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1 **Relationships between female quality, egg mass and eggshell blue-green colouration in**  
2 **southern rockhopper penguins: a test of the sexual signalling hypothesis**

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16 **Abstract**

17 Eggshell blue-green colouration (BGC) is caused by the pigment biliverdin which has anti-  
18 oxidant capacities. Eggshell BGC has therefore been interpreted as being costly for the  
19 female, and therefore a signal of female quality ('sexual signalling hypothesis').

20 Southern rockhopper penguins *Eudyptes chrysocome* exhibit both a reversed hatching  
21 asynchrony and a brood reduction strategy. First-laid (A-)eggs are smaller and hatch on  
22 average one day after second-laid (B-)eggs, with B-eggs usually producing the only surviving  
23 chick. According to the sexual signalling hypothesis, we predicted a positive relationship  
24 between BGC and both female body mass and egg mass, and consequently within clutches a  
25 stronger BGC in B-eggs than A-eggs. Furthermore, we expected a negative relationship  
26 between BGC and clutch initiation date.

27 Contrasting these expectations, we found no effect of female body mass or egg mass  
28 on BGC, and BGC in A-eggs increased with clutch initiation date, while there was no effect  
29 in B-eggs. Within clutches, A-eggshells were more intensely blue-green coloured than B-  
30 eggshells.

31 Concluding, our results appear to contradict the sexual signalling hypothesis. We,  
32 however, did not measure pigment concentrations and solely relied on BGC from eggshell  
33 photospectrometry, assuming that biliverdin concentrations were positively correlated with  
34 BGC. We therefore caution that before to preclude the sexual signalling hypothesis, future  
35 studies that include measurements of eggshell pigment concentrations in addition to BGC are  
36 necessary. Altogether, a better understanding of the biological meaning of biliverdin, its  
37 biochemical synthesis and anti-oxidative function in the avian body is urgently needed.

38

39 **Keywords:** Blue-green colouration, *Eudyptes chrysocome*, eggshell, hatching asynchrony,  
40 sexual signalling hypothesis

41 **Introduction**

42 Avian eggshells exhibit a wide interspecific range of natural variation in both colouration and  
43 pigmentation, ranging from light blue to reddish-orange and from spotless to heavily spotted  
44 (Underwood and Sealy 2002; Kilner 2006; Cassey et al. 2012b). Potential explanations for  
45 this phenotypic diversity are as diverse as eggshell patterns and range from aposematism,  
46 crypsis, increased visibility in cavities, filtering solar radiation, thermal regulation, eggshell  
47 strength, egg recognition to sexual selection (reviewed in Underwood and Sealy 2002; Kilner  
48 2006; Cherry and Gosler 2010; Riehl 2011).

49 Eggshell colouration and patterns are essentially determined by only two pigments,  
50 protoporphyrin (red-brown colouration) and biliverdin (blue-green colouration; hereafter  
51 BGC; Kennedy and Vevers 1976; Gorchein et al. 2009). Both pigments are derivatives of  
52 haemoglobin (Williams et al. 1994), and biliverdin has been shown to possess strong anti-  
53 oxidant capacities (Stocker et al. 1990; Kaur et al. 2003). By pigmenting their eggs with  
54 biliverdin, females are therefore removing a valuable anti-oxidant from their own body, and  
55 this should come at the cost of the females' health and survival (Moreno and Osorno 2003).  
56 The intensity of BGC has therefore been interpreted as being an honest signal of female  
57 quality to their male mates ('sexual signalling hypothesis'; Moreno and Osorno 2003). In fact,  
58 a range of both descriptive and experimental studies has demonstrated a positive relationship  
59 between the intensity of eggshell BGC and the physical condition, health state or antioxidant  
60 capabilities of females (Morales et al. 2006; Moreno et al. 2006; Siefferman et al. 2006; Krist  
61 and Grim 2007; Hanley et al. 2008; Morales et al. 2011; Navarro et al. 2011). Nevertheless,  
62 there are also several studies that did not support such associations (Cassey et al. 2008;  
63 Hargitai et al. 2008; Hanley and Doucet 2009; Honza et al. 2011; Johnsen et al. 2011), and  
64 eggshell BGC as a universal signal of female quality has thus been questioned (Riehl 2011;  
65 Cassey et al. 2012b).

