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Reference:

Town Raewyn M., van Leeuwen Herman P.- Uptake and release kinetics of organic contaminants associated with micro- and nanoplastic particles
Environmental science and technology / American Chemical Society - ISSN 0013-936X - 54:16(2020), p. 10057-10067
Full text (Publisher's DOI): <https://doi.org/10.1021/ACS.EST.0C02297>
To cite this reference: <https://hdl.handle.net/10067/1705800151162165141>

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Uptake and release kinetics of organic contaminants associated with micro- and nano- plastic particles

Journal:	<i>Environmental Science & Technology</i>
Manuscript ID	es-2020-02297k.R1
Manuscript Type:	Article
Date Submitted by the Author:	n/a
Complete List of Authors:	Town, Raewyn; Universiteit Antwerpen, Systemic Physiological and Ecotoxicological Research (SPHERE) Van Leeuwen, Herman; & Colloid Science, Lab.for Physical Chemistry

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Uptake and Release Kinetics of Organic Contaminants Associated with Micro- and Nano- Plastic Particles

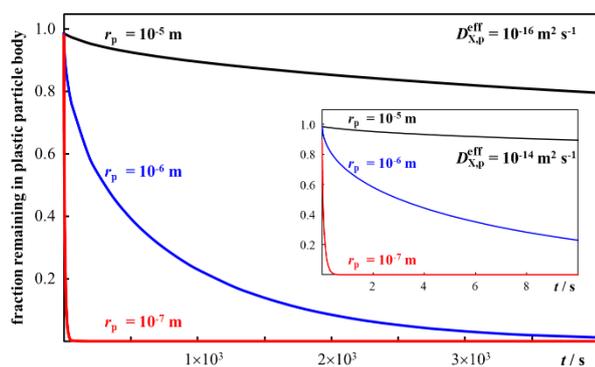
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Abstract

A generic theoretical framework is presented for describing the kinetics of uptake and release of organic compounds that associate with plastic particles. The underlying concepts account for the physicochemical features of the target organic compounds and the plastic particles. The developed framework builds on concepts established for dynamic speciation analysis by solid-phase microextraction (SPME), and the size-dependent reactivity features of particulate complexants. The theoretical framework is applied to interpretation of literature data, thereby providing more rigorous insights into previous observations. The presented concepts enable predictions of the sink/source functioning of plastic particles and their impact on the dynamic chemical speciation of organic compounds in aqueous environmental media and within biota. Our results highlight the fundamental influence of particle size on the uptake and release kinetics. The findings call for a comprehensive description of the physicochemical features of plastic particles to be provided in experimental studies on micro- and nano-plastics in different types of aquatic environmental media.

28 **Table of Contents Art**

29

30

31 **Introduction**

32 The environmental impact of plastic particles is a current focus of intense international research efforts. Sorting
33 out the potential adverse effects of plastic particles on biota is a challenging task on many levels. Plastic materials
34 are complex chemical mixtures: they contain a range of polymer additives which are included to confer favorable
35 properties such as plasticity, UV resistance, flame resistance; monomers and intermediate synthetic products may
36 also be present.^{1,2} During the product lifetime, additives, monomers, and other compounds that are mobile in the
37 bulk polymer matrix may migrate and be released into the environment and food chains.³ The extent and timescale
38 of such release depends on a number of factors including the affinity of the compounds for the polymer backbone,
39 the diffusion coefficient of the compound in the polymer phase, the surface area and volume of the plastic particle,
40 as well as the concentration gradient at the particle/medium interface, which in turn may be affected by the pH
41 and ionic strength of the aqueous medium.⁴ Once plastic materials enter aquatic environments, their associated
42 organic contaminant load will derive from the uptake/release kinetics of the compounds initially present and of
43 the suite of diverse compounds present in the ambient surroundings. Microplastics (MPs) collected from a range
44 of environmental settings worldwide are found to have sorbed a wide range of metal species and organic
45 contaminants from their surroundings;^{5-6,7,8,9,10,11} this property is exploited by the International Pellet Watch
46 program to monitor the global distribution of persistent organic pollutants.^{12,13} The sorption affinity and sorption
47 capacity of plastic particles depends on the nature of the polymeric material, e.g. the degree of crystallinity,¹⁴⁻¹⁶
48 the extent of weathering/aging of the plastic material^{17,18} and the nature thereof (surface-eroding or bulk-
49 eroding),¹⁹⁻²¹ as well as the chemical functionality of the polymer backbone and the associated compounds.²²⁻²⁴
50 The types of interactions between organic contaminants and polymers include hydrogen bonding between O-
51 containing and/or N-containing functional groups on the polymer and polar moieties on the target organic,^{25,26}

52 π - π , dipole-dipole, electrostatic, and hydrophobic interactions.²⁷ For example, the high capacity for sorption of
53 the pesticide fipronil by the biodegradable polymers polylactic acid (PLA) and polybutylene succinate (PBS) was
54 ascribed to the high oxygen-containing functional group content of the polymers.²⁵ Plastic particles can thus be
55 considered as a type of binding phase for pollutants and essential compounds, and chemical speciation analysis
56 of environmental systems must take into account the presence and sink/source functioning of plastic particles.
57 The relative amount and reactivity of contaminants associated with plastic particles in a given setting will be a
58 function of the prevailing conditions, including pH and salinity, as well as the concentrations, affinities and kinetic
59 features of other potential sorbents for the target compound, e.g. natural organic matter (NOM). Indeed, it may
60 well be the case that, depending on the technique used, plastic particles are included to some extent in measured
61 NOM values.

62

63 In addition to the effects of plastic particles on the chemical speciation and potential bioavailability of compounds
64 in the exposure medium, their reactivity within organisms is fundamental for understanding and prediction of
65 potential adverse effects. Upon ingestion by biota, the ensuing internal exposure conditions derive from the
66 uptake/release kinetics in the local environment within the organism, and the effective exposure time. For
67 example, laboratory studies have shown that organic pollutants are released more rapidly from MPs in simulated
68 gut media than in seawater.²⁸ Whilst there is ongoing debate in the literature as to the relevance of so-called trojan
69 horse effects for aquatic organisms under environmentally relevant scenarios,^{29,30} there is evidence for its
70 importance for seabirds,^{31,32} and indeed the kinetic features of drug release from polymer particles have long been
71 actively exploited to optimise bioavailability in therapeutic contexts.^{33,34} In environmental context, the toxicity of
72 plastic leachates – extracted from the plastic material prior to exposure – has been demonstrated.^{35,36} Disparate
73 information has been reported from laboratory studies on the effects of contaminants in combination with MPs
74 and nanoplastics (NPs): the body burden and/or toxicity of organic contaminants may be decreased,^{37,38}
75 increased,^{39,40} or unaffected,^{41,42} depending on the spatial and temporal conditions in the exposure medium and
76 within the organism,^{43,44} the extent to which the contaminants are released from the particles within the organism
77 (which is generally not determined⁴⁵), and the measured toxicological endpoint. Clarification of the potential risks
78 to aquatic organisms posed by polymer additives and other acquired co-contaminants requires a mechanistic
79 approach that accounts for the dynamic nature of the involved processes including characterisation of the
80 uptake/release kinetics of contaminant-MP/NP interactions, concentration gradients in the polymer and aqueous

