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Comparative effectiveness of autologous hematopoietic stem cell transplant vs Fingolimod, Natalizumab, and Ocrelizumab in highly active relapsing-remitting multiple sclerosis

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1 **Title :**  
2 **Effectiveness of autologous haematopoietic stem cell transplantation versus**  
3  **fingolimod, natalizumab and ocrelizumab in highly active relapsing-remitting multiple**  
4  **sclerosis**

5

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112  
113 on behalf of the MSBase Study Group<sup>#</sup>

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133 UiugIQw](https://datadryad.org/stash/share/jsa7Na1FkvhNOfnlecQit7iODEqNXrQA-Ju-UiugIQw)

134

135 **Keywords**

136 stem cells, disease modifying therapy, relapses, disability, propensity score

137

138 **KEY POINTS**

139 **Question**

140 The evidence regarding the effectiveness of autologous haematopoietic stem cell  
141 transplantation (AHSCT) is limited. We have conducted a literature search using the PubMed  
142 database, with search terms “haematopoietic stem cell transplantation” AND “relapsing-  
143 remitting multiple sclerosis” AND “disease modifying therapy” AND “trial” published between  
144 1/1/1990 and 1/10/2022 in any language. Only two randomised clinical trials were identified.  
145 In one trial, AHSCT used in 9 patients with relapsing or progressive multiple sclerosis was  
146 superior to mitoxantrone in reducing clinical or radiological episodic inflammatory activity. In  
147 another trial, AHSCT used in 55 patients with relapsing-remitting multiple sclerosis was  
148 superior to a mixed group of various therapies in controlling relapses and disability.  
149 Presently, information about the effectiveness of AHSCT in comparison to individual most  
150 potent disease modifying therapies for relapsing-remitting multiple sclerosis, such as  
151 natalizumab or ocrelizumab, is lacking.

152  
153 **Findings**

154 This observational study, utilising a composite cohort from specialised MS centres and the  
155 MSBase international registry, compares the effectiveness of AHSCT to one medium-efficacy  
156 and two high-efficacy disease modifying therapies – fingolimod, natalizumab and  
157 ocrelizumab – in patients with relapsing-remitting multiple sclerosis, high frequency of  
158 relapses and moderate disability. It shows that AHSCT is substantially superior to fingolimod  
159 and marginally superior to natalizumab in preventing relapses over 5 years. AHSCT is also  
160 associated with a higher rate of recovery from disability in comparison to fingolimod and  
161 natalizumab. With a shorter follow-up of 3 years, the study found no evidence of difference in  
162 clinical outcomes between AHSCT and ocrelizumab. Complications of AHSCT are common.  
163 One treatment-related death was reported among the 159 AHSCT-treated patients with  
164 relapsing remitting MS.

165  
166 **Meaning**

167 The results of the present study indicates that the use of AHSCT is justified among patients  
168 with highly active relapsing-remitting multiple sclerosis, especially those presenting with  
169 relapses despite being treated with highly effective disease modifying therapies.

170 **ABSTRACT**

171 **Importance:** Autologous hematopoietic stem cell transplantation (AHSCT) is available for  
172 treatment of highly active multiple sclerosis (MS). So far, no randomised controlled trials  
173 have compared the efficacy of AHSCT to individual high-efficacy disease modifying  
174 therapies.

175 **Objective:** This study emulated pairwise trials of comparative effectiveness of AHSCT vs.  
176 fingolimod, natalizumab and ocrelizumab (registration nr. ACTRN12605000455662).

177 **Design:** Observational cohort/registry study of comparative treatment effectiveness over 3-5  
178 years between 2006-2021.

179 **Setting:** 6 specialist MS centres with AHSCT programs and international MSBase registry.

180 **Participants:** The study included patients with relapsing-remitting MS treated with AHSCT  
181 (n=167) or fingolimod (n=2558), natalizumab (n=1490) or ocrelizumab (n=700) and sufficient  
182 on-treatment follow-up including disability assessments. The patients were matched on a  
183 propensity score derived from sex, age, Expanded Disability Status Scale (EDSS), number of  
184 relapses 12/24 months before baseline, time from MS onset, the most effective prior therapy  
185 and country.

186 **Exposure:** AHSCT or fingolimod, natalizumab, ocrelizumab.

187 **Main outcomes:** The pairwise-censored groups were compared on annualised relapse rates  
188 (ARR) and freedom from relapses and 6-month confirmed EDSS worsening and  
189 improvement.

190 **Results:** The matched study groups were 65-70% women, of mean age 35-37, mean  
191 disease duration of 8-9 years, average EDSS 3.5-4 and high frequency of relapses (mean  
192 0.77-0.86) in the preceding year. In comparison to fingolimod (n=769), AHSCT (n=144) was  
193 associated with fewer relapses (ARR: mean±SD 0.09±0.30 vs. 0.20±0.44), similar risk of  
194 EDSS worsening (HR=1.70, 95%CI=0.91-3.17) and higher chance of disability improvement  
195 (HR=2.70, 95%CI=1.71-4.26) over 5 years. Compared to natalizumab (n=730), AHSCT  
196 (n=146) was associated with marginally lower ARR (0.08±0.31 vs. 0.10±0.34), similar risk of  
197 EDSS worsening (HR=1.06, 95%CI=0.54-2.09), and higher chance of EDSS improvement  
198 (HR=2.68, 95%CI=1.72-4.18) over 5 years. AHSCT (n=110) and ocrelizumab (n=343) were  
199 associated with similar ARR (0.09±0.34 vs. 0.06±0.32), EDSS worsening (HR=1.77,  
200 95%CI=0.61-5.08) and EDSS improvement (HR=1.37, 95%CI=0.66-2.82) over 3 years.  
201 AHSCT-related mortality occurred in 1 of 159 patients (0.6%).

202 **Conclusion:** In highly active relapsing-remitting MS, AHSCT is considerably superior to  
203 fingolimod and marginally superior to natalizumab in preventing relapses and facilitating  
204 recovery from disability. This study did not find evidence for difference in the effectiveness of  
205 AHSCT and ocrelizumab over a shorter available follow-up time.

