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# Thermal Activation of Gold Atom Diffusion in Au@Pt Nanorods

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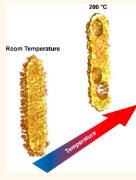
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5 ABSTRACT: Understanding the thermal stability of bimetallic nanoparticles is of vital importance 6 to preserve their functionalities during their use in a variety of applications. In contrast to well-7 studied bimetallic systems such as Au@Ag, heat-induced morphological and compositional changes 8 in Au@Pt nanoparticles are insufficiently understood, even though Au@Pt is an important material 9 for catalysis. To investigate the thermal instability of Au@Pt nanorods at temperatures below their 10 bulk melting point, we combined in situ heating with two- and three-dimensional electron 11 microscopy techniques, including three-dimensional energy-dispersive X-ray spectroscopy. The 12 experimental results were used as input for molecular dynamics simulations, to unravel the 13 mechanisms behind the morphological transformation of Au@Pt core—shell nanorods. We 14 conclude that thermal stability is influenced not only by the degree of coverage of Pt on Au but also 15 by structural details of the Pt shell.



16 KEYWORDS: Au@Pt, bimetallic nanorods, thermal stability, in situ electron tomography, molecular dynamics simulations

olloidal bimetallic nanoparticles (NPs) have attracted considerable interest due to the possibility to 18 synthesize them in a wide variety of shapes and with 20 different chemical compositions and metal distributions, so 21 that their properties can be readily tuned for various plasmonic 22 and catalytic applications. 1-4 A particularly interesting type of 23 bimetallic system comprises Au@Pt NPs, in which the 24 excellent plasmonic properties of Au<sup>5,6</sup> can be combined 25 with the catalytic activity of Pt. This synergy has enabled 26 applications in various fields, for example, as nanozymes, 27 antibacterials, and catalysts for formic acid electro-oxida-28 tion. 10,11 Since most NP properties are directly connected to 29 their three-dimensional (3D) structure, including surface 30 facets 12-14 and composition, 15 control over the shape and  $_{31}$  elemental distribution during synthesis is crucial.  $^{16-25}$  In some 32 of these examples, a certain degree of order was observed, with 33 Pt dendrites forming parallel lines over Au nanoplates. 4 In a 34 recent development, a micelle-directed seeded approach using 35 chiral additives was shown to direct the growth of regular Pt 36 spikes on the surface of Au nanorods (NRs), potentially 37 showing chiral features. 26 Such systems are of great interest as 38 they may eventually expand the applications of Au@Pt NPs 39 into enantioselective catalysis.

Despite the interesting properties of this system, its application has been hindered by postsynthesis sensitivity to environmental conditions, such as annealing<sup>2,5</sup> and gaseous environments,<sup>27</sup> which often result in complex changes of the NP morphology and elemental distribution.<sup>28</sup> As a first step

toward preserving the carefully designed structure and 45 functionalities of bimetallic NPs, we need to understand the 46 mechanisms underlying unwanted modifications.<sup>29,30</sup> Among 47 the limited number of investigations concerning the stability of 48 Au@Pt NRs, transmission electron microscopy (TEM) has 49 been a preferred technique. He et al.<sup>31</sup> studied the stability of 50 Au@Pt NRs by ex situ annealing at 200 °C followed by TEM 51 characterization. It should be noted, however, that only 2D 52 images were acquired in this study, which in general provide 53 insufficient information regarding complex transformations in 54 nanostructures with 3D morphological features.<sup>32</sup> A more 55 direct tool for the study of morphological and compositional 56 changes in bimetallic NPs at a local scale is in situ TEM.<sup>33</sup> As <sub>57</sub> an alternative to the use of an environmental TEM, a wide 58 variety of dedicated holders is available, allowing variations of 59 gaseous environment and temperature inside the electron 60 microscope, even in combination with 3D characteriza- 61 tion.<sup>34–39</sup>

In this context, we carried out a systematic investigation of  $_{63}$  thermal effects on the morphology and composition of Au@Pt  $_{64}$ 

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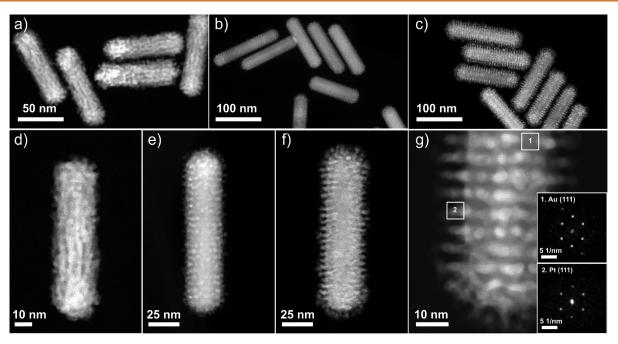


Figure 1. HAADF-STEM overview at different magnifications of the different Au@Pt NRs. (a-c) Low-magnification images of Au@Pt NRs prepared using CTAB (a) and (S)-BINAMINE/CTAC at 20 °C (b) and 40 °C (c). (d-f) High-magnification images of Au@Pt NRs shown in panels (a-c) respectively. (g) High-resolution HAADF-STEM image of the tip of an Au@Pt NR, prepared using (S)-BINAMINE/CTAC at 40 °C. The insets show Fourier transforms from the regions marked in the image by white squares, demonstrating an epitaxial relation between the Au core and the Pt shell.

65 NRs with different morphologies of the Pt shell, obtained by 66 applying a surfactant-driven seed-mediated approach, either 67 with or without chiral additives. We used electron tomography 68 as a key tool to investigate changes in the surface features and 69 morphology of Au@Pt NRs during in situ heating. We 70 therefore extended earlier work on monometallic and 71 bimetallic systems. For example, from heating studies, it is 72 known that pure Au NRs transform into spheres at 73 temperatures as low as 250 °C.40 By using electron 74 tomography, we reported that alloying in mesoporous silica-75 coated Au@Ag NRs started to occur within the temperature 76 range of 300-350 °C. 41 In the current study, we surprisingly observed significant changes in morphology and elemental distribution for Au@Pt NRs already at 200 °C, a temperature 79 that is hundreds of degrees below the bulk melting temper-80 atures of the individual elemental components (1769 °C for 81 Pt; 42 1024 °C for Au<sup>43</sup>). To gain a detailed understanding of 82 the atomistic mechanisms behind the observed instabilities, we 83 performed molecular dynamics (MD) simulations using the 84 results from electron tomography experiments as input data. 85 From the combined experimental and theoretical analysis, we 86 conclude that the observed thermal instability is strongly 87 influenced by a delicate balance between Pt shell thickness and 88 coverage of the Au core.

