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# Superconductivity in functionalized niobium-carbide MXenes

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We detail the effects of Cl and S functionalization on the superconducting properties of layered (bulk) and monolayer niobium carbide (Nb<sub>2</sub>C) MXene crystals, based on first-principles calculations combined with Eliashberg theory. For the bulk layered Nb<sub>2</sub>CCl<sub>2</sub>, the calculated superconducting transition temperature ( $T_c$ ) is in very good agreement with the recently measured value of 6 K. We show that  $T_c$  is enhanced to 10 K for monolayer Nb<sub>2</sub>CCl<sub>2</sub>, due to an increase in the density of states at the Fermi level, and the corresponding electron-phonon coupling. We further demonstrate feasible gate- and strain-induced enhancements of  $T_c$  for both bulk-layered and monolayer Nb<sub>2</sub>CCl<sub>2</sub> crystals, resulting in  $T_c$  values of up to 40 K. In the S-functionalized cases our calculations reveal the importance of phonon softening in understanding their superconducting properties. Finally, we predict that Nb<sub>3</sub>C<sub>2</sub>S<sub>2</sub> in bulk-layered and monolayer form is also superconducting, with a  $T_c$  around 30 K. Considering that Nb<sub>2</sub>C is not superconducting in pristine form, our findings promote functionalization as a pathway towards robust superconductivity in MXenes.

## 1 Introduction

Layered metal carbides, nitrides, and carbon-nitrides, named MXenes in the literature, have risen among the most attractive material families in recent years. Numerous studies have been published on the use of these materials, which generally have metallic properties, in numerous technological applications such as supercapacitors<sup>1</sup>, ion batteries<sup>2–4</sup>, electromagnetic shielding<sup>5,6</sup>, and other<sup>7–10</sup>. In addition, significant progress in synthesis of MXenes has been achieved<sup>11</sup>, which created a positive feedback loop to the intensity of research on these materials. In particular, extraordinary developments have recently been reported regarding nanoengineering of functional groups covering both sides of the MXene layers, fostering custom-engineered layered MXene crystals with desired functionalities<sup>12,13</sup>.

For instance, by using substitution and elimination reactions in molten inorganic salts, Kamysbayev *et al.* have synthesized high-quality layered MXene crystals that only differ by their functional group, such as Nb<sub>2</sub>CCl<sub>2</sub> and Nb<sub>2</sub>CS<sub>2</sub><sup>14</sup>. For these crystalline layered structures, they demonstrated the strong influence of the functional group on the electronic properties through electrical characterization. They observed a distinctive superconducting transition for Nb<sub>2</sub>CT<sub>2</sub> (with  $T = \text{Cl, S, Se}$ ) crystals with superconducting critical temperatures ( $T_c$ ) amounting to  $\sim 6$ ,  $\sim 6.5$ , and  $\sim 4.5$  K for Nb<sub>2</sub>CCl<sub>2</sub>, Nb<sub>2</sub>CS<sub>2</sub>, and Nb<sub>2</sub>CSe<sub>2</sub>, respectively. Wang *et al.* recently confirmed the findings for Nb<sub>2</sub>CCl<sub>2</sub>, obtaining a  $T_c$  of 5.2 K<sup>15</sup>.

In fact, bare monolayer Nb<sub>2</sub>C does not show a superconducting transition, as demonstrated experimentally in these same studies, and predicted from first-principles calculations in our

prior work<sup>16</sup>. While functionalization with hydrogen strongly enhances the  $T_c$  of molybdenum- and tungsten-based MXenes – up to 32 K predicted through first-principles calculations – the  $T_c$  of hydrogenated Nb<sub>2</sub>C remains limited to 0.8–2.9 K (depending on the hydrogen positions)<sup>17</sup>.

Overall, the strong influence of the functional groups on superconductivity in MXenes is rather clear. However, the main physical mechanism responsible for inducing superconductivity upon functionalization is still not completely elucidated, beyond the first first-principles calculations reported for bulk Nb<sub>2</sub>CCl<sub>2</sub><sup>15</sup> and Nb<sub>2</sub>CS<sub>2</sub> in two-dimensional (2D) form<sup>18</sup>. Therefore, in this work we have thoroughly investigated and compared the superconducting properties of the recently synthesized Nb<sub>2</sub>CCl<sub>2</sub>, Nb<sub>2</sub>CS<sub>2</sub>, and Nb<sub>2</sub>CSe<sub>x</sub> crystals, in both their bulk and monolayer form.

In contrast with the available experimental results, our calculations indicate the absence of superconductivity in Se-based MXenes. Interestingly, the stoichiometry of these crystals in the experiment<sup>14</sup> deviates significantly from the ideal unit formula Nb<sub>2</sub>CSe<sub>2</sub> considered in our calculations. Therefore, we will focus here mostly on the potential of functionalization with chlorine and sulfur to induce superconductivity in niobium-carbide MXenes, considering Nb<sub>2</sub>CT<sub>2</sub> (with  $T = \text{Cl or S}$ ) in bulk-layered and monolayer form, as well as Nb<sub>3</sub>C<sub>2</sub>T<sub>2</sub> (with  $T = \text{Cl or S}$ ), depicted in Fig. 1.