206

207 **TEXT**

## 208 **INTRODUCTION**

209 Chemotherapy followed by autologous hematopoietic stem cell transplantation (AH SCT) is a  
210 potent immunosuppressant/immune-reconstitution therapy that is occasionally used to treat  
211 highly inflammatory multiple sclerosis (MS) with suboptimal response to conventional  
212 disease modifying therapies (DMT). As a result of ablation and subsequent reconstitution of  
213 the immune system, it is particularly effective in temporarily eliminating neuroinflammation  
214 within the central nervous system.<sup>1</sup> Single-arm cohort studies reported prolonged freedom  
215 from relapses and worsening of disability in aggressive MS post-AH SCT.<sup>2-6</sup> Only one open-  
216 label randomised trial compared the efficacy of AH SCT with a combination of DMT and non-  
217 DMT interventions in relapsing-remitting MS.<sup>7</sup>

218 AH SCT is associated with significant risks, including early complications of immune ablation  
219 and 0.3-2% treatment-related mortality.<sup>1,8</sup> The risk of death has declined over the recent  
220 years, mainly as a result of improved patient selection and transplant centre experience.<sup>9</sup>  
221 AH SCT therefore represents a higher-risk but potentially higher-yield therapy with long-term  
222 benefit. However, to define the role of AH SCT in active MS, we need to understand its  
223 comparative effectiveness relative to the most effective available DMTs. High-quality cohorts  
224 have helped establish the comparative effectiveness among DMTs.<sup>10-15</sup> Emulation of clinical  
225 trials in existing datasets supports treatment decisions, especially where randomised trials  
226 would not be feasible.<sup>16,17</sup> A scenario ideally suited to this approach is a comparison of  
227 AH SCT with high-efficacy DMTs.<sup>18,19</sup>

228 In this study, we emulated a clinical trial that compared clinical effectiveness of AH SCT with  
229 two high-efficacy DMTs (natalizumab, ocrelizumab) and one moderate-efficacy DMT  
230 (fingolimod).

231

232

## 233 **METHODS**

### 234 **Patients and data**

235 Data, recorded between 2006-2021, were obtained from 6 cohorts treated with AH SCT at  
236 specialised centres (in Ottawa, Uppsala, Sheffield, Bergen, Sydney and Melbourne) and 94  
237 centres in 27 countries from the MSBase registry (WHO study registration  
238 ACTRN12605000455662). The study was approved by the Melbourne Health Human  
239 Research Ethics Committee and the site institutional review boards. Patients provided written  
240 informed consent, as required. The data are the property of the individual centres; they can  
241 be requested for replication of this study, at the discretion of each principal investigator. This  
242 study is reported following the STROBE guideline.

243 The inclusion criteria were definite relapsing-remitting MS,<sup>20-22</sup> first exposure to one of the  
244 study therapies, no exposure to alemtuzumab or participation in randomised clinical trials  
245 within the prior 10 years, minimum recorded follow-up 2 months prior to treatment start and 2  
246 post-baseline disability scores (including  $\geq 1$  on treatment), persistence on study therapy for  
247  $\geq 1$  month and minimum dataset (consisting of sex, age, date of first MS symptom, dates of  
248 clinical relapses, clinical MS course, disability score at treatment commencement (-9 months  
249 to +1 month)). All consecutive patients treated with AH SCT were included.

250

### 251 **Procedures**

252 Patients received AH SCT following protocols specific to the treating centres.<sup>2,3,5,23</sup>  
253 Autologous haematopoietic stem cells were mobilised using cyclophosphamide 2-4.5 g/m<sup>2</sup> IV  
254 with granulocyte colony stimulating factor 5-10 $\mu$ g/kg. In a small number of patients, the

255 mobilisation used granulocyte colony stimulating factor only or in combination with  
256 methylprednisolone. The cells were then harvested by leukapheresis and cryopreserved. In  
257 approximately one third of patients, the graft was depleted of mature immune cells with CD34  
258 immunomagnetic selection. The transplant conditioning regimens were commenced >3  
259 weeks after mobilisation and included BEAM (carmustine 300mg/m<sup>2</sup>, etoposide 200-  
260 800mg/m<sup>2</sup>, cytarabine 200mg/m<sup>2</sup> and melphalan 140mg/m<sup>2</sup>), busulfan with  
261 cyclophosphamide 50mg/kg, or cyclophosphamide 200mg with anti-thymocyte globulin  
262 10mg/kg. Rabbit/horse anti-thymocyte globulin was used in 84% of patients. Infection  
263 prophylaxis was used as per local protocols.

264 The patients included in the DMT arms were treated either with fingolimod (0.5mg oral daily),  
265 ocrelizumab (600mg IV every 6 months) or natalizumab (300µg IV every 4 weeks). Baseline  
266 was defined as the first day of AHSCT conditioning or commencement of the DMT. Patients  
267 were censored at discontinuing therapy (with the minimum duration of treatment effect set at  
268 60 days after starting fingolimod or natalizumab, 6 months after ocrelizumab, and 5 years  
269 after AHSCT),<sup>24</sup> commencing another DMT, or at the last recorded disability score, whichever  
270 occurred first.

271 The analysed data were recorded as part of routine practice, mostly at tertiary MS services,  
272 with real-time data entry. The MSBase Study Protocol stipulates minimum annual acquisition  
273 of disability scores, but patients with less frequent visits were not excluded.<sup>25</sup> Data from  
274 different sources were mapped, combined and underwent a rigorous quality procedure  
275 (eTable 2).<sup>26</sup>

276

## 277 **Outcomes**

278 The primary endpoint was the on-treatment annualised relapse rate (ARR). A relapse was  
279 defined as new symptoms or exacerbation of existing symptoms persisting for ≥24 hours, in  
280 the absence of concurrent illness/fever, and occurring ≥30 days after a previous relapse.<sup>27</sup>  
281 Confirmation of relapses by Expanded Disability Status Scale (EDSS) was not mandated.  
282 Individual ARR between baseline and censoring was calculated.

283 Secondary endpoints were the cumulative hazards of first post-baseline relapse, the  
284 proportions of patients free from disability worsening and with disability improvement.  
285 Disability was scored by EDSS scorers (Neurostatus certification was required at each site),  
286 excluding scores recorded ≤30 days of a prior relapse. Disability worsening was defined as  
287 an increase in EDSS by 1 step (1.5 steps if baseline EDSS=0, and 0.5 steps if baseline  
288 EDSS>5.5) confirmed by subsequent EDSS scores over ≥6 months. Disability improvement  
289 was defined as a decrease in EDSS by 1 step (1.5 step if baseline EDSS=1.5 and 0.5 steps  
290 if baseline EDSS>6) confirmed by subsequent EDSS scores over ≥6 months.<sup>28</sup>

291 Safety information was recorded in the AHSCT group and included: febrile neutropenia,  
292 serum sickness, ICU admission, infectious and other complications after discharge, and  
293 mortality.

