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1	Peruvian marine ecosystems under metal contamination: first insights for marine species
2	consumption and sustainable management
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13	
14	Abstract
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16	Scientific research addressing environmental conditions of aquatic ecosystems has high priority
17	in Peru. Nevertheless, there is a lack of knowledge on environmental contamination of Peruvian
18	marine ecosystems. To address this knowledge gap, this review article summarizes the available
19	information in order to estimate the environmental health status (EHS) of Peruvian marine
20	ecosystems. In this study, none of the studied Peruvian marine ecosystems could be rated as
21	EHS-good, and the southernmost locations showed the most degraded conditions and a low
22	EHS. Freshwater and brackish ecosystems contribute to the overall metal concentrations in
23	Peruvian marine ecosystems. Environmental contamination and stressors are also reaching the

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Peruvian Marine Protected Areas (MPAs). The management of coastal marine areas and MPAs
in Peru should be urgently re-formulated. This study also identifies the optimal bio-monitoring
approach in the current economic situation in Peru, and how marine research studies can support
adjacent fields, e.g. nutrition and human health.

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Keywords: Environmental health status; metal contamination; marine; malnutrition; future
foods; Peru

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32 **1. Introduction**

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The environmental or ecosystem health status (EHS) is an integrated approach that could help 34 to determine the degree of degradation of a specific ecosystem (EEA, 2019) and translate it into 35 36 useful information for the local population and policy makers from Peru. EHS is not simple to define because it integrates the complexity of human-environment interactions. Moreover, the 37 38 current diverse environmental problems (e.g. pollution; El Niño events,...) makes EHS even more difficult to address (EEA, 2019; WHO; 2019). In marine areas, humans have been 39 operating with and within aquatic ecosystems for millennia, causing changes through often 40 complex interactions (Lavallée & Michèle, 2012). The consequences of human activities on 41 marine ecosystems around the globe have mostly been negative (EEA, 2019). A healthy 42 ecosystem implies that adverse or possible negative conditions are minimal or absent for the 43 ecosystems' organisms (ODPHP, 2019). Different international institutions or agencies aim to 44 maintain ecosystems in a healthy, uncontaminated, productive and resilient condition, so that 45 they provide services and benefits to humans (EEA, 2019; WHO; 2019). Within Europe for 46 instance, the Marine Strategy Framework Directive (MSFD) defines a good environmental 47

status to marine waters that provide ecologically diverse and dynamic oceans and seas, which
are clean, healthy and productive (MSFD, 2021).

50

In Peru, studies with an ecosystem-based approach are lacking, with the exception of some 51 recent trophodynamic and modelling studies in either pelagic or benthic ecosystems (Kluger et 52 al. 2016; Espinoza et al. 2017; Loaiza et al. 2021). Peruvian marine ecosystems were described 53 as unit, such as the northern Humboldt Current System (NHCS) by Chavez, 2008. At the same 54 time, the latter study mentioned the importance of an ecosystem based-management approach. 55 The main reason for the lack of benthic-pelagic or ecosystem-based studies are that most studies 56 57 in Peru focus on a single species related to their economically and individually importance, therefore never interconnected, e.g. numerous oceanographic 'pelagic' studies on Engraulis 58 ringens, vs. numerous studies on Argopecten purpuratus ecology (Mendo et al. 2016; Moron 59 60 et al. 2019). The recent Chilean study in nearby waters of Peru remarked that benthic-pelagic trophic interactions are highly important in the NHCS ecosystems, due to the upwelling-61 62 governed marine system (Docmac et al. 2017).

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An ecosystem-based approach was also taken into account to study the seafood valuing in Peru. 64 65 The Peruvian anchovy E. ringens and other marine commercial species were modelled in order to determine the contribution of each species or group of species to the national Gross domestic 66 product (GDP) and employment in Peru. Unexpectedly, the study found that Peruvian anchovy 67 accounts for only 31% of the sector contribution to GDP and for only 23% of the employment 68 in Peru (Christensen et al. 2014). With a more eco-toxicology approach, EHS's were also 69 addressed using different research methods in Loaiza et al. (2018; 2020a; b; c). These studies 70 71 led to a consistent pattern for the EHS analysis in Peruvian marine ecosystems, showing that the southern locations (e.g. Paracas Bay - Ica and Punta San Juan - Marcona) were more 72

degraded and metal enriched than locations in the North (Sechura Bay). Metal contamination
is currently a serious concern in Peru, especially in the southern part of Peru that has been
characterized as "naturally metal-rich", as stated by the government to explain the elevated
concentrations of harmful metals, e.g. Cd, Pb (Barriga-Sánchez and Pariasca, 2018; SANIPES,
2019).

78

Peru is a mining country, being the first in gold and second in cadmium production in Latin 79 America, and the second in copper, silver and zinc production worldwide (MINEM, 2019). The 80 southern region has a high concentration of mining activities from Shougang down to 81 Pucamarca at the border with Chile (e.g. up to 15 concessions in Arequipa), which could lead 82 to substantially increased metal levels and low EHS in the southern marine and coastal 83 environments (MINEM, 2019). Agriculture is also an economical activity that could contribute 84 85 considerably to the high metal concentrations found along the coast of Peru (MINAM, 2019). This activity is poorly regulated and pesticides and other chemicals (i.e. metal derived-86 87 compounds) are indiscriminately used, thus discharge in coastal marine areas can occur (Yucra et al. 2006). 88

89

90 Peruvian marine ecosystems are still rich and abundant in living and non-living resources. This positive aspect could be also a threat for the conservation of these ecosystems. Over-91 exploitation of Peruvian domain resources are highly possible to happen when no precautionary 92 management plan is considered. Previous experiences on Peruvian anchovy and Peruvian 93 scallop are "lesson-learnt" of the high and non-planned exploitation of marine resources 94 (Chavez, 2008; Mendo et al. 2016). In the meantime, these species were also highly impacted 95 by the 1972 El Niño: Peruvian anchovy, and by El Niño 82/83 and 97/98: Peruvian scallop, 96 where both fisheries collapsed. This complex NHCS productive ecosystem is well known 97

because it is strongly affected by climate variabilities (e.g. ENSO) (Chavez, 2008; Mendo et al.
2016). Therefore, scientific ecosystem-based research is urgently needed in this changing and
unpredictable ecosystems.

