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Reference:

Elbrink Kimberley, Van Hees Sofie, Roelant Dirk, Loomans Tine, Holm Rene, Kiekens Filip.- The influence on the oral bioavailability of solubilized and suspended drug in a lipid nanoparticle formulation : in vitro and in vivo evaluation
European journal of pharmaceutics and biopharmaceutics - ISSN 1873-3441 - 179(2022), p. 1-10
Full text (Publisher's DOI): <https://doi.org/10.1016/J.EJPB.2022.08.010>
To cite this reference: <https://hdl.handle.net/10067/1905630151162165141>

1 The influence on the oral bioavailability of solubilized and suspended
2 drug in a lipid nanoparticle formulation: *in vitro* and *in vivo* evaluation

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24 Abstract

25 The present study investigated the oral bioavailability of celecoxib when incorporated into solid
26 lipid nanoparticles either dissolved or suspended. *In vitro* drug release in different media, *in*
27 *vivo* performance, and *in vitro-in vivo* correlation were conducted. The results revealed that the
28 compound was successfully encapsulated into the nanocarriers with good physicochemical
29 properties for oral administration. The *in vitro* release profiles followed the Weibull model,
30 with significant differences between the formulations containing the solubilized and the
31 suspended compound. Furthermore, *in vitro* release data could be used to rank the observed *in*
32 *vivo* bioavailability. The relative bioavailability of celecoxib from the solid lipid nanoparticles
33 was 2.5- and 1.8-fold higher for the drug solubilized and suspended solid lipid nanoparticle
34 formulation, respectively, when compared to the celecoxib reference. A significant difference
35 was observed between the plasma concentration-time profiles and pharmacokinetic parameters
36 for the three investigated formulations. Finally, this investigation displayed promising
37 outcomes that both solubilized and suspended celecoxib in the lipid core of the solid lipid
38 nanoparticles offers the potential to improve the compound's oral bioavailability and thereby
39 reduce the dosing frequency.

40

41 Keywords

42 Solid lipid nanoparticles; Oral bioavailability; *In vitro-in vivo* correlation; Poorly water-soluble
43 drugs; Celecoxib

44

45 1. Introduction

46 Oral drug delivery is the most preferred route of administration owing to the good patient
47 convenience, resulting in great patient compliance. [1,2] However, many newly discovered
48 drug molecules are poorly water-soluble with a high or low permeability, belonging to class II
49 or IV, according to the biopharmaceutical classification system. The suboptimal
50 biopharmaceutical properties of those compounds, such as low aqueous solubility, may result
51 in a limited absorption after oral administration, and therefore a high clinical variation and
52 potential failure. [3–5]

53 Lipid-based formulations have shown success to tackle these pharmaceutical issues for newly
54 discovered drug molecules. [4] Those formulations are able to improve the oral bioavailability
55 of lipophilic drugs owing to an increase of the solubility of the drug in the gastrointestinal tract,
56 enhanced absorption, and potential prevention of the first-pass metabolism. [1,6] Among the
57 lipid-based drug delivery systems, solid lipid nanoparticles have shown great potential as drug
58 carriers because of their unique properties, including good physicochemical stability, good
59 biocompatibility, nontoxicity and, controlled or sustained drug release. [7–9] Solid lipid
60 nanoparticles are composed of a solid lipid matrix with entrapped drug molecules surrounded
61 by a surfactant layer with good biocompatibility and biodegradability. [5,10–12] The lipid
62 excipients and the digestion products of the lipids increase the drug solubility *in vivo* and play
63 an important role in the formation of micelles. [1,13] Additionally, enhanced intestinal
64 permeability of drugs, improved dissolution rate, and prolonged retention in the gastrointestinal
65 tract contribute to a markedly improved drug oral bioavailability. [12,14,15]

66 During the past years, solid lipid nanoparticles have been explored for the delivery of poorly
67 water-soluble drugs to improve oral bioavailability, e.g., simvastatin [12], sildenafil citrate [16],
68 olmesartan medoxomil [17], and loperamide [10]. Usually, the compound of the lipid-based
69 formulations is in a dissolved state in the lipid matrix, resulting in an avoidance of slow
70 dissolution of the compound. Although several articles describe different drug/lipid ratio's with
71 the compound into a solubilized state, a suspended state of the compound has to the best of our
72 knowledge not been thoroughly investigated for solid lipid nanoparticles. [4,18]

73 For a full understanding of the lipid-based formulations, an in-depth characterization of the
74 behavior of those nanocarriers by *in vitro* release, as well as *in vivo* release studies are
75 necessary. [14,19] A different approach for the *in vitro* release of solid lipid nanoparticles than
76 conventional solid dosage forms are needed, due to the excipients, which are not always soluble

77 in the release media. Therefore, the selection of the media for the *in vitro* drug release
78 experiments is important to predict the *in vivo* drug release, to rank the oral bioavailability of
79 the *in vivo* data, and obtain a good *in vitro-in vivo* correlation. [4,14,18]

80 In this study, a poorly water-soluble model compound, celecoxib, was used, belonging to class
81 II of the biopharmaceutical classification system. The overall aim of this investigation was to
82 determine if the oral bioavailability of the compound dosed in solid lipid nanoparticles could
83 function equally when suspended versus solubilized in the lipid matrix. Other objectives of this
84 investigation were: I) evaluation of the physicochemical properties of the formulations; II) to
85 compare the *in vitro* release profiles of both formulations in different media; III) to assess the
86 *in vivo* release profiles and pharmacokinetic parameters between the solubilized and suspended
87 drug in the solid lipid nanoparticles; IV) to determine the influence of the lipid concentration
88 on the oral bioavailability; and V) to investigate the *in vitro-in vivo* correlation.