294

## 295 **Statistical analysis**

296 This study emulated three clinical trials comparing AHSCT with fingolimod, natalizumab and  
297 ocrelizumab (eTable 3).<sup>29</sup> Matching and statistical analyses were conducted using R  
298 (v4.1.1).<sup>30</sup> Individual patients were matched on their propensity of receiving either of the  
299 compared therapies in 1:10 variable matching ratio without replacement within a caliper of  
300 0.1 standard deviations of the propensity score. Individual propensity scores were calculated  
301 using a multivariable logistic model of treatment allocation that utilised demographic and  
302 clinical variables available at baseline as independent variables: sex, age, EDSS, number of



303 relapses 12 and 24 months before baseline, time from first symptom of MS to baseline, the  
304 most effective prior DMT and geographical region.

305 All subsequent analyses were designed as paired models with weighting to account for the  
306 variable matching ratio (cumulative weight per patient $\leq 1$ ). The pairwise-censored on-  
307 treatment follow-up was determined in each matched pair as the shorter of the two patient  
308 follow-up periods, to mitigate attrition bias, informative censoring and the effect of differential  
309 treatment persistence.<sup>12</sup>

310 ARR were compared with a weighted negative binomial model with cluster effect for  
311 matched pairs. The cumulative hazards of first relapse, disability worsening and disability  
312 improvement were evaluated with weighted conditional proportional hazards models (Cox)  
313 adjusted for visit frequency and with robust estimation of variance. Interaction term for  
314 treatment and time was introduced in the models where Schoenfeld's global test indicated  
315 violation of the proportionality of hazards assumption.

316 Robustness of the statistically significant differences to unidentified confounders was  
317 quantified with Hodges-Lehmann  $\tau$ .<sup>31</sup> Where no evidence of difference between the  
318 compared groups was found, the minimum detectable effect at  $\alpha=0.05$  and  $1-\beta=0.80$  was  
319 estimated with 200 simulations per treatment pair and outcome.

320

321

## 322 **RESULTS**

323 A total of 167 (AHSCT), 2558 (fingolimod), 1490 (natalizumab), and 700 (ocrelizumab)  
324 patients fulfilling the inclusion criteria were identified (Figure 1, eTable 4). Among the AHSCT  
325 cohort, the conditioning intensity was used as follows: high-intensity in 43 patients (26%),  
326 intermediate-intensity myeloablative in 49 patients (29%), intermediate-intensity  
327 lymphoablative in 64 patients (38%) and low- to intermediate-intensity in 11 patients (7%).<sup>19</sup>  
328 As expected, the four unmatched groups differed in their baseline characteristics (eTable 5).  
329 From the logistic models used to derive the propensity scores, it is apparent that patients  
330 tended to commence AHSCT at younger age, higher disability, and shorter disease duration  
331 compared to the three studied DMTs (eTable 6).

332

### 333 **Effectiveness**

334 The numbers of patients retained in the three pairwise matched comparisons are shown in  
335 Table 1. The matching procedure significantly decreased the differences in propensity scores  
336 between the compared groups from 0.35-0.41 to 0.002-0.005, corresponding to a 99.0-  
337 99.5% improvement in the overall balance. The close match on individual characteristics is  
338 demonstrated in Table 1 (standardised differences  $\leq 10\%$  for all matched characteristics). As  
339 a result of pairwise censoring, on-treatment follow-up was identical in the matched groups.  
340 The groups were not matched on the between-visit intervals, for which the analyses were  
341 then adjusted.

342 Patients treated with AHSCT experienced fewer relapses than those treated with fingolimod  
343 (Figure 2; ARR, mean $\pm$ standard deviation [SD] 0.09 $\pm$ 0.30 vs. 0.20 $\pm$ 0.44, respectively,  
344  $p<0.0001$ ). This observation was robust to unmeasured confounding ( $\tau>100\%$ ) and  
345 confirmed by the cumulative hazard of relapse (hazard ratio [HR]=0.26, 95% confidence  
346 interval [95%CI]=0.18-0.36). We did not find evidence for difference in the cumulative  
347 hazards of 6-month confirmed disability worsening over up to 5 years (HR=1.70,  
348 95%CI=0.91-3.17). AHSCT was superior in facilitating 6-month confirmed improvement of  
349 disability than fingolimod (HR=2.70; 95%CI=1.71-4.26).

350 The ARR in the AHSCT group was marginally lower than in the natalizumab group (Figure 3;  
351  $0.08 \pm 0.31$  vs.  $0.10 \pm 0.34$ , respectively,  $p=0.03$ ), as also confirmed by the cumulative hazard  
352 of relapses (HR=0.51, 95%CI=0.34-0.74). This observation was moderately robust to  
353 unmeasured confounding ( $r=20\%$ ). The study did not find evidence for difference in the 6-  
354 month confirmed disability worsening between AHSCT and natalizumab (HR=1.06,  
355 95%CI=0.54-2.09), with similar proportions of patients who experienced disability worsening  
356 by years 2 and 5. AHSCT was superior in facilitating 6-month confirmed improvement of  
357 disability consistently during the 5-year follow-up (HR=2.68; 95%CI=1.72-4.18).  
358 The analysable follow-up for ocrelizumab was relatively shorter, up to 3 years from  
359 commencing study therapy. The risk of relapses was similar in the AHSCT and the  
360 ocrelizumab groups, as demonstrated by ARR (Figure 4;  $0.09 \pm 0.34$  vs.  $0.06 \pm 0.32$ ,  
361 respectively,  $p=0.86$ ) and cumulative hazard of relapses (HR=0.75, 95%CI=0.36-1.57). This  
362 observation was moderately robust to potential unmeasured confounding ( $r=40\%$ ). The  
363 cumulative hazards and the proportions of patients who remained free from 6-month  
364 confirmed disability worsening (HR=1.77, 95%CI=0.61-5.08) and experienced 6-month  
365 confirmed disability improvement (HR=1.37, 95%CI=0.66-2.82) were similar.  
366 According to the power analysis, the emulated trials were sufficiently powered to detect  
367 minimum differences of 0.17 relapses per year and 19-69% of the cumulative hazards of  
368 outcome events (eTable 7).

