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1 **Do Soil and Water Conservation Practices Influence Crop Productivity and**
2 **Household Welfare? Evidence from Rural Nigeria**

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30 **Abstract**

31 One of the most serious challenges threatening Nigeria’s agricultural sustainability is land
32 degradation. Although this issue has received little attention in Nigeria, soil and water conservation
33 practices have been identified as a possible pathway out of the potential problems posed by land
34 degradation. Therefore, the central research question that this paper tries to address is the
35 following: Do adoption of soil and water conservative (SWC) practices affect crop productivity
36 and welfare? This paper used data collected by the International Institute of Tropical Agriculture
37 (IITA) from maize farmers in rural Nigeria. We used the propensity score matching (PSM),
38 inverse Probability Weighting Adjusted Regression model (IPWRA) approach and the linear
39 regression with endogenous treatment effect (LRETE) model to incorporate the typologies of SWC
40 practices, and then test how the model affects crop productivity and household welfare.
41 Additionally, multinomial logit was used to estimate the factors influencing the decision to adopt
42 single and multiple SWC practices. The estimates show that education, age of the household head,
43 access to credit, experience of drought, soil fertility, and occupational stress contribute to the
44 decision to adopt SWC practices. The casual effect estimates reveal that both single and multiple
45 adoptions of SWC practices had a positive and significant relationship with the crop productivity
46 and welfare of the adopters. Interestingly, our results show that the adoption of combined SWC
47 practices has a higher impact on crop productivity and welfare than single SWC practices. For
48 instance, the adoption of a combination of three SWC practices was found to increase crop
49 productivity and welfare by 27.55% and 38.23%, respectively versus 13.91% and 15.11% in the
50 case of single SWC practices. The study suggests that profile-raising agenda and efforts that focus
51 on promoting the adoption of combination of SWC practices should be designed and implemented
52 in order to enhance crop productivity and hence the welfare of the maize farming households in
53 Nigeria.

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55 **Key words:** Soil and water; yield; food security, poverty incidence; Nigeria

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66 1. Introduction

67 The contribution of smallholder agriculture is not limited to the production of food but also extends
68 to livelihood provision, particularly for rural population which constitutes approximately 75% of
69 the world's poor (Raj et al., 2022; Tang et al., 2022). Though there are combination of factors
70 affecting productivity and production of farming households. However, among other factors, the
71 success of agricultural production, especially in Sub-Saharan Africa (SSA), is threatened by the
72 harmful effect of climate crisis, soil fertility depletion as well as land degradation. According to
73 Lobell *et al.* (2011), the global food system is experiencing unprecedented stresses largely due to
74 climate change. Meanwhile, the rural regions of SSA countries are worst hit by the harmful effects
75 of climate change manifestations with regards to reduction of agricultural production than other
76 parts of the world (Shiferaw *et al.* 2014; Olagunju *et al.* 2019). For example, according to Palombi
77 and Sessa (2013), the average maize yield in Africa has been consistently lesser compared with
78 average global yield. Also, FAO (2019) reported the economic loss due to climate change to be
79 approximately 9% of GDP of African countries.

80 In other to respond to these, policymakers in SSA have promoted the development, dissemination
81 and adoption of different 'climate-smart' agricultural technologies, including soil and water
82 conservation practices (SWC) as a policy initiative aimed at enhancing productivity and welfare
83 of rural farming households as well as fostering farmers' resilience to climate change shocks
84 (Brouder & Gomez-Macpherson 2014). As a means for sustainable agricultural intensification,
85 the seminal paper of Brouder and Gomez-Macpherson (2014) highlighted three main practices
86 upon which SWC farming is based, and these include crop rotation, minimum soil disturbance
87 (otherwise known as minimum tillage), and crop residue retention. The objective of these practices
88 is to raise agricultural output improvement in soil nutrients and fertility and lessen risks associated
89 with weather shocks such as rainfall and drought shocks (Brouder & Gomez-Macpherson 2014).

90 Advocates of SWC claim that, besides increased yield and enhanced yield resilience, SWC has
91 implications for positive environmental externalities on the soil by raising its organic carbon
92 composition (Smith *et al.* 1998; Lal & Stewart 2010). Several SSA countries have keyed into
93 encouraging SWC practices owing to the variability of rainfall patterns and projected high
94 temperatures in these countries. For example, conservation farming is well supported by the
95 government of Zambia, likewise Nigeria among other African countries (Haggblade & Tembo
96 2003; Oni 2011). In Nigeria, the promotion of agricultural practices based on SWC date back in
97 the 1970s, and since then many non-governmental institutions have showed renewed interest in it
98 because of the productivity and welfare gains associated with them. Recently, the Federal
99 Government of Nigeria (FGN) launched a policy and strategy document entitled "The Agriculture
100 Promotion Policy" (APP) in which SWC is incorporated as one of the agricultural policy targets
101 for the country. However, despite these concerted efforts at promoting the adoption of the SWC,
102 it seems that the rate of adoption is still low, and this has raised the question about whether rural
103 farming households have taken advantage of the opportunities that are associated with the SWC
104 (Oni 2011; Arslan *et al.* 2014; Ng'ombe *et al.* 2014). For example, 6% and 3.9% adoption rates of
105 SWC practices in Zambia were reported by Ng'ombe *et al.* (2014) and Arslan *et al.* (2014),
106 respectively.

107 Studies on the impacts of adoption of SWC on productivity and welfare outcomes are well
108 established in the literature, albeit with differing conclusions. For example, Kassie *et al.* (2008)
109 examined the impact of SWC on productivity in northern Ethiopia and found that adoption resulted
110 in improved yield. The study conducted by Brouder and Gomez-Macpherson (2014) also
111 highlighted that the adoption of SWC practices led to improved labour productivity by about 15%
112 in Zambia. Similarly, Mango *et al.* (2017) found that adoption of SWC had positive impacts on
113 key livelihood outcomes in Zimbabwe, Malawi, and Mozambique. In addition, studies such as
114 Tesfayohannes *et al.* (2022) conducted for Ethiopia found that adoption of SWC positively impact
115 crop income, Uddin and Dhar (2016) for Bangladesh and Koch *et al.* (2019) for Brazilian Amazon
116 reported positive impacts of SWC practices on yield and farmers' welfare. In contrast, using a
117 propensity score matching approach, Abebe and Bekele (2014) found that adoption of soil and
118 water conservation program did not significantly improve household income, and crop yield in
119 Ethiopia while Nkala *et al.* (2011a) argued that SWC practices are associated with labour intensive
120 in Central Mozambique.

121 Likewise, Nkala *et al.* (2011b) concluded in their study in Mozambique that the returns from soil
122 and water conservation practices are not immediate and may not benefit the very poor farmers.
123 However, to the best of our knowledge, the literature on the impact of adoption of SWC practices,
124 particularly on productivity and welfare outcomes from the Nigerian perspective still remains
125 scarce. Our study aims at providing a detailed impacts of adoption of soil and water conservation
126 practices among rural farmers in Nigeria. Specifically, the study seeks to provide answers to the
127 following agricultural policy questions: What are the socio-economic factors influencing rural
128 farmers' decision to adopt SWC practices as a bundle or in isolation? What is the welfare impact
129 of adoption of SWC practices? And does the adoption of SWC practices increase yield of rural
130 farmers? Hence, this study hypothesis that adoption of SWC practices will have positive impact
131 on the productivity and welfare of the crop farmers. The SWC practices considered in this study
132 are incorporation crop residue in the soil, crop rotation, and practicing minimum soil disturbance
133 on the plot.

134 Our paper contributes to the empirical literature and policy debate in this area in three important
135 ways. First, in the Nigeria context, this study is the first, to the best of our knowledge, to explicitly
136 evaluate the impact of the adoption of SWC practices on productivity and welfare of farming
137 households. Given that low productivity and poverty are still major challenges facing farmers in
138 several developing countries, particularly in rural Nigeria, providing answers to the question of
139 how adoption of SWC practices can help to address the agriculture productivity constraints while
140 also improving welfare outcomes is hugely important for research and policy in this area. The
141 second contribution of this paper is in the use of linear regression with endogenous treatment effect
142 approach for obtaining unbiased and consistent estimates. This estimation approach can address
143 possible endogeneity issues in order to estimate the true causal impacts of adoption on outcomes
144 variables. Thirdly, this study will provide useful insights on the driving factors of enable adoption
145 of SWC practices in bundle or individually in the Nigeria context by employing a multinomial

146 logistic estimation technique. With the knowledge that SWC adoption rates in rural Nigeria and
147 many other developing countries are still low compared to the rest of the world (Arslan *et al.* 2014;
148 Brouder & Gomez-Macpherson 2014; Ng'ombe *et al.* 2014), highlighting the factors that influence
149 adoption is relevant in providing policy direction on best practices that will facilitate appropriate
150 dissemination strategies for extension purposes.

151 Our paper is structured into six parts. Section 2 provides related empirical literature followed by
152 Section 3 which presents the data used for the study. We present the estimation strategies in Section
153 4 followed by the presentation of results and discussions in Section 5. The final section concludes
154 the paper along with relevant policy recommendations emanating from our findings.

155

156 **2. Review of relevant literature**

157

158 Improved agricultural productivity has predominantly been associated with soil management
159 practices and farming systems which emphasize water conservation. Despite the plethora of
160 empirical studies demonstrating higher yields for adopters of SWC practices (Ellis-Jones &
161 Tengberg 2000; Abebe & Bekele 2014; Uddin & Dhar 2016; Mango *et al.* 2017), adoption rate in
162 Africa, Nigeria included, is rather low. Meanwhile, various studies (Kassie *et al.* 2008; Brouder &
163 Gomez-Macpherson 2014; Ng'ombe *et al.* 2014; Mango *et al.* 2017) have associated the poor
164 adoption rate to individual characteristics, household characteristics, plot-level characteristics, and
165 institutional related characteristics. Additionally, rural farmers find it difficult to adjust to evolving
166 farming systems and creasing intensity of land use, as evidenced in Kenya, Uganda and Tanzania
167 (Ellis-Jones & Tengberg 2000). Due to this, some authors have suggested that eliciting farmers'
168 participation in the design and implementation of SWC technologies could improve adoption rate
169 (Bekele & Drake 2003; Manda *et al.* 2016).

170

171 Adoption of new technology can have different effects on both yield and crop income. In some
172 cases, it results in an increase in output and invariably profits although at the expense of an increase
173 in labor use, while in other cases, labor cost is saved but with no significant increase in output and
174 unclear effects on income generation. Nevertheless, the potential for increasing rural incomes
175 through the diffusion of modern farming techniques such as SWC practices remains substantial.
176 However, technology is more likely to generate positive benefits for the poor where initial assets
177 and income inequality are lower and related infrastructure and social services are well developed
178 (Awotide *et al.* 2015). Studies (Wossen *et al.* 2015; Wossen *et al.* 2017a; Abdoulaye *et al.* 2018)
179 have also suggested multiple pathways through which the adoption of agricultural innovation like
180 SWC practices can improve crop productivity growth which converts to improved welfare through
181 improved crop income changes. Explicitly, direct impacts of adoption of SWC practices could be
182 observed through an increase in the crop yield per hectare.