66

67 Southern rockhopper penguins *Eudyptes chrysocome chrysocome* are unique models to test  
68 some predictions of the sexual signalling hypothesis because, like all the crested penguins  
69 (genus *Eudyptes*), they display the unique combination of both an extreme egg size  
70 dimorphism and a reversed hatching asynchrony. The second-laid B-eggs are about 28%  
71 larger and heavier than the first-laid A-eggs, yet chicks from A-eggs hatch on average one  
72 day after chicks from B-eggs (Poisbleau et al. 2008; Demongin et al. 2010). Due to the size-  
73 dimorphism between siblings, A-chicks cannot compete for food and usually die from  
74 starvation within few days after hatching (Gwynn 1953; Warham 1975; Poisbleau et al.  
75 2008). However, A-eggs may serve as an insurance for the loss of the B-egg or -chick (St.  
76 Clair and St. Clair 1996; Poisbleau et al. 2008; Dehnhard et al. 2014), and very rarely parents  
77 manage to raise both chicks (Poisbleau et al. 2008).

78 Both A- and B-eggshells appear light blue-green coloured to humans. Based on this,  
79 we used several measures of female quality to test some predictions of the sexual signalling  
80 hypothesis: female body mass (standardized to A-egg laying date), egg mass and total clutch  
81 mass as well as clutch initiation date (CID = A-egg laying date). Standardized female body  
82 mass has been shown to be a reliable predictor of reproductive success in many penguin  
83 species (Vleck and Vleck 2002; Robinson et al. 2005), including the southern rockhopper  
84 penguin (Crawford et al. 2008). Egg mass (and size) and consequently total clutch mass in  
85 birds is closely linked to female body mass (reviewed in Christians 2002) and a determinant  
86 of hatchling size (reviewed in Krist 2011), which has also been shown in penguins (Reid and  
87 Boersma 1990). Finally, CID in many bird species, including penguins (Moreno et al. 1997;  
88 1998) is constrained by the females' ability to form eggs, with higher quality (often more  
89 experienced) females being able to lay earlier and consequently having higher breeding  
90 performances (Perrins 1973; Nisbet and Dann 2009; Polito et al. 2010).

91           If, as the sexual signalling hypothesis predicts, eggshell BGC is costly for females and  
92 signals female quality in southern rockhopper penguins, we should observe an increase of  
93 eggshell BGC with female body mass and A-egg mass, B-egg mass and consequently total  
94 clutch masses. Within clutches, we therefore expect B-eggs to have a stronger eggshell BGC  
95 than A-eggs since B-eggs are heavier and usually the egg that produces the only surviving  
96 chick. We should furthermore observe a decrease of eggshell BGC with increasing (later)  
97 CID.

98 **Methods**

99 *Study species and study site*

100 This study was carried out at the “Settlement Colony” on New Island, Falkland/Malvinas  
101 Islands (51°43’ S, 61°18’ W), from early October 2010 to mid-November 2010 (i.e. during  
102 the entire egg laying period). The colony held around 7500 pairs of breeding southern  
103 rockhopper penguins in December 2010. After the arrival of the first males (early October),  
104 we visited study sites daily, initially to mark active nests and subsequently to monitor egg  
105 laying dates. Laying period ranged from Oct 27<sup>th</sup> (first A-egg) until Nov 9<sup>th</sup> (last B-egg).  
106 Within clutches, A-eggs were laid on average four days (mean  $\pm$  SD = 4.2  $\pm$  0.5, N = 85  
107 clutches) before B-eggs. A total of 170 eggs from 85 clutches were colour measured (details  
108 see below) and subsequently weighed with a digital pocket balance (CM 320-IN, Kern,  
109 Germany; accuracy of 0.1 g), all within 24 hours after laying.

110         Sixty out of the 85 study nest females were weighed to the nearest 10 g (digital spring  
111 balance) on the day they laid their first egg and their clutches were collected for the purpose  
112 of other studies after egg colouration was measured. The other 25 females were weighed two  
113 to three times between October 12<sup>th</sup> and November 22<sup>nd</sup>, but not on the day they laid their  
114 first egg. We therefore used linear regressions to extrapolate female body mass at A-egg  
115 laying. We corrected female body mass by removing A-egg mass for captures before A-egg  
116 laying and by adding B-egg mass for captures after B-egg laying. We then calculated linear  
117 regressions individually for every female (all  $R^2 \geq 0.99$ ) and corrected body masses according  
118 to the individual slopes (average gradient -33.3 g  $\pm$  2.7 SD mass loss per day).

