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**Reference:**

Sels Seppe, Bogaerts Boris, Verspeek Simon, Ribbens Bart, Steenackers Gunther, Penne Rudi, Vanlanduit Steve.- 3D defect detection using weighted principal component thermography  
Optics and lasers in engineering - ISSN 0143-8166 - 128(2020), UNSP 106039  
Full text (Publisher's DOI): <https://doi.org/10.1016/J.OPTLA.SENG.2020.106039>  
To cite this reference: <https://hdl.handle.net/10067/1658570151162165141>

# 3D Defect detection using weighted principal component thermography

Sepe Sels , Boris Bogaerts, Simon Verspeek, Bart Ribbens, Gunther Steenackers, Rudi Penne, Steve Vanlanduit

*Op3Mech, University of Antwerp, Groenenborgerlaan 171 , 2020 Antwerp Belgium*

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## Abstract

Quantitative infrared thermography like active thermography is a non-destructive testing technique that is used to inspect surfaces of components for defects. A problem with infrared-based defect detection is that misclassifications based on geometrically dependent measurement characteristics can occur. This problem becomes more problematic with the inspection of complex 3D shapes. In this paper, a new infrared quality control procedure using a quality map is proposed that models the geometrically dependent measurement characteristics based on available CAD data and CAD matching techniques. Misclassifications are reduced by using this quality map in combination with a modified version of principal component thermography post-processing. We applied our proposed methodology on a prototype bicycle part and a plaster cast angel figurine. In these experiments, the procedure using quality maps is able to prevent false defect detection.

*Keywords:* Thermography, 3D, Matching, Active Thermography, Pulsed Thermography, Principal Component Analysis, Principal Component Thermography, 3D Thermography, Infrared Imaging

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## 1. Introduction

Quantitative infrared thermography such as active thermography is a non-destructive testing technique that is used to rapidly inspect the surface and subsurface of a component for defects. In scientific literature, usually, measurements on simple components (like flat panels) are presented. The use of measurements on complex 3D shapes is more complicated because it

7 is difficult to quantify the defect size, 3D location and corresponding mate-  
8 rial properties using the 2D information represented in the infrared image [1].  
9 However, when the 3D locations of the measured temperatures are obtained,  
10 this size and location of the defects can be measured [2]. Secondly, the 3D  
11 location can be used to visualise and combine several measurements from dif-  
12 ferent viewing angles. Finally, when the direction with respect to the camera  
13 of a measured object is available, the influence of directional emissivity can  
14 be characterised and compared with numerical simulations based on CAD  
15 data [3].

16 In literature, different approaches that map 2D infrared images to 3D  
17 locations exist. Commonly, these methods obtain location information by  
18 using additional 3D range camera's, structured light scanners or laser scan-  
19 ners to map 2D infrared images to a 3D position [4, 5, 6, 7, 8, 9]. Methods  
20 that do not use additional equipment but only a 3D model of the test object  
21 also exist [10, 11, 12, 13]. In this work, an implementation of the method of  
22 Prisacariu et al. [12] is used. In summary, the algorithm calculates the possi-  
23 bility if a pixel contains foreground or background information. After this, a  
24 virtual image of a 3D model is taken and compared with these possibilities.  
25 Next, the pose of the virtual camera will be updated so that the fore- and  
26 background regions of the real image and the virtual image align. Although  
27 the alignment accuracy is object and camera dependant, an accuracy of ap-  
28 proximately 5 pixels can be easily achieved [14, 15]. Alternative systems use  
29 accurate position control of a component using traverse systems or robots to  
30 position a detected defect on the component [16] accurately.

31 This work describes a methodology which improves the use of 3D infrared  
32 mapping techniques. The proposed methodology uses quality maps of the  
33 3D mapping and uses image segmentation techniques in combination with  
34 a weighted version of principal component thermography [17, 18] to auto-  
35 matically detect defects and material types on objects. To our knowledge,  
36 a weighted version of principal component thermography (PCT) is not used  
37 in current implementations. In the PCT algorithm, a principal component  
38 analysis (PCA) is used. In this part, we will introduce weights since for PCA  
39 various weighted algorithms exist.