89

90 2. Materials and Methods

91 2.1. Chemicals and reagents

92 Glyceryl monostearate pure (GMS), Tween[®] 80 extra pure, and sodium chloride were acquired
93 from Carl Roth GmbH (Karlsruhe, Germany). Sodium deoxycholate was obtained from TCI
94 Europe NV (Zwijndrecht, Belgium) and D-Lactose monohydrate was provided by Sigma-
95 Aldrich Chemie GmbH (Schnelldorf, Germany). Celecoxib and Hydrochloric acid 37% were
96 purchased from VWR (Leuven, Belgium). Sodium lauryl sulfate was bought from Fagron
97 (Nazareth, Belgium). Acetonitrile HPLC grade and Methanol HPLC grade were obtained from
98 Chem-lab Analytical BVBA (Zedelgem, Belgium). FeSSIF and FaSSIF were purchased from
99 Fisher Scientific (Merelbeke, Belgium) and Pepsin powder from Acros Organics (Geel,
100 Belgium). The water used in all experiments was ultrapure water from a Direct pure adept,
101 Rephile Bioscience Ltd., Analis NV (Belgium).

102

103 2.2. Animals

104 Male Sprague Dawley rats were obtained from Charles River (Sulzfeld, Germany) with an age
105 of 9-11 weeks and a bodyweight of 300 to 350 g. Animal Ethics Committee was in accordance
106 with the local Belgium laws controlling the use of experimental animals and the EU Directive
107 2010/63/EU.

108 2.3. Methods

109 2.3.1. Saturated solubility of celecoxib in the lipid matrix

110 The saturated solubility of celecoxib in the lipid matrix was determined as described by Patel
111 *et al.* [20]. In short, 5 g of the solid lipid, glyceryl monostearate, was transferred to a measuring
112 cup at a temperature 5 to 10 degrees above the melting point of glyceryl monostearate ($67^{\circ}\text{C} \pm$
113 1°C). Thereafter, celecoxib was added in increments (approximately 5 mg) until the compound
114 was dissolved. The maximum amount of drug dissolved in the lipid was determined in triplicate.

115 2.3.2. Preparation of the different formulations

116 2.3.2.1. Solid lipid nanoparticles

117 The solid lipid nanoparticles were produced as previously reported [21] using high-speed
118 homogenization followed by ultrasonication. Briefly, the lipid phase (glyceryl monostearate)
119 was kept in a molten state, 10 degrees above the melting point of the lipid ($67^{\circ}\text{C} \pm 1^{\circ}\text{C}$), using
120 a glass cell with water jacket connected to a circulating heating bath. The accurately weighed
121 quantity of celecoxib was dispersed in the lipid phase. Subsequently, tween[®] 80 and sodium
122 deoxycholate were dissolved in ultrapure water and heated until it became isothermal with the
123 lipid phase. Afterwards, the lipid and aqueous phase were homogenized (IKA T18 digital
124 UltraTurrax[®], Staufen, Germany) at 8000 rpm for 5 minutes, while maintaining temperature
125 with the aid of a thermal jacket around the sample holder of the homogenizer. The obtained oil
126 in water emulsion was then quickly sonicated by a probe sonicator (Vibra-Cell VCX-750,
127 Sonics, United States) for 1 minute at 20% amplitude. The hot nano-emulsion was cooled down
128 in an icebox to accomplish solidification of the solid lipid to form solid lipid nanoparticles. The
129 solid lipid nanoparticle dispersions were stored overnight at -20°C and lyophilized for 96 hours
130 in a FreeZone 1 Liter Benchtop Freeze Dry System (Model 7740030) (Labconco, MO, USA)
131 with 5% (w/w) D-Lactose monohydrate as a cryoprotectant. [22–24] **Table 1** shows the
132 composition of the different formulations. Formulation CCX-1 contained the maximum amount
133 of drug that could be solubilized in the lipid, while formulation CCX-2 contained approximately
134 five times more drug than the CCX-1 formulation.

135 2.3.2.2. Celecoxib reference formulation for the in vivo study

136 The celecoxib reference (CCX-3) was a submicron oil in water emulsion produced by high-
137 speed stirring followed by ultrasonication. Celecoxib (3 mg/g), soybean oil (0.2 g/g), and
138 lecithin (0.012 g/g) were stirred until all components were dissolved at a temperature of 60°C .
139 Meanwhile, glycerol (0.02 g/g) was mixed with ultrapure water (0.765 g/g) and heated to the

140 same temperature. Both phases were homogenized together at 24000 rpm for 5 min and
141 subsequently sonicated with a probe sonicator for 5 min at 40% amplitude. The obtained lipid
142 emulsion was kept at 4°C until further use.

143 2.3.3. Methods for analysis of celecoxib

144 2.3.3.1. Analytical method for the entrapment efficiency and *in vitro* release

145 The concentrations of celecoxib were measured by a HPLC-UV system (Shimadzu LC-20A,
146 Tokyo, Japan) equipped with a pump (Shimadzu LC-20AT), an auto-sampler (Shimadzu SIL-
147 20A), a degasser (DGU-20A5), and a diode-array detector (Shimadzu SPD-M20A). The mobile
148 phase for celecoxib separation was composed of methanol and ultrapure water at a ratio of
149 75:25 and delivered over a reversed-phase C18 column (GraceSmart® RP18 Column 150 x 4.6
150 mm 5u 120A) at room temperature (25°C). The flow rate was set at 1 mL/min with an injection
151 volume of 20 µL. The eluent was observed by UV detection at a wavelength of 250 nm. [25]
152 Peak area integration was performed using LC Postrun Analysis (Shimadzu, Tokyo, Japan) and
153 the drug concentration was determined with reference to an external calibration curve. **This
154 method was validated for linearity, accuracy, repeatability and intermediate precision.**

