

This item is the archived peer-reviewed author-version of:

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Reference:

Van Gasse Athina, Elst Jessy, Bridts Christiaan, Mertens Christel, Faber Margaretha, Hagendorens Margo, De Clerck Luc S., Sabato Vito, Ebo Didier.-
Rocuronium hypersensitivity : does off-target occupation of the MRGPRX2 receptor play a role?
The journal of allergy and clinical immunology. In practice - ISSN 2213-2198 - 7:3(2019), p. 998-1003
Full text (Publisher's DOI): <https://doi.org/10.1016/J.JAIP.2018.09.034>
To cite this reference: <https://hdl.handle.net/10067/1577650151162165141>

1 **Rocuronium hypersensitivity: Dose MRGPRX2 receptor blockade play a role?**

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25 **The authors declare no conflict of interest.**

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27 **Key words:** basophil, CD63, hypersensitivity, mast cell activation, MRGPRX2, rocuronium, skin test,
28 specific IgE, tryptase

29 **Abbreviations:**

- 30 BAT: basophil activation test
31 FcεRI: high affinity receptor for sIgE
32 IDT: intradermal test
33 MC: mast cell
34 MCA⁺: mast cell activation
35 MCA⁻: no mast cell activation
36 ROC^{ST+}: positive skin test rocuronium
37 ROC^{ST-}: negative skin test rocuronium
38 ROC^{BAT+}: positive BAT rocuronium
39 ROC^{BAT-}: negative BAT rocuronium
40 ROC^{BATNR}: non-responder in BAT rocuronium
41 NMBA: neuromuscular blocking agent
42 NPV: negative predictive value
43 POH: perioperative hypersensitivity
44 PPV: positive predictive value
45 sIgE: specific IgE antibody
46 SPT: skin prick test

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61

62 **Abstract**

63 Background: the neuromuscular blocking agent (NMBA) rocuronium is a relevant cause of
64 perioperative hypersensitivity (POH) with significant risk of diagnostic error. Recently it has been
65 suggested to reclassify hypersensitivity to NMBA as type A reactions resulting from off-target
66 occupation of the nonimmune MRGPRX2 receptor.

67 Aim: to investigate whether basophil activation experiments can benefit diagnosis and add to the
68 insights in the pathomechanisms of rocuronium hypersensitivity.

69 Methods: 140 patients with a suspected POH to rocuronium in whom peak tryptase was available had
70 complete diagnostic work-up for all potential culprits including triple confirmatory testing with skin
71 tests, basophil activation (BAT) and quantification of specific IgE antibodies (sIgE) to rocuronium and
72 morphine. To further analyse clinical relevance of sIgE antibodies, quantitative basophil inhibition
73 experiments were performed by coincubation of the cells with rocuronium and morphine, an opiate
74 known to harbour a substituted ammonium structure.

75 Results: Diagnosis of rocuronium hypersensitivity was established in 72/140 patients (51.4%), of whom
76 65 (90.3%) demonstrated mast cell activation . Of the 72 patients, 64 displayed a positive skin test, 8
77 (11.1%) had their diagnosis documented only by BAT. Coincubation of morphine and rocuronium
78 induced a dose-dependent inhibition of basophilic activation with rocuronium that was restricted to 4
79 out of 6 patients with IgE reactivity to rocuronium and/or morphine.

80 Conclusion: BAT can benefit diagnosis of rocuronium hypersensitivity. As basophils barely express
81 MRGPRX2 and BAT rocuronium can be inhibited by morphine, we believe hypersensitivity to
82 rocuronium still mainly to result from IgE/FcεRI-dependent effector cell activation. However, it cannot
83 be excluded, in a few patients rocuronium hypersensitivity to result from off-target occupation of the
84 MRGPRX2 receptor.

85

86 **Highlights Box**

87 *What is already known about this topic*

88 The neuromuscular blocking agent rocuronium is a relevant cause of perioperative hypersensitivity.
89 Recently it has been suggested to reclassify hypersensitivity to NMBA as type A reactions resulting
90 from off-target occupation of the nonimmune MRGPRX2 receptor.

91 *What does this article add to our knowledge?*

92 According to the results of triple testing (skin tests, basophil activation, quantification of sIgE) and
93 quantitative basophil inhibition experiments we think hypersensitivity to rocuronium mainly to result
94 from a genuine IgE/FcεRI-dependent effector cell activation.

95 *How does this study impact current management guidelines?*

96 We think hypersensitivity to rocuronium still mainly to result from IgE/FcεRI-dependent effector cell
97 activation. However, it cannot be excluded, in some patients rocuronium hypersensitivity to result
98 from off-target occupation of the MRGPRX2 receptor.