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Peruvian marine ecosystems have been monitored since 1954 by the Consejo de Investigaciones 102 Hidrobiológicas (CIH) (Chavez et al. 2008). So far, oceanographic and sanitary measurements 103 have been reported in Peru, however a more research-based and improved approach should be 104 105 implemented (IMARPE, 2019; SANIPES, 2019). In developing countries such as Peru, in-situ studies (or field monitoring) are a priority due to the lack of baseline information in marine 106 ecosystems. Therefore, scientific knowledge on marine ecosystems is increasing in Peru, 107 especially in oceanography due to the threats to the Peruvian anchovy industry, e.g. El Niño 108 and ongoing Climate Change (IMARPE, 2019). This process is accelerated by extra funding 109 110 from the Consejo Nacional De Ciencia y Tecnologia (Concytec) of Peru, which provides substantial funding for research serving the development of Peru (Melgar-Sasieta et al. 2018), 111 112 including the present study.

113

Different methods have been developed to study marine ecosystems as a unit, including new 114 models to study trophic interactions and contaminants distribution (e.g., Ecosim model, 115 Ecotracer; which are part of Ecopath with Ecosim (EwE) software), in order to integrate the 116 different compartments (incl. contaminants) of the ecosystem under study (Walters and 117 Christensen, 2018; Booth et al. 2020). These models helped to simulate, estimate and analyze 118 the environmental status to understand its functioning for a proper management as an unit. The 119 EwE software package can be used for multiple purposes, such as: 1) to address ecological 120 questions; 2) to evaluate ecosystem effects of fishing; 3) to explore management policy options; 121 4) to analyze impact and placement of marine protected areas; 5) to model effect of 122

environmental changes; 6) to facilitate end-to-end model construction; 7) to predict movement
and accumulation of contaminants and tracers (Ecotracer) (Booth et al. 2020).

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The use of a large data set and Geoecological Information-Modeling System (GIMS) was 126 performed to model the status of marine ecosystems (e.g. Okhotsk Sea). It is based on existing 127 monitoring data from a marine ecosystem to predict its status, including its complex and 128 nonlinear biological structure (biocomplexity) and its ability to remain alive or continue to exist 129 (survivability) (Varotsos et al. 2018; 2019). The dependence of energy exchange processes on 130 environmental factors is modeled with a minimum level of uncertainty. The biological 131 components are modeled using traditional trophic balance equations, whose coefficients are 132 determined from observational data. The Sea Ecosystem Model showed that the Okhotsk Sea 133 could have a critical status, when the biocomplexity and survivability of this ecosystem reach 134 135 dangerous levels due to Climate change after the 2075 year, the total production of Okhotsk Sea will begin to decline after that year (Varotsos et al. 2019). 136

137

The present study assesses the metal contamination of Peruvian coastal ecosystems in Sechura 138 Bay (incl. Illescas Reserved Zone), Paracas Bay and Punta San Juan. This study used the actual 139 values of metals (As, Cd, Cu, Ni, Pb and Zn) and beneficial compounds (fatty acids) from 140 previous studies, from different environmental compartments (i.e. from food sources to 141 predators) and aquatic ecosystems, e.g. marine, brackish and freshwater ecosystems. The 142 following research questions were addressed in this study: 1) how is the sediment and seston 143 quality?; 2) is there an influx of contaminants from freshwater-brackish resources?; 3) how 144 much is the degree of metal contamination in marine reserves or protected areas (MPAs)?; and 145 4) how much is the content of beneficial elements/compounds and contamination in seafood? 146 Additionally, the present study addresses the use of different approaches and designs for EHS 147

148	monitoring and how to provide information on the proper consumption of marine species in
149	Peru, specifically for human use e.g. food safety and nutritional approaches.

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2. Methods

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- 153 **2.1 Data analyses**
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- 155 2.1.1 Environmental health status (EHS)

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157 Data on metal concentrations and estimated EHS of the following Peruvian ecosystems were taken from Loaiza et al. (2018; 2020a; b; c): Sechura Bay (southern location SL, northern 158 location NL, incl. Illescas Reserved Zone IRZ), Paracas Bay (PL1, PL2) and Punta San Juan 159 160 (PSJ, SHO) (Fig 1). Briefly, frozen tissues and the environmental compartments (POM; sensu lato, seston and sediments) were dried for at least 72 h at 60°C. The dried tissues were weighed 161 162 and sorted into small (<0.06 g) and large (>0.06 g) tissues. Small and large tissues were digested overnight with respectively 1 or 2.5 ml of highly purified concentrated 69% nitric acid (HNO₃). 163 For filters (POM; sensu lato and seston) and sediments, 4 ml of highly purified concentrated 164 (69%) HNO₃ was used. Subsequently, all samples were heated to 110°C during 30 min in the 165 digester. After cooling (~10 min), 0.1, 0.25, 0.5 ml of hydrogen peroxide (H₂O₂) was added for 166 small tissues, large tissues and the environmental compartiments (POM; sensu lato, seston and 167 sediments), respectively. The samples were heated again during 30 min at 110°C to complete 168 the total digestion. The digested samples were diluted up to 5 (small), 10 (large) and 40 (filters 169 and sediments) ml with Milli-Q grade for the metal analysis using inductively coupled plasma 170 mass spectrometry (ICP-MS; 7700×, Agilent Technologies). For samples below the detection 171 limit (BDL) of the ICP, an extra analysis with the high resolution inductive coupled plasma 172

mass spectrometry (HR-ICP-MS; Element XR, Thermo Scientific, Finnigan element 2, Bremen, Germany) was performed. The quality controls for metal analysis consisted of standard reference material (SRM) for mussel tissues (2976, National Institute of Standards and Technology, NIST). The recovery ranges were 98.9–113.5% (n=71) for each metal. For filters and sediment, the certified reference materials were the Channel Sediment BCR-320R and the Estuarine Sediment BCR-277R, which exhibited recoveries from 70.3% to 121.1% (n=23, per metal).