119

120 *Reflectance spectrophotometry*

121 Eggshell reflection was measured using a portable Ocean Optics JAZ Spectrophotometer  
122 (range 320–700 nm) connected to a bi-furcated encased fiber optic probe. Reflectance was

123 measured perpendicular to the surface while illuminated with a build-in pulsed xenon lamp  
124 relative to a diffuse white standard (WS1-SL, Ocean Optics Inc.). To minimize measurement  
125 error, dark and white standard reflectance calibration measures were taken regularly during  
126 sampling. We took three measurements from the blue-green background eggshell colouration  
127 at every area (blunt, equator and point) of the eggshell. Similar to previous studies (e.g.  
128 Morales et al. 2006; Siefferman et al. 2006; Cassey et al. 2008), we calculated reflectance-  
129 based eggshell colouration using an index of BGC as the proportion of total reflectance in the  
130 blue-green wavelength region ( $R_{410-575}$ ) across the total spectrum ( $R_{410-575}/R_{320-700}$ ). This is  
131 suggested to correspond to the region of highest reflectance of the pigment biliverdin  
132 (Falchuk et al. 2002) and to be a useful metric of eggshell BGC (e.g. Moreno et al. 2006;  
133 Siefferman et al. 2006).

134 Notably, we did not measure biliverdin concentrations in the eggshell but assumed  
135 that the spectral measurement of eggshell BGC would reflect the biliverdin concentration.  
136 This relationship has been shown previously for two bird species with immaculate and (light)  
137 blue-green eggs (Moreno et al. 2006; López-Rull et al. 2008; Morales et al. 2013), and thus  
138 eggs which in their appearance resemble those of rockhopper penguins.

139 All spectral measurements were performed by the same observer (JVC), and while  
140 covered by a dark cloth to avoid direct sunlight. We calculated repeatabilities in eggshell  
141 BGC between areas (blunt, point and equator) using REML-based linear mixed models as  
142 described in Nakagawa and Schielzeth (2010), in the rptR package (Schielzeth and Nakagawa  
143 2013) in the program R (see details below). BGC were repeatable between eggshell positions  
144 for both A-eggs ( $R = 0.47 \pm 0.03$  SE,  $p < 0.001$ ) and B-eggs ( $R = 0.68 \pm 0.04$  SE,  $p < 0.001$ ).  
145 Since it is easier to find a clean spot and because eggshell measurements are done faster (with  
146 the same accuracy) in the area around the equator than on the blunt and pointy ends, we used  
147 only the measurements taken at the equator. BGC of the three measurements at the egg



148 equator in each sampled egg were highly repeatable both in A-eggs ( $R = 0.79 \pm 0.04$  SE,  $p <$   
149  $0.001$ ) and B-eggs ( $R = 0.98 \pm 0.00$  SE,  $p < 0.001$ ). We therefore used the average values of  
150 the three measurements at the equator for the statistical analyses on eggshell BGC.

151

152

### 153 *Statistical analysis*

154 We fitted a linear mixed effect model (LMM) to test some predictions of the sexual signalling  
155 hypothesis. We first tested for correlations between potential covariates, and found a  
156 significant correlation between female body mass and CID (Pearson's  $R = -0.24$ ,  $p = 0.030$ ,  $N$   
157  $= 85$  clutches), but no significant relationship between either female body mass and total  
158 clutch mass (Pearson's  $R = 0.10$ ,  $p = 0.366$ ,  $N = 85$  clutches) or total clutch mass and CID  
159 (Pearson's  $R = 0.13$ ,  $p = 0.236$ ,  $N = 85$  clutches). We furthermore determined variance  
160 inflation factors (VIFs) to rule out possible issues with collinearity in the models. VIFs were  
161 calculated in the package car (Fox and Weisberg 2010) for the linear model with all main  
162 effects (interactions not included). VIFs were  $\leq 2.35$  and therefore did not indicate issues  
163 with collinearity (Zuur et al. 2010). The global LMM was run on eggshell BGC as dependent  
164 variable with nest as random factor, egg type (fixed factor: A- or B-egg), female body mass  
165 (covariate), total clutch mass (covariate) and CID (covariate) as explanatory variables. We  
166 furthermore included all possible two-way interactions between egg type and the covariates  
167 into this global model. We conducted backwards-stepwise model selection (for the fixed  
168 effects only), removing those explanatory variables that were not significant, commencing  
169 with the interaction terms. In case that an interaction term with egg type was significant, we  
170 proceeded with separate linear models (LMs) for A- and B-eggs (and therefore without any  
171 random factor). We used CID in models for both A- and B-eggs, as the laying date of the B-  
172 egg was strictly linked to the laying date of the A-egg ( $=$  CID) (Pearson's  $R = 0.98$ ,  $p <$