99

100 **Introduction**

101 Rocuronium is an aminosteroid non-depolarizing neuromuscular blocking agent (NMBA). Different
102 surveys regarding perioperative hypersensitivity (POH) indicate that rocuronium is a relevant - and
103 probably still increasing - cause of severe POH ^{1,2}. In order to optimize correct diagnosis of rocuronium
104 hypersensitivity and to reduce diagnostic errors we recently published a diagnostic algorithm in the
105 Journal ³. This algorithm shows that skin tests still merit the status of primary diagnostic investigation
106 with a positive predictive value (PPV) of 98% and a negative predictive value (NPV) of 96% ⁴.
107 Alternatively, it is clear that the diagnosis of rocuronium hypersensitivity should not solely rest upon a
108 positive result for rocuronium-specific IgE (sIgE) nor sIgE to morphine ⁵. These sIgE tests show too low
109 specificity ^{4,5}, mainly because of nonspecific binding to the solid phase as observed with elevated total
110 IgE titres ⁶.

111 At present 10 studies have investigated the diagnostic performances of basophil activation tests (BAT)
112 in NMBA hypersensitivity ³. Compared to skin testing traditional BAT attains a sensitivity between 36-
113 92% and specificity between 81-100%. For rocuronium, the BAT reaches an excellent PPV of 97% and
114 an NPV of 75% ⁴. However, to our knowledge, there are currently no data on the added value of BAT
115 in patients displaying equivocal or negative skin tests.

116 Here we sought to investigate whether BAT rocuronium could benefit diagnosis and identify patients
117 in whom diagnosis would have been overlooked because of negative skin test investigation.
118 Furthermore, we hypothesize on the potential of BAT and basophil coincubation experiments to
119 further clarify on the proposal to reclassify NMBA hypersensitivity as an IgE/FcεRI-independent
120 reaction resulting from off-target occupation of the nonimmune receptor MRGPRX2 ⁷.

121 **Materials and methods**

122 *Study population*

123 We evaluated a total of 140 patients who were referred to our outpatients' clinic for diagnostic
124 evaluation after experiencing a POH reaction grade 1-4 according to the National Audit Project (NAP6)
125 severity criteria ⁸. All had rocuronium as NMBA and quantification of peak serum tryptase within 90
126 minutes after onset of their reaction. All patients underwent a standardized protocol for all potential
127 offenders of perioperative anaphylaxis ⁹. With respect to rocuronium, all patients underwent skin
128 testing, BAT and quantification of sIgE to rocuronium but also sIgE morphine ⁴, the latter being a marker
129 for sensitization to tertiary and quaternary substituted ammonium structures ^{6,10,11}.

130 *Confirmatory testing for rocuronium*

131 All individuals had skin tests with the aminosteroid (rocuronium, Esmeron[®], Merck Sharp and Dohme,
132 Brussels, Belgium), negative control (saline buffer), and a positive control (10 mg/mL histamine; HAL

133 Allergy Benelux NV, Haarlem, the Netherlands). Skin tests included skin prick tests (SPT) and, if
134 negative, intradermal tests (IDT). Maximal test concentration was 10 mg/mL (undiluted) for SPT and
135 0.05 mg/mL (dilution 1/200) for IDT¹². For the SPT and the IDT, rocuronium was diluted immediately
136 before use. SPT with a wheal ≥ 3 mm with surrounding erythema after 15 min were considered
137 positive. For IDT, injection of 0.05 mL was performed and reactions were read after 20-30 min. IDT
138 responses with a wheal and flare ≥ 8 mm (or doubling of injection bleb) were considered positive.
139 The BAT for rocuronium is described in detail elsewhere¹³. Results were expressed as the net
140 percentage of CD63+ basophils and threshold of positivity was set at 4%¹³.
141 Specific IgE to rocuronium and morphine was quantified by ImmunoCAP system (Phadia Thermo
142 Fisher, Uppsala, Sweden) according to the manufacturer's instructions. For rocuronium decision
143 threshold was set at 0.13 kU_A/L and for morphine at 0.35 kU_A/L⁶.
144 To elucidate on the clinical relevance of sIgE rocuronium antibodies, in a separate set of experiments,
145 we compared the effect of basophilic coinubation with morphine and anti-IgE (positive control) as
146 well as morphine and rocuronium between 6 patients with positive sIgE results and 3 patients with
147 negative sIgE results to rocuronium and/or morphine.
148 Mast cell activation (MCA) was defined as a peak value exceeding 1.2xbaseline tryptase + 2, as recently
149 validated in POH¹⁴.