183

184 Consequentially, an increase in crop yield will likely lead to an increase in revenue generated from
185 the sales of crop, and thus, leading to increased crop income and purchasing power. Additionally,
186 indirect impact could occur when an increase in agricultural production stimulates both food and
187 non-food expenditure in the rural as a response to higher domestic crop production. Therefore, an
188 improvement in the food and non-food expenditure of the farmers is evidence of improved
189 wellbeing and reduction of poverty in rural households headcount (Wossen *et al.* 2017a;
190 Abdoulaye *et al.* 2018). It can be concluded that the adoption of SWC practices will lead to
191 increase in crop productivity measured as yield and crop income. Consequentially, improvement
192 in productivity outcomes will lead to improving welfare and poverty reduction.

193

194 **3. Data and Descriptive Statistics**

195

196 The data used in this study is nationally representative. Household survey data collected by the
197 International Institute of Tropical Agriculture (IITA) under the Drought Tolerant Maize Variety
198 for Africa project in Nigeria between November 2014 and February 2015 was used for this study.
199 In order to reduce sampling error, a stratified sampling approach was used to select a representative
200 sample of crop farming households in major maize producing areas in Nigeria. The multi-stage
201 stratified sampling proceeded in the first stage with the division of states in Nigeria into
202 homogenous five sub-groups. The sub-groups were obtained based on the size of land devoted to
203 maize cultivation by the farmers. Sixteen states were selected randomly from the sub-groups. The
204 second stage was the selection of Enumeration Areas (EAs), proportional to the size of each Local
205 Government Areas (LGA) and equally based on the recommendation of the National Bureau of
206 Statistics (NBS) for obtaining a nationally representative data: two communities were randomly
207 selected from the selected EAs. In the third stage, 10% of the LGAs were selected in each of the
208 selected states, a total of 2334 farming households were randomly selected from the selected
209 households.

210

211 In the survey, data were collected on detailed information on socioeconomic and demographic
212 characteristics of the maize farmers and households, household expenditure on food and non-food
213 items, information on adoption of soil and water conservative practices, outputs of maize, income
214 from maize sold and other various sources. The treatment variables, adoption of soil and water
215 conservative practices, were constructed using the following survey questions: “*Did you adopt any
216 soil and water conservation practices?*” “*Did you incorporate crop residue in the soil?*” “*Did you
217 rotate the planting of your crop?*” “*Did you practice minimum soil disturbance on your plot?*”
218 Based on these questions, we constructed a dummy variable that took on the value of one if the
219 farmer had used any of the aforementioned SWC practices and zero otherwise. However, we
220 further lumped different SWC practices to construct two or three combinations of SWC practices.
221 For instance, a farmer will get the value of one if she/he adopted the use of crop residue
222 incorporation and minimum soil disturbance and zero otherwise hence, we tagged this variable as
223 “MR-only”. Also, if a farmer adopted the use of the three combinations i.e. crop residue
224 incorporation, crop rotation, and minimum soil disturbance and zero otherwise we tagged the
225 variable as “MRC-only”.

226

227 The outcome indicators used for measuring the impact of SWC practices adoption are variables
228 related to productivity and welfare. Firstly, following (Abebe & Bekele 2014; Arslan *et al.* 2014;

229 Wossen *et al.* 2017a), the productivity outcome-related variable is maize yield measured in
 230 kilogram per hectare. The decision to use maize yield was also informed by the fact that the data
 231 was primarily collected from maize farmers. Based on the summary statistics in our data, average
 232 maize yield was 1153.14 kg/ha. However, average maize yield for adopters of SWC practices
 233 (1283.45 kg/ha) is significantly higher than non-adopters (990.57 kg/ha) and the difference is
 234 statistically significant at 1% significance level (see Table 1). Our second productivity indicator is
 235 the per capita crop income measure in United States Dollars. This value was calculated using
 236 farmer estimates of the total harvest value for maize. The results show that the over mean crop
 237 income is 150 USD. Consistently, crop income of the adopter of SWC practices (165.898) is
 238 significantly higher than non-adopters of SWC practices.

239
 240 In addition, we used three measures to capture the welfare of the maize farmers. Firstly, we used
 241 per capita expenditure. In principle, there is possibility of increase in expenditure (food and non-
 242 food) as a result of increase in income. Therefore, we followed (Awotide *et al.* 2015; Ogunniyi *et*
 243 *al.* 2017; Wossen *et al.* 2017a) to measure welfare using per capita expenditure. Secondly, we used
 244 per capita food expenditure as a measure of welfare. Many times, per capita food expenditure is
 245 used as a measure of food security. However, food security has been regarded as a good measure
 246 of welfare (Ogunniyi *et al.* 2017; Wossen *et al.* 2017a; Abdoulaye *et al.* 2018). Finally, following
 247 previous studies (Foster *et al.* 1984; Awotide *et al.* 2015) on poverty, we used the Foster-Greer-
 248 Thorbecke [FGT] of expenditure-based poverty measure to generate a poverty line that categorizes
 249 the poverty status of the farmers as poor and non-poor. A farmer is given the value of 1 implying
 250 poor if the mean per capita expenditure is less than two-thirds of the mean and zero otherwise.

251
 252 The treatment variables shows that about 27.8% i.e 28% of the maize farming households adopted
 253 the combination of the three (“MRC-only”) soil and water conservation (SWC) practices, 34.2%
 254 adopted the mixture of minimum soil disturbance and crop residue retention (“MR-only”), and 38
 255 % adopted the mixture of minimum soil disturbance and crop rotation (“MC-only”). On the other
 256 hand, 71% adopted minimum soil disturbance (“M-only”), 45.7% adopted crop residue retention
 257 while 50.7 % adopted crop rotation.

258 **Table 1: Summary Statistics of pooled, adopters and non-adopters of SWC practices**

| Variable | Description of variables | Pooled | | Adopt |
|--------------|--|--------|-------|-------|
| | | Mean | S.D | Mean |
| Controls | | | | |
| age_new | Age of household head (years) | 47 | 14 | 47 |
| marrital_s~2 | Marital status (1 if married; 0 otherwise) | 0.88 | 0.32 | 0.89 |
| Sex | Gender of household head (1 if male; 0 otherwise) | 0.82 | 0.18 | 0.82 |
| Edy_yrsc | Education level of household head (years) | 7.50 | 5.77 | 7.29 |
| Fieldsizec | Total size of farm land owned by household head (hectare) | 4.42 | 3.16 | 4.53 |
| ever_willing | 1 if household head is willing to risk adopting new maize variety; 0 otherwise | 0.71 | 0.46 | 0.75 |
| farmn_exp ~w | Experience of household head in farming activities (years) | 25.49 | 16.28 | 26.08 |
| house_owne~p | 1 if household owned productive assets, 0 otherwise | 0.87 | 0.34 | 0.88 |
| main_occup | Main occupation of household head (1 if farming; 0 otherwise) | 0.77 | 0.42 | 0.79 |
| off_farm_o~p | 1 if household head is non-farm employment; 0 otherwise | 0.29 | 0.45 | 0.29 |
| access_cre~t | 1 if household head has access to credit; 0 otherwise | 0.15 | 0.36 | 0.17 |

| | | | | |
|----------------------------|--|---------|-------|---------|
| drought | 1 if farming household ever experienced drought; 0 otherwise | 0.18 | 0.39 | 0.20 |
| mem_input ~s | 1 if household head belong to any social group; 0 otherwise | 0.62 | 0.48 | 0.66 |
| Ever_usedf~t | 1 if farming household ever used fertilizer during the cropping season; 0 otherwise. | 0.68 | 0.47 | 0.70 |
| agro_ecol | 1 if Savannah, 0 otherwise | 0.35 | 0.48 | 0.30 |
| stress | 1 if household head ever experience occupational stress; 0 otherwise. | 0.65 | 0.48 | 0.67 |
| poor_soil ~y | 1 if farming household used land with poor soil fertility; 0 otherwise. | 0.06 | 0.23 | 0.03 |
| hhsiz2 | Number of people in the household | 7 | 3. | 7 |
| Do_u_own | 1 if household head own farm asset; 0 otherwise | 0.95 | 0.23 | 0.96 |
| access_exte | 1 if household head has access to extension service; 0 otherwise | 0.52 | 0.50 | 0.52 |
| NWest | 1 if household is resident in northwest geo-political zone; 0 otherwise | 0.35 | 0.48 | 0.30 |
| SSouth | 1 if household is resident in south-south geo-political zone; 0 otherwise | 0.05 | 0.21 | 0.05 |
| SEast | 1 if household is resident in south east geo-political zone; 0 otherwise | 0.04 | 0.20 | 0.04 |
| NCentral | 1 if household is resident in north central geo-political zone; 0 otherwise | 0.27 | 0.44 | 0.33 |
| NEast | 1 if household is resident in northeast geo-political zone; 0 otherwise | 0.05 | 0.21 | 0.05 |
| Outcome variables | | | | |
| Maize income (\$US) | Per capita maize income in US Dollars equivalent | 150.11 | 12.88 | 165.90 |
| Maize Yd (kg/ha) | Average maize yield measured in kilogram per hectare | 1153.14 | 70.57 | 1283.44 |
| Per_cap_(\$US)* | Per capita expenditure in US Dollars equivalent | 131.52 | 14.44 | 143.78 |
| Per_cap_Fd(\$US) | Per capita food expenditure in US Dollars equivalent | 110.62 | 7.09 | 123.89 |
| Poverty count (%) | Poverty headcount measured as poverty incidence [percentage] | 0.46 | 0.09 | 0.49 |
| Treatment variables | | | | |
| adoption_s~n | Adoption of at least one SWC practices; 0 otherwise | 0.52 | 0.49 | |
| min_soil_dis | Adoption of minimum soil disturbance; 0 otherwise | 0.71 | 0.45 | |
| crop_res_r~n | Adoption of crop residue retention; 0 otherwise | 0.45 | 0.49 | |
| crop_rotaion | Adoption of crop rotation; 0 otherwise | 0.50 | 0.50 | |
| MC | Adoption of minimum soil disturbance and crop rotation; 0 otherwise | 0.38 | 0.48 | |
| RC | Adoption of crop residue retention and crop rotation; 0 otherwise | 0.23 | 0.42 | |
| MR | Adoption of minimum soil disturbance and crop residue retention; 0 otherwise | 0.34 | 0.47 | |
| MRC | Adoption of the three SWC practices; 0 otherwise | 0.278 | 0.383 | |
| Total | | | 2,334 | 1,2 |

259 *Note that the official exchange rate was (1 US\$ = 280 Naira,) during the survey period

260 4. Empirical strategy

261 4.1 Multinomial logit model

262

263 To determine the factors influencing the choice of soil and water conservation (SWC)
264 practices, we estimated a multinomial logit model based on the familiar random utility
265 framework (Maddala 1986; Bezu & Holden 2014). The response probabilities for our
266 multinomial logit model with seven alternatives can be given as:

$$\Pr_{(y=\frac{x}{j})} = \frac{\exp[\beta_0 + \sum_{i=1}^k \beta_j X_{ij}]}{1 + \exp[\beta_0 + \sum_{i=1}^k \beta_j X_{ij}]} \dots \dots \dots j = 1,2,3 \dots \dots \dots 7 \quad (1)$$

268
 269 where j denotes the alternative soil and water conservation (SWC) practices, that include: M-
 270 only”, “R-only”, “C-only”, “RC-only” “MC-only”, “RM-only” and “RCM-only”. X is a vector
 271 that denotes factors that influence the choice of by the farmer. The coefficients on these
 272 explanatory variables differ for each alternative. The factors that are expected to influence
 273 choice of the farmers' soil and water conservation (SWC) practices include both individual-
 274 level factors and household level factors. The individual-level factors are characteristics of the
 275 farmers (such as age, gender, marital status), the endowment of the farmer and economic
 276 activities. The endowment of the farmer includes own human capital such as education as well
 277 as networks such as belonging to a social group (i.e. a proxy for social capital). Economic
 278 related variables include main occupation and engagement in off-farm occupation. The
 279 household factors include land holdings, size of the household and demographics. In addition,
 280 we included geopolitical zone dummies to control for regional variations. We also included
 281 institutional related variables such as access to credit and access to extension information.
 282 Therefore, the $\Pr_{(y=\frac{x}{j})}$ (dependent variable) are non-adoption=0, M-only” =1, “R-only” =2, “C-
 283 only =3, “RC-only” =4, “MC-only” =5, “RM-only” =6 and “RCM-only” =7) while the
 284 explanatory variables are listed in Table 1.

