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Population screening for 15q11-q13 duplications : corroboration of the difference in impact between maternally and paternally inherited alleles

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1 **Population screening for 15q11-q13 duplications: corroboration of the**
2 **difference in impact between maternally and paternally inherited alleles**

3

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18 **Abstract**

19 Maternally inherited 15q11-q13 duplications are generally found to cause more severe
20 neurodevelopmental anomalies compared to paternally inherited duplications. However, this
21 assessment is mainly inferred from the study of patient populations, causing an ascertainment bias
22 towards patients at the more severe end of the phenotypic spectrum. Here, we analyze the low
23 coverage genome-wide cell-free DNA sequencing data obtained from pregnant women during non-
24 invasive prenatal screening (NIPS). We detect 23 15q11-q13 duplications in 333,187 pregnant women
25 (0.0069%), with an approximately equal distribution between maternal and paternal duplications.
26 Maternally inherited duplications are always associated with a clinical phenotype (ranging from
27 learning difficulties to intellectual impairment, epilepsy and psychiatric disorders), while paternal
28 duplications are normal or associated with milder phenotypes (mild learning difficulties and dyslexia).
29 This data corroborates the difference in impact between paternally and maternally inherited 15q11-
30 q13 duplications, contributing to the improvement of genetic counselling. We recommend reporting
31 15q11-q13 duplications identified during genome-wide NIPS with appropriate genetic counselling for
32 these pregnant women in the interest of both mothers and future children.

33

34 Key words: genomic disorders, neurodevelopment, 15q11, 15q11-q13 duplications, NIPS

35

36 **Introduction**

37 Noninvasive prenatal screening (NIPS) is commonly used as a sensitive and specific method for the
38 detection of fetal aneuploidies, typically trisomy 13, 18 and 21. The test is based on the analysis of
39 cell-free DNA (cfDNA) in the maternal serum using either targeted sequencing or shallow whole
40 genome sequencing (1,2). The latter method also enables the detection of other fetal aneuploidies,
41 segmental imbalances, and copy-number variations (CNVs) (3–6). Moreover, since the cfDNA is
42 largely of maternal origin, not only fetal, but also maternal CNVs can be detected, the latter at a
43 much higher resolution than fetal CNVs (7–10).

44 Copy number gains and losses of the 15q11-q13 region are associated with various imprinting
45 disorders. Loss of the paternal allele leads to Prader-Willi syndrome (OMIM 176270), while loss of
46 the maternal allele causes Angelman syndrome (OMIM 105830) (11,12). The phenotypic
47 consequences of maternal or paternal duplications remain less defined (13,14). Maternal
48 duplications give rise to the 15q duplication syndrome (OMIM 608636), which is characterized by
49 hypotonia and motor delay, feeding difficulties, intellectual disability, autism spectrum disorder and
50 epilepsy. Symptoms differ between patients with an interstitial duplication and those with an
51 isodicentric supernumerary marker chromosome, idic(15), probably due to dosage effects. However,
52 even in patients with the same duplication, the phenotype can be highly variable (11,15–17).
53 Paternal 15q11-q13 duplications are associated with developmental delay, increased risk for
54 epilepsy, sleeping problems and autistic features. The phenotype is however more variable and in
55 many cases even absent, suggesting incomplete penetrance (18–20).

56 The consequences of 15q11-q13 duplications have mainly been studied via ascertainment of patients
57 with developmental anomalies (13,18,20,21). Since the phenotype shows considerable variability and
58 reduced penetrance, those studies will be biased, as they often only describe patients at the more
59 severe end of the phenotypic spectrum. The easily available detection of maternal 15q11-q13
60 duplications during routine NIPS provides an opportunity for population screening of pregnant
61 women. Here we present 23 cases in which 15q11-q13 duplications were detected as maternal

62 secondary findings with NIPS. As part of the routine clinical follow-up, we determined the parental
63 origin for 18 of these duplications and analyzed the clinical phenotype of the women. Our data
64 support the hypothesis that carriers of paternal duplications often lack phenotypic abnormalities. In
65 contrast, maternal duplication carriers always present with developmental anomalies, with varying
66 severity.