81 phases, sorption affinity of the polymer backbone for each (class of) contaminant, particle size, local exposure
82 conditions *within* organisms as well as the particle residence time and location within the organism (gut vs muscle
83 tissue vs lipid-rich tissues etc.).^{46,47} Lack of consideration of these factors underlies the disparate reports in the
84 literature.³⁷⁻⁴² Robust assessment of the effect of plastic particles on the chemical speciation, bioavailability and
85 potential toxicity of contaminants requires characterisation of their sink/source functioning under the *local*
86 prevailing conditions. Furthermore, meaningful interpretation of much of the body of literature data is hindered
87 by lack of characterization of the exposure medium. For example, often plastic particles and contaminants are
88 mixed in the exposure medium – sometimes for a specified time period prior to introduction of organisms and
89 sometimes not – without subsequent characterization of the ensuing chemical speciation, i.e. the extent to which
90 the contaminants are associated with the MPs, and the temporal evolution thereof over the exposure duration, is
91 unknown.^{48,49} To date the kinetics of the involved processes have generally been treated in a cursory manner,
92 typically involving empirical fitting of data often without explicit accounting for fundamental physicochemical
93 parameters, let alone transient features over the release and accumulation stages.^{29,30,50-55}

94
95 Herein we elaborate a generic theoretical framework for describing the uptake and release kinetics of organic
96 compound association with plastic particles. The underlying concepts account for the physicochemical features
97 of the target organic compounds and the plastic particles, and is applicable across all organic compounds and
98 plastic polymer types. The developed framework builds on concepts established for dynamic speciation analysis
99 by solid-phase microextraction (SPME),⁵⁶⁻⁶⁰ and the size-dependent reactivity features of particulate
100 complexants,^{46,59-63} and thereby accounts for chemical speciation dynamics within both the aqueous medium and
101 the polymer phase.

102

103 **Theory**

104 A range of factors contribute to the overall uptake and release kinetics of organic compound association with
105 plastic particles. The passive sampling literature typically assumes that the uptake and release kinetics simply
106 mirror each other.⁶⁴ Evidently this is a gross oversimplification that disregards the potential influence of chemical
107 speciation dynamics in both the aqueous medium and in the polymer phase. That is, uptake rates may be limited
108 by the dissociation kinetics of complexed organic species in the aqueous phase,^{59,60} and release rates may be

109 limited by the dissociation kinetics of complexes between the organic compound and the polymer backbone.⁴⁶
110 Our generic framework explicitly accounts for all the involved factors, as detailed below.

111

112 **Diffusion of organic molecules in polymer matrices**

113 When considering the nature of diffusion of organic molecules in polymeric matrices it is important to distinguish
114 between the amorphous, rubbery state which prevails at temperatures above the glass transition temperature, T_g ,
115 and the glassy, crystalline state which occurs at $T < T_g$. Segmental mobility of the polymeric chain begins at
116 temperatures above T_g . In practice, ambient environmental conditions correspond to $T > T_g$ for many plastic
117 materials rendering them either completely amorphous, or with amorphous domains. Thus, in most cases of
118 practical interest for micro/nano-plastics in aquatic systems, it is reasonable to assume that diffusion in the
119 polymer phase is Fickian, i.e. transport within the polymer matrix occurs by molecular diffusion satisfying Fick's
120 first and second laws for a single value of the diffusion coefficient.⁶⁵ This situation implies that the diffusion rate
121 of the target species is less than the relaxation rate of the polymer chains. The main exception is for unplasticized
122 (glassy) PVC which has a T_g of *ca.* 80 °C:⁶⁶ within glassy polymeric phases diffusion can be more complex, i.e.
123 diffusion of molecules can disrupt the structure and diffusion coefficients for organic molecules tend to be much
124 lower than for the amorphous case, and may be concentration dependent because the permeation of compounds
125 effectively plasticises the matrix.⁶⁷ Addition of plasticizers lowers the T_g ; plasticized PVC typically contains 20-
126 40 w/w % of plasticizers.⁶⁸

127

128 For the case of amorphous plastics, the process of diffusion of organic molecules, with size comparable to or
129 larger than the monomer unit of the polymer, involves cooperative movement of several polymer segments when
130 the polymer chain exchanges its position with the diffusing molecule. In this regard the "fractional free volume"
131 concept has found wide application. The approach considers that under given conditions a polymer contains a
132 distribution of free volumes; only those volumes that are large enough to accommodate the target diffusing
133 molecule contribute to the transport.⁶⁹ Above T_g the polymer undergoes frequent local conformational transitions
134 that create transient pockets of free volume into which organic molecules can jump.⁷⁰ Various empirical models
135 have been developed to predict the diffusion coefficient of organic molecules in polymers and to account for the
136 influence of the size and shape of the diffusing molecule, the effect of temperature, as well as the type of polymer
137 including its degree of crystallinity and the magnitude of the forces between the polymer chains.^{71,72} In any case,

138 it is not straightforward to predict the diffusion coefficient of an organic molecule in a polymer phase: in addition
139 to the free volume of the polymer phase, a multitude of other factors are involved including the size and shape of
140 the diffusing molecule.^{73,74} The affinity of the target molecule for the polymer backbone must also be properly
141 considered in the determination of experimental data since this determines the fraction which is present in the free
142 (diffusing) form (see below).

143

144 **Uptake kinetics of organic molecules into plastic particles**

145 Upon immersion of a *pristine* plastic particle (i.e. at $t = 0$ the concentration of X in the particle body, $c_{X,p} = 0$)
146 into an aqueous solution of a partitioning target organic compound, denoted hereafter as X, a concentration profile
147 develops as a result of diffusion of the analyte into the polymeric phase. During the initial transient stage where t
148 is the primary variable, the concentration of X at the aqueous side of the polymer/water interface, $c_{X,w}^0$, decreases,
149 and that at the polymer side of the polymer/water interface, $c_{X,p}^0$, increases. The *transient* flux which dominates
150 at short times is *larger* than the steady-state one.⁵⁷ At steady-state, the diffusion layer thickness in the aqueous
151 phase is denoted by δ_w , and that in the polymer phase is denoted by δ_p . For the case of a spherical particle, $\delta_p =$
152 r_p , whilst for a free-floating planar film δ_p corresponds to half the film thickness. The time necessary for
153 establishment of *steady-state transport*, τ_{ss} , corresponds to the highest value of $\delta_p^2 / D_{X,p}^{eff}$ or δ_w^2 / D_w , where $D_{X,p}^{eff}$
154 is the effective diffusion coefficient of X in the polymer phase (see below) and $D_{X,w}$ is the diffusion coefficient of
155 X in the aqueous phase. The magnitude of $D_{X,p}^{eff}$ typically lies in the range 10^{-14} to 10^{-17} $m^2 s^{-1}$, depending on the
156 nature of X and the polymer type (Table S1); $D_{X,w}$ is typically of order 10^{-9} to 10^{-10} $m^2 s^{-1}$, and δ_w depends on the
157 hydrodynamic conditions in the medium, with the magnitude in a mildly stirred medium being *ca.* 50 μm .⁷⁵ Then
158 $\delta_w^2 / D_{X,w}$ is on the order of 10 s, and for r_p between 1 nm and 1 μm , $\delta_p^2 / D_{X,p}^{eff}$ ranges from 10^{-4} to 10^5 s (*ca.* 28
159 hr). Accordingly, proper consideration of τ_{ss} is required prior to interpretation of uptake (and release) kinetics of
160 organic molecules into/from plastic particles; at times less than τ_{ss} the kinetics will reflect the relaxation of the
161 transient flux towards its eventual steady-state value.^{56,57,76}