285
 286 **4.2 Empirical methods for estimating the impact**

287 In principle, in order to measure the accurate impacts of innovation or technologies, there is
 288 a need to control for both unobservable and observable characteristics through random
 289 assignment of individuals into treatments. Firstly, we fitted propensity score matching
 290 (PSM) to address this possible problem. The rudimentary mechanism behind the use of PSM
 291 is to match each adopting farmer with a similar non-adopting farmer and then estimate the
 292 average difference in the outcome variables (productivity and welfare) between the
 293 treated and untreated households. Following (Heckman & Navarro-Lozano 2004; Imbens &
 294 Wooldridge 2009; Cattaneo *et al.* 2013; Kassa *et al.* 2013; Uddin & Dhar 2016), the average treatment
 295 effect on the treated (ATT) is defined as:

$$296 \quad ATT = E[Z(1) - Z(0)|Q = 1] \quad (2)$$

297 where $Z(1)$ and $Z(0)$ are outcome indicators (productivity and welfare of the adopters and non-
 298 adopters, respectively). Q is a treatment indicator. However, we can only observe $E[Z(1)|Q = 1]$
 299 in our data set and $E[Z(0)|Q = 1]$ is missing. In essence, we cannot observe the productivity and
 300 welfare of adopting farmers had they not adopted the SWC practices, once they are adopters. The
 301 magnitude of self-selection bias is formally presented as:

$$302 \quad E[Z(1) - Z(0)|Q = 1] = ATT + E[Z(0)|Q = 1] - E[Z(0)|Q = 0] \quad (3)$$

304 Once the treated (adopting) farmers have a comparison group (counterfactual), the build-up of
 305 PSM necessitates the reduction in the bias due to observables. Additionally, once the farmers are
 306 matched with observable “characteristics”, PSM assumes that there are no systematic differences
 307 in unobservable characteristics between treated and untreated households. Given this assumption
 308 of conditional independence and the overlap conditions, ATT is computed as follows:

310 $ATT = E[Z(1)Q = 1, p(x) - E[Y(0)|Q = 0, p(x)]$ (4)

311
 312 The presence of misspecification in the modeling of propensity score may result in ATT estimates
 313 from PSM which can still be biased. A prospective “therapy” for bias due to misspecification is
 314 the use of inverse probability weighted adjusted regression. Consistency in estimates from
 315 IPWRA, in the presence of misspecification, will likely be possible for treatment or outcome
 316 model, but not both. Consequently, the inverse probability weighted adjusted regression
 317 estimator has “double advantage” with the property of double robustness that guarantees
 318 dependable and consistent estimates. Suppose that the outcome model is represented by a linear
 319 regression function of the form $Z_i = \delta_i + \omega_i X_i + \varepsilon_i$ for $i \in [0, 1]$ and the propensity scores are given
 320 by $p = (x; \gamma)$. The first stage of the IPWRA is for us to generate the propensity scores using the
 321 observables as $p(x; \hat{y})$. The second stage is, therefore, to use linear regression model to estimate
 322 for non-adopters (δ_0, ω_0) and adopters (δ_1, ω_1) using inverse probability weighted least squares
 323 as:

324 $\min_{\delta_0, \omega_0} \sum_i^N (Z_i - \delta_0 - \omega_0 X_i) / p(x; \hat{y})$ if $Q_i = 0$ (5)

325
 326 $\min_{\delta_1, \omega_1} \sum_i^N (Z_i - \delta_1 - \omega_1 X_i) / p(x; \hat{y})$ if $Q_i = 1$ (6)

327
 328 The ATT is then computed as the difference between Eq. (5) and Eq. (6):

329
 330 $ATT = \frac{1}{N_W} \sum_i^{N_W} [(\widehat{\delta}_1 - \widehat{\delta}_0) - (\widehat{\omega}_1 - \widehat{\omega}_0) X_i]$ (7)

331
 332 where $(\widehat{\delta}_1, \widehat{\omega}_1)$ are estimated inverse probability weighted parameters for adopting farmers while
 333 $(\widehat{\delta}_0, \widehat{\omega}_0)$ are estimated inverse probability weighted parameters for non-adopting farmers. Finally,
 334 N_W stands for the total number of farmers that adopted the SWC practices. In view of this, we
 335 have employed a linear regression with endogenous treatment effect (LRETE) model that accounts
 336 for endogeneity (Lewbel 2007; Awotide *et al.* 2015).

337
 338 $Y_1 = X_1 \omega_1 + \alpha_1$ (8)

339 $Y_0 = X_0 \omega_0 + \alpha_0$ (9)

340 Equations (8) and (9) are the two potential outcomes equations in the two possible states (adopter
 341 non-adopter) of the farmers.

342 $T^* = Z_T \psi_T + \alpha_T \dots \dots \dots$ (10)

343 The estimate of productivity and welfare indicators is represented as $T(Z)$; meanwhile observed
 344 treatment represents $T(Z) = 1$ depicting the adoption of SWC practices by the farmers and
 345 $T(Z) = 0$ reveals otherwise, the T^* is a latent variable which generates $T(Z)$ thus:

346
 347 $T(Z) = 1\{T^*(Z) \geq 0\} = 1\{X_\varphi + \alpha_\rho\}$ (11)

348
 349 The (ATT), is the improved productivity and welfare for farmers that selects the adoption of SWC
 350 practices thus:

351

352
$$ATT = (x, z, T(z) = 1) = E(\mathbb{N}|X = x, Z = z, T(z) = 1)$$

353
$$(12)$$

354
$$= x_1(\omega_1 - \omega_0) + E(\alpha_1 - \alpha_0 | \alpha_T \geq z_1 \emptyset)$$

355

356 To achieve this, we used a relevant instrument. We used access to climatic information of the
 357 farmer. We assume that access to climate information may reduce ambiguity and can assist
 358 farmers in decision-making process especially on types of technologies to adopt as some
 359 measure adaptation strategies to climate change. Moreover, access to climate information has
 360 the prospect of improving agriculture resilience and tenacity to climatic shocks (Roudier 2012;
 361 Mabe *et al.* 2014). This instrument is correlated with SWC practices but with no correlation
 362 with productivity and welfare indicators (see validity test in appendix C). The instrument that
 363 we use is exogenous by definition. It is assumed that it is not directly related to productivity
 364 and welfare indicators other than through the decision to adopt SWC practices.

365

366 **4.3 Foster, Greer and Theobcke (FGT) analysis**

367

368 This paper used the standard FGT (Foster *et al.* 1984), to generate the poverty profile for the
 369 children across the geopolitical zones (GPZ's) in Nigeria. FGT takes the form:

370
$$P_\alpha(y, z) = \frac{1}{N} \sum_{i=1}^n \left(\frac{Z - Y_i}{Z} \right)^\alpha \quad (12)$$

371 Z = the relative poverty line

372 n = number of the maize farmers below the poverty line

373 N = Total number of maize farmers sampled

374 Y_i = estimated per capita expenditure scale (of the i^{th} household

375 $Z - Y_i$ = poverty gap of the i^{th} household

376 $\frac{Z - Y_i}{Z}$ = poverty gap ratio

377 α = poverty aversion parameter, with values: 0, 1, 2

378 $\alpha = 0$, equation (1) gives the poverty headcount

379 $\alpha = 1$, equation (1) gives the poverty depth

380 $\alpha = 2$, equation (1) gives the poverty severity index

381 **5. Results and Discussion**

382 **5.1 Socio-Economic and Institutional Characteristics**

383 Table 1 presents the summary statistics of the key variables of interest in the present study. The
 384 data show that about 52% of farmers have adopted at least one of the soil and water conservation
 385 (SWC) practices. Hence, the disaggregation between adopters and non-adopters was based on the
 386 adoption of at least one of the soil and water conservation (SWC) practices. The average household

387 size is about 6.8 i.e 7 members and a mean of 47.45 years of age. In addition, the majority of the
388 participants recorded a mean of 7.5 years educational attainment. The study further shows that
389 81% male headed households while 88% are married. The study shows that only 15% of the
390 respondents had access to credit facilities with the slight difference between the access level of
391 adopter (17%) versus non-adopters (14%). This result suggests that access to credit may give an
392 edge to the adopters over the non-adopters. Membership of an association is critical social capital
393 that is key for improving livelihoods of farming households. The study shows that 66% percent of
394 the adopters belong to at least one social group while 59% of the non-adopters belong to a social
395 group.

396 **5.2 Factors influencing the adoption of SWC practices: Multinomial Logit Regression (MNL)**

397 The results from the Multinomial Logit Regression model used to examine factors influencing the
398 adoption of dynamics of soil and water conservative (SWC) practices are presented in Table 2.
399 The base category to which we compare the results is non-adopters of any of the SWC practices.
400 We checked for multicollinearity for the variance inflation factor (VIF) and contingency
401 coefficients (CC). The results from the VIF values (see Appendix) have shown that variance
402 inflation factors (1.84) for all variables are less than 10 and none of the tolerance values were
403 below 0.40, which indicates all the continuous explanatory variables have no serious
404 multicollinearity concerns. Correspondingly, the values recorded for the contingency coefficients
405 show that no multicollinearity concerns among dichotomous variables used in the MNL model.
406 Based on the collinearity diagnostics, the continuous and dummy variables hypothesized were
407 retained in the model. Preceding the MNL model, we used the Hausman test and the seemingly
408 unrelated post-estimation procedure (SUEST) to test for the validity of the independence of the
409 irrelevant alternatives (IIA) assumptions.

410
411 The results of the tests show that both tests failed to reject the null hypothesis of independence of
412 the soil and water conservation practices, suggesting that the multinomial logit (MNL)
413 specification is appropriate to model soil and water conservation practices of smallholder farmers.
414 The model considerably justifies its use as it shows perfect goodness of fit in relation to variables
415 and data. The Wald test rejects the null hypothesis that all coefficients are jointly equal to zero
416 [LR $\chi^2(203) = 1175.75$; $p = 0.000$]. In principle, the coefficients in multinomial regression
417 models are often calculated and conveyed in respect to the base outcome and they might not easy
418 to interpret in a direct form as compared to other linear models (Wooldridge 2010). However, the
419 signs of the coefficients are informative and, in addition, the prediction of the average marginal
420 effects, which also provide useful insights into the relationship between the independent and
421 dependent variables.