# 89 RESULTS AND DISCUSSION

Synthesis and 3D Characterization of Au@Pt Nano-rods. Following previous work, which focused on the preparation and surface characterization of chiral Au NRs with screw-like surface features, we obtained Au@Pt NRs using a micelle-directed seeded-growth approach using 1,1'-ps binaphthyl-2,2'-diamine (BINAMINE) as a cosurfactant, together with standard cetyltrimethylammonium chloride (CTAC). To investigate the effect of the additive during Pt

overgrowth as well as to understand the role of the reaction 98 temperature, samples were prepared with different surfactant 99 content. Details on the synthesis are provided in the Methods 100 section.

An overview of different samples, imaged by high-angle 102 annular dark-field scanning transmission electron microscopy 103 (HAADF-STEM), is presented in Figure 1. From the images, 104 fl we can observe that samples grown using CTAB result in Au 105 NRs covered with a rough Pt shell, without any regular surface 106 features (Figure 1a,d). On the other hand, in the presence of 107 BINAMINE, the Au@Pt NRs featured Pt rings made of spikes 108 that grow radially outward from the central Au NR surface 109 (Figure 1b,c). We additionally found that the length of the 110 spikes can be tuned through the temperature used during 111 seeded growth, as exemplified by Figure 1b,e and Figure 1c,f, 112 which show Au@Pt NRs prepared using an (S)-BINAMINE/ 113 CTAC surfactant mixture, at reaction temperatures of 20 and 114 40 °C, respectively. A high-resolution HAADF-STEM image of 115 a sample prepared at 40 °C is shown in Figure 1g and the 116 corresponding Fourier transforms illustrate the epitaxial 117 relation between Au and Pt.

In the remainder of this work, we will refer to these three 119 different Au@Pt NR systems as follows: Sample (1) Au@Pt 120 NRs synthesized using CTAB only, yielding a rough Pt shell 121 without well-defined Pt spikes; sample (2) Au@Pt NRs 122 synthesized using (S)-BINAMINE/CTAC (20 °C), resulting 123 in a Pt shell composed of short spikes (2–3 nm); and sample 124 (3) Au@Pt NRs synthesized using (S)-BINAMINE/CTAC 125 (40 °C), resulting in a ring-like Pt shell with longer spikes (5– 126 6 nm). The sizes of these samples were measured (including 127 the Pt shell) and averaged over 36 particles for each system 128 (Table 1). From these measurements, the aspect ratios for the 129 t1 three different samples were calculated and summarized in 130 Table 1. Size distributions are presented in Figure S1.

Table 1. Average Morphological and Compositional Parameters of the Different Samples Investigated by HAADF-STEM and EDX at Room Temperature

sample ID	length (nm)	width (nm)	aspect ratio	[Pt/Au] ratio
(1)	$96.0 \pm 2.1$	$28.0\pm0.7$	$3.43 \pm 0.11$	$0.8 \pm 0.4$
(2)	$140.3 \pm 3.4$	$37.4 \pm 0.7$	$3.75 \pm 0.12$	$0.1 \pm 0.3$
(3)	$148.3 \pm 4.0$	$42.3 \pm 1.1$	$3.50 \pm 0.13$	$0.3 \pm 0.1$

The elemental distribution of the samples was investigated 133 by energy dispersive X-ray spectroscopy (EDX). Figure 2a 134 confirms a core—shell structure for all three samples, and Pt/135 Au ratios were found to range from 0.1 to 0.8, as extracted 136 from the EDX measurements (Table 1).

Analyses of the Pt spikes at the single particle level are 138 presented in Figure S2. These measurements suggest a regular 139 distribution of spikes/grooves for samples (2) and (3). 140 However, as these results were obtained from single 2D 141 HAADF-STEM images, HAADF-STEM tomography was 142 additionally performed to investigate the 3D morphology of 143 the Pt shell. 3D visualizations of the electron tomography 144 reconstructions are shown in Figure 2b, which confirm the 145 presence of regularly arranged spikes for samples (2) and (3), 146 whereas sample (1) contains a rough (disordered) Pt shell. 3D 147 animations of the reconstructions are presented as Movies S1-148 S3. From a careful analysis of the 3D reconstructions, it is 149 suggested that parts of the Pt spikes in (3) display quasi-helical 150 distributions (see Movie S3). For sample (2), the spikes are 151 too short to enable a clear interpretation. For the present work, 152 the degree of chirality is irrelevant and the surface coverage 153 over the Au NR core is most important.

The difference in atomic number Z for Au and Pt is too small to generate sufficient contrast in a 3D HAADF-STEM reconstruction. Therefore, to visualize the Au—Pt interface and reconstruction are coverage of Au by Pt in more detail, we seem acquired an EDX-STEM tilt series by exploiting the high first efficiency of a recently developed Ultra-X EDX detector. A 3D More details are provided in the Methods section. A 3D reconstruction of the EDX-STEM tomography reconstruction of

a representative Au@Pt NR, prepared in the presence of (S)- 162 BINAMINE/CTAC, is presented in Figure 2c and in Movie 163 S4. The high signal-to-noise ratio of the EDX-STEM 164 tomography reconstruction enabled us to conclude that the 165 Pt shell is solely composed of Pt spikes, directly grown on the 166 Au surface, with no intermediate Pt layer present at the surface 167 of the Au rod, in agreement with the templating effect of 168 helical micelles on the Au NR surface, as postulated in ref 26. 169 Based on these insights, it became possible to quantify the 170 surface coverage for samples (2) and (3) by analyzing 171 orthoslices through HAADF-STEM reconstructions. Hereby, 172 Au and Pt were distinguished based on their morphological 173 appearance. For both samples (2) and (3), it was found that 174 approximately 2/3 of the Au NR surface was covered by Pt 175 spikes. It should be noted that the higher [Pt/Au] ratio for 176 sample (3) is due to the presence of longer Pt spikes, not due 177 to a higher surface coverage.

