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1 **Frozen shoulder**

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20

21 **Abstract**

22 Frozen shoulder is a common debilitating disorder characterized by shoulder pain and  
23 progressive loss of shoulder movement. Frozen shoulder is frequently associated with other  
24 systemic conditions or occurs following periods of immobilization, and has a protracted  
25 clinical course, which can be frustrating for patients as well as health care professionals. FS  
26 is characterised by fibroproliferative tissue fibrosis, whereby fibroblasts, producing  
27 predominantly type I and type III collagen, transform into myofibroblasts (a smooth muscle  
28 phenotype), which are accompanied by inflammation, neoangiogenesis and neoinnervation,  
29 resulting in shoulder capsular fibrotic contractures and the associated clinical stiffness.  
30 Diagnosis is heavily based on physical examination and can be difficult depending on the  
31 stage of disease or if concomitant shoulder pathology is present. Management consists of  
32 physiotherapy, therapeutic modalities such as steroid injections, anti-inflammatory  
33 medications, hydrodilatation and surgical interventions; however, their effectiveness remains  
34 unclear. Facilitating translational science should aid in development of novel therapies to  
35 improve outcomes among individuals with this debilitating condition.

## [H1] Introduction

Frozen shoulder<sup>1</sup>, also known as adhesive capsulitis, is a common shoulder disorder manifesting as pain and progressive loss of shoulder movement. FS can be either primary or secondary, which refers to whether the condition has come on spontaneously, with no known cause or trauma (primary FS), or whether it is associated with trauma, surgery or other pathology, such as subacromial pain (secondary FS). FS typically progresses through three overlapping stages, with the predominate symptoms of pain and loss of motion (stage I: inflammation/'freezing'), stiffness (stage II: 'frozen'), and then resolution of symptoms (stage III: 'thawing'). However, this classification remains contentious, as many patients still experience symptoms and functional restrictions long after this period.

FS is characterised by fibroproliferative tissue fibrosis (**Figure 1**) of the shoulder capsule, which is thought to be modulated by mediators that include cytokines, growth factors, and enzymes, in particular, matrix metalloproteinases (MMPs), with increasing evidence of the involvement of inflammatory mediators and various immune cells. The histological characteristic of FS is a matrix of type I and type III collagen containing fibroblasts and myofibroblasts, resulting in an imbalance between tissue extracellular matrix (ECM) degradation, remodelling and regeneration. Although knowledge of risk factors of FS, pathophysiology, and enhanced treatments are still emerging, both basic and clinical research (and consequently therapeutic advances) lag behind that in other musculoskeletal conditions, such as inflammatory arthritis and osteoarthritis.

A true evidence-based model for the management of FS has yet to be defined, with a wide spectrum of treatments available. Management varies according to the stage of the disease and range from early pharmacotherapy and associated physiotherapy versus later approaches such as surgery (manipulation under anaesthesia (MUA) and arthroscopic capsular release (ACR)), extracorporeal shockwave therapy, hydrodilatation, injections (sodium hyaluronate injection, collagenase treatment, and experimental approaches that require validation in clinical trials. FS therefore remains a challenge to treat, with a large proportion of patients still failing to attain complete resolution of symptomology. Indeed, while FS is often regarded as a self-limiting disease (1–2 year recovery), various studies have shown that many of the symptoms associated with FS, such as stiffness and pain, persist in 20–50% of patients<sup>2-4</sup>. Thus, further work is required to identify more effective treatment options for these patients. This Primer presents the current knowledge of the basic and clinical science of FS and highlights its clinical presentation, natural history, risk factors, pathoanatomy and pathogenesis. Furthermore, we provide evidence-based treatment guidelines in the form of a proposed treatment algorithm. In addition, we aim to consolidate and interpret the unmet needs in the field and discuss the barriers that need to be overcome to attain better outcomes for all patients with FS.

73

## 74 **[H1] Epidemiology**

### 75 ***[H2] Prevalence***

76 The lifetime prevalence of FS is estimated to be 2–5% of the general population, and  
77 FS affects ~8% of men and ~10% of women<sup>5,6</sup>. FS is most common in the fifth and sixth  
78 decades of life, with the peak age in the mid-50s<sup>7</sup>. In up to 17% of patients with FS, the other  
79 shoulder becomes affected within five years<sup>4,8</sup>.

80 It is debatable whether FS as a condition is truly unique to the shoulder. Indeed, there  
81 are case reports of occurrences of adhesive capsulitis in the knee, hip and ankle<sup>9,10</sup>, although  
82 they are exceptionally rare. Contractures and fibrosis do frequently occur in the knee and  
83 elbow, although without the potential for the spontaneous resolution seen in the shoulder.

### 84 ***[H2] Risk factors***

85 FS has been linked to a range of comorbidities, including cardiovascular disease<sup>11</sup>,  
86 Parkinson disease, stroke<sup>12</sup>, hyperthyroidism and, in particular, diabetes mellitus, where the  
87 incidence of FS can reach close to 60%<sup>13-16</sup>. FS has also been linked to hypothyroidism<sup>17</sup>,  
88 hyperlipidaemia<sup>18</sup> and autoimmune diseases<sup>19</sup>. These comorbidities are found in more than  
89 80% of individuals diagnosed with FS, with over 35% of affected individuals having more  
90 than three associated conditions<sup>13</sup>. Other risk factors (Box 1) associated with FS are  
91 smoking<sup>20</sup>, obesity<sup>7</sup> and low levels of physical activity<sup>21</sup>. In addition, FS risk is increased in  
92 individuals with Dupuytren's disease, a fibrotic disorder of the palmar fascia that has a very  
93 similar pathophysiology to FS<sup>22-24</sup>. In addition to an association with metabolic and hormonal  
94 changes, FS has also been associated with abnormal shoulder mechanics and nerve  
95 dysfunction. This link between primary nerve dysfunction and FS was first proposed in 1959  
96 by Thompson and Kopell<sup>25</sup>, who proposed that reduced glenohumeral motion could result in  
97 exacerbated scapulothoracic motion, thereby stretching the suprascapular nerve, leading to  
98 a cycle of pain and shoulder dysfunction. Since then, FS has been identified in patients with  
99 a variety of primary neurological conditions. FS is a cause of shoulder pain and dysfunction  
100 in patients after radical neck dissection<sup>26</sup>, acute cerebrovascular aneurysm surgery and  
101 subarachnoid haemorrhage<sup>27</sup> and in individuals with Parkinson disease<sup>28</sup>. Furthermore, FS,  
102 as identified by shoulder capsule volume on arthrography, is the leading cause of hemiplegic  
103 shoulder pain after stroke<sup>29</sup>.

104

## 105 **[H1] Mechanisms/pathophysiology**

### 106 ***[H2] From homeostasis to disease***

107 The shoulder joint capsule is a lax fibrous sheath that encloses the joint. The healthy  
108 capsule is collagenous in structure, composed primarily of dense type I collagen and elastic

109 fibre bundles with limited vessels and nerve fibres. The main cell type within this membrane  
110 are fibroblasts, which maintain capsule health by producing ECM proteins that provide a  
111 supportive yet flexible structure.

112 In FS, the typical collagen structure is disrupted by gradual fibrosis of this connective  
113 tissue membrane and thickening of the adjacent synovial membrane<sup>30</sup>. These fibrotic changes  
114 are accompanied by inflammation, neoangiogenesis and neoinnervation<sup>31,32,33,34</sup>. The  
115 consequence is a reduced joint volume and increased stiffness of the capsule, causing  
116 restricted movement and pain. In the following sections we describe how the shoulder capsule  
117 and associated structures progress from lax fibrous membrane to a fibrotic hypervascular  
118 structure that drives the clinical course of FS.

