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Prevalence and etiology of sensorineural hearing loss in children with Down syndrome: A cross-sectional study.

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Prevalence and etiology of sensorineural hearing loss in children with Down 1 syndrome: A cross-sectional study. 2 3 4 5 6 7 8

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23 ABSTRACT

- 24 Background: The prevalence and causes of sensorineural hearing loss (SNHL) in children with Down
- 25 syndrome (DS) are poorly delineated.
- *Objective:* To describe the prevalence, severity, laterality and underlying etiology of SNHL in a cohort
 of children with DS.
- 28 *Methods:* A cross-sectional study was performed among all children with DS followed at the
- multidisciplinary Downteam of the Antwerp University Hospital. Patients' characteristics, risk factors
 for hearing loss, audiometric data and results of an etiological work-up were collected.
- 31 *Results:* Among 291 patients in follow-up, 138 patients (47.4%) presented with hearing loss. In the
- 32 majority this was caused by middle ear effusion and only 13 patients (4.5%) had sensorineural hearing
- 33 loss, 7 boys and 6 girls with a mean age of 14.4 ± 7.4 years. Hearing loss was bilateral in 8 cases.
- Hearing loss severity was graded as mild in 38.5%, moderate in 30.8% and profound in 30.8% of the
- 35 patients. An etiological work-up was completed in 9 children. Four patients presented with single
- 36 sided deafness due to cochlear nerve deficiency. One patient had a genetic cause and in 2 patients the 37 hearing loss was attributed to excessive noise exposure. The etiology of hearing loss was unknown in
- 38 6 patients.
- 39 *Conclusion:* Sensorineural hearing loss is uncommon in children with DS with a prevalence of 4.5%.
- 40 Etiological work-up may allow identifying a specific underlying cause. Cochlear nerve deficiency was
- found in 4 children with DS and single sided deafness.
- 43 *Keywords:* Down syndrome, trisomy 21, sensorineural hearing loss, single sided deafness
- 44 45

46 **1. INTRODUCTION**47

48 The chromosomal anomaly of trisomy 21, commonly known as Down syndrome (DS), has been

49 associated with many otorhinolaryngologic manifestations mostly due to the anatomical

50 malformations in the head and neck region [1–4]. Regular visits to the Ear-Nose-Throat (ENT)

51 specialist are therefore recommended [5–7]. Hearing loss is the most common ENT manifestation in 52 conjunction with this syndrome [8–11]. Hearing loss may predispose to delayed acquisition of speech

- and language, thus preventing patients to reach their full potential [9,12]. The implementation of
- 54 universal neonatal hearing screening has been successful in detecting hearing loss present at birth [13].
- 55 Thereafter, audiological monitoring of young children with DS is done by behavioral audiometry
- 56 [14,15]. An uncooperative child should be tested by means of an auditory brain stem response (ABR)
- either in natural sleep or under general anesthesia to obtain an objective hearing threshold estimate
 [16–19]. Current guidelines from the American Academy of Pediatrics advocate that children with
- 59 stenotic ear canals be seen, with ears examined under office microscope if needed, every 3 months
- until the ear canals grow. Audiograms are suggested every 6 months until the child is able to do "ear
- 61 specific" testing and then annually if normal hearing is present [15].
- 62 The most common type of hearing loss in DS is conductive hearing loss caused by middle ear 63 effusion (OME) with a prevalence ranging from 38% up to 78% [5,10,20,21]. In these patients, 64 hearing acuity may improve by ventilation tube (VT) placement [22–24]. Other possible causes of 65 conductive hearing loss are sequelae of OME such as tympanic membrane perforation, chronic otitis media/cholesteatoma, or ossicular chain abnormalities [23,25]. The prevalence of sensorineural 66 67 hearing loss (SNHL) in children with DS is not clearly defined with figures ranging from 4% to 55% 68 [4]. In a systematic review, Shott S.R. et al. [7] reported a prevalence of 4% to 20% for sensorineural 69 and mixed hearing loss. Similarly as in non-DS children, SNHL in children with DS may be caused by 70 a genetic defect, a congenital infection, anatomical abnormalities or may be related to perinatal risk 71 factors or yet unidentified causes [26-29].
- The hearing of patients with Down syndrome should be optimized to achieve an appropriate
 language development [29]. This will increase their quality of life, stimulate social interaction and
 promote autonomy [5]. Establishing a correct diagnosis is essential to provide the appropriate
 treatment and achieve these goals.
- The aim of this study is to describe the prevalence and etiology of SNHL in children with
 Down syndrome.