Thermal Instability of Au@Pt NRs. Heat-induced 179 morphological transformations were studied in situ in the 180 TEM. Au@Pt NRs from samples (1-3) were deposited on a 181 dedicated silicon nitride (Si<sub>3</sub>N<sub>4</sub>) MEMS heating chip of a 182 DENSsolutions Wildfire heating tomography holder 45 and 183 were heated up to 200 °C (temperature selected to compare 184 our in situ analysis with ex situ results from ref 31). After 185 keeping the temperature at 200 °C for 5 min, heating was 186 switched off, and the temperature was reduced to room 187 temperature (within  $\sim 1$  s) to prevent further transformations 188 while imaging. HAADF-STEM images (Figure 3, left column) 189 f3 illustrate the heat-induced formation of indentations or voids 190 in all three samples. Such voids were especially noticeable in 191 sample (3), whereas a smaller one is indicated by an arrow for 192 sample (1). Movie S5, acquired for sample (3) during in situ 193 heating, demonstrates that the voids started forming from 194 indentations at the surface of the Au NR and then protruded 195 into the core structure. Sample (2) mostly showed 196 indentations, rather than voids. Based on 2D images (see 197 more examples in Figure S3), we estimated that at least 23% of 198 the total number of particles in sample (1) exhibited 199

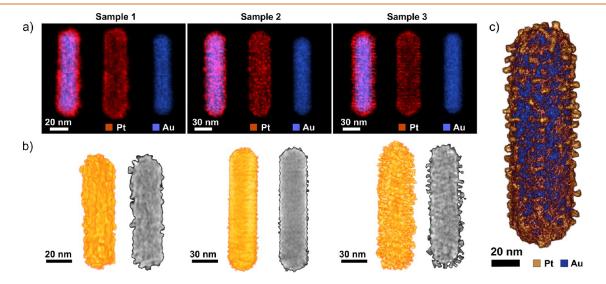


Figure 2. (a) EDX-STEM maps of Au@Pt NR samples (1), (2) and (3), with different [Pt/Au]; molar ratios calculated from EDX-STEM data are 0.8, 0.1 and 0.3, respectively. (b) 3D visualizations and orthoslices through the 3D HAADF-STEM reconstructions. (c) 3D visualization of the EDX-STEM tomography reconstruction obtained from a representative sample prepared using the BINAMINE/CTAB surfactant mixture.

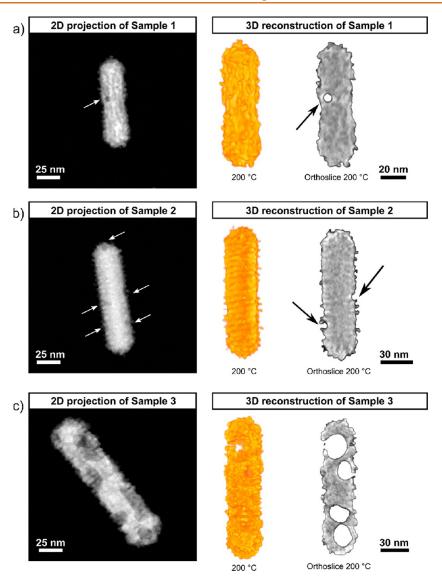


Figure 3. In situ heating tomography experiments. 2D projections, 3D visualizations, and selected orthoslices through the HAADF-STEM reconstructions obtained for the selected samples (as labeled), after heating at 200 °C for 5 min. Small voids and indentations observed in samples (1) and (2) are marked in the 2D projection images by white arrows and by black arrows in the orthoslices.

200 indentations and/or voids upon heating, whereas all NRs 201 analyzed in samples (2) and (3) showed the presence of voids. A better understanding of this complex transformation 203 required additional investigation in 3D, which was achieved by 204 using in situ electron tomography. 34-39 The results are 205 presented in Figure 3 and animated versions of the 3D 206 reconstructions are included as Movies S6-S8. From the 207 orthoslices through the 3D reconstructions of sample (1), 208 which originally had a rough, but continuous Pt shell, we 209 observed small voids, close to the surface, along with narrow 210 channels connecting the void to the surface (Figure 4). For all 211 samples, depleted Au was found to form Au clusters on the 212 otherwise empty regions of the Si<sub>3</sub>N<sub>4</sub> support (Figure S4a,b), as confirmed by EDX-STEM (Figure S4c,d). Based on these observations, we hypothesize that the observed channels formed a pathway for Au atoms to diffuse out of the core, 216 which ultimately resulted in the creation of voids inside the Au 217 NRs, in agreement with the postulation by He et al.<sup>31</sup>

On the other hand, sample (2) did not contain any voids inside the NR, but indentations were clearly observed at

several sites on the particle surface (marked by arrows in 220 Figure 3b). As suggested by supporting Movie S5, it is likely 221 that these indentations correspond to early stages in the 222 formation of larger voids, as those observed for sample (3). For 223 the latter sample, we observed that such large voids extended 224 far inside the NP (Figure 3c), which made us wonder about 225 the integrity of the Pt shell. Therefore, we performed EDX 226 measurements (Figure S5), which demonstrated that a Pt shell 227 is still present, despite the large voids inside the Au NR.

Since the degree of void formation significantly varied for 229 different samples, we wondered whether the local Pt surface 230 morphology plays any role on Au migration. However, the 231 details of the underlying processes are difficult to understand 232 from the experimental observations only. To gain insight into 233 these mechanistic details, we performed MD simulations. The 234 embedded atom model (EAM) was used to define the 235 interaction between atoms, and a time step of 1 fs was used 236 to track the movements of atoms. To perform these 237 simulations using realistic input models, we used the 238 experimentally measured morphologies for samples (1–3) as 239

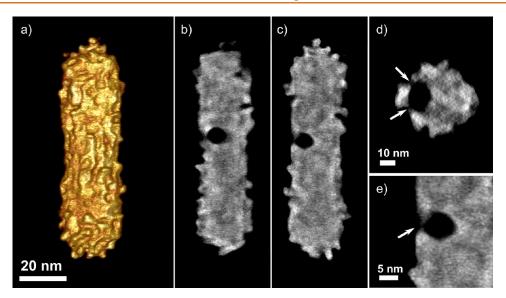


Figure 4. 3D reconstruction of an Au@Pt NR from sample (1) after heating at 200 °C. (a) 3D visualization of HAADF-STEM tomography. (b, c) Orthoslices through the 3D reconstruction along the xz and yz planes, respectively. (d) Orthoslice (xy plane) through the 3D reconstruction, showing the presence of diffusion pathways for Au atoms (marked by white arrows). (e) Magnified region from panel (c), again showing the presence of a channel through which Au atoms can diffuse out of the NR (marked by a white arrow).

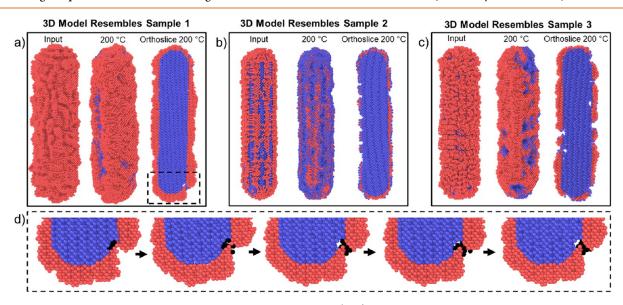


Figure 5. MD simulations based on electron tomography reconstructions. (a-c) Tomography-based 3D models and orthoslices of Au@Pt NRs before and after heating at 200 °C, resembling samples (1), (2), and (3), as represented in Figure 2b. Pt atoms are shown in red and Au atoms in blue. (d) Snapshots of the intermediate steps during the transformation of the NR in (a). Black dots represent Au atoms migrating toward the NR surface during heating at 200 °C.