67 **Materials and methods**

68 Sample collection and NIPS analysis

69 All pregnant women undergoing NIPS in some of the Belgian genetic centers between July 1, 2017
70 and December 1, 2021 were included in the study. Phenotypic characterization of the pregnant
71 women was performed during genetic counselling. A blood sample was collected in a cell-free DNA
72 BCT tube (Streck, Omaha, NE, USA), a cell-free DNA collection tube (Roche Diagnostics, Mannheim,
73 Germany), or a PAXgene blood ccfDNA tube (Qiagen, Hilden, Germany) from 12 weeks of gestation
74 onwards and written informed consent for reporting secondary findings was obtained. NIPS analysis
75 was performed as described previously (6,7,10,22,23). Briefly, plasma from the maternal blood
76 samples was isolated by means of centrifugation. CfDNA was extracted using the QIAamp Circulating
77 Nucleic Acid Kit (Qiagen), the Maxwell HT cfDNA kit (Promega, Leiden, The Netherlands) or the
78 VeriSeq NIPS solution v2 (Illumina, San Diego, CA, USA) according to the manufacturer's
79 recommendations. Libraries were prepared using the Kapa HyperPlus preparation kit (Kapa
80 Biosystems, Wilmington, MA, USA); the TruSeq ChIP, TruSeq DNA Nano library preparation kit or the
81 VeriSeq NIPS Solution v2 (Illumina); the NEXTflex Cell-free DNA-seq kit or NEXTflex Rapid DNA-Seq kit
82 2.0 (PerkinElmer, Waltham, MA, USA). Genome-wide shallow genome sequencing was performed
83 with either the Ion Proton system (ThermoFisher scientific, Waltham, MA USA) or the HiSeq1500,
84 HiSeq2500, HiSeq3000, HiSeq4000, Novaseq6000, NextSeq500 or NextSeq550 sequencer (Illumina).
85 Genome-wide genomic representation profiling and interpretation was performed using the VeriSeq
86 NIPS Assay Control Software v2.0.0 (Illumina) or as previously described (6,7,22–24). Maternal CNVs
87 were identified by WISECONDOR (25) or by visual inspection of the genomic representation profiles,
88 visualization in BrightCNV NIPS visualization tool and SeqCBS analysis (23). The data were filtered by
89 fold change and region. Specifically, only duplications with $1.3 > \text{fold change} > 1.7$ that overlapped
90 with the Prader-Willi/Angelman Critical Region on chromosome 15 were included.

91 Follow-up analysis

92 Maternal CNVs were confirmed on DNA extracted from maternal white blood cells, obtained from
93 the stored buffy coats of the NIPS blood samples or from additional maternal blood samples. Fetal
94 CNVs were confirmed on DNA extracted from amniotic fluid. The CNV confirmation was performed
95 using the Agilent ISCA 60 K or 44 K array (Agilent, Santa Clara, CA, USA), Cytoscan 750 K array
96 (Affymetrix, Santa Clara, CA, USA), HumanCytoSNP-12 v2.1 BeadChip kit (Illumina) or by shallow
97 genome sequencing (CNVSeq). Fluorescent *in situ* hybridization (FISH) and conventional karyotyping
98 were performed according to standard procedures. To determine maternal or paternal inheritance of
99 the duplication, the methylation status of the CNVs was investigated by Methylation-Specific
100 Multiplex Ligation-Dependent Probe Amplification using the SALSA MLPA kit P140 probe mix HBA
101 (MRC- Holland, Amsterdam, the Netherlands) or by an alternative methylation specific method in
102 which bisulfite treatment was used following a specific PCR and migration on the ABI 3130 genetics
103 analyzer.

104 **Results**

105 *Incidence of 15q11-q13 duplications*

106 Copy number analysis of 333,187 NIPS profiles predicted 23 15q11-q13 duplications in the maternal
107 cfDNA (Table 1), which results in a population incidence of 0.0069%. All duplications were confirmed
108 using DNA extracted from maternal white blood cells in the NIPS sample or from an independent
109 blood sample of the pregnant women, except for case 21 for which no follow-up data was available.
110 Hence, the positive predictive value to detect this CNV by NIPS is 100%.

111 NIPS is unable to determine whether or not a CNV detected in a pregnant woman was passed on to
112 the fetus. Hence, an amniocentesis was performed for 14 out of 23 cases. For the remaining 10 cases
113 we have no follow up data. Seven fetuses (cases 3, 7, 10, 12, 13, 17, 23) inherited the duplication and
114 were thus at high risk to develop the 15q duplication syndrome. In two cases (12 and 23), the
115 pregnancy was terminated, while for cases 10, 13 and 17 the pregnancy was carried to term. There is
116 no follow-up for the remaining two cases. No information on the clinical phenotype of the fetuses
117 was collected.

