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Vegetation reflectance spectroscopy for biomonitoring of heavy metal pollution in urban soils

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1 **Abstract**

2 Heavy metals in urban soils may impose a threat to public health and may
3 negatively affect urban tree viability. Vegetation spectroscopy techniques
4 applied to bio-indicators bring new opportunities to characterize heavy metal
5 contamination, without being constrained by laborious soil sampling and lab-
6 based sample processing. Here we used *Tilia tomentosa* trees, sampled
7 across three European cities, as bio-indicators i) to investigate the impacts of
8 elevated concentrations of cadmium (Cd) and lead (Pb) on leaf mass per area
9 (LMA), total chlorophyll content (Chl), chlorophyll *a* to *b* ratio (Chl*a*:Chl*b*) and
10 the maximal PSII photochemical efficiency (Fv/Fm); and ii) to evaluate the
11 feasibility of detecting Cd and Pb contamination using leaf reflectance
12 spectra. For the latter, we used a partial-least-squares discriminant analysis
13 (PLS-DA) to train spectral-based models for the classification of Cd and/or Pb
14 contamination. We show that elevated soil Pb concentrations induced a
15 significant decrease in the LMA and Chl*a*:Chl*b*, with no decrease in Chl. We
16 did not observe pronounced reductions of Fv/Fm due to Cd and Pb
17 contamination. Elevated Cd and Pb concentrations induced contrasting
18 spectral changes in the red-edge (690~740 nm) region, which might be
19 associated with the proportional changes in leaf pigments. PLS-DA models
20 allowed for the classifications of Cd and Pb contamination, with a
21 classification accuracy of 86% (Kappa=0.48) and 83% (Kappa=0.66),
22 respectively. PLS-DA models also allowed for the detection of a collective
23 elevation of soil Cd and Pb, with an accuracy of 66% (Kappa=0.49). This

24 study demonstrates the potential of using reflectance spectroscopy for
25 biomonitoring of heavy metal contamination in urban soils.

26 **Keywords:** soil heavy metal contamination; leaf functional trait; vegetation
27 reflectance spectroscopy; red-edge position; bio-indicator

28 **Capsule**

29 Applying leaf reflectance spectroscopy to urban trees allows for biomonitoring
30 of heavy metal pollution and the classification of pollutants in urban soils.

31 **Introduction**

32 Soil contamination is a widely spread problem across Europe
33 (European Commission, 2006). Among the most frequent soil pollutants are
34 heavy metals such as arsenic (As), cadmium (Cd), chromium (Cr), copper
35 (Cu), mercury (Hg), lead (Pb), zinc (Zn), antimony (Sb), cobalt (Co) and
36 nickel (Ni), which accumulate on the soil surface and transfer to deeper soil
37 layers where they can infiltrate into the groundwater (Vince et al., 2014).
38 Plants growing on heavy metal polluted soils passively take up heavy metals,
39 jeopardizing their growth and negatively affecting other organisms feeding
40 on the plants (Panagos et al., 2013; Tóth et al., 2016). Furthermore,
41 elevated concentrations of these heavy metals in agricultural or urban soils
42 endanger food safety and public health (Poggio et al., 2009; Tóth et al.,
43 2016).

44 Urban soils typically contain elevated concentrations of Cd, Cu, Zn
45 and Pb, originating from anthropogenic activities such as traffic and industrial
46 emissions (Gallagher et al., 2008; Li et al., 2001; Poggio et al., 2009;
47 Pourkhabbaz et al., 2010; Vince et al., 2014). Cd and Pb are the most
48 common heavy metals resulting from road traffic, which is attributed to the
49 historical use of Pb as a gasoline additive (Kovarik, 2005) and Cd
50 accumulation which is mainly due to abrasion of tires (Andersson et al.,
51 2010; Vince et al., 2014). Cd and Pb are toxic for plants, animals and
52 humans (Pandit et al., 2010; Poggio et al., 2009). Cd accumulates in human
53 body and can cause nephropathy, pulmonary lesions and lung cancer after
54 long period of exposure (Poggio et al., 2009). Pb increases blood pressure

55 and damages liver, kidney and fertility, and most severely it reduces brain
56 functioning and induces hyperactivity and hearing loss in children (Poggio et
57 al., 2009). Therefore, it is vital to detect elevated concentrations of Cd and
58 Pb in urban soils.

59 Measuring heavy metals is typically based on the collection of soil or
60 road dust samples, which is labor intensive and costly, especially when
61 monitoring heavy metal contamination at larger spatial scales (Wei and Yang,
62 2010). In European countries, the estimated total annual cost related to
63 monitoring and remediating soil contaminants is 17.3 billion euros (European
64 Commission, 2006), and around 81% of the expenditures is spent on
65 remediation measures (Liedekerke et al., 2014). Consequently, only up to
66 15% is available to be spent on site investigations (Liedekerke et al., 2014),
67 implying that there is a need for more cost-effective investigation methods to
68 evaluate spatial and temporal heterogeneity of soil pollution. Soil near-
69 infrared (NIR) spectroscopy has been applied for the detection of heavy
70 metals at relatively low cost. However, this method requires intensive soil
71 sampling (Pandit et al., 2010; Shi et al., 2014). Therefore, a spatially explicit
72 characterization of heavy metal contamination at large scales is constrained
73 by the capacity of sampling and sample processing, especially in urban areas
74 characterized by sealed soil surfaces and highly heterogeneous land-use
75 types.

76 Bio-indicators are living organisms that can be used to assess the
77 quality of the environment (Holt and Miller, 2010; Parmar et al., 2016).
78 Urban vegetation can be used as bio-indicators for monitoring air and soil

79 pollution (Ho, 1990; Khavanin Zadeh et al., 2013; Sawidis et al., 2011).
80 Plants concentrate metal elements in their above ground parts, which are
81 indicative of elevated soil heavy metal concentrations. Furthermore, heavy
82 metals can inhibit plant growth (Giulia et al., 2013; Horler et al., 1980), and
83 decrease chlorophyll content and biomass productivity (Gallagher et al.,
84 2008; Manios et al., 2003). Cd and Pb often limit plant growth by altering
85 leaf internal structures (Giulia et al., 2013; Pourkhabbaz et al., 2010). For
86 instance, Cd can reduce cell wall extensibility and relative water content
87 (Barceló and Poschenrieder, 1990). Pb can reduce not only the leaf
88 expansion but also the total chlorophyll content and efficiency of PSII electron
89 transport (Kastori et al., 1998). Overall, heavy metal toxicity causes multiple
90 direct and indirect effects on various physiological functions and on the
91 morphology of plants (Barceló and Poschenrieder, 1990), reflected in
92 changes of leaf functional traits.

93 Metal induced morphological and physiological changes can further
94 alter vegetation absorbance and reflectance characteristics (Horler et al.,
95 1980). Typically, heavy metal contamination induces most notable changes
96 in the visible and NIR spectral regions, and thus reflectance spectroscopy
97 holds great promise for evaluating the impact of heavy metal contamination
98 on vegetation (Clevers et al., 2004; Kooistra et al., 2004, 2003; Rosso et al.,
99 2005). By applying reflectance spectroscopy to monitoring candidate bio-
100 indicators located at multiple sites in urban areas, researchers have been
101 able to detect polluted sites (Khavanin Zadeh et al., 2013). Previous studies
102 have investigated the effect of individual metals on vegetation spectral

103 responses, e.g., canopy reflectance in response to manipulated pot-soil Cd
104 changes (Rosso et al., 2005). However, different metals may induce similar
105 or contrasting spectral responses (Amer et al., 2017; Horler et al., 1980;
106 Manios et al., 2003). Some studies have focused on spectral response in
107 specific spectral bands such as the red-edge region (690~740 nm), which
108 has been used to estimate plant chlorophyll variations under stress due to
109 heavy metals (Clevers et al., 2004; Rosso et al., 2005). The red-edge
110 position (REP) is defined as the position generating the maximum slope
111 (inflection point) of the reflectance spectra (or maximum first derivative
112 reflectance) in the red-edge region (Clevers et al., 2004; Horler et al., 1983),
113 and has been found to be negatively related to soil Pb concentration (Clevers
114 et al., 2004; Kooistra et al., 2004). Overall, associating soil heavy metal
115 pollution with a range of plant functional and reflectance characteristics
116 provides a cost-effective method for assessing heavy metal pollutions.
117 However, there is still a lack of vegetation reflectance spectroscopy studies
118 that bio-monitor Cd and Pb contamination across a variety of urban
119 environments, especially for monitoring contamination due to multiple
120 metals.

121 Here we tested *Tilia tomentosa* as a bio-indicator for elevated soil Cd
122 and Pb concentrations. Selecting 187 study trees cross three European cities
123 (Leuven, Porto and Strasbourg), our objectives were: i) to assess the
124 impacts of elevated concentrations of Cd and Pb on leaf mass per area
125 (LMA), total chlorophyll content (Chl), chlorophyll *a* to *b* ratio (Chl_a:Chl_b) and
126 the maximal PSII photochemical efficiency (F_v/F_m); and ii) to investigate the

127 feasibility of using leaf reflectance spectroscopy and partial-least-squares
128 discriminant analysis for biomonitoring soil Cd and Pb contamination.

129 **Materials and Methods**

130 *Sampling of leaf and soil and heavy metal measurements*

131 We conducted soil and leaf sampling in summer 2017 and randomly selected
132 19 sites and 187 *T. tomentosa* trees across three medium sized cities
133 (Leuven (Belgium): n = 64; Porto (Portugal), n = 67; Strasbourg (France): n
134 = 56). We randomly selected trees for sampling, and the trunk diameter
135 ranged 5-130 cm. For each tree, we sampled the top soils (0–10 cm) at three
136 random locations surrounding the trunk, and the three locations are mixed
137 for metal measurements. We sampled 15 leaves at three random positions in
138 each tree and stored the leaf samples in a cool box with ice. We performed
139 soil sampling once, while leaf sampling was performed multiple times
140 throughout the growing season for a subset of trees in Leuven and
141 Strasbourg.

142 Heavy metal concentrations in the soil were measured by digesting 50
143 mg of dried and sieved soil with 7.5 ml concentrated hydrochloric acid and
144 2.5 ml concentrated nitric acid. The digested solution was diluted to 10 ml
145 and measured with ICP-OES. For quality control of soil metal analysis, an
146 internal soil standard was run parallel with the soil samples, which deviated
147 less than 5% of the known composition. In this study, we focused on Cd and
148 Pb, as these were the heavy metals that reached the toxicity thresholds
149 (Table 1).