180

Metal and metalloid concentrations (As, Cd, Cu, Ni, Pb and Zn) in sediments and seston (also 181 considered as re-suspended sediments) were compared with the different international limits 182 (Long et al. 1995; U.S. EPA, 2019). Firstly, sediment and seston concentrations (µg/g dwt.) 183 were compared to the estimated indices: Effects Range-Low (ERL) and Effects Range-Median 184 185 (ERM) to determine adverse biological effects due to chemical sediment concentrations. The ERL values are the lowest concentration of a metal in sediment that produce adverse effects in 186 187 10% of the data reviewed. Similarly, the ERM designates the level at which half of the studies reported harmful effects. Metal sediment and seston concentrations below the ERL value are 188 not expected to cause adverse effects, while levels above the ERM value are likely to be very 189 toxic. Locations were rated as "good" EHS when the concentrations of all measured metals in 190 sediments and seston were below the ERL limit. An "intermediate" EHS rating applied if one 191 metal exceeded an ERL limit, and a "poor" EHS rating refers to an exceedance of the ERM 192 limit for at least one metal (Long et al. 1995; U.S. EPA, 2019). Secondly, a similar approach 193 was applied using the Interim Sediment Quality Guideline (ISQG) and the Probable effect level 194 (PEL) from the Canadian Council of Ministers of the Environment (1999). When the 195 contaminant in sediments and seston is \leq ISQG, up to 9% of adverse biological effects can be 196

expected, while the probability for adverse effects is 13-27% when the concentration is between
ISQG and PEL and it is up to 71% when the contaminant level is > PEL.

199

200 Basal resources (e.g. particulate organic matter or POM; sensu lato) were compared in relation to their origin, e.g. freshwater-brackish environments vs. marine environments, which gives an 201 indication of the metal influx (i.e. As, Cd, Ni, Pb) from the freshwater-brackish ecosystems to 202 the coastal marine ecosystems. The comparison was performed using statistical analysis (i.e. 203 one-way ANOVA and post-hoc Tukey multiple comparison) in order to determine how 204 significant (p < 0.05) and different are the environments in metal concentrations, and the 205 possible metal contribution from one environment to the other one. To evaluate the EHS of 206 ecosystems in the vicinity of the marine reserves or protected areas (MPAs) of Peru, POM sensu 207 *lato* and sediment metal concentrations ($\mu g/g dwt$.) in different proximities (i.e. in front, near, 208 209 far) to the MPAs were compared. The northern MPA is Illescas Reserved Zone, the center MPA is the Paracas National Reserve and the southern MPA is the Punta San Juan Reserve (i.e. part 210 211 of the Peruvian Guano Islands, Isles and Capes National Reserve (RNSIIPG)). In front, near 212 and at far distances refer to 1-5, 10-12 to up to 25 miles from the MPA, respectively.

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214 2.1.2 Human health and nutrition

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The maximum amount (limited by the most toxic metalloid and metal, e.g. inorganic As and Cd) that could be consumed of each species was based on results from Loaiza et al. (2018; 2020b), while the nutritional and beneficial (EPA+DHA+ARA) intakes (mg/day) were taken from Loaiza et al. (2020b). Briefly, fatty acids (FAs) of tissues were extracted and methylated to FA methyl esters (FAMEs) by a modified 1-step derivatization method following Abdulkadir and Tsuchiya (2008) as in De Troch et al. (2012). Samples were freeze-dried for at least 48 h

and weighed and placed in glass tubes of 7 ml. Subsequently 2 ml of 2.5 % sulfuric acid 222 (H₂SO₄)-methanol solution (in a proportion of 1:4) was added. An internal standard (1 mg/ml 223 of methylnonadecanoate C19:0) was added and the solution was vortexed for 10 s, prior to 224 placing them in a water bath at 80°C for 90 min. Samples were cooled down to room 225 temperature (~5 min) and 1 ml of hexane and 1 ml of sodium chloride (NaCl) (0.98%) were 226 added, followed by stirring again for 10 s. Samples were placed in a centrifuge 5810 R 227 (Eppendorf) at 160 g speed for 10 min. Then, the extracted samples in hexane (upper layer) 228 229 were transferred to small vials with sodium sulfate (Na₂SO₄) for absorption of the last remaining water for at least 1 h, and subsequently placed in a micro insert spring of 1 ml for FA analysis. 230 231

The extracted FAMEs obtained were analyzed using a gas chromatograph (HP 6890N) coupled 232 to a mass spectrometer (HP 5973). The samples were run in split10 mode injecting 1 µl at an 233 234 injector temperature of 250 °C using an HP88 column (Agilent J&W; Agilent Co., Santa Clara, CA, USA). The FAME were identified by comparing the retention times and mass spectra with 235 236 authentic standards and mass spectral libraries (WILEY, NIST, FAME, own libraries) and 237 analyzed with MSD ChemStation software (Agilent Technologies). The FAME quantification was calculated by linear regression of the chromatographic peak areas and corresponding 238 concentrations of the external standards, ranging from 100 to 1000 µg/ml. Control samples 239 (n=30) were treated and analyzed in the same way as the samples to test the applied analytical 240 procedure accuracy. Internal standard (1 mg/ml of methylnonadecanoate C19:0) was also added 241 in order to ensure precision control for the extraction procedure. All FA concentrations were 242 calculated on mg/100g wet weight basis (wwt.). These units were selected in view of the 243 relevance of the obtained data for the nutrition and food safety and industry approach (Loaiza 244 et al. 2020b). 245

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2.2. Statistical and numerical analyses

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249	2.2.1	Statistical	analysis
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One-way ANOVA and post-hoc Tukey multiple comparison were performed to compare metal concentrations in freshwater-brackish and marine basal resources, as well as for the metal concentrations between different proximities to the MPAs. For non-parametric data, an extension of Kruskall-Wallis test was used with the post hoc non-parametric Kruskalmc test function. Results were statistical significant when p < 0.05.