40 This paper focuses on the analysis of measurement data obtained with  
41 3D thermography. This analysis in the form of what we call 'quality maps' is  
42 described in Section 2. To illustrate the procedure in Section 2 a 3D printed  
43 model of the Stanford Bunny (Stanford Computer Graphics Laboratory [19])  
44 is used in example images. The procedure to calculate defects of a surface

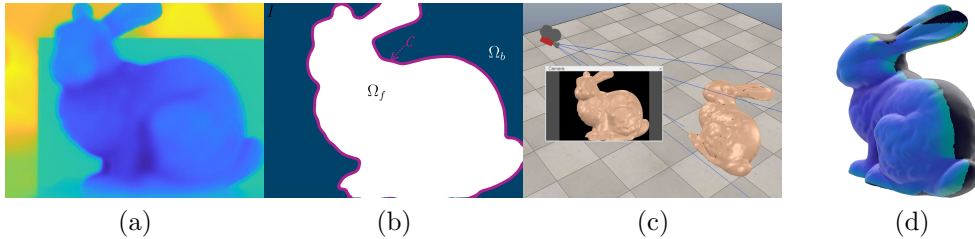


Figure 1: (a) Example infrared image of a Stanford bunny (colours for visualisation) (b) Contour image rendered with the virtual scene (c) Example of a scene with a virtual camera and 3D model of the bunny. (d) Result of the image alignment where the thermal information from (a) are plotted on the 3D model. Black parts of the model are parts where no data is available. Small shadow effects are added to the render for better visualisation.

45 using 3D data is described in Section 3. The experimental setup and experi-  
 46 mental results displayed on a restored plaster angel figurine and a prototype  
 47 of a bicycle frame together with an automatic calculation of the defects are  
 48 given in Sections 4 and 5.

## 49 2. Methodology: quality of mapping

50 Principal component thermography is a technique used in active thermo-  
 51 graphy to analyse the heat response of a test object using principal compo-  
 52 nent analysis (PCA). This analysis highlights differences in material proper-  
 53 ties in the image by constructing temporal modes [17, 20]. Temporal modes  
 54 are images constructed with a corresponding principal component calculated  
 55 from a singular value decomposition of a time series of infrared images. With  
 56 active thermography, this time series is the recording of the heat-up or cool-  
 57 down characteristics of an object. Ideally, when the analysed material is uni-  
 58 form, the first mode highlights the primary material. Next, the second mode  
 59 will highlight the most significant defects or a secondary material. Work  
 60 of Marinetti et al. [17] shows that 95% of the main differences in material  
 61 properties on flat surfaces are detectable in the first 3 to 5 modes.

62 In reality, this analysis is distorted by multiple environmental factors:

- 63 factor 1 The image contains background information. This information is  
 64 not part of the test object but is used in the principal component  
 65 thermography calculations.
- 66 factor 2 The normal of the surface is not perpendicular to the camera sensor  
 67 [16]. This introduces an error in the measured emissivity recorded

68 by the camera. These errors can result in false-positive fault detec-  
69 tions because these areas will correspond with different principal  
70 components in the principal component thermography analysis.  
71 factor 3 The spatial resolution of the thermal camera is too low to detect a  
72 small change in material properties, e.g. a defect is smaller than 1  
73 pixel in the camera image.  
74 factor 4 The distance between the object and the camera increases the noise  
75 in the recordings (emissivity of the atmosphere) and/or can give  
76 problems when focusing the lens. In our setup transmissivity is ne-  
77 glected because it only influences results when objects are located  
78 more than 3 meter [21].

79 This work aims to solve these problems by using the known pose of the  
80 test object and the corresponding 3D model. In the next paragraphs, we will  
81 explain how virtual views of the object are used to segment foreground and  
82 background. Moreover, we will also show how to calculate a quality map of  
83 the measurement that quantifies the described environmental factors. This  
84 quality map  $Q$  is used in the singular value decomposition to compensate for  
85 low-quality measurements. Pixels with  $Q = 1$  are pixels with a high quality  
86 where no error in the measurement is expected. Pixels with  $Q < 1$  are pixels  
87 where measurements errors might occur. Next, the quality-map is used in  
88 combination with a weighted version of principal component thermography  
89 to detect differences in material properties of the surface of an object (Section  
90 3).