150 *Identification of contamination based on soil heavy metal thresholds*
151 Soil heavy metal contamination levels were identified based on published
152 threshold standards (Tóth et al., 2016) released by the Ministry of the
153 Environment, Finland (MEF, 2007). We grouped the samples into two classes
154 - non-contaminated and contaminated, subjected to individual metals (Table
155 1). Soil samples and corresponding leaf spectral observations (section 2.3)
156 were grouped into four classes according to Pb contamination following the
157 MEF standard (MEF, 2007). The four classes included class 0 being non-
158 contaminated ($Pb < 60$ mg/kg), class 1 of low contamination ($60 \leq Pb < 200$
159 mg/kg), class 2 of medium contamination ($200 \leq Pb < 750$ mg/kg) and class
160 3 of high contamination ($Pb \geq 750$ mg/kg).

161 We also defined four contamination classes subjected to both Cd and
162 Pb contamination by re-grouping of the Cd and Pb binary classes (Table S1),
163 i.e., four Cd x Pb classes including the non-contaminated (class 0), Cd
164 contaminated only (class 1), Pb contaminated only (class 2) as well as when
165 both Cd and Pb are over the thresholds (class 3).

166 *Leaf reflectance and functional traits*

167 Leaf reflectance was measured using an ASD FieldSpec 3 spectroradiometer
168 (ASD Inc., Longmont, CO, USA) connected to a Plant Probe and Leaf Clip
169 Assembly (ASD Inc., Longmont, CO, USA). It allows for reflectance
170 measurement in a spectral range of 350 – 2500nm with a band width of 1
171 nm. Next, we measured the leaf maximal PSII photochemical efficiency
172 (F_v/F_m , ratio of the variable fluorescence to the maximal fluorescence) using

173 a chlorophyll fluorescence meter (Handy PEA, Hansatech Instruments Ltd.,
174 Pentney, UK), combined with a leaf clip that allows for dark adaption (25
175 min). Then, we measured the leaf area using a flatbed scanner, followed by
176 oven dry for 3 days, allowing to determine leaf mass per area (LMA). In
177 total, aggregated per tree and sampling time, collected leave samples
178 allowed for further statistical analysis on a sample size of 333 for reflectance
179 and functional traits. The 333 observations of reflectance spectra and
180 functional traits were grouped into their contamination classes subjected to
181 the soil heavy metal contamination classes as defined in the Section 2.2.

182 A random subset of the leaf samples (n=53) were used to determine
183 the total chlorophyll (Chl) and carotenoid (Car) content. Leaf round discs with
184 a diameter of 28.6 mm were punched from the leaf samples using a paper
185 punch. Chla, Chlb and Car were extracted with a mortar and pestle in 80%
186 acetone and their concentrations determined by measuring the solution
187 absorbance (A) at wavelengths 470, 646.8 and 663.2 nm using a UV-VIS
188 spectrophotometer (Shimadzu 1650 PC, Kyoto, Japan) according to Eqs. (1-
189 3) (Lichtenthaler, 1987).

190
$$Chla = 12.25 * A_{663.2} - 2.79 * A_{646.8} \#(1)$$

191
$$Chlb = 21.50 * A_{646.8} - 5.10 * A_{663.2} \#(2)$$

192
$$Car = \frac{1000 * A_{470} - 1.82 * Chla - 85.02 * Chlb}{198} \#(3)$$

193 For quality control of chlorophyll analysis, we performed parallel
194 measurements in 12 samples, and the average standard error was lower
195 than 5%.

196 *Spectral and statistical analysis*

197 To highlight the metal-induced spectral variations, we calculated the
198 reflectance relative differences between group means for the contaminated
199 and non-contaminated classes subjected to Cd and Pb contamination. We
200 also applied first derivatives to the reflectance, focusing mainly on the red-
201 edge region, to derive the red-edge inflection point (REIP) and evaluate the
202 metal induced red-edge shifts (Clevers et al., 2004).

203 Partial least squares (PLS) regression is a multivariate method for
204 relating two data matrices, X and Y, i.e., explanatory and response matrices,
205 by extracting latent variables (components) to model the variations of both
206 matrices (Wold et al., 2001). The PLS regression can reduce high
207 dimensional data (e.g. hyperspectral) to a small number of latent variables
208 which serve as new predictors on which the response variable is regressed
209 (Rosipal and Krämer, 2006). Partial least squares discriminant analysis (PLS-
210 DA) is a variant used when the response variable is categorical. We used
211 PLS-DA for the classification of metal contamination classes. PLS-DA models
212 were applied to four types of data, (i) the original reflectance spectral, and
213 three pre-processed spectral data including (i) first derivative (ii), standard
214 normal variate SNV and (iii) continuum removal (CR) precede applying the
215 PLS-DA models. PLS-DA model calibration was first initiated on the entire
216 dataset for the full spectrum with 10 components. The initial model was

217 trained using a 10-fold cross-validation with 99 times of permutations,
 218 allowing for determination of the optimal number of components and the
 219 spectral bands yielding a variable importance in projection (VIP) ≥ 0.8 .

220 For an independent validation, the entire dataset was randomly split
 221 into the training and test subsets, with a sample size being 2/3 (n=215) and
 222 1/3 (n=118) of the total observations (n=333), respectively. The VIP ≥ 0.8
 223 spectral bands were then used to train and test models on the two subsets,
 224 respectively.

225 PLS-DA Model classification accuracy was evaluated using the overall
 226 accuracy (Eq. 4) and kappa coefficient (Eq. 5), as well as for assessing the
 227 classification for individual classes using the producer's (Eq. 7) and user's
 228 accuracies (Eq. 8),

229
$$Accuracy = (TP + TN)/(TP + TN + FP + FN)\#(4)$$

230
$$Kappa = \frac{p_a - p_e}{1 - p_e}\#(5)$$

231
$$p_e = \frac{(TN + FP) \times (TN + FN) + (FN + TP) \times (FP + TP)}{(TP + TN + FP + FN)^2}\#(6)$$

232
$$Producer\ Accuracy = TP/(TP + FP)\#(7)$$

233
$$User\ Accuracy = TP/(TP + FN)\#(8)$$

234 where the letters *T* and *F* denote *true* and *false*, respectively, and *P* and *N*
 235 denote *positive* and *negative*, respectively, p_a is the actual agreement
 236 (identical to accuracy), whereas p_e is the expected agreement by chance
 237 (random accuracy) that can be calculated as Eq. (6).

238 We used linear mixed models to test whether elevated soil heavy
239 metals affect the leaf functional traits. We defined the metal contamination
240 classes, i.e., binary or multi-class, as the fixed effect factor and defined city
241 and sampling site as random effect factors in the mixed models. All analyses
242 were performed in the R programming environment (R Core Team, 2016).
243 The R package 'lme4' (Bates et al., 2015) was used for running the mixed
244 models, and the package 'lsmeans' (Lenth, 2016) was used for post-hoc
245 analysis of pairwise comparisons between the contaminated classes based on
246 Tukey's test. PLS-DA was implemented using the package 'mixOmics' (Rohart
247 et al., 2017).

248 **Results and Discussion**

249 *Heavy metal effects on leaf functional traits*

250 Elevated Pb and Cd concentrations had a significant effect on LMA of *T.*
251 *tomentosa* trees (Table 2). Soil Cd contamination did not induce significant
252 changes in LMA (Fig. 1a), whereas Pb contamination significantly decreased
253 LMA (Fig. 1b). Generally, Cd and Pb stress leads to damages to chloroplasts
254 and thylakoid membranes in plants (Shen et al., 2016; Wu et al., 2014),
255 which often causes reduced leaf growth such as small leaf size and small
256 stomata (Shi and Cai, 2009), as well as thin cuticles of leaf surfaces
257 (Pourkhabbaz et al., 2010). Therefore, elevated Pb concentrations could have
258 reduced leaf thickness and thus decreased LMA. Cd also induces changes in
259 leaf structural properties, while Cd concentrations measured in this study
260 might still be below the threshold that induces significant inhibition of leaf
261 expansion.

262 Elevated soil Pb induced significant changes in leaf total Chl content,
263 Chla to Chlb ratio (Chla:Chlb) and Fv/Fm, whereas Cd and other metals did
264 not yield significant changes (Table 2). Decrease in leaf Chl content is often
265 associated with photoinhibition and reduction of the photosynthetic capacity
266 (Shen et al., 2016). Chla:Chlb decreased significantly along with the increase
267 in soil Pb concentration (Fig. 2), suggesting that Chla was more suppressed
268 compared to Chlb (Nie et al., 2016). Similarly, a significant reduction of
269 Chla:Chlb has been found in *Torreya grandis* (Shen et al., 2016) and *Typha*
270 *latifolia* plants (Manios et al., 2003) treated with a high concentration of Cd
271 and Pb, suggesting increases in chlorophyll hydrolysis due to the toxic effect
272 (Manios et al., 2003). Results may differ for different plant species, for
273 instance in a greenhouse environment, Horler et al. (1980) observed a
274 significant decrease of Chla:Chlb in pea leaves due to elevated Cd
275 concentrations, but no changes following elevated Pb (Horler et al., 1980).

276 Cd and Pb contamination induced a decrease in Fv/Fm (Fig. 3a, b),
277 whereas Fv/Fm appeared to be not sensitive to low-level Pb contamination
278 (Fig. 3d), suggesting that Cd and Pb stress may induce photosynthesis
279 inhibition. Similarly, Cd was found to affect Fv/Fm in the wetland plant
280 species *Salicornia virginica* (Rosso et al., 2005) and in the turf grass species
281 *Festuca arundinacea Schreb* (Huang et al., 2017). Generally, the observed
282 decrease in Fv/Fm in plants subjected to Cd/Pb stress is associated with the
283 photoinhibition of PSII, as a result of the overproduction of reactive oxygen
284 species (ROS) (Huang et al., 2017; Shen et al., 2016). However, a significant
285 decrease in Fv/Fm may not always be observable if Cd/Pb concentration does

286 not exceed a high threshold (Huang et al., 2017; Shen et al., 2016). Giulia et
287 al. (2013) found that a high soil Pb concentration did not decrease Fv/Fm in
288 *Q. ilex* plants, and they argued that these metals may not significantly alter
289 functionality of the photosynthetic apparatus. Similarly, Shi and Cai (2009)
290 reported that Fv/Fm was not affected in peanut plants treated with a high
291 concentration of Cd. Therefore, the effect of heavy metals on Fv/Fm might
292 depend largely on metal type, concentration and plant species.