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257 2.2.2 Numerical analysis
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259 The cost-benefit to conduct different EHS approaches

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Three different EHS approaches were applied to determine the cost-benefit of these potential monitoring strategies (Loaiza et al. 2018; 2020a; b; c): 1) single species approach, 2) multispecies approach and 3) ecosystem-based approach. Budgets ($\in \cdot$ area \cdot yr) were calculated per sampling area and year based on the analysis of metals (e.g. potentially harmful), micronutrients and fatty acids (non-harmful or beneficial), and stable isotopes as chemical analysis costs.

267

268 The budget was estimated using the following equation:

269

270 Budget (\in · area · yr) = n x (environ. compart) x n x ($t_{species}$) x R x C. C. A x T. $L_{(f)}$ + ((n)xS_{Cam}))

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272

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environ. compart: environmental compartments (species and/or food sources);

275 t_{species}: tissues of species;

- 276 S_{Cam} : sampling campaigns; considering 150 \in per sampling campaign (incl. materials, fuel,...);
- 277 n: number of environ. compart, or t_{species} or S_{Cam};

278 R: number of replicates;

279 C.C.A: cost per chemical analysis: Digestion + first metal analysis is 14 €, additional metals

are 2 € per metal (based on UAntwerpen facilities: <u>https://www.uantwerpen.be/en/research-</u>

- 281 <u>groups/sphere/</u>); 10 \in for stable isotope analysis (based on UC-DAVIS:
- 282 <u>https://stableisotopefacility.ucdavis.edu/</u>); and 50 \in per fatty acid profiling (based on UGent
- 283 facilities: <u>http://www.assembleplus.eu/TA_UGent</u>);
- 284 T.L_(f): time-lapse factor, 12 for monthly; 4 for seasonal; 1 for inter-annual evaluations.
- 285

286 **3. Results and discussion**

- 287
- 288 3.1 Environmental health status (EHS)
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Based on the comparison between metal concentrations (μ g/g dwt.) in sediment and seston and the ERL (for As (8.2); Cd (1.2); Cu (34); Ni (21); Pb (47); Zn (150)) and ERM (for As (70); Cd (9.6); Cu (270); Ni (52); Pb (220); Zn (410)), there is no single location in this study that could be rated as "good". The sites PL1, PL2 and PSJ could be rated as intermediate (Fig 1). IRZ, SL, NL and SHO were considered to be the poorest in terms of environmental conditions, they exceeded the ERM-limits for Cu, Ni and Zn (Fig 1). When the ISQC ((for As (7.24); Cd (0.7); Cu (18.7); Pb (30.2); Zn (124)) and PEL ((for As (41.6); Cd (4.2); Cu (108); Pb (112); Zn

(271)) are used, the highest % incidence of adverse conditions was found for SHO, this location 297 298 exceeded the ISQC for As and Pb and the PEL for Cd, Cu and Zn. IRZ, SL, and PL2 also exceeded PEL for Cd, so this means that there is a 71% chance that living organisms from these 299 300 four locations could be affected by the Cd concentrations measured in sediment and/or seston (see Fig 1). Sediment contaminant levels also reflected the EHS determined by Loaiza et al. 301 (2018; 2020a; b; c), with the sediment of the southern Punta San Juan' location (SHO) having 302 the poorest environmental condition. This location showed the highest % incidence of adverse 303 304 biological effects and the highest metal concentrations. IRZ and SL, the southernmost locations of Sechura Bay also exhibited degraded conditions as indicated by the metal contamination in 305 the sediment and seston. 306

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308 Oceanographic conditions (incl. El Niño effect) could also explain the EHS spatial and temporal 309 variation in the Peruvian coastal waters. The important Northern Humboldt Current System (NHCS) flows northward from Chile to the north of Peru. Despite the fact that it is oceanic, this 310 311 strong current probably washed contaminants from the south to the north, and distributed them 312 along the way (center-south of Peru: Paracas and Punta San Juan) (Cabarcos et al. 2014). As this is a strong upwelling system, the sunken nutrients, minerals and possible contaminants are 313 314 probably also brought to the surface and distributed along the water column, and as such will be distributed over the entire ecosystem. Peruvian Coastal Currents also play a crucial role in 315 particulate matter distribution (i.e. chemicals), and reinforce what is brought up by the NHCS 316 (Huyer et al. 1991; Cabarcos et al. 2014). 317

318

During the strong-El Niño of 2017, river flow capacities reached their maximum in Peru due to the strong rains. Their discharges (incl. contaminants) were extremely high along the Peruvian coast, which substantially changed the environmental conditions (and thus health) of the marine

ecosystems (Loaiza et al. 2018; 2020a; 2020b). Fig 3 shows the metal contribution of freshwater 322 323 and brackish environments to the marine environment. Metal levels (e.g. As, Ni) for the POM (or 'input') from the Sechura River, Virrila Estuary and San Pedro Mangrove were mostly 324 higher than the concentrations in the marine ecosystems, and significantly different for the 325 concentrations of As, Ni and Pb in sediments. The input from river and mangrove was also 326 significantly higher in As and Ni concentrations than those in marine compartments (with the 327 exception of Ni-seston). High variability was found for Cd in both environments, similar 328 concentrations (up to $\sim 5 \ \mu g/g$) and non-significant differences were observed for the 329 freshwater-brackish and marine basal resources (Fig 3). 330

331

The metal contamination at different distances from the MPAs shows that the highest POM and 332 sediment metal concentration (e.g. As, Pb) were measured near the southern MPA, followed by 333 the location in front of the northern MPA (Fig 4). Overall, in front of (1-5 miles) and in the 334 vicinity of (up to 12 miles) the MPAs a similar degree of metal contamination was observed, 335 336 with slightly lower levels in the sampling locations further away. This pattern means that environmental contamination and stressors are reaching the MPAs and their surrounding areas. 337 MPA management in Peru should therefore be re-formulated in terms of possible direct and 338 339 indirect environmental impacts in their vicinity, from the south to the north. We suggest a proper marine monitoring program (incl. vigilance) along the coast and marine vicinity of the 340 341 MPAs, to identify the main stressors and metal-driven activities. It is noteworthy to mention that the Peruvian government considered mainly the land area as the protected or reserved area 342 for Illescas Reserved Zone and for Punta San Juan Reserve. It covers 4 miles without a proper 343 maritime control from the government, which can explain the lack of control of these marine 344 ecosystems. Therefore, when the northern MPA was evaluated, we could see that the major 345 industrial activities were situated around that Illescas Reserved Zone and during water 346

347 collection, oil traces were observed along the water surface and sub-surface of the sampling348 locations (pers. obs.).

