric testing, the most important indication for
12 vestibulotoxicity is a reduction in the SPV of the caloric-induced nystagmus (Agrawal et al.
13 2013; Ahmed et al. 2011; Barza et al. 1980; Chen et al. 2013; Eviatar and Eviatar 1981; Gendeh
14 et al. 1993; Gendeh et al. 1991; Lerner et al. 1986; Pedersen et al. 1987; Tjernstrom et al.
15 1973). In the study of Pedersen, et al. (1987) the summated SPV was used as a criterion.
16 Several studies concerning bilateral vestibular impairment endorse the use of caloric sum and
17 suggest a limit of 20°/s to establish the diagnosis of bilateral vestibular dysfunction of the
18 horizontal SCC (Hain et al. 2013; van de Berg et al. 2015). For rotatory chair testing, a reduction
19 in VOR gain and reduced time constant (T_c) or increased phase are the most reported findings.
20 To screen for bilateral vestibulopathy, some suggest using changes of the VOR T_c (or phase),
21 rather than gain, which is influenced by the level of alertness of the patient (Li et al. 1991;
22 Maes et al. 2008; van de Berg et al. 2015).

23 Over the last few years, new vestibular testing techniques have emerged, such as the video
24 HIT and VEMP which provide complementary information to caloric and rotatory testing, since

1 VEMP techniques examine the otolith function (both saccule and utricle) and the video HIT
2 provides additional high frequency information for each semicircular canal. Therefore,
3 clinicians are now able to evaluate the function of all five different vestibular components.
4 The HIT especially serves high frequency characterization of the horizontal, posterior and
5 anterior SCC and seems to activate irregular afferents, which tend to innervate type I hair cells
6 (Goldberg 2000; McCaslin et al. 2014; Wuyts 2008). To date, only five studies use the HIT (four
7 used the clinical, subjective, HIT and one the video HIT) for the detection of AG-induced
8 vestibulotoxicity, all showing a positive HIT on one (Ahmed et al. 2011) or both sides (Agrawal
9 et al. 2013; Ahmed et al. 2016; Ahmed et al. 2011; Ishiyama et al. 2006; Weber et al. 2009).
10 Only one study (Weber et al. 2009) assessed quantitative vHIT , reporting larger amplitudes of
11 catch-up saccades than in normal subjects and a bilateral symmetrical reductions of VOR gain.
12 Since the major affinity of AGs for type I SCC hair cell dysfunction is well-known, vHIT seems
13 promising and requires further research with implementation of high-speed video goggles and
14 evaluation of the vertical SCCs as well. Cervical and ocular VEMPs can examine the integrity of
15 type I saccular and utricular hair cells, respectively (Agrawal et al. 2013; Curthoys et al. 2014;
16 Lue et al. 2009), and were so far only used in three vestibulotoxicity studies (Agrawal et al.
17 2013; Chen et al. 2013; Zagólski 2008). As can be seen in the results, these three studies found
18 a loss of the saccular function, with a similar prevalence for children treated with AGs (Chen
19 et al. 2013; Zagólski 2008). Since the study of Agrawal et al. (2013) compared different
20 etiologies of bilateral vestibulopathy, the authors did not report the prevalence of saccular or
21 utricular dysfunction of patients with AG-induced vestibulotoxicity separately. However, due
22 to the comparison of the different etiologies, the study showed that oVEMP results should be
23 implemented in the screening for AG-induced. oVEMP amplitudes were significantly lower in
24 patients with vestibulotoxicity due to AGs, which means that measurement of the utricular

1 function is important for the differential diagnosis of patients with bilateral vestibulopathy.
2 For cVEMP, a reduction of both latency and peak-to-peak amplitudes was reported, whereas
3 a reduction of the N1 oVEMP amplitude was seen in the study of Agrawal, et al. (2013). Since
4 both the raw oVEMP amplitude (N1) and peak-to-peak cVEMP amplitude have shown to
5 provide the most reliable information, both parameters can be used for the detection of
6 vestibulotoxicity (Venhovens et al. 2015).