162

163 Following concepts developed for SPME,^{56,58} and assuming first-order kinetics, the temporal evolution of the
164 concentration of X in the polymer phase for times beyond τ_{ss} is given by:

$$165 \quad \frac{d\bar{c}_{X,p}(t)}{dt} = k_u \bar{c}_{X,w}(t) - k_r \bar{c}_{X,p}(t) \quad (1)$$

166 where $\bar{c}_{X,p}(t)$ and $\bar{c}_{X,w}(t)$ are the average concentrations of X at time t ($t > \tau_{ss}$) in the polymer and aqueous phases
 167 respectively, and k_u and k_r are the rate constants for uptake and release, respectively. We consider the usual
 168 practical case in which $\bar{c}_{X,w}(t)$ is invariant with time and equal to the concentration in the bulk aqueous phase,
 169 $c_{X,w}^*$, i.e. bulk depletion of the aqueous phase is neglected. Furthermore, we note that $c_{X,w}^*$ refers to the
 170 physicochemical form of X which partitions into the polymeric phase, e.g. some neutral protonated or
 171 deprotonated form.⁶⁰

172

173 At steady-state, the flux of X, J_X , from the aqueous medium into the polymeric particle is given by Fick's first
 174 law of diffusion:

$$175 \quad J_X = \frac{D_{X,w}(c_{X,w}^* - c_{X,w}^0)}{(1/\delta_w + 1/r_p)^{-1}} = \frac{D_{X,p}^{eff}(c_{X,p}^i - c_{X,p}^0)}{\delta_p} \quad [\text{mol m}^{-2} \text{s}^{-1}] \quad (2)$$

176 where $c_{X,p}^i$ corresponds to the concentration of X at the geometric center of the polymer phase. The corresponding
 177 mass transfer coefficients for diffusive transport in the aqueous phase, m_w , and in the polymer phase, m_p , are given
 178 by:⁵⁷

$$179 \quad m_w = \frac{D_{X,w}}{(1/\delta_w + 1/r_p)^{-1}} \quad [\text{m s}^{-1}] \quad (3)$$

$$180 \quad m_p = \frac{D_{X,p}^{eff} K_{pw}}{\delta_p} \quad [\text{m s}^{-1}] \quad (4)$$

181 where K_{pw} is the polymer/water partition coefficient of the target organic compound, equal to k_u/k_r . In the steady-
 182 state regime, at times well below that for attainment of partitioning equilibrium, τ_{eq} , the temporal evolution of the
 183 average concentration of X in the polymer phase corresponds to:

$$184 \quad \bar{c}_{X,p}(t) = \frac{A_p}{V_p} J_X t \quad [\text{mol m}^{-3}] \quad (5)$$

185 where A_p is the surface area of the polymer/water interface and V_p is the volume of the polymer phase. For the
 186 case of radial diffusion, eq 5 for $t \ll \tau_{eq}$ can be rewritten as:⁷⁷

$$187 \quad \bar{c}_{X,p}(t) = \frac{A_p}{V_p} \left(\frac{\delta_w}{D_{X,w}} \times \frac{r_p}{\delta_w + r_p} + \frac{1}{m_p} \right)^{-1} c_{X,w}^* t \quad [\text{mol m}^{-3}] \quad (6)$$

188 from which it follows that:

$$189 \quad k_u = \frac{A_p}{V_p} \left(\frac{\delta_w}{D_{X,w}} \times \frac{r_p}{\delta_w + r_p} + \frac{1}{m_p} \right)^{-1} \quad [\text{s}^{-1}] \quad (7)$$

190 and

$$191 \quad k_r = \frac{A_p}{V_p K_{pw}} \left(\frac{\delta_w}{D_{X,w}} \times \frac{r_p}{\delta_w + r_p} + \frac{1}{m_p} \right)^{-1} \quad [\text{s}^{-1}] \quad (8)$$

192 From inspection of eqs 7 and 8 it is evident that the rate constants for uptake and release will decrease with
193 increasing r_p , eventually becoming independent of r_p once $r_p \gg \delta_w$.

194

195 As the water/polymer partitioning process progresses, the concentration profiles gradually evolve, and as t
196 approaches t_{eq} the concentration of X in the polymeric phase approaches its final equilibrium value, $\bar{c}_{X,p}^{eq} = K_{pw} c_{X,w}^*$

197 . The evolution of $\bar{c}_{X,p}$ over the entire accumulation time ($t > \tau_{ss}$) is given by:

$$198 \quad \bar{c}_{X,p}(t) = K_{pw} c_{X,w}^* [1 - \exp(-k_r t)] \quad [\text{mol m}^{-3}] \quad (9)$$

199 In the case of uptake of X into a polymer phase from a medium containing complexed and free X, in which only
200 the free X is accumulated, the overall flux across the diffusion layer in the aqueous medium towards the
201 polymer/medium interface is governed by the coupled diffusion of the labile species of X. Labile species are those
202 that are capable of maintaining chemical equilibrium with the free form of the target compound X over the various
203 diffusion zones. The expression for k_r becomes:⁶⁰

$$204 \quad \bar{k}_r = \frac{A_p \bar{D}_{X,w}}{V_p K_{pw} [c_{X,w}^* / (c_{X,w}^* + c_{X,lab}^*)] \bar{\delta}_w} \quad [\text{s}^{-1}] \quad (10)$$

205 where \bar{k}_r is the effective rate constant for the system containing complexed forms of X in the aqueous medium,
206 $c_{X,lab}^*$ is the concentration of all labile species of X in the aqueous medium, and $\bar{\delta}_w$ is the thickness of the joint
207 steady-state diffusion layer in the aqueous medium as derived from the mean diffusion coefficient $\bar{D}_{X,w}$. Within
208 the diffusive layer there is a concentration gradient of the target organic molecule: when different complexed
209 forms are present, this can lead to changes in the various diffusion rates as a result of the differences in binding

210 strength for the complexing components within the diffusive layer, i.e. the applicable diffusion coefficient and
 211 stability constant may both become functions of distance within the diffusive layer.⁷⁸ In a medium containing
 212 complexed forms of X, for the same given total concentration of X, the coupled diffusion of labile complexed
 213 forms of X results in a k_r that is *greater* than that for a solution containing only free X. Consequently the eventual
 214 partition equilibrium between the polymeric and aqueous phases is attained faster in the presence of labile
 215 complexed forms of X.^{59,60} The situation may become more involved when species other than free X partition into
 216 the polymer phase,⁷⁹ and when the dissociation kinetics of the complexed forms of X are the rate limiting step in
 217 the uptake kinetics. Complex dissociation kinetics for a range of chemical species are discussed in detail in our
 218 previous work.^{59,60,62,63,80}

219

220 **Release kinetics of organic molecules from plastic particles**

221 Depending on the relative magnitudes of the involved parameters, the release kinetics may be governed by
 222 diffusion in the aqueous diffusion layer, diffusion in the polymer phase, or by the dissociation kinetics of
 223 complexes between the organic molecule and the polymer backbone.⁴⁶ As discussed above, the presence of
 224 different complexed forms can lead to changes in the various diffusion rates as a result of the differences in binding
 225 strength for the complexing components within the diffusive layer.⁷⁸ As detailed in previous work,^{63,81} a composite
 226 rate constant, k_d , for dissociation of an entity X from a spherical particle is given by:

$$227 \quad k_d = (1/k_{d,p} + 1/k_d^{is})^{-1} \quad [s^{-1}] \quad (11)$$

228 where $k_{d,p}$, is the diffusion-controlled rate constant:

$$229 \quad k_{d,p} = 3D_{X,p}^{eff} (1 + K_{int}c_S) / r_p^2 \quad [s^{-1}] \quad (12)$$

230 where K_{int} is the intrinsic stability constant of the inner-sphere complex between the organic molecule and the
 231 polymer backbone ($m^3 \text{ mol}^{-1}$), and c_S is the concentration of complexing sites in the polymer body (mol m^{-3}), and
 232 k_d^{is} is the rate constant for dissociation of the inner-sphere complex between the organic molecule and the polymer
 233 backbone, given by:

$$234 \quad k_d^{is} = k_a^{is} / K_{int} \quad [s^{-1}] \quad (13)$$

235 where k_a^{is} is the rate constant for formation of the inner-sphere complex between the organic molecule and the
 236 polymer backbone ($m^3 \text{ mol}^{-1} \text{ s}^{-1}$).