173 0.001, N = 85 clutches). As total clutch mass might inadequately account for the differential  
174 investment of females in A- and B-egg mass, we additionally ran LMs for the relationship  
175 between BGC (dependent variable) and egg mass (explanatory variable), separately for A-  
176 and B-eggs.

177 We furthermore performed a paired t-test for the entire dataset (N = 85 clutches) to  
178 test for differences between A- and B-eggs within clutches. All statistical analyses were  
179 performed in R (version 3.1.1; R Development Core Team 2014). LMMs and LMs were fit  
180 using restricted maximum likelihood (REML), and all models were performed in the package  
181 lme4 (Bates et al. 2011). We present t-values from model summaries. P-values were obtained  
182 by comparing the model with the variable in question with the model without this variable  
183 (and models were fit with maximum likelihood for this procedure). We further present both  
184 marginal  $R^2$  values (based on the variance explained only by fixed effects) and conditional  $R^2$   
185 values (based on the variance explained by both fixed and random effects) for the final LMM,  
186 calculated following Nakagawa & Schielzeth (2013).

187 **Results**

188 The reflectance spectra of southern rockhopper penguin eggs have a bimodal shape: the  
189 major peak of reflectance is found in the blue-green part of the spectrum (~520 nm) and a  
190 minor peak is found in the UV part of the spectrum (~330 nm) (Fig. 1).

191

192 LMMs showed that eggshell BGC was neither affected by the interaction between egg type  
193 and female body mass (LMM:  $t = -0.459$ ,  $p = 0.638$ ), nor by the interaction between egg type  
194 and total clutch mass (LMM:  $t = 0.895$ ,  $p = 0.833$ ). Contrasting the sexual signalling  
195 hypothesis, the effects of female body mass (LMM:  $t = 0.087$ ,  $p = 0.929$ ) and total clutch  
196 mass (LMM:  $t = -0.546$ ,  $p = 0.580$ ) were also not significant. These interaction terms and  
197 variables were stepwise removed from the global model. When tested separately in A- and B-  
198 eggs, BGC did not correlate with individual egg mass either (LM:  $t = -0.43$ ,  $p = 0.668$  and  $t =$   
199  $0.49$  and  $p = 0.626$  for A-eggs and B-eggs, respectively; Fig. 2), confirming the earlier result  
200 that total clutch mass was not related to BGC. Nevertheless, within clutches, A-eggs had  
201 eggshells with a significantly stronger BGC than B-eggs (paired t-test (one-tailed):  $t_{84} = 9.91$ ,  
202  $p < 0.001$ ; Fig. 3). This was observed in 76 out of 85 clutches.

203 The final LMM therefore included egg type, CID and the interaction between egg  
204 type and CID as explanatory variables for eggshell BGC. These variables together explained  
205 39.0% of the total variance in eggshell BGC (marginal  $R^2$ -value). In contrast, nest as a  
206 random factor added comparatively little explanatory power (conditional  $R^2$ -value: 44.7%).  
207 Because of the significant interaction between egg type and CID in this final model (LMM:  $t$   
208  $= -2.785$ ,  $p = 0.006$ ), we continued with separate analyses for A- and B-eggs. In A-eggs,  
209 BGC increased with CID (LM with CID as only explanatory variable:  $t = 3.338$ ,  $p = 0.001$ ;  
210 Fig. 4), while there was no effect of CID on BGC in B-eggs ( $t = -0.330$ ,  $p = 0.743$ ; Fig. 4).