91 The quality map  $Q$  is composed of three parameters (see next Sections)  
92 that quantify the mentioned environmental parameters:

- 93 1. Normalised distance  $G_n$  between a pixel  $p$  and the contour of the object  
94  $C$  (factor 1).
- 95 2. Normalised spatial distance  $S_n$ : Each measured point has a correspond-  
96 ing 3D coordinate from the CAD matching procedure. With this cor-  
97 respondence, the distance between measured points (pixels) can be cal-  
98 culated (factor 2 and 3).
- 99 3. Depth  $D_f$  of a measured point (factor 4).

100 Only three parameters describe the four environmental parameters be-  
101 cause the normalised spatial distance describes both factor 2 and factor 3  
102 (see next Section). As a total weight that can be used as a quality measure-  
103 ment  $Q$  we propose:

$$Q = G_n D_f S_n \tag{1}$$

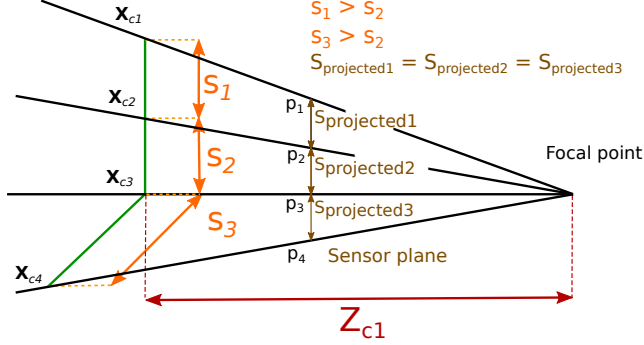


Figure 2: Example of differences in spatial distances  $s_i$  and their constant projections  $s_{\text{projected}}$ .  $X_c$  represents a 3D point of the object detected by the camera sensor.

104 giving values between 0 and 1.

### 105 2.1. Notation

106 An image (Figure 1a) is denoted as  $I$  with the image domain  $\Omega \subset \mathbb{R}^2$ ,  
 107  $\Omega_f$  is the foreground image domain and  $\Omega_b$  is the background image domain.  
 108 An image pixel is denoted as  $p = [u, v]$  with  $I(p)$  a grey scale value (related  
 109 to thermal information in infrared images). A pixel coordinate  $p \in \Omega_f$  has a  
 110 corresponding 3D point in the camera coordinate system  $\mathbf{X}_c = [X_c, Y_c, Z_c]^T \in$   
 111  $\mathbb{R}^3$ . This 3D point is obtained from a CAD matching procedure using a 3D  
 112 model of the object or a calibrated range camera setup (see Section 1).

113  $C$  (Figure 1b) is the projected contour of the 3D model (Figure 1c) in  
 114 the camera image. This contour separates the foreground and background  
 115 domains  $\Omega_f, \Omega_b$ .

### 116 2.2. Quality maps

117 The depth of a pixel is also an important parameter because all cameras  
 118 have a depth-of-field where the camera is in focus. In this work we define  
 119 depth of field  $D_f$  as:

$$D(p_i) = \frac{1}{\sigma\sqrt{2\pi}} e^{-\frac{(Z_c(p_i) - d_{focal})^2}{2\sigma^2}} \quad (2)$$

120 which gives values of the normal distribution with  $Z_c(p_i)$  (see Figure 2) the  
 121 depth of a pixel  $p_i$  from the camera reference frame.  $d_{focal}$  is the distance  
 122 from the camera where an object is in focus and  $\sigma$  the standard deviation  
 123 describing the Gaussian blur occurring when an object is out of focus. An

124 example is given in Figure 3b. In this example and in our experiments we  
 125 assumed  $d_{focal} = \min(Z_c)$  (the closest point to the camera) and  $\sigma = 2$  cm.  
 126 This is done because the camera is manually focused at the front of the  
 127 object and experimentally we found that a standard deviation of  $\sigma = 2$  cm  
 128 resembles the blur in the image.