240 input data (Figure 2b). Based on earlier work<sup>47</sup> and electron 241 tomography reconstructions obtained for the Au NRs used as 242 seeds (Figure S6), the Au NR was constructed to have eight 243 {520} lateral facets and a combination of {100}, {110}, and 244 {111} facets at the tips. Due to the time-scale limitations of 245 MD simulations, we scaled down the overall size of the NR, 246 but for all the other parameters such as aspect ratio and Pt 247 coverage, experimentally determined values were used. First, 248 the NRs were relaxed for 20 ns at room temperature. 249 Subsequently, to mimic the experimental observations, the 250 temperature was increased with a constant heating rate of 0.5 251 K/ps and relaxed for 20 ns at 200 °C. All details on the 252 simulations can be found in the Methods section.

In Figure 5a-c, the resulting 3D morphologies based on 254 samples (1-3) are shown before and after heating as well as

orthoslices through the simulated NRs after heating. 255 Indentations were observed for all samples, indicating a good 256 agreement between experiments and simulations. It should be 257 noted that the sizes of these indentations, appearing during 258 MD simulations, are relatively small compared to those 259 observed experimentally. This apparent discrepancy is related 260 to the limited time scale used during MD simulations. It 261 should also be noted that our simulations were performed 262 without the presence of a  $\rm Si_3N_4$  sample support and with the 263 constraint of keeping the total volume of the NRs constant, 264 which explains why indentations rather than voids are formed. 265 However, the good agreement between the experimental 266 observations and simulations demonstrates that the absence of 267 support does not change the physics of the observed 268 transformations.

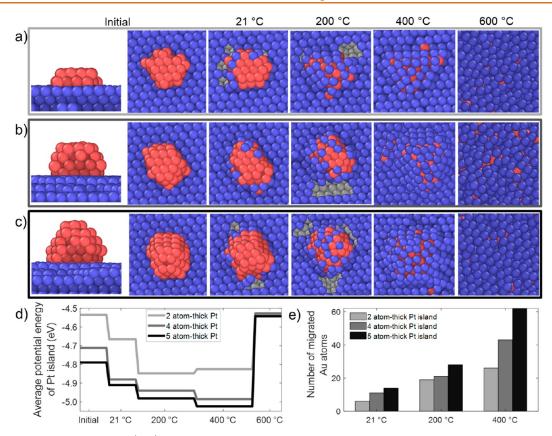


Figure 6. Effect of the thickness of Pt(111) islands on the number of Au atoms migrating to the surface of Au@Pt NRs. Pt atoms are presented in red and Au atoms in blue and voids in gray. (a-c) Side-views of 2, 4, and 5 atoms-thick Pt islands, and top views illustrating their structural evolution as a function of temperature. (d) Average change of potential energy per Pt atom in the islands as a function of temperature. (e) Histogram of the number of migrated Au atoms as a function of temperature and thickness of the simulated Pt islands.

270 The simulations allowed us to capture the dynamics of void 271 formation, which could not be extracted from the experiments, 272 in which only the structure before and after heating could be 273 investigated. The MD results in Figure 5d demonstrate that Au atoms close to the Au-Pt interface (indicated in black for better visualization) tend to create diffusion channels and 276 migrate toward the NR surface during heating, as hypothesized from the experimental results. This process resulted in the 2.77 formation of a small indentation, as shown in Figure 5a-c. MD 278 simulations further showed that during heating at 200 °C, 2.8% 279 of Au atoms in sample (1) (Figure 5a) migrated to the NR surface, whereas this percentage increased to 8.2% for sample (2) and 9.0% for sample (3) (Figure 5b,c). As expected, it can also be seen that higher percentages of Au migration lead to larger indentations. Figure 5 additionally suggests that the size 285 and location of the indentations vary for different samples, 286 again in agreement with the experimental observations. For 287 instance, it can be seen that the NR in Figure 5c, which had a Pt coverage of the Au core surface (Pt surface coverage) of 65% (to mimic sample (3)), yielded more indentations than the NR in Figure 5a, which was simulated to have a 99% surface coverage to mimic sample (1). In addition to surface 292 coverage, also the thickness of the Pt spikes appears to play a 293 role during Au migration. The NR in Figure 5b, with short 294 spikes mimicking sample (2), yielded smaller indentations at 295 200 °C in comparison to the NR in Figure 5c, which initially 296 had thicker spikes but a similar coverage of the Au surface, in 297 agreement with sample (3). The good agreement between 298 simulations and experimental observations confirms that the 299 MD approach can be used to better understand the

mechanistic processes behind the thermal instability of Au@ 300 Pt NRs.

As a next step, we decoupled the morphological parameters 302 of the Pt shell, namely surface coverage and thickness of Pt 303 spikes. To investigate the latter, different Pt islands, mimicking 304 Pt spikes, were placed on an Au(111) surface, and temperature 305 was gradually increased (Figure 6). All islands had the same 306 f6 Au-Pt interfacial area, but each of them had a different height 307 corresponding to 2, 4, or 5 atomic layers. Side- and top-views 308 of these islands are presented in Figure 6a-c, as a function of 309 temperature. Already at room temperature, Au atoms were 310 observed to move to the top of the Pt islands, regardless of the 311 island thickness. As a result, small indentations were formed at 312 the surface (as indicated by gray dots). However, after 10 ns, 313 this process was not seen to propagate any further. Next, the 314 temperature was increased to 200 °C with a 0.5 K/ps heating 315 rate and the system was relaxed for 20 ns. We clearly observed 316 that the higher temperature significantly enhanced Au 317 migration toward the top of the Pt islands, resulting in larger 318 indentations at the Au surface.