155 2.3.3.2. Analytical method for biological samples

156 An accurate amount of plasma (10 µL) was stirred with 20 µL dimethyl sulfoxide, 20 µL water,
157 and 200 µL acetonitrile and subsequently centrifuged for 20 min at 6000 x g at 5°C. The plasma
158 concentrations of celecoxib were measured by UPLC chromatography (Waters Acquity UPLC
159 system, Waters Corp., Milford, MA) connected to a tandem mass spectrometer (SCIEX API
160 4000 MS/MS system, Applied Biosystems, Carlsbad, CA) as previously described. [21] In
161 short, the chromatographic separation was performed on a Acquity UPLC BEH C18 column
162 (50 × 2.1 mm, 1.7 µm) from Waters Corp. (Milford, MA, USA). The mobile phase for the UPLC
163 analysis consisted of 0.1% formic acid in water and acetonitrile (ACN) with a total run time of
164 1.7 min and at a flow rate of 0.60 mL/min. Gradient elution was carried out, started at 65:35
165 (V/V) water/acetonitrile and up to 2:98 (V/V) water/ACN at 1 min, afterwards, the column was
166 cleaned with 98.0% ACN from 1.1 – 1.3 min. At 1.31 min, the mobile phase changed to 65:35
167 (V/V) water/acetonitrile till 1.7 min. The lower and upper limits of quantification obtained were
168 10 and 20000 ng/mL, respectively. The MS/MS detection had the following settings: Collision
169 gas (CAD) 6.0, IS -4500 V, temperature (TEM) 550 °C, collision energy (CE) -30.0 V and
170 entrance potential -10.0 V. A precursor-product ion transition from mass to charge ratio (m/z)
171 of 380-316 was used for the detection of the compound.

2.3.4. Physicochemical characterization

2.3.4.1. Particle size distribution and zeta potential

Particle size distributions of unloaded and loaded solid lipid nanoparticles were determined by laser diffraction on a Mastersizer 3000 (Malvern, United Kingdom) using the wet dispersion method. The parameters were analyzed using the Mie theory. All measurements were performed in triplicate with number distribution as a result type. Results were expressed as the $D_x(50)$, being the particle diameters accumulated number ratio of 50%.

The zeta potential of the samples was evaluated by dynamic light scattering using a Zetasizer Nano ZS (Malvern, United Kingdom), based on the Smoluchowski equation. The lyophilized solid lipid nanoparticles were re-dispersed in ultrapure water, diluted to a 2% (V/V) concentration with ultrapure water and placed in a disposable folded capillary cuvette. All analyses were carried out in triplicate at a temperature of 25°C. [26]

2.3.4.2. Entrapment efficiency and drug loading capacity

The entrapment efficiency (EE) and the drug loading capacity (LC) into the solid lipid nanoparticles were determined by measuring the concentration of the free drug from the solid lipid nanoparticles using the ultrafiltration-centrifugation technique. [27] The centrifugal filters (Merck Millipore, Belgium) had a 10-kD molecular weight cut-off membrane, made of regenerated cellulose. Firstly, the filters were washed with ultrapure water to hydrate the pores by centrifugation (3-16 PK, Sigma centrifuges, Germany) for 10 min at 14000 x g. Then, the solid lipid nanoparticle dispersions were diluted with ultrapure water to a concentration of 5 mg/mL to avoid blocking the membrane pores. Separation of the amount of free drug and the solid lipid nanoparticles was obtained by adding 500 μ L of the diluted sample to the ultrafiltration filter tube and subsequently centrifuging for 30 min at 14000 x g. Afterwards the resultant percolate was diluted with methanol (ratio 1:1) and analyzed by high-performance liquid chromatography (HPLC). The entrapment efficiency and the drug loading capacity of the solid lipid nanoparticle formulations were calculated according to the following equations (Eq. (1) and Eq. (2)):

$$EE (\%) = \frac{W_T - W_F}{W_T} \times 100 \quad \text{Eq. (1)}$$

$$LC (\%) = \frac{W_T - W_F}{W_{SLN}} \times 100 \quad \text{Eq. (2)}$$

where W_T was the total amount of drug, W_F was the amount of free (not included) drug, and W_{SLN} was the total amount of solid lipid nanoparticles.

2.3.5. Solid-state characterization

Differential scanning calorimetry (DSC) analysis was performed using the Discovery DSC25 (TA Instrument, New Castle, DE, USA). Celecoxib, glyceryl monostearate, unloaded, and loaded solid lipid nanoparticles were accurately weighed in Tzero aluminum pans and crimp sealed. The enthalpy and temperature were calibrated by an indium standard and the heat capacity by a sapphire standard. A heating rate of 2°C/min with modulation of 1.6°C/min was applied in the range of -40°C to 200°C under nitrogen purge at a flow rate of 50 mL/min in a modulated temperature mode. The thermograms were directly obtained from the TA Instruments TRIOS software to determine and quantify the melting peak and were observed for crystallinity changes and compatibility of celecoxib with other excipients. Additionally, X-ray diffraction patterns of the lyophilized solid lipid nanoparticles and their solid components were explored by a PANalytical (Philips, Almelo, The Netherlands) X'PertPRO MPO diffractometer with a Cu LFF X-ray tube. Scanning of the samples was performed at 2θ range of 3° to 50°, at 45 kV operating voltage and 40 mA current with a step size of 0.02° and a step time of 500 sec/step.

2.3.6. *In vitro* drug release

The *in vitro* release profiles of drug-loaded solid lipid nanoparticles and celecoxib as such were studied in 200 mL release media of simulated gastric fluid (pH 1.2), fed state simulated intestinal fluid (pH 5), and fasted state simulated intestinal fluid (pH 6.5) using a modified USP apparatus 2 at 37 ± 1°C for 24 h. [28] Sodium lauryl sulfate (1% (w/V)) was added to all release media as a solubilizing agent to maintain sink conditions. Aliquots (1 mL) were withdrawn at specified time intervals (2, 5, 10, 15, 30, and 45 min, and 1, 1.5, 2, 3, 4, 6, 8, and 24 h), and replaced by an equal volume of fresh release medium to maintain a constant volume. Subsequently, the collected samples were centrifuged for 30 min at 21460 x g. The drug concentration in the supernatants was determined by HPLC, as described above. All experiments were performed in triplicate, and the results were expressed in mean values ± SD. The *in vitro* release data were fitted into first-order, Higuchi and Weibull models for evaluation of the drug release phenomena by the spreadsheet-based nonlinear analysis as described by Juhász et al. [29] The determination coefficient (R²) was calculated to compare the non-linear mathematical models. [29–31]