7 A study that assesses function of each vestibular end organ would be particularly clinically
8 relevant. As can be seen in the results (Table 6), few studies assess multiple vestibular end
9 organs. However, based on this data, some preliminary suggestions for screening can be
10 made. Supported by studies using both caloric and rotation tests, AG administration appears
11 to cause similar damage to both low and mid frequency function of the HSCC (Ahmed et al.
12 2016; Black et al. 2004; Eviatar and Eviatar 1981). This is in contrast to the high frequency
13 horizontal canal function, which seems to be more affected after AG administration in the
14 studies of Agrawal et al. (2013) and Ahmed et al. (2011). It should be noted however that the
15 study subjects of Agrawal et al. (2013) and Ahmed et al. (2011) were selected, based on
16 abnormal HIT and/or caloric responses. In these studies, therefore, all vestibulotoxic patients
17 had both absent caloric responses in combination with bi- or unilateral positive HIT results. In
18 the prospective study of Ahmed et al. (2016), positive clinical HIT responses were seen in 53%
19 (17/32) of the patients, whereas 31% had pathological caloric and rotation outcomes after
20 administration of streptomycin. Additionally, in the study of Ishiyama et al. (2006) the authors
21 established that all patients had a positive bilateral HIT, whereas a few patients did have
22 preserved gain results at intermediate frequencies and low accelerations by rotational testing
23 while no response to high acceleration step changes could be observed. These findings are in
24 agreement with the findings of histopathological studies demonstrating that type I hair cells

1 (high frequency detection) of the SCC are more vulnerable to AGs toxicity than other vestibular
2 structures (Black and Pesznecker 1993; Lindeman 1969; Nakashima et al. 2000; Rybak and
3 Ramkumar 2007; Tsuji et al. 2000; Zingler et al. 2009).

4 Two studies using caloric testing, also represented the prevalence of abnormal otolith function
5 by using the cVEMP technique, which revealed conflicting data (Chen et al. 2013; Zagólski
6 2008). One study suggested that AG therapy causes damage to both SCC and otolith function,
7 but function of the former structure is more frequently impaired. The other study discussed
8 that 13% of the patients had abnormal VEMP responses, while only 4% of the patients suffered
9 from SCC dysfunction (Chen et al. 2013). These latter findings can be supported by current
10 histological studies, which suggest that type I hair cells (VEMP) are more affected in case of
11 vestibulotoxicity. However, studies using VEMP techniques are too limited or different to
12 compare and to draw any definite conclusion. The study of Agrawal et al. (2013) estimated
13 the cVEMP and oVEMP results as well, although no prevalence data could be compared since
14 the authors did not represent the test results of patients with vestibulotoxicity separately
15 from the overall group of patients with bilateral vestibulopathy.

16 Based on these studies (and histological findings) and since vHIT and VEMP techniques tend
17 to measure the type I hair cell function, both these tests seem promising for the detection of
18 AG-induced vestibulotoxicity, in addition to caloric and rotatory testing (Black et al. 2004; Fee
19 1980; Ishiyama et al. 2006; Petersen et al. 2013; Weber et al. 2009; Zagólski 2008).

20 To confirm the diagnosis of vestibulotoxicity, these objective vestibular tests can be extended
21 with other tests, such as the dynamic visual acuity and vestibulospinal reflex tests (e.g.
22 Romberg test) (Demer et al. 1994; Fujimoto et al. 2009), which are frequently recommended
23 to establish the diagnosis of bilateral vestibular impairment (Ahmed et al. 2011; Fujimoto et

1 al. 2009; Minor 1998; van de Berg et al. 2015). Additionally and of crucial importance is the
2 performance of a thorough history taking.