Because of larger thermal vibrations, it is typically expected 320 that the potential energy of a system monotonically increases 321 with increasing temperature, prior to reaching its melting 322 point. On the contrary, Figure 6d shows that the average 323 potential energy of the Pt atoms decreased as temperature was 324 increased from room temperature to 400 °C. These 325 observations can be rationalized from a thermodynamics 326 point of view. Recent studies revealed that the cohesive energy 327 and Wigner–Seitz radius, which is defined as the radius 328 occupied by one atom, <sup>48</sup> are the two primary factors affecting 329

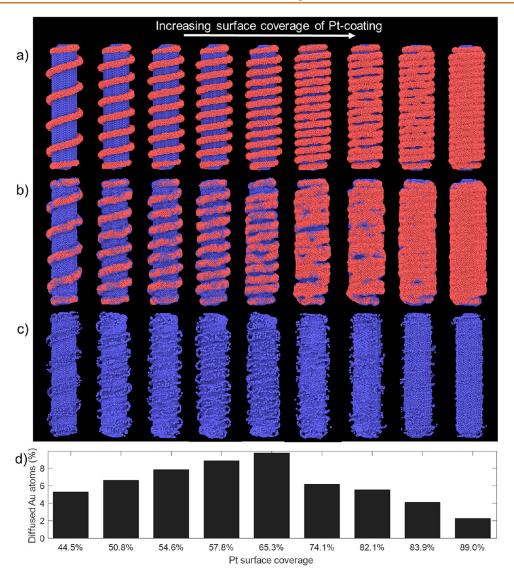


Figure 7. Surface-coverage effect of the Pt shell around the Au NR, on the number of migrated Au atoms. (a) Created input structures. (b) Structures after heating at 200 °C. (c) Au atoms within the Au@Pt NR after heating to 200 °C. (d) Percentage of migrated Au atoms from structures with different Pt surface coverage.

330 the preference for an element to be at the core or the shell in 331 bimetallic NPs. 49-51 In general, the less cohesive element, with larger Wigner-Seitz radius, prefers to segregate at the surface. In our case, Au is less cohesive than Pt, with cohesive energies of -2.88 eV and -5.19 eV, as determined from MD 334 simulations for spherical Au and Pt NPs, respectively (Table 336 S1). Moreover, Au has a larger Wigner-Seitz radius (3.01 337 Bohr radius) than Pt (2.90 Bohr radius),<sup>52</sup> and hence Au prefers to migrate toward the surface to reduce strain at the 339 Au-Pt interface and consequently minimize the overall energy (Figure 6d). This hypothesis is supported by MD simulations of Au@Pt and Pt@Au NPs (Figure S7). It is important to note 342 that the formation of indentations at the Au surface (Figure 6a-c) prevailed at mild temperatures only. When the temperature was increased further to 400 °C and above, we 345 observed that the voids diminished since a higher thermal 346 vibration results in larger spacing between Au atoms at the 347 surface. This was followed by surface melting (sharp potential 348 energy increase in Figure 6d) at 600 °C. It should be noted 349 that in our experiments Au atoms migrated to the Si<sub>3</sub>N<sub>4</sub> grid 350 rather than the top of the Pt spikes.

As a consequence of the thermodynamic instability, thicker 351 Pt islands induced the formation of larger indentations on the 352 Au surface, as more Au atoms were required to cover the 353 thicker Pt-islands. Figure 6e illustrates the increase in the total 354 number of migrated Au atoms as a function of temperature, for 355 each system. This explains why the voids became more 356 pronounced in our experiments for samples with larger Pt 357 spikes (sample (3)).

Next, the influence of Pt surface coverage was investigated. 359 Based on the electron tomography experiments at room 360 temperature, we created Pt-coating replicating samples (2) and 361 (3) (see Figure 2). Since the particles showed regions in which 362 the Pt spikes showed a quasi-helical arrangement, we decided 363 to model the Pt surface coverage in a systematic manner by 364 changing the number of Pt helical turns at the surface of a Au 365 NR. As a result, Au@Pt NRs with different Pt surface coverage, 366 ranging from 44.5% to 89%, were obtained (left to right in 367 Figure 7a). The Pt surface coverage was defined as the ratio 368 f7 between the number of Au surface atoms covered by the Pt 369 shell and the total number of atoms at the surface of the Au 370 core. The structures obtained after heating at 200 °C are 371

Table 2. Percentage of Diffused Au Atoms after Heating at 200 °C by MD Simulations for Au@Pt NRs with Different Pt Surface Coverage and Thickness of the Pt Shell

		Surface Coverage of Pt Shell									
		44.5%	50.8%	54.6%	57.8%	65.3%	74.1%	82.1%	83.9%	89.0%	100%
Thickness of Pt shell	2 atom- thick	4.9 %	6.4 %	7.2 %	8.1 %	9.7 %	9.8 %	9.2 %	7.6	3.3	2.0 %
	3 atom- thick	5.1 %	6.6 %	7.2 %	8.1 %	9.7 %	7.3 %	5.6%	3.4	2.0	0.5 %
	4 atom- thick	5.1 %	6.5 %	7.4 %	8.5 %	9.6 %	6.3 %	5.4%	3.8	2.2	0.0 %
	5 atom- thick	5.3 %	6.8 %	7.8 %	8.9 %	9.8 %	6.2 %	5.5 %	4.1 %	2.3 %	0.0 %
2% 3% 4% 5% 6% 7% 8% 9% 10%											

372 shown in Figure 7b, along with a visualization of Au atoms 373 only (Figure 7c). The resulting percentages of Au atoms that 374 migrated from the core to the surface of the Pt shell are plotted 375 in Figure 7d. This percentage was defined as the ratio between 376 the number of migrated Au atoms and the total number of 377 atoms in the Au core. Since the same Au core was used for all 378 Au@Pt NRs in Figure 7, these percentages can also be 379 interpreted in an absolute manner. As the Pt surface coverage 380 increased from 44.5% to 65.3%, the number of migrated Au 381 atoms increased, as expected from our previous results, 382 demonstrating the tendency of Au atoms to cover the Pt 383 islands. However, for Pt coverages higher than 65.3%, a 384 decrease in the number of migrated Au atoms was observed. 385 This is not surprising, since higher Pt coverage allows less Au 386 diffusion pathways between Pt spikes. Consequently, for an 387 Au@Pt NR with 89% Pt coverage, the number of Au atoms 388 that could migrate upon heating was significantly reduced, and 389 the initial shape of the core of the Au NR was almost fully 390 preserved after heating.