235 2.3.7. *In vivo* drug release

236 2.3.7.1. Animal study

237 The animals were retained in polysulphone cages with corn hub bedding material and were kept
238 in controlled rooms with a temperature of 20-24°C, a light cycle of 12 h, and a humidity of 30-
239 70%. Seven days before the start of the study, the rats were acclimatized and had access to food
240 and water ad libitum. After acclimatization, the 18 male rats were randomly allocated into three
241 groups (n = 6 for each group). Group 1 and group 2 received once 5 mL/kg solid lipid
242 nanoparticles, namely CCX-1, and CCX-2, and rats of group 3 were dosed once 5 mL/kg with
243 the celecoxib reference (CCX-3). All formulations had a concentration of 3 mg/mL of celecoxib
244 and were orally administered once on day 1 of the study.

245 2.3.7.2. Blood sample collection and plasma preparation

246 Blood samples were withdrawn from the tail vein of the rats and 32 µL of the blood sample was
247 collected in Vitrex micro hematocrit tubes at designated intervals of time ranging from 0.5 h to
248 30 h hours post oral administration. After sampling, blood samples were immediately placed
249 on ice and centrifuged for 10 min at 5°C and 1500 x g. Subsequently, plasma aliquots (10 µL)
250 were collected with Vitrex end-to-end pipettes in FluidX tubes and stored in the freezer until
251 further analysis.

252 2.3.7.3. *In vitro-in vivo* correlations

253 The correlation of *in vitro* release tests with *in vivo* data is presumably the best for class II drugs
254 (low solubility and high permeability) of the biopharmaceutical classification system because
255 the dissolution rate is the primary limiting aspect of the absorption. [32] This correlation can
256 be mathematically treated by system analysis. [33] The plasma concentration-time profile after
257 intravenous administration of celecoxib, as described by Elbrink et al. [21], was defined as the
258 weighting function $W(t)$. The plasma concentration profile obtained after oral administration of
259 the solid lipid nanoparticles can be treated as the response function $R(t)$ of the system. The input
260 function $I(t)$ can be described by deconvolution. [34,35] The “area-area-points” method is the
261 most flexible and general, where the response function is taken as points of the true curve and
262 the input and weighting functions are construed by “staircase” curves. [33] As described by
263 Langenbucher [33], numerical deconvolution was used according to the following sum (Eq.
264 (3)):

265
$$I(x_i) = \frac{[R(t)/T - \sum_{k=1}^n I(x_k) * W(x_{n-k+1})]}{W(x_i)} \quad \text{Eq. (3)}$$

266 where $W(x_k)$ and $I(x_k)$ are the average weight and input rate between the times x_{k-1} and x_k , and
267 T is the time interval. The numerical deconvolution was based on the obtained plasma
268 concentration-time profiles.

269 2.3.7.4. Pharmacokinetic parameters and statistical analysis

270 The pharmacokinetic parameters including the maximum drug concentration observed in
271 plasma (C_{max}), the area under the curve (AUC), the time to reach the maximum concentration
272 (T_{max}), the terminal half-life ($T_{1/2}$), and the mean residence time (MRT) were determined by
273 non-compartmental analysis (PKSolver[®]; Microsoft Excel). All the determined values were
274 expressed as mean \pm SD. Statistical analyses were performed using the Statistical Package for
275 Social Sciences (SPSS ver. 27.0) using a two-way ANOVA.

276 2.3.8. Stability study

277 The stability of the lyophilized celecoxib-loaded solid lipid nanoparticles was studied according
278 to the International Conference on Harmonization (ICH) Q1A (R2) guidelines. [36] The two
279 formulations ($n = 3$) were stored in glass vials at 4 ± 2 °C for three months. The storage stability
280 of the solid lipid nanoparticles was evaluated by particle size, zeta potential, and entrapment
281 efficiency at 0, 1, and 3 months. Statistical evaluation was performed using SPSS ver. 27.0.

282

283 3. Results and discussion

284 3.1. Physicochemical characterization of the solid lipid nanoparticles

285 The saturated solubility of celecoxib in glyceryl monostearate was found to be 41.1 mg/g.
286 Hence, the CCX-1 formulation consisted of the maximum amount of celecoxib ($\pm 4\%$ (w/w)
287 drug relative to lipid) that could be solubilized in the lipid, whereas the CCX-2 formulation was
288 supersaturated with celecoxib ($\pm 20\%$ (w/w) drug relative to lipid). After the production of the
289 SLN formulations, they were characterized for their particle size ($D_x(50)$), zeta potential (ZP),
290 entrapment efficiency (EE), and drug loading capacity (LC) (**Table 2**).

291 The average particle size of the drug-free and drug-loaded solid lipid nanoparticles ranged from
292 19.67 to 91.47 nm with span indices of the formulations around 1.3. The celecoxib-loaded solid
293 lipid nanoparticles had a slightly increased particle size relative to the drug-free solid lipid
294 nanoparticles. According to the statistical analyses, a significant size difference was observed
295 between the CCX-1 and CCX-2 formulation ($p=0.000$), which can possibly be explained by the
296 drug to lipid ratio. The higher the drug to lipid ratio, the larger the solid lipid nanoparticles are.

297 [37] Importantly, a particle size <300 nm is a crucial factor for the gastrointestinal absorption
298 of the solid lipid nanoparticles after oral administration. [7,8,12,17]

299 The zeta potential of all formulations had a negative value (attributed to the anionic nature of
300 sodium deoxycholate) around -45 mV, indicating the stability against aggregation of the solid
301 lipid nanoparticles due to electrostatic repulsion among the particles with a similar charge.
302 [26,38] No significant differences were recognized between the zeta potential of the blanco,
303 CCX-1, and CCX-2 formulations, suggesting there was not an actual 'electrical charge'
304 difference present. So, the surface charge was not influenced by the incorporation of celecoxib
305 in the solid lipid nanoparticles.