237

238 The data collated in Table S1 show that the effective diffusion coefficients, $D_{X,p}^{\text{eff}}$, for organic molecules in polymer
 239 phases are orders of magnitude lower than those for diffusion in bulk aqueous media. Accordingly, we focus
 240 herein on the case in which organic molecules are located within the body of the plastic particle and the release
 241 kinetics are governed by diffusion within the particle body (i.e. the dissociation kinetics of any inner-sphere
 242 complexes with the polymer backbone are immaterial). We follow the rationale previously developed for metal
 243 ions,⁴⁶ using the mathematical framework developed by Crank.⁷⁷ The leading conservation equation for diffusive
 244 release of an organic molecule, X, from a spherical body of radius r_p into the surrounding aqueous medium is:

$$245 \quad \frac{\partial c_{X,p}(r,t)}{\partial t} = D_{X,p}^{\text{eff}} \left[\frac{\partial^2 c_{X,p}(r,t)}{\partial r^2} + \frac{2}{r} \left(\frac{\partial c_{X,p}(r,t)}{\partial r} \right) \right] \quad (14)$$

246 where r is the distance from the center of the polymer particle, and $c_{X,p}(r,t)$ is the concentration of organic species
 247 inside the particle at position r and time t , and $D_{X,p}^{\text{eff}}$ is the effective diffusion coefficient for X inside the particle
 248 body. Eq 14 covers the entire timescale of the release process, including the transient regime. Considering the
 249 diffusing species to be the free organic molecules, with X being immobile during the time of its association with
 250 reactive sites on the polymer backbone, then $D_{X,p}^{\text{eff}}$ simply corresponds to the diffusion coefficient of the free X,
 251 given by:

$$252 \quad D_{X,p}^{\text{eff}} = \frac{D_{X,p}^f c_{X,p}^f}{c_{X,p}^t} \quad [\text{m}^2 \text{ s}^{-1}] \quad (15)$$

253 where $D_{X,p}^f$ is the diffusion coefficient for the free organic molecule within the particle body, and $c_{X,p}^f$ and $c_{X,p}^t$
 254 are the respective concentrations of the free (mobile) X and the total X within the particle. In this context, free X
 255 includes all forms of X that are not associated with the polymer backbone, e.g. protonated and deprotonated forms,
 256 complexes with metal ions, etc. The ratio $c_{X,p}^f / c_{X,p}^t$ inherently accounts for the intrinsic stability, K_{int} , of the
 257 associate between X and the polymer backbone.

258

259 Eq 14 is solved under the initial and boundary conditions for the case of (i) randomly distributed X in the particle
 260 body at the start of the release process, and (ii) insignificance of the fast diffusion inside the external medium,
 261 such that the concentration of X at the medium side of the particle/medium interface is essentially zero. The latter
 262 condition requires a sufficiently low volume fraction of plastic particles. Together these conditions correspond to:
 263 initial condition:

$$264 \quad t = 0: \quad 0 < r < r_p : c_X = c_{X,p}; \quad r > r_p : c_{X,w}^* = 0 \quad (16a)$$

265 where $c_{X,p}$ is the initial uniform concentration of X inside the particle.

266 boundary condition:

$$267 \quad t > 0; \quad r = r_p : c_{X,w}^0 = 0 \quad (16b)$$

268 with $c_{X,w}^0 (r = r_p)$ being the concentration of X in the aqueous phase at the plastic particle/water interface.

269

270 The solution for eq 11 with boundary conditions eq 16a and eq 16b is:⁷⁷

$$271 \quad \frac{X(t)}{X(t \rightarrow \infty)} = 1 - \left(\frac{6}{\pi^2} \sum_{n=1}^{\infty} \frac{1}{n^2} \exp[-n^2 \pi^2 (t / \tau)] \right) \quad (17)$$

272 where $X(t)$ is the *amount* of X that has been released from the spherical particle at time t , $X(t \rightarrow \infty)$ is the amount

273 that has been released at infinite time, and τ is the fundamental time constant ($= r_p^2 / D_{X,p}^{\text{eff}}$) for diffusive release of

274 X from the particle.

275

276 For a thin film plastic entity, the applicable expression is:⁷⁷

$$277 \quad \frac{X(t)}{X(t \rightarrow \infty)} = 1 - \sum_{n=0}^{\infty} \frac{8}{(2n+1)^2 \pi^2} \exp \left[\frac{-D_{X,p}^{\text{eff}} (2n+1)^2 \pi^2 t}{4(L/2)^2} \right] \quad (18)$$

278 where L is the film thickness (which is assumed to be much smaller than the other dimensions of the film).

279

280 **Results and Discussion**

281 **Uptake kinetics of organic molecules into plastic particles**

282 The evolution of k_u and k_r as a function of K_{pw} and particle size, computed using the theoretical framework detailed

283 in the preceding sections, is shown in Figure 1. In accordance with the SPME and passive sampling literature for

284 various polymers, at lower values of K_{pw} , mass transfer is limited by diffusion inside the polymer phase; at higher

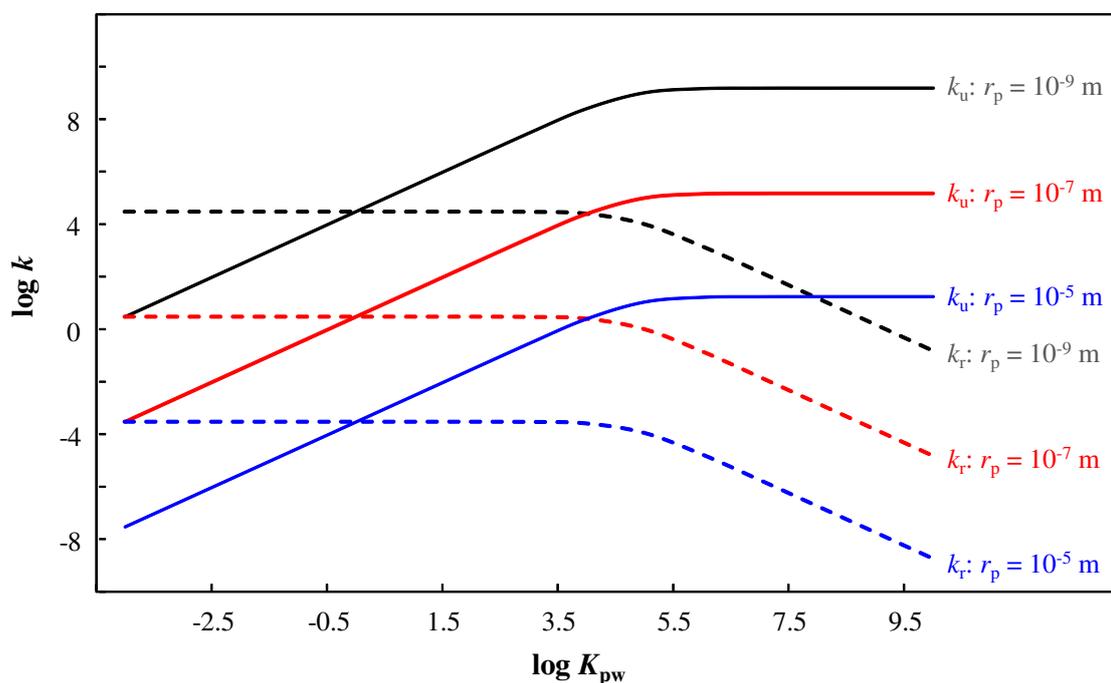
285 values of K_{pw} , mass transfer is limited by diffusion in the aqueous diffusion layer.^{58,82,84} The transition stage where