422
423 In all the options of SWC practices considered, the age of the household head has consistent
424 positive and statistically significant correlation with choice of conservative farming adopted by
425 the farmers. This finding indicates that the likelihood of adoption of SWC practices increases as
426 the farmer gets older which implies that the adoption of SWC is more preferred among older
427 farmers than among the younger ones. The probable reason for this may be associated with the
428 premise that older farmers could adopt SWC practices because they have more years of farming
429 experience that helps them to quickly recognize erosion problems (Amsalu & De Graaff 2007)
430 and adequate amassed social and physical capital to satisfy their demands (Manda *et al.* 2016).

431

432 The probabilities of adopting SWC practices were found to be positive and significant for a
433 household headed by a male. However, in previous studies (Asfaw & Admassie 2004; Bayard *et al.*
434 *et al.* 2007; Temesgen *et al.* 2014) found a mixed relationship (positive or negative) has been found
435 regarding the association of gender of the household head with the adoption of SWC practices. In
436 this study, our positive relationship can be explained by the fact that male farmers are considered
437 to have access to pool of productivity-enhancing information owing to social capital and culture
438 of male-inclusive system in rural Nigeria. Additionally, as compared to their female counterparts,
439 male farmers are high business risk-takers. Our finding is consistent with the findings of Danso-
440 Abbeam, (2022) which noted that male-headed households have more access to information about
441 new technologies and ready to take the risk of adoption than female-headed households.

442 In line with *a priori* expectations and previous literature (Bayard *et al.* 2007; Shiferaw *et al.* 2014;
443 Temesgen *et al.* 2014; Manda *et al.* 2016; Ogunniyi *et al.* 2017), education positively influences
444 the decision to adopt SWC practices by the farmers. Interestingly, the result reveals that the
445 probability of adopting more than one SWC practices increase with education. For instance,
446 education increases the adoption of “M-only” with 21 % while adoption of the three SWC practices
447 together (“RCM-only”) was increased by 23%. Studies (Sidibé 2005; Kassa *et al.* 2013; Ogunniyi
448 *et al.* 2017) have noted that education coupled with higher years of farming experience increases
449 farmers’ chance to have access and utilize useful information relevant to farming activities.
450 Additionally, education improves farmer’s willingness to participate and awareness of probable
451 positive feedbacks from local natural resource management and conservation activities.

452 In relation to access to credit, we found a positive and significant association with the adoption of
453 SWC practices. However, the extent differs between single and joint SWC practices. With the
454 exception “M-only”, the probabilities of adopting SWC practices is higher among single adopters
455 (“R-only” and C-only”) than the combination of SWC practices (RC-only, MC-only, RM-only,
456 and RCM-only). The probable reason for this outcome may be associated with higher investment
457 needed to combine SWC practices than single SWC practices. Studies (Kandlikar & Risbey 2000;
458 Gbetibouo 2009; Temesgen *et al.* 2014) have ascertained that farmers with more financial and
459 other productivity-enhancing resources at their disposal are able to invest in the use of SWC
460 practices.

461 Additionally, credit availability can increase the chance of switching or combining SWC practices
462 to suit the forecasted climate change related to the farming areas (Shiferaw *et al.* 2014; Ogunniyi
463 *et al.* 2017). We assessed the relationship of drought experienced by farmers in the last five years
464 in relation to adoption of SWC practices. The results show that the experience of drought is
465 positive and significantly influences the decision of farmers to adopt options related to minimum
466 soil disturbance (“M-only”, “MC-only”, “RM-only” and “RCM-only”) as a measure of SWC
467 practices. The study by Moraru and Rusu (2010) has argued that higher soil water retention using
468 minimal soil disturbance is a way of reducing and alleviating drought. This further suggests that
469 drought as an indicator of climate change is a key driver of adopting SWC practices.

470 The influence of occupational stress (farming) was estimated in relation to the adoption of SWC
471 practices. The results show that stress from farming activities is capable of reducing the probability
472 of farmers adopting any of the SWC practices. It is well known in the relevant literature (Gidron
473 *et al.* 2012; Starcke & Brand 2012; Pabst *et al.* 2013) that stress influences decision-making
474 process, however the magnitude and direction of influence is, in most cases, context-dependent.
475 Studies (Myers *et al.* 1992; Baradell & Klein 1993) have shown that occupational stress prevents

476 farmers from taking necessary safety precautions even when they are cognizant of how dangerous
477 is it not to adopt SWC practices. Meanwhile, the use of SWC practices has been identified as a
478 pathway out of the cycle of poor soil fertility. The results show that poor soil fertility increases the
479 probability in all the SWC practices considered. The highest probabilities were recorded in the
480 adoption of minimum soil disturbance related options (“M-only”, “MC-only”, “RM-only” and
481 “RCM-only”) as compared to other options. Similar findings were found in the study of (Gidoi *et al.*
482 *2013*; Kpadonou *et al.* *2017*), namely that poor soil fertility positively influences decision to
483 adopt SWC practices.

484 The size of the household has both positive and negative relationships with the adoption of SWC
485 practices. The results show that an increase in the size of household will increase the probabilities
486 of the SWC practices such “M-only”, “R-only”, “C-only”, “RC-only”, “MC-only”. The possible
487 reason for this may be that larger household size relaxes the anxiety of farmers to take up labor-
488 intensive adaptation strategies like SWC practices and the use of irrigation that demand high labor
489 especially during the peak period in the production season. The negative relationship with the
490 adoption of “RM-only” and “RCM-only” may be associated with the fact that farmers with large
491 household size might involuntarily divert proportion of their labor force into non-farm activities
492 to generate additional income to complement farm income and reduce consumption demands
493 (Shiferaw *et al.* *2014*).

494 Institutional variables such as extension services are essential sources of information especially on
495 agronomic practices as well as on climate. Our results show that access to extension services
496 positively and significantly influences the adoption of SWC practices. Studies (Bekele & Drake
497 *2003*; Asrat *et al.* *2004*) have shown that an increase in access, and frequency of access to extension
498 services and information, have increased the use of specific SWC practices and irrigation. The
499 pathway of influence was identified that access to extension services increases the understanding
500 of farmers on land degradation problems and soil conservation practices and hence may perceive
501 SWC practices to be a pathway out of the possible adverse effect.

502 The regional dummies of the northern region of Nigeria show a positive relationship with adoption
503 of all the categories of soil and conservation practices considered. The northern region of Nigeria
504 is typically known as a dry area with fewer rainfall periods as compared to the southern region.
505 Expectedly, both North East and North West positively drives the decision of farmers to adopt
506 SWC practices. This is consistent with the findings of (Shiferaw *et al.* *2014*) that found that
507 northern Nigeria is likely to adopt or use SWC practices and irrigation technologies. Summarily,
508 the likelihood of adopting SWC practices, either single or joint ones, is consistently and
509 significantly influenced by individual characteristics, household characteristics, demographic and
510 institutional variables, agro-ecological location and several miscellaneous factors. However, their
511 relationship on the decision making process of adoption of SWC practices varies, depending on
512 how SWC practices are combined.

513
514
515

516 **Table 2: Factor influencing adoption of SWC practices**

| VARIABLES | (1) M-only | (2) R-only | (3) C-only | (4) RC-only | (5) MC-only | (6) RM-only | (7) RCM-only |
|-----------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| age_new | 0.32*** (0.01) | 0.18*** (0.02) | 0.15*** (0.09) | 0.29*** (0.08) | 0.12*** (0.01) | 0.22*** (0.08) | 0.12*** (0.04) |
| age_square | -0.10*** (0.08) | -0.23*** (0.03) | -0.13*** (0.01) | -0.12*** (0.02) | -0.29*** (0.10) | -0.12*** (0.03) | -0.30*** (0.05) |
| Sex(male) | 0.02** (0.01) | 0.06*** (0.02) | 0.05** (0.02) | 0.04** (0.02) | 0.02** (0.01) | 0.02** (0.01) | 0.02** (0.01) |
| marrital_status2 | -0.02 (0.02) | -0.02 (0.04) | -0.02 (0.03) | -0.02 (0.03) | -0.02 (0.02) | -0.01 (0.02) | -0.01 (0.02) |
| Edy_yrsc | 0.01*** (0.02) | 0.02*** (0.02) | 0.22*** (0.02) | 0.10*** (0.01) | 0.20*** (0.04) | 0.20*** (0.02) | 0.23*** (0.02) |
| Fieldsizec | 0.01 (0.01) | 0.01 (0.02) | 0.01 (0.02) | 0.03 (0.07) | 0.02 (0.05) | 0.04 (0.09) | 0.02 (0.01) |
| ever_willing | 0.03 (0.01) | -0.01 (0.02) | -0.02 (0.02) | -0.02 (0.02) | 0.02 (0.01) | -0.03 (0.01) | -0.04 (0.01) |
| house_ownershp | 0.01 (0.02) | -0.01 (0.03) | -0.00 (0.03) | -0.00 (0.02) | 0.00 (0.01) | 0.00 (0.01) | 0.00 (0.01) |
| main_occup | -0.00 (0.02) | -0.01 (0.03) | -0.01 (0.02) | -0.01 (0.02) | -0.00 (0.01) | -0.00 (0.01) | -0.00 (0.01) |
| off farm occup | 0.00 (0.01) | 0.01 (0.02) | 0.01 (0.02) | 0.01 (0.02) | 0.00 (0.01) | 0.01 (0.01) | 0.00 (0.01) |
| access_credit | 0.09*** (0.02) | 0.24*** (0.03) | 0.34*** (0.02) | 0.04** (0.02) | 0.12*** (0.01) | 0.13*** (0.02) | 0.12*** (0.01) |
| Drought | 0.05*** (0.01) | 0.07*** (0.02) | 0.20*** (0.02) | 0.11*** (0.02) | 0.10*** (0.01) | 0.10*** (0.01) | 0.22*** (0.01) |
| Healthy | 0.02* (0.01) | 0.03* (0.02) | 0.03* (0.02) | 0.03* (0.02) | 0.02* (0.01) | 0.02* (0.01) | 0.02* (0.01) |
| mem_input_supply_grps | 0.00 | 0.01 | 0.01 | 0.01 | 0.00 | 0.00 | 0.00 |

