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The role of mucins in gastrointestinal barrier function during health and disease

Reference:

Breugelmans Tom, Oosterlinck Baptiste, Arras Wout, Ceuleers Hannah, de Man Joris, Hold Georgina L, De Winter Benedicte, Smet Annemieke.- The role of mucins in gastrointestinal barrier function during health and disease Lancet gastroenterology & hepatology - ISSN 2468-1253 - 7:5(2022), p. 455-471 Full text (Publisher's DOI): https://doi.org/10.1016/S2468-1253(21)00431-3 To cite this reference: https://hdl.handle.net/10067/1883210151162165141

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23 **Summary**

24 Mucins are the gatekeepers of the mucus barrier and are aberrantly expressed in various 25 gastrointestinal pathologies, including pathogen infection, inflammation and uncontrolled growth 26 and spread of abnormal cells. Although several studies have emphasized their role in gastrointestinal 27 mucosal barrier dysfunction, they are often still considered as passive mediators of the mucosal 28 barrier instead of regulators/modulators. In this review, we will cover the crosstalk between mucins 29 and gastrointestinal barrier function during health and disease by focusing on the one hand on the 30 bidirectional interaction between mucins and the gut microbiota and on the other hand on the 31 molecular mechanisms involved in key cell signalling pathways such as inflammation, cell-cell 32 interactions, cell differentiation, proliferation and survival. Additionally, we also highlight the 33 potential use of mucins in the diagnosis, follow-up and treatment of gastrointestinal diseases such as 34 chronic inflammatory diseases and cancer.

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48 **Search strategy and selection criteria**

49 An extensive literature search was performed on PubMed with multiple combinations of the 50 following search terms present in the title and/or abstract: "MUC*" AND "stomach", "colon", 51 "intestinal", "gastrointestinal", "gastrointestinal tract", "IBD", "Crohn", "ulcerative colitis", 52 "colorectal", "dysplasia", "cancer", "adenocarcinoma", "inflammation", "barrier integrity", "barrier 53 dysfunction", "microbiome", "microbiota", "cell-cell adhesion", "cell interaction", "cell junctions", 54 "cell differentiation", "cell polarity", "cell death", "cell survival", "cell proliferation". Results were 55 limited to research/review articles published from the year 1996. The following inclusion criteria 56 were used: (1) studies in English and (2) only original research papers or meta-analyses. The final 57 references were selected based on originality and relevance to the scope of this review. To limit the 58 final number of references included in the manuscript, we referenced previously published review 59 papers that had already summarized the findings of several included research papers whenever 60 possible.

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73 **1. Introduction**

74 The general architecture of the gastrointestinal (GI) tract features a thick mucus barrier, an 75 epithelium and the inner lamina propria hosting innate and adaptive immune cells (Appendix 1). The 76 mucus barrier separates the external luminal content from underlying host tissues and plays a pivotal 77 role in the communication between the complex and dynamic gut microbiota and the mucosal 78 immune system (Appendix 1).¹

79 Mucins (MUC) are the gatekeepers of the mucus barrier and are characterized by domains rich in 80 proline, threonine and serine that are heavily glycosylated (i.e. PTS domains).² They are functionally 81 and structurally heterogeneous and are transcribed from large genes containing multiple exons 82 which encode for various functionally unique domains, i.e. sperm protein enterokinase agrin (SEA), 83 epidermal growth factor-like (EGF), Nidogen-like (NIDO), the von-Willebrand factor-like (vWF)C/D, 84 immunoglobulin (Ig)-like, adhesion-associated domain in MUC4 and other proteins (AMOP), glycosyl 85 hydrolases family 18 (GH18), and the cytoplasmic tail (CT) (Figure 1).² Mucins are expressed either as 86 secretory or transmembrane glycoproteins. The secreted gel-forming mucins (MUC2, MUC5AC, 87 MUC5B, MUC6; Figure 1) form the major constituents of the mucus layer and are produced by 88 specialized mucus cells or goblet cells that are found throughout the GI epithelium. This review will 89 focus on the gastric and colonic mucosa (Appendix 2) as the secreted mucus from both the stomach 90 and the colon consists of two layers, an inner adherent layer that is sterile and difficult to dislodge 91 and an outer loose layer that is home to microbes and able to interact with secreted mucins 92 (Appendix 2).¹ Underneath the mucus layer, GI epithelial cells, particularly those that do not produce 93 mucus, present a dense forest of highly diverse transmembrane mucins (MUC1, MUC4, MUC12, 94 MUC13, MUC15, MUC17, MUC20, MUC21; Figure 1), which form the glycocalyx (Appendix 1).³ 95 Besides preventing infection by microorganisms that live in the gut, transmembrane mucins possess 96 several EGF domains on their extracellular (C-terminal) tail and numerous phosphorylation sites on 97 their intracellular (N-terminal) domain enabling them to participate in the intracellular signal 98 transduction and to play an important role in the homeostasis of mucosal epithelial cells (Figure 1 & 99 Appendix 1).²

100 The GI epithelium is well organized to allow appropriate functioning and maintenance of the barrier. 101 In particular, individual epithelial cells are mechanically tied to one another by intercellular junctions 102 (i.e. tight junctions, adherens junctions, and desmosomes), which are essential to maintain cell–cell 103 adhesion and for the regulation of paracellular permeability (Appendix 1).⁴ Apart from linking 104 neighbouring cells, tight junctions are also involved in regulating apical-basal cell polarity, which is 105 established by the mutual interaction of three polarity complexes: i.e. the defective partitioning 106 [PAR; PAR3 – PAR6 – aPKC], Crumbs [CRB3 – PALS1 – PATJ], and Scribble [SCRIB – DLG – LGL] 107 complexes (Appendix 1). 4 The GI epithelium represents a defensive barrier against environmental 108 and microbial attacks, carrying out several critical functions including antigen presentation, 109 antimicrobial peptide production, mucin expression and maintaining a tight physical barrier. The 110 latter is achieved via the regulation of well-coordinated processes, including proliferation, migration and differentiation of epithelial/stem cells as well as epithelial cell survival/controlled cell death.²