286 the limiting mass transfer step switches from diffusion in the polymer to diffusion in the aqueous diffusion layer

287 is inversely dependent on $D_{X,p}^{\text{eff}}$ (and is independent of r_p), i.e. the lower is $D_{X,p}^{\text{eff}}$, the higher is the K_{pw} value at

288 which diffusion in the aqueous diffusion layer is mass transfer limiting. The data in Figure 1 were computed using

289 a $D_{X,p}^{\text{eff}}$ of $10^{-14} \text{ m}^2 \text{ s}^{-1}$ and $D_{X,w}$ of $5 \times 10^{-10} \text{ m}^2 \text{ s}^{-1}$, for which the transition stage is seen to occur at $K_{pw} \approx 10^5$. For
 290 $D_{X,p}^{\text{eff}}$ of $10^{-12} \text{ m}^2 \text{ s}^{-1}$ the transition occurs at $K_{pw} = 10^3$, and for $D_{X,p}^{\text{eff}}$ of 10^{-17} it occurs at $K_{pw} = 10^8$.



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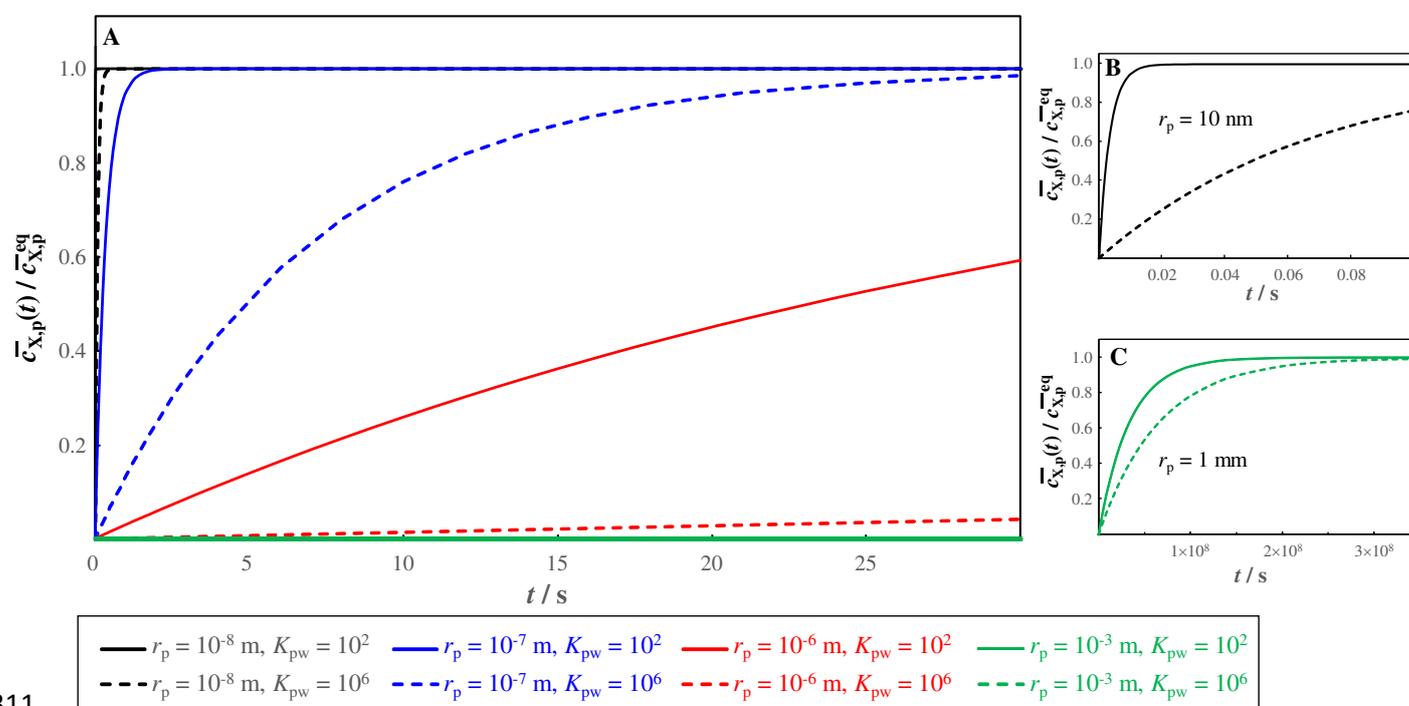
292 **Figure 1.** Rate constants for uptake, k_u (eq 7), and release, k_r (eq 8), of an organic molecule into/from a spherical
 293 polymeric particle as a function of the equilibrium partitioning coefficient, K_{pw} , and the particle radius, r_p .
 294 Computations are performed for $D_{X,p}^{\text{eff}} = 10^{-14} \text{ m}^2 \text{ s}^{-1}$, $\delta_p = r_p$, $D_{X,w} = 5 \times 10^{-10} \text{ m}^2 \text{ s}^{-1}$, and $\delta_w = 5 \times 10^{-5} \text{ m}$.

