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ABSTRACT

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3 Sleep disturbances are one of the most frequent reported problems in people with nonspecific chronic
4 spinal pain (nCSP) and presents an additional treatment challenge. Interventions targeting sleep
5 problems are mainly based on subjective sleep complaints and don't take objective sleep into
6 consideration. The aim of this cross-sectional study was to evaluate the relationship and conformity
7 between self-reported and objectively measured sleep parameters (i.e. questionnaire vs.
8 polysomnography and actigraphy). The baseline data of 123 people with nCSP and comorbid insomnia
9 who are participating in a randomized controlled trial was analyzed. Pearson correlations were used to
10 investigate the relationship between objective and subjective sleep parameters. Differences between
11 objective and subjective sleep parameters were analyzed using t-tests. Bland-Altman analyses were
12 performed to quantify and visualize agreement between the different measurement methods. Except
13 for the significant, moderate correlation between perceived time in bed (TIB) and actigraphic TIB
14 ($r=.667$, $p<.001$), all other associations between subjective and objective measures were rather weak
15 ($r<.400$). Participants underestimated their total sleep time (TST) (Mean Difference [MD]=-52.37 [-
16 67.94, -36.81], $p<.001$) and overestimated sleep onset latency (SOL) (MD=13.76 [8.33, 19.20],
17 $p<.001$) in general. The results of this study suggest a discrepancy (differences and lack of agreement)
18 between subjective and objective sleep parameters in people with nCSP and comorbid insomnia. No or
19 weak associations were found between self-reported sleep and objectively measured sleep. Findings
20 suggest that people with nCSP and comorbid insomnia tend to underestimate TST and overestimate
21 SOL. Future studies are necessary to confirm our results.

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49 **Keywords:** Chronic spinal pain, chronic neck pain, chronic back pain, sleep assessment,
50 polysomnography, actigraphy, self-report
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Relationship, differences and agreement between objective and subjective sleep measures in chronic spinal pain patients with comorbid insomnia: a cross-sectional study

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1 Introduction

2 Nonspecific chronic spinal pain (nCSP), defined as chronic neck and/or back pain not attributable to a
3 specific pathology, is a prevalent chronic pain conditions with a significant impact on health care cost,
4 disability and quality of life. [22; 26; 31; 43; 44] Within the nCSP population, sleep disturbances are
5 frequently reported with more than 50% having comorbid insomnia.[3; 7; 20; 48; 61] Furthermore,
6 insomnia is associated with depressive symptoms, anxiety and pain catastrophizing, can negatively
7 influence physical and psychological functioning and can increase disability, pain severity, and
8 economic burden.[11; 29; 33; 47; 56; 59] Available evidence demonstrates a bidirectional relationship
9 between pain and sleep problems, in which sleep disturbances are a stronger predictor for pain.[12; 67]
10 Considering the available evidence and the impact of insomnia, addressing sleep problems as an
11 integral part of the nCSP management seems warranted.

12 The management of insomnia is often mainly based on self-reported sleep. This could be expected
13 since the diagnosis of insomnia disorder relies on self-reported symptoms (i.e., there is no insomnia
14 when there is no complaint).[4] However, the majority of insomniacs tend to misperceive their sleep
15 time and it appears that objective and subjective sleep measures assess different sleep constructs.[21;
16 49; 57] Both actigraphy and polysomnography assessments provide unique information in objective
17 manner which can help to reveal and address underlying sleep problems. However, since actigraphic
18 sleep estimated are based on movement, motionless wake is likely to register as sleep. To fine-tune the
19 algorithmic actigraphy reports, the use of a sleep diary is recommended.[5; 23] Currently it is unclear
20 whether stand-alone actigraphy (i.e., without sleep logs) could be used to reliably detect sleep.
21 Although commercial wearables often use other parameters (i.e., light, heart rate and skin conduction)
22 to more reliably detect different sleep stages, manufacturers commonly use their own algorithmic
23 scoring which they generally withheld.[58] Research-grade activity trackers mostly depend on motion
24 only.

25 Currently, there is still an important knowledge gap regarding the treatment of objective-subjective
26 sleep discrepancy.[1; 10; 16; 49] Depending on the sleep perceptions, different therapeutic
27 components of cognitive behavioral therapy for insomnia (CBT-I) might play important roles.[34]

28 Furthermore, the presence of nCSP and the mutual interactions with sleep introduce an additional
1 challenge to identify the most efficient treatment approach.[12; 67]
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5 30 Given 1) that most studies in people with nCSP only make use of self-reported sleep measures,[35; 67]
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7 31 do not focus on the relation and difference between objective and subjective sleep measures,[3; 7; 61]
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9 32 or have a small sample and are most-likely underpowered,[46; 65] 2) the varying nature of sleep
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11 33 problems in nCSP and the importance of identifying objective-subjective sleep discrepancy,[10; 49]
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13 34 and 3) the lack of information regarding the clinical usefulness of stand-alone actigraphy to assess
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15 35 sleep in people with nCSP, the aim of this study was to add to a better understanding of sleep
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17 36 problems in people with nCSP and expand on existing literature by comparing subjective and
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19 37 objective sleep parameters, investigating their relationship and examining the agreement between
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21 38 objective and subjective assessment methods in people with nCSP.
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28 **Methods**

29 ***Study design***

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35 42 This is a cross-sectional study, using the baseline data of 123 participants from an ongoing multi-
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37 43 center randomized controlled trial (RCT) (registered at Clinicaltrials.gov [NCT03482856], expected
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39 44 finalization in June 2022). The full study protocol of the ongoing trial is published elsewhere.[39] This
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41 45 cross-sectional study aims to investigate and compare objective and subjective sleep assessments in
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43 46 people with nCSP. The ongoing trial was approved by the local ethics committees of the University
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45 47 Hospital Ghent and University Hospital Brussels (reference no. BUN 670201835625). Signed
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47 48 informed consent was obtained from all participants prior to any study procedure. Socio-demographics
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49 49 and additional information (including the nature, severity and impact of insomnia [insomnia severity
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51 50 index]; sleep propensity [Epworth sleepiness scale]; mental and physical fatigue [Brugmann fatigue
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53 51 scale]; level of anxiety and depression [hospital anxiety and depression scale]; Perceived health or
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55 52 health-related quality of life [Short Form Health Survey-36]; pain intensity and impact of pain on
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57 53 functioning [Brief Pain Inventory]; and self-reported signs of central sensitization [Central
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54 sensitization inventory]) were collected from every participant.

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56 *Setting, participants, and sample size*

57 Participants were recruited from the participating universities and university hospitals (Ghent and
58 Brussels), occupational health services, primary care practices, through adverts and flyers, and social
59 media. Potential participants received written information about the study and were requested to fill
60 out an online questionnaire which was used to screen for in- and exclusion criteria. Eligible people
61 were verbally informed and telephone screened prior to study participation. The telephone screening
62 was used to confirm the eligibility and ask additional questions if the answers on the online
63 questionnaire did not suffice.

64 Inclusion criteria were: (1) being a native Dutch speaker, (2) aged between 18 and 65 years, (3) having
65 nCSP for at least 3 days/week, for at least 3 months, including chronic low back pain (CLBP), failed
66 back surgery syndrome [i.e. surgery more than 3 years ago and anatomically successful surgery
67 without symptom disappearance], chronic traumatic and non-traumatic neck pain), (4) having
68 insomnia (i.e., self-reported sleep difficulties described as > 30 minutes of wake time during the night
69 [including sleep latency, wake after sleep onset, early morning awakenings or a combination] for > 3
70 days/week for > 6 months, and which causes distress or impairment in daytime functioning despite
71 having adequate opportunity and circumstances to sleep.), and (5) refraining from analgesics, caffeine,
72 alcohol or nicotine 48 hours prior to the assessments. Since this study used the baseline data of an
73 RCT investigating an intervention, (6) participants had to be available and willing to participate in
74 therapy sessions and were not allowed to continue any other therapies (i.e. other physical therapy
75 treatments, acupuncture, osteopathy, etc.), except for usual medication and did not receive any form of
76 pain neuroscience education or sleep training before. Additionally, participants were asked not to
77 initiate new pharmacological treatments 6 weeks prior to and during participation and not to undertake
78 exercise (< 3 metabolic equivalents) 3 days before the assessments. Exclusion criteria were: (1)
79 suffering from any specific medical condition possibly related to their pain (e.g. neuropathic pain, a
80 history of neck/back surgery in the past 3 years, osteoporotic vertebral fractures, rheumatologic

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81 diseases); (2) having any severe underlying comorbid sleep pathology (e.g. apnea, restless leg
82 syndrome, etc.) identified through baseline data of polysomnography or diagnosed before
83 participation); (3) being pregnant or pregnancy (including having given birth) in the preceding year;
84 (4) history of specific spinal surgery; (5) suffering from thoracic pain in absence of neck or low back
85 pain (LBP); (6) being a shift worker, (6) being diagnosed with depression, (7) being diagnosed with a
86 chronic widespread pain syndrome(e.g. fibromyalgia, chronic fatigue syndrome); and (8) having a
87 body mass index >30. As the data was originally collected as part of an RCT evaluating an
88 intervention, (9) people living more than 50 km away from the treatment location were excluded to
89 avoid dropout because of practical considerations.

90

91 *Sample size calculation*

92 The sample size was estimated specifically for this cross-sectional study which aims to evaluate the
93 relationship and conformity between self-reported and objectively measured sleep parameters. Sample
94 size calculation was performed with G*Power 3 (Düsseldorf, Germany) based on a pilot study of
95 O'Donoghue.[46] The required number of participants was calculated for a correlation analysis based
96 on a medium effect size ($|\rho|$) of 0.298. A total of 113 participants was required to detect a medium
97 effect size allowing for a type I error of .05 and aiming for 95% power.

98

99 *Procedure*

100 After the initial screening process and enrolment, all participants completed the baseline assessment
101 including online questionnaires, actigraphy (1 week) and home-based polysomnography (1 night).
102 Online questionnaires were used to assess socio-demographic (gender, age, body mass index, level of
103 education, pain duration), subjective sleep quality (using the Pittsburgh sleep quality index [PSQI]),
104 and all other secondary self-reported outcome measures (including Insomnia severity index, the
105 Epworth sleepiness scale, the Brugmann fatigue scale, the Hospital anxiety and depression scale, Short
106 Form Health Survey-36, the Brief Pain Inventory and the Central sensitization inventory). Home-

107 based polysomnography (Alice PDX system, Philips Respironics Inc™) was used to assess sleep
108 objectively. Additionally, sleep-wake was also monitored during one week using actigraphy (GT9X
109 Link, Actigraph). All participants were also screened for severe, primary sleep pathologies using the
110 data from the same home-based polysomnography assessment.[9; 45]

111

112 ***Outcome Measures***

113 *Subjective sleep assessment - Self-Report*

114 Self-reported sleep was evaluated using the PSQI which is commonly used to assess subjective sleep
115 quality. This short questionnaire consists of 19 items, offering seven component scores and one global
116 score ranging from 0 to 21.[15] A higher score indicates a worse self-reported sleep quality. The PSQI
117 has a high test-retest reliability and good validity.[6; 42] The following questions of the PSQI were
118 used to extract the subjective sleep parameters SOL, TST, TIB and SE: “During the past month, when
119 have you usually gone to bed at night?”, “During the past month, how long has it usually take you to
120 fall asleep each night?”, “During the past month, when have you usually gotten up in the morning?”
121 and “During the past month, how many hours of actual sleep did you get at night?”.

122

123 *Objective Sleep Assessment – Home-based Polysomnography*

124 All participants underwent a one night evaluation using the portable monitor (Alice PDX) in the
125 comfort of their own home to counteract first night effects encountered by insomniacs.[28] A standard
126 polysomnography montage was used and included electroencephalogram, electrooculogram, chin
127 electromyogram (EMG), leg EMG, electrocardiogram, breathing effort parameters, airflow
128 parameters, oxygen saturation, and body position, according to American Academy of Sleep Medicine
129 recommendations.[9] A trained researcher set-up the polysomnography measurements, advised the
130 patient with written and verbal instructions and gave a brief demonstration after the set-up.
131 Participants were asked to activate the event marker to indicate “lights out” and “lights on”. The data

132 were anonymized and manually scored by a trained researcher. Sleep stages, arousals, and abnormal
133 respiratory events were quantified according to AASM 2017 criteria (version 2.4).[9] The
134 polysomnography assessment provides the following parameters: time in bed (TIB), total sleep time
135 (TST), sleep onset latency (SOL), wake duration after sleep onset (WASO), early morning awakening
136 (EMA), sleep staging, sleep efficiency (SE). Polysomnography is considered as the “gold standard”
137 for monitoring sleep.[40; 50] To reduce first night effects, reversed first night effects and state-specific
138 effects based on environment (e.g., sleep lab),[28] all participants were monitored in the comfort of
139 their own home and bed by ambulatory polysomnography. Given the similar assessment qualities of
140 ambulatory polysomnography and the convenience of testing at home, home-based polysomnography
141 was the preferred choice so participants could sleep more naturally and in familiar surroundings during
142 the assessment[13; 45]

143

144 *Objective Sleep Assessment – Actigraphy*

145 Three-axis accelerometer activity monitors (GT9X-BT, Actigraph Corporation, LLC, USA) were used
146 to assess the sleep patterns for one week. Participants received the instruction to wear the activity
147 monitors continuously (day and night) at their non-dominant wrist. ActiLife6 (Actigraph, Corporation,
148 LLC, USA) was used to analyze the data captured with the activity monitors. The following sleep
149 variables were extracted from the activity monitors: TST, WASO, TIB and SE. Actigraph devices are
150 commonly used in research and are validated for general measures of sleep.[1; 8; 52] The Cole-Kripke
151 sleep scoring algorithm was used to determine all sleep variables.[17] The average values of all sleep
152 variables measured during one week by the actigraphy were used in the statistical analyses.