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113 **2. Mucins as mediators of gastrointestinal barrier dysfunction upon inflammation**

114 Mucins are often considered passive mediators of the mucosal barrier, understating their importance 115 in regulating epithelial barrier function. Aberrant mucin expression has been described in various GI 116 pathologies that involve a dysfunctional gut barrier, such as IBD and colorectal cancer (CRC).² The 117 secreted mucus layer in the colon, which mainly consists of MUC2 and to a lesser extent of MUC5B 118 (Appendix 2), is essential in suppressing an inflammatory response by preventing the penetration of 119 bacteria to the underlying epithelium. This was clearly demonstrated in *Muc2*-deficient mice who 120 develop colitis, as characterized by increased colonic infiltration of lymphocytes and elevated levels 121 of pro-inflammatory cytokines, and adenocarcinoma spontaneously or in the presence of *Salmonella* 122 infection. $3,5$ Expression of MUC5AC, which is rarely secreted in the healthy colon, has been 123 consistently observed to be increased in the inflamed mucosa of IBD patients.⁶ Similarly, depletion of

124 *Muc5AC* in mice also resulted in an aggravation of colitis.⁶ In addition, it is important to note that 125 impaired mucin glycosylation or defects in goblet cell functioning can also aggravate colitis severity 126 as well as promoting the development of colitis-associated cancer.⁷

127 Given the involvement of transmembrane mucins in epithelial cell signalling pathways, they are also 128 excellent candidates to mediate barrier function upon health and disease. Indeed, loss of MUC1 and 129 MUC13 expression has been shown to aggravate acute murine colitis, characterized by an increase in 130 colonic barrier permeability and reduced mucosal healing. During chronic colitis, however, their 131 absence decreased the risk of tumour formation.^{8,9} Reduction of MUC17 expression also resulted in a 132 profound loss of colonic epithelial barrier function, in terms of changes in transepithelial electrical 133 resistance (TEER) and permeability to 10 kDa fluorescein isothiocyanate (FITC)-dextran.¹⁰ On the 134 contrary, depletion of MUC4 improved disease resistance.² Furthermore, growing evidence now 135 shows that inappropriate overexpression of transmembrane mucins can affect barrier integrity by 136 modulating junctional protein function and subsequent signalling pathways affecting cell 137 invasiveness, migration, proliferation, and survival and may thus be responsible for the progression 138 towards diseases, including chronic inflammatory diseases and cancer.²

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140 **3. Mucins and the microbiota – a Bidirectional communication**

141 Mucins are uniquely positioned to interact with the extracellular environment and an imbalance in 142 mucin-microbiota interactions will dictate the onset and course of disease.^{11,12} In healthy conditions, 143 gastrointestinal bacteria are not able to penetrate the mucus layer and reach the transmembrane 144 mucins. Nevertheless, impairment of mucin expression, resulting in a reduction of mucus secretion 145 and thickness, an increase in mucus degradation and penetrability and an altered mucin glycosylation 146 profile, allows commensal and pathogenic bacteria to reach the surface epithelium thereby inducing 147 infection and inflammation as described in many GI diseases.³ Indeed, CRC, IBD and pathogen 148 susceptibility are linked to excessive degradation of secreted mucins by the microbiota and aberrant 149 transmembrane mucin overexpression. $1,3$ In the stomach the interplay between mucins and 150 *Helicobacter pylori*, a major driver of gastric inflammation and cancer, is known to be important for 151 pathogenesis. 13

152 Furthermore, antimicrobial treatment in mice causes a shift in microbial composition leading to an 153 alteration of goblet cell function and subsequently a decreased MUC2 production and thinning of the 154 inner mucus layer.^{3,14-17} Similarly, when comparing conventionally housed mice with germ free (GF) 155 mice, fewer and smaller goblet cells together with an impaired mucus layer due to decreased *Muc2* 156 levels were found in the GI tract of GF mice.¹⁸ All this confirms that the presence of a microbial 157 homeostasis is important for allowing a fully functional mucus layer to develop and mature. In 158 summary, mucins and the microbiota mutually influence each other, an extended overview of known 159 bacterial-mucin interactions is shown in Table 1, highlighting an intimate bidirectional crosstalk at the 160 level of the GI tract. 18