295

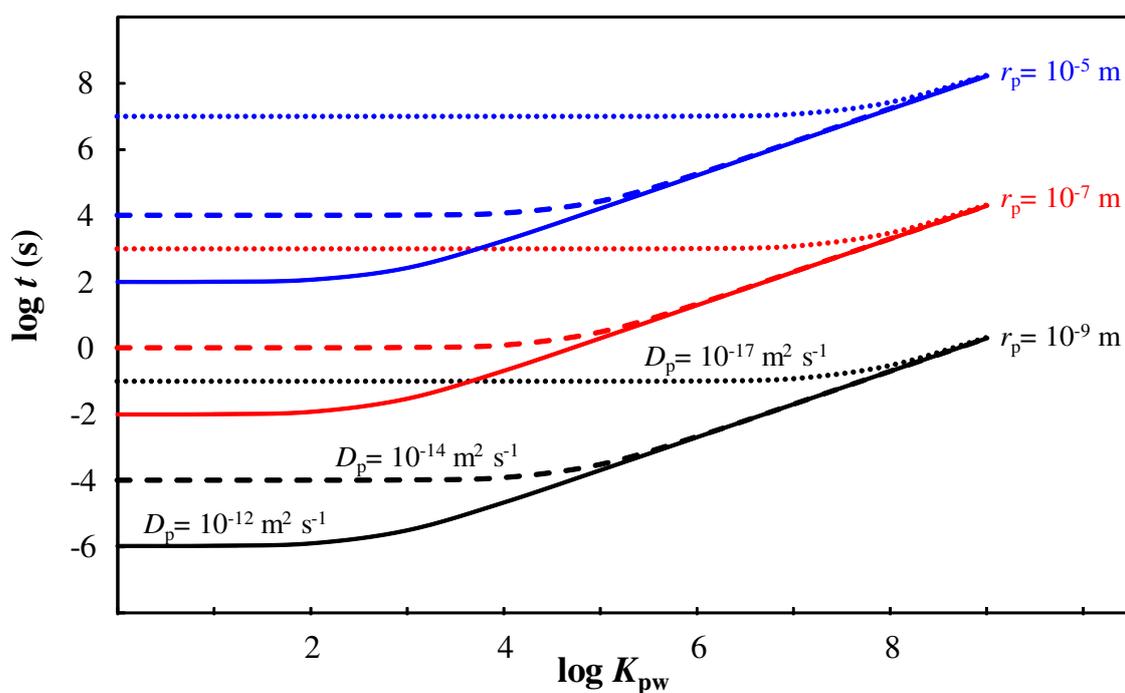
296 The temporal evolution of $\bar{c}_{X,p}$ (eq 9) as a function of r_p and K_{pw} is shown in Figure 2 for the case of a non-
 297 complexing bulk aqueous medium, $D_{X,w} = 5 \times 10^{-10} \text{ m}^2 \text{ s}^{-1}$ and $D_{X,p}^{\text{eff}} = 10^{-14} \text{ m}^2 \text{ s}^{-1}$. Note the enormous increase –
 298 *ca.* 10 orders of magnitude – in the timescale of the partitioning process as r_p increases from 10 nm (Figure 2B;
 299 time to achievement of 95% of the equilibrium concentration of X in the polymer phase is 10^{-2} s for $K_{pw} = 10^2$ and
 300 0.2 s for $K_{pw} = 10^6$) to 1 mm (Figure 2C; time to achievement of 95% of the equilibrium concentration of X in the
 301 polymer phase is 10^8 s for $K_{pw} = 10^2$ and $2 \times 10^8 \text{ s}$ for $K_{pw} = 10^6$). As illustrated by the data in Figure 1, when K_{pw}
 302 $= 10^2$ the conditions in Figure 2 correspond to diffusion in the aqueous diffusion layer being mass transfer limiting,
 303 whilst when $K_{pw} = 10^6$ diffusion in the particle body is the mass transfer limiting step. As the particle radius
 304 increases, the timescale of the partitioning process for $K_{pw} = 10^2$ approaches that for $K_{pw} = 10^6$, in line with the
 305 known features of particle reactivity, i.e. the diffusive supply flux from the aqueous medium decreases as r_p
 306 increases.^{63,85} The effect of r_p , $D_{X,p}^{\text{eff}}$, and K_{pw} on the temporal achievement of 95% of the equilibrium concentration

307 of X in the polymer phase is shown in Figure 3. The transition between K_{pw} -independent and K_{pw} -dependent values
 308 corresponds to the transition between mass transport being limited by diffusion in the polymer matrix versus
 309 diffusion in the bulk aqueous medium, respectively (*cf.* Figure 1).

310



312 **Figure 2.** Temporal evolution of the average concentration of X in the polymer phase as a function of the spherical
 313 particle radius, r_p , and the polymer/water partition coefficient, K_{pw} . **A:** Data are shown for $r_p = 10^{-8}$ m (black
 314 curves), 10^{-7} m (blue curves), 10^{-6} m (red curves), and 10^{-3} m (green curves), and K_{pw} values of 10^2 (solid curves)
 315 and 10^6 (dashed curves). **B:** Amplification of the data for $r_p = 10^{-8}$ m. **C:** Amplification of the data for $r_p = 10^{-3}$
 316 m. $D_{X,p}^{eff} = 10^{-14}$ m² s⁻¹ in all cases.



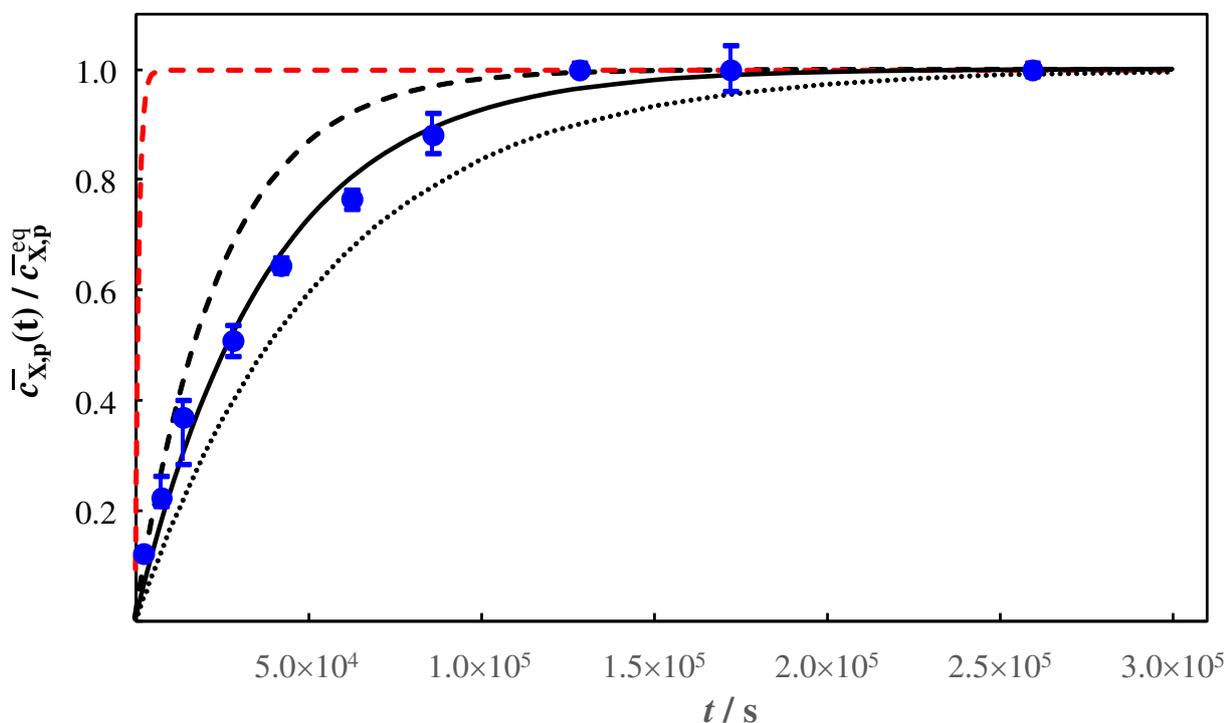
317

318 **Figure 3.** The effect of the partitioning coefficient, K_{pw} , on the temporal achievement of 95% of the equilibrium
 319 concentration of X in the polymer phase immersed in a non-complexing bulk aqueous medium. Data are computed
 320 for spherical particles with radius $r_p = 10^{-9}$ m (black curves), 10^{-7} m (red curves), and 10^{-5} m (blue curves), and
 321 $D_{X,p}^{\text{eff}}$ values of 10^{-12} $\text{m}^2 \text{s}^{-1}$ (solid curves), 10^{-14} $\text{m}^2 \text{s}^{-1}$ (dashed curves), and 10^{-17} $\text{m}^2 \text{s}^{-1}$ (dotted curves). In all cases
 322 $D_{X,w} = 5 \times 10^{-10}$ $\text{m}^2 \text{s}^{-1}$, $\delta_w = 5 \times 10^{-5}$ m, and $\delta_p = r_p$.