293 Mixed models for multi-class Cd×Pb and Pb contamination showed
294 much more pronounced effects on LMA and Chla:Chlb than on Fv/Fm and leaf
295 total Chl content (Table 3), which suggests that heavy metals induced more
296 structural changes and proportional changes in leaf biochemicals than the
297 quantity changes of individual components. An increase in leaf total Chl
298 content and Fv/Fm was observed at a relative low-level Pb or Cd×Pb
299 contamination (Table 3), suggesting that heavy metals impose complicated
300 effects on photosynthesis and that Cd and Pb may increase the PSII quantum
301 yield within a certain range of low concentrations (Ouyang et al., 2012; Shen
302 et al., 2016).

303 The effect of soil heavy metals on leaves or the content of heavy metal
304 accumulation in the leaves might be related to the age of trees (Doganlar et
305 al., 2012). To test whether tree age difference affect the observed effects of
306 Cd and Pb on leaf functional traits in this study, we used trunk diameter as a
307 proxy of tree age and added it as an additional random factor in the mixed
308 models (Table S2 and Table S3). Results suggest that the observed effects of

309 Cd and Pb on *T. tomentosa* leaves was not significantly influenced by tree
310 age.

311 *Reflectance and first derivatives in response to heavy metals*

312 Elevated soil Cd concentrations yielded relatively large variations in leaf
313 reflectance centered at the 500, 680 and 720 nm bands (Fig. 4a), whereas
314 elevated Pb yielded large variations at the 550 and 700 nm bands (Fig. 4b).
315 In the red-edge region, Cd had a large effect on reflectance at the red-edge
316 center (~ 720 nm), whereas Pb had a large effect on reflectance ranging from
317 the red absorption to the beginning of the red-edge bands (680 \sim 700 nm).
318 Over the full spectrum, soil Pb contamination induced larger variations
319 ($\pm 10\%$, Fig. 4b) compared to Cd contamination ($\pm 5\%$) (Fig. 4a), which
320 might be attributed to the fact that Pb contamination was severer than Cd in
321 this study. Cd concentration was slightly higher than the threshold (1
322 mg/kg), but was much lower than the 'low guideline' of contamination level
323 (10 mg/kg) at which ecological or health risks present (Tóth et al., 2016).

324 The decrease in the NIR region (750 \sim 1400 nm) was associated with
325 elevated Cd and Pb concentrations. This might be attributed partly to the
326 decreased LMA because contaminated trees often have a much thinner outer
327 epidermal layer and thus thinner leaves (Pourkhabbaz et al., 2010), although
328 the effect of Cd on LMA observed in this study was marginal (Fig. 1). Metal-
329 induced decreases in leaf NIR reflectance might be associated mainly with
330 the changes in leaf internal structural properties which decrease the internal

331 light scattering and increase the transmittance of leaves (Horler et al., 1980;
332 Kumar et al., 2001).

333 The first derivative reflectance in the visible-to-NIR bands showed two
334 major peaks centered at 530 and 720 nm (Fig. S1). In the red-edge spectral
335 region, Cd contamination induced a shift of absorbance features towards the
336 shorter wavelengths (Fig. S1a). In contrast, Pb contamination induced a red-
337 edge shift to the longer wavelengths (Fig. S1b). In addition to the red-edge
338 bands, Pb contamination also yielded large variations in the first derivative
339 reflectance at the green bands, suggesting a more pronounced change of the
340 overall shape of reflectance (cf. Fig. 4). As shown in the first derivative
341 reflectance, Pb contamination also induced a shift in the green edges (both
342 sides of the green peak) compared to Cd contamination. This might explain
343 the observed decrease in the Chla:Chlb ratio (Fig. 2), since absorption at the
344 green edge bands is related to Chlb variations (Kumar et al., 2001).

345 The extracted REIP showed contrasting changes in the Cd and Pb
346 contaminated trees, with decreasing and increasing trends, respectively (Fig.
347 S2), which confirms the contrasting effects of Cd and Pb contamination on
348 the red-edge reflectance. Heavy-metal induced REIP changes, or red-edge
349 shifts, have been found to depend to some degree on plant species and
350 sampling sites (Kooistra et al., 2004). Normally, a decreased REIP can be
351 observed when plant stress induces a reduction in leaf total Chl content
352 (Horler et al., 1983). However, here we did not observe obvious Chl
353 reduction associated with Cd or Pb contamination. Therefore, the REIP
354 variations observed here were more likely associated with the proportional

355 changes in the Chla:Chlb ratio, in combination with changes in leaf
356 structures.

357 *PLS-DA model calibration for binary and multi-class classifications*

358 In the binary classifications, the PLS-DA calibration models for Cd-
359 contamination classification yielded a total accuracy of 84.1~86.5% ($\kappa =$
360 0.46~0.49, Table S4). PLS-DA models for Pb contamination yielded a total
361 accuracy of 72.7~77.8% ($\kappa = 0.46\sim 0.57$). For the multi-class
362 classification of Cd×Pb-mixed contamination, PLS-DA models yielded a total
363 accuracy of 43.2~66.1% ($\kappa = 0.24\sim 0.49$, Table S4). PLS-DA models for
364 the multi-class classification of Pb yielded a total accuracy of 52.0~64.0%
365 ($\kappa = 0.29\sim 0.43$). The best classifications for individual metals are
366 illustrated in confusion-matrix plots (Fig. 5).

367 The best model for Cd correctly classified the Cd class 0 with a
368 producer and use accuracy of 86% and 97%, respectively, and were 77%
369 and 43% for the Cd class 1 (Fig. 5a). The producer and use accuracy for the
370 Pb class 0 were 88% and 67%, respectively, and 77% and 90% for the Pb
371 class 1 (Fig. 5b). The best model for Cd×Pb yielded a relatively low user
372 accuracy in predicting the classes 1 and 3 (Fig. 5c), which however, accounts
373 for a very small proportion of the total observations. The best model for
374 multi-class Pb contamination yielded a relatively high producer accuracy for
375 the classes 0 and 3 (Fig. 5d), with 80% and 100%, respectively. In contrast,
376 the model yielded a higher user accuracy for the classes 0 and 1 than for the
377 classes 2 and 3. The low user accuracy for the Pb classes 2 and 3 was mainly

378 due to the small sample size of high Pb concentrations, which consists of only
379 17 and 4 observations for the classes 2 and 3, respectively.

380 Overall, the high producer accuracy, paired with relatively low user
381 accuracy for a relatively high metal concentration was rather encouraging,
382 since our models slightly tended to overestimate the observed contamination
383 rather than underestimate the elevated contamination. This implies a high
384 probability of detecting the elevated concentrations of soil heavy metals.

385 *PLS-DA model validation using full spectrum and VIP-bands*

386 Compared to model calibration accuracies, model validation based on the full
387 spectrum produced comparable accuracies (Table S5). In binary
388 classifications, models for Pb contamination yielded higher kappa coefficients
389 than the models for Cd contamination. In multi-class classifications, model
390 validation showed improved total accuracies and kappa coefficients (Table
391 S5), suggesting the potential of using calibrated PLS-DA models for detecting
392 elevated soil Cd and Pb concentrations.

393 Validation of models trained with the VIP (≥ 0.8) bands showed
394 slightly improved kappa values and total accuracies compared to the full use
395 of bands (Table S5). The importance of individual spectral bands in the
396 classification is indicated by the VIP scores for individual metals (Fig. 6). Cd
397 contamination yielded relatively high VIP scores at the red-edge (730 nm)
398 and SWIR bands (1300 nm, 1650 nm) compared to Pb contamination,
399 suggesting unique spectral responses to elevated soil Cd in these bands (Fig.
400 6). Pb contamination yielded higher VIP scores at the green (530 nm) and

401 the beginning of red-edge (700 nm), suggesting that Pb contamination
402 induced more pronounced responses in the visible bands. For the binary
403 classifications, VIP-based PLS-DA models yielded higher accuracies for Pb-
404 contamination classification ($\kappa = 0.66$) than for Cd ($\kappa = 0.39$, Table
405 S5). For multi-class classifications, the VIP-based PLS-DA models yielded
406 comparable accuracies by using a much less amount of bands compared to
407 the use of full spectral bands.

408 Model validation results showed that selecting a set of influential bands
409 ($\text{VIP} \geq 0.8$) allowed for maintaining classification accuracy and improving
410 model-use and computational efficiencies. Within a limited number of
411 observations, by randomly dividing independent training and testing subsets
412 of observations, our results suggest that spectrally calibrated PLS-DA models
413 have great potential of applying to future scenarios for monitoring heavy
414 metals.

415 *Comparison between reflectance pre-processing methods*

416 The kappa coefficient is a balanced measure compared to the use of the
417 producer-, user- and total accuracies, especially when the observations in
418 difference classes are highly imbalanced such as in this study. Hence, we
419 evaluated the three spectra-preprocessing methods according to the kappa
420 values. Model calibration and validation both showed that the first derivatives
421 yielded the highest kappa values compared to the use of the original and
422 SNV reflectance data (Table S4 and Table S5).

423 Using a different number of components might induce some degree of
424 variation in model accuracies, although we used the cross-validation (CV)
425 procedure. In addition to the CV-optimized number of components, model
426 calibration and validation were repeated by using a fixed number of
427 components (Table S6, Table S7 and Table S8). Results showed that the first
428 derivative reflectance yielded the highest kappa coefficients, followed by the
429 CR reflectance and the original reflectance (Fig. S3). The SNV reflectance did
430 not yield improvement compared to the original reflectance data, suggesting
431 that the SNV process may mask subtle spectral responses subjected to
432 individual metals. Overall, PLS-DA models based on the first derivative
433 reflectance produced the best classifications, which also suggests that heavy
434 metals have induced complicated effects on leaf biochemical and structural
435 properties that lead to light absorption changes/shifts over the full spectrum.