211

212 **Discussion**

213 The sexual signalling hypothesis states that eggshell BGC should be an honest signal of  
214 female quality to their mates, as depositing biliverdin into the eggs is costly for the females  
215 (Moreno and Osorno 2003; Moreno et al. 2005; Morales et al. 2006). We therefore tested  
216 whether eggshell BGC increased with measures of female quality and differed between A-  
217 and B-eggs in crested penguins. We predicted a positive effect of female body mass on BGC,  
218 and that BGC would further increase with egg mass and total clutch mass. Due to the egg-  
219 size dimorphism, we consequently expected to find a stronger BGC in B-eggs than A-eggs.  
220 However, we found no effect of female body mass, egg mass nor total clutch mass on  
221 eggshell BGC. Unexpectedly, within the same clutch, A-eggs had a stronger BGC than B-  
222 eggs. Finally, the intensity of BGC increased with CID in A-eggs, while there was no effect  
223 on B-eggs. Altogether, our results appear to contradict the sexual signalling hypothesis and  
224 rather provide evidence for a negative association between BGC and female quality in  
225 southern rockhopper penguins.

226 Our results are in line with several previous studies in other bird species that either  
227 found no relationship or the opposite effect as expected for eggshell BGC and either female  
228 body mass, egg mass/total clutch mass and laying dates (Cassey et al. 2008; Hargitai et al.  
229 2008; Hanley and Doucet 2009; Johnsen et al. 2011; Cassey et al. 2012a). Similarly, the  
230 literature shows no consistent relationship between eggshell BGC and laying order: BGC  
231 either increased (Siefferman et al. 2006; Hargitai et al. 2008), or decreased with laying order  
232 (Krist and Grim 2007; Johnsen et al. 2011; Morales et al. 2011), and in one study the middle  
233 egg was the most chromatic one (Hanley and Doucet 2009). Therefore, our data agree with  
234 the overall literature and once more speak against the ubiquitous application of the sexual-  
235 signalling hypothesis (Riehl 2011). As female body mass, clutch mass and CID have been  
236 reliable indicators of female quality in other birds, including penguins (e.g. Perrins 1973;

237 Nisbet and Dann 2009; Polito et al. 2010), it appears unlikely that none of them would signal  
238 female quality in southern rockhopper penguins. On the other hand, a female's antioxidant  
239 capacities and thus ability to deposit biliverdin into her eggs might not be reflected by its  
240 body mass but might necessitate the measurement of plasma antioxidants in the female's  
241 blood (Morales et al. 2008). Our study is limited in this regard, as we did not measure the  
242 females' antioxidant levels, and we are furthermore limited to correlative data. Nevertheless,  
243 our data showed an increase in BGC with CID in A-eggs, and therefore the opposite effect as  
244 expected under the sexual signalling hypothesis.

245         Finally, our observation that BGC was stronger in A-eggshells compared to B-  
246 eggshells within the same clutch also appears to contradict the sexual signalling hypothesis.  
247 Based on the egg mass differences between A- and B-eggs we had expected to find a stronger  
248 BGC in B-eggs. Under the assumption that egg mass would not reflect female quality and this  
249 would explain the lacking relationship between egg mass and BGC as discussed above, we  
250 would therefore have expected to find no difference in BGC between A- and B-eggs. The  
251 finding of a stronger BGC in A-eggshells than B-eggshells is therefore inconsistent in either  
252 way. Moreover, considering that in most clutches only the chick originating from the B-egg  
253 survives until fledging (Strange 1982; Poisbleau et al. 2008), it appears counterintuitive that  
254 females apparently invest more of a costly pigment into those eggs that usually fail to  
255 produce a chick (also see Poisbleau et al. 2011a, 2011b).

256

257 To the best of our knowledge, this has been the first study on BGC in penguin eggs. It would  
258 be highly interesting to see whether there is evidence for or against the sexual signalling  
259 hypotheses in those penguin genera that do not show a reversed hatching asynchrony. To  
260 conclude, our results add to the growing amount of evidence against the sexual signalling  
261 hypothesis and once more raise the question why female birds lay – to the human eye –