129 Due to the perspective nature of a camera lens, the spatial distance be-  
 130 tween pixels will be larger near the edges of the image and smaller in the  
 131 center of the image, this is visible in Figure 2. Therefore, we use the spatial  
 132 distance matrix  $S$  as an error measure. The spatial distance  $S$  also encodes  
 133 the perpendicularity of the surface normal to the camera sensor. If a point  
 134 is located far away from its nearest neighbour, this will give a higher value  
 135 of  $S$  and means the point is part of a surface seen under an angle (Figure 2).  
 136 An example of this spatial distance matrix  $S$  is visible in Figure 3a. Each  
 137 element of  $\mathbf{X}_c$  gives a 3D location to a pixel  $p_i$ . This can be calculated by  
 138 projecting the 3D model under a detected pose (see Section 2.1) in a virtual  
 139 camera model. Then the spatial distance  $S$  matrix consists of the set of  
 140 points  $s_i$ .  $s_i$  is for each point the distance to its closest neighbour.

141 An example is given in Figure 3a. The distance between points can be  
 142 used as a direct quality measure (in meter). Note that with this formulation,  
 143 a low spatial distance means points are close to each other, resulting in higher  
 144 quality measurements. The normalised spatial distance matrix  $S_n$  is defined  
 145 as  $S_n = \frac{S}{\max(S)}$ , ensuring values between 0 and 1.

146 For 3D thermal measurements, the distance between a contour and a  
 147 pixel is also significant because when this distance is low, the pixel is most  
 148 probably near the contour, where a small error in image alignment can cause  
 149 a pixel of the background to be rendered on the 3D model. We define this  
 150 distance function  $G$  as:

$$\phi(p_i) = \begin{cases} d(p_i, C) & \forall p \in \Omega_f \\ 0 & \text{otherwise} \end{cases} \quad (3)$$

$$G(p_i) = H_e(\phi) \quad (4)$$

152 Where  $d(p_i, C)$  is the smallest distance (in pixel) between the contour  
 153  $C$  and the corresponding pixel. The use of the smoothed Heaviside function  
 154  $H_e(\phi) = \pi^{-1}(-\arctan(b\phi) + (\pi/2))$  allows to only give large weights to pixels  
 155 near the edges of the contour. In all our experiments  $b$  is set to 3. This value  
 156 is found experimentally, and worked well in all our test cases. **The normalised**

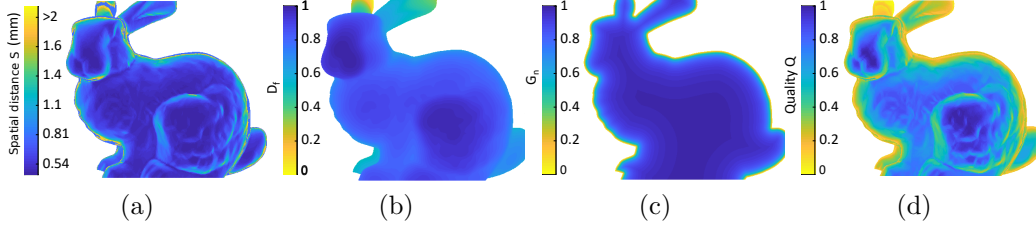


Figure 3: In all images blue refers to higher quality points and yellow to a lower quality. (a) Example of the spatial distance. (b) The normalised distance to the contour. (c) Depth of field quality. (d) Combined quality of mapping.

157 distance  $G_n$  is defined as  $G_n = G$ , since the smoothed Heaviside function  
 158 already ensures values between 0 and 1. An example is given in Figure 3c.