436 First derivative spectra of leaves have been proven to be effective in
437 eliminating background signals and for resolving overlapping spectral
438 features (Demetriades-Shah et al., 1990), which is useful to detect plant
439 stresses or estimate pigment changes (Rundquist et al., 1996; Smith et al.,
440 2004). Also, first derivative reflectance has better discrimination power
441 compared to the original reflectance by characterizing the rate of change of
442 reflectance with respect to wavelengths (Bao et al., 2013; Lassalle et al.,
443 2018; Smith et al., 2004). Typically, derivative analysis may facilitate the
444 detection of changes that might be masked in the original spectra by the
445 presence of plant intrinsic co-variations (Horler et al., 1983). For instance,
446 derivative spectra in the visible region may enable to detect subtle changes

447 in leaf pigment balance associated with physiological disorders or vegetation
448 types (Bandaru et al., 2016; Demetriades-Shah et al., 1990; Pu, 2011).
449 Derivative analysis can be particularly useful for remotely biomonitoring
450 heavy metal using reflectance spectra measured from above the vegetation
451 canopy (Wang et al., 2018). Canopy spectra first derivatives eliminate the
452 additive noises (baseline shifts) induced by illumination instability, canopy
453 structural or soil background influences (Demetriades-Shah et al., 1990;
454 Gnyp et al., 2014; Kochubey and Kazantsev, 2012; Pu, 2011), thereby
455 improving the accuracy for quantification of canopy biochemical or
456 physiological changes (Jin and Wang, 2016; O'Connell et al., 2014).
457 Moreover, PLS modeling further facilitates the use of features of the full
458 derivative spectrum for the characterization of vegetation undergoing
459 changes or stresses.

460 Apparently, PLS-DA models for Pb-contamination classifications
461 exclusively produced higher kappa values than for Cd contamination
462 classifications, across different cases of spectra-preprocessing methods,
463 model calibration (Fig. S3a) and validation (Fig. S3b), as well as when using
464 a subset of VIP-bands (Fig. S3c). This can be attributed to the data
465 imbalance between the Cd- and Pb-contamination levels, which, however,
466 shows a great promise of the proposed approach for spectroscopic detection
467 of elevated soil heavy metals, given that a diverse set of observations are
468 used for model calibration.

469 **Conclusions**

470 This study used *T. Tomentosa* trees growing in three European cities as bio-
471 indicators of soil heavy metal contamination, and evaluated whether tree
472 spectra responses were able to reflect the elevated metal concentrations.
473 Results showed that elevated soil Cd and Pb concentrations led to decrease in
474 the leaf mass per area (LMA) and the chlorophyll *a* to *b* ratio (Chl*a*:Chl*b*),
475 while no significant reduction in leaf total chlorophyll (Chl) and the maximal
476 PSII photochemical efficiency (Fv/Fm). Soil Pb contamination was severer
477 and showed more pronounced effect on LMA, Fv/Fm, Chl and Chl*a*:Chl*b* than
478 did the Cd contamination in the studied sites.

479 Cd and Pb contamination induced specific changes in leaf reflectance
480 and the reflectance first derivatives, particularly in the red-edge spectral
481 region. Partial least squares discriminant analysis (PLS-DA) models calibrated
482 using leaf reflectance showed promise for detecting soil Cd and Pb
483 contamination in urban areas. PLS-DA models based on reflectance first
484 derivatives allowed for the best classification of Cd and Pb contamination.
485 This study shows that elevated soil heavy metals can be monitored by
486 measuring leaf spectra of trees. This holds great potential for mapping urban
487 heavy metal contamination by measuring urban vegetation using high-
488 resolution spectrometers onboard airborne or drone platforms. Future work
489 should investigate whether our findings can be extrapolated to broader scales
490 by using canopy level reflectance data and a diverse set of plant species as
491 bio-indicators. Multi-temporal investigations of the quantitative relationships
492 between the practical content of heavy metals in leaves and reflectance

493 spectroscopic measures are also needed to understand metal translocation
494 from soil to vegetation and for dynamic biomonitoring of heavy metal
495 contamination.

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504 **Declarations of interest**

505 None.

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Figure Captions

Fig. 1. Boxplots with the leaf mass per area (LMA) differences between the binary classes (0 = non-contaminated, 1 = contaminated) of (a) Cd and (b) Pb contamination, as well as among multiple classes of (c) Cd×Pb and (d) Pb contamination. Significance levels are indicated according to the post-hoc Tukey's test of the applied mixed models.

Fig. 2. Boxplots with the leaf chlorophyll a to b ratio (Chla:Chlb) differences between the binary classes (0 = non-contaminated, 1 = contaminated) of (a) Cd and (b) Pb contamination, as well as among multiple classes for (c) Cd×Pb and (d) Pb contamination. Significance levels are indicated according to the post-hoc Tukey's test of the applied mixed models.

Fig. 3. Boxplots show the chlorophyll fluorescence Fv/Fm differences between the binary classes (0 = non-contaminated, 1 = contaminated) of (a) Cd and (b) Pb contamination, as well as among multi-class classifications of (c) Cd×Pb and (d) Pb contamination. Significance levels are indicated according to the post-hoc Tukey's test of the applied mixed models.

Fig. 4. Leaf mean reflectance of the contaminated (1) and non-contaminated (0) trees subjected to (a) Cd and (b) Pb, and their reflectance relative difference $((X1-X0)/X0)$ between the contaminated and non-contaminated leaves.

Fig. 5. Predicted versus observed classes for (a) Cd binary classification, (b) Pb binary classification, (c) Cd×Pb classification and (d) Pb multi-class classification. Here the first derivative reflectance data were used for (a), (b) and (c), the original reflectance were used for (d). Numbers indicate the confusion matrix of classification.

Fig. 6. The variable importance in projection (VIP) scores for the spectral-based PLS-DA models for binary classification for Cd and Pb contamination, and for multi-class classification of Pb and Cd×Pb contamination. $VIP \geq 0.8$ highlights the spectral bands contributing significantly to the PLS-DA models.

Tables

Table 1. Measured soil heavy metal content and the threshold values for classification of contamination. Cd and Pb were the major contaminants in this study, and Pb was the only metal that reached the highline and thus Pb contamination was classified into three sub-classes.

Metal	Range (mg/kg)	Threshold (mg/kg)	Number of observations (n)			
			Class 0	Class 1/Pb 1	Pb 2	Pb 3
Cd	0-3.9	1	294	39		
Pb*	0-2170.8	60	132	201/180	17	4
Co	0-15.9	20	333	0		
Cr	0-120.9	100	327	6		
Cu	0-159.1	100	330	3		
Ni	0-76.8	50	331	2		
Zn	10-265.8	200	329	4		

*, Pb contamination sub-levels:

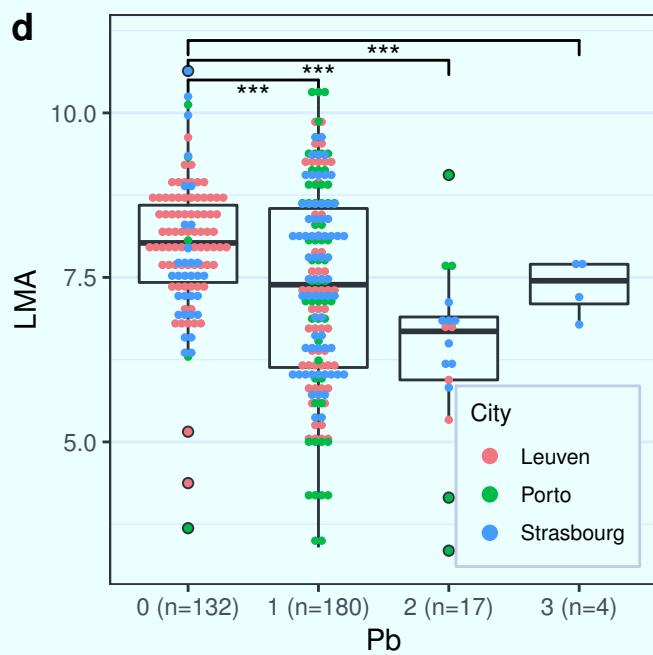
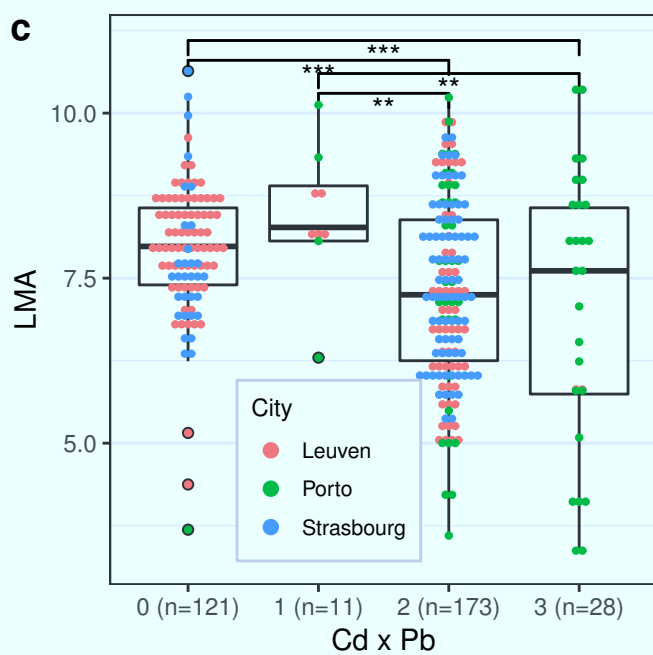
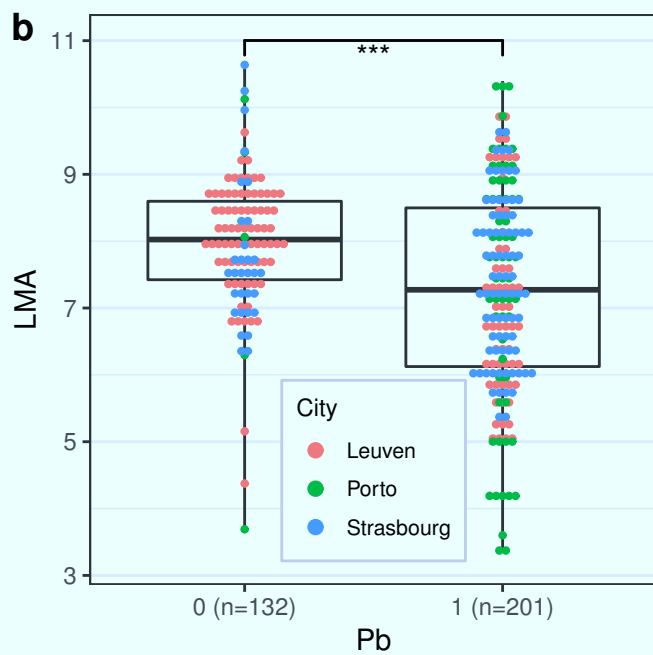
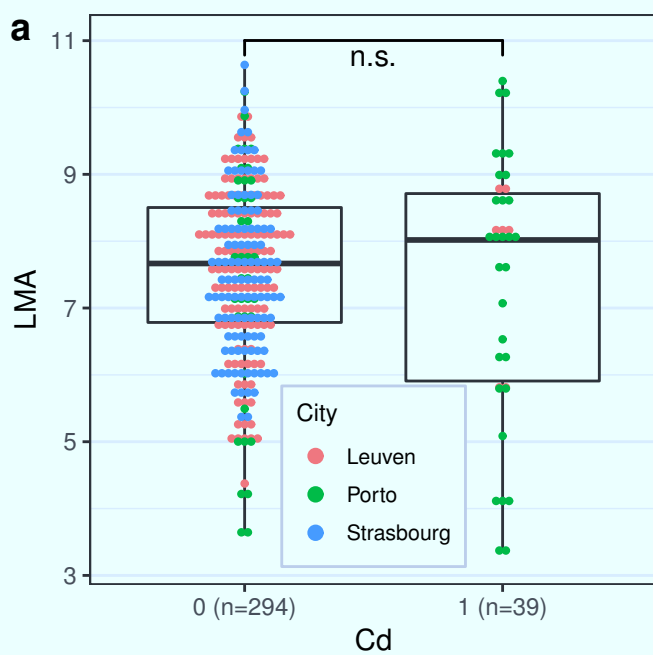
- 1) Low contamination ($60 \leq \text{Pb} < 200$ mg/kg);
- 2) Medium contamination ($200 \leq \text{Pb} < 750$ mg/kg);
- 3) High contamination ($\text{Pb} \geq 750$ mg/kg).