| | | | | | | | |
|---------------------|----------|---------|----------|----------|---------|----------|----------|
| | (0.04) | (0.06) | (0.06) | (0.05) | (0.03) | (0.03) | (0.03) |
| Stress | -0.02** | -0.06** | -0.05** | -0.04** | -0.02** | -0.02** | -0.02** |
| | (0.01) | (0.02) | (0.02) | (0.02) | (0.01) | (0.01) | (0.01) |
| poor soil fertility | 0.32*** | 0.23*** | 0.28*** | 0.24*** | 0.29*** | 0.29*** | 0.33*** |
| | (0.06) | (0.05) | (0.06) | (0.05) | (0.06) | (0.06) | (0.05) |
| hhsiz2 | -0.04*** | -0.01** | -0.21*** | -0.01*** | -0.00** | -0.03*** | -0.03*** |
| | (0.01) | (0.00) | (0.00) | (0.00) | (0.01) | (0.00) | (0.00) |
| Accesss_extension | 0.23*** | 0.25*** | 0.24*** | 0.24*** | 0.23*** | 0.33*** | 0.32*** |
| | (0.02) | (0.07) | (0.07) | (0.06) | (0.04) | (0.04) | (0.03) |
| Do u own | 0.04 | 0.11* | 0.09 | 0.08 | 0.03 | 0.04 | 0.03 |
| | (0.04) | (0.07) | (0.06) | (0.05) | (0.03) | (0.03) | (0.03) |
| access_imp_mz_seed | 0.03*** | 0.04** | 0.04** | 0.04** | 0.02** | 0.03*** | 0.02*** |
| | (0.01) | (0.02) | (0.02) | (0.01) | (0.01) | (0.01) | (0.01) |
| NEast | 0.14*** | 0.19** | 0.19** | 0.18** | 0.14** | 0.13** | 0.13** |
| | (0.06) | (0.08) | (0.08) | (0.07) | (0.06) | (0.05) | (0.05) |
| SSouth | -0.03 | -0.06 | -0.05 | -0.04 | -0.02 | -0.03 | -0.02 |
| | (0.02) | (0.04) | (0.04) | (0.03) | (0.02) | (0.02) | (0.02) |
| SEast | -0.01 | -0.05 | -0.03 | -0.03 | -0.01 | -0.01 | -0.01 |
| | (0.03) | (0.04) | (0.05) | (0.04) | (0.03) | (0.03) | (0.02) |
| NCentral | 0.13*** | 0.13*** | 0.14*** | 0.12*** | 0.11*** | 0.11*** | 0.11*** |
| | (0.03) | (0.03) | (0.03) | (0.03) | (0.03) | (0.03) | (0.03) |
| NWest | 0.03 | 0.04 | 0.04 | 0.04 | 0.02 | 0.03 | 0.02 |
| | (0.02) | (0.04) | (0.04) | (0.03) | (0.02) | (0.02) | (0.02) |

Observations 2,247 2,247 2,247 2,247 2,247 2,247 2,247 2,247

517 *M-only* - Adoption of minimum soil disturbance; 0 otherwise, *R-only* - Adoption of crop residue retention; 0 otherwise, *C-only* - Adoption of crop rotation; 0

518 otherwise, *MC*-Adoption of minimum soil disturbance and crop rotation; 0 otherwise, *RC*- Adoption of crop residue retention and crop rotation; 0 otherwise, *MR*

519 - Adoption of minimum soil disturbance and crop residue retention; 0 otherwise, *MRC* - Adoption of the three SWC practices; 0 otherwise

520

521 5.3 Impact of SWC Practices Adoption on Crop Productivity and Welfare: PSM and IPRWA

522

523 5.3.1 Preliminary Findings

524

525 In principle, the reliability and validation of PSM and IPWRA result strongly rest on the quality
526 of matching procedures. Table 3 presents the magnitude of the inclusive covariate balancing
527 showing the pseudo R^2 (before and after matching), LRchi² p-value (before and after matching),
528 median (before and after matching), mean standardized bias (before and after matching) and mean
529 propensity score. Additionally, visual representation of the overlap over the common support is
530 another channel for validating the quality of our match. The overall covariate balancing test shows
531 that the standardized mean difference for all covariates used in the PSM reduces from 18.44% pre-
532 matching to 5.48% post-matching for adoption at least one of the SWC practices. Similarly, the
533 mean standardized bias reduces from 15.89% to 5.90% for adoption of “MRC”.

534

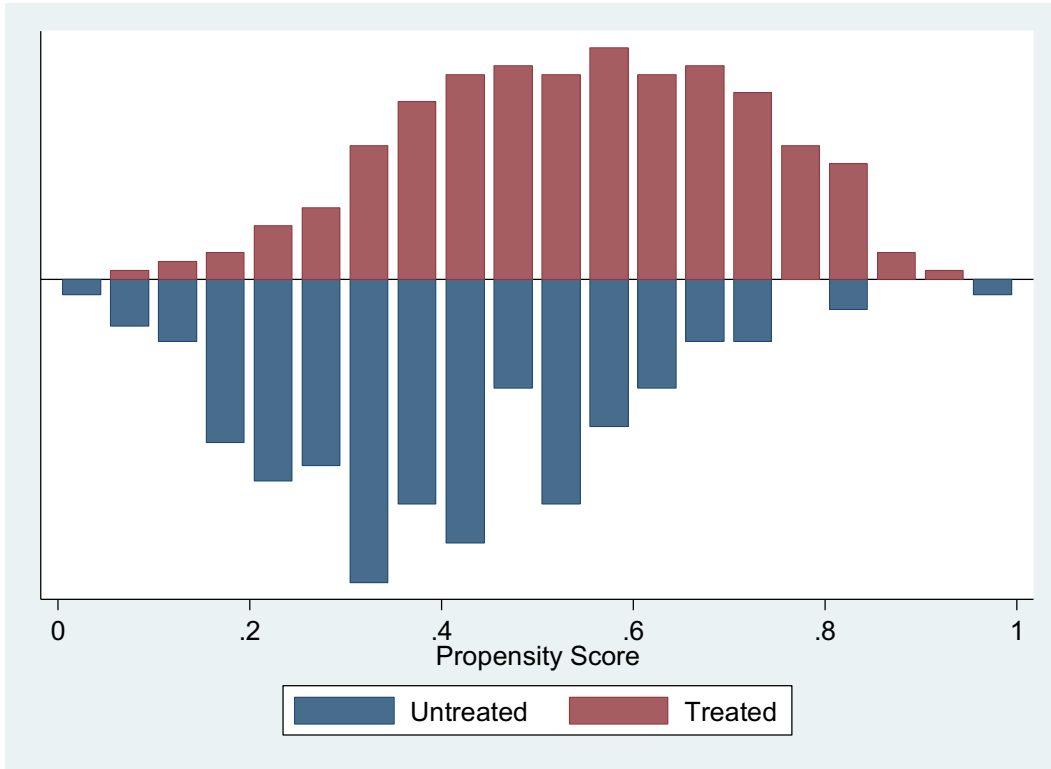
535 **Table 3 Propensity score matching quality test**

| Matching quality indicators | At least one SWC practices | Adoption of “MRC” |
|--|------------------------------------|------------------------------------|
| Pseudo R2 before matching | 0.023 | 0.056 |
| Pseudo R2 after matching | 0.002 | 0.005 |
| LRchi ² (p-value) before matching | 102.98 (p>chi ² =0.000) | 234.77 (p>chi ² =0.000) |
| LRchi ² p-value after matching | 12.78 (p>chi ² =0.680) | 8.67 (p>chi ² =0.309) |
| Median standardized bias before matching | 9.14 | 7.89 |
| Median standardized bias after matching | 4.22 | 3.30 |
| Mean standardized bias before matching | 18.44 | 15.89 |
| Mean standardized bias after matching | 5.48 | 5.90 |
| Mean propensity score | 0.67 | 0.78 |

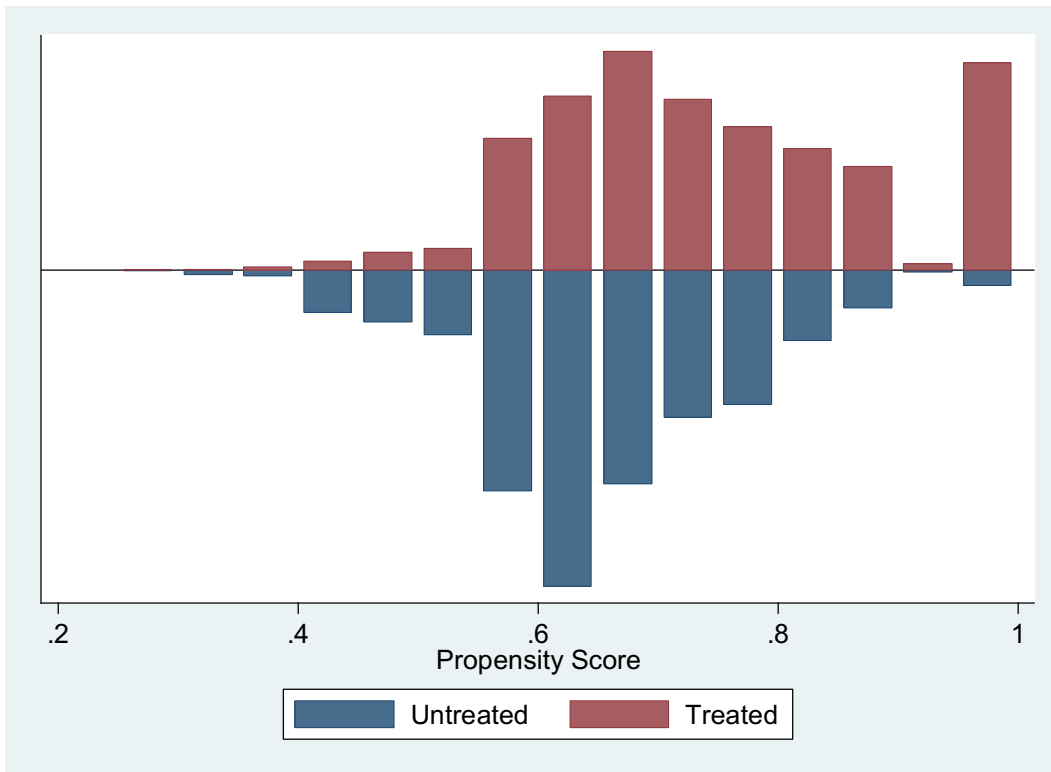
536

537 Moreover, the joint significance of all covariates was never rejected before matching for the
538 adoption of “at least one of the SWC practices” and adoption of “MRC” only (p>chi² =0.000).
539 However, the likelihood ratio tests indicate that the joint significance of all covariates can be
540 rejected after matching (p>chi² =0.680 for adoption at least one of the SWC practices and p>chi²
541 =0.309 for the adoption of “MRC”). The low mean standardized bias and joint insignificance of the
542 covariates are indicative of successful balancing of the distribution of covariates between treated
543 and untreated maize farming households. Additionally, we present in Figure 1 and Figure 2 the
544 common support region adoption of at least one of the SWC practices and adoption of “MRC”
545 respectively. A visual inspection of the distribution of the estimated propensity scores for
546 households with and without treatment indicates that the common support condition is satisfied. A
547 larger proportion of overlap implies a good match of treated and control cases (Rajeev & Wahba
548 1999; Dehejia & Wahba 2002). As indicated in Figure 1, there is a considerable overlap of
549 propensity scores between the treated and control cases, this implies that the match is good and
550 balanced.