161 Specific bacterial species can bind to mucins through various structures, including mucus binding 162 proteins, outer membrane proteins, adhesins, lectins and appendages such as pili, flagella and 163 fimbriae (Table 1).^{1,22,50} In addition to supplying attachment sites, mucins also serve as important 164 carbon sources for the mucus-associated microorganisms, the so-called mucobiota, favouring their replication (Table 1).11,51 165 *Akkermansia muciniphila, Bacteroides thetaiotaomicron* and 166 *Bifidobacterium bifidum* are three well characterised species who are known to be beneficial for 167 mucosal homeostasis and digest host glycans (Table 1). *B. bifidum* is able to strengthen tight 168 junctions and prevent the disruption of the epithelial barrier, whereas *A. muciniphila* and *B.* 169 *thetaiotaomicron* play an important role in maintaining gut homeostasis by supporting butyrate-170 producing bacteria through degradation of mucins to acetate and by stimulating goblet cell 171 proliferation and mucin gene expression in the GI tract, respectively.³ Additionally, pathogens such as 172 enterohemorrhagic *E. coli*, *Citrobacter rodentium, Salmonella typhimurium, Clostridium difficile* and 173 *rotavirus* have the ability to hijack the beneficial effects of *A. muciniphila* and *B. thetaiotaomicron* to 174 enhance their pathogenicity.^{52–55} Other enteric pathogens such as *Vibrio Cholerae* and *Salmonella* 175 typhimurium are able to directly utilise mucin glycans as a carbon source (Table 1).^{56,57} Specific 176 enzymes required for mucin degradation are the sulfatases, glycoside hydrolyses, and proteases 177 (Table 1). The sulfatases desulfate mucin terminal glycans which are subsequently degraded by 178 glycoside hydrolases and released as mono- and oligosaccharides which can then be used as energy 179 source by the microbiota. 58 When all glycans are digested, the mucin protein core becomes available 180 for degradation by proteases.³ Degradation of host glycans provides the required nutrients for the 181 establishment of the mucobiome but can also be an important mechanism in mucus thinning and 182 decreasing viscosity thus playing a role in GI infections. For example, *A. muciniphila* has been 183 associated with mucus thinning under dysbiotic conditions and dietary fiber deprivation.^{3,59} Besides 184 using glycans as an energy source, bacteria with mucolytic properties also generate short-chain fatty 185 acids (SCFAs) through fermentation. These SCFAs can serve as food source for non-mucolytic bacteria 186 through cross-feeding or can be absorbed by enterocytes to recover part of the energy spent through 187 synthesis and secretion of mucins. Additionally, SCFAs can increase colonic MUC2 expression further 188 confirming the mutualistic relationship between the host mucins and its resident microbiota. $60-62$

189 Both commensal and pathogenic bacteria are able to degrade mucins or use them as attachment 190 sites, promoting their replication and colonisation (Table 1). The host distinguishes commensal and 191 pathogenic bacteria via the presence of pattern recognition receptors (PRRs).⁶³ These PRRs recognise 192 conserved bacterial molecular structures, known as pathogen- or damage-associated molecular 193 patterns (PAMPs and DAMPs), resulting in the activation of specific pathways that lead to 194 inflammation.⁶³ Interestingly, some mucins can modulate the properties of host antimicrobial 195 peptides to maintain homeostasis and avoid pathogen invasion and infection. For example, MUC2 196 has been shown to induce the expression of β-defensin 2, a broad spectrum anti-microbial peptide, 197 in HT-29 CRC cells.⁶⁴ Commensal *E. coli* which are susceptible to β-defensin 2, are then protected by 198 MUC2, highlighting a dual role of this mucin to induce defence mechanisms against pathogens while 199 also shielding commensal microbes.⁶⁴ Furthermore, MUC2 also imprints dendritic cells (DCs) with 200 anti-inflammatory properties contributing to the tolerogenic tone of the gut environment.⁶⁵ Another 201 defence mechanism of MUC2 is the inhibition of pathogenic infection in the gut by reducing 202 colonisation through mucus clearance.⁶⁶ Similarly, MUC16 and MUC17 have also the ability to 203 prevent colonisation of the GI tract by enteroinvasive *E. coli* and *Staphylococcus aureus,* 204 respectively.^{10,67} Nevertheless, there are some situations in which pathogenic bacteria can penetrate 205 the mucus layer by degrading mucins, influencing mucus viscosity or affecting mucin expression, 206 synthesis and/or secretion (Table 1). *Campylobacter jejuni*, for instance, uses MUC2 as an 207 environmental trigger to increase expression of mucin degrading proteins to decrease mucus viscosity.⁶⁸ 208 *H. pylori* can penetrate the mucus layer by increasing the pH which reduces mucus 209 viscosity and by decreasing MUC5AC and MUC1 expression resulting in a disruption of the mucus 210 structure.¹³ In the colon, *Lactobacillus, B. thetaiotaomicron*, *Bifidobacteria* and *Faecalibacterium* 211 *prausnitzii* modulate mucus secretion by influencing goblet cell differentiation.^{21,33} Also probiotic 212 strains, such as *B. breve*, *B. dentium*, *E. coli Nissle, L. casei*, *L. plantarum*, *L. rhamnosus*, and 213 *Streptococcus thermophilus*, have been shown to regulate colonic mucus production in both animal 214 and cell culture models. 33,38,42,69 Additionally, PAMPs, such as lipopolysaccharide (LPS) or 215 peptidoglycan, are known to induce the production of MUC2, MUC5AC, and MUC5B through 216 activation of the Ras-signalling pathway.⁷⁰

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218 **4. Cell signalling pathways mediated by mucins**

219 *4.1.Inflammation*

220 In the connective tissue beneath the epithelium (i.e. the lamina propria), immune cells from both the 221 innate and adaptive immune system reside (Appendix 1). During an inflammatory response, foreign 222 antigens are presented by DCs to $CD4^+T$ cells which produce cytokines to enhance barrier function 223 (e.g. IL-17, IL-22) and promote the recruitment of immune cells to prevent pathogen invasion in a 224 robust and well-coordinated way. Nevertheless, abnormally activated effector CD4+ T cells produce 225 different inflammatory mediators that sustain inflammation leading to chronic epithelial damage and 226 thus promote the development of inflammatory or autoimmune diseases.⁷ In addition, chronic 227 inflammation can induce abnormal cell growth and can also lead to local immunosuppression, 228 mediated by myeloid-derived suppressor cells (MDSCs) and Tregs, thereby promoting 229 tumourigenesis.⁸ Interestingly, transmembrane mucins can modulate the inflammatory 230 microenvironment and cell signalling as further highlighted below.^{2,8,9}