150

151 **Results**

152 Figure 1 displays the different patients' groups according to the presence or absence of MCA and
153 outcomes of skin testing and BAT. In total, 140 rocuronium-exposed patients who experienced an POH
154 reaction grade 1 - 4 underwent a standardized diagnostic protocol for all potential culprits. All
155 diagnostics were performed between 7 and 3672 days after the index reaction. One hundred and six
156 cases had displayed MCA (MCA⁺), whereas 34 did not (MCA⁻).

157 From the 106 MCA⁺ patients, 57 had a positive skin test to rocuronium (MCA⁺ROC^{ST+}), 49 had a negative
158 skin test for rocuronium (MCA⁺ROC^{ST-}). From the 57 MCA⁺ROC^{ST+} patients 30 displayed a positive BAT
159 for rocuronium (52.6%, MCA⁺ROC^{ST+BAT+}), 19 a negative BAT rocuronium (MCA⁺ROC^{ST+BAT-}) and 8 were
160 non-responders to stimulation with both the positive control anti-IgE and the drug (MCA⁺ROC^{ST+BATNR}).
161 From the 49 MCA⁺ROC^{ST-} patients, 8 had a positive BAT for rocuronium (MCA⁺ROC^{ST-BAT+}). The details of
162 these 8 MCA⁺ROC^{ST-BAT+} patients are summarized in table 1. Thirty MCA⁺ROC^{ST-} patients had a negative
163 BAT (MCA⁺ROC^{ST-BAT-}), and 11 were non-responsive to positive control stimulation (MCA⁺ROC^{ST-BATNR}).
164 In the 8 MCA⁺ROC^{ST-BAT+} patients no other cause was identifiable, suggesting a causative role for
165 rocuronium. In contrast, in all of the 11 non-responders and 19 of the 30 MCA⁺ROC^{ST-BAT-} patients
166 another culprit was identified, mainly the β -lactam antibiotic cefazolin and chlorhexidine. Only 2 out

167 of the 11 MCA⁺ROC^{ST-BAT-} who had no clear diagnosis established demonstrated sensitization to
168 substituted tertiary and quaternary ammonium structures, as indicated by a sIgE to morphine of 7.39
169 kU_A/L and 0.47 kU_A/L. In these patients total IgE was 304 kU/L and 21 kU/L, respectively.

170 From the 34 patients without MCA (MCA⁻), 7 had a positive skin test for rocuronium (MCA⁻ROC^{ST+}) of
171 which only 1 (14.3%) had a positive BAT (MCA⁻ROC^{ST+BAT+}) and 3 demonstrated a positive sIgE to
172 morphine. The remainder 6 MCA⁻ROC^{ST+}, all responsive to positive control stimulation, had a negative
173 BAT rocuronium (MCA⁻ROC^{ST+BAT-}). All 27 MCA⁻ROC^{ST-} patients also had a negative BAT for rocuronium
174 (MCA⁻ROC^{ST-BAT-}). In 20 out of these 27 MCA⁻ROC^{ST-BAT-} patients no cause was delineable. Two of these
175 20 MCA⁻ROC^{ST-BAT-} patients without demonstrable cause demonstrated sensitization to substituted
176 tertiary and quaternary ammonium structures, as indicated by a sIgE to morphine of 0.87 kU_A/L and
177 0.61 kU_A/L. In these patients total IgE was 50 kU/L and 193 kU/L, respectively. Figure 1 also displays
178 the number of positive sIgE results in the different patients' groups.

179 Overall, 72/140 patients (51.4%) were diagnosed as hypersensitive to rocuronium of whom 8 (11.1%)
180 had negative skin tests and their diagnosis documented by the BAT (see table for individual results).
181 Alternatively, a total of 25 ROC^{ST+} patients with responding basophils displayed a negative BAT, with
182 15 (60%) demonstrating a positive sIgE to morphine (12 MCA⁺, 3 MCA⁻).

183 Table 2 and figure 2 summarize the demographics, biological findings and the results of the basophil
184 coincubation experiments. Coincubation of morphine and rocuronium induced a significant dose-
185 dependent inhibition of basophilic activation with rocuronium that was restricted to 4 of the 6 patients
186 with IgE reactivity to rocuronium and/or morphine. No effect was demonstrable in the patients with
187 negative sIgE results. No effect of morphine was demonstrable on the BAT with anti-IgE.