Finally, to understand the interplay between surface 392 coverage and thickness of the spikes, MD simulations were 393 carried out for different Pt coatings by varying both the surface 394 coverage and the thickness of the Pt islands. The results of the 395 simulations for 40 combinations of different morphologies are 396 summarized in Table 2, in the form of the percentage of 397 diffused Au atoms at 200 °C. The corresponding input structures used for the simulations are additionally shown in 399 Figure S8. For the sake of visualization, the results in Table 2 400 are color-coded, with red representing the largest number of 401 diffused Au atoms and blue corresponding to negligible 402 migration of Au atoms. Two different trends can be observed 403 from this table. At Pt surface coverages below 74%, an 404 increasing number of Pt atoms, in terms of either surface 405 coverage or thickness of the Pt islands, resulted in a higher 406 percentage of migrated Au atoms. Therefore, the highest 407 percentage of Au diffusion was observed for NRs with 65% Pt 408 surface coverage and 5 atom-thick Pt dendrites. This system 409 had sufficiently long Pt spikes to stimulate the diffusion of Au 410 atoms, while yielding sufficient Pt-free Au surface to enable Au 411 migration. On the other hand, once the Pt surface coverage 412 reached 74%, fewer Au atoms could diffuse because of the lack 413 of Pt-free pathways. Obviously, this effect was most dominant 414 for NRs with a continuous Pt shell (rightmost column), for

which Au atoms would have to create diffusion channels 415 toward the surface and thus overcome the energy barrier 416 imposed by the Pt shell. As illustrated in Figure S9, this is only 417 possible for a thin Pt shell (2 atom layer thick). Our combined 418 results indicate that Au surfaces with a high Pt coverage 419 significantly improve the thermal stability of Au@Pt NRs, 420 especially for large spikes, due to a stronger kinetic trapping 421 effect against heat-induced atom migration.

CONCLUSIONS

All of these results contribute to understanding the driving 424 forces behind Au migration and the resulting thermal 425 instability of Au@Pt NRs. We demonstrated that experimen- 426 tally observed heat-induced transformations depended on 427 morphological details of the nanostructure, with Pt surface 428 coverage and thickness of Pt spikes being the main factors 429 influencing thermal instability. At elevated temperatures, Au 430 atoms diffused from the core to the surface of the Pt shell to 431 reach a thermodynamically more stable configuration, with Pt 432 spikes acting as the nucleation sites for Au atoms. Longer Pt 433 spikes led to an increased number of migrated Au atoms and 434 consequently larger voids. However, this process was mainly 435 dominated by the continuity of the Pt shell. At Pt surface 436 coverages exceeding 74%, the Pt coating was found to act as a 437 barrier against Au atom diffusion, thereby reversing the 438 mechanism: Longer Pt spikes led to a decrease in the 439 percentage of diffused Au atoms.

There is general agreement with literature reports showing 441 that core—shell formation in Pt—Au bimetallic NPs is affected 442 by the atomic size and cohesive energy of the constituent 443 elements. 49–53 In addition to such previous reports, our 444 findings show that heat-induced deformation at mild temper- 445 atures can be explained by a delicate interplay between 446 thermodynamics and kinetics. Our work provides an atomistic 447 insight toward tuning the thermal stability of Au@Pt NPs by 448 changing the morphology of Pt shells, for relevant applications 449 at which elevated temperatures are needed.

In conclusion, combined results from electron microscopy 451 and MD simulations enabled us to understand the thermody- 452 namic behavior of Au@Pt NPs, involving a complex 453 cooperative effect between Au and Pt at the atomic scale. 454 Our experiments revealed that Au@Pt NRs exhibited structural 455 deformation at temperatures well below the melting point of 456

457 both Au and Pt, creating voids and indentations in the Au core.
458 We observed that such structural transformations largely
459 depended on the morphology and coverage of the Pt shell.
460 MD simulations enabled us to conclude that the observed
461 deformations take place because the system aims to reduce its
462 total energy by migration of Au atoms from the core through
463 the interface to the outer surface, leading to a thermodynami464 cally favorable structure. Our findings can be ultimately
465 exploited to improve the design of these systems to preserve
466 their functionalities for electro- and photocatalytic applications
467 operated at elevated temperatures.

### **468 METHODS**

Colloidal Synthesis of Au@Pt NRs. *Materials*. Hexadecyltrime-tyo thylammonium bromide (CTAB,  $\geq$ 99%), hydrogen tetrachloroaurate trihydrate (HAuCl<sub>4</sub>,  $\geq$ 99.9%), silver nitrate (AgNO<sub>3</sub>,  $\geq$ 99%), L-tyo ascorbic acid (AA,  $\geq$ 99.0%), sodium oleate (NaOL,  $\geq$ 99%), n-tyo decanol (98%), (R)-(+)-1,1'-binaphthyl-2,2'-diamine ((R)-BIN-tyl-2,4'-diamine), (S)-(-)-1,1'-binaphthyl-2,2'-diamine ((S)-BIN-tyl-2,4'-diamine), sodium borohydride (NaBH<sub>4</sub>,  $\geq$ 96%), hydrochloric tyleacid (HCl, 37%), and potassium tetrachloroplatinate (II) (K<sub>2</sub>PtCl<sub>4</sub>-tyl-2,4'-diamine), was purchased from Aldrich and used without further purification. Hexadecyltrimethylammonium chloride (CTAC, 99%) was purchased from ACROS Organics. Milli-Q water (resistivity 18.2 tyl-2) was purchased from ACROS Organics. Milli-Q water, and dried before the washed with aqua regia, rinsed with Milli-Q water, and dried before the suse.

Synthesis of Au@Pt Nanorods with Continuous Pt Shell. 483 484 Synthesis of Gold Nanorods. Au NRs were prepared through the 485 seeded growth method, 54 based on the reduction of HAuCl<sub>4</sub> on 486 CTAB-stabilized Au nanoparticle seeds in the presence of silver ions. 487 Gold seeds were prepared by fast reduction of HAuCl<sub>4</sub> with NaBH<sub>4</sub> in 488 CTAB solution. A certain volume of HAuCl<sub>4</sub> solution (0.025 mL, 50 489 mM) solution is added to a solution of CTAB (4.7 mL, 100 mM). 490 Afterward, a freshly prepared NaBH<sub>4</sub> (0.3 mL, 10 mM) solution is 491 rapidly injected under vigorous stirring. The solution color changed 492 from yellow to brownish yellow, and the stirring was stopped after 2  $493\,$  min. The gold seed solution was aged at room temperature for 30 min 494 before use. To prepare the growth solution, 9.0 g of CTAB and 1.234 495 g of NaOL were dissolved in 500 mL of warm Milli-Q water (~ 50 496 °C) in a 1 L Erlenmeyer flask. Once the sodium oleate is completely 497 dissolved, the mixture was cool down to 30 °C, and AgNO<sub>3</sub> (24 mL, 4 498 mM) was added under stirring. The mixture was kept at 30 °C for 15 499 min after which HAuCl<sub>4</sub> was added (2.5 mL, 100 mM) under 500 vigorous stirring. The mixture became colorless after 20 min at 30  $^{\circ}$ C, 501 and HCl (2.1 mL, 37%) was introduced. After 15 min of stirring, AA 502 (1.25 mL, 64 mM) was added, and the solution was vigorously stirred 503 for 30 s. Finally, a certain volume of seed solution (0.8 mL, 0.25 mM) 504 was injected into the growth solution under vigorous stirring for 5 505 min, and then the solution was left undisturbed al 30  $^{\circ}$ C for 12 h. The 506 solution was centrifuged twice (8000 rpm, 30 min) to remove excess 507 reactants and dispersed in aqueous CTAB solution (100 mM).

