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# Use of support vector machines approach via ComBat harmonized diffusion tensor imaging for the diagnosis and prognosis of mild traumatic brain injury: a CENTER-TBI study

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## Abstract

The prediction of functional outcome after mild traumatic brain injury (mTBI) is challenging. Conventional magnetic resonance imaging (MRI), does not explain well the variance in outcome as many patients with incomplete recovery will have normal appearing clinical neuroimaging. More advanced quantitative techniques such as diffusion MRI (dMRI), can detect microstructural changes not otherwise visible, and so may offer a way to improve outcome prediction. In this study, we explore the potential of linear support vector classifiers (linearSVCs) to identify dMRI biomarkers that can predict recovery after mTBI. Simultaneously, the harmonization of FA and MD via ComBat was evaluated and compared for the classification performances of the linearSVCs. We included dMRI scans up to 21 days post-injury of 179 mTBI patients and 85 controls from CENTER-TBI, a multi-center prospective cohort study. Patients were dichotomized according to their extended Glasgow outcome scale (GOSE) scores at six months into complete (n=92; GOSE=8) and incomplete (n=87; GOSE<8) recovery. Fractional anisotropy (FA) and mean diffusivity (MD) maps were registered to a common space and harmonized via the ComBat algorithm. LinearSVCs were applied to distinguish: 1) mTBI patients from controls and 2) mTBI patients with complete or incomplete recovery. The linearSVCs were trained on 1) age & sex only, 2) non-harmonized, 3) 2-category harmonized ComBat and 4) 3-category harmonized ComBat FA and MD images combined with age & sex. White matter FA and MD voxels and regions of interest (ROIs) within the John Hopkins University (JHU) atlas were examined. Recursive feature elimination was used to identify the 10% most discriminative voxels or the 10 most discriminative ROIs for each implementation. mTBI patients displayed significantly higher MD and lower FA values than controls for the discriminative voxels and ROIs. For the analysis between mTBI patients and controls, the 3-category harmonized ComBat FA and MD voxel-wise linearSVC provided significantly higher classification scores (81.4% accuracy, 93.3% sensitivity, 80.3% F1-score and 0.88 AUC,  $p<0.05$ ) compared with the classification based on age & sex only and the ROI approaches (accuracies: 59.8% and 64.8%, respectively). Similar to the previous question, the 3-category harmonized ComBat FA and MD maps voxel-wise approach yields statistically significant prediction scores between mTBI patients with complete or

incomplete recovery (71.8% specificity, 66.2% F1-score and 0.71 AUC,  $p < 0.05$ ), which provided a modest increase in the classification score (accuracy: 66.4%) compared to the classification based on age & sex only and ROI-wise approaches (accuracy: 61.4% and 64.7%, respectively). This study showed that ComBat harmonized FA and MD may provide additional information for diagnosis and prognosis of mild traumatic brain injury in a multi-modal machine learning approach. These findings demonstrate that dMRI may assist in the early detection of patients at risk of incomplete recovery from mTBI.

## Introduction

Traumatic brain injury (TBI) is a global public health problem, with nearly 70 million TBI cases worldwide every year, and over 2.5 million cases in Europe.<sup>1</sup> The majority of patients with TBI may be classified as mild accounting for over 85%. The term “mild” underestimates the effect of this brain injury with up to 50% of the cases having persisting symptoms which may include cognitive, psychological and somatic problems which may last for months to years after injury.<sup>2-4</sup> The development of accurate, data-driven methods for classifying and characterizing (image-based brain) neuroimaging features of mild TBI (mTBI) could help identify those at risk of developing deficits that affect functional outcome, stratify for early treatment, and design future clinical trials.

The presence and type of lesions visualized on conventional computed tomography (CT) and structural magnetic resonance imaging (MRI) scans do not explain all variance in outcome observed after mTBI.<sup>5-8</sup> Indeed scans may appear to be normal even in patients with persisting symptoms. The more advanced diffusion MRI (dMRI), has been shown to better detect subtle abnormalities associated with mTBI, since dMRI is susceptible to microstructural changes in particularly in brain white matter (WM).<sup>5,9-11</sup> Detecting these WM alterations may offer potential to improve outcome prediction for mTBI patients.

Fractional anisotropy (FA) and mean diffusivity (MD) are the most commonly used diffusion parameters, representing the fraction of the total diffusion attributable to anisotropic diffusion and the overall extent of diffusivity along the direction of WM tracts, respectively. Abnormalities in FA and MD in mTBI patients with incomplete recovery, compared to patients with complete recovery and controls have been reported to be primarily located in the corpus callosum, right anterior thalamic radiations, superior longitudinal fasciculus, and inferior longitudinal, fronto-occipital fasciculi and cerebellum.<sup>12-15</sup> Some mTBI studies have reported that abnormalities in diffusion parameters are associated with clinically relevant outcomes, including cognitive and functional impairment.<sup>5,16,17</sup>

Many studies on recovery from mTBI rely on small sample sizes, leading to low reproducibility of results. Nonetheless, research is evolving toward large multicenter

studies with the aim of increasing statistical power and generalizability of results. The success of a joint analysis is highly dependent on the implementation of harmonization procedures to increase the comparability of the multi-scanner data.<sup>18</sup> It has been shown that the variability in diffusion metrics in the corpus callosum between controls, mTBI and moderate TBI patients, are of the same order of magnitude as intra-scanner changes.<sup>19</sup> Thus, it is crucial to reduce the variability of diffusion data across multiple scanners before classification implementations. Hence, there is a substantial need for robust harmonization techniques.<sup>20,21</sup> The overall concept of harmonization is to apply mathematical concepts to reduce unwanted site variability while maintaining the biological information to further evaluate outcome and recovery of mTBI patients from their imaging data.

Many prediction models have been developed to investigate and anticipate the outcome of patients who have sustained a mTBI, predominantly using regression techniques.<sup>22–24</sup> However, none of these models has both good discrimination and prediction and/or are not yet suitable for use in clinical practice.<sup>23</sup> In recent years, there has been a shift in clinical prediction models towards the study of dynamic models, while in case of imaging prediction models, due to the static nature of imaging, the exploration of new indices using machine learning (ML) techniques offer a new promising diagnostic path to improve outcome prognostication.<sup>25,26</sup> ML methods implemented with multiple dMRI-derived indices may help to infer the pathophysiological features of WM changes and provide more specific biomarkers for WM neuropathology in mTBI patients.

Support vector machines (SVMs) are popular ML models that have demonstrated considerable potential for robustly identifying neuroimaging biomarkers of neurological dysfunctions and diseases.<sup>27–29</sup> SVMs can achieve reliable performance by determining a hyper-plane that divides the samples into two groups. Compared with conventional regression-based methods, ML-based approaches are able to reveal subtle and complex patterns that can be used to classify various psychiatric and neurodegenerative disorders, such as schizophrenia,<sup>28</sup> dementia<sup>30</sup> and Parkinson's disease.<sup>31</sup> In particular, support vector classifiers (SVCs) have been applied to non-harmonized dMRI and resting-state functional connectivity data to detect mTBI, identifying biomarkers to characterize mTBI and suggesting that ML can reveal underlying mTBI-related neuropathology.<sup>32–35</sup> Nonetheless,



harmonization has been an important step that has been shown to remove unwanted scanner biases and improve the image analysis, which is recommended in multi-site investigations.<sup>18</sup>

The aim of the study was to explore whether linearSVCs can aid in classification of mTBI patients and predict recovery. Simultaneously, the effect of ComBat harmonization was evaluated on the performance of linearSVCs. Two key analyses were performed. Firstly, an analysis to investigate whether the use of linearSVCs allows to discriminate between mTBI patients and controls; and secondly whether linearSVCs trained on ComBat harmonized dMRI metrics obtained within 21 days of injury are able to predict the clinical outcome of mTBI patients at six months.

## Materials and Methods

### Participants

All eligible subjects were included from the prospective observational study Collaborative European NeuroTrauma Effectiveness Research in Traumatic Brain Injury (CENTER-TBI) study (December 19, 2014, to December 17, 2017; <https://clinicaltrials.gov/ct2/show/NCT02210221>).<sup>3,36</sup> CENTER-TBI was accessed using the Neurobot platform (RRID/SCR\_017004, core data, version 3.0; International Neuroinformatics Coordinating Facility; released November 24, 2020). Ethical approval for CENTER-TBI was obtained in accordance with all relevant laws and regulations for each recruiting site. Details may be found at: <https://www.center-tbi.eu/project/ethical-approval>. Informed consent from the patient or legal representative/next of kin was obtained for all participants. Reporting of this study follows the Transparent reporting of a multivariable prediction model for individual prognosis or diagnosis (TRIPOD).<sup>37</sup>

Inclusion criteria for this analysis were patients aged  $\geq 16$  years, who sustained a mTBI (defined as Glasgow Coma Score (GCS) on presentation of 13 to 15), required a head CT according to local criteria on initial presentation, and had an MRI within 21 days of the injury. Outcome at six months was measured using the extended Glasgow Outcome Scale (GOSE), a classification based on function, independence and participation.<sup>38</sup> The preferred method for assessment was by interview in person. However, to maximize the follow-up

rate, postal- and web based questionnaires and administration by telephone were also allowed. These different methods for assessment of GOSE were found to have good agreement in the larger CENTER-TBI Core dataset.<sup>39</sup> The quantitative imaging analysis did not occur until after follow-up had been completed. Hence, raters were blinded to the neuroimaging results. GOSE was dichotomized into complete (GOSE = 8) and incomplete (GOSE < 8) recovery.

### Image Acquisition and Analysis

The initial cohort consisted of 194 eligible patients (15 scanners, 10 sites) underwent MRI at 3 Tesla with each scanner using similar acquisition protocols. The full scanning protocol details for all sites and scanners can be found at <https://www.center-tbi.eu/project/mri-study-protocols>. The same imaging protocol was obtained on 89 eligible controls (12 scanners, 9 sites). Sequences included volumetric T1-weighted, volumetric fluid-attenuated inversion recovery, T2-weighted, and susceptibility-weighted imaging and DTI. Base values of DTI were 2-mm isotropic voxels, 32 non-collinear directions, and a b value of 1000 seconds/mm<sup>2</sup>. MRI scans were reported centrally by CENTER-TBI investigators blinded to patient outcome based on Common Data Elements (CDEs)<sup>40</sup> and using all available sequences to identify any intracranial traumatic abnormality. This variable indicates whether any of the following CDEs is present (detailed description available at Supplementary Table S1): mass lesion, extra axial hematoma, epidural hematoma, acute subdural hematoma, subacute or chronic subdural hematoma, subdural collection mixed density, contusion, traumatic axonal injury, traumatic subarachnoid hemorrhage, intraventricular hemorrhage, midline shift or cisternal compression.