153

154 *Statistical Analysis*

155 SPSS 26.0 (IBM, Armonk, NY, USA) was used to perform the statistical analyses. Descriptive
156 statistics were computed for all demographic characteristics, and primary and secondary outcomes.
157 Histograms, Q-Q plots and Kolmogorov-Smirnov tests were used to check the normality of the

158 distribution of the differences in the dependent variables (sleep parameters). Difference of 2.2 times
1 the interquartile range were considered as outliers.[30] Pearson's product moment correlation
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4 160 coefficients were calculated between subjective sleep parameters and polysomnography parameters to
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6 161 assess the association between subjective and objective sleep measures. Dependent t-tests were used to
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8 162 compare mean values for objective and subjective TIB, TST, WASO, SOL and SE. Bland-Altman
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10 163 analyses were performed to quantify and visualize agreement between self-report measures and
11
12 164 polysomnography by studying the mean difference and constructing limits of agreement. The Bland-
13
14 165 Altman plots are scatter plots with the Y-axis representing differences between the two measures of
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16 166 specific sleep parameter and the X-axis representing the mean of these two measures. Additionally, the
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18 167 differences were also plotted as percentages. The agreement between the methods was evaluated by
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20 168 looking at the average of the differences (which should be zero when the variability is only linked to
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22 169 analytical imprecision), differences at different magnitudes to investigate possible relationship
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24 170 between measurement error and the true value (represented by an estimate based on the mean of the
25
26 171 two measurements) and the limits of agreement ($\text{Mean} \pm 1.96 * \text{Standard deviation (SD)}$).[25]
27
28 172 Although polysomnography is considered as the gold standard to evaluate sleep,[40; 50] it is known
29
30 173 that there is some variation in manual sleep scoring. A recent review found an inter-rater reliability for
31
32 174 manual, overall sleep scoring of 0.76 Cohen's kappa.[37] Since polysomnography is considered the
33
34 175 gold standard to assess sleep, the difference in manual scoring between two assessors can be considered
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36 176 as the limit for acceptable agreement between two different measure methods. Therefore, we added
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38 177 agreement limits, representing a 24% difference, to the percentage-based plots. The pairwise deletion
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40 178 method was used to handle missing values.
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47 179 Additionally, the statistical analyses were repeated to assess the association, the comparison of mean
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49 180 values and the agreement between the actigraphy parameters and subjective parameters, and
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51 181 actigraphy parameters and polysomnography parameters.
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57 182

58 183 **Results**

184 After the initial screening of selection criteria, a total of 146 people with nCSP and comorbid insomnia
185 were enrolled in the study. Based on the results of the polysomnography analysis, 20 participants were
186 excluded because of a primary sleep pathology (i.e., apnea (n=17) and periodic leg movements in
187 sleep (n=3)). There were 3 additional late exclusions based on the selection criteria: 1 participant was
188 excluded because of widespread pain and 2 more participants were excluded because of recent spinal
189 surgery. A flowchart with details about the missing data is presented in Figure 1. Polysomnography
190 data of one participant (who refused to participate in the polysomnography measurement) was
191 missing. There was no actigraphy data available of 7 participants because of several reasons: 1
192 actigraph monitor was defect, the actigraph data of 2 participants got corrupted and in 4 cases the data
193 could not be downloaded from the actigraph monitors. Based on the a priori set criterion of a
194 difference of 2.2 times the interquartile range, 3 outliers were identified. Despite being outliers, all
195 three values were considered realistic when checking the dataset and the original records. Therefore,
196 all outliers were considered as a part of the dataset and included in all analyses.

197 The participants had a mean age of 40.20 years (± 11.18) and 68% of the participants (84/123) were
198 female. More details regarding the descriptive data of the participants are presented in TABLE 1.

199

200 *Associations between subjective (self-report) and objective sleep parameters (polysomnography).*

201 A significant association was found between self-reported and polysomnographic TIB ($r=.365$,
202 $p<.001$). The associations between self-reported and polysomnographic SOL, TST and SE were non-
203 significant and very weak ($r=.113$, $p=.216$; $r=.112$, $p=.219$; $r=.175$, $p=.054$, respectively). All
204 correlations with corresponding p values are presented in TABLE 2.

205

206 *Associations between actigraphy parameters and sleep parameters measured by other methods.*

207 Significant associations were found between self-reported and actigraphic TST ($r=.243$, $p=.009$), self-
208 reported and actigraphic TIB ($r=.667$, $p<.001$), actigraphic and polysomnographic WASO ($r=.296$,

209 p=.001), actigraphic and polysomnographic TST (r=.296, p=.001), actigraphic and polysomnographic
210 TIB (r=.281, p=.002) and actigraphic and polysomnographic SE (r=.299, p=.001). No association was
211 found between self-reported and actigraphic SE (r= -.004, p=.965). All correlations with
212 corresponding p values are presented in TABLE 3.

213 ***Difference and agreement between self-reported sleep parameters and sleep parameters measured***
214 ***by polysomnography***

215 A significant difference was found between self-reported and polysomnographic SOL, TST and SE
216 (p=<.001). The self-reported SOL was longer compared to the polysomnographic SOL (Mean
217 difference: -13.76 [-19.20, -8.33,]). The self-reported TST was shorter, and the self-reported SE was
218 lower compared to the values based on the polysomnography (Mean difference: 52.37 [36.81, 67.94];
219 Mean difference: 13.05 [10.76, 15.35], respectively). A small but non-significant difference was found
220 between self-reported and polysomnographic TIB with a higher TIB measured by the
221 polysomnography (Mean difference: -13.08 [-26.56, .39], p=.057). All details are presented in TABLE
222 4. Since the same data is used in the t-test, the Bland-Altman plots (Figure 2) present the same mean
223 differences. Wide limits of agreement were found for differences in SOL (-73.22 to 45.68), TST (-
224 117.83 to 222.58), TIB (-160.44 to 134.27) and SE (-12.06 to 38.16). An overestimation of SOL was
225 found based on the higher mean difference in self-reported SOL compared to SOL measured by
226 polysomnography. There is no significant mean difference in TIB. The TST is on average
227 underestimated by the participants (mean difference below zero-line). Consequently, this also leads to
228 a general underestimation of SE. The limits of agreements based on the large variations in differences
229 exceed the proposed acceptable agreement limits (24%-difference limits) in all sleep parameters.
230 Bland-Altman plots for the data regarding the sleep variables measured by self-report and
231 polysomnography are presented in Figure 2.

232 ***Difference and agreement between self-reported sleep parameters and sleep parameters measured***
233 ***by actigraphy***

234 There was a significant difference between self-reported and actigraphic TST, TIB and SE. The self-
235 reported TST was shorter compared to the actigraphic TST (Mean difference: 34.44 [20.83, 48.06],
236 $p < .001$). Self-reported TIB was higher compared to the TIB measured with actigraphy (Mean
237 difference: -9.95 [-18.23, -1.67], $p = .019$). Consequently, self-reported SE was lower compared to the
238 actigraphic SE (Mean difference: 8.54 [6.04, 11.03], $p < .001$). No actigraphic SOL was identified. All
239 details are presented in TABLE 5. Regarding the level of agreement between self-report and
240 actigraphy measurement, the Bland-Altman plots (Figure 3) of TST and SE show wide limits of
241 agreement (-110.66 to 179.54; -18.08 to 35.16). Smaller limits of agreement were found for TIB (-
242 98.15 to 78.25). Compared to actigraphy, participants underestimated TST and SE, and overestimated
243 TIB (which is visualized in the Bland-Altman plots by the position of the mean difference line in
244 relation to the zero line). Large variations in differences between self-reported and actigraphy
245 measured SE and TST were found, which results in relative wide limits of agreements, exceeding the
246 24%-difference limits. An acceptable agreement (within 24%-difference limits) was found between
247 TIB measured by self-report and TIB measured by actigraphy. Bland-Altman plots for the data
248 regarding the sleep variables measured by self-report and actigraphy are presented in Figure 3.

249 ***Difference and agreement between sleep parameters measured by actigraphy and polysomnography***

250 Actigraphic WASO, TST and SE was significantly different from polysomnographic WASO, TST and
251 SE. The amount of actigraphic WASO was almost two times the amount of polysomnographic WASO
252 (Mean difference: -35.28 [-41.76, -28.80], $p < .001$). The actigraphic TST and SE was lower compared
253 to polysomnographic TST and SE (Mean difference: 18.82 [6.79, 30.85], $p = .002$; Mean difference:
254 4.41 [3.08, 5.75], $p < .001$, respectively). There was no significant difference in the amount of TIB
255 (Mean difference: -1.46 [-15.02, 12.10], $p = .831$). No actigraphic SOL was identified. All details are
256 presented in TABLE 5. Very wide limits of agreements were found for differences in WASO
257 measured by actigraphy and WASO measured by polysomnography (-104.38 to 33.82). Limits of
258 agreement regarding TST (-109.41 to 147.05), TIB (-145.94 to 143.02) and SE (-9.81 to 18.64) were
259 relatively smaller compared to the limits of agreement regarding WASO but were still wide. In
260 general, the actigraphy measurement overestimates WASO and underestimates TST compared to the

261 polysomnography measurement. Based on the relative wide limits of agreement (and thus large
262 variations in differences), no agreement in measurement of WASO, TST and TIB was found. An
263 acceptable agreement (within the 24%-difference limits) was found for the measurement of SE by
264 actigraphy and polysomnography. Bland-Altman plots for the data regarding the sleep variables
265 measured by actigraphy and polysomnography are presented in Figure 4.

266

267 Discussion

268 Our results indicate that perceived sleep can differ from objective findings (polysomnography) in
269 patients with nCSP and comorbid insomnia. On average, participants underestimate TST (± 30 minutes
270 to 1 hour) and overestimate TIB (± 13 minutes) and SOL (± 14 minutes). No clear agreement was
271 identified between subjective and polysomnographic measures.

272 A moderate correlation between self-reported and actigraphic TIB was found. Only an acceptable
273 agreement was identified for the measurement of TIB between self-report and actigraphy, and SE
274 between actigraphy and polysomnography. The significant difference between the mean SE measured
275 by actigraphy and polysomnography combined with the smaller limits of agreements suggests that
276 there might be a systematic difference.

277 The wide limits of agreement suggest that there is poor agreement between objective
278 (polysomnography and actigraphy) and subjective sleep measurements. While the results of the t-tests
279 indicate whether there is a general over- or underestimation, the Bland-Altman plots provide more
280 insight and show large variations between participants. People with a relative lower SE appear to
281 underestimate their SE more compared to those with a higher SE (Figure 2 and 3). Overestimation of
282 TST and TIB by actigraphy compared to polysomnography seems to be more common when TST and
283 TIB are lower, while underestimation appears more common when TST and TIB are higher (Figure 4).
284 Our results are in line with the findings of 2 previous pilot trials using actigraphy during 3 (n=15) and
285 7 consecutive nights (n=16) which found significantly higher levels of subjective than objective sleep
286 disturbance in CLBP patients.[46; 65] Another study with 77 LBP patients used a sleep diary and

287 armband (SenseWear-Pro 3) to assess sleep for 7 days.[2] Contrary to our results, they found higher
288 subjective SE ($\pm 11\%$) and TST (± 75 minutes) compared to the objective sleep parameters in people
289 with nonspecific LBP.[2] Since the presence of sleep complaints was not an eligibility criterion in
290 their study and sleep misperception is relatively prominent in insomniacs, it is likely that sleep
291 discrepancy is more common in our study.[63]

292 When investigating the relation between self-reported and polysomnographic parameters, only a
293 moderate association between perceived and polysomnographic TIB was found. TIB is the only sleep
294 parameter that is not significantly different between the self-report and the polysomnographic
295 measurement which suggests that subjective and objective findings represent different sleep
296 dimensions/aspects. The associations between polysomnographic and actigraphic sleep parameters are
297 rather weak, highlighting that actigraphy measures sleep differently compared to
298 polysomnography.[66]

299

300 *Strengths and limitations*

301 This study has several strengths including the sufficient sample size, the use of both actigraphy and
302 polysomnography, and the use of Bland-Altman plots. Moreover, the study tried to account for many
303 variables through questioning of sleep environment, substance use, shiftwork, pregnancy, depression,
304 and body mass index.

305 Nevertheless, this study has several limitations that need to be discussed. First, no sleep diary but the
306 PSQI was used to assess subjective sleep, which examines the perceived average sleep quality over the
307 previous month. Yet, which the general consensus is that a sleep diary should be used to assess
308 subjective sleep parameters,[32] the usage of a sleep diary might influence the perceived sleep as
309 people tend to focus more on their sleep. One questionnaire is probably less impactful and still gives a
310 good indication of subjective sleep parameters. However, the use of a sleep diary would have been
311 more precise and could have improved the accuracy of the actigraphy results. **Additionally, the use of**
312 **the questionnaire could introduce recall bias and might be influenced by most recent experiences.**

313 Second, the different measurement methods encompass a different timeframe. The polysomnography
1
2 314 data was based on a one-night home-based measurement which might be influenced by the
3
4 315 measurement moment and the situational context. However, previous studies indicated that sleep
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6 316 parameters measured by polysomnography/electroencephalogram seem to have trait-like
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8 317 characteristics and stay relatively stable over time, even under extreme conditions.[14; 38; 51; 64]
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10 318 Therefore, sleep parameters based on the polysomnography are likely to be representative for the
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12 319 average sleep variables in similar environments and conditions. Nevertheless, state-specific effects and
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14 320 within-person variation (e.g., weekdays versus weekends) that we could not control for might still be
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16 321 present (which limits the comparability with the other measurements over multiple days).[19; 36]
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18 322 While the timeframe of both self-report (previous month) and actigraphy measurement (one week)
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20 323 was different, they both represent average values and are likely to vary less than a comparison with a
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22 324 one night measurement. Even though the self-report data examines the perceived average over one
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24 325 month, it is assessed retrospective by one single questionnaire which might introduce recall bias or be
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26 326 influenced by recent experiences. Overall, the different timeframes of the 3 methods might influence
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28 327 the sleep estimates which warrants caution with the interpretation of the results. Third, stand-alone
29
30 328 actigraphy was used to assess sleep. The scoring algorithm for the actigraphy data was unable to
31
32 329 identify any SOL which suggests that it was unable to differentiate between motionless wake and
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34 330 sleep (i.e., SOL is scored as sleep), SOL could not be differentiated from time out of bed (i.e. “lights
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36 331 out” could not be identified) or a combination. It is highly likely that the lack of estimated actigraphic
37
38 332 SOL led to a higher SE, higher TST and/or lower TIB estimates. Consequently, differences in self-
39
40 333 reported and actigraphic estimates are likely partially explained by the inability to identify SOL.
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42 334 Therefore, our results suggest that a sleep diary should be used in combination with actigraphy to be
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44 335 able to at least accurately identify “light off” and “lights on” in people with nCSP and comorbid
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46 336 insomnia. Last, these findings might not be generalizable to other chronic pain populations.
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57 338 *Relevance, implications and future directions*
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339 Considering the limitations, one should be cautious to interpret the results as the differences might be
1 partly explained by the limitations. Nevertheless, the limitations highlight the importance of several
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3 aspects which should be considered for future research to be able to confirm our results and make firm
4 341
5 conclusions. First, subjective and objective measurements with a similar timeframe should be used.
6 342
7 The use of multiple nights polysomnography assessment would give more insight in sleep/wake
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9 patterns in people with nCSP and comorbid insomnia and results in better comparability as the same
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11 nights would have been measured. Second, a sleep diary should be used to measure subjective sleep as
12 345
13 this would reflect the daily perceived sleep outcomes better compared to a single questionnaire.
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15 Additionally, a sleep diary should be used to increase the accuracy of actigraphy measurement.
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17 Despite the limitations, our results still show relatively large inter-individual differences between
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19 objective and subjective sleep outcomes. Nevertheless, our findings are rather suggestive and
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21 confirmation of future studies is necessary.
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27 351 In cases with high level of sleep discrepancy and limited objective sleep deficit, it might be beneficial
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29 352 to specifically target the misperceptions regarding sleep discrepancy in the initial phase of the
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31 treatment. Several small studies suggest that interventions which teach people how to interpret the
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33 result of a polysomnography/actigraphy measurement, explain the objective sleep data and explore the
34 354
35 discrepancy have the potential to correct sleep misperceptions.[24; 60] Harvey et al. (2012) evaluated
36 355
37 several possible mechanisms explaining subjective-objective sleep discrepancy of which 3 were
38 356
39 supported by good-quality evidence: “Sleep being misperceived as wake”, “worry and selective
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41 attention toward sleep-related threats”, and “the presence of brief awakenings”.[27] New strategies
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43 targeting these mechanisms could possibly lead to a more efficient treatment. It appears that
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45 interventions using some form of (psycho)education have positive effects on sleep and promising
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47 effects on objective-subjective sleep discrepancy.[18; 41; 49; 53; 62] Additionally, the use of sleep
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49 restriction therapy (SRT) might be less effective in nCSP with comorbid insomnia as expected. Since
50 362
51 our results suggest that TST tend to be underestimated in this population, the use of SRT based on
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53 self-report might reduce objective TST which could negatively impact pain given the pain-sleep
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55 interactions. Nevertheless, SRT is extremely valuable to increase sleep propensity and reduce SOL
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366 and the number of awakenings.[55] Therefore, it seems opportune to use a modified, milder version
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2 367 (e.g., sleep compression). However, future studies evaluating adapted treatment strategies within
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4 368 people with nCSP and comorbid insomnia are necessary to confirm their effectiveness. It seems
5
6 369 warranted to use both subjective and objective sleep assessments, to get better insight in their overall
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8 370 sleep. Currently, the use of actigraphy and self-report in daily clinical practice appears more realistic
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10 371 given its lower cost and convenience.[54] However, actigraphy should be used in combination with a
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12 372 sleep diary considering the limited ability to identify SOL. Additionally, considering the number of
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14 373 exclusions based on underlying sleep pathologies (20/146 participants), clinicians and researchers
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16 374 should be aware of the possibility of primary sleep pathologies. Therefore, if a primary sleep
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18 375 pathology is suspected or there is limited response to CBT-I, it is recommended to refer the patient to
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20 376 a sleep lab.
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25 377 **Conclusion**