323

324 The theoretical framework presented herein can be applied to obtain more rigorous understanding of the growing
 325 body of literature reports on the observed uptake kinetics of organic compounds by plastic particles. Such uptake
 326 curves are typically described by empirical fitting, without explicit assessment of the mass transfer-limiting step
 327 nor consideration of the transient regime.^{17,18,51,54,55,86} As an illustrative example, we consider data reported for
 328 sorption of pyrene by polyethylene microparticles (r_p in the range 50 to 75 μm , $T = 25$ $^\circ\text{C}$), for which the authors
 329 stated that the diffusive supply flux in the aqueous medium could not be the rate-limiting step in the uptake process
 330 because the solution was agitated during the sorption process.⁸⁶ Such reasoning is too simplistic: as detailed above,
 331 the step which is mass transfer limiting is determined by the relative magnitude of k_u and k_r and thus the relevant
 332 polymer/water partition coefficient, diffusion coefficients in the aqueous and polymer phases, the particle size,
 333 and the thickness of the diffusion layer in the polymer phase and in the aqueous medium. Rigorous analysis of the
 334 data in terms of the conceptual framework presented herein, together with the experimentally observed K_{pw} of
 335 $10^{3.2}$,⁸⁶ independently determined values of $D_{X,p}^{\text{eff}}$ (5.47×10^{-14} $\text{m}^2 \text{s}^{-1}$ at 24 $^\circ\text{C}$ ⁸⁷), and $D_{X,w}$ (9.2×10^{-10} $\text{m}^2 \text{s}^{-1}$ at 25

336 °C⁸⁸), and a δ_w of 5×10^{-5} m applicable for a continuously agitated system,⁷⁵ provides a sound basis for establishing
 337 that diffusion in the particle body is the mass transfer limiting step in the uptake process. Indeed the experimental
 338 data are in gratifyingly good agreement with the theoretical curves for this case (Figure 4, black curves).



339

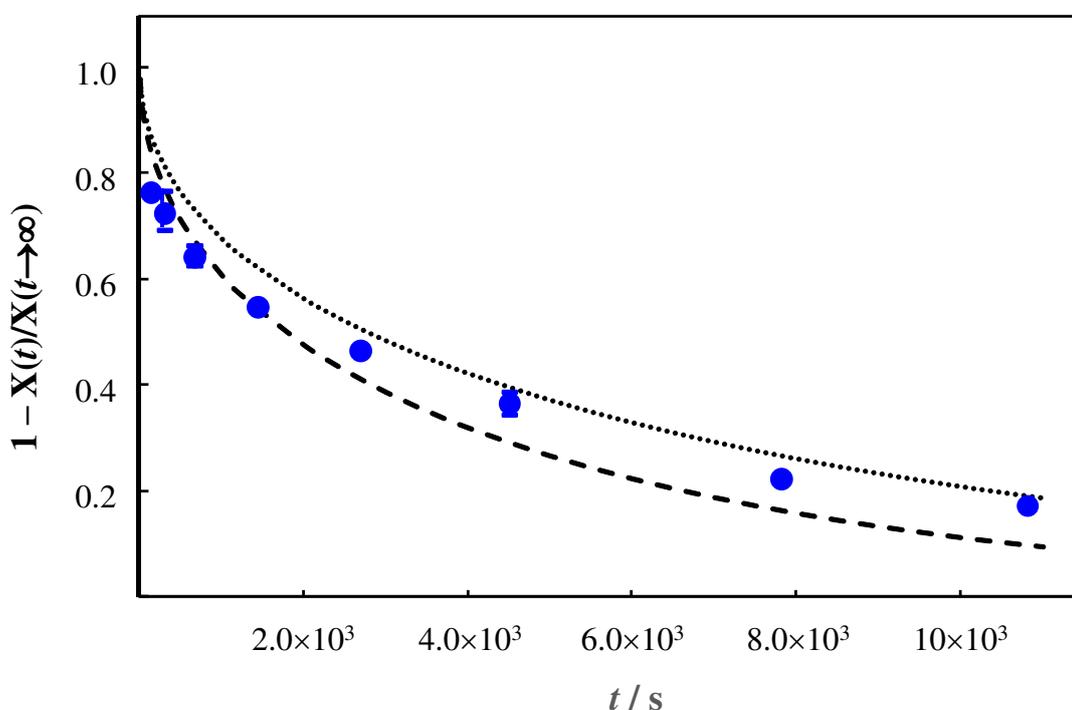
340 **Figure 4.** Temporal evolution of pyrene uptake by polyethylene microparticles. Experimental data (solid blue
 341 circles and corresponding error bars) were measured for particles in the size range $r_p = 50 - 75 \mu\text{m}$; for some data
 342 points the reported error bars were smaller than the symbol size.⁸⁶ The theoretical curves for mass transfer being
 343 limited by diffusion in the polymer body were computed using eq 9 with $r_p = 5 \times 10^{-5}$ m (dashed black line),
 344 6.25×10^{-5} m (solid black line), or 7.5×10^{-5} m (dotted black line), together with $D_{X,p}^{\text{eff}} = 5.47 \times 10^{-14} \text{ m}^2 \text{ s}^{-1}$,⁸⁷ $D_{X,w} =$
 345 $9.2 \times 10^{-10} \text{ m}^2 \text{ s}^{-1}$,⁸⁸ and $\delta_w = 5 \times 10^{-5}$ m. The theoretical curve for mass transfer being limited by diffusion in the
 346 aqueous diffusion layer (red line) was computed using eq 9 with $r_p = 6.25 \times 10^{-5}$ m, $D_{X,w} = 9.2 \times 10^{-10} \text{ m}^2 \text{ s}^{-1}$,⁸⁸ $\delta_w =$
 347 5×10^{-5} m, and $D_{X,p}^{\text{eff}}$ set equal to $10 \times D_{X,w}$.⁷⁷

348

349 Release kinetics in aqueous media

350 Theoretical curves for the release kinetics of compounds from plastic particles showing the effect of particle size,
 351 diffusion coefficient of X in the polymer, and the affinity of X for the polymer backbone, were presented in our
 352 previous work (for X = a metal ion).⁴⁶ There is a large body of literature reports on the observed kinetics of release
 353 of organic compounds from plastic particles into various aquatic media. Similar to the case of uptake discussed