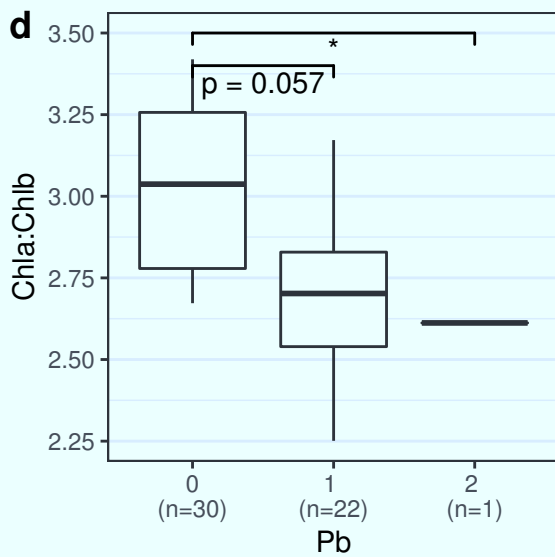
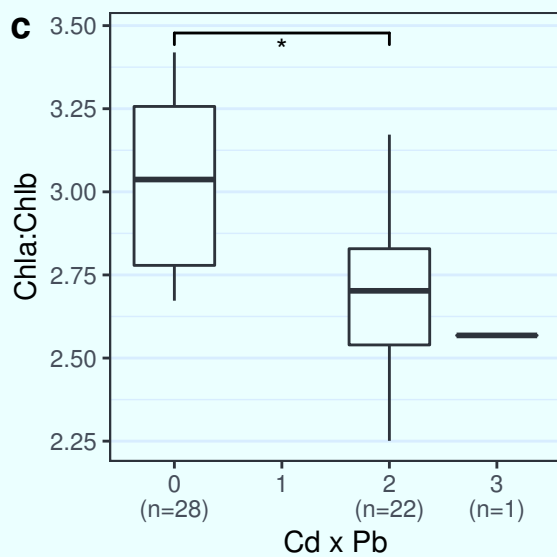
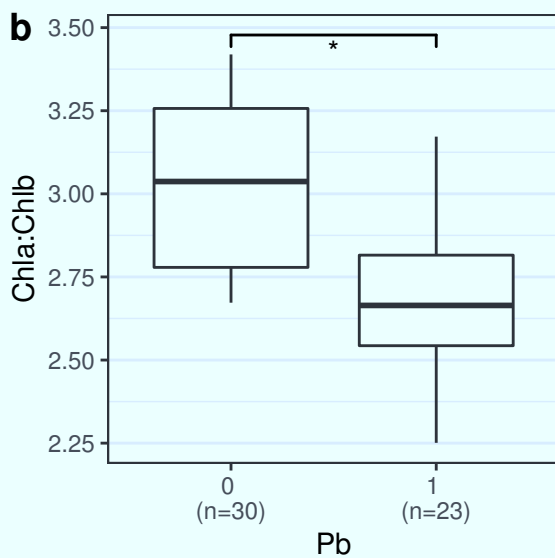
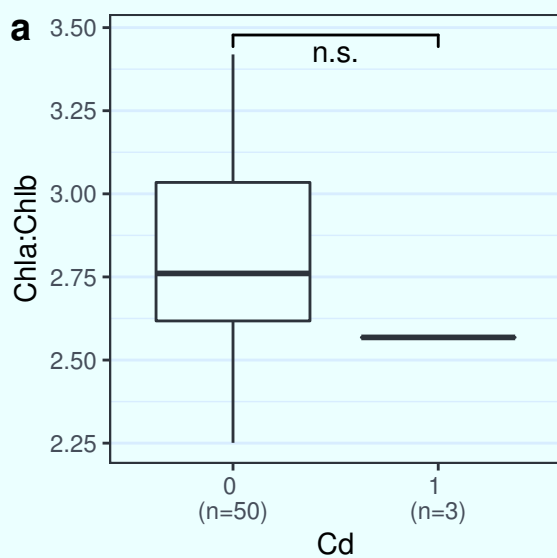
Table 2. Results of mixed models for testing the effect of soil heavy metals on leaf functional traits, including the leaf mass per area (LMA), Fv/Fm, total chlorophyll content (Chl) and Chla:Chlb ratio. Modelle random effects were city and sites. Chlorophyll data were only available for a subset of the samples, where only Cd and Pb reached the thresholds of contamination.

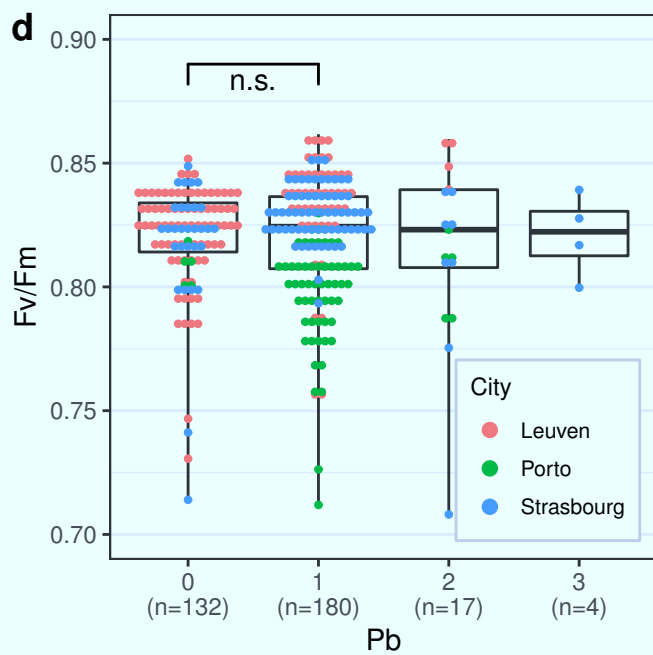
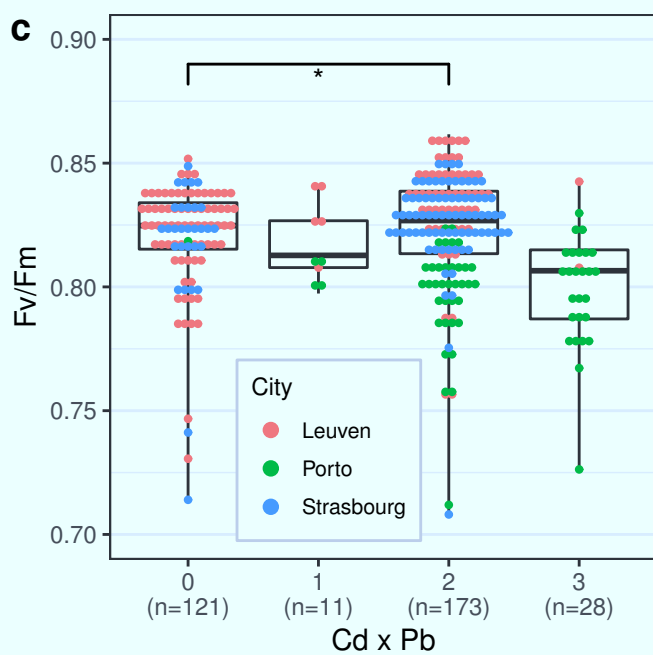
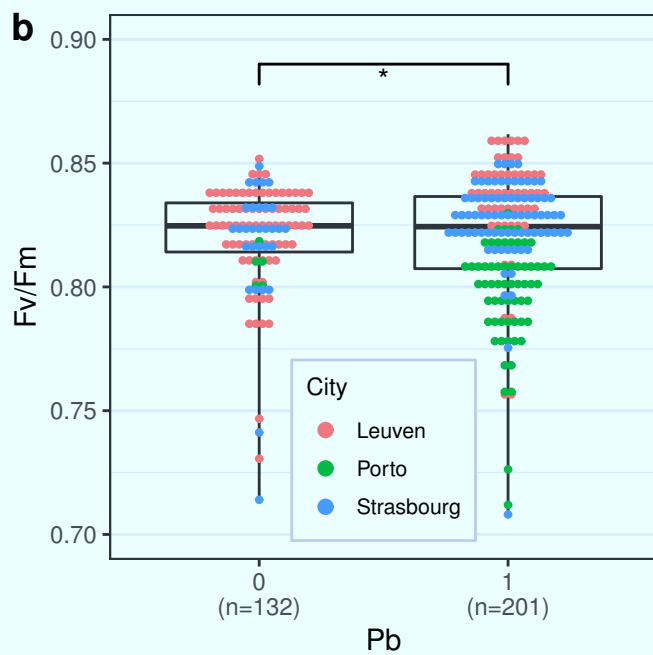
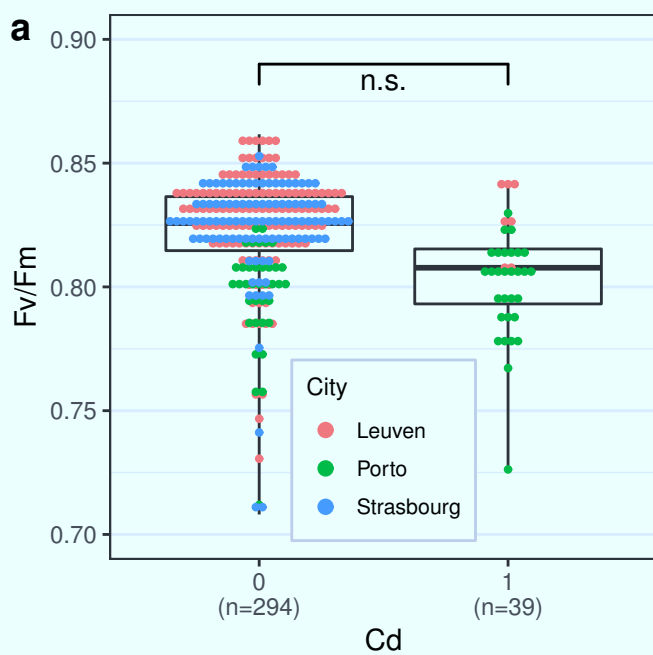
Trait	Mixed Model			Tukey's Test Class 0 - 1	
	Metal	F-value	P-value	Estimate	P-value
LMA	Cd	1.11	0.292	0.249	0.292
	Cr	6.68	0.010	-1.319	0.010
	Cu	0.16	0.691	0.284	0.691
	Ni	0.23	0.632	0.425	0.632
	Pb	67.08	<0.001	1.284	<0.001
	Zn	0.70	0.404	0.521	0.404
Fv/Fm	Cd	0.02	0.901	-0.0013	0.901
	Cr	0.01	0.905	0.0027	0.905
	Cu	0.01	0.911	0.0034	0.911
	Ni	0.08	0.772	-0.0109	0.772
	Pb	5.84	0.016	-0.0162	0.016
	Zn	0.08	0.784	-0.0074	0.784
Chl	Cd	2.31	0.138	18.091	0.138
	Pb	6.78	0.013	-9.238	0.013
Chla:Chlb	Cd	0.45	0.509	0.181	0.509
	Pb	23.58	<0.001	0.331	<0.001