188

189

190 **Discussion**

191 To our knowledge this is the first study to endorse the complementary value of the BAT in the diagnosis
192 of rocuronium hypersensitivity. From our results it emerges that, although hypersensitivity to
193 rocuronium is more prevalent in the MCA⁺ group, it is certainly not restricted to patients who
194 demonstrate MCA. As a consequence, diagnostic work-up with confirmatory testing should not be
195 restricted to the MCA⁺ group but also involve the MCA⁻ group. In a majority of our patients diagnosis
196 of rocuronium hypersensitivity is established by skin testing, confirming this diagnostic procedure
197 merits the status of primary diagnostic approach ³. However, 11% of our patients demonstrate
198 negative skin test responses and have their diagnosis of rocuronium hypersensitivity finally established
199 by BAT (and most also by sIgE). Although, for obvious reasons, it is impossible to perform full-dose
200 challenges with this NMBA, we are confident the positive BAT results in our skin test negative patients
201 are clinically significant and indicate a genuine sIgE/FcεRI-dependent rocuronium hypersensitivity. As
202 a matter of fact, as already exemplified in the introductory paragraph, the BAT rocuronium has an
203 excellent PPV ⁴ and has been integrated in the diagnostic approach of rocuronium hypersensitivity in
204 patients who display negative or equivocal skin test results ³.

205 Alternatively, it is confirmed that BAT can be negative in ROC^{ST+} patients. Although it is likely negative
206 BAT outcomes mainly to result from a non-responder status of the cells or a lower test sensitivity of
207 BAT, it is not excluded that the ROC^{ST+BAT-} status of some patients might have an alternative
208 explanation. Actually, in ROC^{ST+} patients negative BAT results might relate to the existence of an
209 alternative pathomechanism of rocuronium hypersensitivity that is independent from IgE/FcεRI cross-
210 linking ⁷. In 2015 McNeil *et al* ¹⁵, demonstrated that engagement of the Mas-related G-protein receptor
211 MRGPRX2 might be implicated in mast cell (MC)-driven immediate drug hypersensitivity reactions
212 (IDHR) that are phenotypically indistinguishable from IgE/FcεRI-dependent MC degranulation.
213 Potential MRGPRX2 agonists identified in this study are the bradykinin receptor 2 antagonist icatibant,
214 NMBA (atracurium, rocuronium), and several fluoroquinolones (ciprofloxacin, levofloxacin,
215 moxifloxacin, ofloxacin). Recently similar observations were made for opioid compounds (including
216 morphine, codeine and different major metabolites) ¹⁶, vancomycin ¹⁷ and many other antimicrobials
217 ¹⁸. The quintessence of these studies is clear, *i.e.* off-target occupancy of the MRGPRX2 receptor is
218 increasingly recognized as a novel nonimmune endotype of MC-driven IDHR. Moreover, the cellular
219 distribution of the MRGPRX2 might explain the discrepancies between positive skin test responses and
220 negative outcomes of BAT in our patients. As a matter of fact, as basophils, unlike cutaneous MC_{TC},
221 barely express the MRGPRX2 on their surface ¹⁹, and because basophils do not respond non-specifically
222 to rocuronium in individuals uneventfully exposed to this NMBA ^{4,5,13}, one could, like for opiates ²⁰ and
223 fluoroquinolones ²¹, speculate basophil activation experiments not only to constitute a diagnostic to

