

**This item is the archived peer-reviewed author-version of:**

Mast cell activation test in chlorhexidine allergy : a proof of concept

**Reference:**

Elst Jessy, van der Poorten Marie-Line, Faber Margaretha, Van Gasse Athina, Garvey Lene H., Bridts Christiaan, De Puysseleir Leander, Mertens Christel, Hagendorens Margo, Sabato Vito, ...- Mast cell activation test in chlorhexidine allergy : a proof of concept  
British journal of anaesthesia - ISSN 0007-0912 - 125:6(2020), p. 970-975  
Full text (Publisher's DOI): <https://doi.org/10.1016/J.BJA.2020.06.024>  
Full text (Publisher's DOI): <https://doi.org/10.1016/J.BJA.2020.12.001>  
To cite this reference: <https://hdl.handle.net/10067/1704220151162165141>

1 **The mast cell activation test in chlorhexidine allergy: a proof of concept**

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39 **The authors declare no conflict of interest.**

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41 **Word count abstract:** 250

42 **Word count manuscript:** 2518

43

44 **Short title:** MAT in immediate drug hypersensitivity

45 **Abbreviations**

46 BAT: basophil activation test

47 CHX : chlorhexidine

48 DC: drug challenge

49 dMC: donor mast cells

50 dMC<sup>IgE+</sup>: donor mast cells sensitized with patients' sera

51 FcεRI: high affinity receptor for sIgE

52 FMO: fluorescence minus one

53 IDHRs: immediate drug hypersensitivity reactions

54 MAT: mast cell activation test

55 NMBA: neuromuscular blocking agent

56 sIgE: specific IgE antibody

57 ST: skin test

58 **Abstract**

59 Background: Immediate drug hypersensitivity reactions (IDHRs) are an increasing public health issue  
60 and a frequent cause of life-threatening anaphylaxis. Conventional confirmatory testing are skin tests  
61 and for a few drugs quantification of drug-specific IgE antibodies (sIgE). However, none of these tests  
62 are absolutely predictive for the clinical outcome and can yield false negative and false positive results.  
63 Therefore, we performed a proof-of-concept study to assess whether the mast cell activation test  
64 (MAT) could benefit diagnosis of chlorhexidine (CHX) IgE-mediated hypersensitivity, a common cause  
65 of perioperative anaphylaxis.

66 Methods: Human mast cells (dMCs) were generated from CD34<sup>+</sup> progenitor cells and sensitized with  
67 patients' sera to become dMC<sup>IgE+</sup> and then incubated with CHX to assess degranulation. We compared  
68 the diagnostic performance of the MAT with serum from patients with and without positive skin test  
69 and basophil activation test (BAT) to CHX.

70 Results: In dMC sensitised with sera from patients with a positive skin test and basophil activation test  
71 to chlorhexidine showed drug-specific and concentration-dependent degranulation upon stimulation  
72 with chlorhexidine, determined by surface upregulation of the degranulation marker CD63. In contrast,  
73 dMC sensitised with sera from patients with a negative skin test and basophil activation test to  
74 chlorhexidine were unresponsive in the mast cell activation test.

75 Conclusion: Our study suggests that the MAT can be used to diagnose IgE/FcεRI-dependent  
76 IDHR. Besides, it shows potential to assess the clinical relevance of drug-sIgE antibodies in their ability  
77 to elicit MC degranulation and therefore discriminate between allergy, and merely sensitization.  
78 Extended studies are required to verify whether this technique can benefit in other causes of  
79 perioperative anaphylaxis.

80

81 **Key words:** CD63, chlorhexidine (CHX), flow cytometry, Human mast cell, mast cell activation