Sequences were processed using a TBI-specific pipeline. All DTI data were corrected for noise,<sup>41,42</sup> Gibbs ringing artifacts,<sup>43</sup> head motion and eddy current artifacts,<sup>44</sup> and inhomogeneities in the magnetic field.<sup>45</sup> Diffusion tensors were obtained by weighted least squares fitting to derive mean diffusivity (MD) and fractional anisotropy (FA) maps using the FMRIB Software Library.<sup>46</sup> After diffusion tensor model fitting, each FA map was spatially normalized to the FA template of the John Hopkins University (JHU ICBM-DTI-81) atlas<sup>47</sup> via non-linear registration using advanced normalization tools (ANTs).<sup>48,49</sup> The

transformations were subsequently used to project corresponding MD maps to the same space.

Image data and pipeline outputs for controls and patients were visually inspected to ensure no scans with artifacts were included. The diffusion parameter maps (FA and MD) were visually inspected and two mTBI patients and one control were excluded due to blurring in the dMRI parameter maps caused by excessive head motion artifacts. Zero mean normalized cross-correlation (ZNCC) was computed between the JHU FA template and the aligned FA maps to quantify registration accuracy. Subjects were excluded from further analysis if the ZNCC similarity metric fell below a predefined threshold ( $ZNCC < 0.8$ ) thus, 13 mTBI patients and three controls were excluded due to this criterion. After identifying the eligible diffusion maps, data were retained and considered to reflect true variation or pathology. The final data cohort consisted of 179 patients (15 scanners, 10 sites) and 85 controls (12 scanners, 9 sites; comparable age & sex), with a minimum of two controls and/or mTBI patients per scanner, maximizing the number of subjects for the present study. Patients were dichotomized according to their extended Glasgow outcome scale (GOSE) scores at six months into complete ( $n=92$ ;  $GOSE=8$ ) and incomplete ( $n=87$ ;  $GOSE<8$ ) recovery. Flowchart of patients can be seen at the supplementary material (Figure S1).

White matter voxels of the spatially normalized FA and MD maps were harmonized on a voxel-wise level using ComBat.<sup>50</sup> ComBat facilitates adjustment of quantitative diffusion maps while taking into account the effect of possible confounding factors. In this study, age, sex and disease status served as biological covariates while fitting the harmonization model, which should be protected during the removal of scanner/site effects. FA and MD maps were ComBat harmonized in two ways: 1) using 2 categories for disease status (controls and mTBI patients), and 2) using 3 categories for disease status (controls, mTBI patients with complete recovery and mTBI patients with incomplete recovery). The categories were chosen to identify the optimal use of ComBat harmonization to address the overall aims of the study.

## Machine learning

LinearSVCs were applied to differentiate mTBI patients from controls and mTBI patients with complete or incomplete recovery using the dMRI maps, sex and age. Sex and age were included as they have previously been shown to affect both the quantitative metrics obtained with DTI (FA and MD), as well as outcome after mild TBI<sup>51-54</sup>. The linearSVCs were either trained on the voxel- or ROI-wise FA and MD images 1) non-harmonized, 2) ComBat harmonized with 2 categories and 3) ComBat harmonized with 3 categories combined with age & sex. FA and MD maps were examined on a voxel and ROI-wise level within the areas of the JHU ICBM-DTI-81 atlas (Figure 1). For the voxel-wise approach each FA and MD voxel intensity within the atlas served as individual features. For the ROI-wise approach FA or MD intensities were averaged within each of the 48 JHU regions, such that we end up with 96 (2x48) image derived regional features to train the linearSVC.

Analyses were conducted with the 'sklearn' package of Python (version 3.5.0)<sup>55</sup> with the linear kernel SVC and default regularization ( $C = 1$ ). To estimate the linearSVCs generalisability, a stratified 4-fold cross-validation (CV) was performed (for an overview of the proposed classification framework see Figure 2). For this, the dataset was divided into four subsets, each including all disease categories (e.g. controls and mTBI patients with different recovery). For each fold, three subsets were chosen to train the model which was then validated on the remaining subset.

Recursive feature elimination (RFE)<sup>56</sup> is an iterative feature selection algorithm, which ranks features (e.g. voxels or ROIs within the JHU) in a training dataset based on their relevance for predicting the target variable (e.g. controls and mTBI patients or mTBI recovery at six months post-injury). RFE was used to identify the 10% most discriminative JHU voxels or ten most discriminative JHU ROIs to classify mTBI from controls, and to predict mTBI recovery. For the voxel-wise approach, the 10% most discriminative WM voxels within the JHU regions were identified in each of the four folds. Similarly, for the ROI-wise approach, from the 48 JHU regions, the ten most discriminative ROIs were selected in each of the folds in the cross-validation method. Next, for each fold, the classification scores (accuracy, sensitivity, specificity and F1-score) of the different

linearSVC models were calculated based on the results obtained from the RFE-selected 10% most discriminative JHU voxels or the RFE-selected ten most discriminative JHU ROIs exclusively, while the remaining voxels or ROIs are excluded from the analysis.

### Statistical Analysis

The analysis of variance (ANOVA) was performed to compare the demographics between the groups (controls, mTBI complete and incomplete recovery).<sup>57</sup> Groups were considered statistically different if  $p < 0.05$ .

The linearSVCs were compared based on accuracy, sensitivity, specificity and F1-score, calculating the mean and standard error across the 4-fold cross-validation based on the positive and negative predicted values, Table 1.

The voxels (voxel-wise approach) or brain regions (ROI-wise approach) that significantly contributed to the classification between the two groups identified via RFE were used for further analysis. For the voxel-wise approach, discriminative voxels identified in at least two folds were mapped to the JHU atlas. The relative voxel count was calculated as the percentage of discriminative voxels in the JHU regions relative to all voxels in the WM structure.

Additionally, the mean value across those discriminative FA and MD voxels were calculated and compared between groups via Student's t-test. For the ROI-wise approach, the ten most discriminative JHU ROIs were selected via the RFE algorithm in each of the four folds and retained as the final discriminative ROIs if identified in at least two folds. The average FA and MD for the 48 JHU regions were compared between groups using Student's t-test. The diffusion metrics between groups were considered statistically different if  $p < 0.05$ , after controlling for false discovery rate (FDR).<sup>58</sup>

Lastly, to evaluate the classification and prediction performances for the different linearSVCs, the receiver operating characteristic (ROC) curves were obtained. Classification accuracy was also assessed using the area under the curve (AUC). The paired nonparametric DeLong test was used to compare the difference AUCs among the implemented linearSVC methods across the four folds.<sup>59</sup>

## Results

Demographic data are listed in Table 2. The cohort consists of 85 controls and 179 mTBI patients, 92 with complete recovery (GOSE=8) and 87 with incomplete recovery (GOSE<8). Age differed significantly between the three groups controls and mTBI patients with complete and incomplete recovery ( $p<0.05$ ). Two thirds of each patient group were male (66 male and 26 female for complete recovery and 56 male and 31 female for incomplete recovery). There was no significant difference for the time between injury and scan for the patient cohorts (mean number of days of 8.5 for complete recovery and 9.0 for incomplete recovery). For both complete and incomplete recovery, the majority of mTBI patients had an initial GCS of 15 (76 out of 92 mTBI with complete recovery and 64 out of 87 with incomplete recovery). The most prevalent mechanisms of injury for both patient groups were road collisions and falls (40 and 32 for complete and 40 and 36 for incomplete recovery, respectively). Patients with incomplete recovery after a mTBI had a (prevailing) GOSE score of seven after six months (42 out of 87 mTBI patients).

Intracranial abnormalities are described in Supplementary Table S1. The number of subjects per scanner was comparable across 14 of the 15 scanners (Supplementary Table S2, average of 7 controls, 8 complete and 6 incomplete recovery per scanner), with exception of scanner #1 which scanned the highest number of mTBI patients.

The results will be presented separately for the classification between controls and mTBI patients and the prediction between complete (GOSE=8) and incomplete (GOSE<8) recovery of the mTBI patients.

### 1. Classification of mTBI patients versus controls

Classifying mTBI patients versus controls based on age & sex, yielded a classification accuracy of  $(59.8 \pm 1.0)\%$ . The addition of imaging features FA/MD yielded a higher classification performance than using age & sex alone, the voxel-wise approaches provided a classification accuracy of  $(73.5 \pm 3.5)\%$  for non-harmonized FA and MD,  $(80.7 \pm 2.1)\%$  for the maps harmonized with ComBat using 2 categories, and  $(81.4 \pm 1.7)\%$  for the maps harmonized with ComBat 3 categories, Table 3. For the ROI-wise approach, the classification scores obtained classification scores of  $(65.2 \pm 3.9)\%$  for non-harmonized

diffusion maps,  $(62.9 \pm 2.2)\%$  for the maps harmonized with ComBat 2 categories and  $(64.8 \pm 2.9)\%$  for the maps harmonized with ComBat 3 categories for the ROI-wise approach, Table 3. Comparing the multiple classification features listed in Table 3 and shown in Figure 3, the implantation based on ComBat 3 categories voxel-wise approach including age & sex was the one that provided the highest performance scores when classifying controls and mTBI patients 93.3% sensitivity, 81.4% accuracy, 80.3% F1-score and 0.88 AUC.