### 159 2.3. Quality of mapping

160 The three quality measurements ( $S_n, D_n, G_n$ ) can be used independently  
 161 if needed. As an example, the normalised spatial distance  $S_n$  can be used  
 162 as an indication of the error on the length of a measured defect. As a total  
 163 weight that can be used as a complete quality map  $Q$  we propose the product  
 164 of the normalised quality measurements (see Equation 1). This product will  
 165 ensure  $Q$  consists of values between 0 and 1.

166 In Figure 3, a pose of the model of the Stanford bunny is used as an  
 167 example where the three quality maps are calculated and combined. This  
 168 figure shows that, as expected, the quality near the edges of the object is low.  
 169 The quality is also low at the transition of neck to head and at the upper side  
 170 of the leg. The highest quality is located in the leg of the model. In this part,  
 171 the camera is focused, the mapping is accurate, the spatial resolution is the  
 172 highest, and the surface is parallel to the camera sensor. Quantifying this  
 173 quality directly from the thermal image without using additional information  
 174 of the 3D model and position of the object is not possible.

175 The quality map can also be used as a weighting factor to combine over-  
 176 lapping measurements on a 3D model. This is useful in cases where multiple  
 177 images are taken to compose a complete thermal image of an object. Com-  
 178 posing images can, for example, be used in engineering cases to visualise the  
 179 energy efficiency of buildings [22].



180 **3. Methodology: defect detection**

181 When executing active thermography measurements, the test sample is  
182 heated for a defined time period. In our experimental setup (see Section  
183 4) the heat response (cool-down) is recorded. From this entire image time-  
184 sequence, a principal component analysis (PCA) is performed. The standard  
185 algorithm used in thermography (PCT: principal component thermography)  
186 is available in the work of Marinetti et al. [17]. Differences with our proposed  
187 procedure are summarised in Algorithm 1. In the first step of the PCT algo-  
188 rithm, the image sequence (Data) is converted to a 2D matrix (WarpedData).  
189 In a standard PCT analysis, background pixels are not removed from this ma-  
190 trix. With our methodology, this is possible. Next, the matrix is normalised  
191 (NormData), and a singular value decomposition is performed. In the pro-  
192 posed algorithm, this singular value decomposition uses weights  $Q$ . Next, the  
193 TemporalModes are calculated, and the dimensions of this matrix are warped  
194 so that the first two dimensions contain image data, and the third includes  
195 the mode.

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**Algorithm 1** Weighted Principal Component Thermography

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**Input:** Heat response: Time sequence of images ;  $Q$

**Output:** Thermal Modes

- 1: WarpedData = Warpdata(Data)   ▷ Make 2D matrix from 3D matrix  
(time in second dimension)
  - 2: WarpedData = RemoveBackgroundPixels(WarpedData)   ▷ Not done in  
standard PCT
  - 3: NormData = (WarpedData-mean(WarpedData)) / std(WarpedData)
  - 4: Calculate USV = BIRSVD(NormData, $Q$ )   ▷ No weights in standard  
PCT
  - 5: TemporalModes = U S
  - 6: TemporalModes= DeWarpData(TemporalModes)   ▷ dim 1-2=image  
data ; dim 3=mode
  - 7: Analyse TemporalModes
- 

196 In this work, the standard SVD algorithm is replaced by a Bi-Iterative  
197 Regularized Singular Value Decomposition (BIRSVD) developed by Dasa et  
198 al. [23]. This implementation of the SVD algorithm additionally allows the  
199 use of weights in the calculations to compensate for low quality or missing  
200 data. Other algorithms and implementations exist and are described in litera-

201 ture[24, 25, 26, 27]. In this work the BIRSVD algorithm is used because of its  
 202 relatively easy implementation reported performance. We also removed the  
 203 background ( $Q = 0$ ) from the input data, to eliminate the background values  
 204 from the normalisation step and SVD calculation. The altered algorithm is  
 205 summarised in Algorithm 1. The removal of the background ensures that the  
 206 primary material of a test object is highlighted in the first temporal mode  
 207 calculated by the algorithm. The use of the quality map ensures that the  
 208 second mode corresponds with the secondary material or most substantial  
 209 defects. This is not true in the original algorithm because edges and other  
 210 factors (see Section 2) can distort the results and spread these properties  
 211 over multiple modes [17]. Examples can be found in Section 5.