224 document genuine IgE/FcεRI-mediated rocuronium hypersensitivity but also to be an interesting asset
225 to further explore the putative existence of an MRGPRX2-related endotype. This particular nonimmune
226 endotype, would not only be independent of IgE/FcεRI-cross-linking but also go undetected in steady
227 state conditions of traditional CD63-based BAT. To verify the hypothesis that noncongruent positive
228 skin tests and negative BAT might reflect an IgE/FcεRI-independent endotype we firstly compared sIgE
229 to both rocuronium and morphine (the “marker” for sensitization to tertiary and quaternary
230 ammonium structures) between ROC^{ST+BAT+} and ROC^{ST+BAT-} patients who exhibited MCA during their
231 POH reaction. Secondly, we performed basophil cocubation experiments with the positive control
232 (anti-IgE) and morphine as well as rocuronium and morphine. A dose-dependent inhibition of the BAT
233 rocuronium by morphine would be indicative for clinical relevance of sIgE rocuronium. Although no
234 absolute conclusions can be drawn, the positive results for sIgE in about two-thirds of the patients in
235 both groups, and the observation morphine to significantly inhibit BAT rocuronium in most patients
236 with positive sIgE results call for prudence on the proposal rocuronium mainly to cause IgE/FcεRI-
237 independent hypersensitivity and to reclassify these reactions as nonimmune type A reactions ⁷. Such
238 a reclassification would refute triple positive testing (skin test, BAT, sIgE) as a proof of IgE/FcεRI-
239 dependent hypersensitivity. Moreover, a MRGPRX2-dependent mechanism is also difficult to reconcile
240 with the observation 11% of patients demonstrating negative skin tests but a positive BAT. *Mutatis*
241 *mutandis* we call for restraint on a reclassification of hypersensitivity to other NMBA as nonimmune
242 type A reaction. For example, for atracurium it has also been demonstrated that BAT ²²⁻²⁵ and
243 quantification of sIgE to atracurium ^{10, 26, 27} can benefit diagnosis. Moreover, evidence has accumulated
244 atracurium sIgE antibodies to exhibit other specificities than sIgE antibodies to rocuronium and
245 suxamethonium ^{10, 26-28}. Collectively, we believe NMBA mainly to cause IgE/FcεRI-dependent
246 hypersensitivity but it is tempting to speculate that (some of) these drugs, in some patients, might
247 trigger MC degranulation, by off-target occupancy of the MRGPRX2 receptor. Whether this alternative
248 explanation applies to rocuronium remains elusive. Although significant progress has been made in
249 our knowledge about NMBA-induced MRGPRX2-dependent MC degranulation, our insights for
250 rocuronium remain incomplete and uncertain. Actually, Lansu *et al* ¹⁶, although using a similar calcium
251 imaging technique, could not confirm rocuronium to be a secretagogue agonist for MRGPRX2 in a LAD2
252 human MC line, as proposed by a mouse model used by McNeil *et al* ¹⁵. The explanation for the
253 divergences between mice and human MC has probably to be sought in adaptive changes of the
254 MRGPRX2 gene in human evolution ²⁹, making the human receptor more than a 10-fold less susceptible
255 for rocuronium than its murine orthologue Mrgprb2 ¹⁵. It is of note that in the recent NAP6 survey,
256 only 4 patients who reacted to a benzylisoquinoline NMBA and none of the patients who reacted to
257 rocuronium were classified by the authors as “non-allergic” ³⁰, as all demonstrated positive skin test

258 and/or sIgE results ⁸. Finally, 6 years after pholcodine withdrawal, the Norwegian population has
259 become less IgE-sensitized and clinically more tolerant to NMBA ³¹.

260 In conclusion, it is clear that rocuronium hypersensitivity cannot mainly be attributed to off-target
261 occupancy of the MRGPRX2 receptor and that basophils and sIgE antibodies do still matter. First, the
262 BAT is complementary to skin testing to document rocuronium hypersensitivity. Second, we believe
263 that congruent positive triple testing (skin test, BAT and sIgE) and the quantitative basophil inhibition
264 data, favour rocuronium hypersensitivity mainly to result from genuine IgE/FcεRI-dependent effector
265 cell activation. Alternatively, it should be admitted that noncongruent positive skin test and negative
266 BAT and sIgE data could point to the existence of an alternative mechanistic endotype of rocuronium
267 hypersensitivity independent from IgE/FcεRI cross-linking and eventually to occur as a result of off-
268 target occupancy of the MRGPRX2 receptor. However, additional mechanistic studies in human MC
269 and basophils are required to fill the current knowledge gaps and to enable eventual shifting of the
270 IgE/FcεRI – MRGPRX2 paradigm for this NMBA.

271

272 **Acknowledgments**

273 V.S. is a Senior Clinical Researcher of the Research Foundation Flanders/Fonds
274 Wetenschappelijk Onderzoek (FWO: 1804518N). D.E. is a Senior Clinical Researcher of the
275 Research Foundation Flanders/Fonds Wetenschappelijk Onderzoek (FWO: 1800614N). ALVG
276 is a fellow of the Fonds voor Wetenschappelijk Onderzoek - Vlaanderen (FWO) (1113617N).

277

278 **Figure legends**

279 Figure 1: Flowchart displaying presence or absence of mast cell activation (MCA), skin test, basophil
280 activation and sIgE results.

281

282 Figure 2: Quantitative inhibition experiments expressed as individual normalized percentages of
283 CD203c++CD63+ BAT (n=9).

284 The BAT with the positive control anti-IgE is not affected by coincubation with morphine (A).

285 In contrast, there is a dose-dependent inhibition of BAT rocuronium with morphine (0-400 $\mu\text{mol/L}$) in
286 4 out of the 6 patients with positive sIgE to rocuronium and morphine (full lines). In contrast, there is
287 no inhibition of the BAT rocuronium in 3 patients with negative sIgE to rocuronium and morphine
288 (dashed lines) (B).

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