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28 378 Findings suggest that people with nCSP and comorbid insomnia tend to underestimate TST and
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30 379 overestimate TIB and SOL. Clear differences, a lack of agreement and no/weak associations were
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32 380 found between self-reported and objectively measured sleep parameters. Future studies are necessary
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34 381 to confirm our results.
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54 388 **Conflict of interest statement**

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57 389 The authors have no conflicts of interest to declare.
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614 **FIGURES LEGENDS**

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2 615 **FIGURE 1. Study flowchart**
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5 616 **FIGURE 2. Bland and Altman plot for Pittsburgh Sleep Quality Index and polysomnography**
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7 617 **data**

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10 618 Bland and Altman plot for Pittsburgh Sleep Quality Index and polysomnography data, with the mean
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12 619 and 95% confidence interval (3 full lines), the limits of agreement (large, dotted line) and the 24%-
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14 620 difference limits (small, dotted line). Abbreviations: psg, polysomnography; q, questionnaire; SE,
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16 621 Sleep efficiency; SOL, Sleep Onset Latency; TIB, Time In Bed; TST, Total Sleep Time.
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22 623 **FIGURE 3. Bland and Altman plot for Pittsburgh Sleep Quality Index and actigraphy data**
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25 624 Bland and Altman plot for Pittsburgh Sleep Quality Index and actigraphy data, with the mean and 95%
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27 625 confidence interval (3 full lines), the limits of agreement (large, dotted line) and the 24%-difference
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29 626 limits (small, dotted line). Abbreviations: a, actigraphy; q, questionnaire; SE, Sleep efficiency; TIB,
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31 627 Time In Bed; TST, Total Sleep Time.
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38 629 **FIGURE 4. Bland and Altman plot for actigraphy and polysomnography data**
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41 630 Bland and Altman plot for actigraphy and polysomnography data, with the mean and 95% confidence
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43 631 interval (3 full lines), the limits of agreement (large, dotted line) and the 24%-difference limits (small,
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45 632 dotted line). Abbreviations: a, actigraphy; psg, polysomnography; SE, Sleep efficiency; TIB, Time In
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47 633 Bed; TST, Total Sleep Time; WASO, Wake After Sleep Onset.
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Objective-subjective sleep differences vary across people with chronic spinal pain and comorbid insomnia but overall they tend to underestimate total sleep time.