#### 212 4. Experimental setup

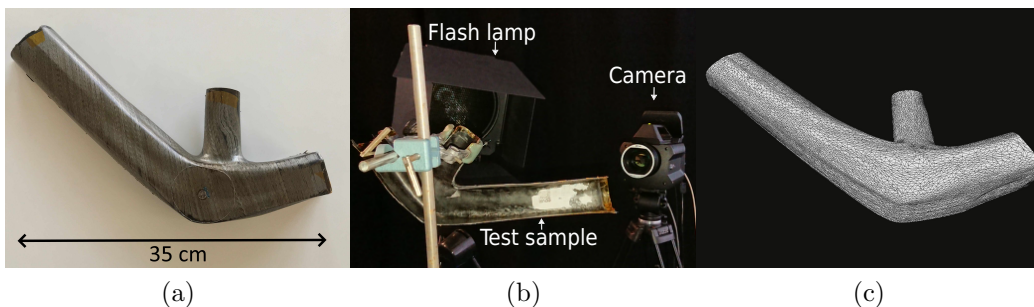


Figure 4: Experimental setup. (a) Image of bicycle test sample. (b) Clamped bicycle part with camera FLIR X6540sc and flash light.(c) Used 3D model (3D scanned mesh file)

213 In this work, active (pulsed) thermography is used in the validation of  
 214 the procedure proposed in Section 2. As a first test sample, a plaster cast  
 215 figurine of an angel is analysed. The figurine is heated with a halogen lamp  
 216 (500 W) for 60 s. Next, the heat response (cool-down) is recorded (2000  
 217 frames, 50 fps) using a cooled infrared camera (FLIR X6540sc). In a second  
 218 experiment, a prototype part of a bicycle (Figure 4a) with its corresponding  
 219 CAD file (Figure 4c) is used. In this experiment, a short heat pulse (1 ms)  
 220 of 6 kJ is induced in the test sample using a Xenon flash lamp (Figure 4b). Next,  
 221 the heat response of the sample is recorded with 2000 frames, at 50 fps with  
 222 the cooled infrared camera. As a post-processing technique, the standard  
 223 PCT analysis [17, 18] is compared with the proposed version using weights.

224 To be able to neglect reflections of surroundings, it is made sure that the  
 225 surrounding objects of the experimental setup are at room temperature. As  
 226 a CAD matching procedure, a pixel-wise posterior segmentation technique  
 227 in combination with 3D pose optimisation (PWP3D) [10, 12, 28] is used for  
 228 mapping 2D thermographic images on 3D models.

## 229 5. Experimental results

230 The detection methodology is tested on a cast figurine of an angel and a  
 231 prototype bicycle part. The measurements of the bicycle part show that the  
 232 quality map can be used to avoid to detect false defects.

### 233 5.1. Cast figurine

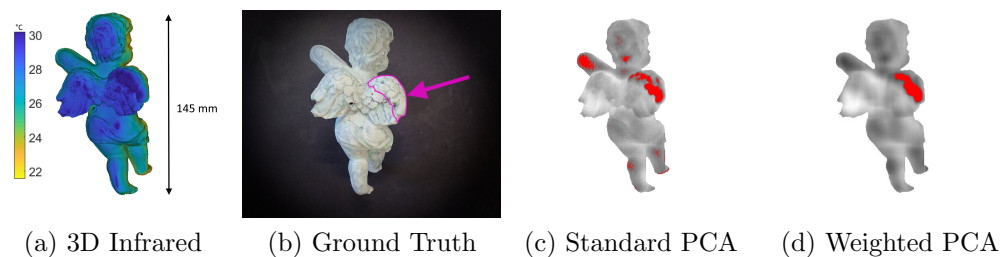


Figure 5: (a) 3D infrared image (one image of the recording sequence) (b) RGB image with restored wing section (polymer modelling paste) in pink (c) Automatic determined defects/difference in material (red) with a standard PCA analysis (with the background removed). (d) Automatic determined defects/difference in material with the proposed weighted PCA. This weighted method does not include the false positives of the standard PCA method.