## 2 Methodology

The calculations were performed using the density functional theory (DFT), as implemented within the ABINIT code<sup>19,20</sup>. The Perdew–Burke–Ernzerhof (PBE) type<sup>21</sup> Hartwigsen–Goedecker–Hutter (HGH) pseudopotentials<sup>22</sup> are adopted for this purpose. The valence electron configuration of the used pseudopotentials for Nb, C, Cl, and S are  $4s^2 4p^6 4d^4 5s^1$ ,  $2s^2 2p^2$ ,  $3s^2 3p^5$ , and  $3s^2 3p^4$ , respectively. For all the calculations, the energy cutoff value of 50 Ha for the plane-wave basis was used. The  $k$ -point grids of  $24 \times 24 \times 4$  and  $24 \times 24 \times 1$  are employed for the bulk and 2D MX-

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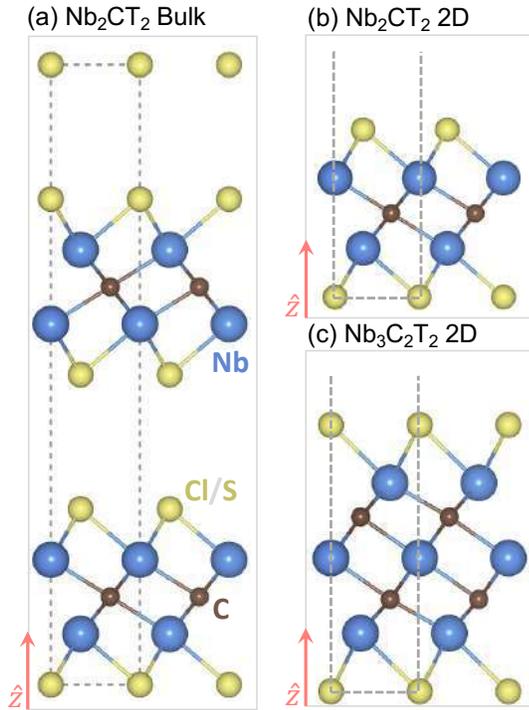


Fig. 1 Crystal structures of (a) bulk-layered and (b) monolayer (2D)  $\text{Nb}_2\text{CT}_2$ , and (c) monolayer  $\text{Nb}_3\text{C}_2\text{T}_2$ , with  $T = \text{Cl}$  or  $\text{S}$ .

ene crystals, respectively. The crystal structures were relaxed so all force components were below  $10^{-6}$  Ha/bohr for each atom. The used vacuum space to model the 2D structures was at least  $15 \text{ \AA}$ .

To calculate phonon dispersions and the electron-phonon ( $e$ - $ph$ ) coupling we used density functional perturbation theory (DFPT) as implemented in ABINIT<sup>23</sup>, using  $8 \times 8 \times 1$  and  $12 \times 12 \times 1$  phononic  $q$ -point grids. For the smearing of the electronic occupations around the Fermi level we used the Methfessel-Paxton method. To characterize the superconducting state we then relied on isotropic Migdal-Eliashberg theory, a quantitatively accurate extension to the Bardeen-Cooper-Schrieffer (BCS) theory for phonon-mediated superconductivity<sup>24-26</sup>. We evaluated the superconducting  $T_c$  in different cases using the Allen-Dynes formula<sup>27-29</sup>. Here, the average screened Coulomb repulsion between Cooper-pair electrons ( $\mu^*$ ) is determined from the comparison to the available experimental measurements<sup>14</sup>, within the range of expected values for transition metal-based compounds<sup>30</sup>.

### 3 Results

In order to investigate the layered crystals as synthesized by Kamysbayev *et al.*, we have first studied the structural properties, with the reported experimental structures as the starting point. Our results for the in-plane lattice parameters are in very good agreement with the experimental values, as seen in Table 1. However, the deviation for the out-of-plane lattice constant, i.e. in the direction along which the MXene layers are stacked, is around 10% for all the calculated layered MXene crystals. Therefore, the

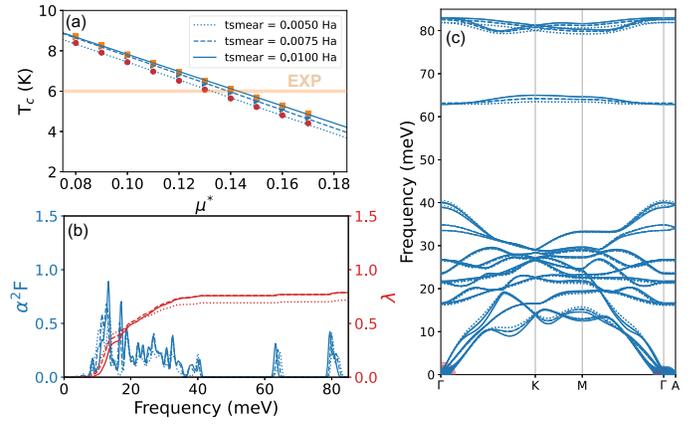


Fig. 2 Superconducting properties of bulk-layered  $\text{Nb}_2\text{CCl}_2$ . (a) The dependence of the superconducting transition temperature,  $T_c$ , on the Coulomb pseudopotential  $\mu^*$ . (b) The Eliashberg function,  $\alpha^2F$ , and  $e$ - $ph$  constant,  $\lambda$ . (c) The phonon dispersion along with the  $e$ - $ph$  coupling strength, indicated by the size of the dots (red =  $50 \times$  blue). The solid, dashed and dotted lines in all panels represent results obtained with different electronic smearing values,  $tsmear$ .

van der Waals (vdW) interaction as proposed by Grimme, based on the addition of a semi-empirical dispersion potential (DFT-D2 and DFT-D3)<sup>31,32</sup>, as well as the Becke-Jonhson method<sup>33</sup>, were tested, and improved agreement with the experimental values was reached. However, all the phonon dispersion calculation tests with inclusion of vdW interaction resulted in imaginary frequencies, which hampered the calculation of the  $e$ - $ph$  coupling and the superconducting properties. Therefore, we proceeded without inclusion of vdW corrections in the calculations presented here. To investigate interlayer interaction further, we calculated the exfoliation energies of different functionalized bulk-layered MXenes. We obtained values of  $\sim 1$  meV/atom or less (see Supplementary Material), indicating that the layers are only weakly coupled.

### 3.1 Chlorine-functionalized $\text{Nb}_2\text{C}$

#### 3.1.1 Bulk-layered structure

It is a well-known fact that parameters such as the Coulomb pseudopotential ( $\mu^*$ ), describing the effective electron-electron repulsion within the Cooper pairs, and the electronic smearing factor used in the DFPT calculations ( $tsmear$ ), may have a strong influence on the superconducting properties. Therefore, we per-

Table 1 Calculated and experimental structural parameters of the considered MXene crystals. TW, EXPI, and EXPII correspond to the results of this work, experimental values reported in Ref.<sup>14</sup>, and experimental values reported in Ref.<sup>15</sup>, respectively.