551



552
 553 *Figure 1. Distribution of propensity scores and common support region for adoption of at least*
 554 *one SWC practices.*
 555



556
 557 *Figure 2. Distribution of propensity scores and common support region for adoption of "MRC".*

558 5.3.2. Key findings

559

560 The treatment effect estimates regarding the impact of SWC practices adoption on crop
561 productivity and welfare using alternative estimation techniques are reported in Table 4. The first
562 two columns measure crop productivity (yield and per capita crop income) and the last three
563 column measures welfare (per capita expenditure, poverty headcount and per capita food
564 expenditure). Each indicator of crop productivity and welfare were estimated using PSM, IPWRA
565 and, our preferred specification, LRETE. The discussion of the average treatment effects on
566 treated (ATTs) will be principally based on the estimates of LRETE. However, the magnitude of
567 the effect, particularly on productivity, was smaller. This result suggests that failure to account for
568 unobserved heterogeneity leads to biased estimates that guarantee overestimation and
569 underestimation of the effect of adoption of SWC practices in PSM and IPRWA (hence the use of
570 linear regression with endogenous treatment effect is more appropriate). In general, the reported
571 effects of SWC practices adoption are robust across all estimation strategies, showing the
572 important role of SWC practices adoption on crop productivity and welfare outcome indicators.

573

574 In order to understand the dynamics of the role SWC practices, we singly and jointly assessed the
575 treatment effects of the SWC practices productivity and welfare outcome indicators. Therefore,
576 we assessed the impact of “M-only”, “R-only”, “C-only”, “RC-only”, “MC-only”, “RM-only” and
577 “RCM-only”. Additionally, we assessed the impact of adopting at least one of the SWC practices
578 on the outcome variables. The results show that the adoption of SWC practices significantly
579 increases the yield of maize farmers. In particular, we found that the adoption of at least one of the
580 SWC practices will increase maize yield by 24.90% and 22.09% using PSM and IPWRA,
581 respectively. In our LRETE model, where we accounted for both observable and unobservable
582 sources of bias, the effect of adopting at least one SWC practices is 15.56%. Furthermore, we
583 found that ATT of the combination of SWC practices tends to have a higher impact on productivity
584 than single SWC practices. The results show that except “MR-only”, all other combinations have
585 a higher impact than sole SWC practices. Interestingly, the combination of the three SWC practices
586 (“MRC”) has the highest effect on the yield of the maize farmers. The estimates show that the
587 adoption of the three SWC practices leads to an increase of 27.55% on the adopters’ maize yield.

588

589 The results underscore that farm investment that aims at improving awareness, accessibility, and
590 adoption of improved soil and water conservative practices can have a significant positive effect
591 on maize productivity. This may be related to the complementarity role between the three SWC
592 practices. The findings are consistent with previous studies (Smith *et al.* 1998; Teklewold *et al.*
593 2013; Ng’ombe *et al.* 2017) that showed that adoption of one or more SWC practices has a positive
594 and significant relationship of crop yield. Using per capita crop income as the second productivity
595 measure, the results show that the adoption of SWC practices has a positive and significant impact
596 on per capita crop income in all the typologies of SWC practices except “M-only”. Although the
597 adoption of “M-only” increases per capita crop income by 21.4% using PSM, the credibility of
598 this estimate may be questionable owing to cited reasons for hidden bias. In theory, all other factors
599 controlled, the significant increase in the yield of maize farmers as a result of adopting SWC
600 practices will most likely translate into increased crop income. Hence, as the yield of the maize
601 increases in kg/ha couples with effective market access, maize income generated by maize farming
602 households increases which in turn leads to per capita crop income in the household. For instance,
603 the adoption of at least one of the SWC practices, “C-only” and “MRC” will lead to an increase in

604 per capita crop income by 22.20%, 6.92% and 29.65%, respectively. It was noticed that there is
605 consistency on the impact of adopting “C-only” on yield and per capita crop income.

606

607 The impact on yield was the lowest and so also the per capita crop income (Table 3). In a similar
608 trend, the joint adoption of SWC practices increases per capita income more than the singular
609 adoption of SWC practices. This finding is also supported by the studies of Kassa *et al.* (2013) in
610 Zambia, Wossen *et al.* (2015) in Ethiopia and Kassie *et al.* (2008) in Northern Ethiopia which
611 suggests that the adoption of SWC practices is key for enhancing crop revenue. The second part
612 of the estimation focuses on the welfare effects of adopting SWC practices. As earlier mentioned,
613 following (Shiferaw *et al.* 2014; Wossen *et al.* 2017a; Wossen *et al.* 2017b; Abdoulaye *et al.* 2018)
614 the present study captures welfare using three indicators namely, per capita expenditure, poverty
615 headcount ratio and per capita food expenditure. Firstly, we estimated the effect of adopting SWC
616 practices on per capita food expenditure. It may be appropriate to assume that an increase in maize
617 income will likely lead to increase in expenditure (food or non-food). We estimated the average
618 treatment effects of adoption of SWC practices on per capita food expenditure which is a measure
619 of food security (an indicator of welfare). The study shows that food security of the participants
620 has been positively affected as a result of adopting SWC practices. For instance, the adoption of
621 the combination of three SWC practices (“MRC-only”) shows that it has increased the per capita
622 food expenditure of the adopters by 23.2% more than the non-adopters of SWC practices.

623

624 Secondly, we estimated the effect of adoption of SWC practices on a relative measure of welfare
625 using the per capita expenditure. The per capita expenditure comprises both food and non-food
626 expenditure of the maize farmers. The results show that the adoption of SWC practices is
627 significant and positively associated with the per capita expenditure of the adopters than non-
628 adopters. For instance, although with a small magnitude effect in PSM and IPWRA, the adoption
629 of “MC-only”, “MR-only” and “MRC-only” increases the per capita expenditure of adopters of
630 SWC practices by 13.12%, 23.12%, and 33.22%, respectively as compared to the non-adopters in
631 the LRETE model. This finding suggests that the adoption of SWC practices has welfare
632 improving effects on the adopters as compared to non-adopters. The estimated impact of adoption
633 of SWC practices on the poverty headcount ratio shows a negative and significant relationship
634 with poverty headcount. This implies that adoption of the SWC practices reduces the probability
635 of being poor. In particular, in our LRETE model, the adoption of at least one of the SWC practices
636 and combination of “MRC” shows that poverty of the maize farmers will be reduced by 16.90%
637 and 35.23%, respectively. Again, the result reveals that the combination of SWC practices has a
638 higher impact versus single practices. Furthermore, since FGT approach of poverty profiling uses
639 the per capita expenditure measure to generate poverty status, it is unsurprising that the adoption
640 of SWC practices reduces the probability of being poor. Earlier, the study shows that there is
641 increase in per capita expenditure, therefore it is rather clear that poverty headcount ratio was
642 reduced as a result of adoption of the SWC practices as compared to the non-adopters of SWC
643 practices. These consistent, significant and positive impacts of SWC practices adoption on
644 alternative welfare indicators imply that addressing output, input, and inefficiencies through
645 technology adoption can improve the wellbeing of rural poor farmers (Wossen *et al.* 2017b).

646 **Table 4: Estimation of the Impact of SWC Practices Adoption on Crop Productivity and Welfare: PSM, IPWRA and LRETE**

| SWC Practices | | Productivity | | | | Welfare | | | | | |
|---------------|-------|-------------------------|----------|----------------------|----------|----------------------|----------|-----------------------|----------|---------------------|----------|
| | | Yield [kg/ha] | % Change | Crop Income (in USD) | % Change | PCE (in USD) | % Change | Poverty headcount (%) | % Change | PC FE (in USD) | % Change |
| M-only | PSM | 1078.89*** (60.75) | 20.67 | 167.45*** (15.89) | 21.4 | 107.34*** (12.45) | 12.91 | -0.041** (0.0238) | 37.90 | 85.87*** (5.98) | 34.90 |
| | IPWRA | 1007.168*** (47.830) | | 169.44 (169.23) | | 19.08 | | 84.56*** 12.88 | | 8.90 | |
| | LRETE | 398.22*** (23.891) | 13.91 | 135.99 (122.98) | 16.90 | 71.78*** 8.90 | 5.66 | -0.09*** (0.002) | 14.90 | 63.89*** 4.89 | 15.11 |
| | | | | | | | | | | | |
| R-only | PSM | 1134.557*** (54.16) | 34.89 | 85.90** (9.56) | 16.89 | 66.56*** (4.56) | 28.23 | -0.010*** (0.001) | 22.32 | 45.78*** (4.90) | 21.09 |
| | IPWRA | 1172.007*** (37.169) | | 78.90*** (4.09) | | 14.01 | | 65.90*** (12.03) | | 27.01 | |
| | LRETE | 378.45*** (22.90) | 14.44 | 50.89*** (1.80) | 10.55 | 46.89*** (2.90) | 11.67 | -0.12*** (0.03) | 12.56 | 34.23*** (3.90) | 15.99 |
| | | | | | | | | | | | |
| C-only | PSM | 1074.75*** (54.161) | 23.90 | 134.90*** (19.99) | 15.90 | 103.90*** (5.87) | 23.89 | -0.098 (0.100) | 21.90 | 34.89*** (2.89) | 20.90 |
| | IPWRA | 1049.564*** (35.808) | | 104.67*** (2.5) | | 12.77 | | 101.67 (100.89) | | 23.96 | |
| | LRETE | 334.02*** (12.93) | 12.09 | 67.90*** (3.90) | 6.92 | 55.23*** (3.44) | 15.90 | -0.11*** (0.01) | 11.45 | 34.89*** (2.22) | 14.07 |
| | | | | | | | | | | | |
| MC-only | PSM | 1343.86*** (213.89) | 23.90 | 100.23*** (3.6) | 33.32 | 98.89*** (4.33) | 34.89 | -0.145*** (0.09) | 32.09 | 45.01*** (6.9) | 23.90 |
| | IPWRA | 1030.403*** (31.97) | | 123.89*** (23.90) | | 28.89 | | 103.56*** (4.34) | | 30.90 | |
| | LRETE | 401.89*** (22.01) | 15.21 | 90.89*** (3.45) | 24.45 | 87.89*** (2.78) | 23.89 | -0.13*** (0.002) | 15.01 | 65.89*** (3.89) | 13.12 |
| | | | | | | | | | | | |
| RC-only | PSM | 1253.56*** (290.09) | 38.90 | 189.80 (178.09) | 23.09 | 167.90*** (34.09) | 36.10 | -0.167*** (0.02) | 34.90 | 122.45*** (0.99) | 56.09 |
| | IPWRA | 1114.671*** (29.71) | | 178.89*** (34.94) | | 22.22 | | 156.12* (8.67) | | 32.90 | |

| | | | | | | | | | | | |
|--------------|-------|-------------------------|-------|----------------------|-------|----------------------|-------|----------------------|-------|----------------------|-------|
| | LRETE | 549.89*** (23.90) | 19.23 | 167.33*** (3.90) | 18.91 | 109.74*** (0.45) | 28.09 | -0.11*** (0.01) | 18.08 | 89.34*** (7.7) | 19.89 |
| MR-only | PSM | 1169.09*** (56.90) | 24.90 | 209.11*** (23.44) | 31.90 | 189.90*** (23.45) | 34.87 | -0.275*** (0.03) | 23.84 | 54.33** (3.45) | 44.90 |
| | IPWRA | 1146.609*** (32.985) | 14.90 | 211.66*** (6.88) | 29.12 | 180.67** (3.67) | 24.88 | -0.036* 0.021 | 19.78 | 67.09*** (11.73) | 34.76 |
| | LRETE | 478.90*** (22.89) | 12.87 | 190.89*** (22.90) | 19.07 | 154.88*** (6.99) | 20.12 | -0.11*** (0.01) | 13.33 | 100.85*** (4.89) | 23.12 |
| | PSM | 1329.82*** (607.78) | 45.90 | 250.67*** (12.90) | 33.23 | 200.89** (10.45) | 48.45 | -0.309*** (0.03) | 45.45 | 156.01*** (6.99) | 54.67 |
| MRC-only | IPWRA | 1110.595*** (28.761) | 34.50 | 209.89 (8.44) | 30.34 | 199.09*** (40.45) | 45.89 | -0.077*** 0.026 | 39.45 | 176.00*** (19.20) | 45.89 |
| | LRETE | 509.34*** (23.89) | 27.55 | 186.03*** (23.09) | 29.54 | 145.88*** (34.90) | 38.23 | -0.23*** (0.09) | 35.23 | 132.45*** (22.81) | 33.22 |
| | PSM | 1286.28 (58.17) | 24.90 | 200.80*** (22.34) | 38.65 | 189.89** (11.55) | 34.90 | -0.039*** (0.02) | 28.45 | 171.33*** (8.23) | 27.90 |
| At least one | IPWRA | 1272.507*** (40.075) | 22.09 | 188.99*** (11.43) | 29.34 | 145.23*** (11.55) | 28.90 | -0.441*** (0.015) | 22.78 | 129.23*** (4.56) | 23.90 |
| | LRETE | 444.90*** (23.89) | 15.56 | 167.54*** (5.89) | 22.09 | 145.90*** (34.90) | 16.50 | -0.21*** (0.08) | 16.90 | 109.90 (112.45) | 20.90 |