234 The proposed method is tested on a restored cast figurine of an angel.  
 235 Initially, the figurine right wing was missing and is sculptured with polymer  
 236 modelling clay. Next, the angel was repainted with acrylic paint to hide the  
 237 restoration. The ground truth polymer clay restoration is highlighted in pink  
 238 on the image in Figure 5b. The 3D shape is obtained by scanning the figuring  
 239 with a structured light scanner (Faro Scan-In-A-Box).

240 The heat response of the figurine is recorded, and next the 3D pose is  
 241 calculated. A mapped heat image on the 3D model is visible in Figure  
 242 5a. Afterwards, the quality map is calculated, and the heat response is  
 243 analysed with the PCT analysis (with background removed) (Figure 5c) and  
 244 the proposed PCT analysis using weights (Figure 5d).

245 The red parts are parts that correspond with a high chance of difference  
 246 in material properties (highest 1 % values in the second temporal mode).  
 247 In the standard PCT analysis (Figure 5c) parts of the head, arm, legs and foot  
 248 are highlighted incorrectly. The standard analysis also highlights surface  
 249 edges. In this case, this is undesirable because these edges do not correspond  
 250 with a change in material properties. In the image showing the weighted  
 251 analysis using the quality map (Figure 5d) these edges are not highlighted.  
 252 In contrast to the standard method, the proposed analysis highlights the  
 253 wing correctly without false positives. The restored section has an area of  
 254 4705 pixels that is highlighted in the infrared image. The standard method  
 255 highlights 3814 pixels, from which 2341 pixels are correctly highlighted (50  
 256 % of the restored area). The proposed method only highlights 2015 pixels  
 257 of the true 4705 pixels (42 %), which is less than the standard method but  
 258 has no false positives. The true positive pixel area is calculated by manually  
 259 selecting the restored area in the 3D scan of the figurine.

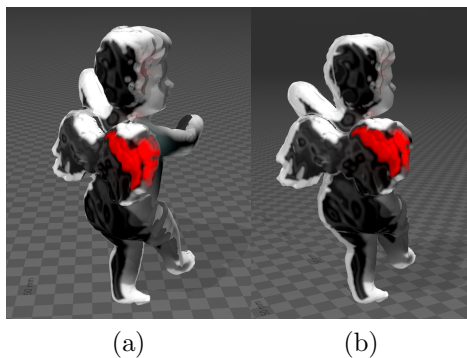


Figure 6: 3D mapping of multiple (three) measurements. The red area corresponds with the detected restored areas using the same procedure as described on one image. White areas are areas that are not measured.

260 Figure 6 shows the mapping of multiple (three) measurements on the 3D  
 261 model. The camera is positioned in three different positions and from each  
 262 location, the weighted PCT method is used to calculate material differences.  
 263 These differences are highlighted in red in the figure. In this case, weights of  
 264 different measurements are not combined only the detected area from each  
 265 analysis is highlighted. A combination of weights of different measurements  
 266 can be investigated in future research.

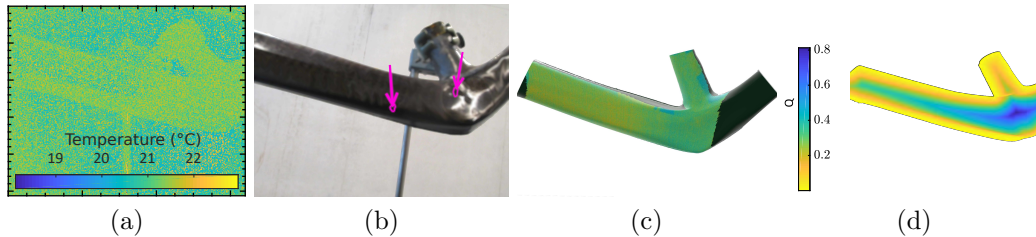


Figure 7: (a) Reference image used in image alignment (b) defect locations (pink) (c) Aligned image mapped on 3D model (same temperature scale as (a), black parts have no data) (d) quality map of measurement

268 Figure 7a shows an infrared image of a prototype bicycle part. At two  
 269 locations, the object is hit with an impact hammer (12 J). These locations  
 270 are indicated in Figure 7b. The CAD mapping result is visible in Figure 7c  
 271 and the quality map in Figure 7d.