Based on the paired DeLong test, Table 4, comparing the AUCs across the CV folds for each of the linearSVCs implementations, comparing age & sex alone as input and the use of age & sex combined with FA and MD voxel- or ROI-wise, the use of diffusion imaging improves significantly ( $p < 0.05$ ) the classification between controls and mTBI patients. When looking at the voxel-wise comparison among harmonization approaches, there is a significant improvement ( $p < 0.05$ ) in the use of ComBat harmonization for the use of two or three categories in the disease status, but no significant differences for the ROI-wise approach. Finally, when comparing the same harmonization approaches for both voxel- and ROI-wise implementations, there are significant differences for both ComBat harmonization approaches but none for the non-harmonized FA/MD. Thus, comparing the linearSVC implementations, the ComBat harmonized using 3 disease status categories FA and MD maps used in the voxel-wise linearSVC significantly improves the classification between mTBI and controls ( $p < 0.05$ ).

The most predictive voxels were consistent across the voxels selected when using ComBat harmonization using 2 or 3 categories or non-harmonized data. On the other hand, for the ROI-wise classification, most predictive ROIs selected based on ComBat harmonized FA and MD maps using 2 or 3 categories were consistent, but for non-harmonized maps the areas identified were not similar to the harmonized data.

As can be seen in Figure 4, for the 3-category harmonized ComBat voxel-wise approach (highest classification scores) the most predictive brain tracts were identified in the middle cerebellar peduncle, corpus callosum, external capsule, superior longitudinal fasciculus and posterior thalamic radiation. In the 3-category harmonized ComBat ROI-wise

approach, as can be seen in Figure 5, the most predictive brain tracts identified were the corpus callosum, anterior corona radiata, external capsule, posterior thalamic radiation, superior longitudinal fasciculus, pontine crossing fibers, cerebral peduncles and superior corona radiata.

Figure 6 shows a violin plot of the mean values and standard deviations of ComBat 3 categories FA and MD over the discriminative voxels and ROIs for TBI patients and healthy control groups. For the linearSVCs based on dMRI metrics combined with age & sex, mTBI patients displayed higher MD and lower FA values for the most discriminative voxels and ROIs identified through RFEs. The analysis of the most discriminative voxels and ROIs of the DTI diffusion indices demonstrated possible differences in the WM microstructure in the TBI patient group compared to healthy controls. Significantly decreased FA and increased MD were present in several major WM tracts in the TBI group compared with the healthy control group (FDR corrected  $p$  value < 0.05).

## **2. Prediction between mTBI patients with complete (GOSE=8) or incomplete (GOSE<8) recovery**

The use of age & sex for the classification of mTBI patients with complete versus incomplete recovery yielded a classification accuracy of  $(61.4 \pm 5.2)\%$ . The addition of imaging features (FA/MD) provided higher classification performance than age & sex alone, when the imaging maps were optimally harmonized, yielding a classification accuracy of  $(66.2 \pm 6.8)\%$  for the 3-category harmonized ComBat FA and MD voxel-wise. In contrast, for the non-harmonized FA and MD voxel-wise an accuracy of  $(56.0 \pm 7.7)\%$  was obtained, and  $(44.7 \pm 4.1)\%$  for the 2-category harmonized ComBat FA and MD voxel-wise, demonstrating the impact of an harmonization in the prediction results. Similar result was obtained when using the ROI-wise approach,  $(60.1 \pm 4.4)\%$  for the non-harmonized FA and MD ROI-wise,  $(54.9 \pm 3.7)\%$  for the 2-category harmonized ComBat FA and MD ROI-wise and  $(64.8 \pm 3.3)\%$  for the 3-category harmonized ComBat FA and MD ROI-wise, Table 5. Similar to the previous classification between controls and patients, comparing the multiple classification features listed in Table 5 and shown in Figure 7, the 3-category harmonized voxel-wise approach combined with age & sex was the one that provided the



highest performance scores when predicting between mTBI patients with complete and incomplete recovery (71.8% specificity, 66.4% accuracy, 66.2% F1-score and 0.71 AUC).

The paired DeLong test, Table 6, revealed significant differences ( $p < 0.05$ ) between the approaches: 1) age & sex alone and the ComBat harmonized with 3 categories FA and MD voxel-wise combine with age & sex linearSVCs, 2) age & sex alone and ComBat harmonized with 3 categories FA and MD ROI-wise combine with age & sex linearSVCs, 3) the non-harmonized and the ComBat harmonized with 3 categories FA and MD voxel-wise combine with age & sex linearSVCs, 4) the ComBat harmonized with 2 or 3 categories FA and MD voxel-wise combine with age & sex linearSVCs, and 5) the ComBat harmonized with 2 categories FA and MD ROI- and voxel-wise combine with age & sex linearSVCs. Thus, the combination of age & sex along with the use of ComBat harmonized with 3 categories FA and MD in the voxel-wise approach provided a statistically significant improvement in the prediction between mTBI patients with complete or incomplete recovery.

Similar discriminative voxels and ROIs were identified independently of the harmonization approach. Moreover, the distributions of the discriminative FA and MD as measured with voxel-wise or ROI methods in complete and incomplete mTBI recovery were similar when compared to the findings for discriminating between controls and mTBI for the harmonization approaches (Figures 8 and 9). With the ComBat harmonization with 3 categories voxel-wise approach, the most predictive brain tracts identified were in the middle cerebellar peduncle, corpus callosum, superior longitudinal fasciculus, external capsule and anterior corona radiata (Figure 8). While, for the ComBat harmonization with 3 categories ROI-wise approach the most predictive brain tracts identified were the corpus callosum, external capsule, superior corona radiata, sagittal stratum, middle cerebellar peduncle, medial lemniscus and cingulum (Figure 9).

Significantly increased FA and decreased MD were present in some major WM JHU tracts in patients with complete versus incomplete recovery (FDR corrected  $p$ -value  $< 0.05$ , Figure 10). These differences for complete and incomplete recovery are expected to be related to microstructural neuropathological alterations in white matter bundles in semi-acute phase.<sup>60</sup>

## Discussion

In this study, ComBat harmonized FA and MD demonstrated to provide additional information for recovery prediction in mTBI using a multi-modal ML approach, based on dMRI acquired within the first 21 days post-injury.

The results of the predictions based on the dMRI quantitative metrics were compared to the baseline predictions based on age & sex only. Age and sex are factors that are likely associated with the recovery after a mild traumatic brain injury. Both variables interact and are related to cortical maturation, biological response and social modifiers.<sup>52,60,61</sup>

Thus, using age & sex only in a predictive model is a useful baseline comparison for research and provides an early assessment of injury severity, but is not sufficiently accurate to guide decision-making in the clinical setting.

We applied ComBat harmonization to remove unwanted sources of variability, while preserving variations due to other biologically-relevant covariates, such as age, sex and disease status, in the data since the method accounts for systemic intensity variations due to inter-scanner biases.<sup>18</sup> Nonetheless, the choice of parameters for the harmonization, such as disease status, influences the accuracy of the classification groups. It should be noted that ComBat harmonization rather is a retrospective harmonization tool, thus our dataset could be regarded as a best-case simulation of how SVCs would perform when using perfectly harmonized datasets.

The linear SVC trained on age & sex and ComBat 3-category-harmonized voxels of FA and MD to differentiate mTBI patients from controls yielded an accuracy of  $(81.4 \pm 3.4)\%$  and AUC of  $0.88 \pm 0.04$ . Distinguishing between mTBI patients with complete or incomplete recovery demonstrated to be more challenging, yielding a prediction accuracy of  $(66.4 \pm 13.7)\%$  and AUC of  $0.71 \pm 0.12$  for the age & sex and ComBat 3-category-harmonized FA and MD voxel-wise approach (Figure 7). Our results are comparable to the UPFRONT model, which is the current gold-standard for the prediction of outcome for complete (GOSE=8) and incomplete (GOSE<8) recovery in mTBI, providing an AUC 0.7.<sup>62</sup>

The discriminative voxels identified on the DTI maps differed between mTBI patients and controls were predominantly located in the corpus callosum, middle cerebral peduncle,

right and left cerebral peduncles, external capsule, fornix and right and left tapetum. The identified discriminative brain regions associated with mTBI complete or incomplete recovery based on the GOSE six months post-injury were mainly the corpus callosum, middle cerebral peduncles, external capsule, and the right and left anterior corona radiata. These areas mostly overlapped with the regions identified by the linearSVC that differentiates mTBI patients from controls.

Many JHU regions that showed different diffusion metrics in mTBI and control subjects, were also shown to be discriminative when classifying patients with different outcomes. This implies that the DTI differences found to be associated with mTBI, are also important for the assessment of recovery post-injury. Furthermore, given the cerebellar peduncle, brainstem and corpus callosum have previously been found to have prognostic value in moderate and severe TBI,<sup>63–65</sup> and their identification as discriminative for mTBI in this analysis adds weight to the biological plausibility of our findings. This is also consistent with the hypothesis that the pathological and biomechanical mechanisms in mTBI may be similar (at least in part) to those in severe TBI; albeit differences may be more subtle, hence harder to detect.

In addition to more severe injury, the regions identified as predictive in the current study have been associated with mTBI outcome. Reduced FA is the most common finding in the acute and semi-acute phases of mTBI when comparing patients and controls.<sup>11</sup> However, some studies in the acute/early subacute phase of mTBI have shown significantly increased FA in major white matter tracts consistent with our findings of an increased FA and reduced MD in some major WN JHU tract in patients with complete versus incomplete recovery (Figure 10).<sup>66,67</sup> An investigation using whole-brain voxel-wise nonparametric statistical comparison evaluated mTBI patients with complete or incomplete recovery, and found higher MD values voxel-wise in patients with incomplete recovery, compared to patients with complete recovery and controls, in the corpus callosum, right anterior thalamic radiations, the superior longitudinal fasciculus, and the inferior longitudinal and fronto-occipital fasciculi at 7–28 days after injury.<sup>12</sup> Ling and colleagues found increased FA and decreased radial diffusivity voxel-wise within the genu of the corpus callosum, in a 28 cohort of mTBI patients with complete or incomplete recovery who underwent MRI 15.6 –

4.3 days after injury.<sup>13</sup> In contrast, a ROI approach found no significant difference in FA or MD in the genu, body, or splenium of the corpus callosum in 60 mTBI patients with complete and incomplete recovery (on the more severe end of the mTBI spectrum), in comparison to 34 controls.<sup>14</sup> Yuh and colleagues found that white matter FA was significantly reduced in mTBI patients who had positive acute traumatic intracranial abnormality on conventional MRI, but not in negative MRI mTBI patients, compared to control.<sup>15</sup> In addition, regions of reduced FA in mTBI patients were modest, but statistically significant, predictors of unfavorable 3- and 6- month outcomes. The FA alterations are likely related to microstructural neuropathological changes in the white matter major tracts which may include cytotoxic edema, changes in water content within the myelin sheath, and inflammation.<sup>66–68</sup>

Using the mean FA/MD measurement across all discriminative voxels (Figures 6A/B and 10A/B), and specific discriminative ROIs (Figures 6C/D and 10C/D), we found significant ( $p < 0.05$ ) between-group differences based on the Student's t-test calculated with the average FA and MD values. Thus, the differences in the WM dMRI metrics for the discriminative areas identified by the method demonstrated to be significantly associated to the classification between mTBI patients and controls and for the prediction between complete or incomplete recovery for those mTBI patients. Moreover, the distributions of FA and MD, even if visually similar between the groups, showed significant p-values ( $p < 0.05$ ) in the Student's t-test in such comparisons in the neuroanatomical regions of WM known to be vulnerable to axonal injury.