Table 3. Mixed models for testing the effect of multi-level Cd×Pb and Pb contamination on leaf functional traits, including the leaf mass per area (LMA), Fv/Fm, total chlorophyll content (Chl) and Chla:Chlb ratio. The modeled random effects are city and site. Chlorophyll data were only available for a subset of the samples, where only Cd and Pb reached the threshold of contamination.

Mixed model		Tukey's Test													
Trait	Metal	F-value	P-value	Class 0 - 1		Class 0 - 2		Class 0 - 3		Class 1 - 2		Class 1 - 3		Class 2 - 3	
				estimate	p	estimate	p	estimate	p	estimate	p	estimate	p	estimate	P
LMA	Cd×Pb	23.74	<0.001	0.025	1.000	1.256	<0.001	1.722	<0.001	1.231	0.006	1.698	0.001	0.466	0.246
	Pb	26.29	<0.001	1.21	<0.001	1.831	<0.001	2.444	<0.001	0.621	0.104	1.234	0.104	0.613	0.731
Fv/fm	Cd×Pb	2.42	0.066	-0.0211	0.661	-0.0184	0.044	-0.0143	0.713	0.0028	0.999	0.0069	0.987	0.0041	0.987
	Pb	2.00	0.113	-0.0168	0.070	-0.0109	0.863	-0.0106	0.980	0.0059	0.971	0.0062	0.996	0.0003	1.000
Chl	Cd×Pb	5.86	0.006			-10.275	0.014	12.558	0.495					22.834	0.108
	Pb	6.40	0.004	-8.108	0.057	-32.96	0.012			-24.852	0.070				
Chla:Chlb	Cd×Pb	11.47	<0.001			0.332	<0.001	0.319	0.319					-0.012	0.998
	Pb	12.01	<0.001	0.323	<0.001	0.495	0.072			0.172	0.709				