262 peculiarly blue-green coloured eggs. Importantly, however, the majority of publications that  
263 tested the sexual signalling hypothesis, including ours, were based on the assumption that  
264 spectrophotometric measurements of the BGC are positively correlated with biliverdin  
265 concentrations. This relationship has indeed been shown in two species with spotless, blue-  
266 green coloured eggs (Moreno et al. 2006; López-Rull et al. 2008; Morales et al. 2013), thus  
267 eggs which resemble rockhopper penguin eggs in appearance. Recently, however, Cassey et  
268 al. (2012a) have raised the concern that at least in spotted eggshells biliverdin concentrations  
269 might not correlate well with spectral measurements of BGC. We therefore caution that  
270 although our results appear to contradict the sexual signalling hypothesis, we cannot refute  
271 this hypothesis with certainty. We therefore recommend that future studies on eggshell  
272 colouration should include eggshell pigment concentrations in addition to spectrophotometric  
273 measurements. In addition, a better understanding of the biochemical pathway of the  
274 biliverdin synthesis and its anti-oxidative function in the avian body appears crucial to  
275 interpret the costs and benefits for females to produce coloured eggs.

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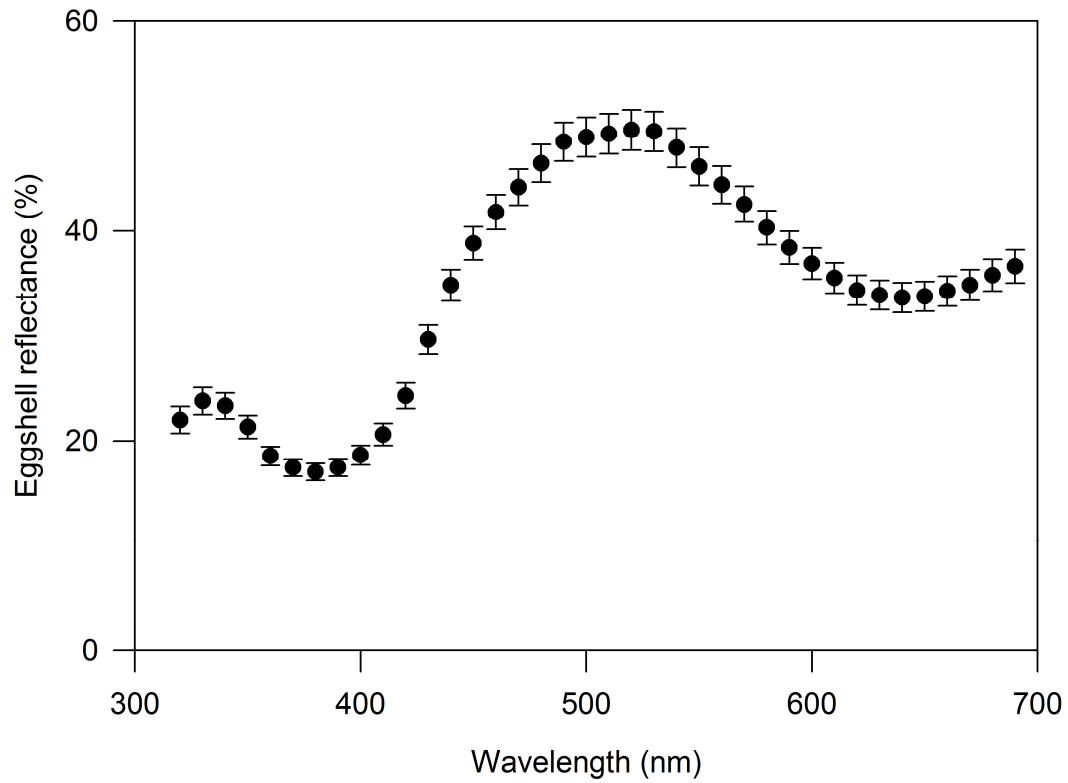
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449 **Fig. 1.** Mean spectral reflectance of southern rockhopper penguin eggs (displayed is the  
450 average per clutch). Reflectance spectra were averaged at 10 nm intervals. Vertical bars  
451 denote  $\pm 95\%$  confidence intervals. Sample sizes were  $N = 85$  clutches.