## 119 120 **[H2] Stages of FS**

121 FS progresses through three characteristic stages,<sup>1</sup> each with associated arthroscopic  
122 and histological changes.<sup>6</sup> Neviaser et al. initially described four stages of disease (stage I–  
123 IV) in 1987 (ref.<sup>1</sup>), which was modified in 2010 to three clinically-based stages (stage I–III)<sup>35</sup>  
124 (**Figure 2**). Stage I is characterized by pain without appreciable limitation in motion, and is  
125 associated with an inflammatory synovial reaction on arthroscopy, and with hypervascular  
126 synovitis with rare inflammatory cell infiltrates and normal capsular tissue on biopsy. Clinically,  
127 stage II involves ongoing pain with progressive limitation in motion. Intra-articularly, there is  
128 ongoing synovitis and progressive capsular contracture. On arthroscopy, there is  
129 hypervascular synovitis and loss of axillary folding. Histology shows hypertrophic,  
130 hypervascular synovitis now with perivascular and subsynovial scar formation. Stage III is  
131 marked by ongoing stiffness clinically, and is associated with loss of the axillary recess,  
132 fibrosis, and minimal synovitis on arthroscopy. Biopsy of patients with stage III FS reveals  
133 dense, hypercellular collagenous tissue to mature fibrosis with a thin synovial layer, similar to  
134 other fibrosing conditions.

## 135 136 **[H2] Inflammation**

137 Recent years have seen the musculoskeletal scientific community direct its attention  
138 to investigating the mechanisms underlying the inflammatory and fibrotic changes associated  
139 with FS to elucidate the aetiological, cellular and molecular pathways. Although a single  
140 unifying cause is yet to be identified, several key mechanisms have been implicated in the  
141 pathogenesis of FS. One of these is chronic, unresolved inflammation. Histological analyses  
142 of tissue biopsy samples from affected patients consistently reveal chronic inflammation,  
143 which is associated with increased vascularity, fibroblast proliferation, synovial membrane  
144 thickening and increased ECM deposition.<sup>7–10</sup> Various immune cells have been identified in  
145 capsular tissue from patients with FS, including B cells, macrophages, mast cells and T

146 cells<sup>36-38</sup>. There is growing evidence indicating a reciprocal homeostatic relationship between  
147 immune cells and stromal cells within soft tissue, in both health and disease, and as we enter  
148 the single-cell genomic age, there are emerging data of the presence of discrete subtypes of  
149 immune cells in the capsule of patients with FS, including several subpopulations of dendritic  
150 and T cells<sup>39</sup>. Immune cells and their mediators have been implicated in driving the  
151 progression of many fibrotic disorders, and there are now the beginnings of a greater  
152 appreciation for their role in soft tissue diseases. While it is simple to explain the presence of  
153 immune cells in a purely pathological context, their homeostatic and inflammation-resolving  
154 role in soft tissues is now evident. For example, a subtype of macrophage (those expressing  
155 *LYVE1* and *MERTK*) that has been identified in patients with rheumatoid arthritis (RA) who  
156 are in remission<sup>40</sup> are phenotypically similar to a population of macrophages that are present  
157 in healthy shoulder capsule but are reduced in the capsule of patients with FS<sup>39</sup>. Loss of  
158 these homeostatic or resolutive cells could indicate a function for these macrophages in  
159 maintaining healthy tissue.

## 160

### 161 **[H2] Pro-inflammatory cytokines**

162 As FS has been historically described as a chronic fibrotic disease of the shoulder  
163 capsule, the main emphasis of cytokine studies has been on the role of TGF $\beta$ . Many studies  
164 have unequivocally implicated TGF $\beta$  in fibrotic disease, and FS is no exception. TGF $\beta$  is highly  
165 expressed in FS tissue<sup>41</sup> and can induce numerous cellular fibrotic responses, including ECM  
166 protein production, fibroblast proliferation, increased myofibroblast differentiation and collagen  
167 gel contractility<sup>42</sup>. The link to fibrosis will be discussed later in this section. Other inflammatory  
168 mediators, including IL-1, IL-6, IL-10, GM-CSF, M-CSF, PGDF and TNF, are also dysregulated  
169 in diseased capsule<sup>43,37</sup> and may drive inflammatory and matrix responses. Fibroblasts  
170 cultured from diseased capsule produced elevated levels of pro-inflammatory cytokines (such  
171 as IL-6, IL-8 and CCL-20) in comparison to healthy capsular fibroblasts<sup>44</sup>.

172 Evidence suggests a prominent role for IL-17A in FS. FS tissue contains T cells (CD4<sup>+</sup>  
173 and CD8<sup>+</sup> T cells, among other subtypes), which produce IL-17A, whereas T cells are  
174 predominantly absent from healthy shoulder capsule<sup>39</sup>. In this study, IL-17A induced greater  
175 pro-fibrotic and inflammatory responses in FS fibroblasts compared with fibroblasts from  
176 healthy tissue as a result of greater levels of the IL-17A signalling receptor (IL-17RA) on  
177 fibroblasts from diseased shoulders. The potential pathological effects of IL-17A are notable  
178 due to its similar effect observed in tendinopathy<sup>45</sup>, where anti-IL-17A treatment (secukinumab)  
179 which is currently under clinical trial for this soft tissue disease<sup>46</sup>.

180 The levels of IL-33, which can also act as an alarmin (also known as a damage-  
181 associated molecular pattern (DAMP)), are also elevated in FS tissue<sup>47</sup>. Alarmin release has  
182 been described in other chronic musculoskeletal conditions, such as RA and osteoarthritis<sup>48,49</sup>.

183 <sup>50</sup>. A study examined H&E-stained capsular tissue from patients with FS and found fibroblastic  
184 hypercellularity and increased vascularity as well as high levels of the alarmins IL-33, high-  
185 mobility group protein B1 (HMGB1), S100A8 and S100A9; the levels of these alarmins were  
186 correlated with the severity of patient-reported pain<sup>47</sup>. These alarmins can be released from  
187 immune and stromal cells and may mediate crosstalk between the two compartments.

188         Advanced glycation end products (AGEs) have been associated with inflammation, and  
189 the increased production and accumulation of these products is seen in diabetes and routine  
190 ageing. AGEs can act as immune modulators by attracting cells that release pro-inflammatory  
191 cytokines to coordinate degradation and renewal of ECM. Capsular tissue of patients with FS  
192 had higher immunoreactivity, blood vessel formation and perivascular adipocytes compared  
193 with that in healthy capsule tissue<sup>51</sup>.