231 *MUC1.* MUC1 has been shown to modulate immune cell populations during colonic 232 inflammation, characterised by the inhibition of an excessive microbiota-driven Th17 immune 233 response, an increase in neutrophils in the colon and in MDSCs in the spleen and loss of $CD8⁺ T$ 234 Iymphocytes in the spleen and lymph nodes during experimental murine colitis.^{2,9} Such MUC1-235 mediated increase in MDSCs and neutrophils can also create a tumour-promoting environment as 236 shown by the higher prevalence of colitis-associated colon cancer in Muc1-overexpressing mice.⁷¹ 237 Since MUC1 has been identified as an IBD susceptibility gene and its expression is upregulated by 238 Th17-produced cytokines, its aberrant expression might dysregulate an important feedback 239 mechanism that is necessary to prevent an excessive and abnormal Th17-response.^{2,7,9} Furthermore, 240 the cytoplasmic tail of MUC1 (MUC1-C) can activate nuclear factor kappa B (NF-κB) and β-catenin/T-241 cell factor (TCF)4 pathways in the colon of mice, via induction and facilitation of the complex 242 formation of TNF receptor-associated factor 6 (TRAF6) and transforming growth factor-β-activated 243 kinase 1 (TAK1), thereby promoting intestinal inflammation and cancer progression (Figure 2).⁹ In the 244 stomach, however, MUC1 blocks IL-8 secretion by suppressing NF-κB p65 activity in human gastric 245 epithelial cells, and reduces oxidative stress, as shown by the sustained increase in 3-nitrotyrosine 246 levels in the gastric epithelium of mice lacking epithelial MUC1 upon acetylsalicylic acid-induced 247 inflammation. $2,72$

248 *MUC4.* MUC4 depletion resulted in a reduced infiltration of proinflammatory immune cells (T 249 cells and macrophages) and a reduced expression of interleukin (IL)-1, tumour necrosis factor (TNF)-α 250 and anti-microbial genes (Lysozyme M, SLP1) in the colon of DSS-induced colitis mice, which was 251 associated with an increased resistance against developing cancer.²

252 *MUC13.* In Muc13-deficient mice, fewer colonic tumours are seen in the azoxymethane 253 (AOM)/dextran sodium sulphate (DSS) CRC mice model with marked reduction in β-catenin/Wnt signalling, an increase in CD103⁺ 254 DCs (which are essential for tumour antigen cross-presentation) and 255 CD8⁺ T lymphocytes and a decrease in CD11b⁺Lys6G^{hi}F4/80⁻ granulocytic-MDSCs.⁸ MUC13 has also 256 the ability to induce IL-8 secretion upon TNF- α stimulation by promoting p65 activity in human CRC 257 cells, further suggesting a pro-inflammatory role for MUC13.² Furthermore, in acutely inflamed or 258 dysplastic regions of the murine colon, the presence of Muc13 has been associated with decreased 259 production of pro-inflammatory cytokines, thus also suggesting an immunosuppressive role.⁸

260 *MUC17*. In human colonic epithelial cells, silencing of MUC17 expression aggravated the 261 proinflammatory response upon *E. coli* infection by increasing inducible nitric oxide synthase (iNOS) 262 and cyclooxygenase (COX)2 protein expression. 10

263 Overall, activation of mucin signalling beneficially modulates the immune response during acute 264 inflammation, but sustained activation during chronic inflammation results in the promotion of 265 tumour development.

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267 *4.2.Cell-cell interactions, cell differentiation, motility and invasion*

268 In the healthy gut, epithelial cells are characterised by a polarized columnar shape, strong cell-cell 269 interactions and limited migratory capacity (Appendix 1). Loss of intestinal epithelial barrier function 270 through a disruption of intercellular junctional proteins, is considered as an important feature of the 271 pathogenesis of IBD and CRC (Appendix 1).² Furthermore, several biochemical changes are induced in 272 epithelial cells known as epithelial-to-mesenchymal transition (EMT) during inflammation and cancer 273 progression. Upon EMT, epithelial cells gain mesenchymal characteristics, resulting in cell 274 morphology alterations, loss of cell adherence and increased cell motility. 9

275 *MUC1.* Aberrant expression of *Muc1* correlated with the altered expression levels of the tight junction protein genes *claudin* (*Cldn)1* and *occludin (Ocln)* in the T-cell transfer colitis mouse model.⁴ 276

277 MUC1 depletion in breast cancer cells also affected expression of *CLDN1*, *OCLN*, *zonula-occludens* 278 (*ZO)-1* and *E-cadherin* (*CDH1)*, further underlining a functional role for MUC1 in regulating junctional 279 protein expression during inflamation and carcinogenesis.⁹ Regarding EMT, MUC1 associates with the 280 SH3 domain containing kinase binding protein 1 (SH3KBP1) and Cbl proto-oncogene (CBL), a protein 281 complex involved in the reorganization of the actin cytoskeleton, cell adhesion, migration, and 282 invasion in human CRC (Figure 3). 2,9 MUC1 was also found to form a complex with MYC Proto-283 Oncogene, BHLH Transcription Factor (MYC), thereby promoting stemness and pluripotency in the 284 murine colon during the progression of colitis to dysplasia and cancer (Figure 3). 9 Another MUC1 285 interaction partner to induce EMT in CRC cells is zinc finger E-box binding homeobox 1 (ZEB1), via 286 phosphorylation of AKT serine/threonine kinase 1 (AKT) (Figure 3).^{9,72} ZEB1 stimulates the expression 287 of vimentin and N-cadherin and suppresses the expression of E-cadherin, resulting in the loss of cell-288 cell adherence and an increased cell motility.⁹ Ligation of MUC1 and ZEB1 also inhibits CRB3 289 expression in breast cancer cells, leading to the activation of the yes-associated protein 1 (YAP), 290 which in turn activates Wnt/β-catenin/TCF4 signalling.⁹ A direct interaction between MUC1-C and β-291 catenin has also been shown at the invasive front of colorectal tumours (Figure 3), which associates 292 with low grade differentiation and worse patient survival.^{2,73} Interestingly, depletion of MUC1 in CRC 293 cells suppresses the nuclear translocation of β-catenin and decreased ZEB1 expression.^{9,73} The erb-b2 294 receptor tyrosine kinases (ERBB) are also key modulators of many cellular characteristics, including 295 cell migration. As such, MUC1 is able to interact with epidermal growth factor receptor (EGFR) in 296 colon cancer cells (Figure 3). 74