306 The celecoxib-loaded solid lipid nanoparticles presented high entrapment efficiency (>90%),
307 which was significantly different between the two formulations with a low and high drug to
308 lipid ratio ($p=0.004$). The high levels of entrapment efficiency may be due to the lipophilic
309 nature of celecoxib ($\log P = 3.5$) [39], resulting in a higher affinity for the solid lipid matrix.
310 [40,41] The average drug loading capacity for the CCX-2 formulation (19.79%) was
311 approximately five times higher than for the CCX-1 formulation (3.88%). High loading
312 capacity is essential for diminishing the volume of the final dosage form. [11,42]

313 3.2. Solid-state characterization of the solid lipid nanoparticles

314 Interpretation of the crystallinity and interaction among celecoxib and the lipid matrix was
315 investigated by monitoring the freeze-dried solid lipid nanoparticles, pure celecoxib, and
316 glyceryl monostearate (**Fig. 1A**). Pure celecoxib and glyceryl monostearate demonstrated a
317 sharp endothermic peak at 161.66°C and 53.69°C, corresponding to their melting points of the
318 crystalline forms. [43] The drug-free and drug-loaded solid lipid nanoparticles exhibited no
319 comparable peak of pure celecoxib, suggesting that the drug was in a non-crystalline state
320 within the lipid matrix of the solid lipid nanoparticles. The thermograms of the SLN
321 formulations showed a slight shift and broadening of the endothermic peak of glyceryl
322 monostearate to a lower temperature. These findings can possibly be explained by the lipid-
323 surfactant interactions and the Kelvin effect (small particle size). [44] Noteworthy, enhanced
324 water solubility and oral bioavailability is assumed due to the conversion of crystalline
325 celecoxib to an amorphous state.

326 The crystal lattice arrangements were evaluated by X-ray powder diffraction. **Fig. 1B** presents
327 the X-ray diffraction patterns of drug-free and drug-loaded solid lipid nanoparticles, as well as
328 pure celecoxib and glyceryl monostearate. The sharp indicative peaks of pure celecoxib at 2-

329 theta between 15° and 30° indicated the crystalline nature of the drug. [45] These sharp peaks
330 disappeared in the diffractogram of the CCX-1 formulation, suggesting that celecoxib was
331 solubilized upon incorporation into the lipid matrix, as suggested by the solubility data describe
332 above. However, the XRD pattern of the CCX-2 formulation presented some peaks with a
333 reduced intensity that could be related to the presence of celecoxib, due to the high drug to lipid
334 ratio. Additionally, crystalline peaks of plain glyceryl monostearate were observed at 2-theta
335 between 18° and 25° (β -form). [46,47] The intensity of those peaks was reduced in the drug-
336 free and drug-loaded solid lipid nanoparticles, resulting in a less ordered crystal arrangement
337 of the lipid matrix, which could be a reason for the higher drug incorporation. [12,48] In
338 conclusion, the DSC thermograms and XRD patterns were in good agreement, implying a fully
339 solubilized and amorphous state of celecoxib for the CCX-1 formulation and a partially
340 amorphous state for the CCX-2 formulation. The release of celecoxib from the solid lipid
341 nanoparticles was influenced by the adjustments in crystallinity of the drug and solid lipid. [49]

342 3.3. *In vitro* drug release

343 The *in vitro* release profiles of celecoxib from the SLN formulations and celecoxib as such were
344 evaluated in SGF, FeSSIF, and FaSSIF at body temperature (37 ± 1 °C) for 24 hours. Owing to
345 its poor aqueous solubility, sodium lauryl sulfate (1%, w/V) was added to the release media as
346 a solubilizing agent. The results of the cumulative drug release are depicted in **Fig. 2** and **Fig.**
347 **3.** **Fig. 2** shows the release profiles of the two different formulations and pure celecoxib per
348 formulation in three different media, while **Fig. 3** presents the release patterns per medium.

349 Comparing the graphs per formulation (**Fig. 2**), a similar biphasic release profile was observed
350 with an initial fast release followed by a slow release. The burst release of celecoxib from the
351 solid lipid nanoparticles may be due to the presence of celecoxib attached to the outside or in
352 the outer shell layer of the solid lipid nanoparticles. Followed by a phase of slow celecoxib
353 release, which referred to the degradation of the solid lipid matrix and the diffusion of the
354 incorporated drug from the nanoparticles due to the strong drug-lipid interactions as indicated
355 by the solid-state characterization. Glyceryl monostearate, the solid lipid, has a compact
356 structure that does not grant the flow of the liquid phase, which ensures a slow release of
357 celecoxib. [50] This final phase was not extended for hours. It could be suggested that this
358 might be caused by the smaller particle size, which in turn creates a larger surface area for the
359 drug-loaded solid lipid nanoparticles.

360 The CCX-1 formulation (**Fig. 2A**) had a faster release of celecoxib than the CCX-2 formulation
361 (**Fig. 2B**), namely, 92% and 85% after 30 min. The drug release in the initial hour was
362 influenced by the concentration of the lipid. CCX-1 had a higher lipid concentration and a lower
363 drug to lipid ratio than the CCX-2 formulation, resulting in a faster drug release with increased
364 lipid concentration. Another reason for the slower drug release may be due to the larger particle
365 size of the CCX-2 solid lipid nanoparticles, which gives rise to a smaller surface area, and thus
366 a reduction in drug diffusion. **Fig. 2C** shows the dissolution profile of pure celecoxib in the
367 different media. Remarkably, celecoxib dissolved fastest in FeSSIF (pH 5), followed by FaSSIF
368 (pH 6.5) and SGF (pH 1.2). This result may be described by the solubility of celecoxib. The
369 celecoxib-loaded solid lipid nanoparticles exhibited a pH-dependent drug release. Celecoxib
370 (pKa 11.1) has a net zero charge over the entire physiological pH. Therefore, celecoxib is more
371 soluble in an alkaline than in an acidic environment. [51]

372 On the other hand, the results in **Fig. 3** present similar biphasic release profiles, as mentioned
373 above. During the initial hour of drug release, a difference between the formulations was
374 observed for each type of release medium. A general trend was recognized, in which the
375 percentage of cumulative drug release was higher for the CCX-1 formulation than the CCX-2
376 formulation, followed by pure celecoxib. This observation was more pronounced in SGF (**Fig.**
377 **3A**) and FaSSIF (**Fig. 3C**) than in FeSSIF (**Fig. 3B**).