369

### 370 **Safety**

371 Safety data were available for the patients treated with AHSCT. Among the 159 patients who  
372 were matched in at least one of the pairwise analyses, 37 patients experienced febrile  
373 neutropenia during mobilisation, 18 patients experienced serum sickness, and 14 patients  
374 required ICU admission. 82 serious adverse events were recorded in 58 patients after  
375 discharge post-AHSCT, these consisted mainly of infections (49), especially of viral aetiology  
376 (34; eTable 8). Treatment-related death was reported in one patient (0.6%, due to veno-  
377 occlusive disease of the liver post-busulfan).

378

379

### 380 **DISCUSSION**

381 We have used composite data from 6 AHSCT centres and the international MSBase registry  
382 to emulate comparative trials of AHSCT vs. two high-efficacy and one medium-efficacy  
383 disease modifying therapies for MS. The results showed that AHSCT is highly efficacious  
384 when used to treat highly active relapsing-remitting MS. Its ability to prevent relapses is  
385 substantially superior to fingolimod, marginally superior to natalizumab, and, with a shorter  
386 follow-up, appears similar to ocrelizumab. The study did not find evidence for a difference in  
387 the probability of disability worsening between AHSCT and the comparator DMTs, and in the  
388 probability of disability improvement over a shorter available follow-up between AHSCT and  
389 ocrelizumab. AHSCT is associated with a higher rate of recovery from disability in  
390 comparison to fingolimod and natalizumab, especially during the initial year post-treatment,  
391 when it was observed among approximately 30% of the patients treated with AHSCT. This is  
392 of particular interest, as natalizumab is associated with a particularly high (25%) probability of  
393 confirmed reduction of neurological disability shortly after its commencement.<sup>12,32</sup>

394 To date, only two randomised controlled trials of AHSCT have been completed. A phase 2  
395 trial compared a mixed group of 9 patients with relapsing or progressive MS treated with  
396 myeloablative AHSCT with 12 patients treated with mitoxantrone. The trial concluded that  
397 AHSCT was more effective than mitoxantrone in reducing clinical and radiological episodic

398 inflammatory activity.<sup>33</sup> The phase 3 MIST trial compared 55 patients with relapsing-remitting  
399 MS randomised to non-myeloablative AHSCT with the same number randomised to  
400 escalation of DMT.<sup>7</sup> The trial reported superiority of AHSCT in reducing the risk of disability  
401 worsening, relapses and MRI activity. Because the interventions in the DMT escalation group  
402 ranged from interferon  $\beta$  to natalizumab with or without add-on methylprednisolone,  
403 rituximab, plasmapheresis, cyclophosphamide or intravenous immunoglobulins, the study did  
404 not generate evidence regarding the effectiveness of AHSCT head-to-head with the most  
405 potent available DMTs.

406 Presently, three randomised clinical trials comparing AHSCT (cyclophosphamide-ATG  
407 protocols) to composite comparator groups treated with specific high-efficacy DMTs in highly  
408 active MS are underway.<sup>8</sup> The RAM-MS trial (phase 3, Scandinavia, Netherlands) will  
409 compare the efficacy of AHSCT against alemtuzumab, ocrelizumab and cladribine. The  
410 STAR-MS trial (phase 3, UK) uses a composite comparator group of alemtuzumab,  
411 ocrelizumab and cladribine. The COAST trial (phase 2, Germany) compares AHSCT versus  
412 a composite comparator of ocrelizumab or alemtuzumab. In addition, two randomised trials  
413 are comparing AHSCT with BEAM-ATG conditioning against a range of high-efficacy DMTs  
414 representing the best standard care: BEAT-MS (phase 3, US) and NET-MS (phase 2, Italy).  
415 These trials will generate important evidence to guide the use AHSCT in the future. Their  
416 results are expected to become available over the next decade.

417 Our present study enables us to draw conclusions separately about the effectiveness of  
418 AHSCT vs. two high-efficacy and one medium-efficacy DMT among patients with highly  
419 active relapsing-remitting MS. The cohort represents typical clinical scenarios in which  
420 AHSCT is presently considered – highly inflammatory disease in young patients with prior  
421 failures of potent DMTs and mild-moderate disability. With the comparison of AHSCT against  
422 fingolimod we have established discriminative ability of the matched analysis, clearly  
423 demonstrating the expected superiority of AHSCT. In comparison to natalizumab, AHSCT  
424 was marginally superior at reducing relapse activity over 5 years (absolute difference of 1  
425 relapse per 50 patient-years). In none of the comparisons did the superior effect of AHSCT  
426 translate into reducing the risk of disability worsening. On the other hand, AHSCT was  
427 associated with partial recovery from the previously accumulated neurological disability when  
428 compared with fingolimod and natalizumab. Interestingly, we did not find evidence of  
429 difference between the effects of AHSCT and ocrelizumab on relapses, studied over a  
430 shorter, 3-year follow-up. The observation that AHSCT showed superiority in clinical  
431 outcomes over fingolimod and, to a lesser extent, natalizumab, but not ocrelizumab, is  
432 intriguing. While this may be attributed to the shorter on-treatment follow-up available in the  
433 ocrelizumab cohort, another explanation may relate to the differences in the mechanisms of  
434 action among the therapies. Fingolimod and natalizumab are antitrafficking agents,  
435 sequestering lymphocytes outside of the CNS, whereas ocrelizumab acts through depletion  
436 of CD20-positive cells – a mechanism that is more similar to the immunosuppressive effect of  
437 AHSCT.<sup>34</sup>

438 The safety profile of AHSCT is consistent with the previous cohort experience. A  
439 considerable number of patients experienced febrile neutropenia during mobilisation with  
440 cyclophosphamide and 9% required ICU admission. Doses lower than 2g/m<sup>2</sup> are associated  
441 with a lower risk of this complication. Whether the lymphodepleting effect of  
442 cyclophosphamide is dose-dependent and whether the mononuclear content of the graft  
443 impacts on the outcome is unknown. Almost one third of patients developed infectious  
444 complications at later stages, following recovery from the transplant procedures. Only one  
445 treatment-related death (0.6%) was reported.