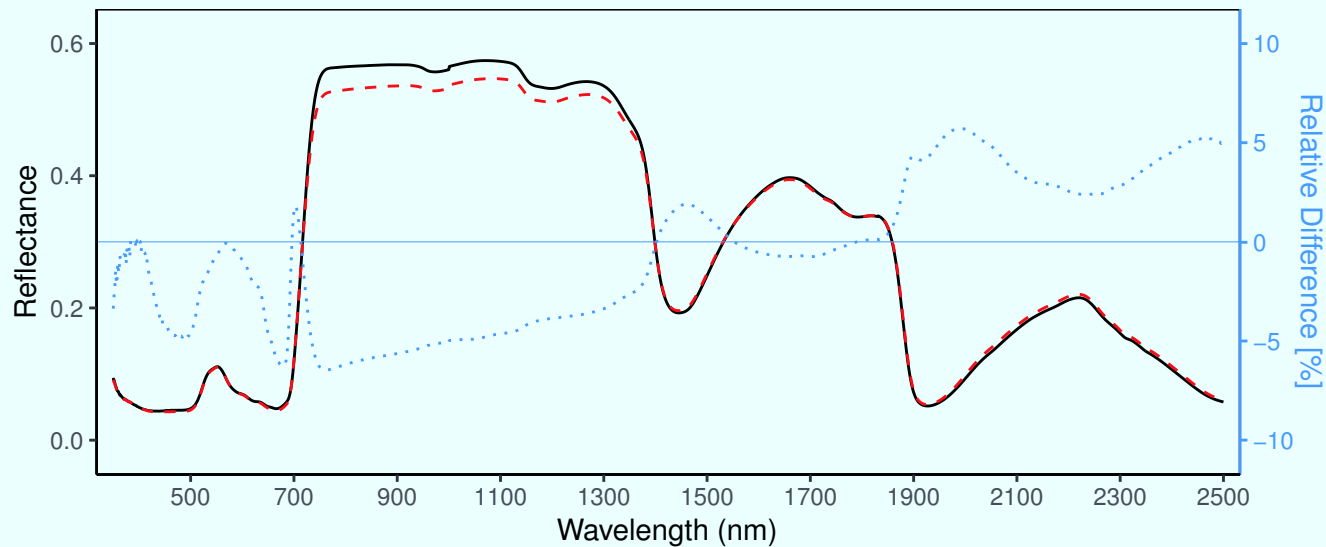




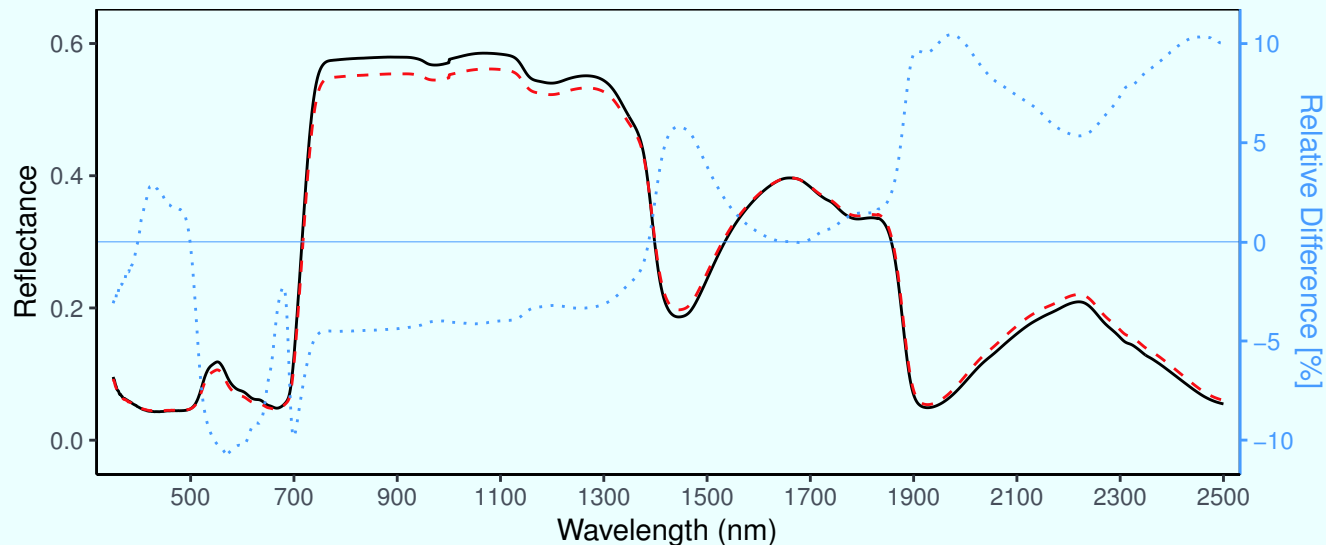


a

— Cd 0 - - - Cd 1 ····· Relative Difference

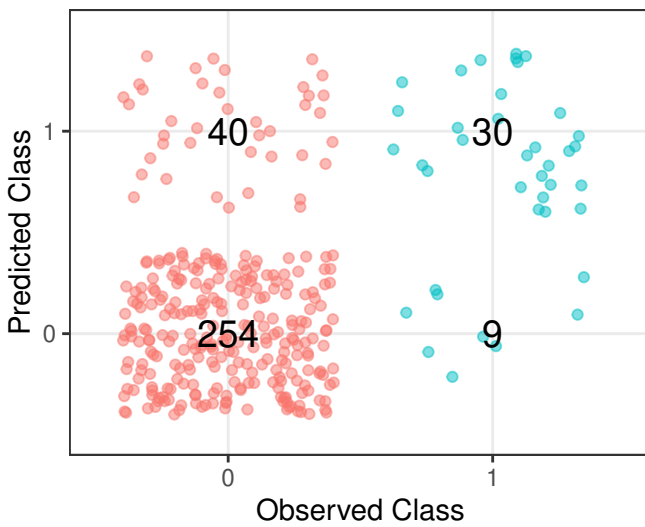
**b**

— Pb 0 - - - Pb 1 ····· Relative Difference



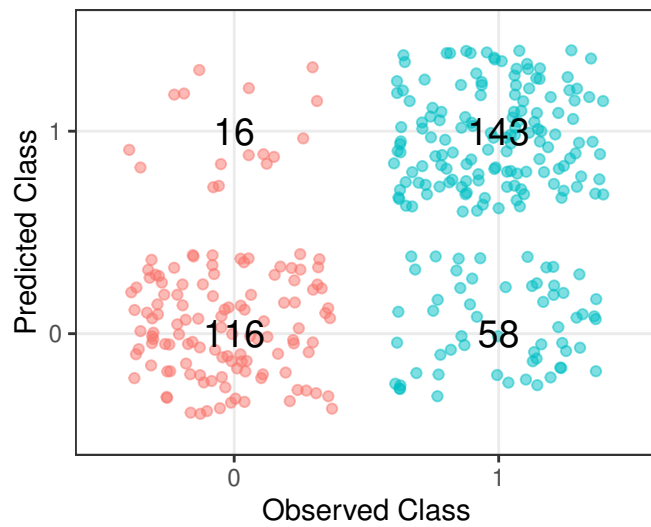
a

Class	ProducerAccuracy	UserAccuracy
0	86.39%	96.58%
1	76.92%	42.86%



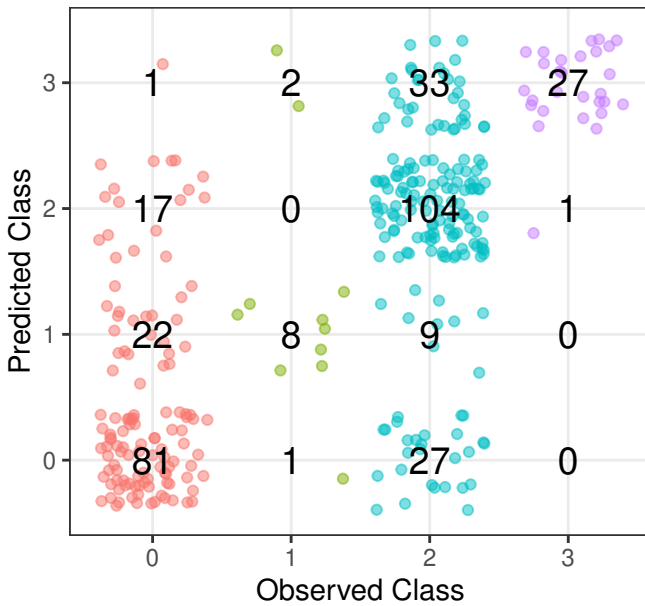
b

Class	ProducerAccuracy	UserAccuracy
0	87.88%	66.67%
1	71.14%	89.94%



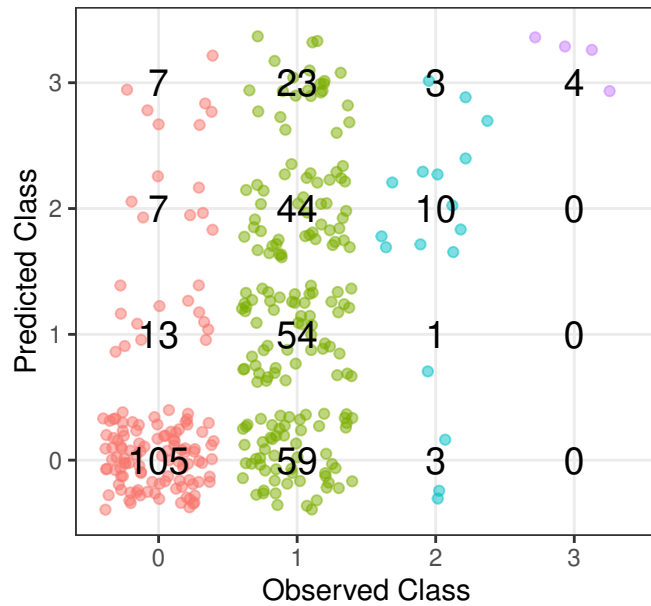
c

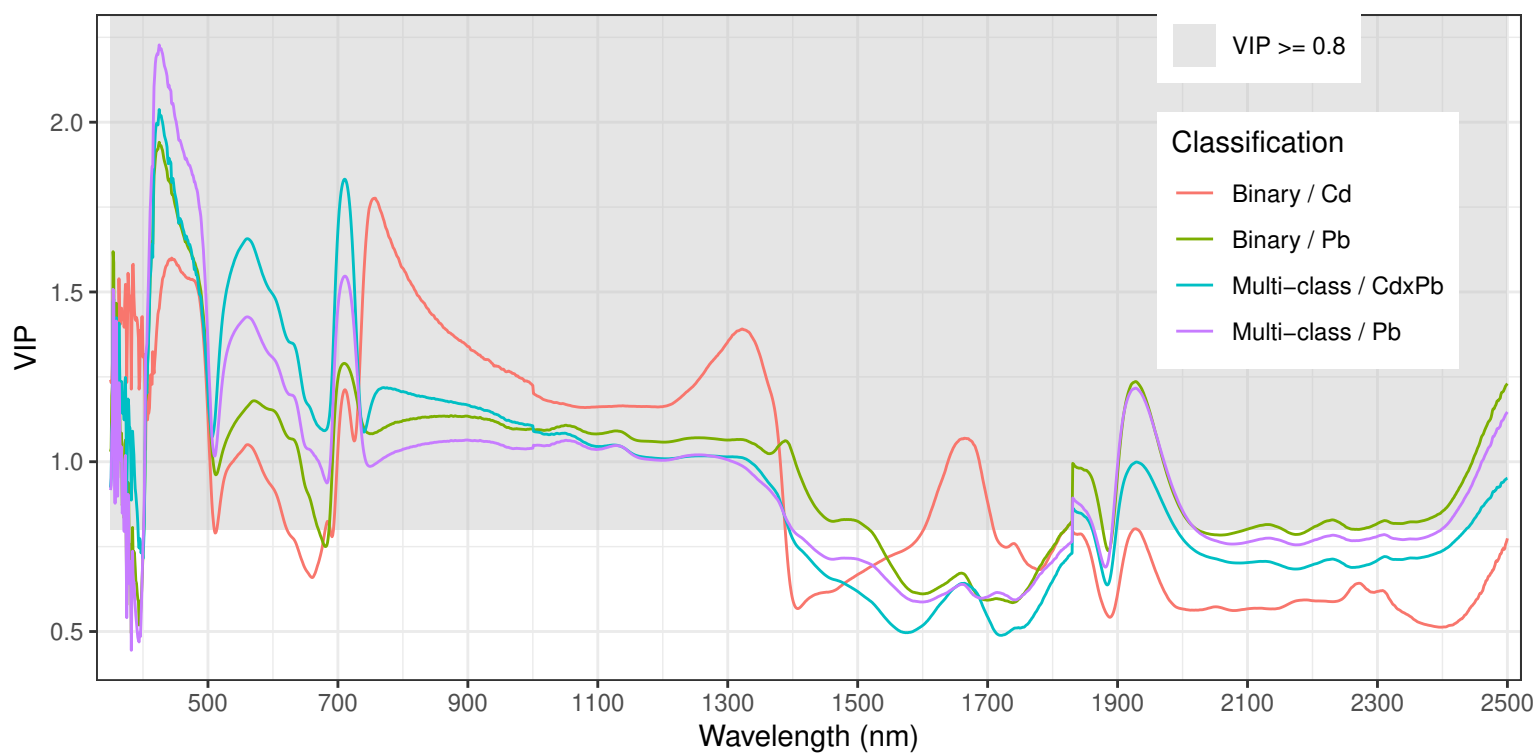
Class	ProducerAccuracy	UserAccuracy
0	66.94%	74.31%
1	72.73%	20.51%
2	60.12%	85.25%
3	96.43%	42.86%



d

Class	ProducerAccuracy	UserAccuracy
0	79.55%	62.87%
1	30.00%	79.41%
2	58.82%	16.39%
3	100.00%	10.81%





Supplementary Material

Title:

Vegetation reflectance spectroscopy for biomonitoring of heavy metal pollution in urban soils.

Authors:

Kang Yu, Maarten Van Geel, Tobias Ceulemans, Willem Geerts, Miguel Marcos Ramos, Nadine Sousa, Paula M.L. Castro, Pierre Kastendeuch, Georges Najjar, Thierry Ameglio, Jérôme Ngao, Marc Saudreau, Olivier Honnay, Ben Somers.

Table S1. Classification of samples into four contamination levels of heavy metals according to the two major contaminants Cd and Pb in this study.

Metal	Class	Cd		Total
		0	1	
Pb	0	CdxPb 0 (n=121)	CdxPb 1 (n=11)	132
	1	CdxPb 2 (n=173)	CdxPb 3 (n=28)	201
Total		294	39	333

Table S2. Results of mixed models for testing the effect of soil heavy metals on leaf functional traits, including the leaf mass per area (LMA), Fv/Fm, total chlorophyll content (Chl) and Chla:Chlb ratio. Modeled random effects were *City*, *Site* and *Trunk Diameter*. Chlorophyll data were only available for a subset of the samples, where only Cd and Pb reached the thresholds of contamination.

Trait	Mixed Model			Tukey's Test Class 0 - 1	
	Metal	F-value	P-value	Estimate	P-value
LMA	Cd	1.114	0.292	0.249	0.292
	Cr	6.676	0.01	-1.319	0.01
	Cu	0.158	0.691	0.284	0.691
	Ni	0.23	0.632	0.425	0.632
	Pb	67.079	<0.001	1.284	<0.001
	Zn	0.699	0.404	0.521	0.404
Fv/Fm	Cd	0.016	0.901	-0.001	0.901
	Cr	0.014	0.905	0.003	0.905
	Cu	0.012	0.911	0.003	0.911
	Ni	0.084	0.772	-0.011	0.772
	Pb	5.842	0.016	-0.016	0.016
	Zn	0.075	0.784	-0.007	0.784
Chl	Cd	1.859	0.183	17.944	0.183
	Pb	9.32	0.005	-13.188	0.005
Chla:Chlb	Cd	0.065	0.801	0.071	0.801
	Pb	12.942	0.001	0.314	0.001

Table S3. Mixed models for testing the effect of multi-level Cd×Pb and Pb contamination on leaf functional traits, including the leaf mass per area (LMA), Fv/Fm, total chlorophyll content (Chl) and Chla:Chlb ratio. The modeled random effects are *City*, *Site* and *Trunk Diameter*. Chlorophyll data were only available for a subset of the samples, where only Cd and Pb reached the threshold of contamination.