354 above, description of the experimental data is typically limited to empirical curve fitting.^{18,24,55,89} When diffusion
355 within the particle body governs the release kinetics of a compound X from a spherical particle, the temporal
356 evolution of the amount of X released from the particle can be described by eq 17, where the applicable boundary
357 conditions (eqs 16a and 16b) correspond to a particle initially loaded with X being immersed in a medium where
358 the concentration of X is zero at $t = 0$. The smaller the particle size, and the greater the diffusion coefficient, the
359 faster will be the release kinetics (because the fundamental time constant for the process corresponds to $r_p^2 / D_{X,p}^{\text{eff}}$
360 ; see Theory Section and ToC graphic). Figure 5 shows that experimental data corresponding to the boundary
361 conditions of eq 17, specifically immersion of phenanthrene-loaded polyethylene microparticles in simulated gut
362 conditions,²⁸ are well described by eq 17, using a $D_{X,p}^{\text{eff}}$ value of $1.7 \times 10^{-13} \text{ m}^2 \text{ s}^{-1}$ for the applicable T of $38 \text{ }^\circ\text{C}$ in
363 line with independently determined values at $T = 20 \text{ }^\circ\text{C}$ ($7.5 \times 10^{-14} \text{ m}^2 \text{ s}^{-1}$ ⁹⁰; $1.86 \times 10^{-13} \text{ m}^2 \text{ s}^{-1}$ ⁹¹; $3.55 \times 10^{-13} \text{ m}^2 \text{ s}^{-1}$
364 ⁹²). The slight deviation between the computed and experimental data seen at short times in Figure 5 may reflect
365 the presence of some smaller particles, or inhomogeneity in the concentration profile of phenanthrene within the
366 particle body (the sorption time prior to the release studies was not specified,²⁸ and computations indicate that a
367 time frame of the order of 100 h would be required to achieve 95% of the equilibrium concentration of
368 phenanthrene in the polyethylene phase (for $K_{pw} \approx 10^4$)⁹¹⁻⁹⁴).



369

370

371 **Figure 5.** Time evolution of release of phenanthrene from polyethylene microparticles. Experimental data (solid
372 blue circles and corresponding error bars) were measured for phenanthrene-loaded polyethylene microparticles
373 ($r_p = 100 - 125 \mu\text{m}$) immersed in simulated gut media (15 mM sodium taurocholate in seawater at pH 4, $T = 38$
374 $^\circ\text{C}$); for most data points the reported error bars were smaller than the symbol size.²⁸ The theoretical curves were
375 computed using eq 17 with $D_{x,p}^{\text{eff}} = 1.7 \times 10^{-13} \text{ m}^2 \text{ s}^{-1}$ and $r_p = 100 \mu\text{m}$ (dashed black line) or $125 \mu\text{m}$ (dotted black
376 line).

377

378 **Release kinetics in biota**

379 Much of the literature on the effect of micro- and nano- plastics on biouptake and/or effects of particle-associated
380 organic pollutants on organisms is poorly defined and/or insufficiently documented to enable quantitative
381 interpretation. For example, often the chemical speciation in the exposure medium is not characterised and thus
382 the extent to which the organic pollutants are associated with the plastic particles are unknown. Also, in many
383 cases it is not evident whether the eventual body burden of organic pollutants corresponds to that which has been
384 released from ingested plastic particles, or rather represents the sum of the released and remaining particle-bound
385 compounds.^{95,96} Furthermore, models which ignore the role of particle size in predicting the potential for organic
386 compounds associated with plastic particles to be transferred to biota are bound to yield physicochemically poor
387 outcomes.^{29,30} For example, application of eq 17 shows that on the timescale of 1 h, compounds with $D_{x,p}^{\text{eff}}$ of 10^{-16}
388 $\text{m}^2 \text{ s}^{-1}$ (at the lower end of the range of reported values, Table S1) would be completely released from particles
389 with radii of 100 nm or smaller, whilst negligible release would occur in 1 h from particles with radii on the order
390 of 100 μm or greater (see also the ToC graphic).

391

392 One recent experimental study⁹⁷ has reported sufficient information to enable us to make a semi-quantitative
393 interpretation. Specifically, mussels (*Mytilus galloprovincialis*) were exposed to the same total concentration of
394 benzo(a)pyrene (BaP) in the freely dissolved form and sorbed in polyethylene microparticles ($r_p = 10$ to $12.5 \mu\text{m}$):
395 after 7 days, the amount of BaP accumulated in the digestive gland was approximately the same for the water-
396 borne and plastic-associated exposures.⁹⁷ These results can be explained by the observation that mussels eliminate
397 ingested microplastic particles with dimensions of the order of 10 μm within a few hours,⁹⁸ and that complete
398 desorption of BaP from the polyethylene microplastics is predicted to occur within *ca.* 1 h (estimated using eq 17

399 with $r_p = 10 \mu\text{m}$ and $D_{X,p}^{\text{eff}} = 10^{-14} \text{ m}^2 \text{ s}^{-1}$ ^{87,92}). Thus, in this example the microplastic associated BaP in the exposure
400 medium is fully bioavailable and hence fully labile on the timescale of bioaccumulation by the organism. In the
401 general context of bioavailability in aquatic environments and risk assessment, it is necessary to account for the
402 amount of contaminants associated with plastics *and* their uptake/release kinetics (as deriving from the particle
403 size and $D_{X,p}^{\text{eff}}$ values) relative to those of other ingested particles such as food and sediment.

404

405 The modelling framework elaborated herein provides order-of-magnitude estimates of the characteristic times for
406 uptake and release of organic molecules from plastic particles, which may serve as an important starting point for
407 future work. We have considered the most straightforward case in which the physicochemical features of the
408 plastic particles (size, porosity, chemical functionality, etc.) and the diffusion coefficient of the target compound
409 is invariant with time. In addition to the environmental aspects detailed herein, the conceptual framework is
410 applicable in a range of contexts including e.g. design of dosing systems for toxicity testing of poorly soluble
411 compounds.^{99,100} Our findings highlight the crucial role of the size of the plastic particle in determining the
412 uptake/release kinetics of associated molecules. This fundamental aspect is currently ignored in the context of
413 developing standard test procedures for assessing migration of contaminants in plastics, e.g. with regard to human
414 exposure via food packaging or toys.¹⁰¹⁻¹⁰⁴

415

416 Development of a more sophisticated interpretation framework is hindered by the current lack of quantitative
417 information on the pore structure within the particle body, the thermodynamic and kinetic features of the immobile
418 X-polymer species, and the homogeneity of the particle body in terms of e.g. the degree of crystallinity.
419 Environmental risk assessment of plastic particles also requires knowledge on how these properties evolve as a
420 function of physical and chemical degradation of the polymeric structure.¹⁰⁵ In this regard, the behaviour of new
421 bio-based, biodegradable polymers is of interest: the purported faster degradation kinetics of these materials has
422 consequences for the speciation and fate of associated polymer additives and other organic contaminants. Future
423 work will extend the framework to account for the effects of the timescale and extent of ageing/weathering of the
424 plastic particles on the uptake/release kinetics of associated compounds.

425

426 **Supporting Information**

427 Table of collated literature data on measured effective diffusion coefficients in various types of polymer phases
428 and polymer-water partition coefficients for a range of organic compounds including typical polymer additives
429 and environmental contaminants (PDF)

430

431 **Acknowledgements**

432 RMT conducted this research within the EnviroStress and EXPOSOME centers of excellence funded by
433 Universiteit Antwerpen's Bijzonder Onderzoeksfonds (BOF), and with financial support from the Fonds voor
434 Wetenschappelijk Onderzoek-Vlaanderen (FWO) projects G053320N (Towards ecological risk assessment of
435 nanoplastics: dynamic considerations) and G051117N (Unravelling the non-specific mechanisms underlying non-
436 polar narcotic toxicity: mitochondrial effects), and the Agentschap Innoveren & Ondernemen (VLAIO) agreement
437 number HBC.2019.0118 (PADDL: Polymer additives from lignin building blocks).

438

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