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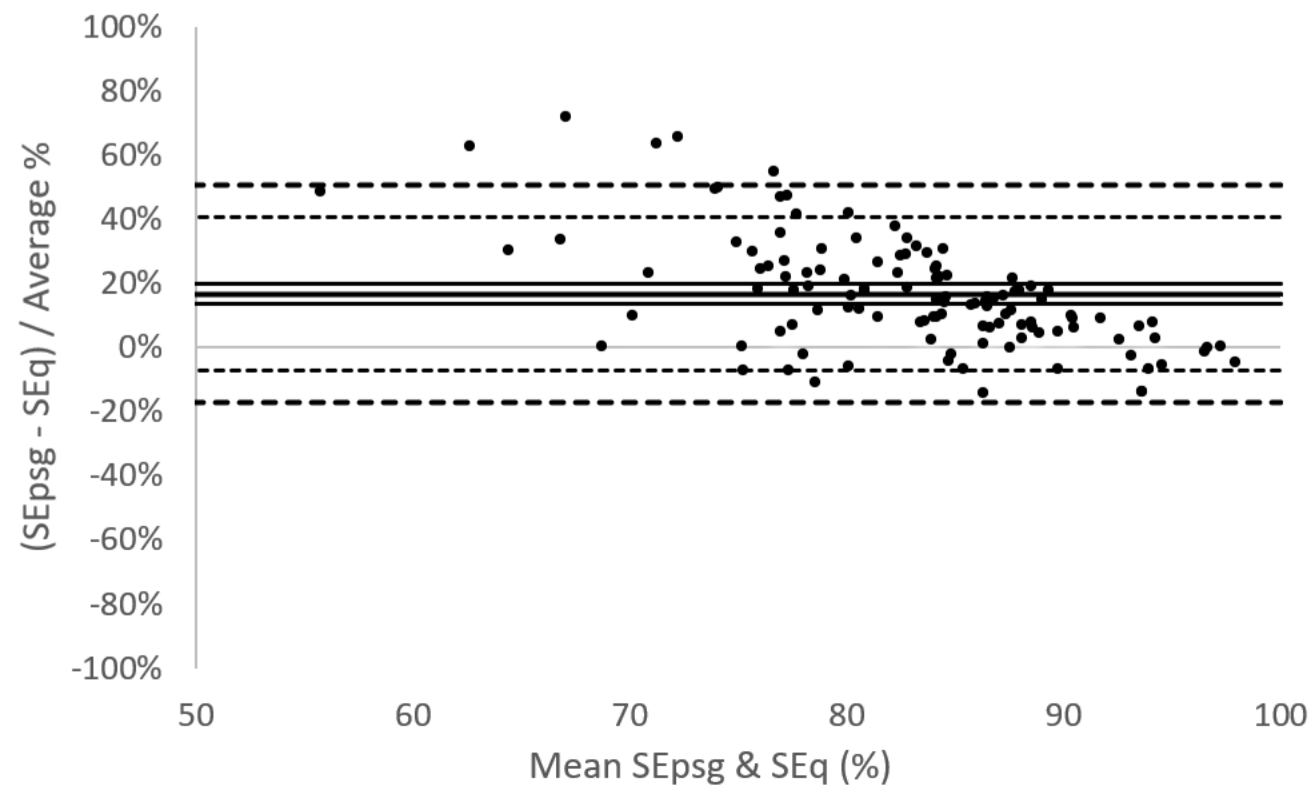
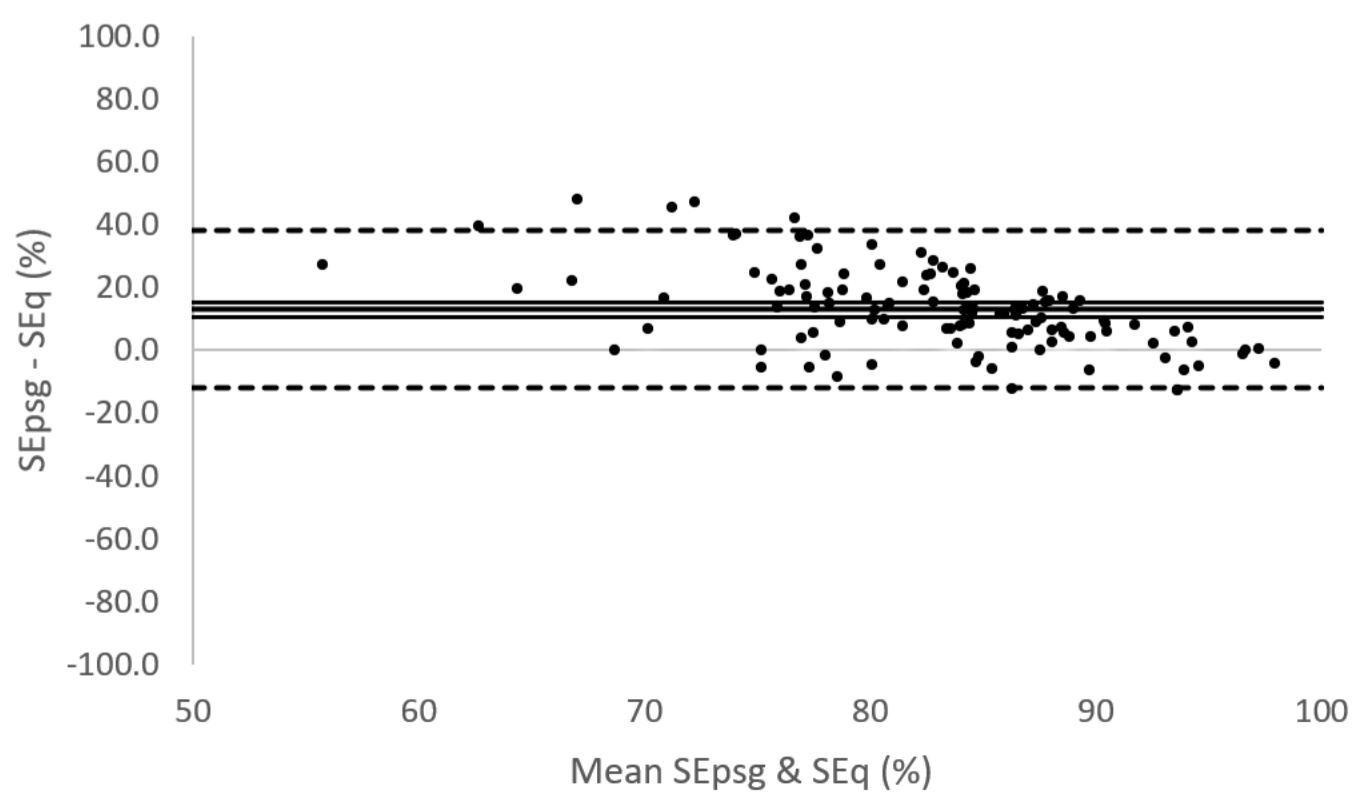
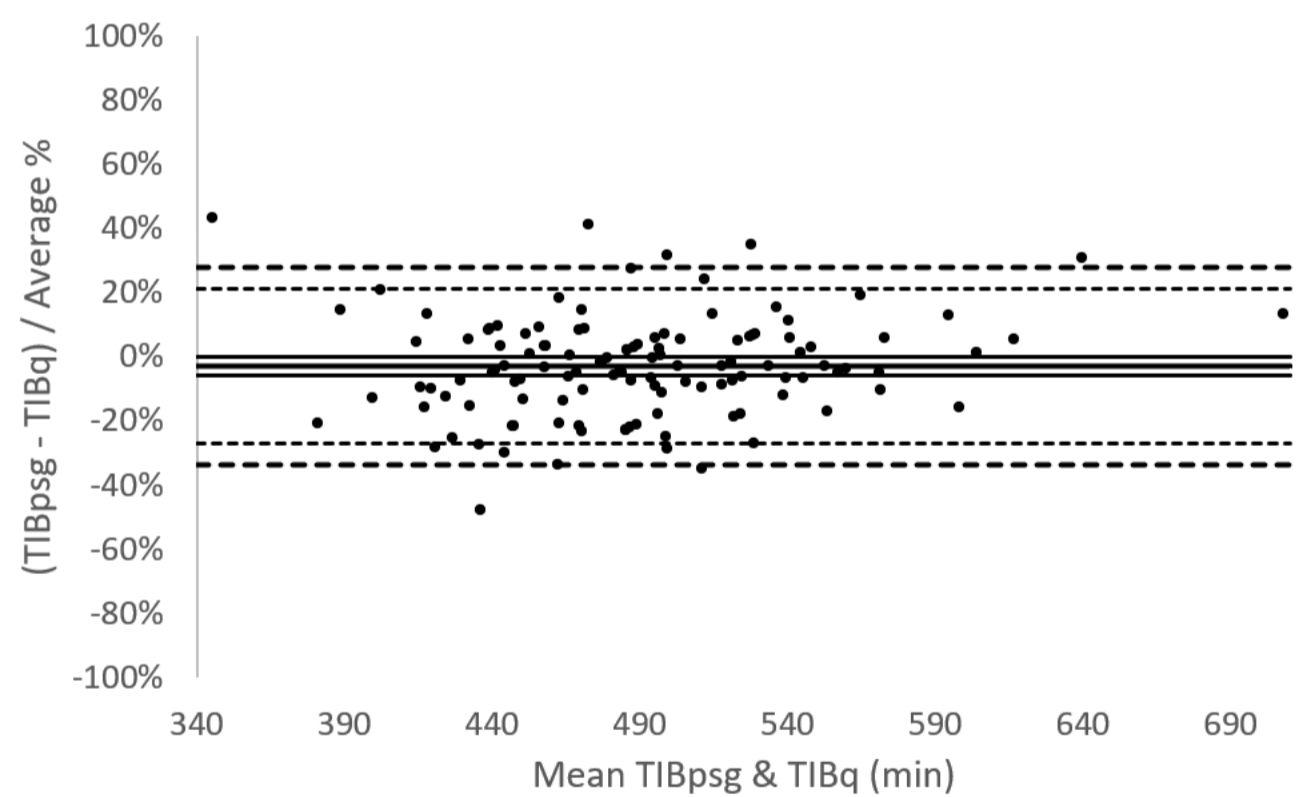
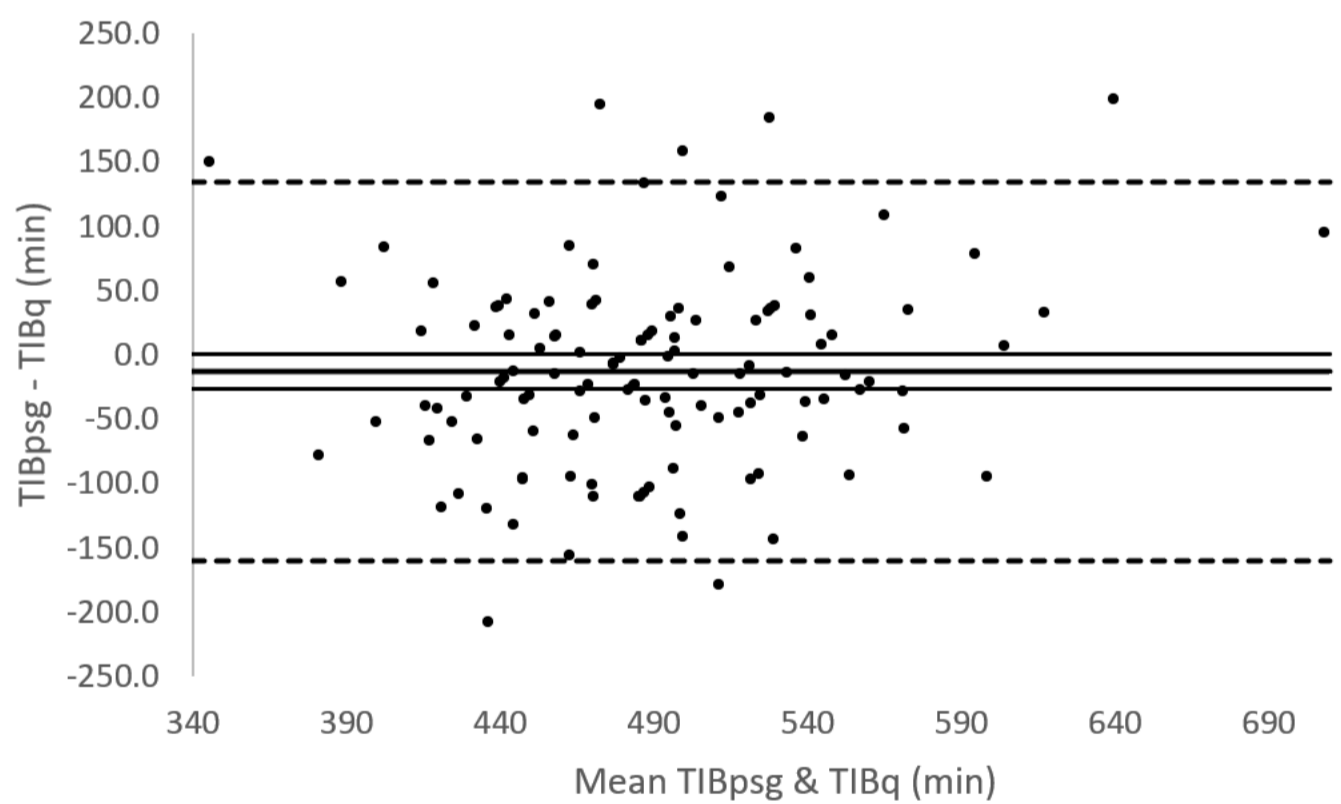