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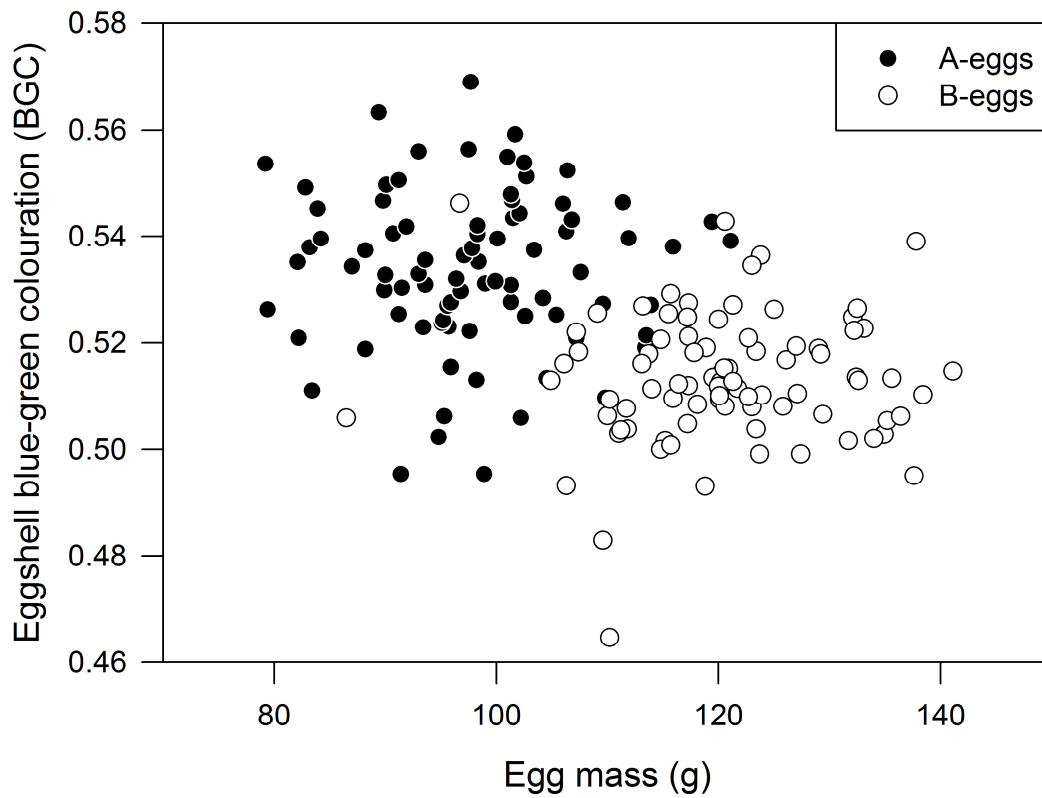


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455 **Fig. 2.** Relationship between individual egg mass and intensity of eggshell blue-green  
456 colouration (BGC) in A- and B-eggs. Sample sizes were N = 85 for both A- and B-eggs.

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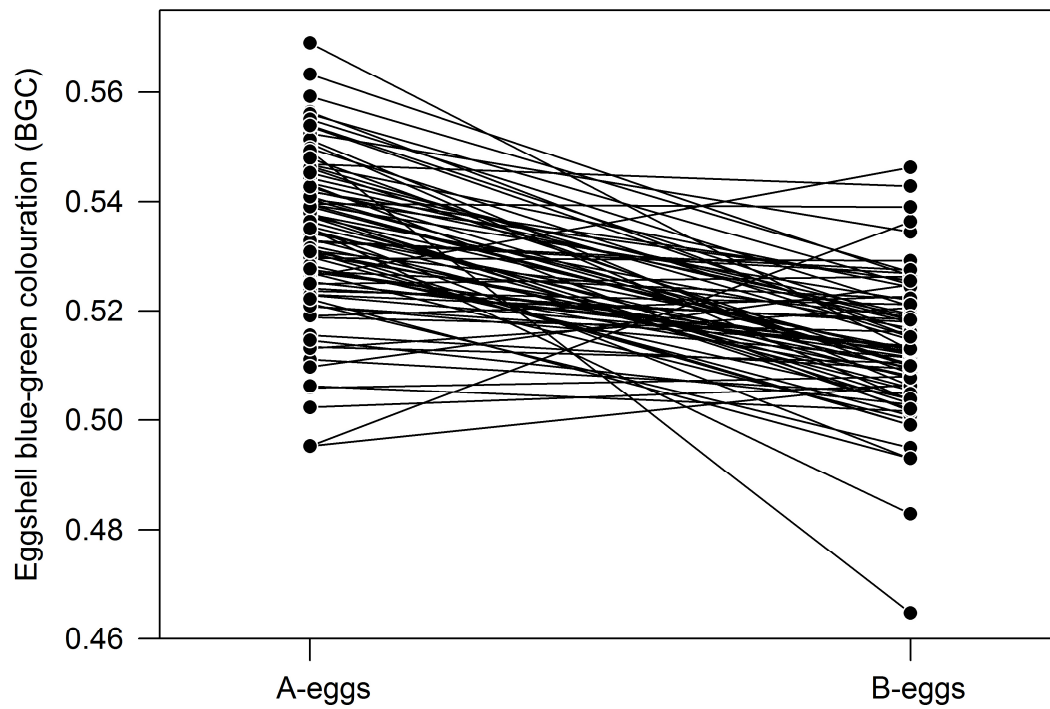