MXene	Ref.	Symmetry	$a_0$ ( $\text{\AA}$ )	$c_0$ ( $\text{\AA}$ )
$\text{Nb}_2\text{CCl}_2$	TW	$P6_3/mmc$	3.353	19.947
$\text{Nb}_2\text{CCl}_2$	EXPI	$P6_3/mmc$	3.311	17.656
$\text{Nb}_2\text{CCl}_2$	EXPII	$P6_3/mmc$	3.162	17.655
$\text{Nb}_2\text{CS}_2$	TW	$P6_3/mmc$	3.281	20.018
$\text{Nb}_2\text{CS}_2$	EXPI	$P6_3/mmc$	3.265	18.388
$\text{Nb}_2\text{CSe}_2$	TW	$P6_3/mmc$	3.326	21.116
$\text{Nb}_2\text{CSe}_2$	EXPI	$P6_3/mmc$	3.282	23.296

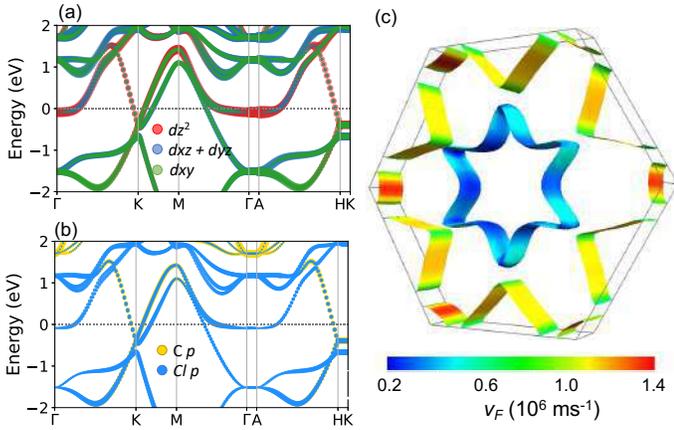


Fig. 3 Electronic properties of bulk-layered  $\text{Nb}_2\text{CCl}_2$ . (a)-(b) Angular momentum-resolved electronic band structures, with the Fermi level at zero. Here, the red, blue, green, yellow and blue solid circles represent the Nb  $d_{z^2}$ , Nb  $d_{xz} + d_{yz}$ , Nb  $d_{xy}$ , C  $p$ , and Cl  $p$  contribution respectively. (c) The Fermi surface, together with the Fermi velocities.

formed a systematic analysis of the influence of these values. For  $\text{Nb}_2\text{CCl}_2$ , we found the system to be dynamically stable, with hardly any effect of the  $t\text{smear}$  value on the the electronic density of states (DOS) around the Fermi level (4.07, 3.51, and 3.39 states/eV per unit cell, for  $t\text{smear} = 0.0100$  Ha, 0.0075 Ha, and 0.0050 Ha respectively), the phonon dispersion, or the corresponding  $e$ - $ph$  coupling. Therefore, the found superconducting  $T_c$  values are nearly identical for all these cases, as seen in Fig. 2(a). The obtained  $T_c$  values are furthermore in good agreement with the experimentally measured value of  $\sim 6.0$  K, as shown in Fig. 2(a), for a  $\mu^*$  around 0.13, which is precisely the expected value for a transition metal-based superconductor<sup>30</sup>. The calculated Eliashberg spectral function of the  $e$ - $ph$  coupling and resulting  $e$ - $ph$  coupling constant, reaching a maximal value of  $\lambda = 0.79$ , are displayed in Fig. 2(b) \*. The phonon dispersion shown in Fig. 2(c) clearly shows the stability of the material, even for the lowest  $t\text{smear}$  values. The dominant contribution of the acoustic and low-frequency optical modes on the  $e$ - $ph$  interaction and the resulting  $T_c$  value is clearly visible. The agreement between the experiment and the presented isotropic Eliashberg calculations is very good, clearly demonstrating the phonon-mediated nature of the observed superconductivity in  $\text{Nb}_2\text{CCl}_2$ .

To further characterize the origin of the superconducting state in  $\text{Nb}_2\text{CCl}_2$ , we also investigated the electronic structure. Fig. 3(a-b) shows the angular momentum-resolved electronic band structure of bulk  $\text{Nb}_2\text{CCl}_2$ . The Nb  $d_{z^2}$  and Nb  $d_{xy}$  orbitals dominate the states near the Fermi level. The  $d_{xz} + d_{yz}$  state also contributes notably in the vicinity of the  $\Gamma$  and K high-symmetry points. There are also limited contributions of C and Cl  $p$  states, as shown in Fig. 3(b). The resulting Fermi surface, shown in Fig. 3(c) along with the Fermi velocities, consists of three distinct types of sheets: (i) a hexagonal sheet centered around  $\Gamma$ , stemming from a mixture of Nb  $d$  states ( $d_{z^2}$  mainly), (ii) six quasi-