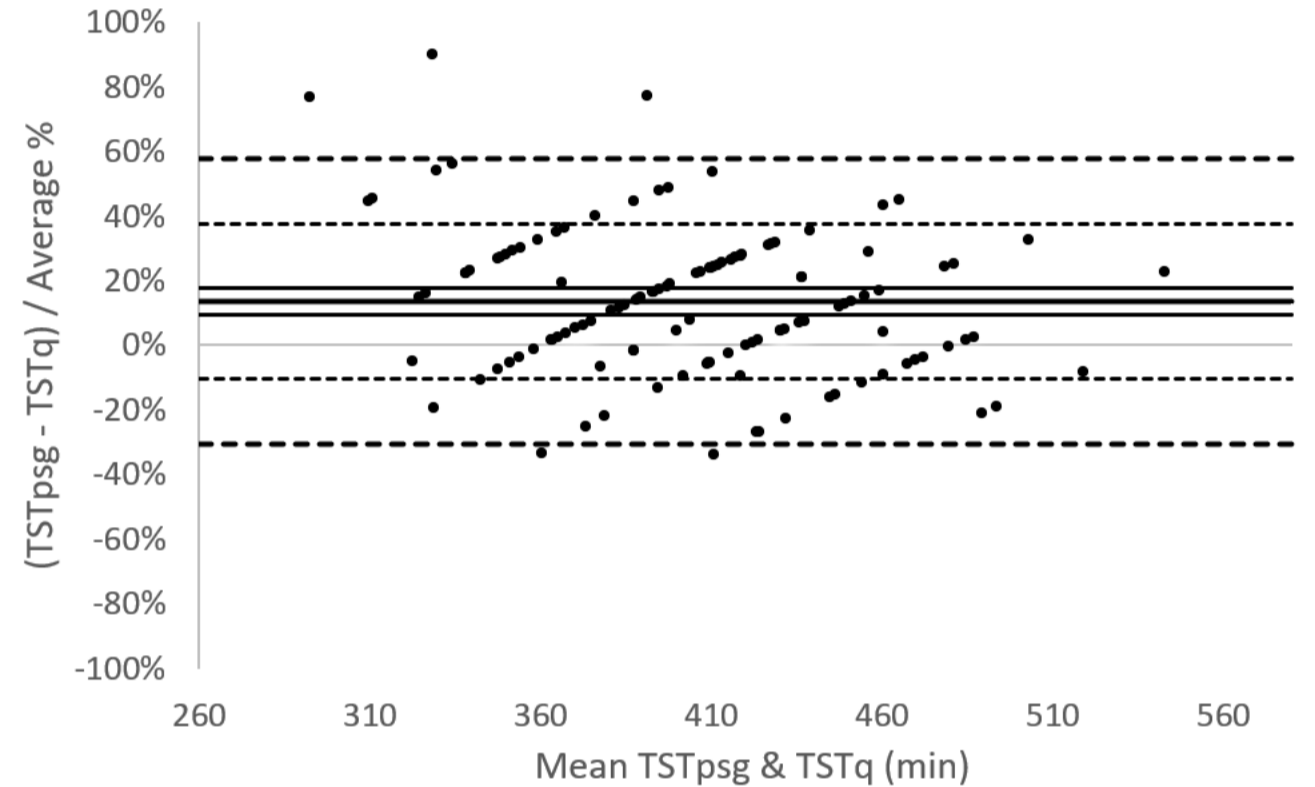
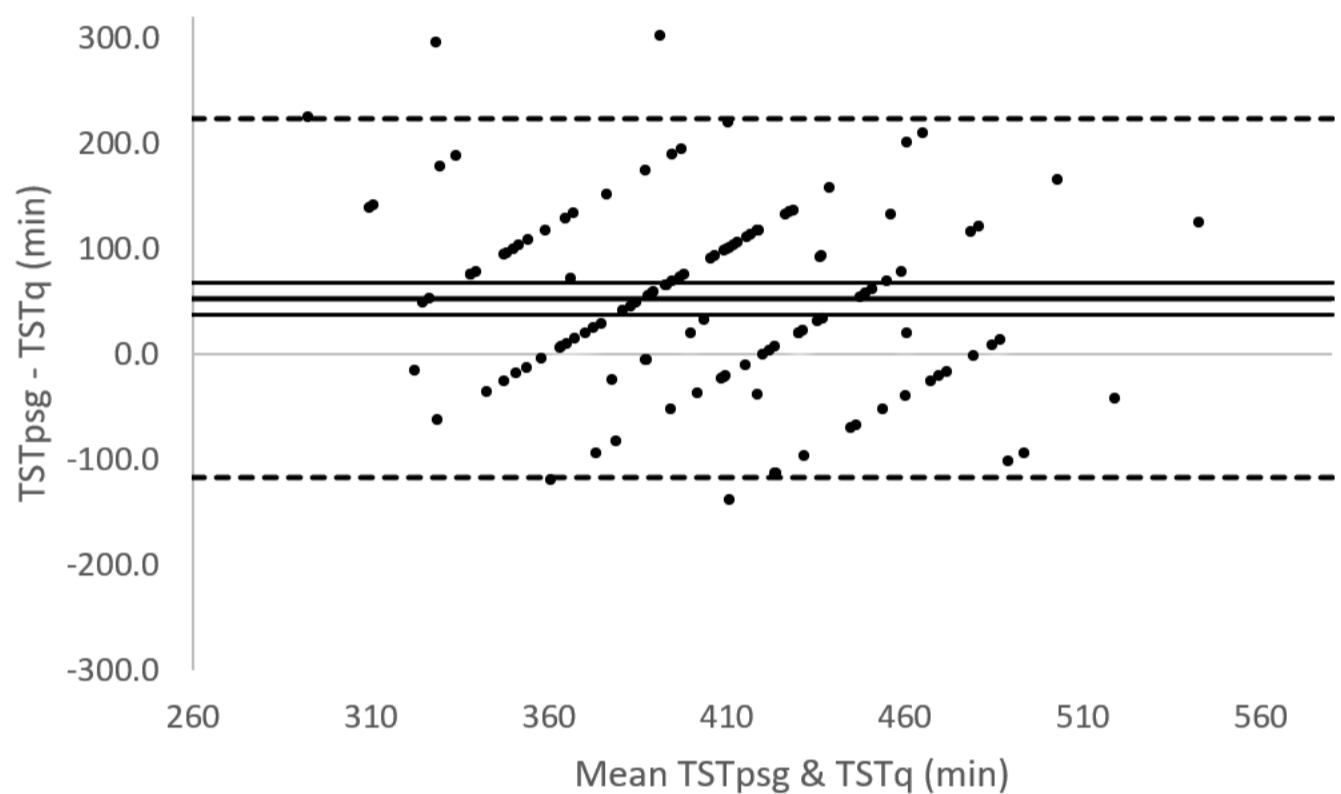
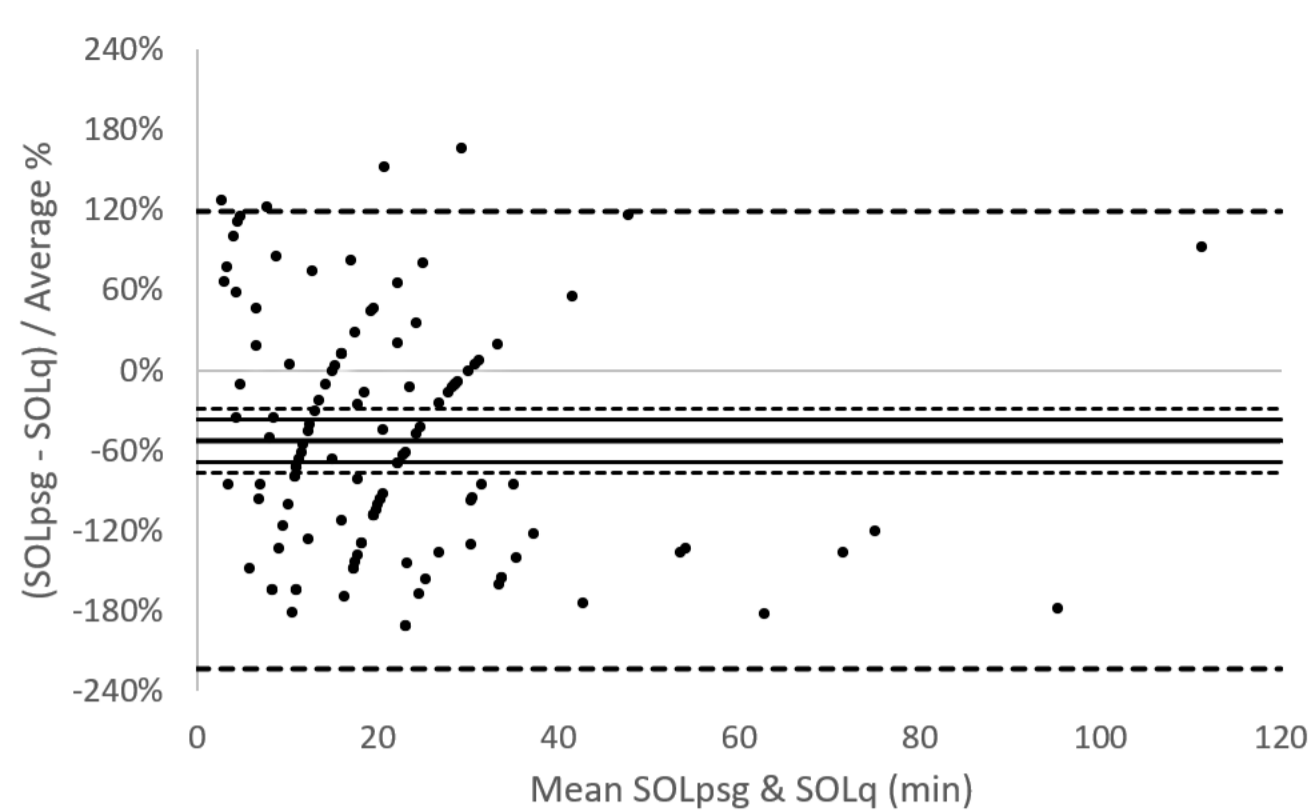
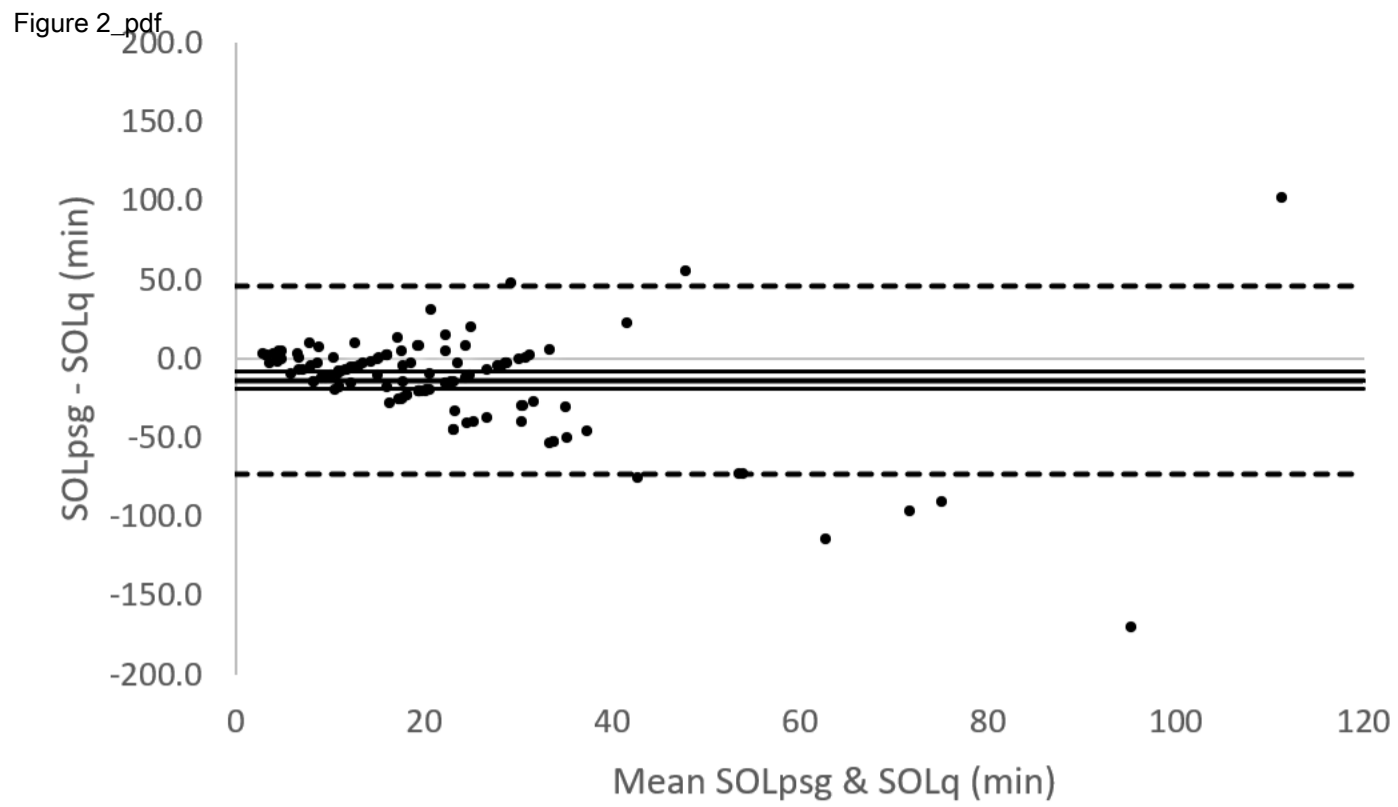
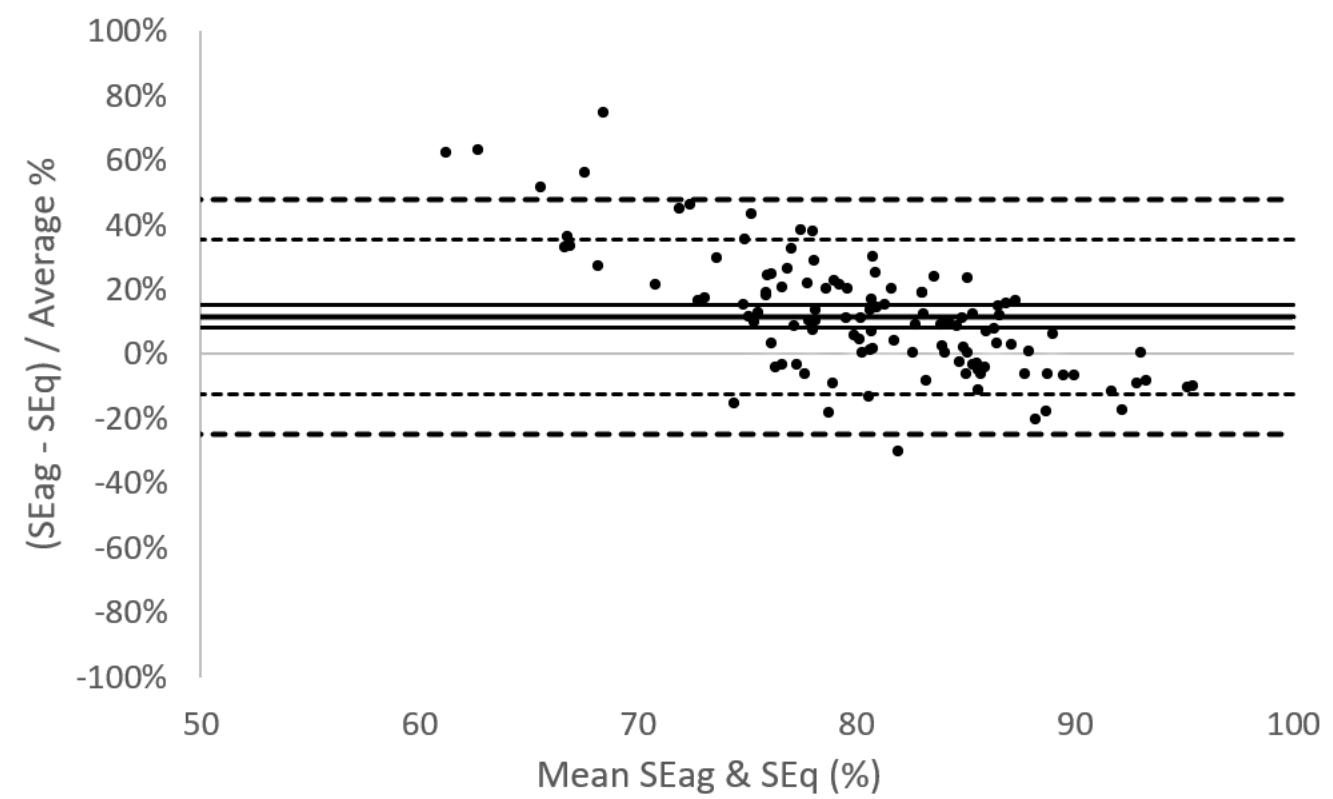