7879 2. METHODS

80

81 We performed a retrospective chart review of all children with DS that are followed at the 82 multidisciplinary Down team of the Antwerp University Hospital (Belgium). Regular ENT visits are 83 integrated in the medical care of these patients as described previously [21]. The medical record of 84 each patient enrolled in the Downteam at September 1st, 2017 was retrospectively reviewed by one 85 author (DSL). Patients were included if they consulted the ENT department at least once. During each 86 ENT visit, the ears were cleared of impacted cerumen and microscopically examined by a pediatric 87 ENT surgeon. The hearing thresholds were determined by qualified pediatric audiologists as reported 88 in a previous study [21]. Hearing loss was classified as conductive, mixed or sensorineural. Patients 89 with unreliable audiometric data and those with conductive or mixed hearing loss were excluded. A 90 patient was considered lost to follow-up and thereby excluded from the database if the most recent 91 audiological information dated from two years ago or before.

92 For each eligible patient, data were anonymously entered in a database. Demographic 93 information included gender, date of birth, ethnicity, age at diagnosis of the hearing loss, presence of 94 risk factors for hearing loss as defined by the American Academy of Pediatrics and the results of the 95 neonatal hearing screening [30]. Consanguinity of the parents was added to the list of risk factors for 96 hearing loss. Age appropriate audiometric tests were performed taking into account the cognitive 97 ability of the individual child. Results of ABR are reported in dB nHL (normal hearing level). Data 98 from pure tone audiometry are presented as pure tone average over 500, 1000 and 2000 Hz (PTA3) 99 and pure tone average over 500, 1000, 2000 and 4000 Hz (PTA4). The last visit audiogram was 100 compared with the first visit audiogram. The type, severity, symmetry and progression of the hearing 101 loss was described according to the GENDEAF recommendations [31]. Hearing loss severity is graded 102 by the hearing level of the worst ear in unilateral hearing loss and by the hearing level of the best ear 103 in bilateral hearing loss (table 1).

After confirmation of SNHL, an etiological work-up was proposed including a search for congenital infections, genetic testing and MRI as described earlier [13]. When no cause could be identified after a complete etiological work-up, the case was classified as "hearing loss of unknown cause". Treatment options offered were also included in the database. Descriptive statistics are reported as mean and standard deviation (SD).

110 **3. RESULTS**

- 111
- 112 3.1 Patient inclusion

113 On the first of September 2017, 319 patients were in follow-up at the multidisciplinary Down team. 114 There were 291 patients with at least one visit at the ENT department. An overview of the patient flow 115 is presented in figure 1. After reviewing each single patient, 278 patients were excluded. Ninety-six 116 (33.0%) patients presented with conductive hearing loss and 3 patients (1.0%) presented with mixed 117 hearing loss. Among the excluded patients were 3 children who were repeatedly uncooperative during 118 audiometry. Their parents declined ABR under general anesthesia. In addition, the type of hearing loss 119 could not be determined in 4 children due to the absence of bone conduction measurements. Thirteen 120 patients (4.5%) were eligible for inclusion and these comprise the present report.

- 121
- 122 3.2 Patient demographics
- 123 Patient demographics are presented in table 2. There were 7 boys and 6 girls with a mean age of $14.4 \pm$
- 124 7.3 years. The average age at the time of hearing loss diagnosis was 9.9 ± 7.3 years. Two separate
- 125 groups can be distinguished. The first group comprises 8 older patients (cases 1 to 8 as presented in
- table 3). These patients were examined at the ENT department for the first time after age 7. Patient 1
- to 6 were not screened for hearing loss at birth, however their parents did not report any hearing loss.
- 128 In these patients, onset of hearing loss is unknown and no conclusions can be drawn whether this
- hearing loss is congenital or postnatal. Case 7 and 8 were born after the introduction of universal
- 130 neonatal hearing screening in 1998 but no information was available for case 7. Case 8 failed the
- neonatal hearing screening and can be considered to have congenital hearing loss. The second group
- includes 5 younger patients that were in follow-up before age 2. Four of them failed the neonatal