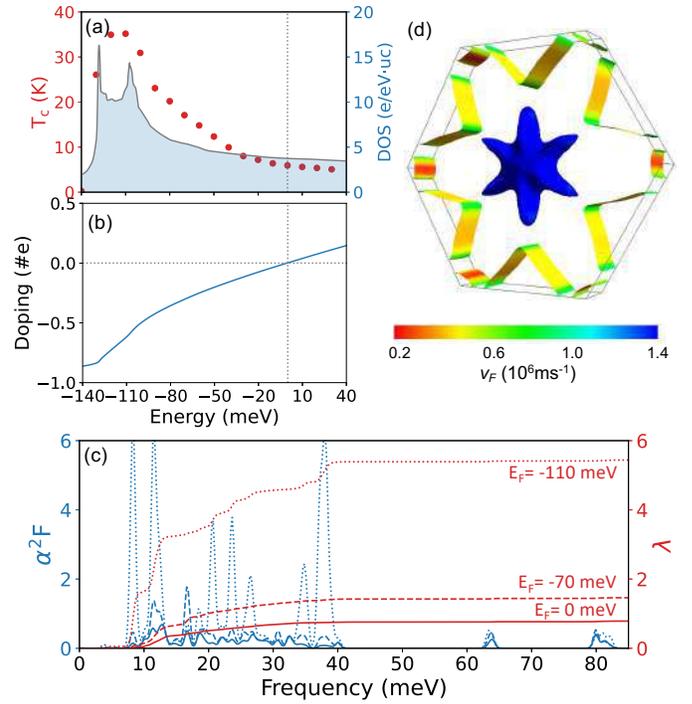


Fig. 4 The effect of gating on the superconducting properties of bulk-layered  $\text{Nb}_2\text{CCl}_2$ . (a)  $T_c$  along with the electronic density of states, as a function of the electronic energy level. (b) Carrier doping levels with Fermi level shift - negative values correspond to hole doping. (c) Eliashberg function,  $\alpha^2F$ , and the electron-phonon coupling constant,  $\lambda$ , for different values of the gating-shifted Fermi level. (d) Fermi surface, along with Fermi velocities, for the Fermi level shifted by gating to  $\sim 80$  meV below the intrinsic value.

circular sheets around the K points consisting of a mixture of Nb  $d_{z^2}$  and  $d_{xy}$  states, and (iii) six rhombus-shaped sheets centered around the M points, mainly due to Nb  $d_{xy}$  states. The  $\Gamma$ -centered sheet has relatively low Fermi velocities, while the sheets centered around the K points harbor the highest Fermi velocities.

### 3.1.2 Two-dimensional structure

At this point, we recall that entirely two-dimensional counterparts of these bulk layered MXenes can also be experimentally fabricated. Our calculations show the layer-by-layer separation energies of these crystals to be as low as 1 meV/atom – as shown in the Supplementary Material – especially due to their surface functional groups. Therefore, we also analyzed the properties of two-dimensional  $\text{Nb}_2\text{CCl}_2$  crystals depicted in Fig. 1(b). Our calculations show the superconducting properties of bulk and monolayer cases to be rather similar. As shown in the Supplementary Material, the calculated vibrational properties and  $e$ - $ph$  coupling values in a monolayer are almost the same as the ones obtained for the bulk-layered crystal. The total  $e$ - $ph$  coupling constant  $\lambda$  amounts to 0.9, which is slightly higher than the one obtained for the bulk case (0.8). As a result of a slight increase in both  $\lambda$  and the electron density of states at the Fermi level, the calculated  $T_c$  value of monolayer  $\text{Nb}_2\text{CCl}_2$  is enhanced to 10 K (using the same  $\mu^* = 0.13$ ), compared to 6 K for the bulk-layered case.

\* For comparison, Ref. 15 reported a lower calculated  $e$ - $ph$  coupling constant ( $\lambda = 0.63$ ) and lower  $T_c$  (5.2 K), using a reduced  $\mu^*$  value (0.1).

### 3.1.3 Enhancing superconductivity by gating

Both the bulk-layered and the monolayer forms of  $\text{Nb}_2\text{CCl}_2$  harbor a distinctly flat electronic dispersion around the  $\Gamma$  and A points, right below the Fermi level (see Fig. 3(a–b), and the Supplementary Material, respectively). This evokes the possibility of tailoring the superconducting properties of this material using an applied gate voltage. Therefore, we performed electron-phonon coupling and  $T_c$  calculations for correspondingly shifted Fermi level values, considering both electron- and hole-type gating. Fig. 4(a) shows the obtained  $T_c$  values (using  $\mu^* = 0.13$ ) of bulk-layered  $\text{Nb}_2\text{CCl}_2$  as a function of the Fermi level shifted by gating. The  $T_c$  increases up to 35 K with the shift of Fermi level coinciding with the flat dispersion. In Fig. 4(b), the Fermi level shift is related to the number of removed or added electrons, as calculated from the integrated density of states. This analysis shows that a feasible doping of  $\sim 0.6$  holes per 10-atom unit cell, corresponding to a Fermi level shift of  $-120$  meV, is sufficient to reach the highest  $T_c$  value of 35 K for bulk-layered  $\text{Nb}_2\text{CCl}_2$ .

As seen in Fig. 4(c), the increase in the density of states results in enhanced  $e$ - $ph$  coupling to both acoustic and optical vibrations within the 0–40 meV vibrational energy range. This enhanced interaction arises once the Fermi level is shifted to  $\sim 80$  meV below the original one, and paves the way for  $T_c$  above 20 K. The density of states enhancement is mainly provided by the increase in surface area of the predicted six-pointed star-shaped Fermi sheet centered around  $\Gamma$ , as seen in Fig. 4(d). In line with our findings for the intrinsic case, a similar Fermi shift boosts the  $T_c$  of monolayer  $\text{Nb}_2\text{CCl}_2$  up to 40 K (see the Supplementary Material). Therefore, these results convincingly demonstrate the prospect of using gate voltages to significantly engineer the  $T_c$  of this functionalized MXene. Moreover, this is one further advantage brought by functionalization, as superconductivity in pure  $\text{Nb}_2\text{C}$  did not exhibit sensitivity to gating<sup>17</sup>.