446 The main limitation of this study is its lack of true randomisation. However, randomisation to  
447 AHSCT or DMT with appropriate blinding is extremely problematic, given the considerably  
448 different intensities of treatment protocols, persistence and safety profiles.<sup>35</sup> It has therefore  
449 been argued that observational data analysed with appropriate statistical methodology  
450 represent an optimal solution to establishing evidence for comparative effectiveness of  
451 AHSCT.<sup>36</sup> We have utilised well-established methods to emulate clinical trials using a large  
452 composite database of patients treated with AHSCT or DMTs, and this provides this study  
453 with larger power and generalisability than the previous randomised trials.<sup>17</sup> We have applied  
454 matching, pairwise censoring and model adjustment to mitigate the potential biases, an  
455 approach whose validity was demonstrated in our previous studies.<sup>12,37</sup> As the result of strict  
456 inclusion and matching criteria, we achieved a close alignment of the compared treatment  
457 groups on their demographic and clinical characteristics. While the study did not allow direct  
458 comparison of the safety for AHSCT and the DMTs, the systematic acquisition of safety  
459 information in the AHSCT cohort enabled us to report short- and long-term safety outcomes  
460 of AHSCT. Because MRI information was unavailable in more than half of the AHSCT cohort,  
461 this study did not include MRI in matching or as one of its outcomes. However, the MRI  
462 characteristics at baseline were similar between the matched groups where the information  
463 was available. Our previous studies did not show any effect of inclusion of MRI in matching  
464 on their results.<sup>11,12</sup> To account for geographic differences in cohorts and outcomes,<sup>38</sup> we  
465 have matched patients on their geographic location. Some of the patients in the AHSCT  
466 group would be followed as part of open-label clinical trials. To mitigate this potential source  
467 of ascertainment bias, we have accounted for differences in follow-up, we have adjusted  
468 models for the frequency of visits with EDSS scores. To explore the specific effectiveness of  
469 conditioning regimens on the effectiveness of AHSCT, a dedicated study with specific design  
470 will be required.

471 We show that over 5 years, the effect of AHSCT on suppressing relapses and facilitating  
472 recovery from disability in highly active relapsing-remitting MS is superior to fingolimod and  
473 natalizumab. Even though it requires a complex treatment procedure, its one-off nature may  
474 offer practical advantages over the continuously administered therapies.<sup>8</sup> AHSCT is  
475 associated with considerable risks, but the risk of treatment-associated mortality is low.  
476 AHSCT is highly effective disease modifying therapy suitable for those with highly  
477 inflammatory relapsing-remitting MS.

478

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493

494 **Authors' contributions**

495 Tomas Kalincik conceptualised and designed the study, recruited patients, contributed data,  
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497 manuscript. Mark S. Freedman, Harold Atkins, Joachim Burman, Jennifer Massey, Ian  
498 Sutton, Barbara Withers, Richard Macdonell, Andrew Grigg, Oivind Torkildsen, Lars Bo,  
499 Anne Kristin Lehmann, Basil Sharrack, John Snowden conceptualised the study, recruited  
500 patients, contributed data, interpreted the results and have edited the manuscript. Sifat  
501 Sharmin, Izanne Roos interpreted the results and have edited the manuscript. Eva Kubala  
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504 Elisabetta Cartechini, Serkan Ozakbas, Raed Alroughani, Jens Kuhle, Francesco Patti,  
505 Pierre Duquette, Alessandra Lugaresi, Samia J. Khoury, Mark Slee, Recai Turkoglu,  
506 Suzanne Hodgkinson, Nevin John, Davide Maimone, Maria Jose Sa; Vincent van Pesch,  
507 Oliver Gerlach, Guy Laureys, Liesbeth Van Hijfte, Rana Karabudak, Daniele Spitaleri, Tunde  
508 Csepány, Riadh Gouider, Saloua Mrabet, Tamara Castillo Triviño, Justin Garber, Jose Luis  
509 Sanchez-Menoyo, Eduardo Aguera-Morales, Yolanda Blanco, Abdullah Al-Asmi, Bianca  
510 Weinstock-Guttman, Bruce Taylor, Yara Fragoso, Koen de Gans, Allan Kermode recruited  
511 patients, contributed data, interpreted the results and have edited the manuscript.

512

513 **DATA SHARING STATEMENT**

514 Data from the participating cohorts can be requested from the principal investigators,  
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516 The MSBase registry is a data processor and warehouses data from individual  
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518 basis. Data access to external parties can be granted on reasonable request at the  
519 sole discretion of the principal investigators, who will need to be approached  
520 individually for permission.

521

522 **DECLARATION OF INTERESTS**

523 Tomas Kalincik served on scientific advisory boards for BMS, Roche, Janssen, Sanofi  
524 Genzyme, Novartis, Merck and Biogen, steering committee for Brain Atrophy Initiative by  
525 Sanofi Genzyme, received conference travel support and/or speaker honoraria from WebMD  
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533 Mark Freedman received research/educational grants from Sanofi-Genzyme Canada,  
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536 Novartis, Sanofi-Genzyme, Teva Canada Innovation. He served as a member of company  
537 advisory boards or boards of directors for Alexion, Atara Biotherapeutics, Bayer Healthcare,  
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543 Ian Sutton received compensation for an educational activity from Biogen.  
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782 **FIGURE LEGENDS**