194

## 195 ***[H2] Neural and vascular changes***

196         The hypervascularity that is associated with inflammation has also been proposed to  
197 play a key role in the development of FS symptoms.<sup>20</sup> Hypervascularity is prominent across  
198 histological studies on FS, particularly in the rotator interval.<sup>7,8</sup> This is the result of  
199 neoangiogenesis, which is demonstrated by overexpression of the haematopoietic cell surface  
200 marker CD34<sup>9,21</sup> and vascular endothelial growth factor (VEGF) in both diabetic<sup>22</sup> and non-  
201 diabetic<sup>23</sup> patients with FS. Neoangiogenesis is accompanied by neurogenesis, which is likely  
202 driven by increased expression of the nerve growth factor receptor p75.<sup>9</sup> In patients with FS,  
203 the degree of neo-innervation is correlated with the frequency of night pain and expression of  
204 HMGB1.<sup>24</sup> In addition to an increase in the density of nerves, there is also an increase in acid-  
205 sensing ion channels (ASICs), calcitonin gene-related peptide (CGRP) and substance P<sup>13,25</sup>,  
206 which are upregulated in hyperalgesia and chronic pain. CGRP in particular is a key connection  
207 between the nervous and immune systems. CGRP is released by the synaptic terminals of  
208 pain sensing neurons and acts on lymphocytes, macrophages and mast cells, among others,<sup>26</sup>  
209 resulting in increased production of pro-inflammatory mediators and further immune cell  
210 recruitment. In addition, expression of the melatonin receptors MTNR1A and MTNR1B  
211 is upregulated in FS in response to the pro-inflammatory cytokines TNF and IL-1B<sup>27</sup>, which in  
212 turn induces ASIC3 and IL-6 expression, leading to further pain and inflammation. Combined,  
213 these features might explain why pain, particularly night pain, is such a prominent feature of  
214 FS. Central sensitization in FS has not been comprehensively studied and so remains  
215 speculative, but could explain why some patients are resistant to current interventions and  
216 may benefit from a different approach.

217

## 218 ***[H2] Matrix changes***



219 Fibrosis is the fundamental process manifesting in FS. Fibroblasts are the resident cell  
220 within the joint capsule and are responsible for producing the ECM that forms the structure of  
221 the tissue. In normal homeostatic conditions, type I collagen is the primary matrix protein  
222 produced, whereas the more immature and disorganised type III collagen<sup>52</sup> is deposited under  
223 pathological conditions, owing to the requirement for accelerated ECM turnover. In addition,  
224 the production of several other structural matrix proteins is increased in FS, including vimentin,  
225 fibronectin and tenascin C<sup>53</sup>. Both matrix metalloproteinases (MMPs) and tissue inhibitors of  
226 metalloproteinases (TIMPs), which regulate matrix remodelling, are dysregulated in FS.  
227 MMP1–4, MMP7–9, MMP12–14 and TIMP1 and TIMP2 are implicated in FS<sup>53</sup>. These  
228 proteinases have a vital role in ECM turnover, with the balance between MMPs and TIMPs  
229 crucial in matrix remodelling and homeostasis, as highlighted by the development of FS in 50%  
230 of recruited patients in an anti-cancer treatment trial using a TIMP analogue<sup>54</sup>.

231 Interestingly, many of the fibrotic facets of FS fibroblasts have been attributed to the  
232 effects of increased TGF $\beta$  production. TGF $\beta$  has long been known to induce  
233 transdifferentiation of fibroblasts to myofibroblasts, and myofibroblasts are a hallmark of FS  
234 and other fibrotic conditions<sup>55,56,57</sup>. In addition, there is now a greater appreciation of the  
235 potential role of other cytokines, including IL-1, IL-4, IL-13, and IL-17A, in fibrosis. One such  
236 aspect of fibrotic disorders that may be under cytokine regulation is the phenomenon of  
237 fibroblast activation. Activated fibroblasts show higher expression of CD44, CD55, CD90  
238 (THY1), CD106 (also known as VCAM1), CD248 (also known as endosialin), podoplanin,  
239 uridine diphosphoglucose dehydrogenase, prolyl-4-hydroxylase and prolyl endopeptidase  
240 FAP (also known as fibroblast activation protein) compared with control healthy fibroblasts,  
241 which are associated with inflammatory cytokine and matrix dysregulation<sup>46</sup>. Elevated  
242 expression of these proteins by fibroblasts is a phenotype of several musculoskeletal diseases  
243 including frozen shoulder, and activated pathogenic fibroblasts produce more pro-  
244 inflammatory proteins compared with healthy fibroblasts<sup>44</sup>. However, whether the increased  
245 expression of these proteins is itself directly responsible for the pathological effects of activated  
246 fibroblasts or whether it is just an epiphenomenon of fibroblast activation remains unclear<sup>58</sup>.

247

## 248 **[H2] Metabolic factors**

249 Multiple researchers have proposed that certain conditions, such as hyperlipidaemia  
250 and hyperglycaemia, predispose patients with FS to propagation of pro-inflammatory and  
251 pro-fibrotic signalling cascades. Multiple studies have found a strong association between  
252 diabetes mellitus and FS,<sup>45–47</sup> particularly in the setting of long-term hyperglycaemia.<sup>48–51</sup> In  
253 addition, FS in diabetic individuals tends to be prolonged and refractory to non-operative  
254 treatment compared with that in non-diabetic individuals.<sup>52</sup> This association is likely  
255 multifactorial, resulting from chronic low-level inflammation in diabetic individuals as well as

256 the presence of AGEs. Pro-inflammatory cytokines that are consistently elevated in diabetic  
257 patients, including TNF, IL-6 and IL-1B,<sup>53</sup> are also present at high levels in the capsule and  
258 synovium of patients with FS.<sup>8</sup> Furthermore, AGEs show increased immunoreactivity in both  
259 diabetic and non-diabetic patients with FS.<sup>54</sup> AGEs contribute to fibrosis and inflammation  
260 across other organ systems in diabetic individuals through multiple mechanisms.<sup>55</sup> First,  
261 AGEs form cross-links between collagen molecules, leading to resistance to proteolysis and  
262 reduced tissue compliance.<sup>56</sup> Second, AGEs stimulate the production of pro-inflammatory  
263 and pro-fibrotic cytokines and growth factors in stromal and immune cells through activation  
264 of the receptor for AGEs.<sup>57</sup> Finally, AGEs may also contribute to the imbalanced MMP and/or  
265 TIMP activity that is found across diabetic organ systems.<sup>58</sup>

266 Elevation in serum lipids and cholesterol is also associated with the development of  
267 FS, both in conjunction with diabetes and separate from it.<sup>47,59,60</sup> Inflammatory lipoproteins,  
268 which are associated with vascular inflammation and immune reaction, are independent risk  
269 factors for the development of FS.<sup>61</sup> Furthermore, the level of increase in serum lipids and  
270 glucose is inversely correlated with the Constant score (a measure of patient-reported pain  
271 and shoulder function) in patients with early FS,<sup>62</sup> supporting the role of these blood markers  
272 in disease progression. Transcriptional profiling of samples from patients with FS (using RNA  
273 sequencing) revealed that the greatest differential gene expression was in the peroxisome  
274 proliferator-activated receptor- $\gamma$  (PPAR $\gamma$ ) pathway,<sup>63</sup> suggesting a central role for altered lipid  
275 metabolism in the pathogenesis of FS. Interestingly, patients taking lipid-lowering  
276 medications (such as statins) are not at an increased risk of developing FS, unlike those  
277 taking anti-hyperglycaemic medications.<sup>47</sup> This observation suggests that either a reduction  
278 in serum lipids or lipid-lowering medications might be protective, which is consistent with the  
279 known anti-inflammatory and anti-fibrotic effects of statins in other conditions<sup>59, 60</sup>.

280 In addition to hyperlipidaemia and hyperglycaemia, both hyperthyroidism and  
281 hypothyroidism are associated with increased risk of developing FS.<sup>46,64,65</sup> Calcitonin is likely  
282 the connection between thyroid dysfunction and FS, as calcitonin deficiency is a feature of  
283 both disorders.<sup>66,67</sup> The connection between calcitonin and FS was first noted when  
284 postmenopausal women being treated for osteoporosis with salmon calcitonin showed  
285 improvements in their FS symptoms.<sup>68</sup> Salmon calcitonin reduces TGF $\beta$ , type I collagen and  
286 type III collagen synthesis as well as fibroblast adhesion in cultured cells,<sup>69</sup> all of which are  
287 key mediators of fibrosis in FS. These results were confirmed in a double-blind, randomized,  
288 controlled trial in which intranasal calcitonin treatment improved shoulder pain and function  
289 faster than placebo in patients with FS.<sup>70</sup>

290 In summary, the pathophysiology of FS is not yet clear but accumulating evidence is  
291 starting to clarify the roles of inflammation, angiogenesis, neuromodulation, and fibrosis in this  
292 disease (**Figure 3**).