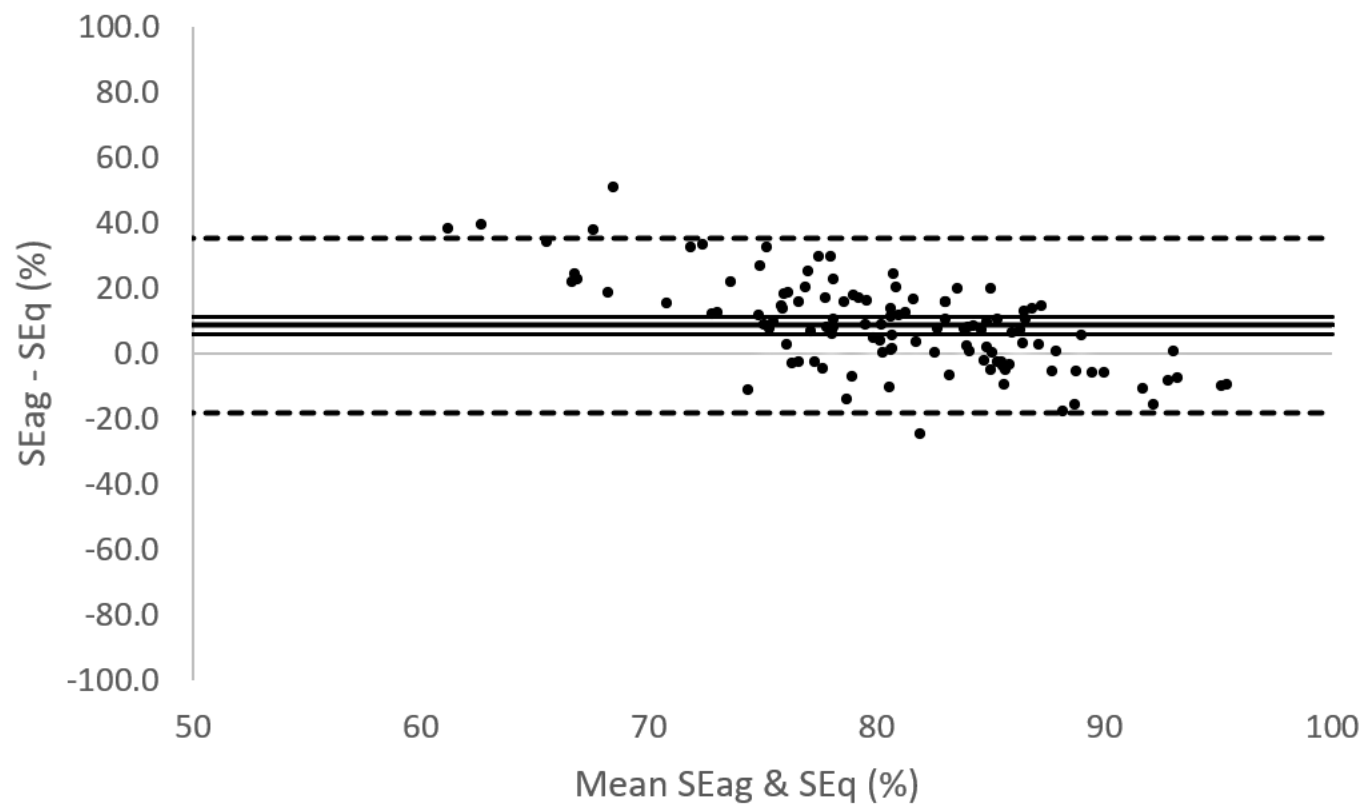
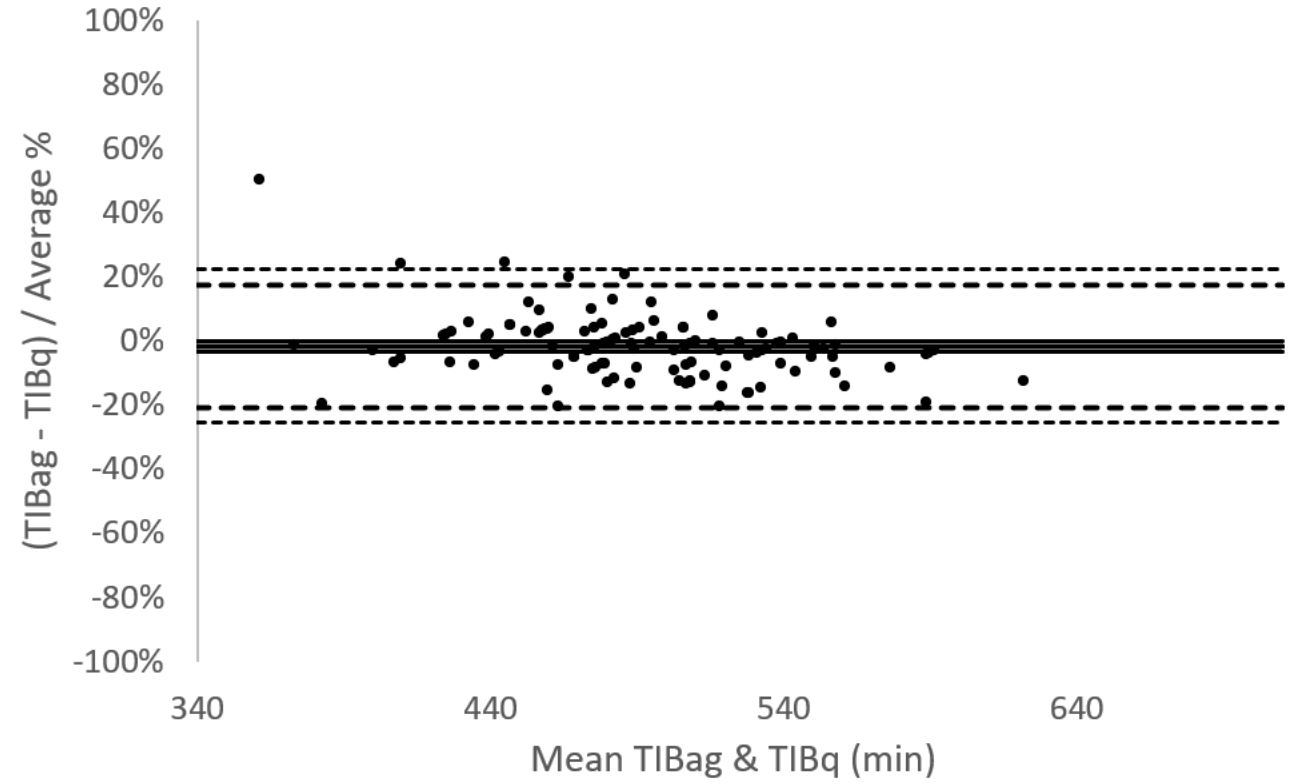
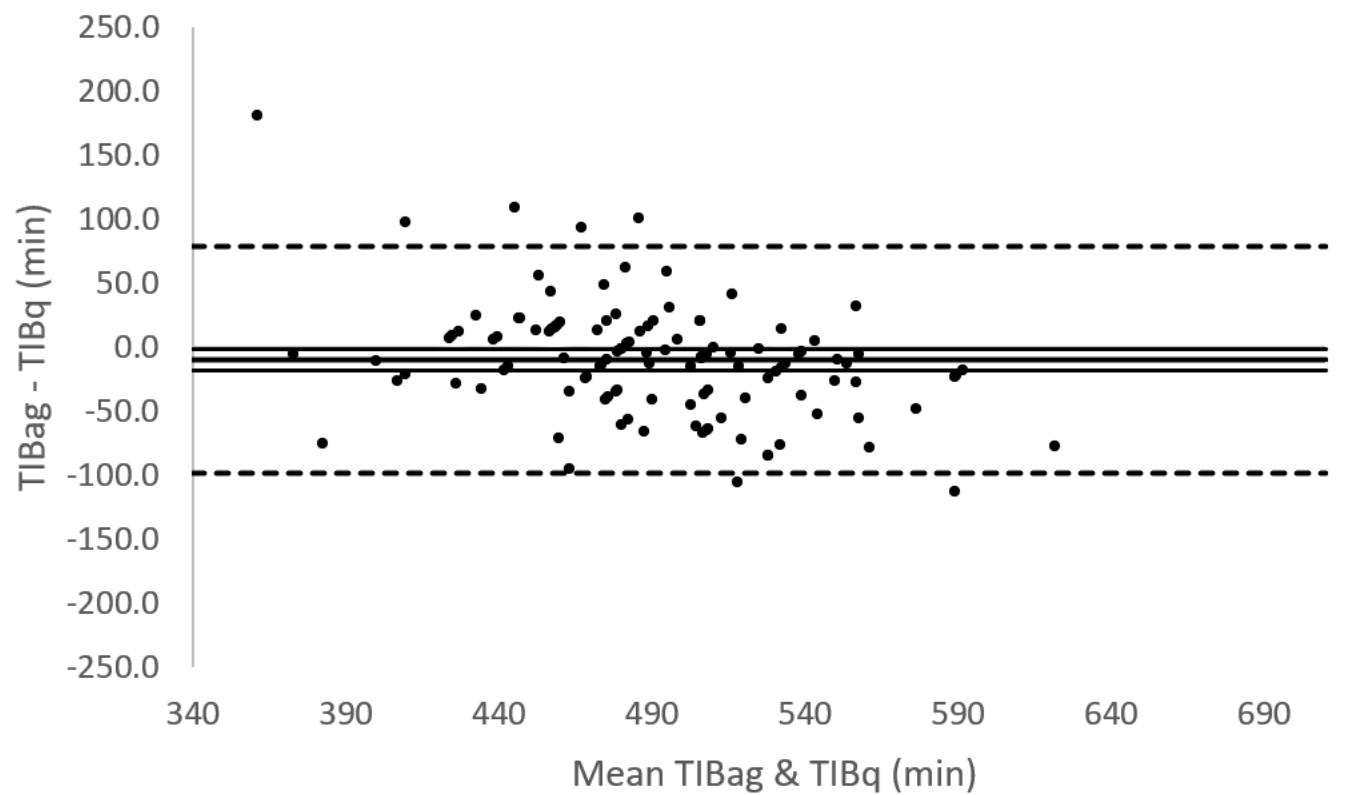
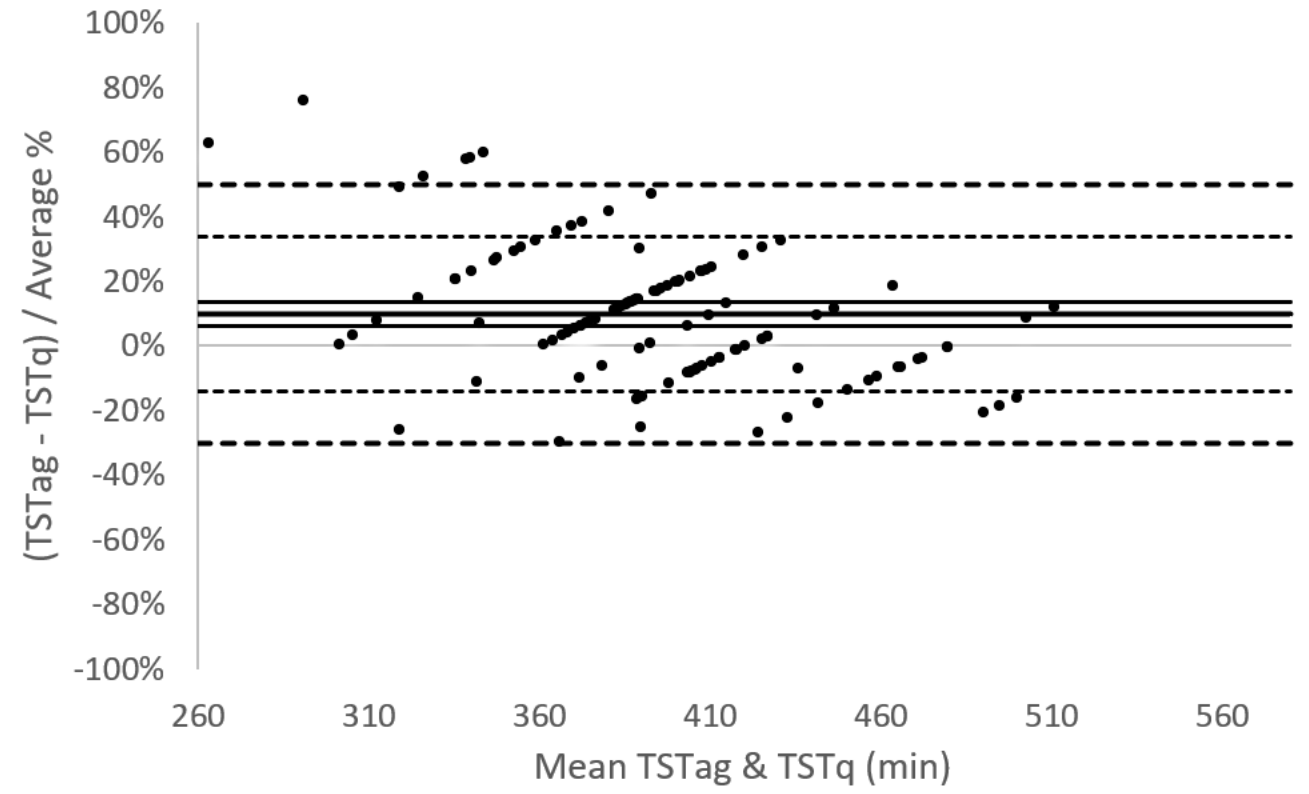
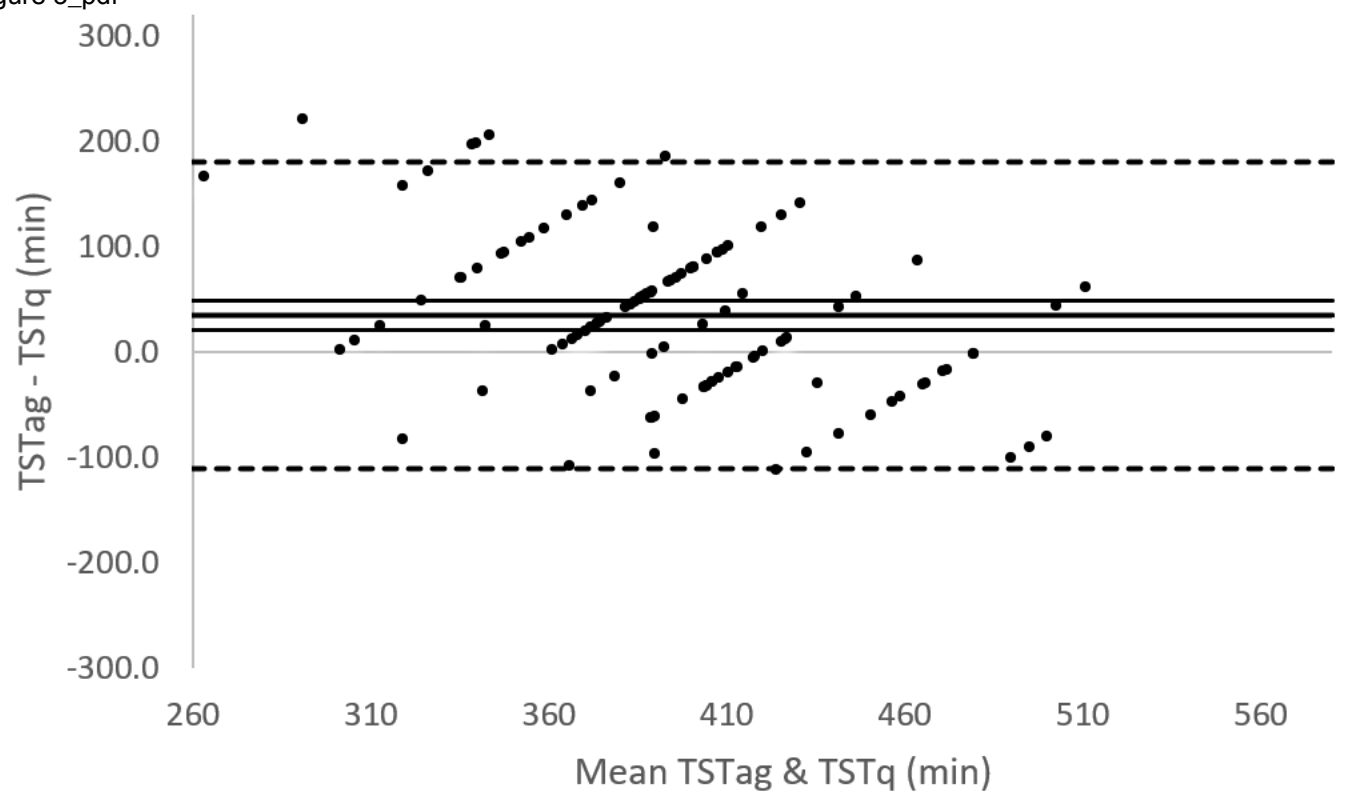