297 *MUC4.* In CRC, most patients have decreased colonic MUC4 expression. Nevertheless, high 298 MUC4 expression in early-stage CRC is associated with a worse prognosis. Indeed, aberrant MUC4 299 signalling induces loss of cell-cell contact in different human epithelial cell lines which is probably 300 mediated by the activation of mitogen-activated protein kinase (MAPK)3/ERK1 and MAPK1/ERK2 and 301 the upregulation of Cyclin D1 (CCND1), resulting in the relocalisation of E-cadherin.⁷⁵ Similar to 302 MUC1, loss of MUC4 promotes epithelial differentiation in pancreatic cancer cells by increasing the binding of β-catenin to E-cadherin at the cell membrane which stimulates tight junction expression.⁷³ 303 304 Conversely, β-catenin represses MUC4 expression in CRC cells, probably through the suppression of 305 the transcription factor atonal BHLH transcription factor 1 (HATH1).⁷⁶ In gastric adenocarcinoma, 306 MUC4 overexpression is also observed, yet it does not correlate with tumour differentiation or stage. 307 However, MUC4 promotes the activation of ERBB2, thereby reducing cell-cell aggregation and 308 enhancing the motility of human gastric cancer cells.⁷⁷

309 *MUC13*

310 In the DSS-induced murine colitis model, aberrant expression of *Muc13* correlates with intestinal 311 permeability and the expression of *Cldn1*, *junctional adhesion molecule (Jam)2*, and *tight junction* 312 protein (Tjp)2.⁴ In addition, MUC13 stabilizes β-catenin localisation in the nucleus, resulting in 313 increased levels of Jun proto-oncogene (JUN), CCND1, matrix metallopeptidase (MMP)7, and SMAD family member (SMAD)2/3 in CRC cells (Figure 4).⁸ 314

315 *MUC17*. MUC17 increases ERK phosphorylation, thereby stimulating migration and cell 316 adherence of human CRC cells and promoted healing following experimental colitis.⁷⁸ On the 317 contrary, loss of MUC17 expression in CRC cells was associated with reduced phosphorylation of 318 OCLN and decreased expression of ZO-1.¹⁰

319 Aberrantly expressed MUC1, MUC4 and MUC13 seem to induce EMT signalling pathways in GI 320 epithelial cells upon inflammation and cancer, by interacting with amongst other β-catenin, ZEB1, 321 ERK and ERBB. As a result, cell-cell interactions are disturbed and cell motility and invasion are 322 stimulated, further promoting tumorigenicity. In contrast, MUC17 signalling appears to stimulate 323 cell-cell interactions as well as cell motility, but the evidence is still limited.

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325 *4.3. Cell proliferation, growth and survival*

326 A breach in the GI mucosal barrier further results in disruption of regulated cell death and the vicious 327 cycle of continuous barrier and cell death dysfunction promotes cancer development. Diverse human 328 malignancies, including GI cancers, overexpress transmembrane mucins over the surface of the 329 tumour to exploit their role in signalling cell proliferation, growth, and survival.²

330 *MUC1*. MUC1-C is able to enhance cell growth in CRC cells via phosphorylation of AKT and 331 induction of the kinase S6K1 thereby affecting translation of TP53-induced glycolysis regulatory 332 phosphatase (TIGAR), a major regulator of cellular redox homeostasis (Figure 2).⁹ The regulatory 333 effect of MUC1-C on CRC cell growth can also occur through the β-catenin-mediated upregulation of 334 CCND1 and MYC.⁷³ MUC1 can then bind directly to the MYC promotor or β-catenin resulting in 335 suppression of β-catenin phosphorylation and degradation by glycogen synthase kinase 3β (GSK3β) 336 (Figure 2).⁹ Additionally, MUC1 has also the ability to enhance homo-dimerization and 337 phosphorylation of EGFR for the activation of ERK1/2, a signalling pathway involved in cell 338 proliferation and survival. The interaction between MUC1 and EGFR is then stimulated by galectin-3, a carbohydrate-binding protein elevated in GI cancers (Figure 2).⁷⁴

340 MUC1 also interferes with cell death mechanisms making it an important hurdle for cytotoxic cancer 341 therapies by promoting chemoresistance in GI cancers (Figure 2). In CRC cells, multiple pathways are 342 modulated by MUC1 signalling to confer resistance to DNA damage-induced apoptosis. Firstly, 343 MUC1-C disrupts Cullin 4A (CUL4A) signalling by sequestering ABL proto-oncogene 1 (ABL1) to the 344 cytoplasm and blocking CUL4A-mediated translocation of Cdc6 to the cytoplasm. As a result, MDM2- 345 induced proteasomal degradation of TP53 is suppressed. Simultaneously, homeodomain interacting 346 protein kinase 2 (HIPK2) expression is downregulated by MUC1-C which decreases TP53 347 phosphorylation and activates cyclin dependent kinase inhibitor 1A (CDKN1A), resulting in cell cycle 348 arrest instead of cell death. In addition, MUC1-C also directly interacts with TP53 and co-activates 349 CDKN1A transcription (Figure 2).⁷⁹ Secondly, JNK, activated by MUC1-C, stimulates transcriptional 350 activity of JUN thereby attenuating the activation of apoptotic effectors (Figure 2).⁸⁰ Thirdly, MUC1-C 351 associates with BCL2 associated X (BAX) protein to block its dimerization and prevent leakage of 352 apoptotic factors from the mitochondria (Figure 2).⁸⁰ Fourthly, MUC1 also activates the NF-κB

