



## Persistence and outcome of auditory hallucinations in adolescence: A longitudinal general population study of 1800 individuals

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### ABSTRACT

**Background:** Auditory hallucinations are common in adolescents. However, it has been suggested that not the presence of low-grade psychotic experiences per se, but rather the level of persistence and associated clinical complications over time may lead to psychotic illness. The current paper investigated, in a large representative sample of adolescents, to what degree hallucinations persist, and whether persistence of hallucinations increases the risk of developing secondary delusional ideation and affective dysregulation.

**Methods:** Data were derived from a general health screening of all 1912 adolescents living in the Maastricht area. Baseline assessment was in the second grade of secondary school (T0) and follow-up occurred 2 years later (T1). Questions included the psychosis screening questions (Poulton et al., 2000), the SDQ assessing general psychopathology and a question assessing depression.

**Results:** Five percent of adolescents reported hallucinations at T0 and 27% of these hallucinations were still present 2 years later. Hallucinations at T0 were associated with increased levels of depressed mood and general psychopathology at T1, and the degree of persistence of hallucinations was associated with a progressively greater risk for T1 delusional ideation as well as increased levels of follow-up depressed mood and general psychopathology.

**Conclusion:** Although hallucinations in adolescents are a common and mainly transitory phenomenon, the persistence rate over time is far from negligible, and associated with clinical deterioration.

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### 1. Introduction

Population-based epidemiological studies have shown that auditory hallucinations are common, particularly in younger age groups including children and adolescents, with prevalence rates ranging from 5.7 to 21.0% ( Bartels-Velthuis et al., 2010; Dhossche et al., 2002; Escher et al., 2002b; McGee et al., 2000; Van Os et al., 2009; Yoshizumi et al., 2004). For the majority of children and adolescents, these experiences are well below the threshold of clinical disorder (Schreier, 1999; Tien, 1991), and tend to have a benign course (Yoshizumi et al., 2004). A 3-year follow-up study showed that auditory hallucinations in children were transitory in 60% (Escher et al., 2002b). On the other hand, studies have demonstrated that hallucinatory experiences in childhood

may increase the risk for later mental health problems (Dhossche et al., 2002; McGee et al., 2000), including psychotic disorders in adulthood (Ohayon, 2000; Poulton et al., 2000).

Although there is a rapidly growing number of cross-sectional surveys on psychotic experiences in non-ill individuals, a dearth in longitudinal work in representative general population samples remains. From a perspective of prediction and prevention, it is crucially important to understand the mechanism underlying the transition from subclinical hallucinations to the development of a full-blown psychotic disorder in representative samples. Previous longitudinal work in representative samples has shown that not the presence of low-grade psychotic experiences in the general population per se, but rather the persistence and clinical deterioration of these experiences over time may lead to a clinical state of psychosis (Coughard et al., 2007; Dominguez et al., 2011; Mackie et al., 2010). These earlier studies, however, did not differentiate between hallucinations and other low-grade psychotic experiences, in particular delusional ideation which in the general population is much more prevalent than hallucinatory experiences and may represent a weaker predictor of clinical transition

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(Van Os et al., 2009). Several psychological accounts of psychosis indicate that perceptual aberrations, such as hallucinations, may be at the core of psychosis. Hallucinations may predispose to psychosis by secondary formation of delusional ideation in an attempt to explain perplexing perceptual experiences (Bentall et al., 2001; Escher et al., 2002a; Garety et al., 2001; Maher, 1974), which subsequently may provoke affective dysregulation and emotional distress (Morrison, 2001; Smeets et al., in press; Van Rossum et al., in press).

Bringing together these strands of evidence gives rise to the hypothesis that not the mere occurrence of a hallucination, but rather the persistence of hallucinations over time may predispose to the secondary formation of delusions and affective dysregulation. The current paper aims to investigate, in a large sample of representative adolescents in the general population, to what degree hallucinations persist, and whether persistence of hallucinations increases the risk of developing secondary delusional ideation and affective dysregulation.