293

## 294 **[H1] Diagnosis, screening and prevention**

### 295 ***[H2] Diagnosis***

296 The diagnosis of FS is fraught with ambiguity, inconsistency, and confusion for  
297 clinicians. Many patients can present with signs and symptoms of FS (pain, and global  
298 restriction in movement) but not have pathological changes of the joint capsule<sup>61</sup>. Despite the  
299 many diagnostic labels and familiar patterns of presentation with FS, there are currently no  
300 formally recognised diagnostic criteria. Consensus studies indicate that pain, particularly at  
301 night and with sudden or unexpected movements, along with a global loss of active and  
302 passive movement of the shoulder, are reliable clinical identifiers<sup>62</sup>. While these are all  
303 undoubtedly characteristic features of FS, they lack sufficient differential diagnostic capability  
304 to distinguish FS from other shoulder pathologies.

305 Pain in FS is often reported in a wide and diffuse pattern around the shoulder,  
306 scapula, chest and into the upper arm, usually above the elbow, which, in its early stages,  
307 can make FS indistinguishable from other shoulder pathologies, such as rotator cuff  
308 tendinopathy, joint arthrosis and pain from cervicogenic sources. Pain in FS is often  
309 described as constant, deep, and severe. Loss of shoulder range of motion (ROM) is a key  
310 feature of FS pathology but objective clinical markers that are deemed to constitute positive  
311 findings are rather nebulous.

312

313 ***[H3] Clinical assessment.*** Loss of passive and active ROM is inherently associated with FS  
314 but criteria are conflicting. Thresholds range from a reduction of 30% in two of three  
315 unspecified directions<sup>63</sup>, to 50% loss of external rotation compared to the contralateral side<sup>64</sup>.  
316 However, there is a lack of reliability in differentiating movement loss from capsule pathology  
317 resulting from other potentially more serious pathologies or from self-limiting movement  
318 owing to kinesiophobia and protective pain guarding<sup>61,65,66</sup>.

319 Reliably and accurately assessing shoulder movement in an individual with severe  
320 pain is a clinical challenge. Often, what appears to be an abnormal loss of range can be a  
321 patient self-limiting due to pain or fear. It is therefore recommended that movement is  
322 assessed in a variety of positions with differing levels of support. For example, the key  
323 movement of external rotation, if found to be reduced in standing position, should also be  
324 assessed in the supine or lying position with the arm and trunk supported (**Figure 5**).  
325 Similarly, assessing shoulder elevation by lifting the arm overhead could be compared with  
326 lowering the head and trunk below a supported arm. A noticeable disparity in ROM is more

327 likely to represent kinesiophobia and movement inhibition as opposed to true capsular  
328 restriction.

329 As capsular tissue is non-contractile, isometric muscle testing in the mid-range of  
330 movements should elicit little pain provocation in patients with FS (**Figure 5**). This can be a  
331 useful screening tool when considering other diagnoses such as rotator cuff tendinopathy.  
332 Assessment of the cervical spine is also essential to eliminate possible cervicogenic  
333 pathology such as nerve root irritation causing radicular pain.

334  
335 **[H3] Imaging.** Plain radiographs of the glenohumeral joint are often suggested to be taken to  
336 ensure that there is not substantial degenerative joint changes that could also present with  
337 pain and motion loss and therefore confound the diagnosis of FS. However, in practice, a  
338 working diagnosis can often be made on the basis of a good medical history and simple  
339 clinical examination, with X-rays not necessarily required in primary care environments<sup>67</sup>. It  
340 has been suggested that routine radiography may not confer superior diagnostic accuracy of  
341 serious pathology to good clinical questioning and physical examination<sup>68</sup>.

342 The use of advanced imaging modalities such as ultrasonography and magnetic  
343 resonance imaging (MRI) to diagnose FS has been proposed. Findings such as axillary  
344 capsule thickening and/or obliteration of the axillary recess, coracohumeral ligament<sup>66</sup> and  
345 rotator interval (RI) thickening, and/or hypervascularity are considered indicative of FS  
346 pathology if the imaging results matches the clinical presentation<sup>69,70</sup>. Indeed, advanced  
347 imaging in refractory FS cases can be extremely important in detecting undiagnosed soft  
348 tissue tumours, although these undiagnosed tumours are present in fewer than 1% of FS  
349 cases<sup>66</sup>. However, imaging does not offer superior diagnostic information beyond a medical  
350 history and physical examination and is therefore not recommended for routine workup<sup>71</sup>  
351 however MRI may be useful if there is a clinical suspicion of another serious pathology with  
352 similar symptomology to FS.

### 353 354 **[H2] Screening and prevention**

355 With new research and an associated understanding of the complex pathophysiology  
356 of FS, it is increasingly apparent that the lack of clarity surrounding diagnosis of FS is, in  
357 part, due to a historically oversimplified approach to this disease that does not consider the  
358 heterogeneity of individuals with FS.

359 As discussed above, FS has been associated with myriad systemic diseases, such as  
360 diabetes mellitus, cardiovascular disease and thyroid disorders. Although robust evidence of  
361 a causal relationship between these conditions and the development of FS is lacking, there  
362 are theories regarding the potential mechanisms that might underlie an increased risk of  
363 developing FS. These conditions are associated with chronic low-grade inflammation<sup>21</sup>,

364 which has no mechanism of injury and is marked by elevated levels of active pro-  
365 inflammatory cytokines but the absence of the increased neutrophil abundance associated  
366 with acute inflammation<sup>72</sup>. The influence of hyperglycaemia on FS risk is mediated by pro-  
367 inflammatory cytokines, which are elevated in the capsule and synovium of patients with  
368 FS<sup>73</sup>. Raised levels of serum cholesterol and pro-inflammatory lipoproteins have also been  
369 detected in FS and are risk factors for cardiovascular disorders<sup>74</sup>. Thyroid-stimulating  
370 hormone (TSH) levels also seem to be correlated with severity of FS<sup>17</sup>.

371         Routine haematological analyses and blood biochemical tests to assess for the  
372 presence of inflammatory or metabolic markers are not routinely performed in patients with  
373 FS. Although these markers have been shown to be risk factors, their prevalence across the  
374 FS population and the impact they may have on disease trajectory and their relation to  
375 causal mechanisms remains unknown.

376         A process that has received little attention to date is the role of chronic or persistent  
377 pain. Chronic pain is now viewed as a long-term condition in its own right and has been  
378 identified as a global health priority<sup>48,75</sup>. Central pain mechanisms are known to be present in  
379 long-standing shoulder pain and could potentially play a greater role in FS than previously  
380 considered<sup>76,77</sup>. Chronic pain could be compounded by low self-efficacy, pain perceptions  
381 and health behaviours such as fear avoidance and kinesiophobia, which can be associated  
382 with poor outcome<sup>78</sup>.

383         Individuals with traits of anxiety and depression might be at higher risk of longer  
384 duration of symptoms and poorer prognosis<sup>79</sup>. The independent FS risk factors smoking and  
385 obesity have the potential to further exacerbate levels of disability, as their presence, along  
386 with sleep deprivation, lower pain thresholds<sup>80-84</sup>.