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Overall, the metal concentrations in environmental compartments, e.g. POM, sediment and 350 seston strengthen the consistent pattern, e.g. the southernmost locations (i.e. SHO, IRZ, 351 southern MPA) have a poor EHS, as shown in Loaiza et al. (2018; 2020a; b; c) previous EHS 352 analyses, which were mainly based on organism specific-tissue metal accumulation that reflects 353 the environmental current status. We emphasise that metal contamination is a serious concern 354 in Peru, this is reflected in 1) the elevated sediment and seston concentrations that exceeded the 355 356 international limits (e.g. ERM, PEL) being more pronounced in the southern locations; 2) the possible effect of metal (e.g. As, Ni) exchange between freshwater-brackish environments and 357 marine coastal environments, and being intensive during El Niño events and 3) the level of 358 359 degradation in the proximity of the MPAs, with the MPA in the South being the most metal enriched. As previously mentioned, mining (incl. illegal mining) and agriculture could be most 360 361 important source of metal contamination, however more studies must be conducted to determine the activity contributing most to the metal levels in Peruvian aquatic systems. 362

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364 Further studies that multi-integrate the environmental compartments could play a key role to estimate the EHS into a 'big picture' using methods, such as: Ecotracer model, GIMS data and 365 other complementary data; which could strength the insights of the study. Environmental metal 366 concentrations is only one part of the stressors for aquatic ecosystems in Peru, the inclusion of 367 Climate change and ENSO as driven variables in the model could give a more dynamic analysis 368 that provides information for a better ecosystem-based management of Peruvian ecosystems. 369 Varotsos et al. (2019) could determine that the Okhotsk Sea will decrease its productivity in 370 ~55 years due to Climate change. The same conclusion can also be made for Peruvian 371

ecosystems using a large data set (i.e. GIMS) combined with anthropogenic (e.g. metal 372 contamination) factors. Two trophic model studies indicated that Sechura Bay Ecosystem is a 373 relatively inefficient system from a community energetics point of view, likely due to the 374 periodic perturbations of ENSO. These studies also found that the environmental driver 375 variables, i.e. riverine inputs played a crucial role on the scallop survival and ecosystem 376 dynamics, information that could also be integrated to the present study for ENSO scenario 377 predictions, which is highly relevant for the management of marine ecosystems in Peru (Taylor 378 379 et al. 2008; Loaiza et al. 2021).

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381 *3.2. Designing strategies for EHS monitoring*

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383 In determined time-frame studies, it is crucial to set priorities. Generally, research questions are determined first, and then a possible way to tackle these questions and find answers is designed. 384 It is essential to take into account procedures that are economically, logistically and analytically 385 386 feasible. This also partly explains why different approaches can be performed for EHS analyses (Long et al. 1995; Breitwieser et al. 2018). Different approaches for EHS or monitoring 387 program design are always related to the cost-benefit of the involved activities. In terms of cost-388 389 efficiency, a research-question approach prior to analysis is ideal for EHS. It is not always feasible to measure an enormous amount of biotic and abiotic environmental compartments to 390 estimate EHS. Therefore, a summarizing analysis of the cost-benefit of the different approaches 391 was made (Fig 5). 392

393

The single species use is the economically most optimal approach (2 $760 \in \cdot$ area \cdot yr), but a substantial ecological knowledge of the species under study (e.g. potential bioindicator; *A*. *purpuratus*; Loaiza et al. 2020a) is required. The species could be analysed by using its different

compartments (e.g. 5 tissues: gills, digestive gland,...) to give a wide spectrum of tissue-397 specific contaminant accumulation for each season (T.L_(f): 4) (Fig 5). It is noteworthy to 398 mention that only relevant and specific contaminants (number of samples; n=3) must be 399 considered including potentially harmful metals (Cd, As,...; 6 replicates per tissue) due to their 400 high persistence and for the food safety approach. Study areas should be separated by enough 401 distance (not just a few miles) to disentangle population mixing. These distances will allow to 402 compare different environmental conditions and pressure from anthropogenic activities (Loaiza 403 404 et al. 2020a).

405

A multi-species (number of samples; n=6) approach based on ecologically and economically 406 important species requires a medium budget (~9 570 \in · area · yr) (Fig 5). The budget is mainly 407 related to the measurement costs of biomarkers such as fatty acids (~ $50 \in$ per sample; 3 408 409 replicates per species), but also numerous metals (n=7; As, Cd, Cu, Fe, Ni, Pb and Zn; 6 replicates per species). The advantage of measuring fatty acids and micro-nutrients (e.g. Fe, 410 411 Zn,...) is its dual use as tracer and biomarker, and as beneficial compound for nutritional 412 approaches. Seasons but also low-and-high rain periods (T.L_(f): 5; 4 seasons + 1 extra sampling in summer (i.e. high-raining period)) are considered in this approach based on the impact of the 413 414 river discharges (incl. other environmental factors) on the seafood safety and quality (Loaiza et al. 2018). 415

416

An ecosystem-based approach requires a large budget (~ 16 296 \in · area · yr) because of the high number of environmental compartments to be sampled, and the number of sampling (~ 6) expeditions in order to collect all samples per region (Fig 5). In order to have a robust picture of the ecosystem tropho-dynamics and functionality in Peruvian marine ecosystems, a minimum number of species (or trophic levels) is required for the analysis (n= ~23; 20 marine

422	species and at least 3 food sources i.e. POM, seston,). This number of species is related to
423	the high biodiversity (and abundance) found in the study locations along the Peruvian coast
424	(Loaiza et al. 2020b; c). The budget of 16 296 \in · area · yr is only considering metals (n=3; As,
425	Cd, Pb,) and stable isotopes ($\delta^{15}N$ and $\delta^{13}C$) to be analyzed. In case of the nutritional
426	approach, e.g. to search for new marine foods, the amount would reach up to a ~2-fold higher
427	cost due to the fatty acid analysis (Loaiza et al. 2020b). Regional and seasonal samplings are a
428	priority for numerous species approaches (i.e. multi-species or ecosystem) due to the high
429	budget per sampling action. This sampling method has the advantage that it can answer
430	additional research questions related to the effect of climate and oceanographic characteristics
431	(i.e. ongoing Climate Change, El Niño event), which is stronger at the regional level.