The DeLong test comparisons between the linearSVC models are demonstrated in Tables 4 and 6, for the classification between controls and mTBI patients and the classification between mTBI patients with complete or incomplete recovery, respectively. Model performance is related to the use of either single intensities (predictive voxels) or the average voxel intensity in selected regions (predictive ROIs), as well as to the choice of harmonization approach (no harmonization or Combat harmonized FA and MD maps with either 2 or 3 disease status categories). Based on the paired DeLong test, the ComBat harmonized with 3 categories FA and MD voxel-wise combined with age & sex linearSVC implementation provides a significantly better classification between mTBI and controls

compared to the other methods (Table 4,  $p < 0.05$ ), which is not an unexpected result since the optimal harmonization of single voxels seems to highlight the group differences by preserving the underlying biological changes due to trauma.<sup>69</sup> Moreover, a similar trend is demonstrated in the prediction between mTBI patients with complete or incomplete recovery. The combination of age & sex along with the use of ComBat harmonized with 3 categories FA and MD in the voxel-wise approach provided a statistically significant improvement compared to other models (Table 6,  $p < 0.05$ ), providing evidence that the single-voxel ComBat harmonization successfully removes scanner effects in diffusion data preserving the mTBI effects in the brain.<sup>69</sup>

The findings provide evidence for the use of DTI to aid identification of patients at risk of incomplete recovery after mTBI. The prediction of recovery remains an extremely challenging and complex question, which is influenced by multiple pre-injury factors.<sup>70</sup> DTI significantly improved performance of models predicting complete versus incomplete recovery, showing that there is promise in combining this advanced imaging technology with linearSVCs. However, even the best DTI model only achieved an accuracy of 66%, which is insufficient for clinical practice. Future research therefore needs to address the challenges for outcome prediction after mild TBI generally (such as having large enough samples to control for a wide range of pre-morbid factors) and the use of DTI in particular (identifying the optimal timing for imaging, optimal acquisition parameters and harmonization strategies).

Thus, the results of this study should be considered in the context of certain limitations. First, partial volume effects can lead to abnormal DTI indices in the case of inaccurate registration of individual images into standard space. To reduce the likelihood of such an error, we registered the diffusion maps using the JHU FA map and performed visual checks and correlation evaluations as a strict inclusion criterion for the quantitative dMRI maps in common space. Despite our diligent processing steps and quality control, subtle misregistration between diffusion maps and the atlas cannot be ruled out entirely.

It is important to validate an automated method based on advanced MRI techniques, such as dMRI, that can be used as a predictive tool for a wide spectrum of mild TBI outcomes.

Therefore, future work with even larger mTBI patient datasets would be beneficial to increase cohort size for a comprehensive and generalizable predictive model. Additionally, the use of ComBat harmonization should be thought through carefully, since it can be tricky on deciding which biological covariates to use with the intention to keep image alterations related to the mTBI disorder. In our case, we used outcome categories as a biological covariate, but other possibilities are to include variables that become available immediately after the patient is scanned, such as the presence of any intracranial abnormalities including microhemorrhages. In addition, other biomarkers may add prognostic information, e.g. proteomic biomarkers including glial fibrillary acid protein (GFAP) or ubiquitin C-terminal hydrolase L1 (UCH-L1)<sup>71,72</sup>. The development of more complex prognostic models including this additional information would be best explored in future studies with larger numbers of patients. Given that GOSE may miss more subtle deficits, such studies should also explore more granular outcomes including cognitive and mental health outcomes, the latter being particularly salient in patients at the higher end of functional outcome as may be expected after mild TBI.<sup>73</sup> Finally, for our study we have only used two biological features (age & sex), which were not removed as confounding factors in the analysis. While we demonstrated that age & sex seem predictive and that the diffusion metrics FA and MD improve such predictions, further investigation of multiple diffusion metrics (e.g. radial diffusivity, axial diffusivity, mean kurtosis) and their association with direct alterations due to mTBI would be beneficial to better understand the underlying neuropathological changes that occur after mTBI. Based on the available measurements from the CENTER-TBI database, we did not include the scan time post-injury, severity of extracranial injury, education or a history of mental health problems as variables in our model for the reasons outlined in the following paragraphs.

The time between injury and imaging ranged from 1 to 21 days in our study and it is known that diffusion metrics may change during this time frame.<sup>66</sup> Since the time to imaging was not significantly different between patients with complete and incomplete recovery in our cohort, it would not affect our conclusion that DTI has some prognostic value after DTI. However, it is likely that DTI would perform better if all patients were imaged at the same time point, ideally closer to the time of injury.<sup>5</sup>

Furthermore, at present there is no accepted framework for handling missing data when applying machine learning algorithms, such as the SVM used in the present analysis. We therefore had to restrict the covariates in our model to data which was available for all patients. Future studies should strive to assess if DTI adds prognostic value also when other variables are included such as severity of extracranial injury, education or a history of mental health problems.

Despite the mentioned limitations, our model still adds value to the investigation of mTBI recovery and provides important insights that may be built on in bigger cohorts.

## Conclusion

This study shows that ComBat harmonized dMRI metrics provide additional information related to white matter differences that demonstrate to be relevant for the classification of mTBI patients, suggesting that DTI may be a predictive marker of recovery in mTBI. We also demonstrated the potential utility of ComBat harmonization on FA and MD maps voxel-wise combined with age & sex for mTBI recovery prediction using linearSVCs. Predictive models capable of identifying mTBI patients with potential of incomplete recovery could facilitate the design of future clinical trials and stratification for treatment planning.

## Transparency, Rigor and Reproducibility Summary

This study was pre-registered at the Collaborative European NeuroTrauma Effectiveness Research in Traumatic Brain Injury (CENTER-TBI) study (<https://clinicaltrials.gov/ct2/show/NCT02210221>). The analysis plan was registered after beginning data collection but before data analysis at <https://www.center-tbi.eu/data/study>, the lead author with primary responsibility for the analysis certifies that the analysis plan was pre-specified. A sample size of 194 mTBI patients and 89 controls was planned based on availability of diffusion MRI (dMRI) and GOSE evaluation at six months post-injury. Two mTBI patients and one control were excluded due to blurring in the dMRI parameter maps and 13 mTBI patients and three controls were excluded due to poor registration accuracy. Imaging quality control decisions and analyses were performed by investigators who were aware of relevant characteristics of the participants. Actual

sample size was 179 mTBI patients, 92 with complete recovery (GOSE=8) and 87 with incomplete recovery (GOSE<8), and 85 controls. Imaging was collected using multiple 3T MRI scanners and imaging of participants in the relevant groups were distributed across 15 scanners. Variability between scanners reduced using ComBat harmonization. All equipment and softwares used to perform imaging and preprocessing are widely available from commercial sources. The primary clinical outcome measure and evaluations are established standards in the field. Data from this study are available in a protected archive: <https://www.center-tbi.eu/data>. Data can be obtained after approval of a Study Plan proposal, submitted through the online system. For the purpose of open access, the author has applied a Creative Commons Attribution (CC BY) license to any Author Accepted Manuscript version arising from this submission.

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### **Authors contribution statement**

MSP: investigation, formal analysis and writing - original draft (lead); SW: methodology and writing – review and editing; ENK: data curation, methodology and writing – review and editing; SR: methodology and writing – review and editing ; RP: methodology ; MMC: conceptualization, methodology and writing – review and editing; BG: methodology; GY: conceptualization; AV: conceptualization and writing – review and editing; JP: conceptualization and writing – review and editing; AH: conceptualization and writing – review and editing; JS: conceptualization and writing – review and editing; PJG: conceptualization, supervision and writing – review and editing; AJD: conceptualization, supervision and writing – review and editing; DKM: conceptualization; JS: funding, conceptualization, supervision and writing – review and editing; PVD: funding, resources, supervision and writing – review and editing; VFJN: funding, conceptualization, supervision and writing – review and editing.



### **Conflict of interest statement**

The authors have no competing interests to declare.

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For the purpose of open access, the author has applied a Creative Commons Attribution (CC BY) license to any Author Accepted Manuscript version arising from this submission.

### **Data-sharing**

Data access is conditional to an approved study proposal; there are no end dates to the availability. The CENTER-TBI data used in this study is available to researchers who provide a methodologically sound study proposal that is approved by the CENTER-TBI management committee to achieve the aims in the approved proposal. Proposals may be submitted online to CENTER-TBI (<https://www.center-tbi.eu/data>). A data access agreement is required, and all access must comply with regulatory restrictions imposed on the original study. No patient-identifiable information is made available, and all data have been anonymised. Study protocols and additional information for CENTER-TBI about data collection, recruitment, and participating centers is available online.

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**Table 1.** Meaning of positive and negative predictions.