## 82 **Introduction**

83 Immediate drug hypersensitivity reactions (IDHRs) constitute a significant and increasing health  
84 burden with sometimes dramatic consequences of diagnostic error.<sup>1,2</sup> However, correct diagnosis of  
85 IDHRs is not always straightforward for many reasons. The gold standard for the diagnosis of IDHRs is  
86 a controlled graded drug challenge (DC), in which increasing doses of a drug or placebo are  
87 administered under strict medical supervision.<sup>3</sup> Unfortunately, DCs are hampered by different ethical  
88 (risk of anaphylaxis and fatalities) and practical (costly, time consuming) limitations that have hindered  
89 its entrance in mainstream practice. Moreover, full-dose DC might not be possible (e.g. for  
90 anaesthetics and neuromuscular blocking agents (NMBAs)),<sup>4</sup> not predictive for the clinical outcome,<sup>5</sup>  
91 or simply not possible because of absence of a validated DC protocol (e.g. for chlorhexidine).<sup>6-8</sup> During  
92 anaesthesia, problems are certainly compounded as multiple drugs need to be administered  
93 simultaneously. Therefore, in clinical practice, confirmatory testing of IDHRs generally starts with skin  
94 tests<sup>9</sup> or *in vitro* tests such as quantification of drug-specific immunoglobulin E (IgE) (sIgE) antibodies.  
95 However, skin testing is still associated with some diagnostic inaccuracy, especially for nonspecific  
96 histamine releasers that might act via off-target MRGPRX2 occupation (e.g. opiates and quinolones),<sup>9</sup>  
97<sup>13</sup> whilst the few available drug-sIgE assays exhibit highly varying accuracy depending on the drug and  
98 clinical phenotype.<sup>14-16</sup> Consequently, many efforts have been undertaken to improve diagnosis of  
99 IDHRs. One of the strategies to develop more accurate tests has focused on *in vitro* activation of  
100 basophils (BAT). In the BAT, allergen-specific activation of patients' basophils is measured via flow  
101 cytometric analysis of the upregulation of specific surface markers such as CD63 and CD203c. The  
102 principles and utility of the BAT to diagnose IDHRs during anaesthesia have been assessed in multiple  
103 studies and was recently reviewed elsewhere.<sup>17,18</sup> Overall, the BAT appears a promising diagnostic  
104 tool for IDHRs, especially for NMBAs and some  $\beta$ -lactam antibiotics. The key strength of the BAT is that  
105 it does not require coupling of drugs to a solid phase; a coupling that might be difficult and can mask  
106 relevant epitopes. The major weaknesses of the BAT are the requirement for fresh patient blood and  
107 the unpredictable basophilic non-responder status that is observed in about 5-15% of the population.

108 In non-responders, basophils do not respond to an IgE-mediated activation with the positive control  
109 anti-IgE.<sup>19</sup> Both these hurdles seem to be circumventable by mast cell activation tests (MATs) in which  
110 cultured human donor mast cells (dMC) are passively sensitized with patients' sera (henceforth called  
111 dMC<sup>IgE+</sup>). At present, to the best of our knowledge, exploration of the MAT using dMC<sup>IgE+</sup> has so far been  
112 limited to protein allergens (food, pollen, venom).<sup>20-22</sup>

113 Here, we sought to take advantage of our experience with dMC cultures<sup>20, 23</sup> and applications BAT in  
114 perioperative anaphylaxis<sup>17, 18</sup> to study the utility of the MAT in IDHRs. We selected CHX allergy as a  
115 model, as CHX is a common cause of perioperative anaphylaxis<sup>24, 25</sup> and the diagnosis of CHX allergy  
116 can be readily established using skin tests in combination with in vitro tests, such as quantification of  
117 sIgE in combination with BAT.<sup>6-8</sup>

118 Alternatively, prudence should be called upon over diagnosis of CHX allergy, mainly because of  
119 unverified clinically irrelevant sIgE results, that is, CHX-reactive sIgE antibodies that do not trigger  
120 basophil and or dMC. Therefore, it is attractive to speculate that the MAT, being a more functional  
121 test, could enable the exploration of sensitization and benefit correct diagnosis. To the best of our  
122 knowledge, this approach is innovative, as currently utility of the MAT has only been assessed allergies  
123 to proteinaceous allergens that which are considered more potent effector cell activators than small  
124 molecules such as drugs.