4. Future perspectives for marine contamination research in Peru

4.1 Improving feeding practices and nutrition of Peruvians

Health risk assessments could be part of an EHS monitoring when the human component is included, e.g. the analysis of beneficial and risk elements (or compounds) of commercial (~edible) species for humans; which gives insights and useful information to estimate the health status, risks and habits of certain populations (Oremus et al. 2011). Fig 6 shows preliminary guidelines for seafood consumption based on the results obtained in Loaiza et al. (2018; 2020b). Both studies used risk indices to estimate the possible adverse effects of metal ingestion through the consumption of edible, potentially-edible and non-edible species. In human health, a very precautionary approach must be considered in order to give preventive information to the decision makers (Lipfert et al. 2005).

Loaiza et al. (2018) used the maximum level (MAL) of metal in edible species for index 447 calculations, instead of average values as was the case in Loaiza et al. (2020b). For example, 448 the safe amount (per week) that can be consumed of the snail Bursa ventricosa was a rate of 449 450 0.02 kg/week in Loaiza et al. (2018), a 10-fold lower value than the calculated amount (0.21 kg/week) with the average metal concentrations of Loaiza et al. (2020b) (Fig 6). It is noteworthy 451 to mention that Loaiza et al. (2020b) also included more precise ingestion rates in the analysis 452 per study area, based on the frequency feeding questionnaires (FFQ; incl. anthropometrics), 453 while the other study used ingestion rates estimated from the seafood (item) available for a 454 Peruvian person from Food Balance Sheets (FBS) (FAO, 2019). 455

456

When the estimated ingestion rates based on FFQ and FBS were compared, we found 457 considerably differences: mollusks are ingested (or supplied) in up to 35 times larger quantities 458 459 (in accordance to the FBS) than the ~0.4 g/day estimated value for snail consumption using the FFQ. An over-estimation could also be observed for crustaceans, up to 7-fold higer ingestion 460 461 rates (i.e. 2.8 g/day) were calculated using FBS. However, octopus (i.e. cephalopods) consumption was under-estimated using FBS, with 0.8 g/day compared to an average of 5.0 462 g/day, based on FFQ. For fish consumption, the FFQ results in a range of 36-42 g/day were 463 comparable with the national estimated consumption (~14.5 kg/yr or 39.7 g/day; PRODUCE, 464 2019) from the Peruvian goverment. It is worth noting that FBS estimations and national 465 estimated consumptions are based on the entire Peruvian (population) regions, while the FFQ 466 467 were conducted in coastal populations in the North and South, partially explaining some of the differences. 468

469

In conclusion, a good preliminary analysis is required to properly address actual risks. In thiscase MAL values would give the most protective advise to the Peruvian authorities or decision

makers, i.e. SANIPES, PRODUCE, among others (see Fig 6). However, this risk could be over-472 estimated when FBS data (i.e. food item supply or availability) are used. Individual intake levels 473 (incl. anthropometrics) from nutritional and social surveys (e.g. FFQ) for specific populations 474 give the most accurate information to determine the actual risks for seafood consumption in 475 Peru. A probabilistic model (e.g. Monte Carlo simulation) that integrates the specific-individual 476 intake and seafood nutrient/contaminant could be also an interesting methodology to determine 477 the risk and benefit for Peruvian seafood consumption and was already suggested to be used in 478 479 future studies (Sioen et al. 2007).

480

Further studies and interventions on improving feeding practices and nutrition of the local 481 population on the long term are needed in Peru. Chronic malnutrition in children (< 5 year) and 482 chronic diseases in adults are still severe problems in Peru (Majluf et al. 2017). Risk estimations 483 484 are valuable information but *posteriori* actions are the important steps to tackle and attenuate the real problem. This can be realized 1) by providing education on seafood quality to 485 486 researchers, doctors, fishermen and teachers at the local scale, and 2) by increasing awareness on food safety and food quality for seafood through guidelines at the national level. The 487 combined work of public health experts and marine biologists could lead to actions (e.g. human 488 health evaluations) at different levels of organization and interactions (Fig 7). 489

490

Affordability and access of seafood, and people's feeding habits, perceptions of seafood quality and perceived benefits over health (e.g., malnutrition and chronic diseases) are factors that could contribute to the acceptance and consumption of seafood (Laraia et al. 2013). We suggest the use of a socioecological perspective for understanding the range of factors and actors that influence health and seafood consumption (Peters et al, 2008). The socio-ecological model proposes that behaviour is influenced by five levels of social environments which include

intrapersonal, interpersonal, institutional, community and public policy: i) at personal level, e.g. 497 with issues related to knowledge and skills, ii) at interpersonal level e.g. factors influencing the 498 social network or the interaction between community members, and family, iii) at community 499 500 level, e.g. information available at a health care level or from programme promoters, iv) at institutional level, e.g. availability of actors and programmes and delivered interventions in the 501 study area and v) at policy level, e.g. general mandates or governmental sectoral policies (incl. 502 human health care projects) (Fig 7). As a result, all actors will be involved in the development 503 504 of guidelines for seafood consumption in Peruvian populations.