### 3.1.4 Enhancing superconductivity by strain

Recently, feasible fabrication of wrinkles and buckles, and conformable patterns, next to the use of piezo-electric substrates, have been demonstrated to produce controllable tensile strain in layered materials<sup>34</sup>. The use of such mechanical deformation to engineer superconducting properties of 2D materials has been amply demonstrated. Generally, tensile strain enhances the superconducting  $T_c$  of 2D materials, as e.g. shown for  $\text{MgB}_2$ <sup>35,36</sup>, while compressive strain weakens superconductivity, as demonstrated for  $\text{MgB}_2$ <sup>35,36</sup> and  $\text{NbSe}_2$ <sup>37</sup>. Therefore, we investigated the possibility of achieving enhanced  $T_c$  values in functionalized Nb-based MXenes under the influence of applied strain. Our electronic band structure calculations show a gradual shift of the Nb- $d_{z^2}$ , Nb- $d_{xy}$ , and Cl- $p$  states towards the Fermi level with increasing strain values, as shown in Fig. 5(a). Due to their flat dispersion within a sizeable portion of the Brillouin zone, this strain-driven shift leads to elevated DOS values at  $E_F$ , reaching a maximum at 0.75% tensile strain, where the flat band portion crosses  $E_F$ . This leads to a  $\lambda$  value enhanced above 2 at this strain level, as depicted in Fig. 5(b), and a corresponding  $T_c$  of 26 K – more than twice higher than the equilibrium value (10 K). This clearly demonstrates the potential of applying tensile strain to

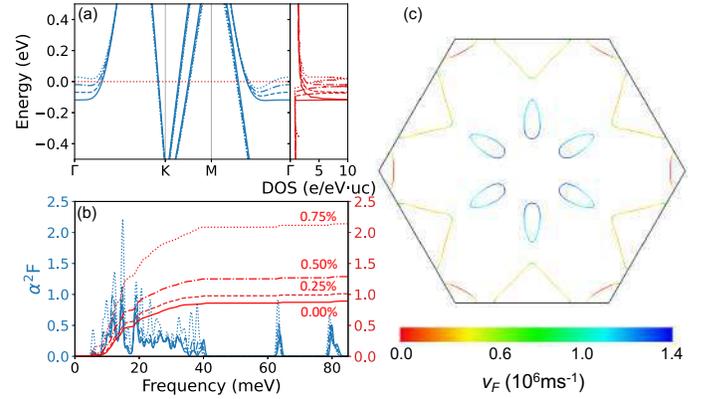


Fig. 5 The calculated (a) electronic band structure, and (b) Eliashberg function,  $\alpha^2F$ , and the electron-phonon coupling constant,  $\lambda$ , for two-dimensional  $\text{Nb}_2\text{CCl}_2$  under applied tensile strain. The Fermi surface corresponding to the case with 0.75% applied tensile strain is presented in panel (c).

Cl-functionalized  $\text{Nb}_2\text{C}$  to enhance and tailor its superconducting properties. Similar to the effect of gating, discussed in the preceding section, tensile strain results in an increase in the surface area of the predicted six-fold symmetric Fermi sheets centered around  $\Gamma$ , shown in Fig. 5(c), driving the remarkable increase in  $\lambda$  and  $T_c$ .

## 3.2 Sulfur-functionalized $\text{Nb}_2\text{C}$

### 3.2.1 Bulk-layered structure

Unlike  $\text{Nb}_2\text{CCl}_2$ , the calculated  $e$ - $ph$  coupling for the S-functionalized crystal is highly sensitive to the used electronic smearing value. Computational parameters similar to the ones used for  $\text{Nb}_2\text{CCl}_2$  ( $tsmear = 0.075$  Ha and  $\mu^* = 0.13$ ) result in a  $T_c$  value of 2.0 K, whereas the experimentally measured value is 6.5 K, as indicated in Fig. 6(d). Lowering the smearing value has a significant effect on the  $e$ - $ph$  coupling of the acoustic modes, which soften around high-symmetry point K. This phonon softening corresponds to opposite circular motion of the Nb atoms within the same layer, as shown in Fig. 6(b). It results in a strong increase in  $\lambda$ , as shown in Fig. 6(c). Ultimately, the structure becomes unstable at smearing values around 0.0010 Ha. The other phonon branch-resolved  $\lambda$  values remain nearly unchanged for all the used smearing values. The calculated  $e$ - $ph$  constant and  $T_c$  are therefore highly sensitive to the used smearing value. A reasonable agreement with the experimental value is obtained for  $tsmear$  around 0.0015 Ha and  $\mu^* = 0.11$ , as shown in Fig. 6(d).

Since reduced  $tsmear$  values mimic the effect of a reduced electron temperature, the occurrence of a dynamical instability for the lower range of  $tsmear$  values, is indicative of a lattice reconstruction at low temperatures. This reconstruction is expected to mainly involve the positions of the Nb atoms, as they are responsible for the emergence of the instability. The full effect of this phonon softening, and a potential crystal structure reconstruction, is worthy of further investigation, with the inclusion of anharmonicity in the phonon spectrum<sup>38</sup>.

The calculated angular momentum-resolved electronic band

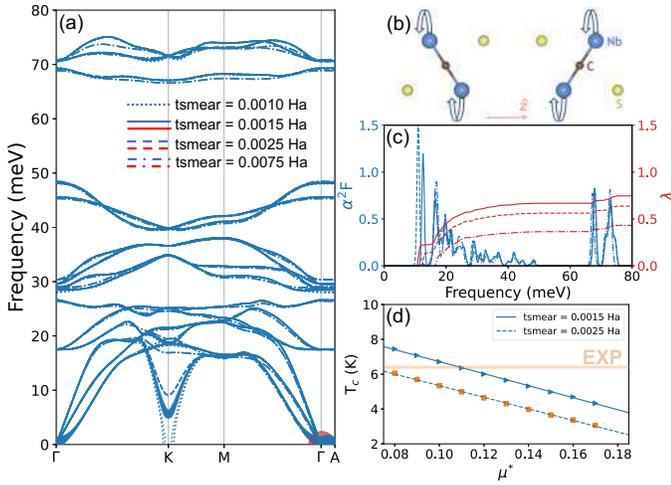


Fig. 6 Superconducting properties of bulk-layered  $\text{Nb}_2\text{CS}_2$ . (a) The phonon dispersion, where the size of the colored circles indicates the strength of the  $e$ - $ph$  coupling (red =  $50 \times$  blue). (b) Atomic displacements corresponding to the soft phonon mode. (c) The Eliashberg function,  $\alpha^2 F$ , and  $e$ - $ph$  coupling constant,  $\lambda$ . (d) The dependence of  $T_c$  on the Coulomb pseudopotential  $\mu^*$ . The solid, dashed and dotted lines in all panels represent results obtained with different electronic smearing values,  $tsmear$ .