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460 **Fig. 3.** Intensity of eggshell blue-green colouration (BGC) in A- and B-eggs of southern  
461 rockhopper penguins. Lines represent the connection between eggs from the same clutch.  
462 Sample sizes were N = 85 for both A- and B-eggs.

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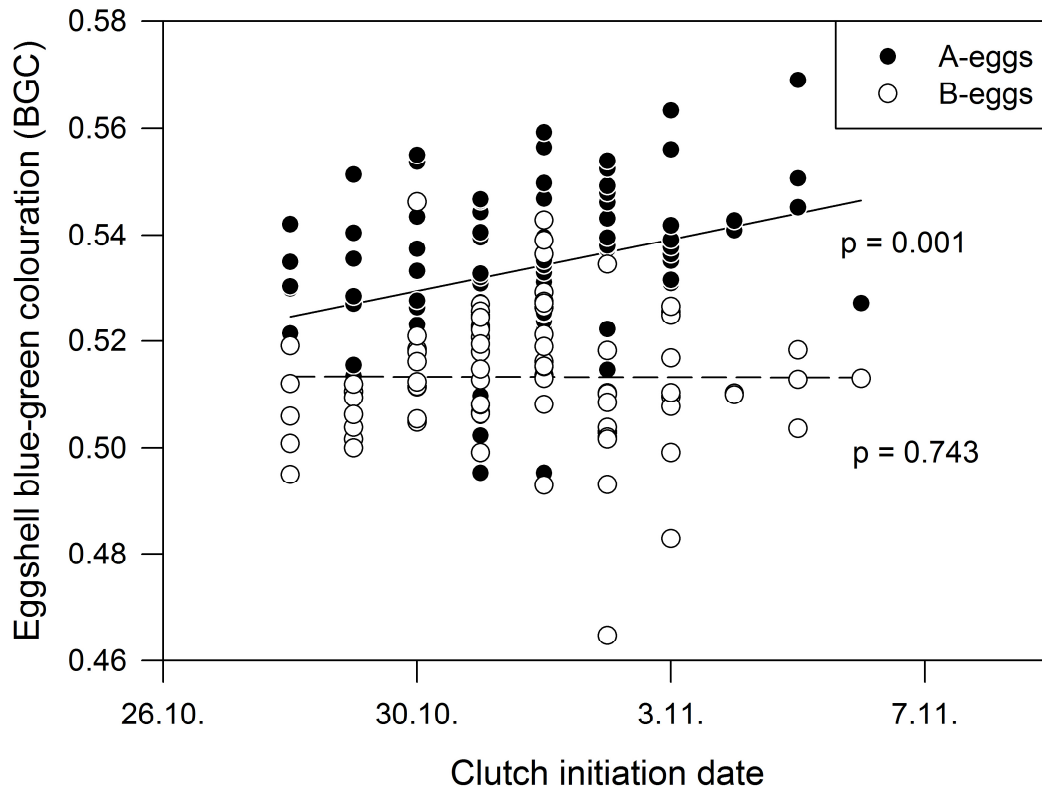


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465

466 **Fig. 4.** Relationship between clutch initiation date (CID) and intensity of eggshell blue-green  
467 colouration (BGC) in A- and B-eggs. We present linear regression lines to visualize the effect  
468 of CID (even though not significant for B-eggs). P-values were obtained from linear models  
469 conducted separately for A- and B-eggs. Sample sizes were  $N = 85$  for both A- and B-eggs.

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