118 *Parental origin and phenotypes in the pregnant women*

119 To investigate the parental origin of the duplications, the methylation status of specific imprinted loci
120 was analyzed. Ten duplications were of maternal origin (cases 1-10) and all women showed a
121 (variable) clinical phenotype (Table 1). Eight duplications were of paternal origin (cases 11-18) and
122 five women were clinically normal, while three showed (mild) clinical symptoms. For the remaining
123 five duplication carriers (cases 19-23), the parental origin was not available. All of these women were
124 reportedly clinically normal.

125 *Type of duplications*

126 The proximal 15q region consists of five blocks of segmental duplications or low copy repeats, which
127 have been identified as recurrent breakpoints (BPs), termed BP1 to BP5. The Prader-Willi/Angelman

128 Critical Region is located between BP2 and BP3 at position 23651570-28664979 (NCBI Build
129 GRCh37/hg19) (ref. 14). The 23 15q11-q13 duplications vary in size and different BPs are involved.
130 The size and location of all duplications is shown in Figure 1. For seven cases (2-5, 12, 13, 21), the
131 duplication encompasses the BP1-BP3 interval, while for twelve (cases 6-10, 14-18, 22, 23), it
132 involves the smaller BP2-BP3 region. Two cases (1 and 19) were larger in size and had breakpoints in
133 BP1 proximally and BP5 and BP4 distally, respectively. All cases contain the Prader-Willi/Angelman
134 Critical Region. Finally, two cases (11, 20) were smaller, atypical duplications not resulting from
135 recombination in the BP regions and did not include the entire Prader-Willi/Angelman Critical Region.
136 Two main mechanisms that lead to the increase in copy number of the 15q11-q13 region have been
137 previously described (26). The first is formation of an isodicentric or pseudodicentric 15q11-q13
138 supernumerary chromosome – idic(15) – that comprises two extra copies of 15q11-q13, thus
139 resulting in tetrasomy for 15q11-q13. The second is non-allelic homologous recombination leading to
140 a 15q11-q13 duplication that includes one extra copy of 15q11-q13, adjacent with the original region,
141 resulting in trisomy for 15q11-q13 (ref. 14). These are referred to as interstitial duplications. The
142 presence of more than one additional copy was excluded for all independently confirmed cases,
143 which also excludes the presence of an idic(15) marker chromosome. Notably, one marker
144 chromosome that only contained one additional copy of the 15q11-q13 region was detected (case 1).
145 In another case (11), a derivative chromosome originating from a balanced translocation between
146 chromosome 15 and the Y-chromosome in the father of the pregnant woman was present. Two
147 duplications were confirmed to be interstitial and in tandem (cases 4 and 12). For the remaining
148 duplications, no detailed structural information was available.

149

150 **Discussion**

151 Based on a population screening of over 300,000 pregnant women, the incidence of the 15q11-q13
152 duplications is 0.0069%. We observe an approximately equal number of duplications of the maternal
153 and paternal allele. Our data show that maternal duplications are invariably associated with a clinical
154 phenotype, ranging from learning difficulties to intellectual impairment, epilepsy and psychiatric
155 disorders. The majority of paternal duplication carriers are phenotypically normal, although for three
156 cases mildly affected phenotypes such as mild learning difficulties and dyslexia have been observed.
157 Notably, two of the paternal duplications with a normal phenotype (case 11 and 20) do not include
158 the entire Prader-Willi/Angelman Critical Region, which could also contribute to the lack of
159 phenotypic features. Both maternal and paternal duplication carriers have been associated with
160 learning difficulties and the difference in severity is not always clear. However, for most cases,
161 maternal duplication carriers are more severely affected. These results are in line with previous
162 reports, which collected data from 15q11 patient support groups, a general database of patients with
163 a variety of phenotypic abnormalities, family members and a assumedly normal control population
164 (13,14,17–19,21,27).