## 2. Methods

### 2.1. Participants

All children in the Netherlands aged 4–16 years are examined regularly by the Youth Health Care Divisions (YHCD) of (Regional) Public Health Services. To this end, parents of the younger children as well as adolescents aged 13 years and older are asked to fill in a questionnaire together with the medical examination. Health information aimed at detecting and remediating physical and mental health problems as well as background characteristics (e.g. type of secondary education) were derived from these questionnaires, which were compiled in the context of an academic partnership between YHCD and Maastricht University in Maastricht, The Netherlands (Drukker et al., 2009; Gunther et al., 2003). The present study used two waves of these Regional Profiles of Youth health (RPY), pertaining to 1912 adolescents living in Maastricht and surrounding areas (total population of 201,000) who were attending the second grade of secondary school at T0 (age 13/14 years) and who were seen again approximately 2 years later at T1 whilst attending the fourth grade (age 15/16 years).

### 2.2. Assessments

The following self-reported variables were derived from the RPY data.

Both auditory hallucinations at T0 and T1 were assessed with the question rated, by the individual, as yes or no: "Have you ever heard voices other people cannot hear?". Auditory hallucinations at T0 and T1 were combined into one persistence variable (0 = no hallucinations; 1 = hallucinations at T0 but not at T1, 2 = hallucinations both at T0 and T1).

Delusional ideation at T0 and at T1 was assessed with 3 questions rated as yes or no: (1) "Some people believe in mind reading or being psychic. Have other people ever read your mind?", (2) "Have you ever had messages sent just to you through television or radio?", (3) "Have you ever thought that people are following you or spying on you?". These questions were derived from the Diagnostic Interview Schedule for Children (DISC-C) (Costello et al., 1982) for DSM-III (APA, 1980), and were used previously by Poulton et al. (2000) and formally validated by various groups (Kelleher et al., 2009; Polanczyk et al., 2010). Ratings of delusional thought questions were combined into a single measure with a value of 1 indicating presence of at least one symptom and a value of 0 indicating the absence of delusional ideation. The question about reading thoughts was not included in the psychosis-measure in the current paper, as 30% of all subjects at T0 and 33% at T1 answered yes to this question, which is much higher than would be expected on the basis of other studies (Van Os et al., 2000, 2009). This question therefore was considered as lacking in discriminative power in this age group and was excluded from the analysis.

General psychopathology was measured at T0 and T1 using the Strengths and Difficulties Questionnaire (SDQ) (Goodman, 1997). The SDQ is a brief questionnaire, assessing symptoms of psychopathology in children and adolescents. A self-report version that can be completed independently by 11 to 16 years old was used for the present analyses (Becker et al., 2004). The SDQ consists of 25 items, generating sum scores for five subscales: emotional symptoms (e.g. "I have many fears; I am easily scared"), conduct problems (e.g. "I get very angry and often lose my temper"), hyperactivity-inattention (e.g. "I am easily distracted; I find it difficult to concentrate"), peer problems ("I am usually on my own; I generally play on my own or keep to myself"), and prosocial behaviour (e.g. "I often volunteer to help others"). Each item can be scored on a 3-point scale with 0 = 'not true', 1 = 'somewhat true', and 2 = 'certainly true'. Higher scores on the prosocial behaviour subscale reflect strengths, whereas higher scores on the other four subscales reflect difficulties. The internal consistency of the SDQ total score ( $\alpha = 0.63$ ) was acceptable. For the present study, a standardized total psychopathology symptom score (range 0–40), based on all scales with the exception of the prosocial scale was used.

Depressed mood at T0 and T1 was assessed with the following statement: "I am concerned about having low mood and I would like to talk to the nurse about it." Depressed mood was rated as 'yes' (value 1) or 'no' (value 0).