387         Individuals with recalcitrant symptoms for whom traditional mechanically-driven  
388 treatments have been unsuccessful often require multi-specialty, multi-modal input to  
389 address the complex physical, emotional and social dimensions that are the consequences  
390 of chronic pain conditions. Like screening for existing comorbidities, validated screening  
391 measures for dimensions such as fear avoidance beliefs, pain self-efficacy, sleep  
392 disturbance and mood are not routinely used with this cohort of patients<sup>85-88</sup>. Further  
393 research in this area is needed to determine whether such screening tools would be of value  
394 when determining individual patient treatments and likely outcomes.

395

## 396 **[H1] Management**

397         The pathogenesis of FS remains incompletely understood. It is therefore unsurprising  
398 that well-defined, evidence-based management guidelines are lacking. In general, a patient  
399 with FS can seek non-surgical treatments, such as physiotherapy, medications, and

400 corticosteroid injections, or more invasive options, such as surgical interventions. Whether  
401 disease duration can be influenced with treatment, and the efficacy of each intervention, is  
402 unclear, as the evidence for most interventions is mixed<sup>35</sup>. Therefore, current treatment of FS  
403 focuses primarily on symptom reduction, that is, pain relief and restoring mobility and function.  
404

## 405 **[H2] Non-operative management**

406 There is consensus that non-operative management is the initial treatment of choice  
407 for frozen shoulder<sup>89</sup>. Many non-operative management strategies have been suggested for  
408 use in patients with FS. One of the reasons for this is that patients present with a wide array  
409 of symptoms and varied levels of disability, which may relate to disease stage. Consequently,  
410 it is suggested to adopt a treatment intervention suitable for the disease stage and pain level  
411 of the patient and there is growing evidence for this approach<sup>90,91</sup>. In addition, as patients with  
412 FS often have high pain levels and functional limitation in combination with a long duration of  
413 symptoms, they are often motivated to try every possible intervention that might help them. As  
414 symptoms may improve with time in a large proportion of those with FS, it is easy to consider  
415 the intervention as the reason for improvement, when in fact this may not be the case.  
416

417 **[H3] Patient education.** Informing the patient about FS and discussing the natural history is  
418 one of the most important initial interventions. The mysterious and uncertain nature of FS can  
419 be worrisome and perplexing. Good advice and education reduces patient anxiety and results  
420 in subjective improvement of symptoms<sup>92</sup>; therefore, clearly explaining the evidence-based  
421 knowledge of FS natural history, such as expected duration, can have substantial effects on  
422 pain and function. It is important to inform patients of the options available to manage FS  
423 themselves and to give them simple and clear strategies to modify their occupational or  
424 recreational activities as required. It is therefore paramount that all healthcare providers  
425 provide the same message to reduce confusion, contradiction, and negative stress factors.  
426 Another important factor in patient education is noting the response to interventions or activity,  
427 which differs for each stage; for example, in early FS, no increase in pain and inflammation  
428 should be allowed, whereas in the middle and late stages, 24 hours of pain increase could be  
429 allowed<sup>93</sup>.  
430

431 **[H3] Physiotherapy.** Physiotherapy provides accelerated pain relief<sup>94</sup> and/or improvement in  
432 ROM<sup>35,94-96</sup> compared with no treatment. However, these improvements are mostly short-  
433 term, without demonstrated reduction in disease duration. It is suggested that the level of  
434 irritability of the patient be used to define the appropriate intensity of the chosen  
435 management strategy<sup>93,97,98</sup>. Irritability levels are mainly based on the intensity of pain. For  
436 example, in patients with high irritability (pain level at least 7/10), the intervention should be  
437 at an intensity that does not induce extra pain, while in patients with moderate irritability (pain  
438 level 4–6/10) the intervention will increase in duration and intensity, and patients with low  
439 irritability (pain <3/10) will be able to perform increased duration stretches, with allowance for  
440 some pain or discomfort<sup>99</sup>.

441 Several mobilization and stretching techniques (for example, four-direction shoulder-  
442 stretching<sup>100</sup> and inferior capsular stretching<sup>101</sup>) are effective in early and late stages of FS for  
443 pain relief<sup>102,103</sup> and can be recommended for increasing ROM and function<sup>93,97</sup>. One of the  
444 proposed mechanisms that might explain pain reduction in patients with FS involves the  
445 sensory input that activates the endogenous pain inhibitory systems<sup>104</sup>. Further study is clearly  
446 warranted to determine if endogenous pain inhibitory systems are indeed involved in manual  
447 therapeutic interventions around the shoulder. However, for patients with FS who are in their  
448 first high irritability stage, the use of passive mobilization or capsular stretching can be  
449 counterproductive and can even increase the inflammatory response<sup>105</sup>. However, a study  
450 comparing a combination of manual mobilisations and shoulder exercises to a glucocorticoid  
451 injection found that the physiotherapeutic combination probably results in less improvement in  
452 the short term but a similar number of adverse events<sup>106</sup>, although no clinically important  
453 differences were noted at 6 or 12 months. Other mobilization techniques, such as Codman's  
454 pendulum exercises (passive mobilization of the shoulder while bent over), do not show a  
455 substantial difference for pain or ROM<sup>107</sup> compared with other techniques. Unfortunately, there  
456 is insufficient evidence to quantify the ideal frequency of mobilization. The intensity of  
457 stretching exercises should be determined by the patient's irritability level, since stretching  
458 beyond painful limits in a highly irritable patient results in poorer outcomes<sup>93,97</sup>. In addition to a  
459 patient's irritability level, the Total End Range Time (TERT) can be used to report the dose  
460 applied to the patient and evaluate progression<sup>108</sup>. TERT is the total amount of time that the  
461 joint is positioned at its end range and is proportional to the increase in passive ROM<sup>109</sup>. The  
462 importance of the right treatment intensity is highlighted again by a prospective study that  
463 compared intensive passive stretching and manual mobilization to supportive therapy and  
464 exercises within the pain limits, which demonstrated better shoulder function in the supportive  
465 group at the end of the 2 years follow-up period<sup>110</sup>. However, currently there is little evidence  
466 to support joint mobilizations over other non-operative interventions<sup>97</sup>. As such, the exact

467 effects of exercises, the extent to which they are effective, and the format of exercise therapy  
468 that is the most effective is uncertain<sup>111</sup>. Preliminary evidence shows that supervised exercise  
469 therapy is more effective than unsupervised exercise therapy at home<sup>112</sup>.

470 Resistance-based exercise may also have an important role in patients with FS,  
471 although this approach has been poorly researched. The addition of strengthening exercises  
472 to a multimodal programme with mobilization and electrostimulation seems to result in  
473 improvements on pain, ROM, function, and muscle strength<sup>113,114</sup>. These improvements were  
474 not seen with the addition of scapulothoracic exercises, mobilization, and electromagnetic  
475 therapy to a similar multimodal programme<sup>115-117</sup>.

476 The role of extracorporeal shock wave therapy (ESWT) has been investigated in the  
477 treatment of frozen shoulder. In a randomized, double-blind, placebo-controlled trial comparing  
478 radial ESWT to placebo shockwave therapy in 106 participants<sup>118</sup>, substantial improvement in  
479 function, pain and ROM occurred in the group who received shockwave. In a trial of patients  
480 with primary frozen shoulder<sup>119</sup>, focused ESWT produced superior pain outcomes compared  
481 with oral prednisolone. A systematic review of 20 randomized controlled trials found some  
482 evidence in favour of ESWT for reduction of pain in frozen shoulder, although the authors of  
483 the review highlighted issues around the quality of evidence and were unable to perform a  
484 meta-analysis. For now, definitive conclusions about the efficacy of ESWT as an adjunct to  
485 treatment in frozen shoulder cannot be made<sup>120</sup>.