3 The authors recommend to perform vestibular examination before, during and after AG
4 administration for adequate screening and close follow-up in patients receiving AGs (Black et
5 al. 2001; Ishiyama et al. 2006; Minor 1998; Tjernstrom et al. 1973; Tjernstrom et al. 1982).
6 Baseline testing is necessary to detect subtle or exclude pre-existing vestibular dysfunction
7 (Black et al. 2004; Fee 1980; Lehmann et al. 1976). Since literature suggests that early
8 detection of vestibulotoxicity and cessation of the AG administration (if possible) can prevent
9 further deterioration of the vestibular system (Ariano et al. 2008; Black et al. 2004; Ishiyama
10 et al. 2006; Kim et al. 2011; Leis et al. 2015; Scheenstra et al. 2009), the vestibular assessment
11 should be performed weekly if possible, during therapy (Ahmed et al. 2011; Fee 1980; Minor
12 1998). In some cases vestibulotoxicity effects as well as vestibular function recovery can occur
13 after cessation of the AG therapy (Black et al. 2001; Black et al. 2004; Deka et al. 1977; Fee
14 1980; Kim et al. 2011; Lehmann et al. 1976; Winkel et al. 1978), follow-up testing should be
15 performed for at least six months to one year after AG therapy (Black et al. 2001; Black et al.
16 2004; Vasquez et al. 2003).

17 **Limitations and recommendations for further research**

18 To date, there are several flaws in the published literature about vestibulotoxicity in humans.
19 The current review shows that the existing evidence is insufficient to estimate the prevalence
20 of AG vestibulotoxicity in adults and children, to identify the most vestibulotoxic AG type and
21 to figure out whether the known risk factors for ototoxicity also apply to vestibulotoxicity.
22 Furthermore, based on the present literature it is impossible to determine the part of the
23 vestibular system that is most sensitive for AGs, since the current evidence is mainly based on
24 evaluation of the horizontal SCC. Moreover, data comparing rotation or vHIT results with

1 VEMP outcomes are lacking and studies using a complete vestibular test battery in the same
2 study subject(s) have not been performed.

3 The current systematic review also has several limitations. Firstly, a meta-analysis could not
4 be performed because of the large heterogeneity in study methodologies. Secondly, a
5 significant portion of the included studies had a low methodological quality. Nevertheless,
6 studies at high risk for bias were included as well, to provide additional information for the
7 current review. Finally, since non-English articles were excluded, language bias may have
8 occurred.

9 In conclusion, the data presented in this review encourages close vestibulocochlear follow-up
10 of patients before, during and after AG treatment and demonstrates the importance of
11 developing specific screening protocols. As to which test would be the most appropriate for
12 the early detection of vestibulotoxicity, no definite conclusion can be drawn as a large
13 variation as well as conflicting results have been reported and the current evidence is mainly
14 based on evaluation of the horizontal SCC alone. However, as stated earlier, in accordance
15 with animal and histopathological studies, the current literature suggests that type I hair cells
16 of the semicircular canals are most vulnerable to toxicity. vHIT and VEMP testing therefore
17 seem the most promising for the detection of AG-induced vestibulotoxicity, in addition to
18 caloric and rotatory chair testing. Moreover, these tests are short, easy to perform and can be
19 performed in children, all of which are convenient advantages for patients who are bedridden
20 or too ill for studies requiring more equipment such as caloric and rotatory chair testing.
21 Additionally, the findings of this systematic review clearly emphasize the need for future high-
22 quality prospective longitudinal studies including all above complementary objective
23 vestibular tests and taking into consideration the discussed possible confounding factors, as
24 pre-existing renal failure, cumulative exposure, duration of AG administration, co-

1 administration of known ototoxic drugs, increased age and possible genetic susceptibility, in
2 order to compare the sensitivity of the vHIT and VEMP techniques for the early detection of
3 vestibulotoxicity to the caloric and rotatory test.

4

5 **ACKNOWLEDGEMENTS**

6 None of the authors have conflicts of interest or financial relationships relevant to this article
7 to disclose. No funding was secured for this study.

8 Ruth Van Hecke and Leen Maes performed the literature search and screening of the
9 abstracts, drafted the initial manuscript, and improved revised versions. Vincent Van
10 Rompaey, Floris Wuyts, and Laura Leyssens assisted in the interpretation of literature findings,
11 and critically reviewed and revised the manuscript. All authors approved the final manuscript
12 as submitted and are accountable for all aspects of the work. The authors would like to thank
13 Sevan Demirdogen and Dr Bryan Ward (John Hopkins Hospital) for their kind willingness to
14 improve the use of English in this review.

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1 **FIGURE LEGEND**

2 Figure 1. Flowchart presenting a summary of the search results and the reasons for exclusion.

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