A priori confounders included in the statistical models were age, sex, educational level at T1 (as an indicator of socio-economic status) and duration of follow-up (time between T0 and T1 in months) [range 3–44 months]. Educational level was divided in five categories: 1: pre-university education; 2: higher general secondary education; 3: highest level of technical and vocational training for 12–16 years old; 4: medium level of technical and vocational training for 12–16 years old; and 5: lowest level of technical and vocational training for 12–16 years old.

### 2.3. Statistical analyses

All analyses were carried out in STATA, version 11.1 (Statacorp, 2009).

#### 2.3.1. Persistence of auditory hallucinations

In order to evaluate whether the presence of auditory hallucinations at T0 increased the risk of auditory hallucinations at T1, a logistic regression analysis was carried out with "auditory hallucinations at T1" as dependent variable and "auditory hallucinations at T0" as independent variable, the resulting odds ratio reflecting degree of persistence (Cougnard et al., 2007).

#### 2.3.2. Consequences of persistent hallucinations

In order to investigate whether persistent hallucinations predicted poorer outcome, associations between persistent auditory hallucinations on the one hand and, on the other (i) development of delusional ideation, (ii) levels of psychopathology as measured by the SDQ, and iii) depressed mood, were assessed.

Logistic regression analyses were conducted with "delusional ideation at T1" or "depressed mood at T1" as dependent variable and "persistence of auditory hallucinations" as independent variable, controlling for any delusional ideation at T0 or depressed mood at T0 respectively (Escher et al., 2002b). Similarly, linear regression analyses were used to assess associations between psychopathology at T1 and persistence of auditory hallucinations, controlling for psychopathology at T0. All analyses were adjusted for age, gender, socio-economic status and duration of follow-up. Finally, all analyses were adjusted for all the baseline psychopathology measures (delusional ideation, general psychopathology, and depressed mood). Results of logistic regression analyses were expressed as odds ratios (OR), whereas linear regression analysis yielded regression coefficients (B-values). Effect sizes were evaluated by the (chi-square) Wald test, a test used to test the

significance of particular explanatory variables in a statistical model (Clayton and Hills, 1993).

### 3. Results

#### 3.1. Descriptive statistics

The sample at baseline consisted of 1912 subjects. Three subjects were excluded because they were assessed only once, 31 subjects had missing data on the hallucination items, and another 98 subjects had missing values on one of the demographic variables, leaving a risk set of 1780 participants. See Table 1 for the descriptives of the sample.

#### 3.2. Do auditory hallucinations persist?

Of the 93 adolescents with hallucinations at T0, 27% reported persistent hallucinations at T1 ( $n=25$ ). The risk of experiencing hallucinations at T1 was significantly greater in this group, compared to the adolescents who did not report hallucinations at T0 ( $n=49$  at T1 of  $n=1638$  at T0, 3%; adjusted OR = 11.0,  $p<0.001$ , 95%CI 6.4–19.1).

#### 3.3. What are the consequences of persistent auditory hallucinations?

##### 3.3.1. Delusional ideation at T1

Sixty-one adolescents were excluded for this analysis (12 had missing values on delusions and 49 reported incident hallucinations at T1) resulting in a risk set of 1719 participants. Adolescents with hallucinations at T0 only, as well as adolescents with persistent hallucinations, were significantly more likely to report delusional ideation at T1 compared to adolescents with no hallucinations (Table 2). These effect sizes remained significant after controlling for confounders (Table 2). After controlling for delusional ideation at T0 only persistent hallucinations remained significantly associated with T1 delusional ideation, and the difference in effect size between adolescents with persistent and non-persistent hallucinations, after adjustment for confounders and delusional ideation at T0, was statistically significant (Table 2). Additionally controlling for T0 depressed mood and general psychopathology did no substantially change the results (Table 2).