<b>Classification</b>	<b>Prediction</b>	
	<b>Negative (class 0)</b>	<b>Positive (class 1)</b>
Controls vs mTBI patients	Controls	mTBI patients
mTBI complete vs incomplete recovery	mTBI complete recovery	mTBI incomplete recovery

**Table 2.** Demographic and clinical data for the included patients.

		Controls (n=85)	mTBI (n=179)	
			Complete Recovery (n=92)	Incomplete Recovery (n=87)
<b>Age (mean±std)</b>		42.9 ± 11.7	37.9 ± 15.5	46.2 ± 17.1
	<b>Sex</b>			
	<b>Male</b>	49	66	56
	<b>Female</b>	35	26	31
<b>GCS</b>	<b>15</b>	85	76	64
	<b>14</b>	-	11	17
	<b>13</b>	-	5	6
<b>Scan time post- injury (days mean±std)</b>		-	8.5 ± 7.4	9.0 ± 7.3
	<b>Road collision</b>	-	40	40
	<b>Fall</b>	-	32	36

Mechanism of injury	Cycling accident	-	9	3
	Violence	-	6	5
Unknown	-	1	2	
Other	-	4	1	
GOSE	8	85	92	-
	7	-	-	42
	6	-	-	26
	5	-	-	13
	4	-	-	3
	3	-	-	3
	2	-	-	-
	1	-	-	-
Intracranial abnormalities	Present	-	24	52
	Absent	85	68	35

**Table 3.** Averaged performance statistics of the 4-fold cross-validation and the classification between mTBI and controls [average  $\pm$  standard error].

mTBI vs Controls - 4 fold cross-validation					
Mean across 4 folds	Sensitivity (%)	Specificity (%)	Accuracy (%)	F1-score (%)	AUC
<b>Age &amp; Sex</b>	68.2 $\pm$ 1.4	42.4 $\pm$ 5.2	59.8 $\pm$ 1.0	60.2 $\pm$ 1.2	0.56 $\pm$ 0.03
<b>Non-harmonized</b>					
<b>FA + MD + Age/Sex</b> 10% voxels JHU	88.3 $\pm$ 1.6	42.1 $\pm$ 8.2	73.5 $\pm$ 3.5	71.6 $\pm$ 4.1	0.78 $\pm$ 0.04
<b>ComBat 2 categories</b>					
<b>FA + MD + Age/Sex</b> 10% voxels JHU	93.3 $\pm$ 1.8	54.0 $\pm$ 6.8	80.7 $\pm$ 2.1	79.3 $\pm$ 2.5	0.87 $\pm$ 0.02
<b>ComBat 3 categories</b>					
<b>FA + MD + Age/Sex</b> 10% voxels JHU	93.3 $\pm$ 1.8	56.4 $\pm$ 5.4	81.4 $\pm$ 1.7	80.3 $\pm$ 1.9	0.88 $\pm$ 0.02
<b>Non-harmonized</b>					
<b>FA + MD + Age/Sex</b> 10 ROIs JHU	67.6 $\pm$ 6.9	59.8 $\pm$ 5.2	65.2 $\pm$ 3.9	65.7 $\pm$ 3.5	0.68 $\pm$ 0.05

37					
<b>ComBat 2 categories</b>					
<b>FA + MD + Age/Sex</b> 10 ROIs JHU	65.3 ± 3.0	57.6 ± 11.4	62.9 ± 2.2	63.4 ± 2.7	0.67 ± 0.04
<b>ComBat 3 categories</b>					
<b>FA + MD + Age/Sex</b> 10 ROIs JHU	69.3 ± 1.2	55.4 ± 6.8	64.8 ± 2.9	65.4 ± 3.1	0.69 ± 0.03

**Table 4.** DeLong test comparison between implementations for the classification between controls and mTBI patients. DeLong z-value, p-value and statistical significance.

<b>mTBI vs Controls - DeLong test</b>			
<b>LinearSVC comparisons</b>	<b>Z</b>	<b>p-value</b>	<b>Statistically significance</b>
<b>Age/Sex vs Non-harmonized voxel-wise FA + MD + Age/Sex</b>	-3.92	8.8 E-5	***
<b>Age/Sex vs ComBat 2 categories voxel-wise FA + MD + Age/Sex</b>	-6.91	4.7 E-12	***
<b>Age/Sex vs ComBat 3 categories voxel-wise FA + MD + Age/Sex</b>	-6.65	1.8 E-11	***
<b>Age/Sex vs Non-harmonized ROI-wise FA + MD + Age/Sex</b>	-2.80	5.1 E-3	**
<b>Age/Sex vs ComBat 2 categories ROI-wise FA + MD + Age/Sex</b>	-2.31	2.1 E-2	*

Use of support vector machines approach via ComBat harmonized diffusion tensor imaging for the diagnosis and prognosis of mild traumatic brain injury: a CENTER-TBI study (DOI: 10.1089/neu.2022.0365)

This paper has been peer-reviewed and accepted for publication, but has yet to undergo copyediting and proof correction. The final published version may differ from this proof.

<b>Age/Sex vs ComBat 3 categories</b>			
<b>ROI-wise FA + MD + Age/Sex</b>	-2.74	6.2 E-3	**
<b>Non-harmonized</b>			
<b>voxel-wise FA + MD + Age/Sex</b>	-2.86	4.2 E-3	**
<b>vs ComBat 2 categories</b>			
<b>voxel-wise FA + MD + Age/Sex</b>	-3.38	8.8 E-4	***
<b>vs ComBat 3 categories</b>			
<b>voxel-wise FA + MD + Age/Sex</b>	-0.28	7.8 E-1	
<b>ComBat 2 categories</b>			
<b>voxel-wise FA + MD + Age/Sex</b>			
<b>vs ComBat 3 categories</b>			
<b>voxel-wise FA + MD + Age/Sex</b>			
<b>Non-harmonized</b>	0.50	6.2 E-1	



40			
ROI-wise FA + MD + Age/Sex vs ComBat 2 categories ROI-wise FA + MD + Age/Sex			
Non-harmonized			
ROI-wise FA + MD + Age/Sex vs Non-harmonized voxel-wise FA + MD + Age/Sex	-1.42	1.5 E-1	
ComBat 2 categories ROI-wise FA + MD + Age/Sex	-4.34	1.5 E-5	***
40			
ROI-wise FA + MD + Age/Sex vs ComBat 3 categories ROI-wise FA + MD + Age/Sex			
Non-harmonized			
ROI-wise FA + MD + Age/Sex vs ComBat 3 categories ROI-wise FA + MD + Age/Sex	0.01	9.9 E-1	
ComBat 2 categories ROI-wise FA + MD + Age/Sex vs ComBat 3 categories ROI-wise FA + MD + Age/Sex	-0.56	5.7 E-1	
40			
ROI-wise FA + MD + Age/Sex vs ComBat 3 categories ROI-wise FA + MD + Age/Sex			
Non-harmonized			
ROI-wise FA + MD + Age/Sex vs Non-harmonized voxel-wise FA + MD + Age/Sex	-1.42	1.5 E-1	
ComBat 2 categories ROI-wise FA + MD + Age/Sex	-4.34	1.5 E-5	***

<b>vs Combat 2 categories</b>			
<b>voxel-wise FA + MD + Age/Sex</b>			
<b>Combat 3 categories</b>			
<b>ROI-wise FA + MD + Age/Sex</b>			
<b>vs Combat 3 categories</b>	-4.31	1.7 E-5	***
<b>voxel-wise FA + MD + Age/Sex</b>			

\* p<0.05, \*\* p<0.01, \*\*\* p<0.001

**Table 5.** Averaged performance statistics of the 4-fold cross-validation and the prediction between mTBI complete and incomplete recovery [average  $\pm$  standard error].

mTBI vs Controls - 4 fold cross-validation					
Mean across 4 folds	Sensitivity (%)	Specificity (%)	Accuracy (%)	F1-score (%)	AUC
<b>Age &amp; Sex</b>	63.1 $\pm$ 5.9	59.8 $\pm$ 6.2	61.4 $\pm$ 5.2	61.3 $\pm$ 5.1	0.50 $\pm$ 0.10
<b>Non-harmonized</b>					
<b>FA + MD + Age/Sex</b> 10% voxels JHU	49.2 $\pm$ 7.2	63.0 $\pm$ 9.1	56.3 $\pm$ 7.8	56.0 $\pm$ 7.7	0.59 $\pm$ 0.08
<b>ComBat 2 categories</b>					
<b>FA + MD + Age/Sex</b> 10% voxels JHU	40.1 $\pm$ 8.4	50.0 $\pm$ 2.8	45.2 $\pm$ 4.0	44.7 $\pm$ 4.1	0.49 $\pm$ 0.05
<b>ComBat 3 categories</b>					
<b>FA + MD + Age/Sex</b> 10% voxels JHU	60.8 $\pm$ 7.4	71.8 $\pm$ 8.0	66.4 $\pm$ 6.8	66.2 $\pm$ 6.8	0.71 $\pm$ 0.07
<b>Non-harmonized</b>					
<b>FA + MD + Age/Sex</b> 10 ROIs JHU	63.0 $\pm$ 6.3	57.6 $\pm$ 5.7	60.3 $\pm$ 4.4	60.1 $\pm$ 4.4	0.65 $\pm$ 0.06

43						
<b>ComBat 2 categories</b>						
<b>FA + MD + Age/Sex</b> 10 ROIs JHU	56.2 ± 5.3	53.4 ± 6.8	55.3 ± 3.7	54.9 ± 3.7	0.60 ± 0.05	
<b>ComBat 3 categories</b>						
<b>FA + MD + Age/Sex</b> 10 ROIs JHU	63.2 ± 4.4	66.3 ± 4.1	64.8 ± 3.3	64.7 ± 3.3	0.68 ± 0.05	

**Table 6.** DeLong test comparison between implementations for the classification between mTBI patients with complete and incomplete recovery. DeLong z-value, p-value and statistical significance.