structure of bulk-layered  $\text{Nb}_2\text{CS}_2$  is shown in Fig. 7 (a) and (b). The dominant states around the Fermi level are Nb  $d_{z^2}$  and  $d_{xy}$ , similarly to the Cl-functionalized case. However, in contrast to the Cl case, there is strong hybridization between the Nb  $d$  and S  $p$  states. The corresponding bands form four types of Fermi sheets, as shown in Fig. 7(c). There are two  $\Gamma$ -centered nested cylindrical sheets, six elliptic sheets along the  $\Gamma$ -K path, and six rhombus-shaped sheets centered around the K points, which possess relatively high Fermi velocities compared to the other sheets. Consequently, this analysis clearly shows that the additional valence electron of Cl compared to S significantly affects the band structure around the Fermi level. Therefore, the electronic nature of superconductivity in these two compounds is distinctly different.

Since the Fermi surface of bulk-layered  $\text{Nb}_2\text{CS}_2$  consists of many sheets among which some appear to be nested (e.g., between adjacent K and K' points), we investigated whether nesting drives the dynamical instability of this compound. To this end, we calculated the nesting function (see Supplementary Figure 8), showing no enhanced nesting around K compared with the rest of the Brillouin zone. Hence, Fermi surface nesting is not responsible for the phonon instability in  $\text{Nb}_2\text{CS}_2$ , in line with earlier reports, where nesting was shown to not relate directly to the emergence of charge density waves in a selection of transition-metal compounds<sup>39</sup>.

### 3.2.2 Two-dimensional structure

In line with the Cl-functionalized case, the electronic properties of monolayer  $\text{Nb}_2\text{CS}_2$  are very similar to the bulk-layered case – see Fig. 8(a). These results clearly reveal that the chemical bonding within the layers is nearly unaffected by the stacking order, for both considered functionalization types. However, in the

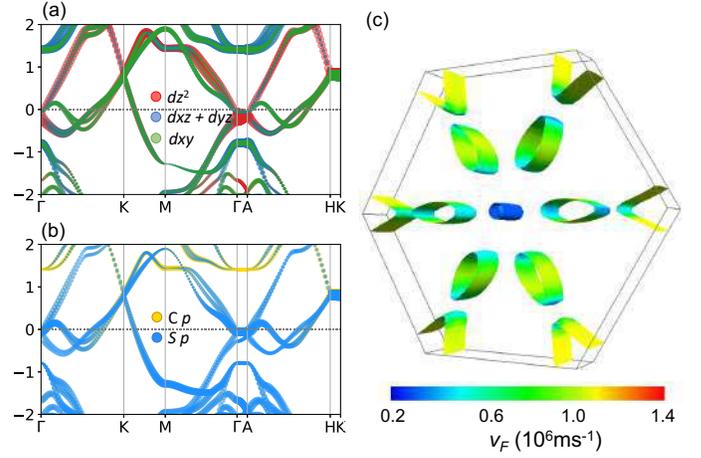


Fig. 7 Electronic properties of bulk-layered  $\text{Nb}_2\text{CS}_2$ . (a)-(b) The angular momentum-resolved electronic band structures, with the Fermi level at zero. Here, the red, blue, green, yellow and blue solid circles represent the Nb  $d_{z^2}$ , Nb  $d_{xz} + d_{yz}$ , Nb  $d_{xy}$ , C  $p$ , and S  $p$  contribution respectively. (c) Fermi surface together with the Fermi velocities.

S-functionalized case, the vibrational properties differ notably between the bulk-layered and monolayer cases, in that the marked phonon softening found in the former is suppressed in the latter – as shown in Fig. 8(b). Other features of the vibrational spectrum are nearly identical. Only a slight softening near the high symmetry point K is present in the monolayer case, even for  $tsmear$  values as low as 0.0010 Ha. This indicates that the monolayer form of  $\text{Nb}_2\text{CS}_2$  is more dynamically stable than the bulk-layered one. The calculated Eliashberg function and integrated electron-phonon coupling constant, depicted in Fig. 8(c), are slightly different from the bulk case. In particular, the strong coupling of the acoustic modes up to 20 meV results in enhanced  $T_c$  values, 10 K and 12 K for  $tsmear = 0.0015$  and 0.0010 Ha, respectively. These  $T_c$  values are almost twice higher than those obtained for the bulk case<sup>†</sup>.

### 3.2.3 Enhancing superconductivity by strain

As the electronic structure of monolayer  $\text{Nb}_2\text{CS}_2$  lacks a peak in the DOS in the proximity of the Fermi level, contrary to the Cl-functionalized case, gating does not provide a viable route towards enhanced superconducting properties in this compound. However, strain-based engineering is still worth investigating due to its potential influence on the phonons and the  $e$ - $ph$  coupling. Unfortunately, our results presented in detail in the Supplementary Material reveal that tensile strain only leads to a slight enhancement of the superconducting  $T_c$ , while compressive strain results in a significant reduction of  $T_c$ . On a positive note, these results indicate that superconductivity in this compound is robust up to at least 4% of applied tensile strain.

<sup>†</sup> A recent *ab initio* study by Wang *et al.* reported a  $T_c$  of only 4.5 K for the monolayer case<sup>18</sup>, in spite of the presence of significant phonon softening, absent in our result. The discrepancy with our result of an enhanced  $T_c$  may stem from the rather sparse  $12^2$  and  $6^2$   $k$ - and  $q$ -point grids used in their calculations, as opposed to our denser  $24^2$  and  $12^2$   $k$ - and  $q$ -point grids.