505

506 To improve feeding practices and nutrition of the local population in Peru on the long term, we proposed to design an intervention study over a 4-year period. Data collection will include 507 repeated observations of the same variables at two moments, at the baseline (before the 508 509 intervention) and at the end of the study (after the intervention). Participants will include children under 5 years, and men and women from specific locations in Peru. The following data 510 511 could be collected at the baseline and the end of the study: 1) Socio-economic status (SES) and 512 livelihoods questionnaire: socio-economic information will include demographic characteristics, households' material endowments, education and income. Questions will be 513 adapted from the National Demographic and Health Survey (INEI, 2021; ENDES, 2020). 514 Additionally, the questionnaire will collect information regarding the five capitals of the 515 Sustainable Livelihoods Framework: financial, human, social, physical and natural (Lax & 516 Krug, 2013). All this information will be used to determine the changes that improving seafood 517 518 consumption can have over those capitals providing a score, which can subsequently be translated to poverty reduction, well-being and capabilities as well as livelihoods adaptation, 519 520 vulnerability and resilience (Fig 7) (Commodore et al. 2013);

522 2) Food security and feeding practices assessments: This will be carried out in a food frequency
523 questionnaire (FFQ) to estimate dietary intake and through direct observation on a subset of
524 participants as in Loaiza et al. (2020b) (Fig 7);

525

3) <u>Health assessments:</u> For children under 5 years old, anthropometric measurements will be conducted to determine the prevalence of stunting, wasting, and the use finger pricking to determine anemia prevalence in these populations. In case of men and women, they will undergo a health evaluation that includes: anthropometric measurements, blood pressure, and a lipid and glucose profile using a point of care system. These measures will allow the diagnosis of metabolic syndrome, hypertension and diabetes (Fig 7) (Sanchez-Samaniego et al. 2019);

4) Elaborate and implement an education food safety guideline/educational materials: The 532 seafood composition and properties, estimates of dietary intake and health assessments at the 533 534 beginning and after the intervention will provide an insight of the required changes to improve the feeding habits. Food safety and food quality guidelines will be developed in cooperation 535 536 with the local population (incl. teachers, doctors, fishers and government functionaries) in workshops. Awareness of possible consequences of seafood consumption for human health will 537 also be discussed during these activities. Finally, this will provide the basic information to 538 539 establish policies for socio-environmental and human health care at the national government level (Fig 7). 540

541

All actors will be informed about seafood biochemical properties and the benefits and risks of seafood consumption, in terms of human health conditions. So far, local population and authorities are not aware about the consequences of consuming contaminated seafood, and what frequency and amount of consumption is possible according to risk assessments. On the other hand, micronutrients and fatty acids (e.g. omega-3 fatty acids) from seafood can contribute to

547 a good nutrition of children and the local population in general. At the same time, to know 548 which species are the richest in nutrients and properties and how this varies according to 549 individual size, season, location, etc., is required for a sustainable use of marine resources on 550 the long term.

551

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4.2 New marine foods for alternative diets

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Peruvian marine species exhibited high average amounts of 180, 100 and 40 mg/100g for EPA, DHA and ARA, respectively along the Peruvian coast (Loaiza et al. 2020b). Some of the highest concentrations were found in marine species that are characterized as potentially-edible or nonedible species for Peruvian consumers, suggesting that consumption of at least these species considered as potentially-edible could be promoted.

559 Food resources from land or sea ecosystems are getting depleted worldwide (Assadourian, 2010). The food industry has exponentially increased in recent decades because of the need to 560 561 produce enormous amounts of food (Parodi et al. 2018). The overexploitation of marine-based resources is also the reason of the abrupt decline of the biomass of some species (Morato et al. 562 2006). The over-fishing of a few marine species as food sources and under-utilization (e.g. 563 discards) of others is the cause of environmental degradation, as has been registered in fisheries 564 historical records (e.g. Peruvian anchovy Engraulis ringens) (Boerema & Gulland 1973). 565 Because of this non-sustainable scenario the use of alternative diets must be considered (Parodi 566 et al. 2018). 567

568

New marine foods, perceived as potentially edible or even when considered non-edible by Peruvians, offer possibilities to be used as alternative diets (Loaiza et al. 2020b). However, attention has to be paid to food processing of different marine species (i.e. sea urchin, crabs, algae...) to make them an attractive food for human consumption, as this will determine their potentiality as nutritive commercial products. Physical and chemical processes could be applied to change texture and taste, and simultaneously to reduce their content of any toxic or harmful elements (e.g. metals, viruses, or other contaminants/patogens) (Hajeb et al. 2014; Piras et al. 2016). A scientific approach should be applied to find the most suitable process to minimize, for example, the metal contamination in these new marine foods. In addition, it is necessary to try to give them the best sensorial properties for human consumption.

579

Next to their shape and best properties, the method of preparation/cooking will be important as 580 well. Increasing temperature by baking and steaming could considerable decreased the Pb and 581 Cd concentration in fish (Atta et al. 1997). Cooking and boiling of algae could eliminate As up 582 to 95% and 50%, respectively (Hajeb et al. 2014). Preparation and proper cleaning of edible 583 tissues (e.g. remove of kidney, hepatopancreas) also considerably reduced the metal contents 584 in mollusk bivalves (Bach et al. 2014). On the other hand, ethylenediaminetetraacetic acid 585 586 (EDTA) and cysteine are chemicals (i.e. chelating agents) with the highest potential application of the industrial removal of toxic elements (Hajeb and Jinap 2012; Hajeb et al. 2014). By using 587 response surface methodology (RSM), dipping raw mackerel fish fillets in a mixed solution of 588 i.e. cysteine, EDTA, hydrochloric acid, sodium hydroxide and salt, the optimum conditions 589 590 resulted in up to 91% Hg reduction (Hajeb and Jinap 2012).

591

Loaiza et al. (2020b) confirmed that the most promising new marine foods were the crabs *Cycloxanthops sexdecimdentatus* and *Cancer plebejus*, because of their beneficial chemical profile and low levels of potentially harmful (e.g. As, Cd) metals. The sea urchin *Tetrapigus niger*, the crab *Inachoides lambriformis*, the mussel *Seminytilus algosus*, the mantis shrimp *Squilla* sp. and the highly abundant squat lobster *Pleuroncodes monodon* contained high LC- 597 PUFA (EPA + DHA + ARA) concentrations but also Cd concentrations, which makes it more
598 complex to select them as suitable food.