486 Other physiotherapy modalities, such as cold, heat, electrical modalities such as  
487 transcutaneous nerve stimulation, pulsed electromagnetic field therapy or low-level laser  
488 therapy, are proposed to have positive effects on pain in patients with FS. However, as these  
489 modalities are typically applied as adjunctive interventions, the individual effect of each  
490 technique on the natural course of FS is difficult to define. Consequently, there is only weak  
491 evidence in favour of techniques such as shockwave therapy, shortwave diathermy, pulsed  
492 electromagnetic field therapy, low-level laser therapy, therapeutic ultrasound, or electrical  
493 stimulation to reduce pain and improve shoulder ROM in patients with FS<sup>97,121</sup>.

494 Mirror therapy is a promising mode of exercise therapy that seems to be effective in  
495 the treatment of FS. This approach aims to restore the congruence between motor output and  
496 sensory output<sup>122</sup> and has been beneficial for patients with FS for improving pain, function,  
497 ROM in flexion and abduction and general health, although further research is needed<sup>123</sup>.

498 Besides exercises that specifically target the shoulder, general physical activity is  
499 recommended for general health, well-being<sup>93</sup>, improving mood and sleep<sup>124</sup>, and the



500 prevention of depression<sup>124</sup>. Physical activity can help to reduce or reverse the effects of a  
501 sedentary lifestyle, which is often associated with an increase in chronic low-grade  
502 inflammation and the development of insulin resistance<sup>125</sup>.

503 **[H3] Pharmacotherapy.** Common medications for patients with FS include paracetamol or  
504 acetaminophen, non-steroidal anti-inflammatory drugs (NSAIDs) and corticosteroids. The  
505 evidence for the use of paracetamol in patients with FS is limited, but it may be useful when  
506 there are contraindications to other medications<sup>126-128</sup>. Paracetamol inhibits cyclo-oxygenase  
507 and is active both peripherally and centrally. FS has been shown to be an inflammatory  
508 process followed by fibrosis, and therefore theoretically NSAIDs should be more effective in  
509 the early inflammatory stage than in the later fibrotic stage<sup>14</sup>. However, this has not yet been  
510 shown clinically. NSAIDs might be used for pain relief, but do not have an effect on ROM<sup>35</sup>.  
511 In addition, NSAIDs influence the serotonergic system, which may provide some benefit in  
512 modulation of perceived pain in addition to their direct anti-inflammatory effect<sup>126</sup>. Oral  
513 corticosteroids provide quicker pain relief compared with placebo, but this effect has not  
514 been seen in the long term, and in some cases this treatment exacerbate symptoms owing to  
515 rebound pain after their discontinuation<sup>35,90,102,103</sup>.

516 Intra-articular corticosteroid injections (CSIs) are recommended in the inflammatory or  
517 early stages of FS, prior to the emergence of capsular contraction, to provide pain relief and  
518 reduce inflammation<sup>129-132</sup>. Histologically, intra-articular CSIs have been associated with  
519 decreased fibrosis proliferation<sup>132</sup>. CSIs are more effective than placebo, but do not change  
520 the long-term (6- and 12-month) outcome<sup>133</sup>. CSIs are more effective than physical therapy in  
521 decreasing pain in the early stages of FS<sup>35,90,102,103,134</sup>, but the difference is minimal in the  
522 long term<sup>94</sup>. CSI alone has no effect on ROM but a combination of CSI and physiotherapy  
523 improves ROM<sup>102</sup>. In general, CSI in early stage (stage I or II) FS results in greater  
524 improvement in pain and function than in late (stage III or IV) FS<sup>135</sup>. Although risks are low,  
525 there are potential complications with the use of intra-articular CSI, including avascular  
526 necrosis, infection, muscle complaints, and pain increase<sup>136-138</sup>. Intra-articular CSI can also  
527 lead to a transient increase in serum glucose, which may be relevant in diabetic patients with  
528 FS.

529

530 **[H3] Alternative interventions.** There is limited and mixed data for several other  
531 interventions, including sodium hyaluronate injection<sup>139,140</sup>, suprascapular nerve block<sup>141,142</sup>,  
532 collagenase treatment<sup>143</sup>, botulinum toxin<sup>144</sup>, and hydrodilatation<sup>145,146</sup> for use in FS, with the  
533 most supporting evidence for botulinum toxin and hydrodilatation.

534 Hydrodilatation therapy refers to intra-articular injection of a large volume of sterile  
535 saline with or without corticosteroid to distend the capsule. Hydrodilatation therapy is a

536 promising intervention that is gaining in popularity over the past 10 years<sup>147-149</sup>. A meta-  
537 analysis found that both CSI and hydrodilatation with corticosteroids provided superior short-  
538 term pain relief, ROM improvement, and function compared with placebo, with ROM  
539 improvements persisting to 1 beyond 24 months<sup>149</sup>. Hydrodilatation with corticosteroids was  
540 found to have a greater benefit than CSI<sup>150</sup>.

541 Following its successful use in Dupuytren's disease, collagenase *clostridium*  
542 *histolyticum* (CCH) has also been utilized to treat FS. CCH is typically given in a series of 3  
543 injections over 6 weeks. A randomized study showed improvement in subjective function with  
544 CCH but no notable increase in ROM compared with placebo<sup>151</sup>. Another study found a  
545 greater improvement in ROM at 3 months with CCH than with exercise therapy alone<sup>143</sup>.  
546 Histological examination of capsular tissue in a rat model of FS revealed less fibrosis with  
547 CCH injection than with CSI or saline<sup>152</sup>. These data support a potential role for CCH in the  
548 management of FS.

549 In conclusion, while many interventions have been described, the most reliable  
550 benefits are from steroid injection and NSAIDs in stage I, physiotherapy in stage II/III, and  
551 advancement of physiotherapy to mirror or resistance exercises in Stage III (**Table 1**).

552

## 553 **[H2] Operative management**

554 After ruling out other causes of pain and stiffness of the shoulder, the patient should  
555 be informed that the natural history of the condition is eventual resolution in most patients.  
556 However, symptoms and disability persist in some cases, and surgical management may  
557 provide a faster, more complete recovery. The aim of surgical approaches in FS is to release  
558 the fibrous, thickened and tightened glenohumeral joint capsule and associated contracted  
559 ligaments to improve ROM of the glenohumeral joint, and to decrease pain.

560 **[H3] MUA.** The aim of MUA is to tear the shoulder joint capsule and thereby improve ROM.  
561 In an anaesthetized shoulder, the procedure involves applying a passive stretch to the  
562 glenohumeral joint, in all shoulder ROM directions. There are conflicting opinions as to the  
563 ideal time to perform MUA in patients with idiopathic FS, from as soon as FS is  
564 diagnosed<sup>153,154</sup> to up to 12 months of failed non-operative treatment<sup>1,91,155</sup>. Several studies  
565 have cited improved patient outcomes from MUA in more than 80% of subjects<sup>103,154,156-158</sup>.

566 **[H3] ACR with or without MUA manipulation.** ACR involves cutting and removing the  
567 thickened, swollen, inflamed abnormal capsule under direct arthroscopic control. ACR is a  
568 safe and an effective modality in treating FS<sup>155,156,159-163</sup> and may offer distinct advantages  
569 when compared to other methods of treatment. For example, direct visualization of the  
570 affected joint allows for diagnostic confirmation and enables additional pathology to be ruled  
571 out. The effectiveness of ACR has been demonstrated in multiple studies, with a dramatic  
572 reduction in pain scores, increased ROM as well as overall increased shoulder function<sup>161-165</sup>.