783

784 **Figure 1**

785 Consort diagram of patient disposition

786 AHSCT, autologous hematopoietic stem cell transplantation; CIS, clinically isolated

787 syndrome; MS, multiple sclerosis

788

789 **Figure 2**

790 Comparative effectiveness of AHSCT and fingolimod

791 AHSCT, autologous hematopoietic stem cell transplantation; 95%CI, 95% confidence

792 interval

793

794 **Figure 3**

795 Comparative effectiveness of AHSCT and natalizumab

796 AHSCT, autologous hematopoietic stem cell transplantation; 95%CI, 95% confidence

797 interval

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800 **Figure 4**

801 Comparative effectiveness of AHSCT and ocrelizumab

802 AHSCT, autologous hematopoietic stem cell transplantation; 95%CI, 95% confidence

803 interval

804

805 **Table 1**

806 **Characteristics of the matched patient groups at baseline**

	AHSCT	fingolimod	d	AHSCT	natalizumab	d	AHSCT	ocrelizumab	d
patients matched	144	769		146	730		110	343	
sex, M (%)	44 (30.6)	224 (29.1)	0.03	45 (30.8)	224 (30.6)	0.01	36 (32.7)	120 (35.0)	0.05
age (mean (SD))	35.7 (8.7)	35.3 (9.4)	0.04	35.5 (8.7)	36.0 (9.0)	0.06	37.0 (8.6)	37.1 (10.6)	0.01
MS duration, y (mean (SD))	8.12 (5.58)	8.17 (6.07)	0.01	7.92 (5.63)	8.17 (6.22)	0.04	8.68 (5.42)	8.48 (7.34)	0.03
relapses in prior 12 months (mean (SD))	0.80 (0.97)	0.81 (0.92)	0.02	0.82 (1.01)	0.86 (0.89)	0.04	0.79 (0.95)	0.77 (0.94)	0.03
relapses in prior 24 months (mean (SD))	1.12 (1.27)	1.17 (1.20)	0.04	1.17 (1.33)	1.19 (1.14)	0.02	1.15 (1.25)	1.08 (1.19)	0.06
baseline EDSS (mean (SD))	3.74 (1.63)	3.75 (1.82)	0.00	3.86 (1.66)	3.88 (1.92)	0.02	3.50 (1.60)	3.58 (1.87)	0.05
patients with pre-baseline progression (%)	23 (16.0)	168 (21.8)	0.15	23 (15.8)	197(27.0)	0.28	20 (18.2)	69 (20.0)	0.05
top pre-baseline DMT (%)			0.05			0.03			0.03
low-efficacy	18 (12.5)	104 (13.5)		18 (12.3)	87 (12.0)		14 (12.7)	43 (12.5)	
medium-efficacy	9 (6.2)	46 (5.9)		12 (8.2)	55 (7.5)		10 (9.1)	30 (8.7)	
high-efficacy	24 (16.7)	139 (18.2)		17 (11.6)	88 (12.1)		22 (20.0)	73 (21.3)	
unknown	93 (64.6)	480 (62.4)		99 (67.8)	500 (68.5)		64 (58.2)	197 (57.5)	
region (%)			0.03			0.07			0.05
Asia-Pacific	46 (31.9)	236 (30.7)		46 (31.5)	230 (31.5)		45 (40.9)	148 (43.2)	
Europe	73 (50.7)	392 (51.0)		73 (50.0)	346 (47.4)		50 (45.5)	148 (43.0)	
North America	25 (17.4)	141 (18.3)		27 (18.5)	154 (21.1)		15 (13.6)	47 (13.8)	
study follow-up, y (mean (SD))	4.01 (2.59)	2.84 (2.43)	0.46	4.08 (2.67)	2.51 (2.22)	0.64	3.78 (2.43)	1.52 (0.94)	1.22
year of baseline (median [IQR])	2015 [2013, 2017]	2013 [2012, 2015]	0.17	2015 [2013, 2016]	2012 [2010, 2015]	0.44	2016 [2014, 2017]	2018 [2018, 2019]	1.40
MRI: T2 lesion number (%)			0.76			0.84			1.04
0	0 (0.0)	4 (0.5)		0 (0.0)	1 (0.1)		0 (0.0)	9 (2.5)	
1-2	3 (2.1)	27 (3.5)		3 (2.1)	35 (4.8)		3 (2.7)	9 (2.7)	
3-8	5 (3.5)	130 (17.0)		4 (2.7)	125 (17.2)		5 (4.5)	53 (15.6)	

9+	45 (31.2)	374 (48.6)		46 (31.5)	367 (50.3)		38 (34.5)	220 (64.1)	
unknown	91 (63.2)	234 (30.5)		93 (63.7)	202 (27.7)		64 (58.2)	52 (15.1)	
visit interval, months (mean (SD))	8.38 (4.43)	4.46 (4.02)	0.93	8.39 (4.42)	3.99 (4.41)	0.99	8.77 (4.70)	5.48 (3.57)	0.79

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811 The patient characteristics are presented for each pair of matched treatment groups separately.

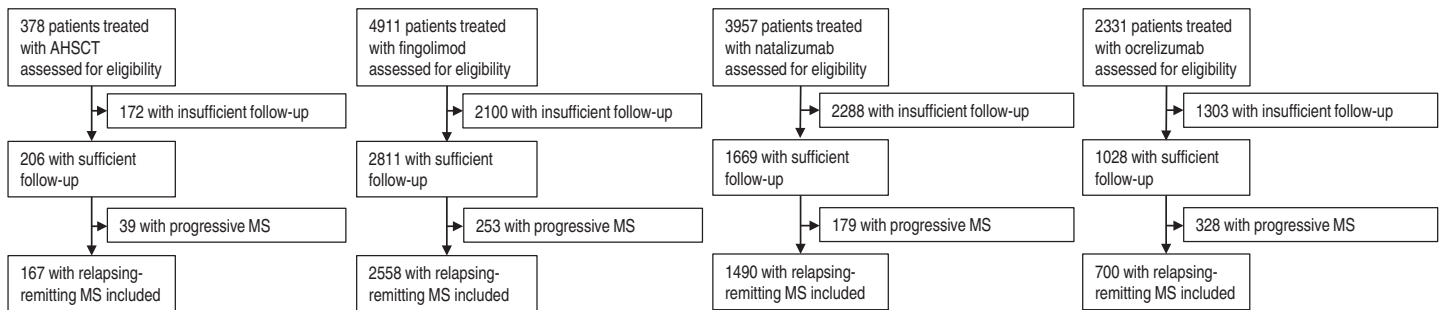
812 d, standardised difference (Cohen's d); SD, standard deviation; EDSS, Expanded Disability Status Scale; IQR, interquartile range