125 **Materials and methods**

126 *In vitro culture of human MCs*

127 Human MCs were cultured as described elsewhere.<sup>20, 23</sup> Briefly, peripheral blood mononuclear cells  
128 were isolated from 50 mL fresh peripheral blood from healthy volunteers. CD34<sup>+</sup> progenitor cells were  
129 enriched using the EasySep Human CD34 Selection Kit (Stemcell Technologies, Vancouver, Canada)  
130 according to the manufacturer's instructions. Isolated CD34<sup>+</sup> progenitor cells were cultured in a serum-  
131 free methylcellulose-based medium (MethoCult SF H4236, Stemcell Technologies) supplemented with  
132 penicillin (100 units mL<sup>-1</sup>, Life Technologies, Waltham, USA), streptomycin (100 µg mL<sup>-1</sup>, Life  
133 Technologies), low-density lipoprotein (LDL, 10 µg mL<sup>-1</sup>, Stemcell Technologies), 2-mercaptoethanol  
134 (55 µmol L<sup>-1</sup>, Life Technologies), stem cell factor (SCF, 100 ng mL<sup>-1</sup>, Miltenyi Biotec, Bergisch Gladbach,  
135 Germany), interleukin-3 (IL-3, 100 ng mL<sup>-1</sup>, PeproTech, Rocky Hill, USA) and interleukin-6 (IL-6, 50 ng  
136 mL<sup>-1</sup>, Miltenyi Biotec) for 4-5 weeks. Participants gave written informed consent and the study was  
137 approved by the Ethical Committee of the University Hospital of Antwerp (Belgium B300201316408).

138 *Sera from patients with perioperative anaphylaxis*

139 As shown in table 1, sera from 10 patients with a witnessed perioperative anaphylaxis (predominantly  
140 grade 3 and 4 according to the NAP6 classification published in this Journal<sup>26</sup>), and specific IgE (sIgE)  
141 to chlorhexidine (CHX) > 0.35 kUA L<sup>-1</sup> (ImmunoCAP system fluorescence enzyme immunoassay (FEIA)  
142 (Phadia Thermo Fisher scientific, Uppsala, Sweden)), were selected. In five of these patients positive  
143 skin tests and positive basophil activation test (BAT) as described in Ebo et al.,<sup>27</sup> confirmed the  
144 diagnosis of an IgE-mediated CHX hypersensitivity according to.<sup>6-8</sup> All patients had positive skin prick  
145 test (SPT) (neat solution: 5 mg mL<sup>-1</sup>), except one who tested positive only on intradermal testing (IDT)  
146 (0.002 mg mL<sup>-1</sup>). In the remaining five patients both BAT and skin testing (SPT and/or IDT) to CHX were  
147 negative using the concentrations mentioned above, leaving uncertainties about the clinical  
148 significance of their isolated sIgE result. In three of these 5 patients, NMBA's are diagnosed as the  
149 culprit drug, in the remainder 2 no cause could be identified.



150 **Activation**

151 Degranulation of dMC was measured by overnight passively sensitizing the cells, at a concentration of  
152  $5 \times 10^5$  cell  $\text{mL}^{-1}$ , with serum, in a 1:1 ratio, at  $37^\circ\text{C}$  in a humidified  $\text{CO}_2$ -incubator. Next,  $\text{dMC}^{\text{IgE}^+}$  were  
153 centrifuged (500g, 5 minutes,  $20^\circ\text{C}$ ) and the cell pellet was resolved in pre-warmed Tyrode's buffer  
154 (Sigma-Aldrich, St. Louis, USA) at a concentration of  $5 \times 10^5$  cells  $\text{mL}^{-1}$ . Thereafter, 100  $\mu\text{L}$  of the cells  
155 were pre-incubated with interleukin 33 (IL-33) ( $100 \text{ ng mL}^{-1}$ ) (Peprotech, London, UK) for 20 minutes  
156 at  $37^\circ\text{C}$ . Subsequently, the pre-incubated  $\text{dMC}^{\text{IgE}^+}$  were stimulated with 100  $\mu\text{L}$  Tyrode's buffer as a  
157 negative control or 100  $\mu\text{L}$  of CHX (Sigma-Aldrich) for 20 minutes at  $37^\circ\text{C}$ . Based upon preliminary dose-  
158 finding experiments, the final concentrations of CHX were: 0.05, 5, 500, 50,000  $\text{ng mL}^{-1}$ . Reactions were  
159 stopped by placing the cells on ice and subsequently the supernatants is removed after centrifugation  
160 (500 g, 5 minutes,  $4^\circ\text{C}$ ). Cells were stained with monoclonal anti-human CD117-APC (clone 104D2, BD  
161 Biosciences, Erembodegem, Belgium), anti-human CD203c-PeCy7 (clone NP4D6, eBioscience, San  
162 Diego, USA) and anti-human CD63-FITC (clone H5C6, BD Bioscience) for 20 minutes at  $4^\circ\text{C}$ . Finally, cells  
163 were washed and resolved in PBS with 0.1% sodium azide and measured. Degranulation of dMCs was  
164 measured as surface upregulation of the lysosomal degranulation marker CD63. The mast cell  
165 activation test was repeated on dMCs of two different volunteers.