<b>mTBI complete vs incomplete recovery - DeLong test</b>			
<b>LinearSVC comparisons</b>	<b>Z</b>	<b>p-value</b>	<b>Statistically significance</b>
<b>Age/Sex vs Non-harmonized voxel-wise FA + MD + Age/Sex</b>	-0.72	4.69 E-1	
<b>Age/Sex vs ComBat 2 categories voxel-wise FA + MD + Age/Sex</b>	0.87	3.82 E-1	
<b>Age/Sex vs ComBat 3 categories voxel-wise FA + MD + Age/Sex</b>	-2.90	3.74 E-3	**
<b>Age/Sex vs Non-harmonized ROI-wise FA + MD + Age/Sex</b>	-1.64	1.00 E-1	
<b>Age/Sex vs ComBat 2 categories ROI-wise FA + MD + Age/Sex</b>	-1.19	2.35 E-1	

Use of support vector machines approach via ComBat harmonized diffusion tensor imaging for the diagnosis and prognosis of mild traumatic brain injury: a CENTER-TBI study (DOI: 10.1089/neu.2022.0365)

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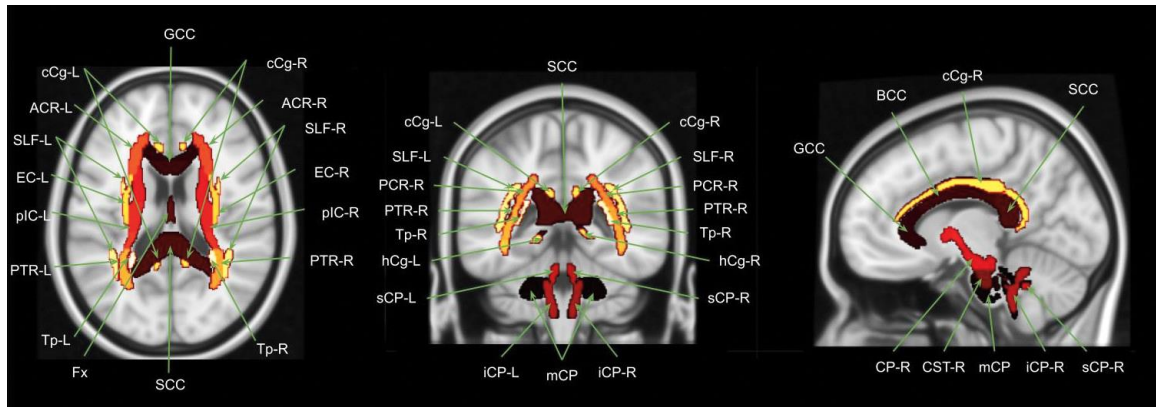
<b>Age/Sex vs ComBat 3 categories</b>			
<b>ROI-wise FA + MD + Age/Sex</b>	-2.33	1.97 E-2	*
<b>Non-harmonized</b>			
<b>voxel-wise FA + MD + Age/Sex</b>	1.62	1.03 E-1	
<b>vs ComBat 2 categories</b>			
<b>voxel-wise FA + MD + Age/Sex</b>			
<b>Non-harmonized</b>			
<b>voxel-wise FA + MD + Age/Sex</b>	-2.41	1.58 E-2	*
<b>vs ComBat 3 categories</b>			
<b>voxel-wise FA + MD + Age/Sex</b>			
<b>ComBat 2 categories</b>			
<b>voxel-wise FA + MD + Age/Sex</b>	-4.59	4.53 E-6	***
<b>vs ComBat 3 categories</b>			
<b>voxel-wise FA + MD + Age/Sex</b>			
<b>Non-harmonized</b>	0.47	6.36 E-1	

<b>ROI-wise FA + MD + Age/Sex</b>			
<b>vs ComBat 2 categories</b>			
<b>ROI-wise FA + MD + Age/Sex</b>			
<b>Non-harmonized</b>			
<b>ROI-wise FA + MD + Age/Sex</b>	-0.88	3.74 E-1	
<b>vs ComBat 3 categories</b>			
<b>ROI-wise FA + MD + Age/Sex</b>			
<b>ComBat 2 categories</b>			
<b>ROI-wise FA + MD + Age/Sex</b>	-1.31	1.90 E-1	
<b>vs ComBat 3 categories</b>			
<b>ROI-wise FA + MD + Age/Sex</b>			
<b>Non-harmonized</b>			
<b>ROI-wise FA + MD + Age/Sex</b>	0.99	3.19 E-1	
<b>vs Non-harmonized</b>			
<b>voxel-wise FA + MD + Age/Sex</b>			
<b>ComBat 2 categories</b>			
<b>ROI-wise FA + MD + Age/Sex</b>	2.06	3.98 E-2	*

				47
<b>vs ComBat 2 categories</b>				
<b>voxel-wise FA + MD + Age/Sex</b>				
<b>ComBat 3 categories</b>				
<b>ROI-wise FA + MD + Age/Sex</b>				
<b>vs ComBat 3 categories</b>		-0.38	7.06 E-1	
<b>voxel-wise FA + MD + Age/Sex</b>				
<b>* p&lt;0.05, ** p&lt;0.01, *** p&lt;0.001</b>				



## Figure legends



**Figure 1.** Overlap between MNI brain template and the JHU WM atlas regions (genu corpus callosum - GCC, body corpus callosum - BCC, splenium corpus callosum - SCC, fornix - Fx, middle cerebellar peduncle - mCP, right and left [R/L] cerebral peduncle - CP, inferior cerebellar peduncle - iCP, superior cerebellar peduncle - sCP, corticospinal tract -CST, anterior corona radiata - ACR, posterior corona radiata - PCR, superior longitudinal fasciculus - SLF, external capsule - EC, posterior limb of internal capsule - pIC, cingulate gyrus part of the cingulum - cCg, hippocampus part of the cingulum - hCg, posterior thalamic radiation - PTR and tapetum - Tp).

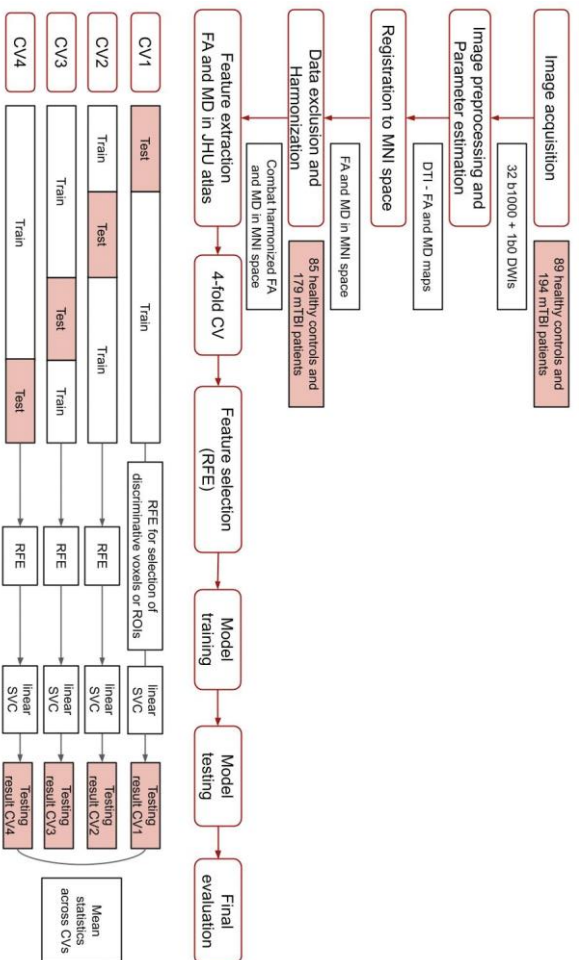
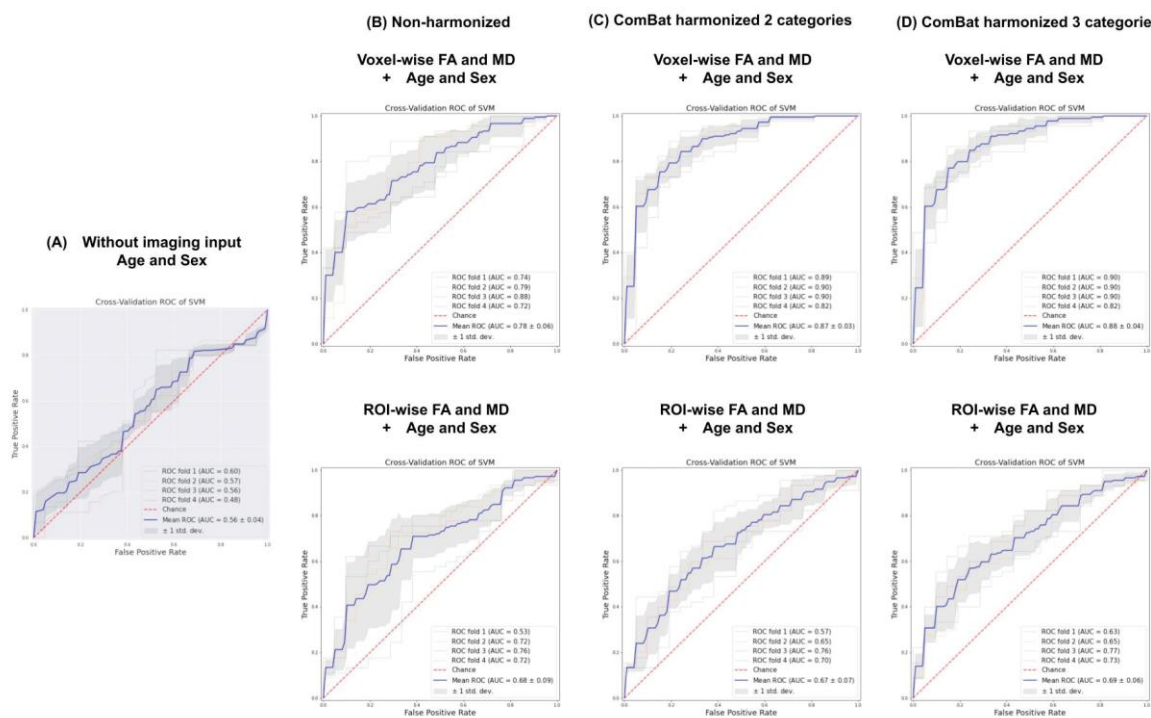
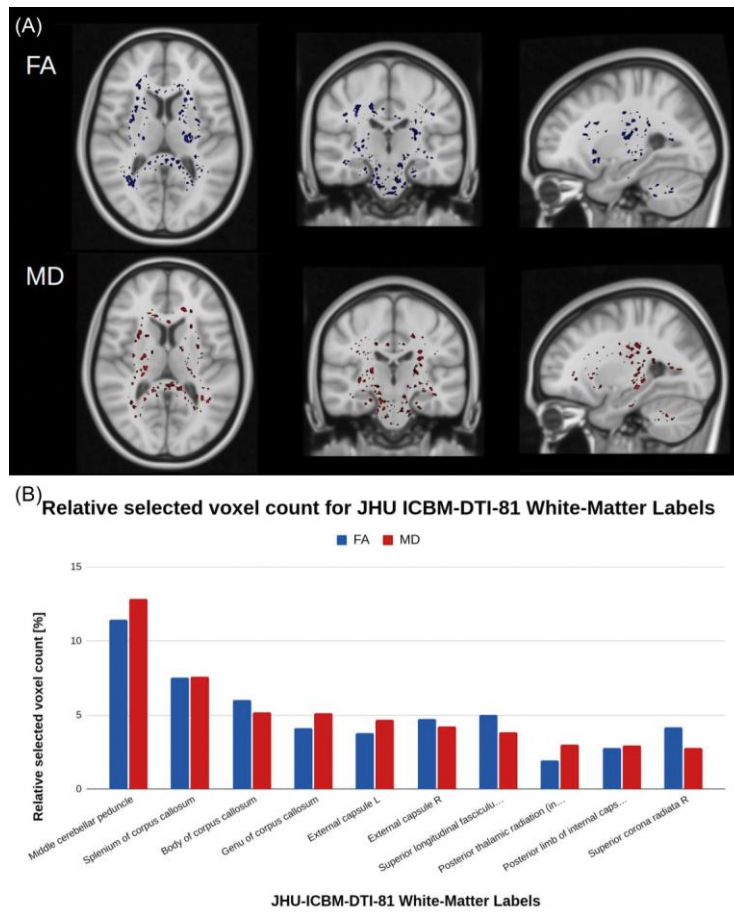