- 133 hearing screening. Patient 11 passed screening by automatic auditory brainstem responses despite
- having a cochlear nerve deficiency. The majority was of Caucasian ethnicity. Risk factors for hearing
- 135 loss were reported in only 1 patient being born premature with a low birth weight. Information on the 136 outcome of neonatal hearing screening was available for 6 patients.
- 137

138 3.3 Audiometric data

- 139 An ABR was conducted in 5 patients (38.5%) at an average age of 1.5 ± 1.7 years. Four patients
- 140 presented with single sided deafness (SSD) and absence of ABR responses in one ear (1 left, 3 right).
- 141 In 8 patients (61.5%) the most recent audiometry was measured with ear inserts or a headset. For 5
- patients (38.5%), audiometric data were obtained in free field test conditions. For each patient at least
- one additional audiogram was available allowing evaluation of hearing loss progression. The average age at the time of the earliest audiogram was 9.3 ± 6.9 years and at the time of the most recent
- age at the time of the earliest audiogram was 9.5 ± 0.9 years and at the time of the most recent audiogram was 13.7 ± 7.2 years. The average time interval between both audiometries was 4.4 ± 1.7
- 146 years. Hearing loss progression was observed in 1 patient with an average annual loss of 5.3 dB over a
- 147 time span of 4.7 years.
- 148
- 149 3.4 Hearing loss laterality and severity
- 150 There were 8 patients (61.5%) with bilateral and 5 patients with unilateral (38.5%) SNHL (table 3).
- Hearing loss severity is described according to the results of the most recent audiometry. The hearingloss was mild, moderate or profound in respectively 38.5%, 30.8% and 30.8% of the patients. No
- 153 patient presented with severe hearing loss.
- 154
- 155 3.5 Hearing loss etiology
- 156 Data on hearing loss etiology are presented in figure 2. In the older patient group, the majority did not
- 157 have an etiological work-up because of lack of parental interest. In case 1 and 4, hearing loss was
- attributed to noise exposure because parents reported repetitive listening to very loud music through
- head phones. Early presbyacusis could also have played a role in these patients but as with noisetrauma, there is no formal proof of this. Genetic testing was performed in 3 (15.8%) patients with
- 160 trauma, there is no formal proof of this. Genetic testing was performed in 3 (15.8%) patients with 161 bilateral SNHL. One demonstrated a compound heterozygous pathogenic variant in the *GJB2* gene
- 162 encoding connexin 26. Imaging studies were performed in 7 (53.8%) patients. One patient had a CT
- scan of the petrous temporal bone and 5 patients had a posterior fossa MRI. One patient was assessed
- with both imaging techniques. Magnetic resonance imaging showed unilateral cochlear nerve
- deficiency in all 4 patients with SSD (figure 3). One of these patients with cochlear nerve deficiency
- 166 also had a history of a congenital cytomegalovirus (CMV) infection. A specific cause explaining the
- hearing loss could be found in 5 out of 7 patients for whom a standardized work-up was performed[13].
- 168 [169
- 170 3.6 Treatment for hearing loss
- 171 A hearing aid was recommended to 4 patients (30.8%) (3 bilateral and 1 unilateral) and was
- successfully tolerated by 3 of them. Two patients with unilateral SNHL underwent a trial with a bone
 anchored hearing aid (BAHA) on a softband. Sign language was used by 8 children (61.5%) to support
- their non-verbal expression.
- 175

176 **DISCUSSION**

177

The prevalence of SNHL was 4.5% in our population of children with DS. In 5/13 (38.5%) cases a
diagnosis of congenital SNHL could be confirmed. Our data are in line with those reported by Park et
al. [10] who found a 1.8% prevalence of SNHL. In a systematic review, Shott S.R. et al. [7] reported a
prevalence of 4% to 20% for sensorineural and mixed hearing loss.

- Profound unilateral hearing loss (SSD) was observed in 4 patients. Recent data emphasized the
 potential negative impact of unilateral hearing loss in speech-language development, speech
- 184 perception in noise, cognition and behavior [32]. These potentially negative effects may be even more
- 185 pronounced in children with a cognitive disability such as those with DS and warrant special attention
- to minimize these unfavorable effects.