599

600 When these new marine foods are compared to land-based foods (meat), the EPA + DHA concentrations are up to ~190-fold higher (see Fig 8). Their use as edible species could be 601 possible after testing by an appropriate process for metal depuration and/or sequestration. It is 602 worth to mention that the previous physical (e.g. thermal treatment) and chemical processes 603 could also alter the beneficial compounds, e.g. PUFAs (Piras et al. 2016). Subsequently the new 604 marine foods could be tested as safe and acceptable (i.e. certified) for human consumption. 605 Ecosystem-based management studies must be conducted prior to their exploitation in order to 606 avoid and repeat previous experiences of intensive resource exploitation (e.g. as most large 607 fisheries from the last decades). 608

609

610 **5.** Conclusions

611

612 Based on ERL and ERM comparisons, none of the studied Peruvian marine ecosystems could be rated as EHS-good. The southern location SHO was the poorest in terms of environmental 613 conditions, exceeding metal sediment/seston limits for all studied metals (As, Cd, Cu, Ni and 614 Zn). The southernmost locations (IRZ and SL) of Sechura Bay exhibited also degraded 615 conditions and a low EHS, reflected in the metal sediment/seston contamination. Freshwater 616 and brackish ecosystems could contribute to the overall metal concentrations in Peruvian 617 618 marine ecosystems, this by different input pathways (e.g. food sources such as POM sensu lato) of As, Ni and Pb, which could be more pronounced during El Niño event. Environmental 619 contamination and stressors are even reaching the Peruvian MPAs. The management of coastal 620 marine areas and MPAs in Peru should be re-formulated in terms of possible direct and indirect 621

environmental impacts in their vicinity. For a proper EHS monitoring, we suggest to consider 622 the single-species approach with a previously studied species (or genus), this approach could 623 be optimal in terms of cost-benefits (budget: $2760 \in \cdot$ area \cdot yr), while give interesting insights 624 625 of the current EHS. Adjacent fields (e.g. human health, nutrition) could be covered when EHS monitoring are performed, whether the beneficial compounds (e.g. PUFAs) and elements (e.g. 626 Fe, Zn) for humans are considered in the analysis. Safe amounts of seafood for human 627 consumption are more reliable when FFQ data is used, estimations indicated that amounts are 628 629 species-specific according to the metal load per species, and from 130 to 680 g are allowed for consumption per week. The beneficial intake as consequence of the consumption of Peruvian 630 631 seafood at these safe amounts could reach about 200 mg PUFA, which could substantially help to improve the health of Peruvians in a sustainable way. For future marine research in Peru, the 632 human component should be always considered in order to talckle current concerns in Peru, 633 634 e.g. malnutrition and anaemia which could be addressed by providing new knowledge of nutritive marine foods. 635

636

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638

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Fig 1. Location of the sampling areas in Sechura Bay (incl. Zona Reservada Illescas), Paracas Bay and Marcona. Southern (SL) and Northern (NL) locations in Sechura Bay; one location in front of the Illescas Reserved Zone (IRZ); PL1 and PL2 locations in Paracas Bay; and PSJ in front the Zona Reservada Punta San Juan, and SHO in front of the Shougang iron mining company.







Fig 2. Metal concentrations (µg/g dwt.) of sediment (circle) and seston (triangle) per location compared to the Effects Range-Low (ERL) (--) and Effects Rage-Median (ERM) (--) limits from Long (1995), and Interim Sediment Quality Guideline (ISQG) (--) and the Probable effect level (PEL) (--) from the Canadian Council of Ministers of the Environment (1999).









Fig 3. Metal concentrations (μ g/g dwt.) in environmental compartments from the freshwaterbrackish and marine water environments. Different letters indicate significant (p<0.05) differences between freshwater-brackish and marine basal resources.



Fig 4. Metal concentrations (μ g/g dwt.) in sediment and POM in the proximity to the MPAs. The northern MPA is Illescas Reserved Zone, the center MPA is the Paracas National Reserve and the southern MPA is the Punta San Juan Reserve. In front, near and far distances refer to 1-5, 10-12 to up to 25 miles, respectively from the MPA. Different numbers indicate significant (p<0.05) differences within distances in the north (1,2,3) and in the south (4,5), and different letters for significant differences between regions within front (a,b,c) and near (d,e) locations.



Note.- to our knowledge, the most (financially) feasible pathway is printed in black, and toward the lighter attenuation up to white as the least (financially) feasible.

Fig 5. Cost-benefit analysis based on the different approaches to estimate environmental health status (EHS) in marine studies (Loaiza et al. 2018; 2020a; 2020b). Budgets ($\in \cdot$ area \cdot yr) were calculated using: metals (e.g. potentially harmful), micro-nutrients and fatty acids (non-harmful or beneficial) and stable isotopes as chemical analysis costs; and per sampling area and per year. Marine species drawings were made by the Peruvian artist Samantha Scavino, 2018.

Guidelines for marine species consumption in Peru

Edible or commercial species (maximum amount allowed to eat per week)



Species and maximum allowed amounts to eat per week that are printed in red were calculated using maximum metal levels (MAL), while the printed in black were calculated with average metal levels (Loaiza et al. 2018; 2020b). Potentially edible and non-edible species and their respective EPA + DHA + ARA intakes that are printed in grey are based on the consumption of 100 g. (*) The flatfish P. adspersus' maximum amount to eat per week and respective beneficial intake were only based on inorganic-As concentrations, Cd was BDL for this species. The highest calculated values (i.e. maximum allowed amount and/or respective intake) were printed in bold.

Fig 6. Guidelines for consumption of seafood from Peruvian marine sources



Fig 7. Scheme of interventions for improving feeding practices and nutrition for Peruvians.



Fig 8. EPA + DHA concentrations (mg/100g wwt.) for the new marine foods and land-based foods. Land-based EPA and DHA concentrations were based on Tacon & Metian, (2013).