165 Previously, Isles *et al.* (2016) compiled the largest dataset of interstitial 15q11-q13 duplications to
166 date, composed of both patients with a clinical phenotype (schizophrenia, developmental delay,
167 autism spectrum disorder or multiple congenital anomalies) and assumingly normal controls (13,19–
168 21). In 79,139 patients and 149,780 controls, they reported maternal duplications in 0.0069% and
169 paternal duplications in 0.0033%. Interestingly, in the control population alone, the incidence of
170 maternal and paternal duplications was equal (each 0.0027%). This is consistent with our findings in a
171 population without bias towards patients with a known clinical phenotype. We acknowledge the
172 limitation that only pregnant females were included in our dataset, which excludes infertile and
173 severely intellectually impaired women as well as all men. In addition females with known 15q11-q13
174 duplications (or other clinically significant aberrations) may be more likely to opt for pre-implantation

175 genetic testing or invasive testing instead of NIPS. In contrast, the dataset of Isles et al. (2016) is likely
176 to have an overrepresentation of patients and consequently a higher number of maternal
177 duplications. Therefore, it remains difficult to determine the true incidence and distribution of
178 maternal and paternal duplications in the general population.

179 The Belgian Advisory Committee on Bioethics states that secondary findings of clinical relevance
180 detected during NIPS should be reported ('Belgian Advisory Committee on Bioethics. Opinion no.
181 66—noninvasive prenatal testing (NIPS)', (28)). To standardize the findings that are to be reported,
182 the Belgian Society for Human Genetics developed guidelines regarding clinically significant
183 secondary findings detected by NIPS (29). The guidelines indicate that CNVs that have no
184 consequence for the mother but, if inherited, are potentially harmful for the fetus in the current or in
185 a future pregnancy should be reported. Following these guidelines, 15q11-q13 duplications should be
186 reported as maternal secondary findings since there is a 50% risk of transmitting the duplication and
187 hence, having a child with the 15q duplication syndrome. Invasive testing preceded by genetic
188 counseling is recommended. Notably, the counselling is challenging because the difference between
189 maternal and paternal duplications needs to be explained, while the available information in the
190 literature is still limited. Conveying all information is nevertheless essential to enable informed
191 decision making by the pregnant women and their partners. Women that carry a maternal
192 duplication might be more likely to decline invasive testing and continue the pregnancy if they
193 experience their own quality of life to be high. It is important to stress during counseling that
194 symptoms are known to vary, even within a family with the same type of duplication. As such, the
195 affected child might have a more or less severe phenotype in comparison to the mother (17).

196 In seven cases the fetus was found to carry the duplication and at least in two the pregnancy was
197 terminated. The women who opted for termination carried a paternal or unknown duplication and
198 were both clinically normal. One of the women who continued the pregnancy had a duplication on
199 the maternal allele, while two other had a duplication on the paternal allele. In our cohort, there are

200 no known cases of termination when the pregnant women had a maternal duplication, however the
201 number of cases is very limited and also women with paternal duplications chose not to terminate
202 the pregnancy.

203 None of the 23 women were previously aware of being carriers of this duplication, and for some of
204 them this finding offered an explanation for their medical condition. An important part of genetic
205 counselling also involves looking at the family history: if the 15q11-q13 duplication is inherited from
206 one of the parents, testing of additional family members is warranted (14).

207 Two classes of 15q duplications have been previously described, depending on size and breakpoints
208 (27). We report seven class 1 (BP1-BP3) and twelve class 2 (BP2-BP3) cases. Some duplications also
209 contained the region between the centromere and BP1; however, this region is considered to be
210 polymorphic (19) and was not taken into account in the classification. Interestingly, we observed one
211 case (11) that was not flanked by any of the breakpoints and was found to be a derivative
212 chromosome that originated from a balanced translocation between chromosome 15 and Y in the
213 father of the pregnant woman. To our knowledge, this type of 15q11-q13 duplication has not been
214 reported previously. No correlation could be observed between the size of the maternal duplication
215 and the severity of the clinical phenotype, which is consistent with previous reports (18). The severity
216 of the symptoms is known to be higher in people with *idic(15)* due to a dosage effect (30), but none
217 of the women carried an *idic(15)* nor four copies of the region. A possible reason for the lack of
218 *idic(15)* cases in our dataset of pregnant women is that *idic(15)* patients have a more severe
219 phenotype and therefore are less likely to reproduce.

220 In conclusion, we show that routine NIPS is a valuable tool to detect maternal 15q11-q13
221 duplications that are of importance for the health of the fetus and for future pregnancies. Our data
222 will aid the genetic counselling of prenatally detected 15q11-q13 duplications and emphasizes the
223 importance of reporting these duplications as a maternal secondary finding with NIPS. We
224 recommend follow-up and appropriate genetic counselling for these pregnant women.

225 **Data availability statement**

226 The datasets generated during the current study are available from the corresponding author on
227 reasonable request and within ethical constraints.