##### 3.3.2. General psychopathology at T1

For these analyses, 6 adolescents had no data on general psychopathology at T0 or T1 and 49 had incident hallucinations at T1, leaving a risk set of 1725. Adolescents with hallucinations at T0 only as well as adolescents with persistent hallucinations were reporting significantly more general psychopathology at T1 compared to adolescents with no hallucinations (Table 1). These effects sizes remained statistically significant after controlling for confounders, for general psychopathology at T0, as well as for T0 delusional ideation and depressed mood (Table 2). A linear dose-response association was found between level of persistence of hallucinations and general psychopathology ( $B=1.58$ ,  $p<0.000$ , 95%CI: 0.90–2.25). There was no significant difference in effect size between adolescents with and without persistent hallucinations (Table 2).

##### 3.3.3. Depressed mood at T1

The risk set for these analyses consisted of 1731 adolescents (49 were excluded due to incident hallucinations at T1, there were no missing data on depressed mood). Adolescents with non-persistent as well as persistent auditory hallucinations were significantly more likely to report depressed mood at T1. These effect sizes remained significant after controlling for confounders as well as for T0 depressed mood, T0 delusional ideation and T0 general psychopathology (Table 2). Similar to the findings for general psychopathology, a linear dose-response association was found between level of persistence of auditory hallucinations and depressed mood (OR = 4.13,  $p<0.000$ , 95%

CI 2.40–7.12). The difference in effect size between adolescents with persistent and non-persistent hallucinations in the model controlling for confounders and T0 depressed mood was not significant (Table 2).

### 4. Discussion

The findings suggest that auditory hallucinations in young adolescents are a common (about 5%), but mainly transitory phenomenon, persistence occurring in approximately a third of those with auditory hallucinations. Importantly, hallucinations at T0 were associated with increased levels of depressed mood and general psychopathology at follow-up, and the degree of persistence of hallucinations was associated with a linear increase in risk for follow-up delusional ideation as well as increased levels of follow-up depressed mood and general psychopathology, clearly indicative of a poorer outcome.

#### 4.1. Persistence of auditory hallucinations

Since data were retrieved from a standard health screening in all school children in the Maastricht area, the sample is uniquely representative, including children of all socio-demographic backgrounds and educational levels, without underlying selections. Prevalence rates are conform previous reports in similar samples (Van Os et al., 2009). A second strength of the study is the longitudinal approach, allowing the study of persistence rates as well as of the temporal dynamics of hallucinations and their consequences. The persistence rate of auditory hallucinations in this study (27%) was similar to that of a follow-up study of prepubertal children, reporting a 1-year persistence rate of 30% (Askenazy et al., 2007). In a follow-up study of auditory hallucinations in adolescents, Escher found a 3-year persistence rate of 40% (Escher et al., 2002b). Given the fact that expression of psychotic symptoms peaks in adolescence and early adulthood and decreases in older age in clinical as well as in non-clinical populations (Rossler et al., 2007; Verdoux et al., 1998), lower rates may be expected in older samples.

#### 4.2. Consequences of persistence of auditory hallucinations

The occurrence of hallucinations at T0 was associated with increased levels of general psychopathology and depressive problems, and persistence of auditory hallucinations was associated with a linear increase in poor outcome expressed as increased risk to develop delusional ideation as well as increased levels of depression and general psychopathology. These findings are in accordance with other studies (Escher et al., 2004; Hanssen et al., 2005; Mackie et al., 2010) suggesting

**Table 1**  
Demographic characteristics and scores on the dependent and independent variables.

	T0 M (SD) [range] or n (%)	T1 M (SD) [range] or n (%)
Gender (M/F)	810/970	
Duration of follow-up (in months)	19.4 (6.8; 3–44)	
Education		
Pre-university secondary education	352 (20%)	
Higher general education	250 (14%)	
Lower professional education: highest	573 (32%)	
Lower professional education: medium	393 (22%)	
Lower professional education: lowest	212 (12%)	
Age in years	13.5 (0.6) [11–16]	15.1 (0.8) [13–18]
Auditory hallucinations present	93 (5%)	74 (4%)
General psychopathology score	11.5 (4.4) [0–27.5]	10.5 (4.7) [0–27]
Depressed mood present	25 (1%)	28 (2%)
Delusional ideation present	260 (15%)	250 (14%)

**Table 2**

Effect sizes of persistent hallucinations in the models predicting T1 delusional ideation, general psychopathology, and depressed mood.