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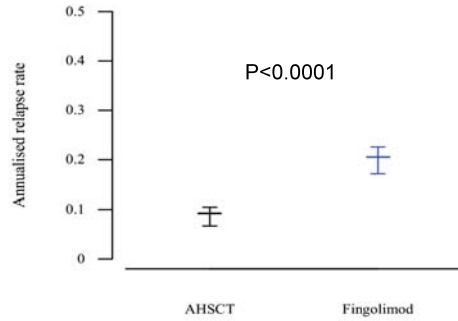
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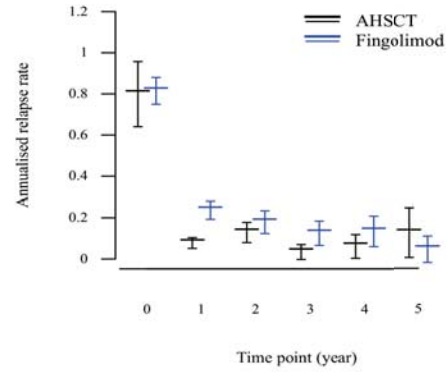
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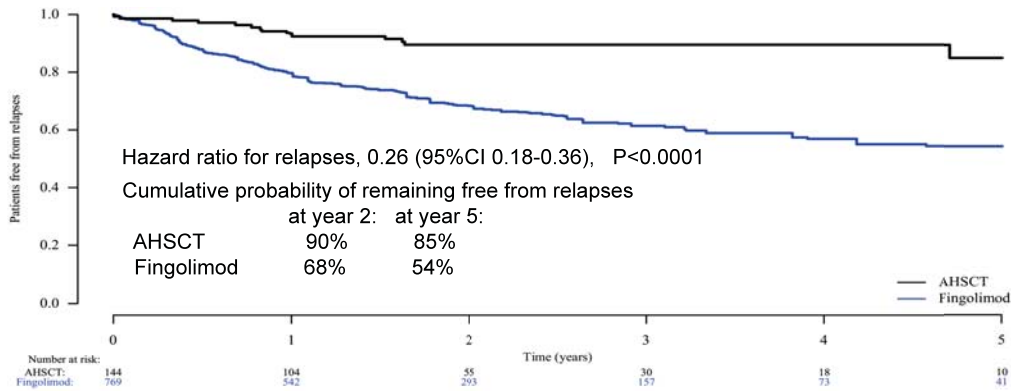
### A Overall annualised relapse rate