353 pathway through release of p65 promoting cell survival (Figure 2).² Lastly, MUC1-C has also been shown to induce PI3K-AKT-S6K1 signalling, inducing TIGAR translation (Figure 2).⁹

355 In gastric tumour cells, however, ERBB2-mediated phosphorylated MUC1-C suppresses apoptosis by 356 modulating β-catenin translocation or by associating with heat shock protein (HSP)90 and HSP70 357 leading to an attenuated release of pro-apoptotic factors (Figure 2). $2,9,72,81$

358 Tumours often have a hypoxic environment which can stimulate cells to undergo apoptosis. MUC1 359 signalling can block this hypoxia-mediated cell death in CRC cells by attenuating AKT activity via PI3K, 360 resulting in forkhead box O3 (FOXO3)-induced gene transcription which is involved in oxidant 361 scavenging and DNA damage repair (Figure 3).^{9,80} Furthermore, MUC1 upregulates prolyl hydroxylase 362 domain protein (PHD)3 expression in CRC cells, suppressing the activation of hypoxia-inducible factor 363 (HIF)1A by marking it for proteasomal degradation. MUC1 then reduces the accumulation of ROS by 364 stimulating the expression of antioxidant enzymes, further potentiating PHD3-mediated inhibition of 365 HIF1A. Although HIF1A initially induces invasion and resistance to apoptosis, it will promote 366 apoptosis under severe and/or prolonged hypoxia via TP53 (Figure 3).^{9,80}

367 Finally, MUC1 also has the ability to block anoikis, a process where apoptosis is induced upon loss of 368 cell attachment. More specifically, the extracellular domain of MUC1 suppresses activation of 369 integrin β1 and subsequently prevents cell surface death receptors to bind their ligands through 370 steric hindrance.²

371 *MUC13*. Janus kinase (JAK)2/signal transducer and activator of transcription (STAT)5 induce 372 expression of MUC13 in CRC cells. This in turn modulates various cancer-associated proteins resulting 373 in the activation of the anti-apoptotic protein BCL2 like 1 (BCL2L1) and subsequent blocking of 374 apoptosis (Figure 4).^{2,8} This activation of BCL2L1 via MUC13 can also be mediated by the NF-κB 375 pathway in two distinct ways. Firstly, MUC13 interacts with tumour necrosis factor receptor (TNFR)1 376 and baculoviral IAP repeat containing 2 (cIAP1) to increase activation of inhibitor of NF-κB kinase 377 regulatory subunit gamma (IKBKG) (Figure 4). Secondly, DNA damage induces MUC13 translocation 378 to the cytosol and nucleus, increasing activation of IKBKG which in turn leads to activation of

379 inhibitor of NF-κB kinase (IKK)s and NF-κB (Figure 4).⁸ Similar to MUC1, MUC13 can inhibit GSK3B-380 mediated phosphorylation of β-catenin, promoting its nuclear translocation, activating transcription 381 of genes involved in survival and cell cycle control (i.e. CCND1 and MYC) (Figure 4). 8

382 *MUC17*. MUC17 has the ability to inhibit apoptosis through promotion of ERK1/2 signalling in 383 the colonic epithelium.⁷⁸

384 It is clear that transmembrane mucins possess various mechanisms to affect cell growth and 385 survival in inflammation and cancer. A better understanding of their regulatory role on DNA-damage 386 induced cell death could be of major importance to overcome chemoresistance in GI cancers.

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388 **5. Clinical and therapeutic potential of mucins**

389 It is clear that mucins are aberrantly expressed during gastrointestinal inflammation and cancer 390 progression, resulting in the modulation of the mucobiota and key cellular pathways involved in cell-391 cell communication, cell differentiation, proliferation, growth, and survival. As such, mucins could be 392 interesting targets for the diagnosis, follow-up, and treatment of many diseases.

393 Several studies have already highlighted that targeting mucins by different means could exert 394 beneficial effects on cellular homeostasis and enhance the efficacy of treatment (Table 2). For 395 instance, since loss of MUC13 is associated with elevated levels of CD103⁺ DCs and CD8 T cells at the 396 tumour site, an increased sensitivity of MUC13-deficient colorectal tumours to checkpoint inhibitor 397 anti-PD-L1 antibodies was shown.⁸ Furthermore, coupling MUC1-antibodies with PE38-exotoxins 398 induced tumour cell killing proportional to MUC1 expression in breast and pancreas tumours.⁸² 399 Intraperitoneal injection of GO-203, a MUC1-C inhibitor peptide, has been shown to protect against 400 colitis and the progression to dysplasia and colitis-associated colon cancer in the AOM/DSS mouse 401 model.⁹ This effect could be partially mediated by reversing MUC1-C-induced EMT, as has been 402 shown in CRC cells.^{9,83} Alternatively, the intrarectal administration of recombinant MUC17 protein 403 improved intestinal healing after chemical induction of murine colitis by the intrarectal 404 administration of 5% acetic acid, of which the biological activity was influenced by the amount of 405 cysteine-rich like domains and the presence of a functional SEA domain.^{78,89} Besides directly targeting 406 mucins for therapeutic purposes, probiotic bacteria can also be used to promote the expression of 407 particular mucins and in this way modulate the gastrointestinal mucus barrier and prevent the 408 adherence of potential pathogenic organisms. For instance, the administration of *L. plantarum* and *L.* 409 *rhamnosus* can effectively increase MUC2 and MUC3 expression in intestinal epithelial cells, 410 strengthening the mucosal barrier and decrease pathogen invasion susceptibility. Administration of 411 these *Lactobacilli* has already been proven to inhibit EPEC adhesion and infection of the intestinal 412 epithelium.²⁶ Another strategy to manipulate the microbiota is through faecal microbiota 413 transplantation (FMT). Although FMT is gaining interest for treating *C. difficile* infection and IBD, the mechanisms behind the therapy remain largely unknown.⁸⁴ 414 In a piglet model infected with *E. coli* 415 K88, the number of goblet cells and the expression of MUC2 and tight junction proteins significantly increased after FMT while the abundance of beneficial bacteria such as *Lactobacilli* also increased.⁸⁴ 416 417 FMT could prove to be a viable treatment option to alleviate mucosal injury, increased 418 gastrointestinal permeability and disease-associated dysbiosis.