573 **[H3] Comparison of ACR and MUA.** UK FROST<sup>166</sup> is the largest multicentre randomized  
574 trial in FS, which compared early structured physiotherapy<sup>107</sup>, MUA and ACR. Early  
575 structured physiotherapy and post-procedural physiotherapy programmes were standardized  
576 at 12 sessions over 12 weeks. At the 12-month primary endpoint, most participants improved  
577 to near full function, as determined by the Oxford Shoulder Score (43 out of 48), a quality of  
578 life outcome score. Oxford Shoulder Scores were significantly better in the ACR group than  
579 in the MUA and early structured physiotherapy groups ( $p < 0.01$ ), while Oxford Shoulder  
580 Scores were higher with MUA than with early structured physiotherapy alone. Economic  
581 analysis in the UK FROST study<sup>166</sup> showed that MUA is more costly (£276) than early  
582 structured physiotherapy, while ACR was substantially more expensive (£1,734) than early  
583 structured physiotherapy. All three treatments led to substantial patient recovery, with no  
584 clear superiority for any approach. ACR resulted in patients requiring the least further  
585 treatment, but is the most expensive, was associated with higher risks, suggesting it should  
586 only be utilised when less costly and less invasive interventions fail. Early structured  
587 physiotherapy was accessed faster, but more patients required further treatment.

588 In summary, the surgical options of MUA and ACR may provide an earlier, potentially  
589 more complete resolution of pain and restoration of ROM and function, although these  
590 interventions should be considered only after non-operative management approaches have  
591 failed.

592

### 593 **[H1] Quality of life**

594 FS results in significant functional disability and reduction of quality of life, as shown  
595 using various questionnaires and scores, such as the visual analogue scale<sup>125</sup>, disabilities of  
596 the arm, shoulder and hand (DASH) score, the 36-item Short-Form (SF-36) health survey, and  
597 the Hamilton depression rating scale and anxiety scores<sup>78</sup>. It is not clear what factors predict  
598 the severity of pain and disability as well as quality of life in patients with FS<sup>167</sup>. The prolonged  
599 disease course in FS results in greatly impaired sleep and everyday activities and, therefore,  
600 markedly affects the physical, psychological and social quality of life of patients<sup>167,168,169</sup>. FS  
601 has been linked to anxiety and depression<sup>169</sup>. Comorbidities are associated with increased  
602 disability and reduced quality of life in these patients but not with the severity of pain.  
603 Psychiatric disorders can also affect pain, disability and quality of life as well as patients'  
604 characteristic and objective symptoms<sup>170</sup>, but the effect of these parameters on FS requires  
605 further study.

606 The patient's perspective and experience has been largely overlooked in research of  
607 FS. This is startling, particularly when considering the vast numbers affected by this condition  
608 and the subsequent healthcare cost and implications of long-term symptoms and reduced  
609 quality of life as a result of FS. A study<sup>72</sup> exploring patients' perception of FS treatment

610 highlighted the severe pain and loss of function that impacted the daily lives of patients with  
611 FS, alongside sleep disturbance and inability to perform work duties. Delay to diagnosis was  
612 a cause of frustration and worry for the patients interviewed, as the severity of pain often led  
613 patients to suspect that a more sinister cause of pain might underlie the symptoms. Patients  
614 also highlighted a lack of a definitive diagnosis alongside unclear pathways for management  
615 of their condition and emphasized a mismatch between their perception of the impact of FS  
616 and that of clinician's. Although only involving a small number of patients, this study stresses  
617 the need for a better understanding of FS, for both clinicians and patients. To improve a  
618 patient's experience with FS, a prompt diagnosis, a clear understanding of the treatment  
619 options available and an explanation of the course of this painful condition are priorities.  
620 Aligning treatment goals with those of patients suffering with FS should underpin clinicians'  
621 interaction with patients who present with FS and future research into this condition. Clearly,  
622 the patient's voice has not been heard enough in studies thus far.

623

## 624 **[H1] Outlook**

625 Research in recent decades has provided improved understanding of known risk  
626 factors and disease progression, and, importantly, insight into the basic mechanisms driving  
627 the disease process, with the potential for new therapeutic targets. Despite affecting 5% of  
628 the world's population<sup>156</sup>, research into FS lags behind that of other musculoskeletal  
629 conditions, and integration of new findings into a comprehensive treatment strategy that can  
630 be applied across the spectrum of disease (from early- through to late-stage disease) and  
631 medical practitioners (physiotherapists, primary care physicians, and surgeons) remains  
632 elusive. Of note, emerging basic science research needs to be assimilated into clinical  
633 practice to provide clinicians with a principal picture of the pathophysiological processes  
634 involved in FS.

635

## 636 **[H2] *Physiotherapy advances***

637 The component of physiotherapy that includes mobilization techniques beyond the  
638 threshold of pain in early disease can be detrimental to patient engagement and is explained  
639 by the unique mechanosensitive properties of fibroblasts and the fact that the inflammatory  
640 response makes fibroblasts more sensitive to progressive mechanical stress<sup>110</sup>. However,  
641 progressive stretching exercises up to tolerable pain levels results in an increase in the  
642 MMP/TIMP ratio, thus favouring collagen remodelling, and importantly is superior to  
643 supervised neglect<sup>171</sup>. Therefore, some mechanical stress is advantageous in promoting  
644 remodelling of the ECM, especially in the later stage of the condition. Thus, further research  
645 is now required on the role of precision 'tailored' physiotherapy guidelines for the treatment of  
646 FS.

647

648 **[H2] Translational advances**

649 Novel bench to bedside treatment strategies have been suggested to intervene in the  
650 inflammation–fibrosis cascade in different ways. Given the dominant role of the TGFβ  
651 pathway in FS, gene silencing (with small interfering RNAs (siRNAs)) was utilized to knock  
652 down *SMAD4* (a central mediator of TGFβ signalling) in a rat model of FS induced by  
653 immobilization<sup>172</sup>. Suppression of the TGFβ pathway impaired the inflammatory response  
654 and myofibroblast differentiation and resulted in better shoulder ROM and an increased joint  
655 volume in FS mice than in control rats. In a placebo-controlled, double-blind, randomized  
656 trial, the thyroid hormone calcitonin (delivery by nasal spray) was moderately efficacious in  
657 improving pain and functional outcomes in FS<sup>173</sup>. Another study found an association  
658 between expression of alarmins (such as IL-33, IL-17A, and HMGB1) and pain levels<sup>47</sup>,  
659 highlighting a potential role for anti-cytokine therapies in treating FS; however, additional  
660 preclinical work is required before progressing towards potential first in human FS trials.

661

662 **[H2] Clinical advances**

663 Clinically, knowledge about pain management and the association between pain and  
664 other psychological disorders, such as depression and anxiety, is expanding. In addition, the  
665 central element of night pain in FS has a major impact on patients' overall wellbeing owing to  
666 interruption of sleep, and the emotional and societal implications of these factors are not well  
667 understood. These issues may play an important role in the management of FS in the future  
668 as these associations are clarified.