378 The obtained *in vitro* release data were fitted into the first-order, Higuchi and Weibull equations
379 and the determination coefficient (R^2) was calculated. **Fig. 4** presents the R^2 values of the
380 nonlinear models on different formulations in the three release media. Both the first-order and
381 Weibull models demonstrated high determination coefficients (>0.9). Nevertheless, the Weibull
382 equation fitted best to all the release data. The shape parameter (**Table 3**) was <1 for CCX and
383 CCX-2, and >1 for CCX-1, respectively. [30,52]

384 Comparing the shape parameters between the different formulations and different media, it can
385 be concluded that the CCX-1 formulation had a significantly different drug release profile than
386 the CCX-2 formulation and pure celecoxib, whereas there was no significant difference
387 between CCX-2 formulation and pure celecoxib. Another observation was the significant
388 difference between the release of celecoxib in FeSSIF relative to FaSSIF and SGF. These results
389 confirm the above-mentioned observations.

390

391

392 3.4. *In vivo* drug release

393 3.4.1. Pharmacokinetics

394 The average plasma concentration versus time profiles following single-dose administration of
395 the SLN formulations and the reference are presented in **Fig. 5A**. The corresponding
396 pharmacokinetic parameters are listed in **Table 4**. After oral administration of the SLN
397 formulations and the celecoxib reference, a prominent initial burst release within the first 3 h
398 was observed, indicating an amount of celecoxib on the outer shell layer of the nanoparticles,
399 which was promptly exposed in the gastrointestinal tract, pursued by a fast absorption. [11,53]
400 These findings were in line with the results of the *in vitro* release data as described above. As
401 evidenced in **Fig. 5A**, the plasma concentrations of celecoxib were markedly higher for both
402 SLN formulations compared to the celecoxib reference and ranked in the following order CCX-
403 1 > CCX-2 > CCX-3.

404 The peak plasma concentration (C_{max}) of celecoxib of CCX-1 and CCX-2 formulations were
405 7107 ± 1134 ng/mL and 4283 ± 898 ng/mL, respectively, and were significantly higher than
406 the celecoxib reference (2747 ± 168 ng/mL) ($p < 0.05$). Similarly, a significant improvement in
407 the area under the curve ($AUC_{0 \rightarrow inf.}$) was determined upon the solid lipid nanoparticles
408 administration compared to the reference. The $AUC_{0 \rightarrow inf.}$ of the CCX-1 and CCX-2 were 2.5-
409 and 1.8-fold higher than the CCX-3, suggesting a greater extent of oral absorption, an improved
410 oral bioavailability, and overcoming the barriers that hinder the systemic availability.
411 [11,12,54] Noteworthy, the time to reach peak plasma concentration (t_{max}) and the elimination
412 half-life ($T_{1/2}$) were slightly delayed for the SLN formulations relative to the reference, although
413 no significant effect was determined. This delay can possibly be explained by the presence of
414 lipids in the duodenum, causing a delayed gastric emptying. [18,55] The mean residence time
415 (MRT) was extended for the SLN formulations compared to the celecoxib reference, with a
416 significant difference between the CCX-2 and CCX-3 ($p = 0.025$), but no significant difference
417 between the CCX-1 and CCX-2 nor CCX-3 ($p > 0.05$).

418 The relative bioavailability ($F_{resp.}$) was calculated by dividing the $AUC_{0 \rightarrow inf.}$ of the SLN
419 formulations by the $AUC_{0 \rightarrow inf.}$ of the reference. The $F_{resp.}$ values were 249% and 181% for the
420 CCX-1 and CCX-2 formulations, respectively, indicating that more celecoxib crossed the
421 intestinal barrier than the celecoxib reference and supporting the hypothesis that the solid lipid
422 nanoparticles significantly augmented the oral bioavailability as drug carriers.

423 As mentioned above, there was a clear difference between the plasma concentration-time
424 profiles and pharmacokinetic parameters of CCX-1 and CCX-2. The CCX-2 formulation had a
425 higher drug to lipid ratio and particle size compared to the CCX-1 formulation. Both factors
426 affect the oral bioavailability of the drug encapsulated in solid lipid nanoparticles. The lower
427 the drug to lipid ratio, the higher the lipid concentration in the formulation administered to the
428 rats, leading to an increase in the bioavailability of celecoxib, as can be seen in **Table 4**. The
429 smaller the particle size, the larger the surface area, leading to a more facile GI uptake by
430 adhering to the gastrointestinal tract. [6,12,54,56]

431 Furthermore, tween 80 and sodium deoxycholate were used as surfactants in the preparation of
432 the solid lipid nanoparticles. Both excipients are permeability enhancers, which enhance the
433 permeability of the membrane. [5,8,41,54] Additionally, glyceryl monostearate was used as the
434 lipid matrix of the solid lipid nanoparticles with more than 12-carbons chain length. Long-chain
435 fatty acids enhance lymphatic uptake and diminish the first-pass effect of the drug. [6,15,50]
436 Those mechanisms could assist, individually or together, for the improvement of the oral
437 bioavailability of celecoxib from the nanocarriers.