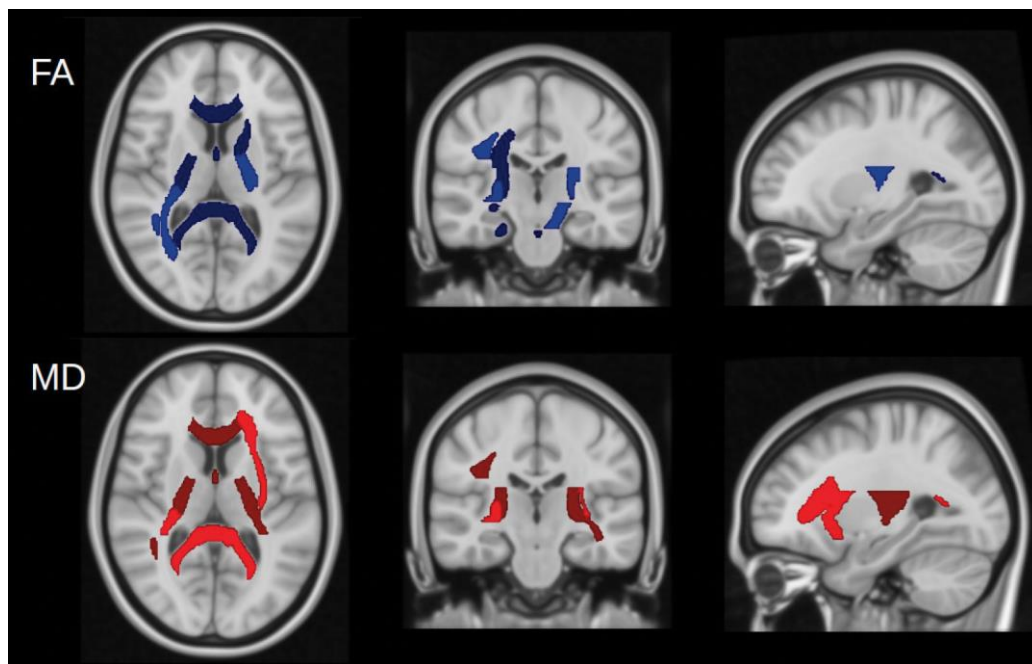
Figure 2. Flowchart of the analysis and prediction framework.



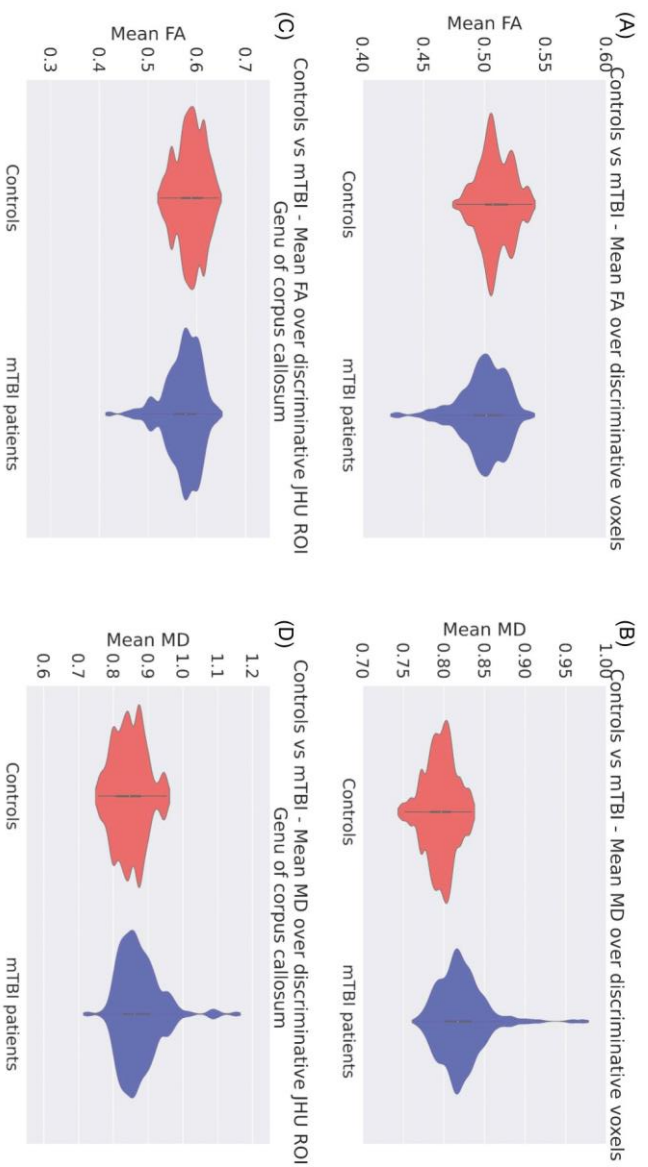
**Figure 3.** ROC curves for the 4-fold cross-validation linearSVCs classification between controls and mTBI patients based on: (A) age & sex, without imaging input, (B) non-harmonized FA and MD combined with age & sex voxel-wise (top row) and ROI-wise (bottom row), (C) ComBat harmonized 2 categories FA and MD combined with age & sex voxel-wise (top row) and ROI-wise (bottom row) and (D) ComBat harmonized 3 categories FA and MD combined with age & sex voxel-wise (top row) and ROI-wise (bottom row).



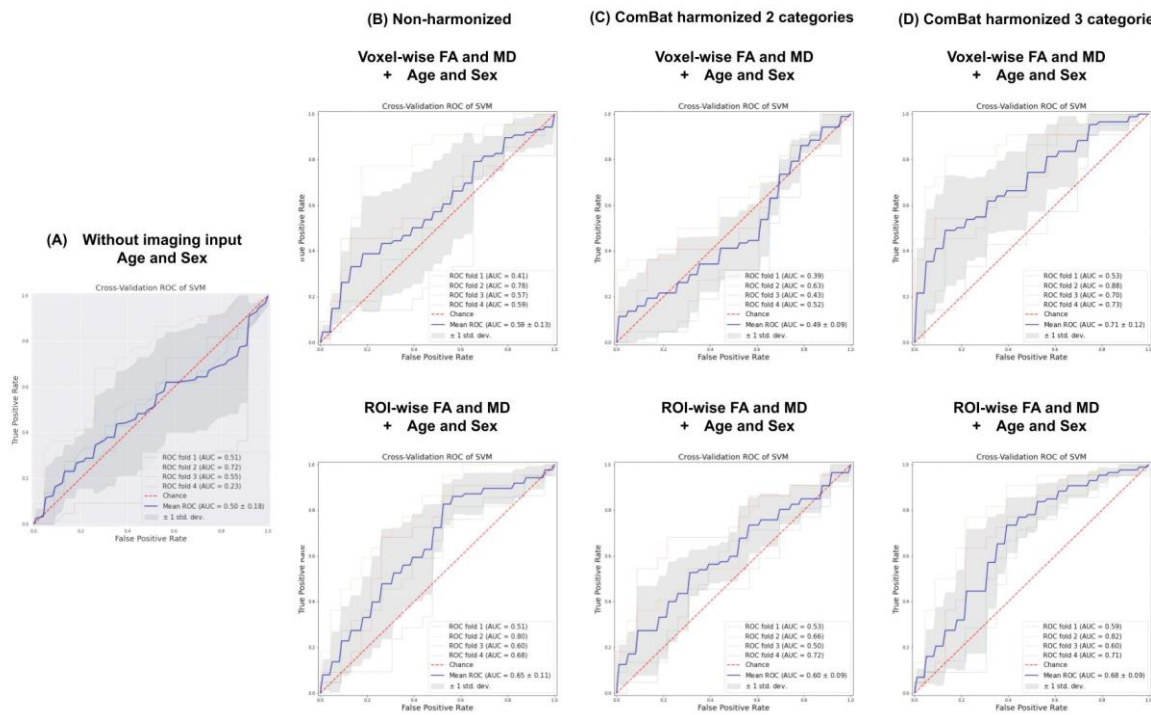
**Figure 4.** Location of the 10% most predictive 3-category harmonized ComBat FA and MD voxels identified via RFE algorithm, voxels identified in at least two folds were mapped to the JHU atlas (A) and the relative voxel count for the discriminative voxels (B) for the control vs mTBI classification. The most discriminative voxels identified were mCP - middle cerebellar, SCC - splenium corpus callosum, BCC - body corpus callosum, GCC - genu corpus callosum, EC-R - right external capsule, EC-L - left external capsule, SLC-R - right superior longitudinal fasciculus, pTR-L - left posterior thalamic radiation, pLIN-L - left posterior limb of internal capsule and SCR-R - right superior corona radiata.