166 **Flow cytometric analysis**

167 Flow cytometric analysis was performed on a FACSCanto II flow cytometer (BD Immunocytometry  
168 Systems, San Jose, CA) equipped with three lasers (405 nm, 488 nm and 633 nm). Correct  
169 compensation settings for antibodies conjugated with fluorochromes were performed using BD  
170 CompBeads (BD Biosciences). Flow cytometric data were analysed using Kaluza Analysis 1.5 software  
171 (Beckman Coulter, California, Brea, USA). Unstained samples were used to set a marker between  
172 positive and negative cells according to the 99<sup>th</sup> percentile. A fluorescence minus one (FMO) was used  
173 to set a marker between positive and negative cells. Mast cells were gated out as CD117 and CD203c  
174 positive cells. At least 1500 MCs were counted per sample.

175 ***Statistical analysis***

176 GraphPad Prism version 8 (Graphpad Software Inc, San Diego, CA, USA) is used for data analysis. Mann-  
177 Whitney test was performed, a p-value <0.05 is considered significant. Results are expressed as median  
178 and 25-75<sup>th</sup> percentile.

179 **Results**

180 As shown in figure 1, MC were gated based on forward scatter (FSC) and side scatter (SSC) and double  
181 positivity for CD117 and CD203c. In resting dMC there was (almost) no spontaneous expression of the  
182 lysosomal degranulation marker CD63. As shown in figure 2, dMC<sup>IgE+</sup> (cells passively sensitized with  
183 patients' sera), CD63 was upregulated after activation with CHX, for 1% (1-20), 10% (5-66), 57% (15-  
184 72), 31% (6-76) for the corresponding concentrations of 0.05, 5, 500, 50000 ng mL<sup>-1</sup> CHX. However, this  
185 degranulation of dMC<sup>IgE+</sup> was absolutely restricted to the five patients who also demonstrated a  
186 positive skin test and BAT to CHX. As shown in table 1, the sIgE CHX in these patients varied between  
187 0.66 and 10.3 kUA L<sup>-1</sup>. In contrast, in patients with an isolated sIgE CHX (skin test and BAT both negative)  
188 no upregulation of CD63 was demonstrable. In these patients sIgE varied between 2.17 and 24.8 kUA  
189 L<sup>-1</sup>. Note that total IgE is numerically lower in patients with positive skin test and BAT, 68 kUA L<sup>-1</sup> (63-  
190 172) as compared to patients with an isolated sIgE CHX, 2483 kUA L<sup>-1</sup> (502-5464) (p=0.02). As shown in  
191 panel B of figure 2, similar observations were made with MC obtained from a second donor, adding  
192 rigor to our results. Similar results of CD63 upregulation was obtained with the second donor 2% (1-  
193 20), 7% (3-38), 30% (4-53) and 45% (5-69) for the corresponding concentrations. A representative  
194 individual plot is shown in figure 3. The dMC<sup>IgE-</sup> did not respond to CHX (data not shown).

195 **Discussion**

196 Here, we provide the proof-of-concept that dMC can be passively sensitized with CHX-reactive IgE  
197 antibodies and become responsive to the antiseptic. Moreover, our technique seems to have potential  
198 to determine the clinical significance of CHX-reactive sIgE. To the best of our knowledge, these findings  
199 are innovative.