	Persistence of hallucinations <sup>1</sup>			Effect size of 1 versus 0	Effect size of 2 versus 0	Effect size of 1 versus 2
	0	1	2			
T1 Delusional ideation	19/1627 (11.7%)	22/67 (32.8%)	14/25 (56.0%)	OR = 3.68 (2.16–6.26)***	OR = 9.57 (4.28–21.4)***	3.98 *
+ confounders <sup>2</sup>				OR = 3.37 (1.96–5.78)***	OR = 8.03 (3.54–18.20)***	3.91 (*)
+ confounders and T0 delusions				OR = 1.72 (0.96–3.09)	OR = 6.36 (2.67–15.18)***	6.31 **
+ confounders and T0 (delusions, GP <sup>3</sup> , depression)				OR = 1.68 (0.94–3.02)	OR = 5.58 (2.33–13.38)***	5.27 *
T1 General Psychopathology	M = 10.30 (SD = 4.59)	M = 12.97 (SD = 4.93)	M = 14.45 (SD = 5.27)	$\beta = 2.67 (1.55–3.79)$ ***	$\beta = 4.15 (2.33–5.97)$ ***	1.87
+ confounders <sup>2</sup>				$\beta = 2.36 (1.29–3.43)$ ***	$\beta = 3.34 (1.59–5.09)$ ***	0.91
+ confounders and T0 GP				$\beta = 1.99 (0.94–3.04)$ ***	$\beta = 2.62 (0.90–4.33)$ **	0.39
+ confounders and T0 (delusions, GP, depression)				$\beta = 1.17 (0.10–2.25)^*$	$\beta = 2.02 (0.33–3.72)^*$	0.73
T1 Depressed mood	16/1638 (1.0%)	4/68 (5.9%)	5/25 (20.0%)	OR = 6.34 (2.06–19.49)**	OR = 25.34 (8.46–75.89)***	4.66 *
+ confounders <sup>2</sup>				OR = 5.33 (1.69–16.83)**	OR = 16.94 (5.34–53.78)***	3.28 (*)
+ confounders and T0 depression				OR = 4.96 (1.55–15.90)**	OR = 16.16 (5.13–50.86)***	3.49 (*)
+ confounders and T0 (depression, GP, delusions)				OR = 3.61 (1.05–12.46) *	OR = 12.06 (3.63–40.03)***	2.48

<sup>1</sup>Persistence: 0 = no hallucinations at T0 or T1 (baseline), 1 = hallucinations at T0 but not at T1 (non-persistent hallucinations), 2 = hallucinations at T0 and T1 (persistent hallucinations).

M = mean, SD = standard deviation.

OR = odds ratio, B = regression coefficient.

<sup>2</sup>Confounders: age at T0, gender, follow-up period in months and educational level.

<sup>3</sup>General psychopathology.

(\*) $p < 0.08$ , \* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.00$ .

that the persistence of psychotic features, such as auditory hallucinations, is associated with a higher likelihood of negative outcomes.

Adverse outcome of persistent hallucinations can be expressed as an increased risk to develop delusional ideation, as it has been emphasized that delusional ideation in response to auditory hallucinations may lead to the maintenance of these psychotic symptoms and the subsequent development of clinical psychotic disorder (Krabbendam et al., 2004; Maher, 1974; Maher, 2006; Morrison, 2001). Delusional ideation may secondarily arise as a result of biased conscious appraisals that abnormal perceptual experiences are externally caused (Garety et al., 2001). Thus, persistence of auditory hallucinations may impact on risk of delusional ideation (Escher et al., 2002a) and emotional disturbance (Escher et al., 2003), that in turn may increase the risk to develop clinical psychosis, requiring need for care (Krabbendam et al., 2004; Preti et al., 2007; Krabbendam et al., 2004; Smeets et al., in press).