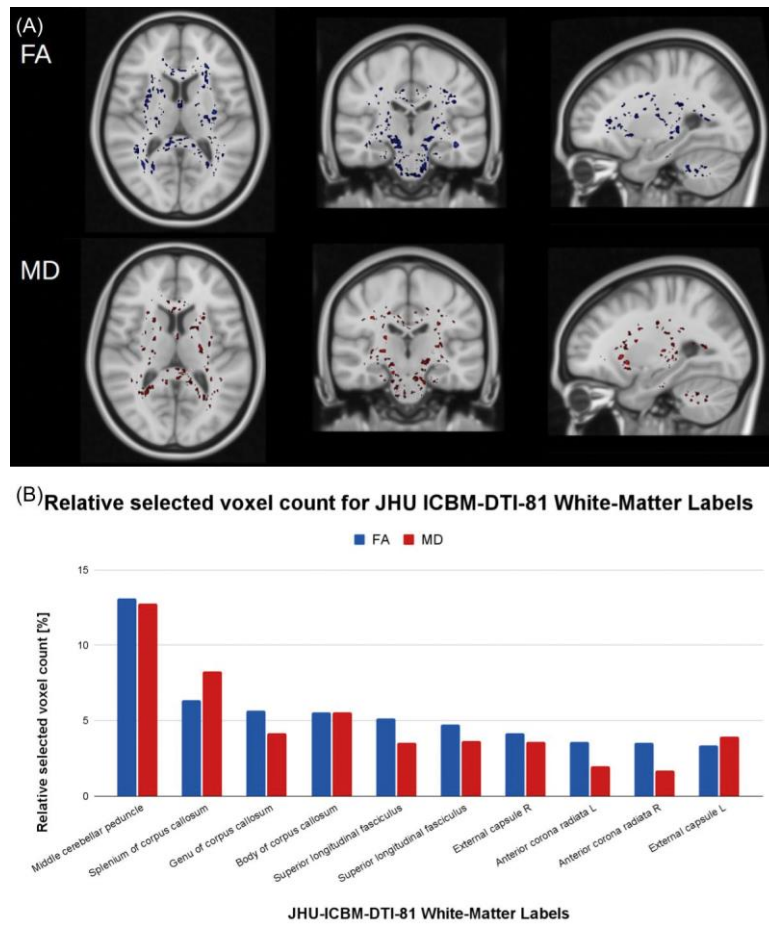
**Figure 5.** Location of the most predictive 3-category harmonized ComBat FA and MD JHU ROIs for the control vs mTBI classification. The identified most predictive JHU tracts were: GCC - genu of the corpus callosum, BCC - body of the corpus callosum, SCC splenium of the corpus callosum, ACR - anterior corona radiata, EC - external capsule, pTR - posterior thalamic radiation, SLF - superior longitudinal fasciculus, PCF - pontine crossing fibers, CP - cerebral peduncles and SCR - superior corona radiata.



**Figure 6.** Mean 3-category harmonized ComBat FA and MD for the most discriminative voxels (A and B) and discriminative ROI - genu of corpus callosum (C and D) for the control vs mTBI classification for the JHU atlas analysis.

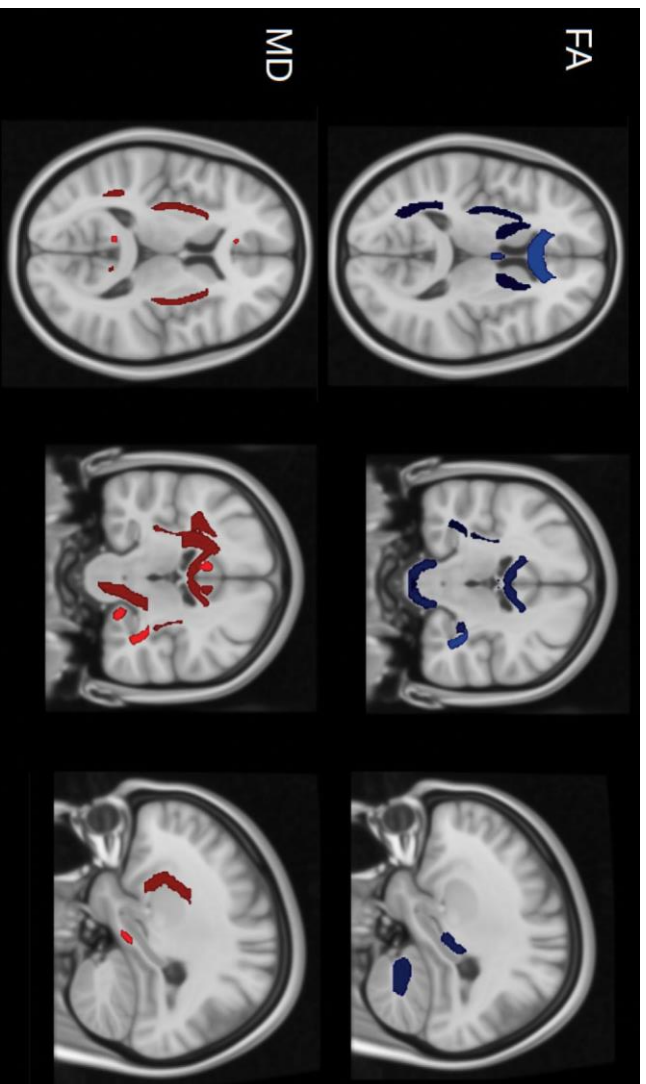


**Figure 7.** ROC curves for the 4-fold cross-validation linearSVCs classification between mTBI patients with complete and incomplete recovery based on: (A) age & sex, without imaging input, (B) non-harmonized FA and MD combined with age & sex voxel-wise (top row) and ROI-wise (bottom row), (C) ComBat harmonized 2 categories FA and MD combined with age & sex voxel-wise (top row) and ROI-wise (bottom row) and (D) ComBat harmonized 3 categories FA and MD combined with age & sex voxel-wise (top row) and ROI-wise (bottom row).

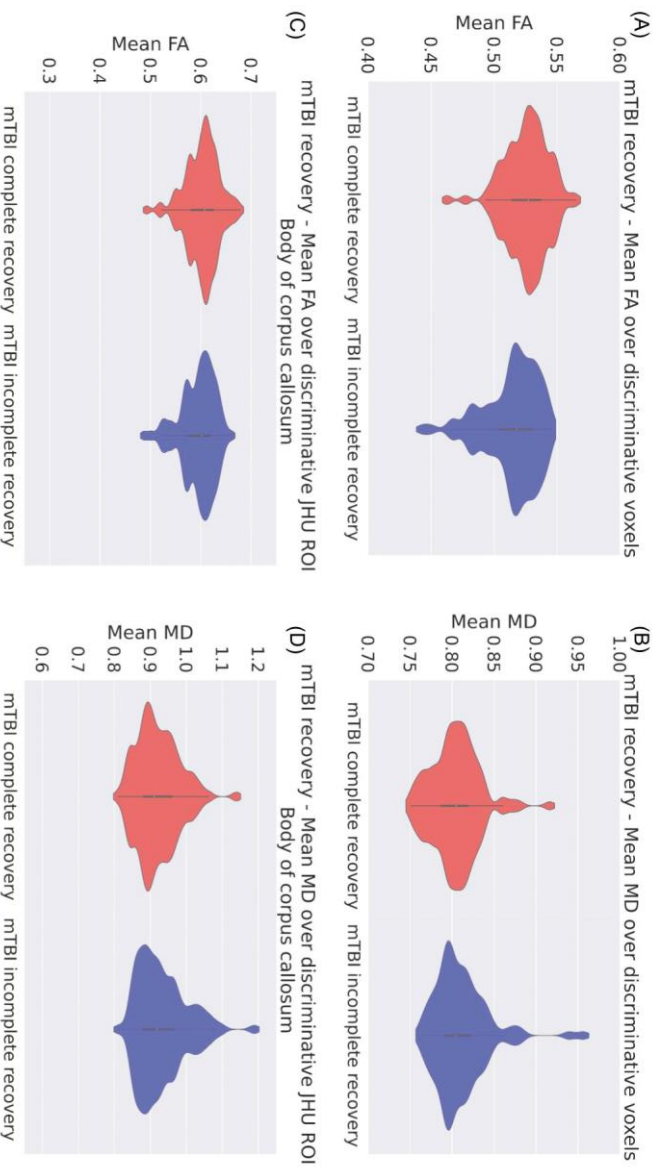


**Figure 8.** Location of the 10% most predictive 3-category harmonized ComBat FA and MD voxels identified via RFE algorithm, voxels identified in at least two folds were mapped to the JHU atlas (A) and the relative voxel count for the discriminative voxels (B) for the mTBI complete vs incomplete recovery prediction. The most discriminative voxels identified were mCP - middle cerebellar, SCC - splenium corpus callosum, GCC - genu corpus callosum, BCC - body corpus callosum, SLC-R - right superior longitudinal fasciculus, SLC-L - left superior longitudinal fasciculus, EC-R - right external capsule, EC-L - left external capsule, ACR-R - anterior corona radiata and ACR-L - left anterior corona radiata.





**Figure 9.** Most predictive 3-category harmonized ComBat FA and MD JHU ROIs for the mTBI complete vs incomplete recovery prediction. The identified most predictive JHU tracts were: GCC - genu of the corpus callosum, BCC - body of the corpus callosum, EC - external capsule, SCR - superior corona radiata, SS - sagittal stratum, mCP - middle cerebellar peduncles, ML - medial lemniscus and Cg - cingulum.



**Figure 10.** Mean Combat harmonization with 3 categories FA and MD for the most discriminative voxels (A and B) and discriminative ROI - the body of corpus callosum (C and D) for the mTBI complete vs incomplete recovery prediction for the JHU atlas analysis.