200 Chlorhexidine (1:6-di(4-chlorophenyldiguanido)-hexane) is a synthetic cationic bis-biguanide with two  
201 biguanide groups both linked to a terminal 4-chlorophenyl group, with the resultant chloroguanide  
202 structures connected via a hexamethylene bridge. CHX, usually a gluconate or acetate salt, has a  
203 widespread application in various domestic and industrial products and it is the most effective  
204 disinfectant in the health care setting. In 1984, Nishioka et al.,<sup>28</sup> firstly suspected an IgE/FcεRI-  
205 dependent pathomechanism in immediate CHX hypersensitivity. Two years later, Ohtoshi et al.,<sup>29</sup>  
206 developed a Radio-Allergo-Sorbent-Test (RAST) technique to depict CHX-reactive sIgE. In 2007 a  
207 specific IgE assay became commercially available<sup>30</sup> which has later proven to have a high sensitivity  
208 and specificity in the perioperative setting.<sup>6</sup> However, in the presence of elevated total IgE titers,  
209 chlorhexidine sIgE results should be interpreted cautiously.<sup>31</sup> More recently, CHX has proven to be one  
210 of the principal causes of perioperative anaphylaxis.<sup>7, 24</sup> Different efforts have been undertaken to  
211 identify the fine structural specificities of the CHX epitopes complementary to CHX-reactive sIgE  
212 antibodies.<sup>32, 33</sup> In clinical practice diagnosis of IgE/FcεRI-dependent CHX allergy generally rests upon  
213 an evocative story combined with two or more positive tests, that is, sIgE, skin testing (SPT and/or IDT)  
214 and a mediator release test such as BAT.<sup>6-8</sup>

215 As with all proof-of-concept studies, appropriate inclusion of well-documented patients and control  
216 individuals is critical for robust analyses. Therefore, we randomly selected the sera of five patients with  
217 an evocative and witnessed history of a perioperative hypersensitivity reaction combined with positive  
218 results for sIgE, skin testing and a CD63-based BAT, a combination of tests considered diagnostic for  
219 IgE-mediated CHX allergy.<sup>6-8</sup> In addition, we analysed sera of patients with an evocative history and an

220 isolated positive sIgE result to CHX, but negative skin tests and BAT, likely not allergic to the antiseptic.  
221 Our experiments show, that dMC can effectively be sensitized with CHX-reactive sIgE antibodies from  
222 patients testing positive in skin tests and CD63-based BAT and that these dMC<sup>IgE+</sup> can subsequently be  
223 triggered to degranulate in response to CHX. Moreover, our MAT method demonstrates a high  
224 analytical sensitivity, as successful passive sensitisation was attained for titres of CHX-reactive sIgE as  
225 low as 0.66 kUA L<sup>-1</sup> in the traditional ImmunoCAP assay. In contrast, when dMC, from the same donor,  
226 are sensitized with CHX-reactive sIgE antibodies obtained from patients with negative skin test and  
227 CD63-based results, cells remain completely unresponsive to CHX. In other words, the MAT shows the  
228 potential to discriminate between genuine CHX allergy and CHX sensitization, suggesting that an  
229 isolated positive drug-sIgE result may be false positive, with doubtful clinical relevance. One could  
230 argue that in the absence of a CHX challenge test, no absolute conclusions can be drawn. However, in  
231 accord with current recommendations about DC,<sup>3,34,35</sup> we deemed unethical to perform DC in patients  
232 who had experienced life-threatening grade 3-4 reactions according to the NAP6 classification and who  
233 had their diagnosis already confirmed by both skin testing and BAT.<sup>6-8</sup> Besides, for the time being there  
234 is no validated CHX challenge protocol available that could be applied in sensitized patients (sIgE  
235 positive, skin tests and BAT negative). Therefore, we think that collectively, our findings should suggest  
236 to avoid relying on sIgE antibodies to CHX in isolation to confirm IgE/FcεRI CHX allergy, especially when  
237 total IgE is elevated.<sup>31,36</sup> To avoid misdiagnosis, an elevated sIgE result should always be confirmed by  
238 a positive result in either skin tests (SPT or IDT), BAT or MAT.

239 Admittedly, the MAT is technically more difficult than traditional BAT, our proof-of-concept shows that  
240 the technique offers several advantages. Unlike the BAT, the MAT does not require fresh blood, it  
241 circumvents the non-responder issue as observed in about 15% of BAT,<sup>37</sup> and allows deepening our  
242 insights in the molecular mechanisms and pathogenesis of IDHR.<sup>38</sup>

243 In conclusion, we demonstrate for the first time that application of the MAT extends beyond allergies  
244 towards proteinaceous allergens. We have shown that the technique can be used to diagnose

245 IgE/FcεRI-dependent allergy to small drug molecules such as chlorhexidine. However, Larger  
246 collaborative studies are required to confirm these promising observations and to allow its entrance  
247 in mainstream use.