647

Standard errors in parentheses

648 *** p<0.01, ** p<0.05, * p<0.1

649 *M-only* - Adoption of minimum soil disturbance; 0 otherwise, *R-only* - Adoption of crop residue retention; 0 otherwise, *C-only* - Adoption of crop rotation; 0650 otherwise, *MC*-Adoption of minimum soil disturbance and crop rotation; 0 otherwise, *RC*- Adoption of crop residue retention and crop rotation; 0 otherwise, *MR*651 - Adoption of minimum soil disturbance and crop residue retention; 0 otherwise, *MRC* - Adoption of the three SWC practices; 0 otherwise

652

653 **6. Concluding remarks**

654 This study has contributed to the dearth of knowledge on the overall nexus between the adoption
655 of SWC practices, crop productivity, and household welfare, using nationally representative data
656 of 2334 households. Despite numerous years of creating awareness and promoting SWC practices
657 in Nigeria, the rates of adoption remain relatively low. The econometric analysis of the
658 determinants of SWC practices adoption shows mixed findings on the role of individual
659 characteristics, household characteristics, demographic and institutional variables, agro-ecological
660 location and several miscellaneous factors and the role played in a household's decision to adopt
661 SWC practices. Our findings indicate that education, age of the household head, access to credit,
662 experience of drought, soil fertility, occupational stress are key drivers of adopting SWC practices.

663
664 Using PSM and IPWRA, we estimated the impact of adoption of the SWC practices on crop
665 productivity captured as yield in kg/ha and crop income in per capita income. Also, we estimated
666 the impact on welfare outcomes captured as per capita expenditure (relative welfare), per capita
667 food expenditure (food security) and poverty headcount (poverty status). In general, our results
668 seem to suggest that the adoption of SWC practices positively and significantly improve the crop
669 productivity and welfare of the adopters of SWC practices as compared to those who have not
670 adopted these practices.

671
672 A number of policy recommendations seem to emanate from the above empirical findings. First,
673 the estimation of the drivers of adoption shows that education of farm households is key to
674 enhancing adoption of SWC practices. Formal education where proficiency can be thought may
675 be incorporated into already existing intervention programmes with the view of normalizing the
676 decision-making process of the crop farmers. Additionally, public education relating to farm
677 management practices (including soil and water conservative practices) can also be intensified
678 through radio jingles, mobile phone inclusion, and any available platform that is capable of re-
679 enforcing farmers' knowledge on adoption of improved agricultural technologies. Access to
680 information remains a critical component in achieving broad-based adoption of improved
681 agricultural technologies. Studies have shown that areas where farmers had access to free
682 information on the benefits and the knowledge of implementing SWC practices, adoption rates
683 were higher. Therefore, in Nigeria, there is need to enhance extension services nationwide in order
684 for farmers to maximize the potential embedded in the adoption of SWC practices.

685
686 With regards to the findings emerging from the causal relationship of adoption of SWC practices
687 and outcomes of interest, the following policy options can also be drawn. Firstly, the adoption of
688 SWC practices should be encouraged. The reason for this recommendation emerges from the
689 results of the casual effects which show that all possible typologies result in significant, consistent
690 and positive effects on crop productivity and welfare indicators. Secondly, profile-raising agenda
691 and efforts that focus on promoting the adoption of combination of SWC practices should be
692 designed and implemented. As revealed by the present study, multiple adoption of SWC practices
693 lead to higher average treatment effects (ATEs) on crop productivity and welfare outcomes as
694 compared to single SWC practices. Finally, looking at the effects of the various typologies of
695 adoption of SWC practices, irrespective of unobserved and observed effects, the adoption of 'M-
696 only' and 'R-only' resulted in highest crop productivity and welfare outcomes. Which seems to
697 suggest that in situations where the farmer, due to credit constraints, can only adopt two typologies

698 of SWC practices, the focus should be on combining adoption of minimum soil disturbance and
699 crop residue retention for maximum returns on the investment.

700

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709 A.I.O. and K.O.O.; writing—original draft preparation, A.O.O., A.I.O. and K.O.O.; writing—
710 review and editing, A.O.O.,A.I.O., O.M., G.M., B.A.A., A.O.A and K.O.O. All authors have read
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714 **Declarations**

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896

897 Appendix A: Summary statistics: Disaggregated by adopters and Non-adopters of each SWC practices

| | M-only | | | | R-only | | | | C-only | | | | RC-only | | | |
|---------------------|----------|--------|--------------|--------|----------|--------|--------------|--------|----------|--------|--------------|--------|----------|--------|--------------|----------|
| | Adopters | | Non-Adopters | | Adopters | | Non-Adopters | | Adopters | | Non-Adopters | | Adopters | | Non-Adopters | |
| | Mean | St.Dev | Mean | St.Dev | Mean | St.Dev | Mean | St.Dev | Mean | St.Dev | Mean | St.Dev | Mean | St.Dev | Mean | St.Dev |
| age new | 47.451 | 14.055 | 47.535 | 14.221 | 47.556 | 14.319 | 47.402 | 13.903 | 48.371 | 13.431 | 46.516 | 14.723 | 48.23 | 13.05 | 46.996 | 14.707 |
| marrital status2 | 0.912 | 0.284 | 0.872 | 0.334 | 0.895 | 0.306 | 0.906 | 0.292 | 0.918 | 0.274 | 0.882 | 0.323 | 0.931 | 0.253 | 0.882 | 0.323 |
| Gender | 0.898 | 0.303 | 0.885 | 0.319 | 0.892 | 0.31 | 0.896 | 0.306 | 0.899 | 0.301 | 0.889 | 0.315 | 0.902 | 0.297 | 0.889 | 0.314 |
| Edy yrsc | 7.316 | 5.751 | 7.946 | 5.876 | 6.809 | 5.651 | 8.102 | 5.849 | 7.683 | 5.75 | 7.288 | 5.831 | 7.663 | 5.794 | 7.384 | 5.789 |
| fieldsizec | 4.5 | 3.225 | 4.126 | 3.044 | 4.5 | 3.189 | 4.303 | 3.169 | 4.435 | 3.223 | 4.354 | 3.133 | 4.464 | 3.214 | 4.353 | 3.158 |
| ever willing | 0.694 | 0.461 | 0.772 | 0.42 | 0.747 | 0.435 | 0.687 | 0.464 | 0.692 | 0.462 | 0.741 | 0.438 | 0.67 | 0.47 | 0.744 | 0.436 |
| farmn exp New | 25.606 | 16.007 | 26.578 | 16.221 | 25.945 | 16.242 | 25.816 | 15.921 | 26.926 | 15.993 | 24.755 | 16.082 | 26.768 | 15.642 | 25.313 | 16.315 |
| house ownership | 0.875 | 0.33 | 0.895 | 0.307 | 0.898 | 0.303 | 0.865 | 0.342 | 0.882 | 0.323 | 0.879 | 0.326 | 0.876 | 0.33 | 0.884 | 0.321 |
| main occup | 0.79 | 0.408 | 0.775 | 0.418 | 0.789 | 0.408 | 0.783 | 0.413 | 0.799 | 0.401 | 0.771 | 0.421 | 0.804 | 0.397 | 0.774 | 0.418 |
| off farm occup | 0.287 | 0.453 | 0.304 | 0.46 | 0.241 | 0.428 | 0.338 | 0.473 | 0.318 | 0.466 | 0.264 | 0.441 | 0.321 | 0.467 | 0.273 | 0.446 |
| access credit | 0.133 | 0.339 | 0.208 | 0.406 | 0.124 | 0.329 | 0.18 | 0.385 | 0.164 | 0.37 | 0.143 | 0.35 | 0.14 | 0.347 | 0.162 | 0.369 |
| drought | 0.186 | 0.389 | 0.182 | 0.386 | 0.149 | 0.356 | 0.217 | 0.412 | 0.195 | 0.396 | 0.174 | 0.379 | 0.202 | 0.402 | 0.174 | 0.379 |
| mem input suppl | 0.627 | 0.484 | 0.639 | 0.481 | 0.594 | 0.491 | 0.663 | 0.473 | 0.665 | 0.472 | 0.594 | 0.491 | 0.664 | 0.473 | 0.61 | 0.488 |
| Ever usedfert | 0.687 | 0.464 | 0.706 | 0.456 | 0.683 | 0.466 | 0.7 | 0.458 | 0.681 | 0.466 | 0.703 | 0.457 | 0.672 | 0.47 | 0.705 | 0.456 |
| agro ecol | 0.397 | 0.489 | 0.233 | 0.423 | 0.343 | 0.475 | 0.358 | 0.48 | 0.373 | 0.484 | 0.328 | 0.47 | 0.431 | 0.495 | 0.301 | 0.459 |
| Stress | 0.636 | 0.481 | 0.703 | 0.457 | 0.673 | 0.469 | 0.639 | 0.481 | 0.672 | 0.47 | 0.636 | 0.481 | 0.644 | 0.479 | 0.661 | 0.473 |
| poor soil fertility | 0.004 | 0.066 | 0.147 | 0.354 | 0.036 | 0.186 | 0.051 | 0.221 | 0.028 | 0.166 | 0.061 | 0.239 | 0.005 | 0.068 | 0.069 | 0.254 |
| hhsz2 | 7.022 | 3.044 | 6.607 | 2.772 | 6.893 | 2.795 | 6.918 | 3.13 | 7.121 | 2.978 | 6.677 | 2.957 | 7.246 | 3.035 | 6.692 | 2.919 |
| Do u own | 0.963 | 0.189 | 0.962 | 0.192 | 0.973 | 0.163 | 0.954 | 0.21 | 0.976 | 0.153 | 0.948 | 0.221 | 0.972 | 0.164 | 0.956 | 0.204 |
| access_exte | 0.569 | 0.495 | 0.439 | 0.497 | 0.555 | 0.497 | 0.513 | 0.5 | 0.554 | 0.497 | 0.51 | 0.5 | 0.577 | 0.494 | 0.504 | 0.5 |
| NWest | 0.397 | 0.489 | 0.233 | 0.423 | 0.343 | 0.475 | 0.358 | 0.48 | 0.373 | 0.484 | 0.328 | 0.47 | 0.431 | 0.495 | 0.301 | 0.459 |
| SSouth | 0.052 | 0.222 | 0.043 | 0.203 | 0.053 | 0.224 | 0.046 | 0.21 | 0.053 | 0.225 | 0.045 | 0.208 | 0.052 | 0.221 | 0.048 | 0.214 |
| SEast | 0.041 | 0.198 | 0.051 | 0.22 | 0.069 | 0.253 | 0.021 | 0.144 | 0.055 | 0.228 | 0.031 | 0.174 | 0.054 | 0.226 | 0.037 | 0.189 |
| NCentral | 0.195 | 0.396 | 0.454 | 0.498 | 0.251 | 0.434 | 0.281 | 0.45 | 0.241 | 0.428 | 0.295 | 0.456 | 0.175 | 0.38 | 0.326 | 0.469 |
| NEast | 0.044 | 0.206 | 0.056 | 0.23 | 0.037 | 0.188 | 0.057 | 0.232 | 0.029 | 0.169 | 0.067 | 0.251 | 0.024 | 0.153 | 0.063 | 0.242 |
| Maize income (\$US) | 323.00 | 12.600 | 320.00 | 14.600 | 406.40 | 14.40 | 315.70 | 14.200 | 392.50 | 14.400 | 326.00 | 14.30 | 417.54 | 21.400 | 398.450 | 14.500 |
| Maize Yd (kg/ha) | 1201.089 | 9.332 | 1024.13 | 81.125 | 1134.10 | 73.242 | 1070.38 | 68.439 | 1252.44 | 47.109 | 1044.66 | 72.346 | 1343.38 | 79.148 | 1030.823 | 79.986 |
| Per_cap_(\$US)* | 281.18 | 76.87 | 226.04 | 34.34 | 352.33 | 21.81 | 256.73 | 20.35 | 354.86 | 3.51 | 280.43 | 14.76 | 396.06 | 17.42 | 25785.1 | 20201.98 |
| Per_cap_Fd_(\$US) | 225.367 | 36.741 | 210.693 | 80.838 | 256.748 | 30.375 | 205.005 | 31.908 | 320.952 | 10.972 | 262.371 | 8.059 | 301.507 | 15.06 | 4417.119 | 3482.18 |
| Poverty count (%) | 0.465 | 0.019 | 0.438 | 0.097 | 0.457 | 0.048 | 0.457 | 0.018 | 0.46 | 0.049 | 0.454 | 0.038 | 0.468 | 0.049 | 0.450 | 0.008 |