187 Whereas previous studies reported on the prevalence of SNHL in DS patients, they did not 188 provide data on the underlying cause [7,10]. Pathogenic variants in the GJB2 gene are the most 189 common cause of congenital SNHL and were present in one of our patients with bilateral, moderately 190 severe hearing loss [33]. One patient with SSD had a congenital CMV infection, which is the most 191 common non-genetic cause for hearing loss present at birth. Cochlear nerve deficiency was the most 192 common underlying cause for SSD in our DS patients. Three of them were diagnosed with a profound 193 unilateral hearing loss after a referral from the neonatal hearing screening. One patient who passed the 194 neonatal hearing screening was diagnosed later at the age of 19.2 months. Cochlear nerve deficiency 195 has been reported as the most common cause for congenital SSD in children and may mimic unilateral 196 auditory neuropathy/dyssynchrony [32,34,35].

197 The inventory of etiological factors of SNHL included excessive noise exposure that is 198 associated with a perceptive dip in the (extended) high frequencies on audiometry. The impact of such 199 a perceptive dip is not reflected in the value of the PTA3. It has a minor influence on the value of the 200 PTA4. These measurements therefore do not accurately demonstrate the severity of the SNHL in those 201 patients.

202 Our study has several limitations because of its retrospective nature. A complete data set was 203 not available for all subjects. Not all patients were screened for hearing loss at birth and an etiological work-up was performed in only a subset of 7 patients. For example, data on the result of neonatal 204 205 hearing screening could not be retrieved from the medical records of 6 patients with SNHL and an 206 etiological work-up was not performed in 4 patients with SNHL. In those patients where hearing 207 screening was not performed at birth, it remains uncertain whether the hearing loss is congenital or 208 postnatal. Parental history declining any notion of hearing loss, is unreliable especially in children 209 with cognitive delay.

Secondly, we cannot exclude a referral bias. From 2005 to 2016 there were 500 live births of children with DS in Flanders [36]. The Downteam of the Antwerp University Hospital has a registry of 319 DS patients since 2007. Furthermore, 17 from the 31 live births with DS in 2016 are in followup at the Antwerp University Hospital. We therefore believe that our study population fairly represents the population of children with DS in Flanders.

A major strength of this report is that we looked for an etiology underlying the SNHL in patients with DS. Identifying an etiology for (congenital) hearing loss may direct rehabilitation strategies, may allow monitoring for hearing loss progression and can provide parents information regarding the recurrence risk [28]. The DS child with SNHL caused by pathogenetic variants in the *GJB2* gene illustrates this point. The risk for another child with SNHL is 25% even if this child does not present with DS.

According to the type of HL and underlying cause, treatment may be proposed with conventional hearing aids, a bone-anchored hearing aid (BAHA) or cochlear implant [37–41]. Assistive listening devices, for example audio induction loops in classrooms, improve the learning environment of children with Down syndrome and might be a valuable alternative to wearing a traditional hearing aid [42,43]. Speech therapy or sign language can promote language fluency and global word analysis and recognition [44]. In addition, parental education is recommended to improve the child's conditions for hearing [45,46].

229 CONCLUSION

230

Sensorineural hearing loss was present in 4.5% of the children with DS and about 40% was found to be congenital in origin. A definite underlying cause could be identified in 5 out of 7 cases in whom an etiological work-up was performed. Cochlear nerve deficiency was a major cause of single sided deafness. This study's data illustrates the value of an etiological work-up for SNHL in children with DS since this information may be helpful for parental counseling and decision-making regarding treatment.

238 CONFLICT OF INTEREST239

240 The authors declare no conflict of interest.

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246

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254

261

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247 LEGEND TO FIGURES AND TABLES

- 248249 table 1: hearing loss severity.
- 250 table 2: demographic information.
- table 3: individual patient details.
- 253 (tables are placed on separate pages at the end of this article)
- 255 appendix A: fig1.TIFF
- 256 appendix B: fig2.TIFF
- 257 appendix C: fig3.TIFF
- appendix D: figure captions