248

#### 249 **Author contributions**

250 Experimental design: JE, CHB, CM

251 Experimentation: JE

252 Coordination: VS, DGE

253 Supervision: CHB, CM, VS, DGE

254 Writing of paper: JE, VS, DGE

255 Proofreading/revising of final paper: all authors.

256

#### 257 **Acknowledgements**

258 Vito Sabato is a Senior Clinical Researcher of the Research Foundation Flanders/Fonds  
259 Wetenschappelijk Onderzoek (FWO: 1804518N). Didier Ebo is a Senior Clinical Researcher of the  
260 Research Foundation Flanders/Fonds Wetenschappelijk Onderzoek (FWO: 1800614N). Athina Van  
261 Gasse is a fellow of the Fonds voor Wetenschappelijk Onderzoek - Vlaanderen (FWO) (1113617N).

262

#### 263 **Declaration of Interest**

264 The authors declare that there are no conflicts of interest.

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348



**TABLE 1: Patients characteristics and results of confirmatory testing**

Patient	Sex	Age (y)	Total IgE (kUA L <sup>-1</sup> )	slgE	Months	ST	BAT	NAP6	Signs	Culprit	Acute tryptase (µg L <sup>-1</sup> )	Basal tryptase (µg L <sup>-1</sup> )
1	m	41	68	10.3	3	+	+	2	B, SK	CHX	NA	6
2	m	68	65	1.2	2	+	+	4	H, TC	CHX	41	6
3	m	58	60	8.77	3	+	+	4	H, A, SK, MC	CHX	34	9.2
4	m	73	149	0.66	2	+	+	4	B, H, TC, SK	CHX	NA	4.8
5	m	64	195	3.28	1	+	+	3	H, TC, B, A, SK, MC	CHX	NA	7.7
6	m	78	4848	1.71	3	-	-	2	B, SK, MC	ND	23	8.7
7	f	54	815	6.8	4	-	-	4	H, TC	ND	NA	2.4
8	f	51	188	3.6	4	-	-	3	H, TC, SK, MC	NMBA - ROCU	132	4.6
9	m	64	6079	24.8	3	-	-	4	S	NMBA - ROCU	20	4.9
10	f	44	2483	2.17	2	-	-	4	H, B	NMBA - ROCU	7.5	2.2

M, male; f, female; y, years; slgE, specific IgE chlorhexidine; ST, skin test; Months, months between the reaction and performing of the tests; BAT, basophil activation test; NAP6, National audit project reaction grade; +, positive; -, negative; H, hypotension; TC, tachycardia; A, angio-edema; B, Bronchospasm; S, Shock; MC, mucocutaneous lesions; SK, skin lesions; CHX, chlorhexidine; NMBA, neuromuscular blocking agent; Rocu, rocuronium; ND, not defined; NA, not available.

350 **Figure 1: Gating strategy of MC**

351 Single cells were gated based on FSC-H and FSC-A plot. Cells were gated based on FSC-SSC. MC were  
352 CD117<sup>+</sup>CD203c<sup>+</sup>. A fluorescence minus one sample is used to set the marker according to the 99<sup>th</sup>  
353 percentile.

354

355 **Figure 2: Mast cell activation with chlorhexidine**

356 Cultured human-derived mast cells were activated with chlorhexidine after passive sensitisation of the  
357 cells with sera of patients with positive skin test and basophil activation test (SPT+BAT+) (black lines:  
358 round symbols), or patients with negative skin test and basophil activation test (SPT-BAT-) (red lines:  
359 square symbols). A and B reflect the two different donors used. The different types of lines reflect  
360 different patients' sera. N=5 in each group.

361 **Figure 3: Representative plot of mast cell activation test with chlorhexidine**

362 Cultured human mast cells were activated with chlorhexidine (50,000 ng mL<sup>-1</sup>) after passive  
363 sensitization of the cells with serum of a patient with positive skin test and basophil activation test  
364 (ST+BAT+) or a patient with negative skin test and basophil activation test (ST-BAT-).