Figure 3_pdf



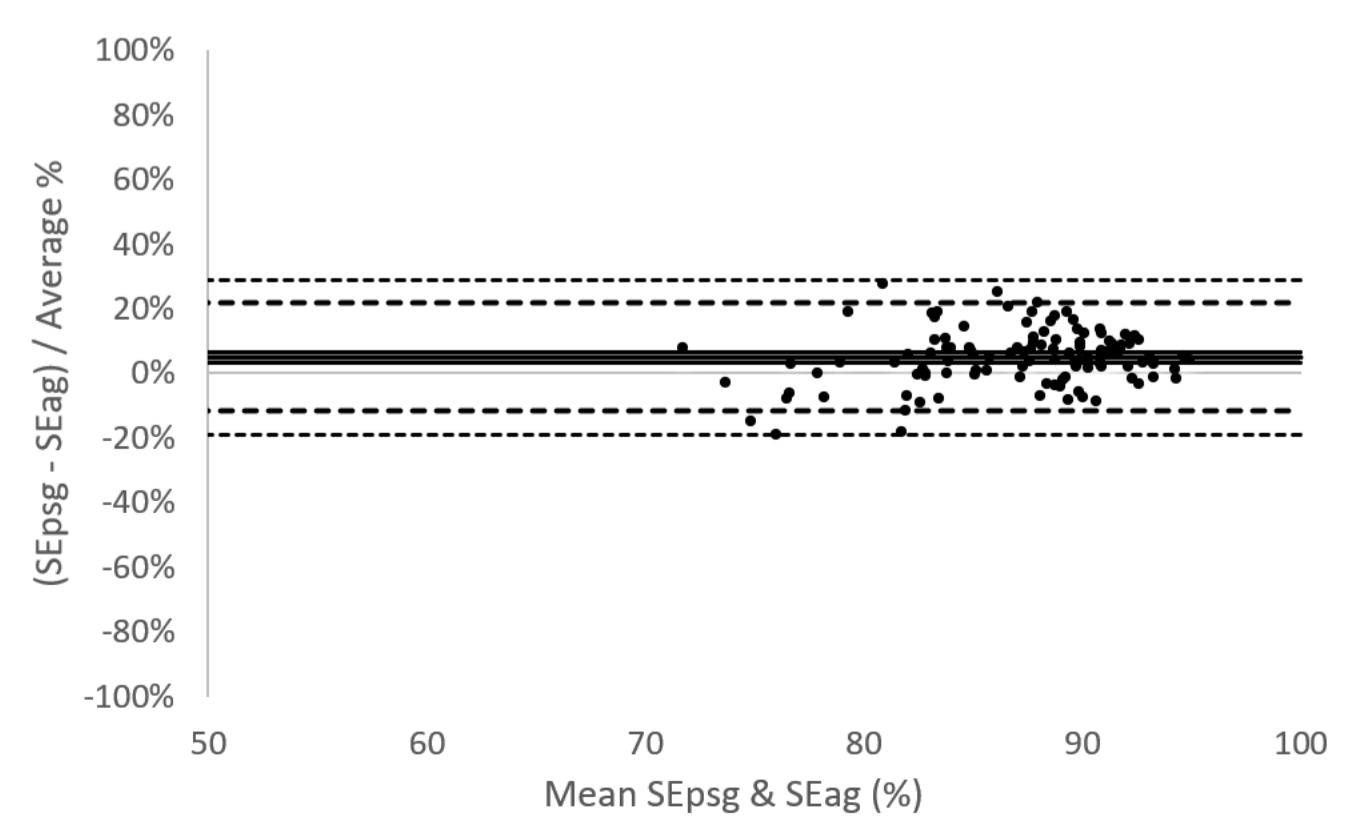
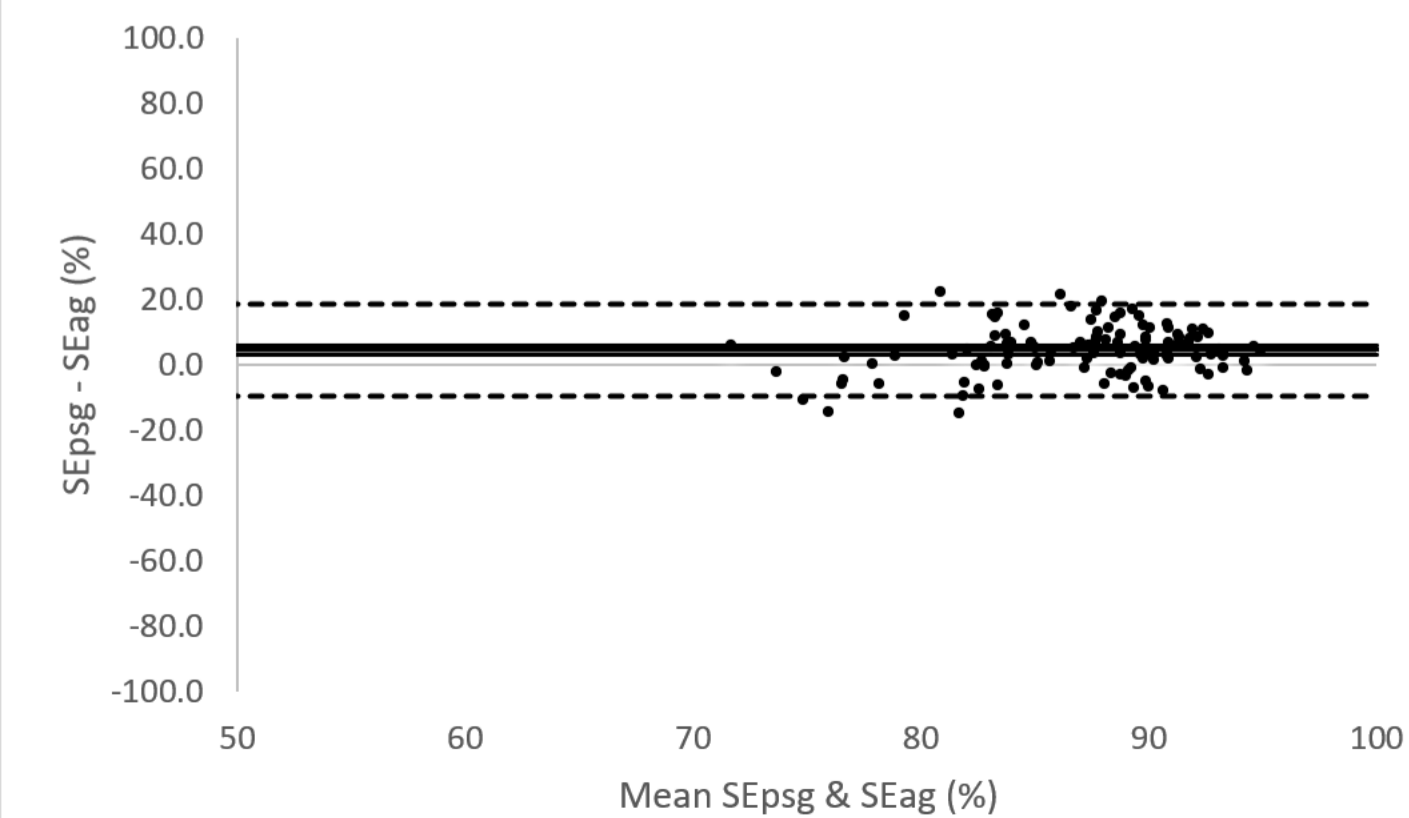
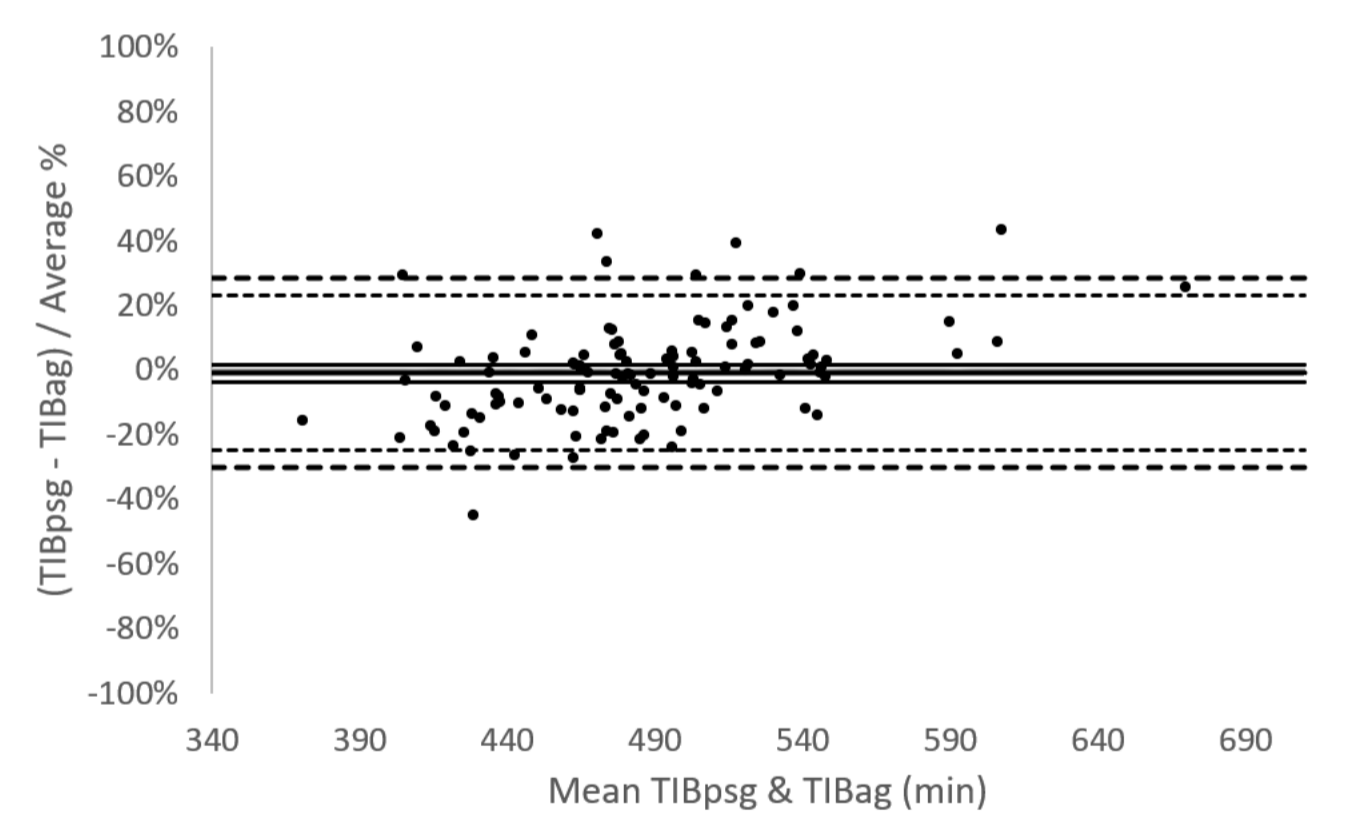
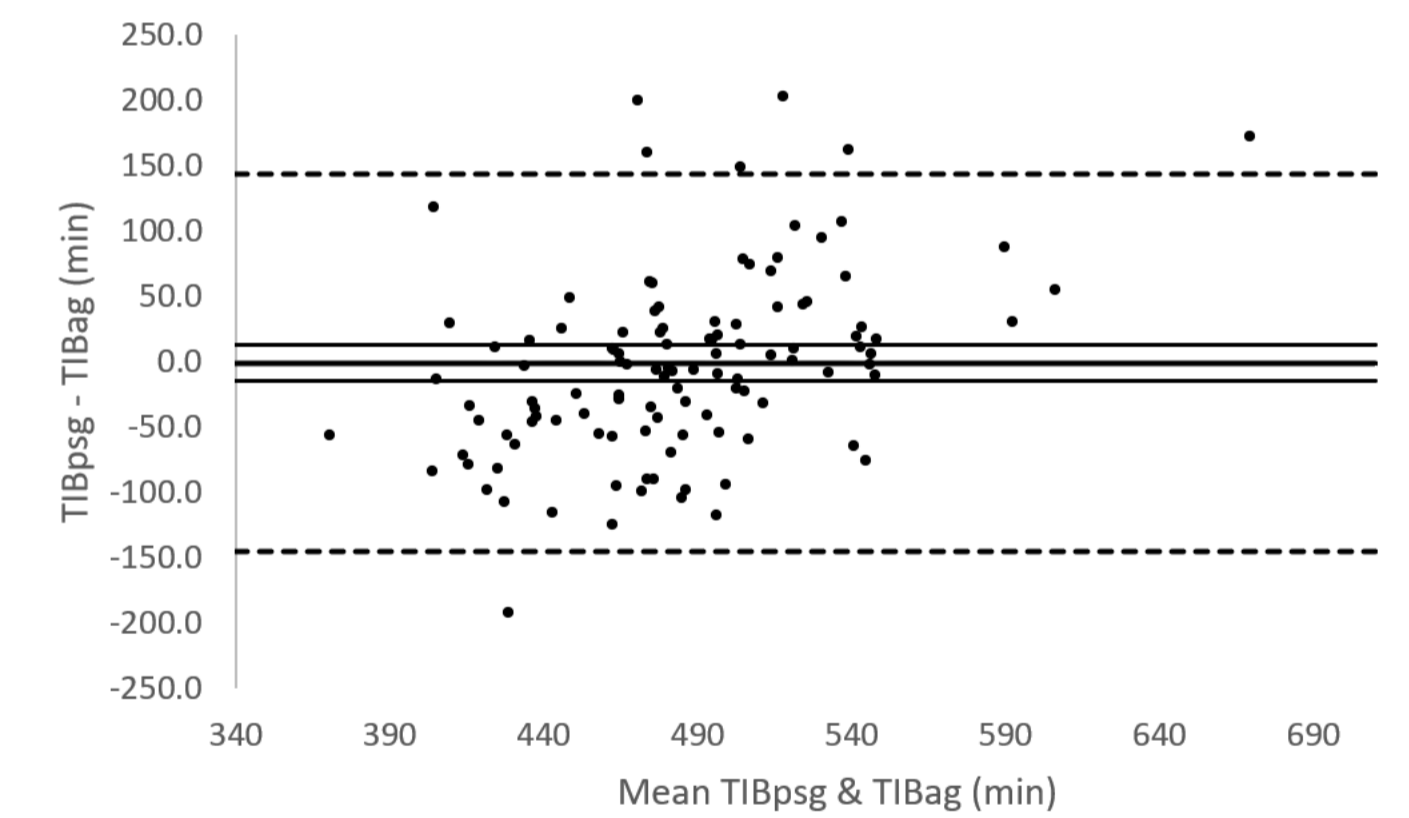
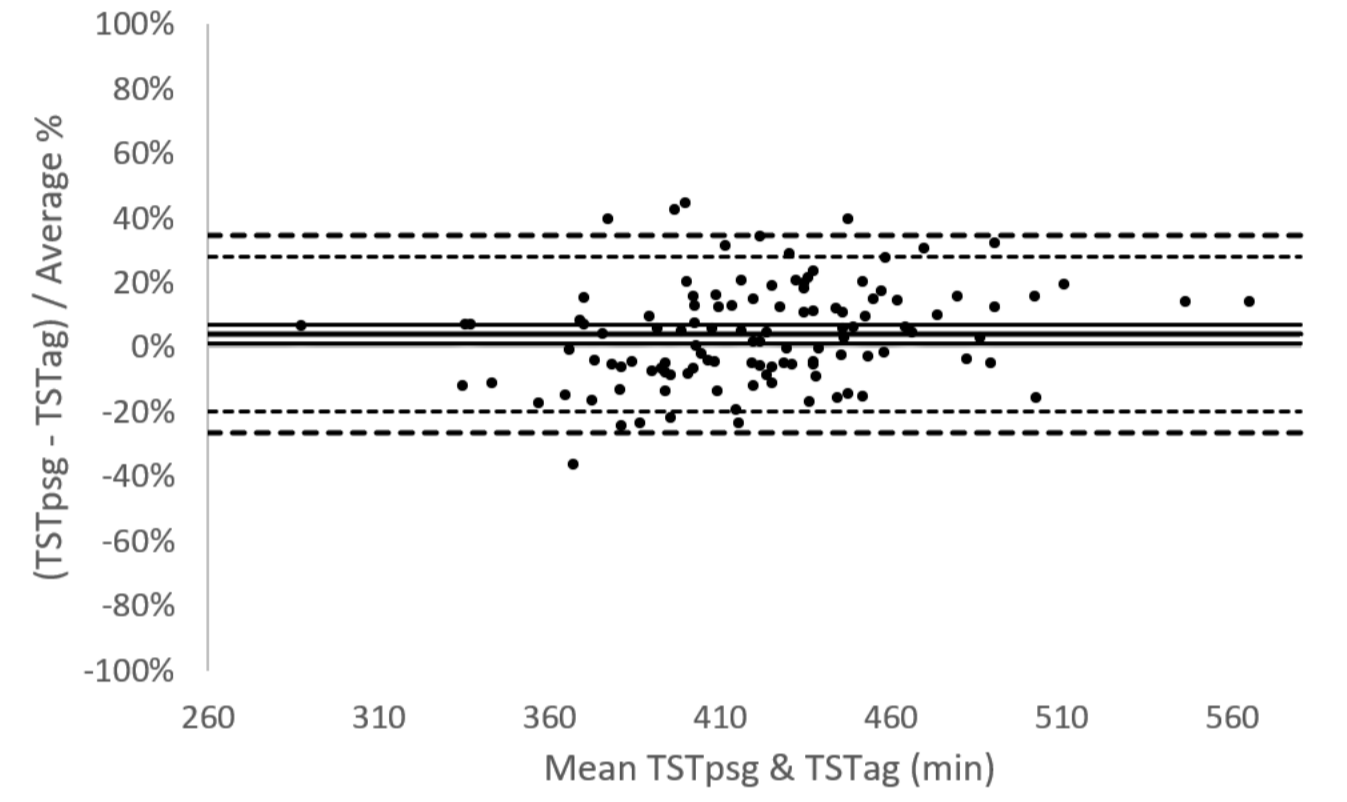
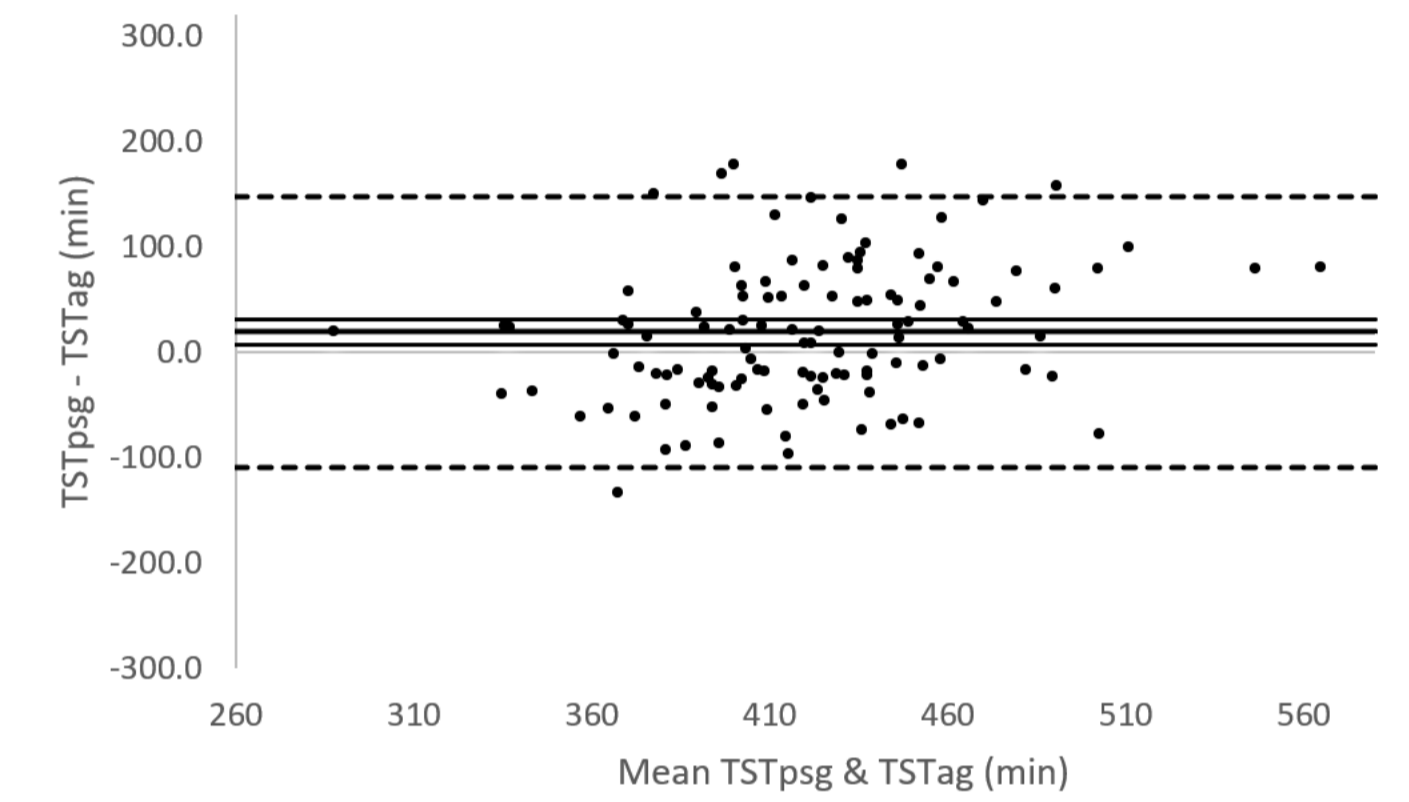
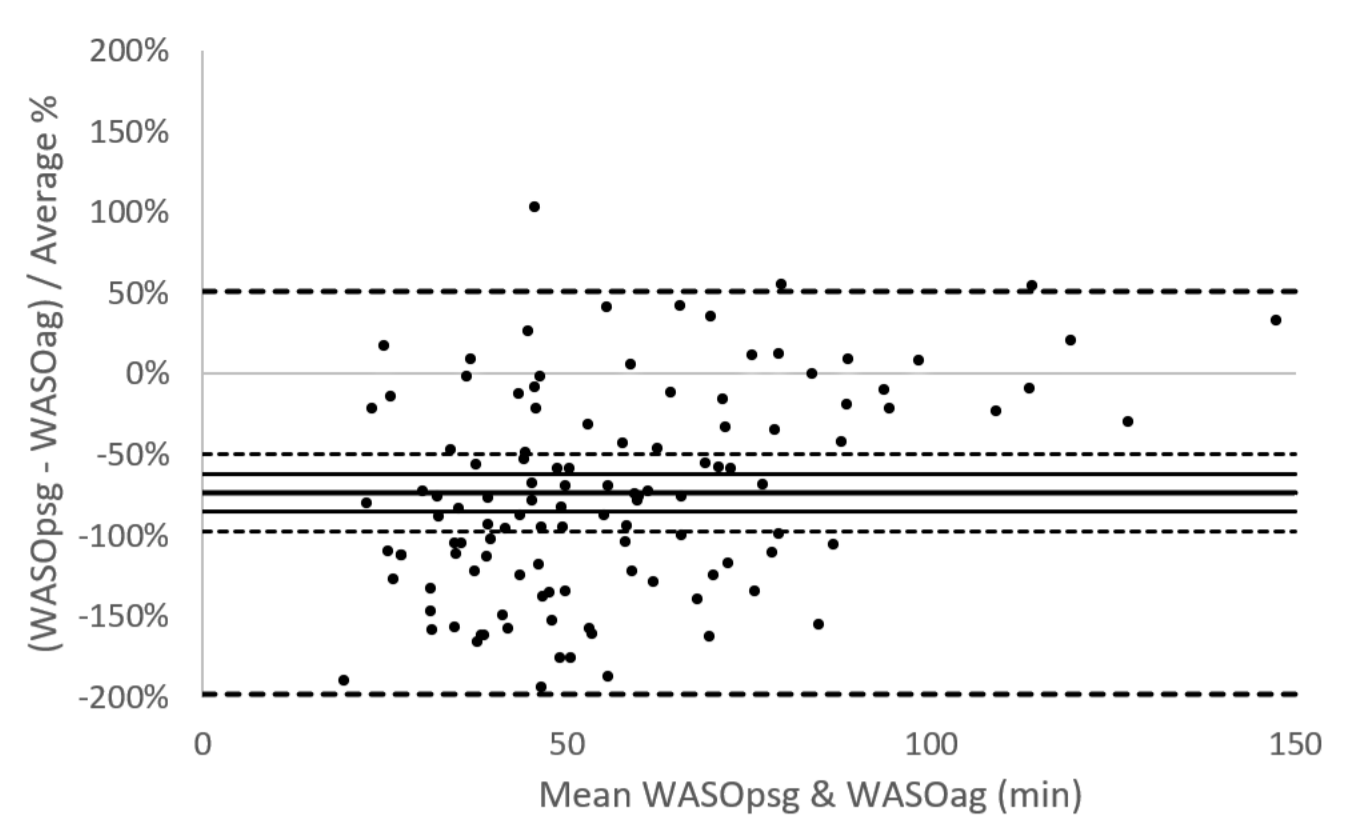
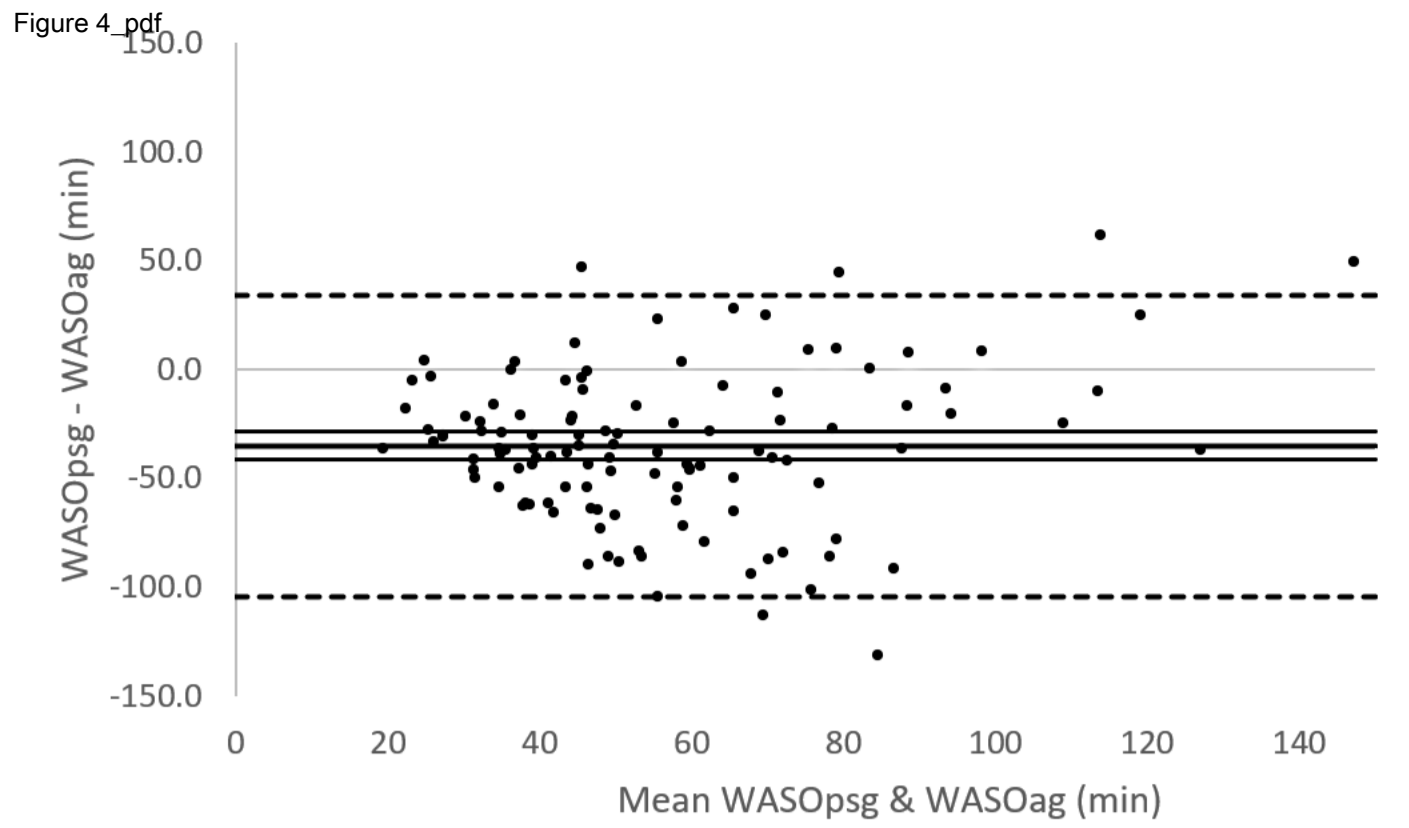