508 Platinum Overgrowth. For platinum deposition, a previously 509 reported method was followed. To a dispersion of Au NRs (10 mL) 510 containing CTAB (100 mM) and metallic gold (0.25 mM) at 40 °C 511 were added  $\rm K_2PtCl_4$  (0.05 mL, 50 mM) and AA (0.5 mL, 100 mM) 512 under vigorous stirring for 5 min. The mixture was left undisturbed at 513 60 °C for 12 h. Finally, the obtained solution was centrifuged twice 514 and redispersed in water.

Synthesis of Au@Pt Nanorods with Discontinuous Pt Shell. Freparation of Gold Nanorods. The Au NRs were prepared using a modified seed-mediated approach. To prepare Au seeds, 20 mL of a solution 13.5 mM in n-decanol and 50 mM in CTAB was poured in a 519 50 mL glass beaker. To this solution, 200  $\mu$ L of 50 mM HAuCl<sub>4</sub> and 520 100  $\mu$ L of 100 mM AA were added sequentially, under slow stirring to 521 reduce Au (III) into Au (I), as confirmed by the change in the 522 solution color from yellow to colorless. Finally, 800  $\mu$ L of freshly 523 prepared 20 mM NaBH<sub>4</sub> solution was added to the above solution

under vigorous stirring, and the obtained Au seed solution was aged 524 for 60 min at room temperature. 525

Small Au NRs were then prepared as follows: 1 mL of 50 mM 526 HAuCl<sub>4</sub>, 0.8 mL of 10 mM AgNO<sub>3</sub>, 65 mL of 1 M HCl, and 1.3 mL of 527 100 mM AA were added to 100 mL of a solution of n-decanol (13.5 528 mM) and CTAB (50 mM) at room temperature, under mild stirring. 529 After 1 min, 6 mL of Au seed solution was added to this mixture. After 530 small Au NR growth (ca. 4 h), 50 mL of the resulting solution was 531 centrifuged at 7000 rpm for 15 min, to precipitate large NPs, and then 532 the supernatant (containing small Au NRs) was collected and 533 centrifuged at 14,500 rpm for 45 min in 2 mL Eppendorf tubes. The 534 precipitate was collected and redispersed using 10 mM CTAB stock 535 solution. The final small Au NR concentration was set to 4.5 mM 536 (Au). The as-synthesized small NRs were 21 nm in length and 7.5 nm 537 in width, with an LSPR band at ~720 nm. For synthesis of larger Au 538 NRs, 1 mL of 50 mM HAuCl<sub>4</sub>, 1.5 mL of 10 mM AgNO<sub>3</sub>, 12 mL of 1 539 M HCl, and 0.8 mL of 100 mM AA were added to 100 mL of a mixed  $\,$  540  $\,$ solution of n-decanol (11 mM) and CTAB (50 mM) in a 250 mL 541 Erlenmeyer flask. Under mild stirring, 45  $\mu$ L of small Au NR solution 542 was added and left undisturbed overnight at 16 °C. The resulting Au 543 NRs were 130 nm in length and 29 nm in width, with an LSPR band 544 at ~930 nm. These Au NRs were washed multiple times by 545 centrifuging at 3500 rpm and redispersing the precipitate in 10 mM 546 CTAC stock solution.

These Au NRs were used as seeds to grow a stripy Pt shell, 548 following a published protocol. <sup>26</sup>

Preparation of BINAMINE/CTAC Solution. In a 40 mL glass vial, 550 0.96 g of pure CTAC and a fixed amount of chiral cosurfactant were 551 weighed. To this mixture, 30 mL of water was added and then heated 552 to 60 °C for 3–4 h, under mild stirring. This solution was then cooled 553 down to room temperature and stored in a dark place until further 554 use. The final concentrations of BINAMINE and CTAC were fixed to 555 2.5 mM and 100 mM, respectively.

Washing and Incubation in BINAMINE/CTAC Solution. A small 557 amount of as-synthesized Au NR solution  $[(Au^0] \sim 5 \text{ mM})$ , previously 558 redispersed in pure CTAC solution, was centrifuged and redispersed 559 in 4–5 times diluted BINAMINE/CTAC solution and left 560 undisturbed overnight at room temperature. Before further use, Au 561 NRs were washed once again and redispersed in water. 562

*Platinum Overgrowth.* In a 2 mL Eppendorf tube, 50  $\mu$ L of 10 563 mM K<sub>2</sub>PtCl<sub>4</sub> solution was added to a solution containing 600  $\mu$ L of 564 water and 200  $\mu$ L of BINAMINE/CTAC solution. A fixed amount of 565 Au NR seed solution was then poured onto the above solution, 566 followed by fast addition of 200  $\mu$ L of 0.8 M ascorbic acid. The 567 solution was shaken and then kept undisturbed overnight in a water 568 bath at a set temperature, to allow the growth of platinum grooves 569 around Au NRs. Finally, the Au@Pt NR solution was washed twice 570 using water and redispersed in 5 mM CTAC solution.

Transmission Electron Microscopy. Atomic resolution 572 HAADF-STEM imaging, was performed using a Thermo Fisher 573 Scientific Themis Z operated at 300 kV with a camera length of 115 574 mm. HAADF-STEM, EDX-STEM, and electron tomography experi- 575 ments were performed using a Thermo Fisher Scientific Osiris 576 electron microscope operated at 200 kV. 2D EDX-STEM data sets 577 were acquired using a Super-X detector with a 150 pA beam current 578 and a total acquisition time of approximately 10 min. The EDX- 579 STEM tomography reconstruction shown in Figure 2 was obtained by 580 collecting EDX data using an Ultra-X detector implemented on an 581 Thermo Fisher Scientific Spectra electron microscope. This detector 582 provides a ~6× higher EDX signal across the entire tilt range than a 583 regular quad detector (Super-X) and minimizes the electron dose 584 required to come to a good S/N ratio for the compositional 3D 585 analysis. 44 The tilt series was acquired within a  $\pm 70^{\circ}$  tilt range and a 586 tilt increment of 10° with a 150 pA beam current and a total time 587 acquisition of approximately 5 min.