419 Incorporating mucin antigens in vaccines is also a promising development within tumour 420 immunotherapy (Table 2). The use of several types of MUC1-containing vaccines (e.g. injection of 421 cancer stem cells, DNA vaccines, subunit vaccines and DC vaccines) has been associated with 422 protection against colitis and smaller CRC tumours and longer tumour-free periods. Mechanistically 423 this has been shown to be achieved through enhanced activity of DCs, natural killer cells, cytotoxic T 424 Iymphocytes, and antibody production as well as suppression of MDSCs and Treg cells.^{71,85,86} 425 Remarkably, combinatory therapy (DNA vaccine with oxaliplatin) was shown to suppress tumour 426 growth by almost 75%.⁸⁶ Additionally, the intratumoural injection of MUC1-loaded DCs resulted in 427 the complete disappearance of gastric cancer lesions in an 80-year-old male patient.⁸⁸ Numerous 428 clinical studies have already highlighted the potential and safety of MUC1-based vaccines for the 429 treatment of various cancers by boosting anti-tumour immunity.^{86,87} For instance, a phase 2 study 430 investigating CRC patients with resected lung or liver metastases showed a similar 2-year recurrence 431 free survival after treatment with autologous DCs loaded with a poxvector vaccine encoding 432 carcinoembryonic antigen (CEA) and MUC1 (PANVAC) (47%) or with the PANVAC vaccine in 433 combination with granulocyte-macrophage colony-stimulating factor (GM-CSF) (55%). Interestingly, 434 although there were no significant differences in the 2-year recurrence-free survival between 435 vaccinated patients and a contemporary group of unvaccinated patients, overall survival was 436 significantly improved upon vaccination.⁸⁷ Another MUC1-based vaccine combined with the 437 immunomodulator poly-ICLC was well tolerated and showed a strong immunogenic response in 438 43·6% of patients with a history of premalignant lesions in the colon, highlighting its potential as a 439 strategy for CRC prevention.⁸⁶

440 Finally, mucin mRNA and protein expression signatures could also be used as biomarkers for disease 441 diagnosis and prognosis at early stage and follow-up (Table 2). High expression of MUC1 or MUC13 in 442 tumour tissue is associated with a poor prognosis in oesophageal, gastric and/or CRC.^{8,9,91} High MUC4 443 expression has also been reported to be predictive for poor survival in early stage colorectal tumours.⁸⁹ 444 The detection of *MUC1* expression in blood has proven its role in the prediction of 2-year 445 progression-free survival of CRC patients.⁹² In addition, fluorescently and radiolabelled MUC1 is also 446 used to monitor therapy response by MRI and to visualise colon tumour xenografts by molecular 447 imaging. 98,99

448 Genetic variation such as single nucleotide polymorphisms (SNPs) in mucin genes are frequently a49 reported in GI pathologies and often correlate with clinical outcomes.^{7,89,94,95} Indeed, SNPs in *MUC1*, 450 *MUC4* and *MUC16* are associated with the prognosis, tumour mutation burden, and efficacy of 451 immunotherapy in CRC and/or gastric cancer patients (Table 2).^{93–95,97,100} In addition, CRC patients 452 with a TT to CC tandem substitution of rs886403 in *MUC21* had a worse survival and higher 453 recurrence risk. Carrying the CC genotype of rs4729655 in *MUC17*, on the contrary, increased survival in rectal cancer patients.¹⁰⁰ 454 Genetic polymorphisms in *MUC1*, *MUC3A*, *MUC4*, *MUC13*, *MUC16*, *MUC19*, *MUC21*, and *MUC22* have also been associated with Crohn's disease and ulcerative colitis.⁷ 455

456 The presence of genetic variants in mucin genes can affect their expression pattern resulting in 457 different mRNA isoforms via alternative splicing. While most mRNA isoforms produced from the 458 same mucin gene locus encode similar biological functions, others can alter the protein function.^{7,97} 459 In oesophageal cancer, for instance, different mRNA isoform expression patterns of *MUC1* have been 460 found between normal and tumour tissue. Here, the expression of mRNA isoform MUC1/B was lower 461 in tumour tissue as compared to control tissue and inversely correlated with tumour stage and lymph 462 node metastasis. On the contrary, the concomitant expression of the mRNA isoforms MUC1/C, 463 MUC1/D and MUC1/Z positively associated with cancer progression.⁹⁷ Also, in CRC tissue and 464 adjacent normal tissue, two distinct isoforms of *MUC2* have been identified. A higher positivity for 465 the variant MUC2TR2 was observed as compared to MUC2.1 but their clinical role is still unclear.⁹⁷ 466 Although the findings in the GI tract are still limited, alternative mucin transcripts have been 467 identified in several pathologies outside the GI tract, such as ovarian and pancreatic cancer and dry 468 eye disease 97 , highlighting the role of mucin mRNA isoforms in disease progression and their 469 potential use as biomarkers or novel treat-to-target strategies.