TABLE 1. Demographics and baseline characteristics of investigated sample of patients with nCSP and comorbid insomnia (n=123).

Demographic characteristics	n	Mean	Standard Deviation	Range
Demographics				
Sex, ^a F/M	123	84/39		
Dominant pain region, ^a neck pain/back pain	123	71/52		
Duration of pain, mo	123	89.08	95.90	3 – 540
Age, y	123	40.20	11.18	21 – 61
BMI	123	23.32	3.14	16 – 30
Level of education ^a	123			
- Lower secondary		1		
- Higher Secondary		22		
- Higher professional education		3		
- Professional bachelor		40		
- Academic bachelor		7		
- Master		49		
- Doctorate		1		
Baseline characteristics				
BPI – Mean pain severity questions	123	4.39	1.52	0.50 – 8.25
BPI – Mean pain interference questions	123	3.13	1.81	0 – 7.71
CSI	123	43.53	10.69	16 – 70
ISI	123	15.13	4.13	4 – 27
PSQI	123	9.47	2.71	4 – 16
BFS – Mental fatigue	123	3.19	2.51	0 – 10
BFS – Physical Fatigue	123	3.33	2.16	0 – 9
ESS	123	8.24	4.65	0 – 22
HADS - Anxiety	123	8.76	3.61	1 – 18
HADS - Depression	123	5.15	3.29	0 – 15
SF-36 Physical functioning	123	70.24	17.91	35 – 100
SF-36 Role physical functioning	123	51.63	39.69	0 – 100
SF-36 Role emotional functioning	123	68.29	40.46	0 – 100
SF-36 Energy / fatigue	123	51.02	16.84	5 – 85
SF-36 Emotional well-being	123	63.90	15.71	24 – 96
SF-36 Social functioning	123	71.75	21.70	0 – 100
SF-36 Pain	123	54.70	17.50	10 – 90
SF-36 General Health	123	55.12	16.77	15 – 95
Sleep parameters (Questionnaire – PSG – AG)				
Questionnaire PSQI	123			
- SOL (minutes)		28.87	26.70	1.00 – 180.00
- TST (minutes)		377.44	69.57	180.00 – 540.00
- TIB (minutes)		495.67	60.64	270.00 – 660.00
- SE (%)		76.34	12.14	42.10 – 100.00
Home-based PSG	122			
- SOL (minutes)		14.85	17.79	1.00 – 162.50
- WASO (minutes)		37.91	31.34	1.00 – 172.00
- EMA (minutes)		5.39	8.44	.00 – 59.00
- TST (minutes)		429.96	60.03	297.00 – 605.00
- TIB (minutes)		482.71	71.62	332.00 – 755.50
- SE (%)		89.41	6.62	68.80 – 97.80
Actigraphy	116			
- WASO (minutes)		73.94	27.26	22.00 – 150.00
- TST (minutes)		411.64	45.55	278.00 – 541.00
- TIB (minutes)		485.59	44.00	345.00 – 583.00
- SE (%)		84.85	5.44	69.00 – 95.00

F: female; M: male; mo: months; y: years; BPI: Brief Pain Inventory; CSI: Central Sensitization Inventory; ISI: Insomnia Severity Index; PSQI: The Pittsburgh Sleep Quality Index; BFS: Brugmann Fatigue Scale; ESS: Epworth sleepiness scale; HADS: Hospital Anxiety and Depression Scale; SF-36: 36-Item Short Form Survey; PSG: Polysomnography; AG: Actigraphy; SOL: Sleep Onset Latency; TST: Total Sleep Time; TIB: Time In Bed; SE: Sleep Efficiency; WASO: Wake After Sleep Onset; EMA: Early Morning Awakening.

^a Categorical data presented as frequencies.

TABLE 2. Associations between subjective sleep parameters (Pittsburgh Sleep Quality Index) and objective sleep parameters (polysomnography) in people with nonspecific chronic spinal pain and comorbid insomnia.

Pittsburgh Sleep Quality Index – Polysomnography (n=122)		
Sleep parameters	Pearson correlation coefficient	P value
SOL	.113	.216
TST	.112	.219
TIB	.365	<.001
SE	.175	.054

SOL: Sleep Onset Latency; TST: Total Sleep Time; TIB: Time In Bed; SE: Sleep Efficiency; WASO: Wake After Sleep Onset.

TABLE 3. Associations between a actigraphy sleep parameter and sleep parameters measured by self-report (Pittsburgh Sleep Quality Index) or polysomnography in people with nonspecific chronic spinal pain and comorbid insomnia.

Pittsburgh Sleep Quality Index – Actigraphy (n=116)		
Sleep parameters	Pearson correlation coefficient	P value
SOL	NA*	NA*
TST	.243	.009
TIB	.667	<.001
SE	-.004	.965
Actigraphy – Polysomnography (n=116)		
Sleep parameters	Pearson correlation coefficient	P value
SOL	NA*	NA*
WASO	.296	.001
TST	.271	.003
TIB	.281	.002
SE	.299	.001
SOL: Sleep Onset Latency; TST: Total Sleep Time; TIB: Time In Bed; SE: Sleep Efficiency; WASO: Wake After Sleep Onset; NA: Not applicable.		
<i>*No SOL values were identified based on the actigraphy data</i>		

TABLE 4. Difference between the subjective sleep parameter (Pittsburgh Sleep Quality Index) and objective sleep parameters (polysomnography) in people with nonspecific chronic spinal pain and comorbid insomnia (n=122).

Pittsburgh Sleep Quality Index versus polysomnography						
Sleep parameter	n	Questionnaire	Polysomnography	Mean Difference [95% CI]	t	p value
		Mean (SD)	Mean (SD)			
SOL (minutes)	122	28.61 (26.65)	14.85 (17.79)	-13.76 [-19.20, -8.33]	-5.014	<.001
TST (minutes)	122	377.58 (69.83)	429.95 (60.03)	52.37 [36.81, 67.94]	6.662	<.001
TIB (minutes)	122	495.80 (60.87)	482.71 (71.62)	-13.08 [-26.56, .39]	-1.922	.057
SE (%)	122	76.36 (12.19)	89.41 (6.62)	13.05 [10.76, 15.35]	11.253	<.001

SOL: Sleep Onset Latency; TST: Total Sleep Time; TIB: Time In Bed; SE: Sleep Efficiency; WASO: Wake After Sleep Onset.

TABLE 5. Difference between the subjective sleep parameter (Pittsburgh Sleep Quality Index) and objective sleep parameters (polysomnography and actigraphy) in people with nonspecific chronic spinal pain and comorbid insomnia (n=123).

Pittsburgh Sleep Quality Index versus actigraphy						
Sleep parameter	N	Questionnaire	Actigraphy	Mean Difference [95% CI]	t	p value
		Mean (SD)	Mean (SD)			
SOL (minutes)	122	28.61 (26.65)	NA*	NA*	NA*	NA*
TST (minutes)	116	377.44 (69.57)	419.00 (36.24)	34.44 [20.83, 48.06]	5.011	<.001
TIB (minutes)	116	495.54 (60.20)	485.59 (44.00)	-9.95 [-18.23, -1.67]	-2.382	.019
SE (%)	116	76.31 (12.42)	84.85 (5.44)	8.54 [6.04, 11.03]	6.773	<.001
Actigraphy versus polysomnography						
Sleep parameter	n	Actigraphy	Polysomnography	Mean Difference [95% CI]	t	p value
		Mean (SD)	Mean (SD)			
SOL (minutes)	122	NA*	14.85 (17.79)	NA*	NA*	NA*
WASO (minutes)	116	73.94 (27.26)	38.66 (31.84)	-35.28 [-41.76, -28.80]	-10.778	<.001
TST (minutes)	116	411.64 (45.55)	430.46 (60.91)	18.82 [6.79, 30.85]	3.098	.002
TIB (minutes)	116	485.59 (44.00)	484.13 (72.80)	-1.46 [-15.02, 12.10]	-.213	.831
SE (%)	116	84.85 (5.44)	89.27 (6.70)	4.41 [3.08, 5.75]	6.550	<.001

SOL: Sleep Onset Latency; TST: Total Sleep Time; TIB: Time In Bed; SE: Sleep Efficiency; WASO: Wake After Sleep Onset; NA: not applicable.

**No SOL values were identified based on the actigraphy data*

Enrollment & Screening

Assessment & missing data

Analyses