228

229 **References**

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302

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306

307 **Author contributions**

308 Conceptualization: IP, KVDB, KD, JRV. Investigation & methodology : IP, KVDB, KD, JRV. Formal
309 analysis: IP Visualization: IP Data curation: IP, NB, LV, KJ, BB, MB, SJ, BM, BD, NF, KVB, AVDB, CM, JD,
310 SB, AM. Supervision: KVDB, KD, JRV. Writing—original draft, review, and editing: IP, KJ, MB, BM, NF,
311 KVDB, KJ, KD, JRV.

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316

317 **Ethical approval**

318 This study is approved by the Ethics Committee Research of University Hospitals Leuven (S66428).

319

320 **Competing interests**

321 The authors declare no competing interests.

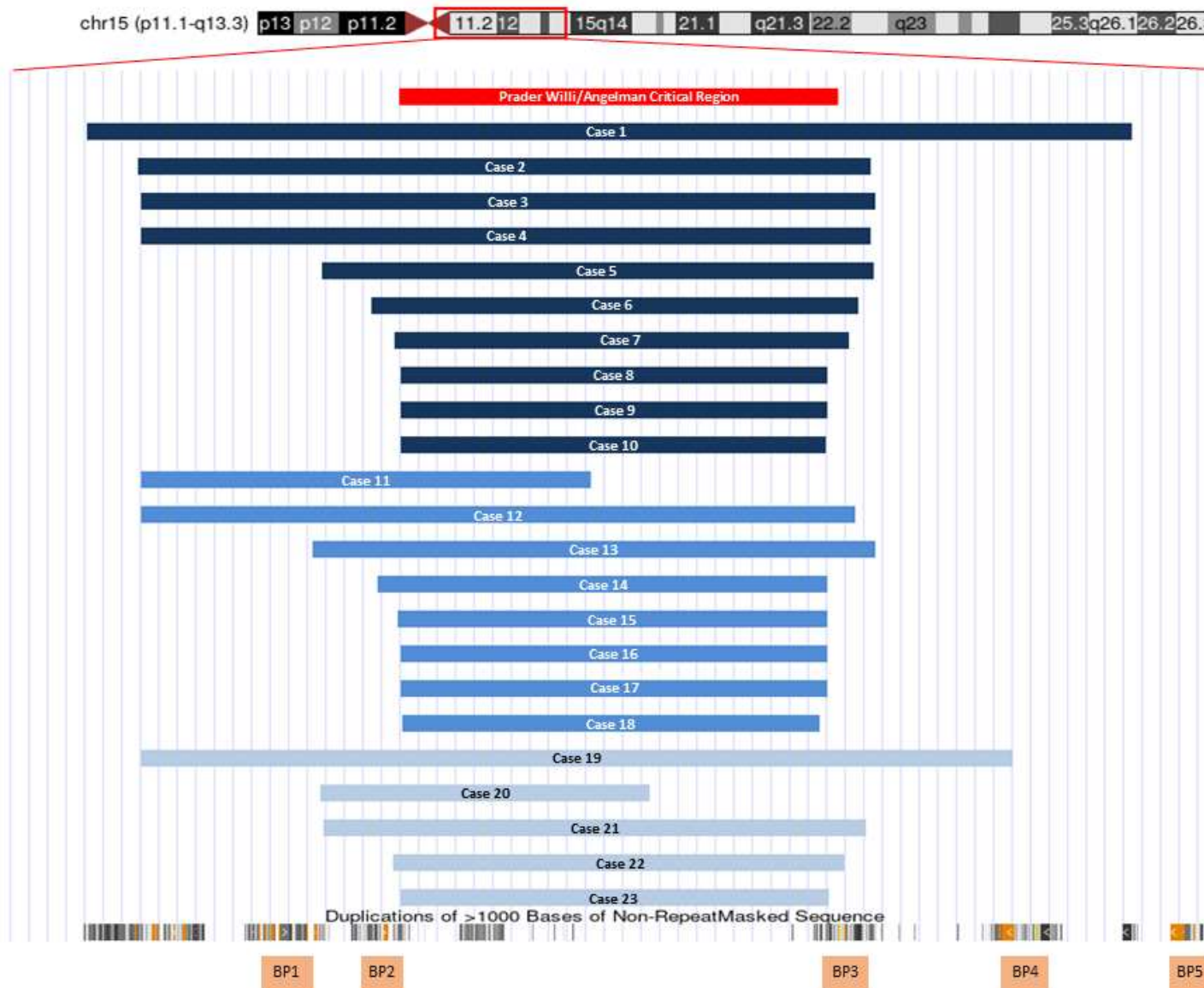
322 **Figure and table legends**

323

324 **Figure 1: Genomic representation of the 15q11-q13 region.** The 23 duplications detected with NIPS
325 are sorted by parental origin and size and are shown in blue (maternal origin), light blue (paternal
326 origin) and grey (unknown origin). The Prader-Willi/Angelman critical region is shown in red. At the
327 bottom is a track of segmental duplication regions, with the different breakpoints (BP1-BP5) shown
328 in orange.