470

471 **6. Concluding remarks**

472 Although mucins are indispensable for GI mucosal barrier homeostasis, they are dual-faceted 473 proteins. They provide an essential nutrient source for the gut microbiota, shaping community 474 composition and function. However, some bacterial members have evolved mechanisms to 475 penetrate the secreted mucus layer and/or to use mucins as docking sites to infect the host and 476 induce an inflammatory response. Furthermore, it has also been shown that transmembrane mucins 477 affect epithelial cell behaviour via ERBB, NF-kB, Wnt/β-catenin, PI3K/AKT, MAPK/ERK, and JAK/STAT 478 signalling, which are major signalling pathways in the context of wound healing and the restoration 479 of GI barrier integrity. However, constitutive activation of these pathways compromises GI barrier 480 function promoting chronic inflammation and tumourigenesis. Since aberrant expression and genetic 481 variants of mucins are frequently observed in GI pathologies, they must be considered as important

482 players in the modulation of these pathways and thus also in the onset and course of these diseases. 483 As such, aberrant mucin expression patterns have proven their worth in the diagnosis and prognosis 484 of GI inflammatory disorders and cancers. Concerning the prevention and treatment of GI diseases, 485 most clinical research so far has successfully focused on the potential of MUC1. Nevertheless, future 486 research should extent to other mucins as well and further improve our understanding of their role in 487 GI epithelial cell signalling since they are promising candidates for the management and treatment of 488 many GI diseases.

489

490 **7. Contributors**

491 AS, BDW, GH, TB and BO contributed to the concept and design of this review. TB and BO performed 492 the literature search and reviewed and selected the papers for inclusion in this review. TB, BO and AS 493 wrote and edited the manuscript. BO, TB and AS created the figures (BO: figures $1 - 4$; TB: Appendix 494 1; AS: Appendix 2). AS, BDW, GH, WA, HC and JDM critically revised the manuscript, the figures, and 495 the tables. All authors approved the final draft.

496

497 **8. Declaration of interests**

498 TB, BDW and AS are inventors on a patent related to mucin isoforms in diseases characterized by 499 barrier dysfunction, including IBD, IBS, gastrointestinal infections and cancer (WO/2021/013479). The 500 other authors declared no conflicting interests.

501

502 **9. Acknowledgements**

503 Figures 2 – 4 and appendices 1 – 2 were created with Biorender.com. The work was supported by the 504 Antwerp University Research Fund (BOF DOCPRO4 n°34782 (TB) and n° 39958 (BO)), the Antwerp 505 University valorisation fund (IOF-SBO: n° 42601 (WA)) and the Research Foundation Flanders (FWO 506 n° 1244421N (HC)).

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757

758 **Figure legends**

759 **Figure 1. Structural overview of secreted and transmembrane mucins.** The structure of the 760 canonical sequence is shown here, but for most mucins, multiple (mRNA/protein) isoforms exist. 761 *MUC20 localises to the cell membrane, yet it does not contain a well-defined transmembrane 762 region.

763 **Figure 2. Known molecular mechanisms of MUC1 mediating GI epithelial cell signalling pathways** 764 **during inflammation and cancer.** MUC1 can induce EMT-features by (in)directly interacting with (1) 765 SH3KBP1 and CBL, (2) MYC, (3) ZEB1, (4) β-catenin and (5) NF-κB. MUC1 mediates cell proliferation 766 and resistance to (genotoxic stress-induced) apoptosis through (in)direct modulation of (1) HSP90 767 and HSP70, (2) ERBB (EGFR), (3) ABL1, (4) HIPK2, (5) TP53, (6) JNK, (7) NF-κB and (8) PI3K-AKT-S6K.

768 **Figure 3***.* **Known molecular mechanisms of MUC1 mediating hypoxia-induced cell death in GI** 769 **cancer.** 1) MUC1 indirectly induces FOXO3a-induced gene transcription which is involved in oxidant 770 scavenging and DNA damage repair, both important mechanisms to survive in hypoxic conditions. 2) 771 MUC1 will prevent apoptotic/necrotic responses under prolonged or severe hypoxic conditions by 772 inhibiting HIF-1α-mediated stabilization of TP53 and the subsequent induction of pro-death proteins.

773 **Figure 4. Known molecular mechanisms of MUC13 mediating GI epithelial signalling pathways** 774 **during inflammation and cancer.** 1) MUC13 promotes the nuclear translocation of β-catenin, 775 thereby promoting EMT and cell survival. 2) MUC13 can inhibit apoptosis through activation of the 776 anti-apoptotic protein BCL2L1 by modulating various cancer-associated proteins or by mediating NF-777 κB signalling via IKBKG.

778 **Appendix 1**. **Schematic representation of the gastrointestinal mucosal barrier.** The gastrointestinal 779 barrier comprises a mucus barrier, a monolayer of epithelial cells and the inner lamina propria 780 hosting immune cells. Secreted and transmembrane mucins represent the major components of the 781 mucus barrier. Gastrointestinal epithelial cells are tightly linked to each other by intercellular 782 junctions: i.e. tight junctions, adherens junctions and desmosomes. The PAR, Crumbs, and Scribble 783 polarity complexes regulate the polarized expression of membrane proteins in the epithelial cells. 784 DC: dendritic cell; NK cell: natural killer cell; AMPs: antimicrobial peptides.

785 **Appendix 2**. The organization of the epithelium and the two-layered mucus system (i.e. an inner, 786 attached sterile mucus and an outer, loose mucus layer) in the stomach and colon. The outer mucus 787 layer of the stomach contains low numbers of bacteria (i.e. $\langle 10^4/\text{ml} \rangle$ whereas the numbers of 788 bacteria are greater in the colonic outer mucus layer (i.e. $10^9 - 10^{12}$ /ml).

Table 1. Overview of known bacterial-mucin interactions in the GI tract.

*It is suggested that up to 40% of the gut microbiota encodes glycohydrolases.⁴

Data was obtained from a cell culture model (), animal model (mouse (), rabbit () or bovine ()), and/or human patients $(\quad \blacksquare \quad)$.

Table 2. Overview of clinical applications involving mucins in the GI pathologies.

DC: dendritic cell; CRC: colorectal cancer

#Data was obtained from a cell culture model (C), animal model (A) or human patients (P).

Appendix 1

Appendix 2