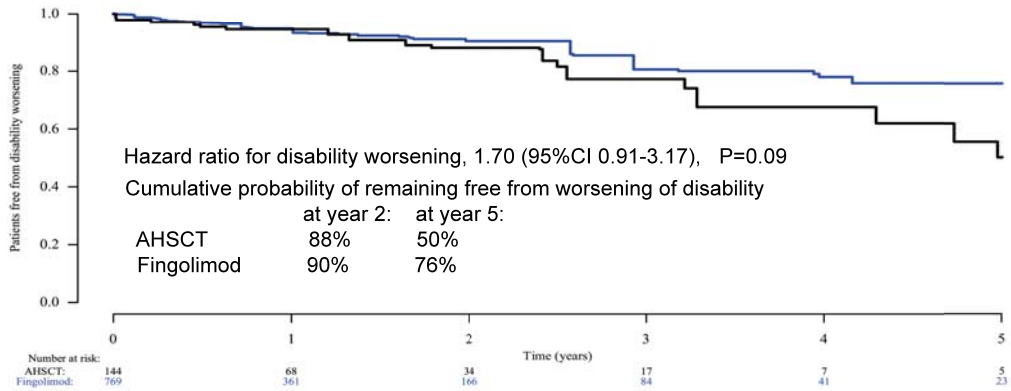
### B Annual relapse rate by year



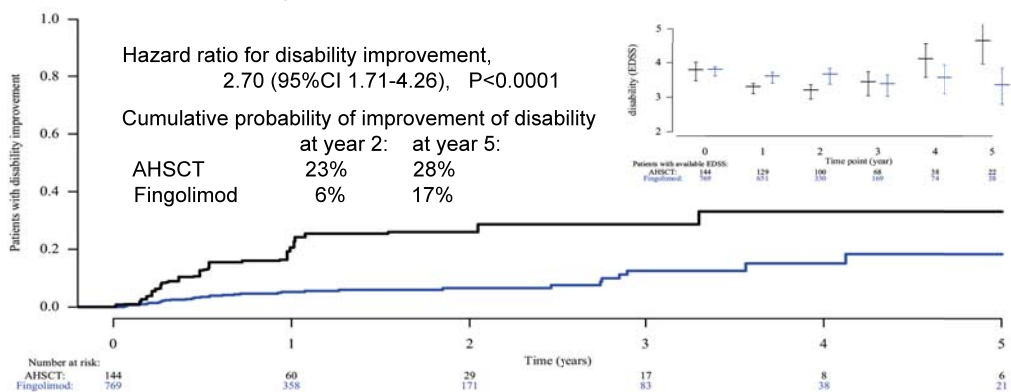
### C Freedom from relapses



### D Confirmed disability worsening

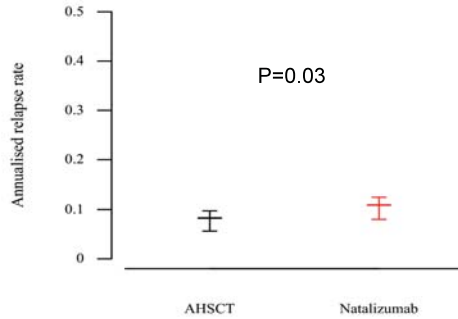


### E Confirmed disability improvement

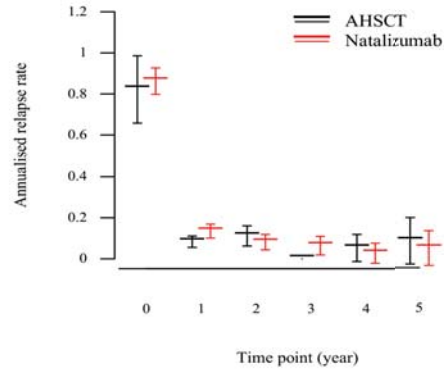




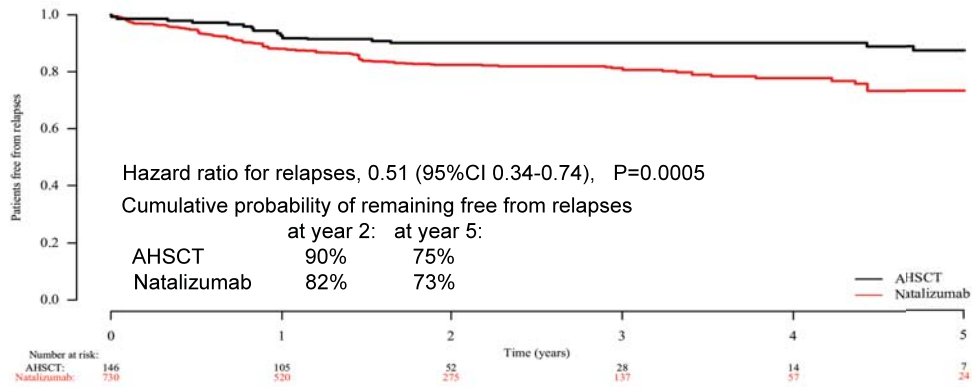
### A Overall annualised relapse rate



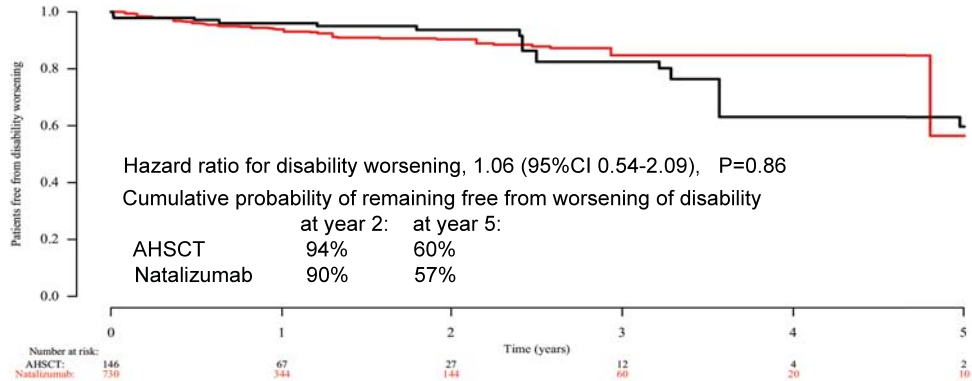
### B Annual relapse rate by year



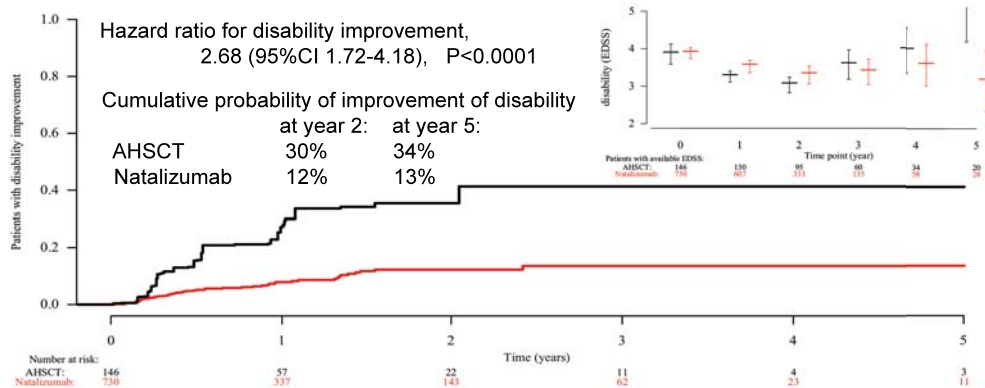
### C Freedom from relapses



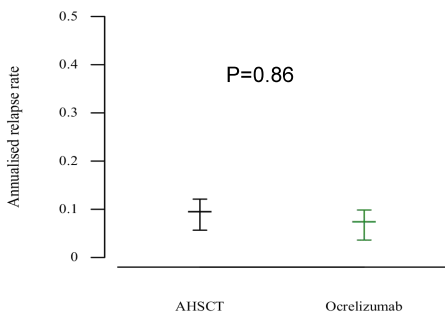
### D Confirmed disability worsening



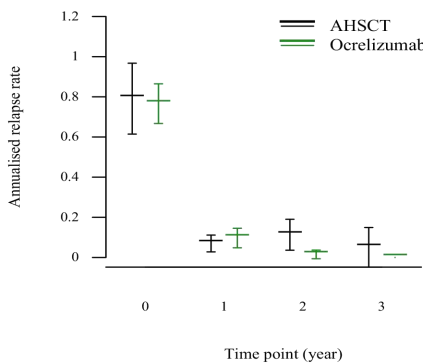
### E Confirmed disability improvement



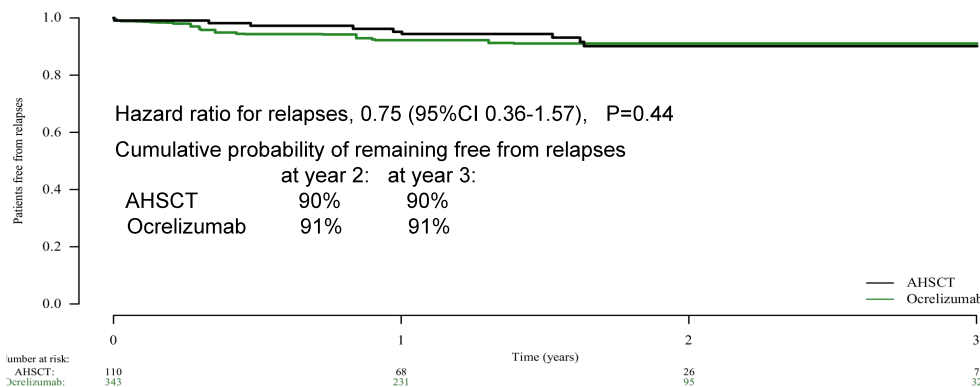
## A Overall annualised relapse rate



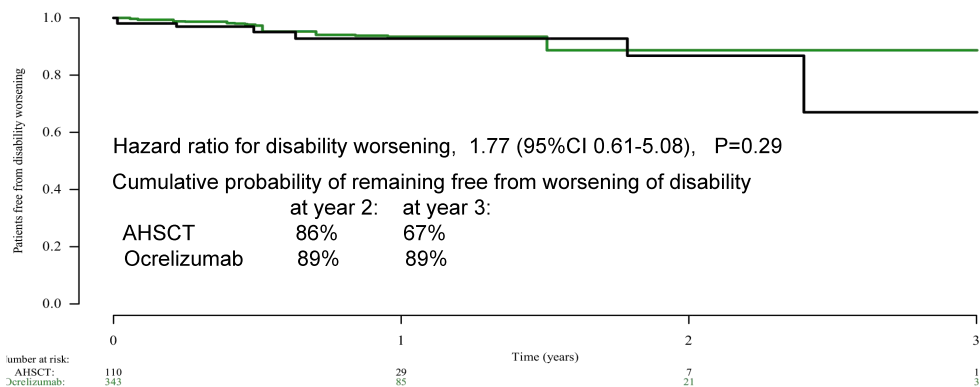
## B Annual relapse rate by year



## C Freedom from relapses



## D Confirmed disability worsening



## E Confirmed disability improvement