Electron Tomography. A DENSsolutions Wildfire heating sample 589 holder optimized for electron tomography was used. Tomographic 590 series were acquired within a  $\pm 70^{\circ}$  tilt range and a tilt increment of 591 3°. To eliminate different image distortions, we applied a convolusional neural network. 38 Undistorted images were aligned with respect 593

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594 to each other by using a phase correlation, which was also used to 595 determine the shift and the angle of the rotation axis. 3D 596 reconstruction was performed by an approach consisting of iterating between several SIRT cycles and application of constraints in the real 598 and Fourier space.<sup>38</sup> After applying a bandwidth limit to the FFT, the 599 result is transformed to real space, and a threshold is applied to the 600 intensity of the 3D volume. Next, the SIRT cycles are repeated. In this 601 manner, a high-quality 3D reconstruction with diminished missing wedge is obtained.

The reconstruction shown in Figure 4 was performed using an 603 604 expectation-maximization (EM) algorithm, as implemented in Astra 605 Toolbox.50

Molecular Dynamics Simulations. Input Structures. The input 607 Au NR structure was created as suggested by Carbó-Argibay et al. 4 608 First, an FCC Au structure in a rectangular prism was created. Then, 609 the two base faces of the octagonal prisms were formed by Au {001} 610 facets, and the ends of the prism were truncated to form {011} and 611 {111} facets. Afterward, the lateral facets were truncated according to 612 a {520} configuration.

To create Pt-shell around the created input structure of Au NR 614 based on the morphology of 3D reconstructions retrieved by electron 615 tomography, first, the reconstructed volume was binarized by setting 616 the values above a threshold to 1 and setting the others to 0. Here, the 617 threshold value was defined by Otsu's method.<sup>57</sup> Next, each binarized volume was filtered with a 3D Gaussian smoothing kernel to 619 create a mask. At the same time, an FCC Pt structure was created in a 620 rectangular simulation box. Then, Pt structures were extracted by applying the created masks to the FCC rectangular simulation box. 622 Finally, the previously created Au NR was placed at the center of the 623 created Pt structures. Since using the actual size of the experimental 624 NPs in MD simulations requires vast computational power, the overall 625 sizes of the created Au@Pt NRs were scaled down. However, for all 626 the other parameters, such as aspect ratio and Pt/Au ratios, 627 experimentally determined values were used.

The surface coverage of the Pt shell was calculated from the ratio 628 629 between the number of Au surface atoms covered by the Pt-shell and 630 the total number of atoms at the surface of the Au core. Hereby, 631 neighbors of surface Au atoms within a defined cutoff distance, 2.99 632 Å, were defined. If there is at least one Pt atom among the neighbor 633 list of a surface Au atom, that Au atom was counted as covered by Pt-634 coating.

Simulations. All the MD simulations were carried out using the 635 636 program Large-Scale Atomic/Molecular Massively Parallel Simula-Solution 3 3 structures were visualized by the OVITO open visualization 638 tool.<sup>59</sup> The equations of motion were integrated using the velocity 639 Verlet algorithm with a time step of 1 fs. Nonperiodic boundary 640 conditions were applied in all three directions with a large vacuum 641 area. Also, the total linear and angular momenta were conserved so 642 that the rod did not drift nor rotate during our simulation. The simulations were carried out with the EAM potential.<sup>46</sup>

First, the conjugate gradient energy minimization was applied to all 645 input structures of NRs by imposing a maximum distance that an 646 atom can move in a one-time step. The maximum displacement 647 distance was first set as 0.01 Å. Afterward, the systems were relaxed at 648 room temperature for 20 ns in a canonical ensemble with Nosé-649 Hoover thermostat (NVT ensemble) and a damping factor of 0.1. 650 Then, the temperature of each system was increased with the NVT 651 ensemble to the specified temperatures with a constant heating rate of 652 0.5 K/ps, and the NRs were relaxed for 20 ns at each temperature. For the simulation of the Pt islands (111) on the Au surface (111), 653 654 an Au substrate was created with (111) surface facets and relaxed for 655 10 ns at room temperature. During the relaxation, periodic boundary 656 conditions were applied in the x and y-directions, and a nonperiodic 657 boundary condition was applied in the z-direction. Next, Pt islands

658 with different thicknesses were placed on top of the surface and a full

659 relaxation was performed for 20 ns at room temperature. Then the

660 temperature was increased with a 0.5 K/ps heating rate and relaxed

661 for 20 ns at 200 °C, 400 °C, and 600 °C by using NVT.

# ASSOCIATED CONTENT

## Supporting Information

The Supporting Information is available free of charge at 664 https://pubs.acs.org/doi/10.1021/acsnano.2c02889.

> Movie S1: 3D HAAF STEM reconstruction of sample 666 (1) at room temperature (MPG)

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Movie S2: 3D HAADF STEM reconstruction of sample 668 (2) at room temperature (MPG) 669

Movie S3: 3D HAADF STEM reconstruction of sample 670 (3) at room temperature (MPG) 671

Movie S4: 3D EDX reconstruction (MPG)

Movie S5: In situ HAADF-STEM time series of sample 673 (3) illustrating void formation upon heating (MP4)

Movie S6: 3D HAAF STEM reconstruction of sample 675 (1) after being heated up to 200 °C (MPG) 676

Movie S7: 3D HAAF STEM reconstruction of sample 677 (2) after being heated up to 200 °C (MPG)

Movie S8: 3D HAAF STEM reconstruction of sample 679 (3) after being heated up to 200 °C (MPG)

Detailed description of Au@Pt NPs colloidal synthesis. 681 Statistical analysis of HAADF-STEM images. Additional 682 EDX-STEM mappings of the depleted Au and the Pt 683 shell after annealing. Analysis of Au NRs with high index 684 facets using electron tomography and 3D fast Fourier 685 transform. MD simulations of spherical Au-Pt NPs. 686 Extra input structures used in the MD simulations. MD 687 simulations of an Au@Pt NR with a continuous Pt-shell 688 (PDF)

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# 735 Author Contributions

<sup>4</sup>A.P.-T. and E.A.I. contributed equally. A.P.-T. performed EM rate characterization and analysis. E.A.I. executed and analyzed the mD simulations. A.S.-I. and V.K. performed the NP synthesis.
Q.C., M.W. and B.F. performed the 3D EDX-STEM rate acquisition and 3D reconstruction using an Ultra-X detector.
W.A., S.V.A, L.M.L.-M. and S.B. supervised the project. The manuscript was written through contributions of all authors.

### 43 Notes

744 The authors declare no competing financial interest.

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