438 3.4.2. *In vitro-in vivo* correlations

439 The deconvolution profiles of celecoxib based on the numerical deconvolution are presented in
440 **Fig. 5B**. *In vitro-in vivo* correlation (IVIVC) can be determined to associate the *in vivo*
441 absorption and the *in vitro* drug release for lipid nanoparticles. [57] In this research, the IVIVC
442 was implemented to evaluate the absorption of celecoxib from different solid lipid nanoparticles
443 and celecoxib reference. Specific time points for 10%, 50%, and 90% absorbed celecoxib were
444 calculated to analyze the differences among the deconvolution profiles (**Table 5**). The CCX-3,
445 CCX-1, and CCX-2 had a drug absorption of 50% after 2.3 h, 2.6 h, and 3.4 h, respectively. A
446 similar trend was found for the time points of 10 and 90% of the drug absorption. Although, the
447 data presented that the CCX-2 formulation tended to a higher extent of absorption relative to
448 CCX-1 and CCX-3, no significant differences in the absorption of celecoxib between the three
449 formulations were observed.

450 3.5. Stability study

451 The drug-free and drug-loaded solid lipid nanoparticles were monitored for three months on
452 basis of zeta potential, particle size, and entrapment efficiency. The results of the storage
453 stability at refrigerated temperature are presented in **Fig. A.1** and **Table A.1**. Looking at the
454 results, no significant changes in any of the assessed parameters occurred. Noteworthy, a slight

455 increase in particle size (**Fig. A.1 (B)**) and decrease in entrapment efficiency (**Fig. A.1 (C)**)
456 could be determined, which can be due to aggregation and drug repulsion from the
457 nanoparticles, whereas no trend could be observed for the zeta potential. Overall, the solid lipid
458 nanoparticles were found to be stable for up to three months at refrigerated temperature.

459

460 4. Conclusion

461 In summary, the model compound, celecoxib, was successfully incorporated into the solid lipid
462 nanoparticles with good physicochemical properties and stable up to three months at
463 refrigerated temperatures. The solid lipid nanoparticles of the CCX-1 formulation were
464 significantly smaller than those of the CCX-2 formulation due to the drug to lipid ratio.
465 Moreover, a significantly higher entrapment efficiency was observed for the CCX-2
466 formulation than for the CCX-1 formulation, while the zeta potential was not affected by the
467 incorporation of the compound. The solid-state characterization presented that the compound
468 was in an amorphous state in the solid lipid nanoparticles for the CCX-1 formulation and a
469 partially amorphous state for the CCX-2 formulation. The *in vitro* release showed a biphasic
470 release profile with an initial burst release followed by a slow release, following the Weibull
471 model. A trend could be observed where the CCX-1 formulation had a higher percentage of
472 cumulative drug release than the CCX-2 formulation, followed by pure celecoxib. To answer
473 the question posed in the introduction of the research article, both SLN formulations gave rise
474 to a significantly enhanced oral bioavailability of celecoxib in comparison with the drug
475 reference. The improvement of the oral bioavailability was more pronounced for the dissolved
476 (249%) than the suspended (181%) compound in the lipid matrix. Further, the *in vitro* drug
477 release data from the solid lipid nanoparticles were consistent with the *in vivo* results and could
478 be used to rank the *in vivo* oral bioavailability, namely CCX-1 > CCX-2 > CCX-3.

479

480 5. Acknowledgments

481 The authors wish to thank everyone involved in this research. Furthermore, Abhishek Singh is
482 gratefully acknowledged for his expert help with the XRD patterns.

483 6. References

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- 668
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670 Figure Captions

671

672 **Fig. 1A.** DSC thermograms of drug-free solid lipid nanoparticles (pink), CCX-1 (green), CCX-
673 2 (red), GMS (blue), and plain celecoxib (brown).

674

675 **Fig. 1B.** X-ray powder diffraction patterns of CCX-1 (green), CCX-2 (dark blue), drug-free
676 solid lipid nanoparticles (brown), plain celecoxib (blue), and GMS (purple).

677

678 **Fig. 2.** *In vitro* drug release profiles per formulation; (A) CCX-1; (B) CCX-2; (C) plain
679 celecoxib. Data are expressed as the mean \pm SD (n = 3).

680

681 **Fig. 3.** *In vitro* drug release profiles per medium; (A) SGF; (B) FeSSIF; (C) FaSSIF. Data are
682 expressed as the mean \pm SD (n = 3).

683

684 **Fig. 4.** Comparison of R² values qualifying the result of nonlinear dissolution models on
685 different formulations.

686

687 **Fig. 5.** (A) Plasma concentration-time profiles for the SLN formulations and celecoxib
688 reference after oral administration to rats (mean profiles \pm SD, n = 6). (B) Mean deconvolution
689 profiles of the SLN formulations and the celecoxib reference (n = 6).

690 **Table 1** Composition of the prepared SLN formulations

Components	Formulation codes		
	CCX-1	CCX-2	Blanco
CCX (mg/g)	4.108	20.000	-
GMS (g/g)	0.100	0.100	0.100
Tween 80 extra pure (g/g)	0.010	0.010	0.010
Sodium deoxycholate (g/g)	0.005	0.005	0.005
Ultrapure water (g/g)	0.881	0.865	0.885

691

692 **Table 2** Characterization of the different formulations: zeta potential, particle size, entrapment
693 efficiency, and loading capacity (n=3 with standard deviation)

Formulation	ZP (mV)	Dx(50) (nm)	EE (%)	LC (%)
CCX-1	-43.90 ± 0.53	39.80 ± 0.53	96.95 ± 0.02	3.88 ± 0.04
CCX-2	-44.60 ± 1.59	91.47 ± 0.90	99.44 ± 0.00	19.78 ± 0.03
Blanco	-47.90 ± 2.15	19.67 ± 0.46	-	-

694

695 **Table 3** Determination coefficient (R^2), scale (a), and shape (b) parameters for the Weibull
 696 model

	FaSSIF			FeSSIF			SGF		
	CCX	CCX-1	CCX-2	CCX	CCX-1	CCX-2	CCX	CCX-1	CCX-2
R^2	0.9405	0.9862	0.9289	0.9911	0.9964	0.9633	0.9615	0.9738	0.8890
a	0.1904	0.0013	0.1044	0.0365	0.0001	0.0060	0.0572	0.0028	0.1079
b	0.2637	1.2792	0.3391	0.5396	1.5111	0.7607	0.3878	1.0362	0.3219