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| Table 1: hearing loss severity. | | | | | | | |
|---------------------------------|--------------|--|--|--|--|--|--|
| Mild | 20 - 40 dBHL | | | | | | |
| Moderate | 41 - 70 dBHL | | | | | | |
| Severe | 71 - 90 dBHL | | | | | | |
| Profound | > 90 dBHL | | | | | | |

| Table 2: demographic information. | | | | | | | | |
|-----------------------------------|-----------------------------------------|---------------|-------|--|--|--|--|--|
| Number of patients with SN | 13 | 100% | | | | | | |
| Gender | female | 6 | 46.2% | | | | | |
| | male | 7 | 53.8% | | | | | |
| Average age (yr.) | at time of study | 14.4 ± 7.4 | | | | | | |
| | at 1 st visit | 8.6 ± 7.1 | | | | | | |
| | at diagnosis of hearing loss | 9.9 ± 7.3 | | | | | | |
| Ethnicity | Caucasian | 7 | 53.8% | | | | | |
| | North African | 2 | 15.4% | | | | | |
| | Asian | 2 | 15.4% | | | | | |
| | Hebrew | 1 | 7.7% | | | | | |
| | Persian | 1 | 7.7% | | | | | |
| Risk factors | weight < 1500 g or premature < 32 w | 1 | 7.7% | | | | | |
| | none | 12 | 92.3% | | | | | |
| Neonatal hearing screening | bilateral pass | 1 | 7.7% | | | | | |
| 0 0 | bilateral refer | 4 | 30.8% | | | | | |
| | unilateral refer | 1 | 7.7% | | | | | |
| | 7 | 53.8% | | | | | | |

Table 3: individual patient details.

| No. | . Age (yr.) Heat | | Hearing lo | Hearing loss (HL) | | Etiology | Hearing screening | BERA (dBnHL) | | Pure tone audiometry (dB) | | | | |
|-----|------------------|-----------------------|------------|-------------------|----------|-------------|----------------------------------------------|------------------|------------|---------------------------|------------------|------|--------|------|
| | at study | 1 st visit | diagnosis | symmetry | severity | progression | | | Right Left | | light Left Right | | t Left | |
| | | | | | | | | | | | PTA3 | PTA4 | PTA3 | PTA4 |
| 1 | 24.7 | 16.9 | 17.1 | bilateral | mild | no | excessive noise exposure | - | - | - | 30 | 38 | 32 | 38 |
| 2 | 23.2 | 18.2 | 18.2 | bilateral | mild | no | no etiological work-up | - | - | - | 17 | 25 | 17 | 25 |
| 3 | 21.2 | 17.4 | 17.4 | bilateral | mild | no | no etiological work-up | - | - | - | 35 | 35 | 37 | 40 |
| 4 | 20.3 | 13.5 | 13.5 | bilateral | mild | no | excessive noise exposure | - | - | - | 23 | 23 | 13 | 18 |
| 5 | 19.9 | 15.0 | 15.0 | unilateral | moderate | yes | unknown | - | - | - | 62 | 69 | - | - |
| 6 | 19.8 | 13.1 | 19.4 | bilateral | mild | no | no etiological work-up | - | - | - | 40 | 36 | 28 | 26 |
| 7 | 14.3 | 9.0 | 13.8 | bilateral | moderate | no | no etiological work-up | - | - | - | 42 | 44 | 42 | 44 |
| 8 | 12.8 | 7.2 | 7.2 | bilateral | moderate | no | Genetic (GJB2) | bilateral refer | - | - | 50 | 53 | 45 | 51 |
| 9 | 9.6 | 0.3 | 0.3 | unilateral | profound | - | cochlear nerve deficiency | bilateral refer | 100 | 80 | 95 | 95 | - | - |
| 10 | 8.8 | 0.1 | 4.4 | bilateral | moderate | no | unknown | bilateral refer | 60 | 60 | 53 | 53 | 48 | 48 |
| 11 | 6.9 | 1.3 | 1.6 | unilateral | profound | - | cochlear nerve deficiency | bilateral pass | 30 | 95 | - | - | 82 | 83 |
| 12 | 2.7 | 0.3 | 1.0 | unilateral | profound | - | cochlear nerve deficiency | bilateral refer | 90 | 40 | 90 | 90 | - | - |
| 13 | 2.6 | 0.2 | 0.3 | unilateral | profound | - | cochlear nerve deficiency + CMV infection | unilateral refer | 95 | 20 | 95 | 95 | - | - |
| | | | | | | | Y Y | | | | | | | |
| | | | | | | | | | | | | | | |

figure 1: flowchart of patient selection process.

figure 2: hearing loss etiology.

figure 3: axial T2 CISS WI (0,4mm thin slices) demonstrating aplasia of the right cochlear branch of the vestibulocochlear nerve.





Cochlear nerve deficiency (n=4)

Excessive noise exposure (n=2)

Compound heterozygous GJB2 mutation (n=1)

No cause identified (n=2)

No etiological work-up (n=4)