329

330 **Table 1: Overview of all 15q11-q13 duplications detected with NIPS, with parental origin and**
331 **clinical phenotype of the mother and fetal follow-up.** Additional information is listed when
332 available.



333

334 Figure 1

335 Table 1

| Case | Start [Hg19] | Stop [Hg19] | Origin | Clinical phenotype of mother | Additional information on the maternal duplication | Duplication present in fetus? | Pregnancy terminated? |
|------|--------------|-------------|----------|---------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|-------------------------------|-----------------------|
| 1 | 20079558 | 32011200 | Maternal | Learning difficulties | Conventional karyotyping: marker chromosome FISH: 47,XX,+mar.ish der(15)(SNRPN+) --> not idic(15) | | |
| 2 | 20661527 | 29031749 | Maternal | Intellectual deficit and psychiatric disorders | | | No |
| 3 | 20686196 | 29085896 | Maternal | Depressive episodes since the age of 18 leading to several hospitalizations in psychiatry | | Yes | |
| 4 | 20686203 | 29031767 | Maternal | Intellectual difficulties compared to family | Conventional karyotyping and FISH: duplication in tandem | | |
| 5 | 22754322 | 29071810 | Maternal | Borderline personality disorder with postpartum depression and psychosis | | No | |
| 6 | 23318052 | 28894685 | Maternal | Learning difficulties - professional education (until age of 18) | | | No |
| 7 | 23597805 | 28780204 | Maternal | Intellectual difficulties, epilepsy, irritability and dysmorphia | | Yes | |
| 8 | 23656064 | 28526437 | Maternal | Professional education (until age of 18) | De novo duplication | No | No |
| 9 | 23656936 | 28542124 | Maternal | Learning difficulties - unemployed (can read and write but did not finish professional education) | | No | |
| 10 | 23656936 | 28520313 | Maternal | Special education, memory impairment | | Yes | No |

| | | | | | | | |
|----|----------|----------|---------------|-----------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------|-----|-----|
| 11 | 20686203 | 25838141 | Paternal | Clinically normal | Conventional karyotyping: 47,XX,+der(15)t(Y;15)(q11;q12) | No | |
| | | | | | FISH: 47,XX,+der(15)t(Y;15)(q11;q12).ish der(15)(D15Z1+,SNRPN+,PML- ,DYZ1+,TelVysion Yq +) | | |
| | | | | | Conventional karyotyping maternal grandfather: 46,XY,t(Y;15)(q11;q12) | | |
| 12 | 20686203 | 28859765 | Paternal | Clinically normal | FISH: Duplication in tandem | Yes | Yes |
| | | | | | De novo duplication | | |
| 13 | 22652061 | 29085896 | Paternal | Clinically normal | | Yes | No |
| 14 | 23403580 | 28526437 | Paternal | Learning difficulties only at a young age, short follow up for Gilles de la tourette | | | No |
| 15 | 23630074 | 28532327 | Paternal | Dyslexia, learning difficulties - lots of support needed but obtained bachelor diploma (beyond age of 18) | | No | |
| 16 | 23656064 | 28526437 | Paternal | Clinically normal | | | |
| 17 | 23656936 | 28542124 | Paternal | Learning difficulties. Current job: teacher in special education. | | Yes | No |
| 18 | 23684655 | 28446757 | Paternal | Clinically normal | De novo duplication | No | |
| 19 | 20686203 | 30653918 | Not tested | Clinically normal (reportedly) | | | |
| 20 | 22750001 | 26500000 | Not tested | Clinically normal | Conventional karyotyping: no marker chromosome | No | |
| 21 | 22778664 | 28967177 | Not tested | Clinically normal | | | |
| 22 | 23569400 | 28726651 | Not tested | Clinically normal (reportedly) | | | No |
| 23 | 23656064 | 28559437 | Not tested | Clinically normal | | Yes | Yes |