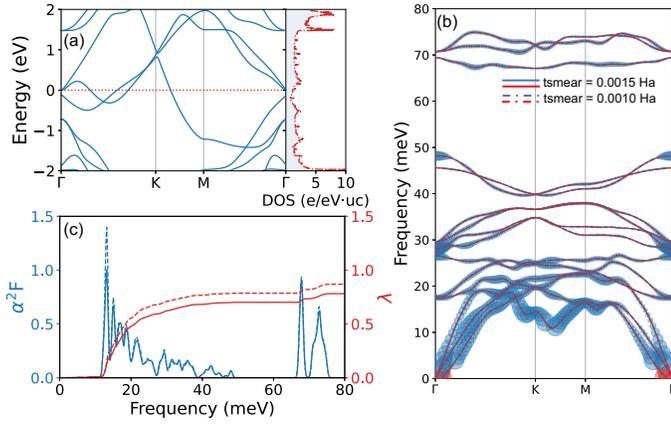


Fig. 8 Electronic and superconducting properties of monolayer  $\text{Nb}_2\text{CS}_2$ . (a) Electronic band structure, with the Fermi level at zero, and density of states. (b) Phonon dispersion along with the  $e$ - $ph$  coupling strength. The size of colored circles shows the strength of the  $e$ - $ph$  coupling (red =  $50 \times$  blue). (c) Eliashberg function,  $\alpha^2F$ , and  $e$ - $ph$  coupling constant,  $\lambda$ . Here, the dashed and solid lines represent the results obtained with  $tsmear$  values of 0.0010 and 0.0015 Ha, respectively.

### 3.3 Selenium-functionalized $\text{Nb}_2\text{C}$

In addition to the  $\text{Nb}_2\text{CCl}_2$  and  $\text{Nb}_2\text{CS}_2$  structures with experimentally measured Nb/surface-atom ratio close to 1,  $\text{Nb}_2\text{CSe}$  – having the same space symmetry, but with a Nb/Se ratio close to 2 – was also reported as superconducting with a  $T_c$  of 4.5 K<sup>14</sup>. Hence, we have investigated  $\text{Nb}_2\text{CSe}_2$  using the same computational approach. As shown in the Supplementary Material, the  $\text{Nb}_2\text{CSe}_2$  crystal is dynamically stable, but it does not show superconductivity due to the weak  $e$ - $ph$  coupling. Here, the off-stoichiometric nature of the experimentally obtained  $\text{Nb}_2\text{CSe}$  crystal, which may be a compound high in Se-vacancies (potentially an ordered vacancy compound), is expected to be responsible for this apparent discrepancy between theory and experiment.

### 3.4 Functionalized $\text{Nb}_3\text{C}_2$

In order to shed more light on the potential of niobium-carbide MXenes as superconductors, we also considered  $\text{Nb}_3\text{C}_2\text{T}_2$  crystals, as depicted in Fig. 1(c). In the Supplementary Material we show that pristine  $\text{Nb}_3\text{C}_2$  in 2D form is dynamically stable, but possesses only limited superconducting capabilities, with an estimated  $T_c$  of merely 1 K. Due to computational limitations related to larger supercells, we only considered the stacking order with space group symmetry  $P\bar{3}m1$  for the bulk functionalized structures. This corresponds to AB layer stacking resulting from a single layer in the computational unit cell. The Cl-functionalized case was found to be dynamically unstable, as shown in the Supplementary Material. On the other hand, the calculated phonon dispersion of the S-functionalized case indicates general dynamical stability, albeit in presence of a soft phonon mode along the  $\Gamma$ -K path for reduced  $tsmear$  values, as shown in Fig. 9(a). The occurrence of this phonon softening is in line with our findings for bulk-layered  $\text{Nb}_2\text{CS}_2$  (see Fig. 6(a)). The phonon softening in bulk-layered  $\text{Nb}_3\text{C}_2\text{S}_2$  disappears for higher  $tsmear$  values (e.g. 0.0050 Ha), as seen in Fig. 9(a). The obtained  $e$ - $ph$  coupling con-

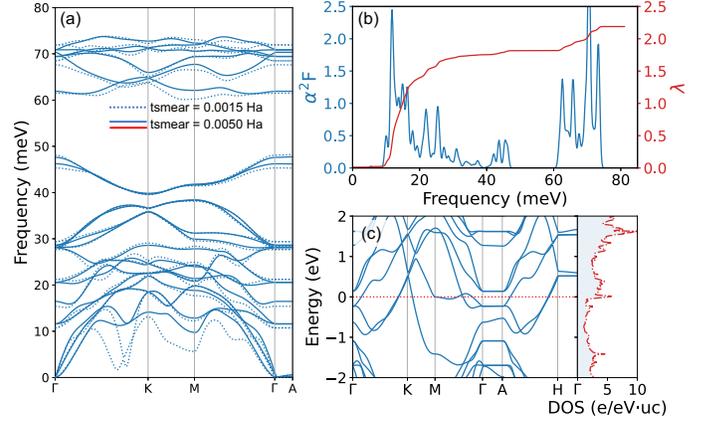


Fig. 9 Electronic and superconducting properties of bulk-layered  $\text{Nb}_3\text{C}_2\text{S}_2$ . (a) Phonon dispersion, where the dashed and solid lines represent the results obtained with  $tsmear$  values of 0.0015 and 0.0050 Ha, respectively. (b) Eliashberg function,  $\alpha^2F$ , and  $e$ - $ph$  coupling constant,  $\lambda$ . (c) Electronic band structure, with the Fermi level at zero, and the corresponding density of states.

stant, depicted in Fig. 9(b), is more than double those of the other considered functionalized niobium-carbide MXenes. The strong electron-phonon coupling, boosted by the nearly localized Nb  $d$  states around the Fermi level, shown in Fig. 9(c), gives rise to an elevated  $T_c$  of  $\sim 30$  K.