669 The lack of well-conducted prospective longitudinal studies to adequately investigate  
670 prognostic risk factors for FS is a barrier to furthering our understanding of this pathology.  
671 Although none of the risk factors discussed earlier are known to have a direct causative role,  
672 their high prevalence in the FS population indicates that reducing or improving them would  
673 be useful. Therefore, clear, simple, and actionable health promotion advice regarding  
674 smoking cessation, physical activity, stress, and sleep levels, diet and weight management is  
675 recommended. Even slight increases in physical activity and exercise can substantially  
676 reduce the relative risks of both morbidity and mortality associated with many of the  
677 proposed risk factors for FS<sup>174</sup>. Increasing walking time to just 2 hours a week significantly  
678 reduces the risk of cardiovascular disease in individuals with diabetes<sup>175</sup> and both aerobic  
679 and resistance-based exercise demonstrably reduce morbidity risk<sup>176-178</sup>. In the near future,  
680 'frozen shoulder' could be considered an umbrella term for emerging subgroups of this  
681 pathology, each with their own aetiology, disease progression, prognosis and management  
682 strategies. While novel therapeutic modalities to treat FS are evolving, there should also  
683 perhaps be a concomitant focus on preventing the important and avoidable risk factors

684 involved. Population health messages delivered consistently by health care professionals  
685 across all sectors should be considered core tenets within musculoskeletal care. This should  
686 include an honest, open yet compassionate discussion and acknowledgment that it may take  
687 weeks, months or years for the benefits of lifestyle changes to make any demonstrable  
688 impact on patient outcome<sup>179</sup>.

689 Clinical trials in FS remain challenging, with inconsistencies in outcome measures,  
690 heterogeneity of patient stage at recruitment and the requirement for prolonged follow up.  
691 Although advances have been made toward a consensus in terms of a core set of outcome  
692 domains for use in shoulder trials<sup>180</sup>, several important issues need to be addressed,  
693 including heterogeneity in study design, stage- or patient-specific treatment protocols, and  
694 how we classify response to any treatment regimens – specifically those altering specific  
695 physiotherapy regimes. Further research is now required to link the genetic, epigenetic,  
696 environmental and therapeutic factors together so that curative or preventive therapies for FS  
697 can be obtained. These findings should give impetus to the development of new diagnostic  
698 techniques, evidence-based screening methods and more targeted personalised  
699 interventions, which underscore the need for a multidisciplinary approach to the management  
700 of FS.

701

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1172 Mechanisms/pathophysiology (N.L.M., L.M., S.A.R., M.A., A.L.C. G.A.C.M); Diagnosis,  
1173 screening and prevention (A.M, F.S, E.W.); Management (N.L.M., A.L.C., A.M., F.S., E.W.,  
1174 P.D.K., G.A.C.M., J.R, S.A.R.); Quality of life (N.L.M., P.D.K.); Outlook (N.L.M., M.A, S.A.R.,  
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#### 1176 **Competing interests**

1177 The authors declare no competing interests.

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**Table 1. Treatment approaches for frozen shoulder based on stage of the condition.**

Stage	Characteristics	Treatment approaches		
		Pharmacological	Physical	Other adjuncts
Stage I	Inflammation	NSAIDs CSI	Home exercises	Patient education Hydrodilatation TENS
Stage I	Freezing and frozen	NSAIDs	Physiotherapy: Mobilization	Patient education Hydrodilatation Shockwave therapy
Stage III	Thawing	NA	Physiotherapy: Resistance- based	Surgical management if symptoms do not dissipate

1183 NA, not applicable; NSAIDs, non-steroidal anti-inflammatory drugs; TENS, transcutaneous  
1184 electrical nerve stimulation.

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### Figure legends

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**Figure 1. Structural changes during frozen shoulder. a** | The healthy capsule is collagenous in structure, composed primarily of dense type I collagen and elastic fibre bundles with limited blood vessels and nerve fibres. The main cell type within this membrane is the fibroblast, which maintains capsule health by producing extracellular matrix (ECM) proteins that provide a supportive yet flexible structure. **b** | In FS, there is fibrosis and thickening of the connective tissue membrane as well as the adjacent synovial membrane<sup>17</sup>. **c** | Fibroproliferation results in an increased number of fibroblasts producing more ECM proteins, resulting in a dense and poorly organized fibrillar structure. These fibrotic changes are accompanied by inflammation, neoangiogenesis and neo-innervation<sup>18,19,20,21</sup>. The consequence is a reduced joint volume and increased stiffness of the capsule, causing restricted movement and pain.

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**Figure 2. The stages of frozen shoulder.** Frozen shoulder is classified into three clinical stages based on pain level and the severity of range of motion (ROM) limitation. Stage I is the inflammatory stage and includes gradually worsening pain but limited effect on ROM. Stage II involves plateauing of shoulder pain levels but is mostly associated with increasing stiffness that results in considerable loss of shoulder function that particularly affects a patients' normal activities of daily living. Stage III is characterized by reduction of pain (particularly night pain), with pain usually at the end of ROM, and a very gradual improvement in stiffness over a number of months to years.

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**Figure 3. Molecular pathophysiology of frozen shoulder.** A trigger, typically systemic (for example altered metabolic status), extrinsic (for example shoulder immobilization after trauma or surgery) or intrinsic (rotator cuff pathology) induces a pro-inflammatory, profibrotic environment in which various soluble factors influence cell behaviour. Substance P induces production and release of neuropeptides by mast cells, which affects fibroblast activation and matrix production. Pro-inflammatory cytokines, such as IL-1, IL-6, HMGB1 and IL-17A, and growth factors stimulate fibroblast activation, proliferation and positive feedback loops driving further cytokine and growth factor production. Cytokines also induce T cell activation and production of IL-17A, while the abundance of macrophage subsets, B cells and dendritic cells are all increased in FS human biopsy samples. All these factors, together with mechanical stress and matrix turnover imbalance, induce fibroblast transdifferentiation to myofibroblasts, which leads to tissue fibrosis and contracture.

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**Figure 4. Proposed algorithm for differential diagnosis of frozen shoulder.** This algorithm may aid in differentiating frozen shoulder from other painful and/or motion-limiting conditions of the shoulder, such as glenohumeral joint (GHJ) osteoarthritis<sup>48</sup>, subacromial pain and acromioclavicular joint (ACJ) pathology. Decisions are made based predominantly on physical examination (loss of passive external rotation) but other techniques and methods also provide useful diagnostic information. SLAP, superior labrum from anterior to posterior.

**Figure 5. Key examination techniques for frozen shoulder.** Various movements of the arm of the affected shoulder are used to assess pain and limitations of range of motion (ROM) in individuals with FS.

**Box 1. Risk factors for frozen shoulder.**

- Systemic risk factors
  - [b1] Diabetes mellitus
  - [b1] Hypothyroidism
  - [b1] Hyperthyroidism
  - [b1] Hypoadrenalism
  - [b1] Hyperlipidaemia
- Extrinsic risk factors
  - [b1] Cardiopulmonary disease
  - [b1] Cervical degenerative disc disease
  - [b1] Cerebrovascular disease
  - [b1] Humeral fracture
  - [b1] Parkinson's disease
  - [b1] Post axillary surgery (breast carcinoma)
  - [b1] Radiotherapy
- Intrinsic risk factors
  - [b1] Rotator cuff tendinopathy
  - [b1] Rotator cuff tears
  - [b1] Biceps tendinopathy
  - [b1] Calcific tendinopathy
  - [b1] Acromioclavicular arthritis

**TOC blurb**

Frozen shoulder is a fibroproliferative disorder of the shoulder characterized by pain and progress loss of shoulder mobility. In this Primer, Millar et al. provide an overview of the epidemiology, pathophysiology, diagnosis and treatment of FS, as well as how it affects patients' quality of life.