898 **Appendix B: Summary statistics: Disaggregated by adopters and Non-Adopters of each SWC practices**

| | MC-only | | | | RM-only | | | | RCM-only | | | |
|---------------------|----------|---------|--------------|--------|----------|---------|--------------|--------|----------|--------|--------------|--------|
| | Adopters | | Non-Adopters | | Adopters | | Non-Adopters | | Adopters | | Non-Adopters | |
| | Mean | St.Dev | Mean | St.Dev | Mean | St.Dev | Mean | St.Dev | Mean | St.Dev | Mean | St.Dev |
| age new | 48.692 | 13.428 | 47.087 | 14.287 | 47.258 | 14.551 | 47.593 | 13.848 | 48.316 | 13.144 | 47.284 | 14.301 |
| marrital status2 | .923 | .268 | .894 | .308 | .892 | .311 | .906 | .292 | .93 | .256 | .894 | .308 |
| Gender | .906 | .292 | .89 | .313 | .892 | .311 | .895 | .306 | .911 | .286 | .89 | .313 |
| Edy yrsc | 7.073 | 5.708 | 7.625 | 5.813 | 6.501 | 5.455 | 8.034 | 5.899 | 6.903 | 5.621 | 7.625 | 5.822 |
| Fieldsizec | 4.247 | 3.07 | 4.443 | 3.213 | 4.64 | 3.26 | 4.262 | 3.127 | 4.261 | 3.048 | 4.427 | 3.208 |
| ever willing | .729 | .445 | .711 | .453 | .723 | .448 | .711 | .453 | .7 | .459 | .719 | .45 |
| farmn exp New | 26.915 | 16.215 | 25.547 | 16.014 | 25.527 | 16.451 | 26.068 | 15.859 | 26.894 | 16.023 | 25.647 | 16.075 |
| house ownership | 0.889 | 0.314 | 0.878 | 0.327 | 0.883 | 0.322 | 0.879 | 0.326 | 0.877 | 0.329 | 0.882 | 0.323 |
| main occup | 0.782 | 0.413 | 0.787 | 0.41 | 0.78 | 0.415 | 0.789 | 0.408 | 0.785 | 0.411 | 0.786 | 0.411 |
| off farm occup | 0.258 | 0.438 | 0.303 | 0.46 | 0.215 | 0.411 | 0.334 | 0.472 | 0.249 | 0.433 | 0.302 | 0.459 |
| access credit | 0.148 | 0.355 | 0.155 | 0.362 | 0.116 | 0.32 | 0.174 | 0.379 | 0.143 | 0.35 | 0.156 | 0.363 |
| Drought | 0.153 | 0.36 | 0.195 | 0.396 | 0.136 | 0.343 | 0.211 | 0.408 | 0.15 | 0.357 | 0.193 | 0.394 |
| mem input supply | 0.651 | 0.477 | 0.624 | 0.485 | 0.571 | 0.495 | 0.663 | 0.473 | 0.633 | 0.483 | 0.63 | 0.483 |
| Ever usedfert | 0.648 | 0.478 | 0.706 | 0.456 | 0.682 | 0.466 | 0.698 | 0.459 | 0.638 | 0.481 | 0.704 | 0.456 |
| agro eco1 | 0.332 | 0.471 | 0.357 | 0.479 | 0.397 | 0.49 | 0.326 | 0.469 | 0.399 | 0.49 | 0.34 | 0.474 |
| Stress | 0.692 | 0.462 | 0.643 | 0.479 | 0.636 | 0.481 | 0.665 | 0.472 | 0.662 | 0.474 | 0.653 | 0.476 |
| poor soil fertility | 0.028 | 0.164 | 0.049 | 0.216 | 0.006 | 0.079 | 0.065 | 0.246 | 0.007 | 0.085 | 0.052 | 0.223 |
| hhsiz2 | 7.09 | 2.886 | 6.848 | 3.002 | 6.93 | 2.912 | 6.894 | 3.011 | 7.22 | 2.981 | 6.836 | 2.971 |
| Do u own | 0.978 | 0.147 | 0.958 | 0.201 | 0.969 | 0.175 | 0.959 | 0.198 | 0.978 | 0.146 | 0.959 | 0.198 |
| access imp mz seed | 0.565 | 0.496 | 0.523 | 0.5 | 0.59 | 0.492 | 0.501 | 0.5 | 0.592 | 0.492 | 0.519 | 0.5 |
| NWest | 0.332 | 0.471 | 0.357 | 0.479 | 0.397 | 0.49 | 0.326 | 0.469 | 0.399 | 0.49 | 0.34 | 0.474 |
| SSouth | 0.048 | 0.214 | 0.05 | 0.218 | 0.055 | 0.229 | 0.046 | 0.21 | 0.048 | 0.215 | 0.05 | 0.217 |
| SEast | 0.096 | 0.295 | 0.027 | 0.162 | 0.062 | 0.241 | 0.034 | 0.181 | 0.092 | 0.289 | 0.033 | 0.178 |
| NCentral | 0.216 | 0.412 | 0.283 | 0.451 | 0.181 | 0.385 | 0.314 | 0.464 | 0.143 | 0.35 | 0.295 | 0.456 |
| NEast | 0.02 | 0.141 | 0.056 | 0.231 | 0.035 | 0.184 | 0.054 | 0.227 | 0.017 | 0.129 | 0.055 | 0.227 |
| Maize incom(\$US) | 490.50 | 14.400 | 420.100 | 14.310 | 470.890 | 14.800 | 418.330 | 14.300 | 560.500 | 40.560 | 523.980 | 14.300 |
| Maize Yd (kg/ha) | 1253.340 | 151.941 | 1120.972 | 42.022 | 1167.34 | 107.598 | 1145.269 | 49.962 | 1328.976 | 84.256 | 1113.146 | 40.192 |
| Per_cap (\$US)* | 341.420 | 18.380 | 393.99 | 31.17 | 361.42 | 45.29 | 362.17 | 19.79 | 398.69 | 78.41 | 366.46 | 18.75 |
| Per_cap_Fd (\$US) | 301.946 | 47.821 | 379.792 | 35.776 | 320.69 | 21.471 | 269.081 | 35.779 | 330.163 | 64.972 | 306.195 | 43.995 |
| Poverty count (%) | 0.489 | 0.020 | 0.447 | 0.097 | 0.472 | 0.05 | 0.449 | 0.098 | 0.512 | 0.02 | 0.445 | 0.097 |

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902 **Appendix C: Test for validity of instruments**

| Instrumental variable | Treatment variables | | | | | | | | Outcome variables | | | | |
|--------------------------------|---------------------------------------|----------|----------|----------|---------|----------|----------|--------------|-------------------|--------|--------|--------------|--------|
| | Soil and water conservation practices | | | | | | | | Welfare | | | Productivity | |
| | M-only | R-only | C-only | MC-only | RC-only | MR-only | MRC-only | At least one | Poverty headcount | PCE | PC FE | Crop income | Yield |
| Access to climatic information | 0.699*** | 0.577*** | 0.864*** | 0.698*** | 0.199* | 0.762*** | 0.265*** | 0.456*** | 0.0443 | 0.0644 | 0.0104 | 0.0067 | 0.0601 |

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904 **Appendix D: Collinearity diagnostics**

| Variable | VIF | Tolerance (1/VIF) |
|-----------------|-------------|-------------------|
| multi class | 1.13 | 0.88 |
| age new | 1.84 | 0.54 |
| marrital s~2 | 1.42 | 0.70 |
| No yrs villg | 2.11 | 0.47 |
| Edy yrsc | 1.58 | 0.63 |
| Fieldsizec | 1.11 | 0.90 |
| ever willing | 1.16 | 0.86 |
| Illiterate | 1.53 | 0.65 |
| house owne~p | 1.17 | 0.85 |
| main occup | 1.37 | 0.72 |
| off farm o~p | 1.15 | 0.87 |
| access cre~t | 1.11 | 0.90 |
| Drought | 1.06 | 0.94 |
| Healthy | 1.10 | 0.90 |
| mem input ~s | 1.64 | 0.13 |
| Stress | 1.22 | 0.82 |
| poor soil ~y | 1.08 | 0.92 |
| hhsiz2 | 1.22 | 0.81 |
| Do u own | 1.07 | 0.93 |
| access imp~d | 1.11 | 0.91 |
| NEast | 1.23 | 0.81 |
| SSouth | 1.28 | 0.78 |
| SEast | 1.21 | 0.82 |
| NCentral | 1.86 | 0.53 |
| NWest | 2.62 | 0.38 |
| Mean VIF | 1.84 | |

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