697

698 **Table 4** Pharmacokinetic parameters of celecoxib in rats following oral administration (mean
699 values for n = 6 with standard deviation)

Analyte	Celecoxib		
	CCX-1	CCX-2	CCX-3
Formulation	CCX-1	CCX-2	CCX-3
Dosing route	PO	PO	PO
Dose (mg/mL)	3	3	3
n	6	6	6
C ₀ (ng/mL)	-	-	-
T _{last} (h)	30	30	30
T _{1/2} (h)	3.29 ± 0.38	3.56 ± 0.33	3.45 ± 0.88
t _{max} (h)	2.67 ± 1.03	3.33 ± 1.03	2.17 ± 0.98
C _{max} (ng/mL)	7106.67 ± 1133.94	4283.33 ± 897.97	2746.67 ± 167.89
C _{max} /dose (ng/mL)	2368.89	1427.78	915.56
AUC _{0-t} (ng.h/mL)	46790.60 ± 9752.74	33964.13 ± 7058.02	18620.97 ± 2659.02
AUC _{0-inf} (ng.h/mL)	46926.90 ± 9783.33	34115.92 ± 7086.55	18852.62 ± 2424.47
AUC/Dose (ng.h/mL)	15642.30	11371.97	6284.21
MRT (h)	5.44 ± 0.82	6.32 ± 0.88	5.17 ± 0.86
F _{0-t}	251%	182%	100%
F _{0-inf}	249%	181%	100%

700

701 **Table 5** Average deconvolution time points (h) following oral administration of celecoxib
702 (mean values for n = 6)

Absorbed fraction (%)	CCX-1 (h)	CCX-2 (h)	CCX-3 (h)
10	0.5	0.7	0.2
50	2.6	3.4	2.3
90	12.0	13.4	11.5

703

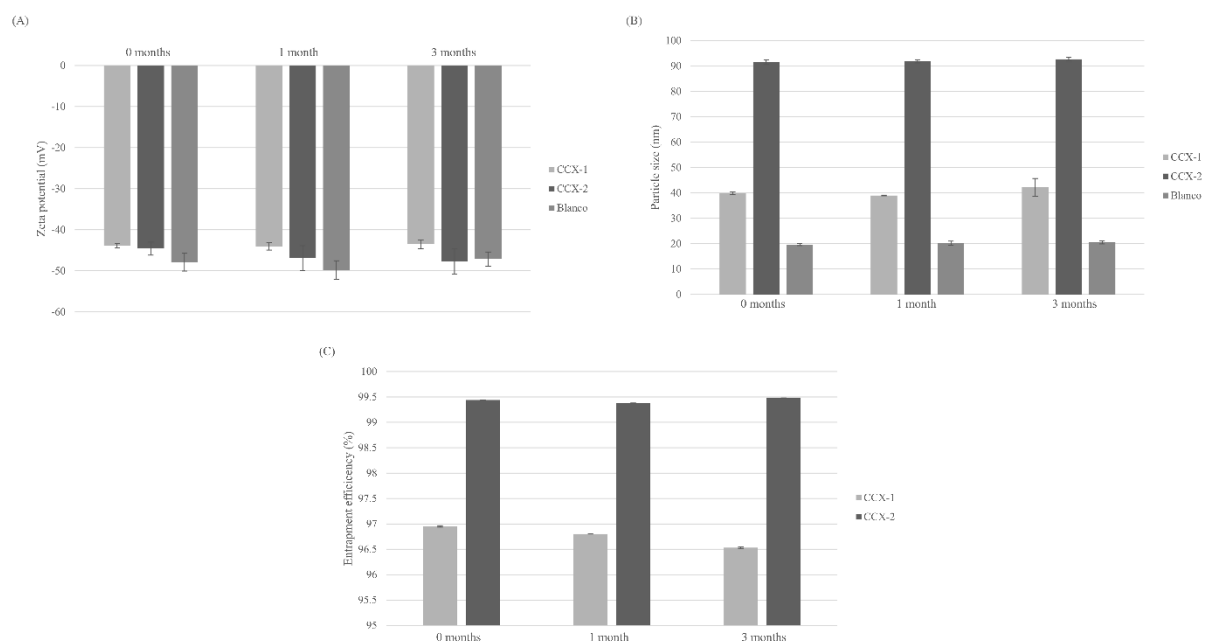
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705 SUPPLEMENTARY DATA

706 *The influence on the oral bioavailability of solubilized and suspended drug in a lipid*
707 *nanoparticle formulation: in vitro and in vivo evaluation*

708

709 **Figure A.1**



710

711 Storage stability data of the drug-free and drug-loaded SLNs at 4°C (mean values \pm SD, n = 3);
712 (A) zeta potential; (B) particle size; (C) entrapment efficiency.

713 **Table A.1**

0 months	ZP (mV)	Dx(50) (nm)	EE (%)
CCX-1	-43.9 ± 0.529	39.8 ± 0.529	96.95 ± 0.015
CCX-2	-44.6 ± 1.587	91.5 ± 0.902	99.44 ± 0.004
Blanco	-47.9 ± 2.152	19.7 ± 0.458	-
1 month	ZP (mV)	Dx(50) (nm)	EE (%)
CCX-1	-44 ± 0.929	39.0 ± 0.153	96.80 ± 0.001
CCX-2	-46.9 ± 3.055	91.9 ± 0.636	99.38 ± 0.001
Blanco	-49.8 ± 2.290	20.2 ± 0.778	-
3 months	ZP (mV)	Dx(50) (nm)	EE (%)
CCX-1	-43.5 ± 1.050	42.2 ± 3.523	96.53 ± 0.012
CCX-2	-47.7 ± 3.083	92.7 ± 0.707	99.48 ± 0.001
Blanco	-47.1 ± 1.721	20.5 ± 0.566	-

714

715 Storage stability data of the drug-free and drug-loaded formulations at 4°C: zeta potential,
716 particle size, and entrapment efficiency (mean values ± SD, n=3).