Hallucinations may also be more indirectly associated with later delusional ideation and emotional disturbance. For example, the experience of persistent hallucinations may be distressing, resulting in increased risk of cannabis or amphetamine use, which is associated with an enhanced risk of developing psychosis (Minozzi et al., 2010). This may be particularly relevant for young adolescents, since use at an early age has been shown to be especially detrimental (Barkus and Murray, 2010). Hallucinations may also be an earlier indicator compared to delusions of an underlying pathogenic process.

The results of the current study are in agreement with other reported findings (Cougard et al., 2007; Dominguez et al., 2011; Smeets et al., in press; Van Rossum et al., in press), investigating the mechanism and the impact of persistence of subclinical psychotic experiences in the general population. A number of studies have reported that the normal developmental expression of subclinical psychotic experiences, reflecting behavioural expression of liability for psychotic disorder, is mostly transitory, but may become abnormally persistent when combined with additional environmental risks (Cougard et al., 2007), negative symptoms (Dominguez et al., 2010), affective dysregulation (Hanssen

et al., 2005; Van Rossum et al., in press), or dysfunctional coping (Bak et al., 2003). In addition, Dominguez et al. (2011) reported that the longer subclinical psychotic experiences persist over time, the more likely these experiences result in impairment and clinical psychosis.

The results of this study thus demonstrate that refining analyses to the level of individual symptoms using an epidemiological design may be a powerful tool to disentangle the underlying developmental and psychopathological trajectory resulting in clinical psychosis.

#### 4.3. Limitations

The present results should be viewed in the light of several methodological issues.

First, data were derived from standard health screenings including numerous questions regarding a wide range of physical and mental health problems. Consequently, the assessment of certain items was limited in scope and precision. For example, the assessment of hallucinatory experiences was lacking detail with regard to duration and frequency, possible etiological factors and degree of reality attached to these experiences. Our questionnaire method may have failed to differentiate true hallucinations from dreams, fantasy, flashbacks, hypnagogic/hypnopompic hallucinations, illusions or imagery.

Secondly, at the time they were filling in the questionnaire, subjects were aware of the fact that possible problems would be discussed with a nurse during the health screening. It can be argued that some subjects may have underreported auditory hallucinations, delusional ideation and psychological problems. As stated before, this seems unlikely for the psychotic experiences since the rates found in the current study are in accordance with earlier work (Dhossche et al., 2002; Escher et al., 2002a; Ohayon, 2000; Poulton et al., 2000; Tien, 1991; Van Os et al., 2000; Wiles et al., 2006; Yoshizumi et al., 2004).

Third, the presence of auditory hallucinations was assessed with the question "Have you ever heard voices other people cannot hear?" Although it could be argued that some subjects may have reported the

same lifetime auditory hallucinatory experience at both time points, the analyses nevertheless suggest that "persistence" as assessed in the current study still represents a valid and good measure to predict true persistence, as prevalence (Dhossche et al., 2002; Escher et al., 2002b; McGee et al., 2000; Yoshizumi et al., 2004) and persistence rates (Escher et al., 2002b) of auditory hallucinations are in line with percentages found in other studies. Moreover, when filling in a questionnaire, subjects mostly tend to remember and thus to report the most recent event or experience.

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#### Contributors

Nicole Gunther, Marjan Drukker, Frans Ferron and Jim van Os designed the study. Nicole Gunther, Marjan Drukker and Frans Ferron were involved in data-collection. Ellen DeLoore, Marjan Drukker and Inez Myin-Germeys undertook the statistical analyses. Ellen DeLoore and Nicole Gunther wrote the first draft of the manuscript. Dirk Deboutte, Bernard Sabbe, Jim van Os and Inez Myin-Germeys supervised the writing of the manuscript. All authors contributed to and have approved the final manuscript.

#### Conflict of interest

All authors declare that they have no conflict of interest.

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