The effect of dimensional reduction (exfoliation) on the properties of  $\text{Nb}_3\text{C}_2\text{S}_2$  is similar to the  $\text{Nb}_2\text{CT}_2$  cases. The calculated phonon dispersion for  $tsmear = 0.0050$  Ha, and the corresponding  $e$ - $ph$  coupling properties remain akin to the bulk-layered case, as shown in the Supplementary Material, resulting in an unchanged  $T_c$  of 30 K for monolayer  $\text{Nb}_3\text{C}_2\text{S}_2$ .

## 4 Conclusions

In this work, we set out to theoretically identify the effects of selected functionalizations on superconductivity in the otherwise not superconducting  $\text{Nb}_2\text{C}$  MXene crystal. Furthermore, we have explored the effect of dimensionality, gating and applied strain on the superconducting properties of functionalized Nb-carbide MXenes. Our first-principles calculations yield profound possible improvements in the superconducting transition temperatures, as summarized in Table 2.

The superconducting properties of  $\text{Nb}_2\text{CCl}_2$  in bulk-layered form revealed a good agreement with the recently experimentally measured  $T_c$  of 6 K<sup>14</sup>. In addition, we have shown that superconductivity in  $\text{Nb}_2\text{CCl}_2$  persists in monolayer form, even with a slightly increased  $T_c$  of  $\sim 10$  K. Furthermore, the occurrence of Nb  $d$  states with a flat dispersion just below the Fermi level enables an enhancement of the  $T_c$  through gating, of up to 35 K in bulk-layered form, and even 40 K in the monolayer form. In addition, tensile strain applied to the monolayer case has a similar effect to gating, boosting  $T_c$  up to 25 K.

Our calculations also revealed a reasonable agreement with the experiment for bulk-layered  $\text{Nb}_2\text{CS}_2$ . However, the present phonon softening signals possible instability of this crystal in the pure layered structure with  $P6_3/mmc$  symmetry. Further analysis including anharmonic phonon effects may elucidate the influ-

Table 2 Summary of the calculated superconducting transition temperatures of all the considered functionalized MXene compounds.

	Material	$t_{\text{smear}}$ (Å)	$\mu^*$	Strain (%)	Carrier doping (#e/u.c.)	$\lambda$	$T_c$ (K)
Bulk	Nb <sub>2</sub> CCl <sub>2</sub>	0.0075	0.13	-	-	0.78	6.0
Bulk	Nb <sub>2</sub> CCl <sub>2</sub>	0.0075	0.13	-	-0.16	1.00	10.0
Bulk	Nb <sub>2</sub> CCl <sub>2</sub>	0.0075	0.13	-	-0.36	1.75	20.0
Bulk	Nb <sub>2</sub> CCl <sub>2</sub>	0.0075	0.13	-	-0.62	5.44	35.0
2D	Nb <sub>2</sub> CCl <sub>2</sub>	0.0075	0.13	-	-	0.89	10.0
2D	Nb <sub>2</sub> CCl <sub>2</sub>	0.0075	0.13	0.25	-	1.01	11.8
2D	Nb <sub>2</sub> CCl <sub>2</sub>	0.0075	0.13	0.50	-	1.29	16.4
2D	Nb <sub>2</sub> CCl <sub>2</sub>	0.0075	0.13	0.75	-	2.14	25.6
2D	Nb <sub>2</sub> CCl <sub>2</sub>	0.0075	0.13	-	-0.15	1.46	18.7
2D	Nb <sub>2</sub> CCl <sub>2</sub>	0.0075	0.13	-	-0.30	6.08	29.4
Bulk	Nb <sub>2</sub> CS <sub>2</sub>	0.0015	0.11	-	-	0.74	6.5
2D	Nb <sub>2</sub> CS <sub>2</sub>	0.0015	0.11	-	-	0.87	12.1
2D	Nb <sub>2</sub> CS <sub>2</sub>	0.0015	0.11	2.00	-	0.94	12.2
2D	Nb <sub>2</sub> CS <sub>2</sub>	0.0015	0.11	4.00	-	1.84	12.6
Bulk	Nb <sub>2</sub> CSe <sub>2</sub>	0.0015	0.11	-	-	0.22	0.00
Bulk	Nb <sub>3</sub> C <sub>2</sub> S <sub>2</sub>	0.0050	0.11	-	-	2.24	34.8
2D	Nb <sub>3</sub> C <sub>2</sub> S <sub>2</sub>	0.0050	0.11	-	-	1.72	29.6

ence of a possible lattice reconstruction on the superconducting properties of this crystal. On the other hand, our analysis of the monolayer form of Nb<sub>2</sub>CS<sub>2</sub> shows that the phonon softening practically disappears, and that the expected  $T_c$  (12 K) is almost twice as high as the measured  $T_c$  of its bulk counterpart ( $\sim 6.5$  K)<sup>14</sup>. Also, both phonons and superconducting properties of monolayer Nb<sub>2</sub>CS<sub>2</sub> are robust under up to 4% applied tensile strain.

Our calculations for functionalized Nb<sub>3</sub>C<sub>2</sub> crystals yielded quite surprising results. First of all, we find that Cl functionalization renders this crystal dynamically unstable. Contrarily, both bulk-layered and two-dimensional Nb<sub>3</sub>C<sub>2</sub>S<sub>2</sub> crystals are stable (albeit showing a similar phonon softening as in bulk Nb<sub>2</sub>CS<sub>2</sub>) and superconducting with elevated  $T_c$  values of  $\sim 30$  K.

Overall, our extensive first-principles exploration clearly demonstrates the potential of surface functionalization to induce superconductivity in MXenes which are not superconducting in pristine form, with critical temperatures that can be strongly enhanced through gating, owing to the presence of quasi-localized electronic states. With further stacking degrees of freedom, as well as possibilities for tailoring the positions of functional atoms and groups inside an extended stack, our findings support the promise of engineered functionalization towards robust superconductivity in MXenes.

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