272 From the complete heat response, the standard principal thermography  
 273 analysis is performed. The third temporal mode is shown in Figure 8a. Figure  
 274 8b shows the segmented defects. Green areas are false positives detected with  
 275 this method. These areas are also areas where the quality  $Q$  is below 0.5.  
 276 Note that an additional image-open filter (image erosion followed by image  
 277 dilation) is used to only highlight regions larger than 2 pixels.

278 In Figure 8c, the second temporal mode calculated with the weighted  
 279 method is shown. Figure 8d shows the segmented defects in red. These  
 280 defects correspond to the impacted locations.

## 281 6. Conclusion

282 Information on the alignment of an infrared image with a CAD file can be  
 283 used to predict measurement artefacts in the form of a quality map. These  
 284 predictions, together with a weighted version of the principal component  
 285 thermography analysis, can be used to detect defects. In future research,  
 286 the calculated position of the camera can also be used to cope with the  
 287 directional behaviour of the emissivity when calculating temperatures out  
 288 of the measured data. The use of the proposed methodology decreases the  
 289 chance of false defects. The calculated quality map also gives the user a  
 290 way to quantify possible measurement errors and optimise the setup by re-  
 291 positioning the object and compare or combine results.

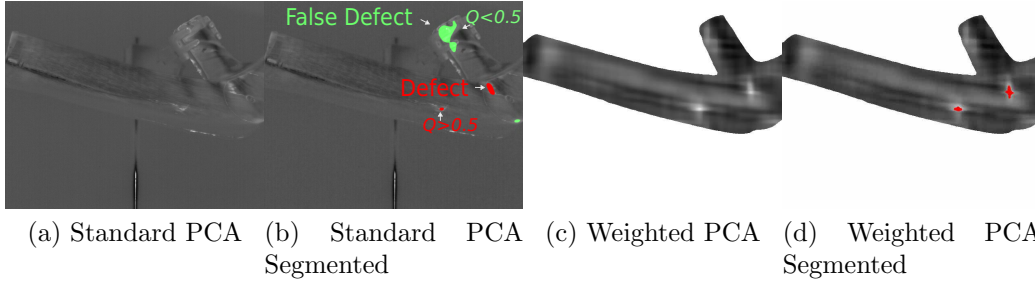


Figure 8: (a) Temporal mode composed with standard PCA analysis. (b) Segmented defects where green denotes false defects and red positive defects (c) Temporal mode composed with weighted PCA analysis (d) Segmented defects in red

## 292 Acknowledgements

293 Special thanks to Jeroen Peeters to assist the first measurements on the  
 294 Stanford bunny and bicycle frame.

295 Special thanks to REIN4CED (<https://rein4ced.com>) for providing the  
 296 prototype bicycle component.

## 297 Funding

298 Research funded by:

299 Industrial Research Fund of the University of Antwerp (PS32388) "3D  
 300 imaging assisted vibration measurements for product testing and quality control"  
 301

302 Industrial Research Fund of the University of Antwerp (PSID-34924)  
 303 "Fast Broadband Lock-In Thermography for Fragile Structures Using System  
 304 Identification"

305 TETRA fund of the Flanders Innovation & Entrepreneurship Agency  
 306 (VLAIO) (HBC 0032 2017) "Smart Integration of Numerical modelling with  
 307 Thermal inspection, Sint"

308 Research Foundation-Flanders under grant Doctoral (PhD) grant strategic  
 309 basic research (SB) 1S26216N (Boris Bogaerts) and 1SC0819N (Simon  
 310 Verspeek).

## 311 Disclosures

312 "The authors declare that there are no conflicts of interest related to this  
 313 article."

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