Mixed model				Tukey's Test											
Trait	Metal	F-value	P-value	Class 0 - 1		Class 0 - 2		Class 0 - 3		Class 1 - 2		Class 1 - 3		Class 2 - 3	
				estimate	p	estimate	p	estimate	p	estimate	p	estimate	p	estimate	P
LMA	Cd×Pb	23.741	<0.001	0.025	1.000	1.256	<0.001	1.722	<0.001	1.231	0.006	1.698	0.001	0.466	0.246
	Pb	26.290	<0.001	1.210	<0.001	1.831	<0.001	2.444	<0.001	0.621	0.104	1.234	0.104	0.613	0.731
Fv/fm	Cd×Pb	2.420	0.066	-0.021	0.661	-0.018	0.044	-0.014	0.713	0.003	0.999	0.007	0.987	0.004	0.987
	Pb	2.004	0.113	-0.017	0.070	-0.011	0.863	-0.011	0.980	0.006	0.971	0.006	0.996	0.000	1.000
Chl	Cd×Pb	7.103	0.003	NA	NA	-14.226	0.005	8.608	0.743	NA	NA	NA	NA	22.834	0.132
	Pb	7.659	0.002	-12.058	0.018	-36.911	0.009	NA	NA	-24.852	0.089	NA	NA	NA	NA
Chla:Chlb	Cd×Pb	6.265	0.006	NA	NA	0.315	0.004	0.297	0.463	NA	NA	NA	NA	-0.018	0.997
	Pb	6.679	0.004	0.302	0.006	0.483	0.124	NA	NA	0.181	0.729	NA	NA	NA	NA

NA, not applicable.

Table S4. PLS-DA model calibration accuracies for the binary classification of Cd and Pb contamination and for multi-class classification of Cd×Pb interactions and four-level Pb contamination.

Metal	Spectra*	Components	Producer Accuracy	User Accuracy	Accuracy	Kappa
<u>Binary</u>						
Cd	ASD	5	74.36%	45.31%	86.49%	0.49
	ASD.cr	4	82.05%	41.03%	84.08%	0.46
	ASD.d1	2	76.92%	42.86%	85.29%	0.47
	ASD.snv	2	76.92%	42.86%	85.29%	0.47
Pb	ASD	2	64.18%	90.85%	74.47%	0.50
	ASD.cr	5	65.17%	86.18%	72.67%	0.46
	ASD.d1	4	71.14%	89.94%	77.78%	0.56
	ASD.snv	5	64.68%	87.25%	72.97%	0.47
<u>Multi-class</u>						
CdxPb	ASD	4	NA%	NA%	43.24%	0.24
	ASD.cr	8	NA%	NA%	62.16%	0.44
	ASD.d1	5	NA%	NA%	66.07%	0.49
	ASD.snv	4	NA%	NA%	50.15%	0.27
Pb	ASD	3	NA%	NA%	51.95%	0.29
	ASD.cr	8	NA%	NA%	52.85%	0.31
	ASD.d1	8	NA%	NA%	63.96%	0.43
	ASD.snv	6	NA%	NA%	54.05%	0.29

*cr, continuum removal; d1, first derivatives; snv, standard normal variate.

Table S5. Validation of the PLS-DA models calibrated with the full wavebands and VIP (≥ 0.8) bands for the binary classification of Cd and Pb contamination and for multi-class classification of Cd/Pb and Pb contamination. Model training used 2/3 of the observations (n=215), and the remaining 1/3 of the observations (n=118) were used for testing model accuracies.

Metal	Spectra*	Full spectrum					VIP bands				
		Components	Producer Accuracy	User Accuracy	Accuracy	Kappa	Components	Producer Accuracy	User Accuracy	Accuracy	Kappa
<u>Binary</u>											
Cd	ASD	8	13.33%	100.00%	88.98%	0.21	8	13.33%	100.00%	88.98%	0.21
	ASD.cr	3	66.67%	47.62%	86.44%	0.48	3	66.67%	38.46%	82.20%	0.39
	ASD.d1	6	26.67%	80.00%	89.83%	0.36	6	26.67%	80.00%	89.83%	0.36
	ASD.snv	8	13.33%	66.67%	88.14%	0.19	8	6.67%	33.33%	86.44%	0.07
Pb	ASD	8	74.55%	77.36%	77.97%	0.56	8	83.64%	79.31%	82.20%	0.64
	ASD.cr	10	74.55%	83.67%	81.36%	0.62	10	76.36%	85.71%	83.05%	0.66
	ASD.d1	6	80.00%	77.19%	79.66%	0.59	6	89.09%	77.78%	83.05%	0.66
	ASD.snv	10	70.91%	82.98%	79.66%	0.59	10	69.09%	79.17%	77.12%	0.54
<u>Multi-class</u>											
Cd/Pb	ASD	9	NA%	NA%	68.64%	0.44	9	NA%	NA%	70.34%	0.47
	ASD.cr	5	NA%	NA%	66.10%	0.41	4	NA%	NA%	66.10%	0.40
	ASD.d1	4	NA%	NA%	65.25%	0.45	4	NA%	NA%	66.10%	0.46
	ASD.snv	6	NA%	NA%	68.64%	0.48	6	NA%	NA%	68.64%	0.48
Pb	ASD	9	NA%	NA%	75.42%	0.52	9	NA%	NA%	75.42%	0.52
	ASD.cr	3	NA%	NA%	72.88%	0.49	3	NA%	NA%	74.58%	0.52
	ASD.d1	9	NA%	NA%	78.81%	0.59	9	NA%	NA%	79.66%	0.61
	ASD.snv	10	NA%	NA%	64.41%	0.37	10	NA%	NA%	62.71%	0.35

*cr, continuum removal; d1, first derivatives; snv, standard normal variate.

Table S6. Classification accuracies of PLS-DA model calibration using the original and preprocessed reflectance data with a fixed (n=5) and the optimized number of components determined by cross-validation. Producer and user accuracies are not valid for the cases of absent prediction of individual classes.

Classification	Metal	Spectra	Components	Accuracy			Kappa
				Producer	User	Total	
Binary	Cd	ASD	9	23.08%	69.23%	89.79%	0.31
Binary	Cd	ASD	5	10.26%	57.14%	88.59%	0.14
Binary	Cd	ASD.cr	5	12.82%	71.43%	89.19%	0.19
Binary	Cd	ASD.cr	4	82.05%	41.03%	84.08%	0.46
Binary	Cd	ASD.d1	2	76.92%	42.86%	85.29%	0.47
Binary	Cd	ASD.d1	5	38.46%	68.18%	90.69%	0.44
Binary	Cd	ASD.snv	2	76.92%	42.86%	85.29%	0.47
Binary	Cd	ASD.snv	5	12.82%	62.50%	88.89%	0.18
Binary	Pb	ASD	5	85.07%	84.24%	81.38%	0.61
Binary	Pb	ASD	7	87.06%	86.21%	83.78%	0.66
Binary	Pb	ASD.cr	4	85.57%	85.57%	82.58%	0.64
Binary	Pb	ASD.cr	5	65.17%	86.18%	72.67%	0.46
Binary	Pb	ASD.d1	5	91.54%	88.04%	87.39%	0.73
Binary	Pb	ASD.d1	4	71.14%	89.94%	77.78%	0.56
Binary	Pb	ASD.snv	9	82.59%	87.83%	82.58%	0.64
Binary	Pb	ASD.snv	5	64.68%	87.25%	72.97%	0.47
Multi-class	Cd×Pb	ASD	5	NA%	NA%	68.64%	0.44
Multi-class	Cd×Pb	ASD	4	NA%	NA%	43.24%	0.24
Multi-class	Cd×Pb	ASD.cr	8	NA%	NA%	62.16%	0.44
Multi-class	Cd×Pb	ASD.cr	5	NA%	NA%	71.77%	0.48
Multi-class	Cd×Pb	ASD.d1	9	NA%	NA%	80.78%	0.65
Multi-class	Cd×Pb	ASD.d1	5	NA%	NA%	66.07%	0.49
Multi-class	Cd×Pb	ASD.snv	4	NA%	NA%	50.15%	0.27
Multi-class	Cd×Pb	ASD.snv	5	NA%	NA%	66.37%	0.39
Multi-class	Pb	ASD	5	NA%	NA%	71.19%	0.46
Multi-class	Pb	ASD	6	NA%	NA%	77.48%	0.56
Multi-class	Pb	ASD.cr	8	NA%	NA%	52.85%	0.31
Multi-class	Pb	ASD.cr	5	NA%	NA%	75.38%	0.52
Multi-class	Pb	ASD.d1	8	NA%	NA%	63.96%	0.43
Multi-class	Pb	ASD.d1	5	NA%	NA%	79.28%	0.6
Multi-class	Pb	ASD.snv	6	NA%	NA%	54.05%	0.29
Multi-class	Pb	ASD.snv	5	NA%	NA%	71.77%	0.45

Table S7. Classification accuracies of PLS-DA model validation by dividing the entire dataset to train (n=215) and test (n=118) sub sets and applying onto the original and preprocessed reflectance data with a fixed (5) and the optimized number of components determined by cross-validation. Producer and user accuracies are not valid for the cases of absent prediction of individual classes.

Classification	Metal	Spectra	Components	Accuracy			Kappa
				Producer	User	Total	
Binary	Cd	ASD	8	13.33%	100.00%	88.98%	0.21
Binary	Cd	ASD	5	6.67%	100.00%	88.14%	0.11
Binary	Cd	ASD.cr	3	66.67%	47.62%	86.44%	0.48
Binary	Cd	ASD.cr	5	6.67%	100.00%	88.14%	0.11
Binary	Cd	ASD.d1	6	26.67%	80.00%	89.83%	0.36
Binary	Cd	ASD.d1	5	26.67%	100.00%	90.68%	0.39
Binary	Cd	ASD.snv	8	13.33%	66.67%	88.14%	0.19
Binary	Cd	ASD.snv	5	13.33%	100.00%	88.98%	0.21
Binary	Pb	ASD	8	74.55%	77.36%	77.97%	0.56
Binary	Pb	ASD	5	87.27%	75.00%	80.51%	0.61
Binary	Pb	ASD.cr	10	74.55%	83.67%	81.36%	0.62
Binary	Pb	ASD.cr	5	87.27%	72.73%	78.81%	0.58
Binary	Pb	ASD.d1	6	80.00%	77.19%	79.66%	0.59
Binary	Pb	ASD.d1	5	83.64%	80.70%	83.05%	0.66
Binary	Pb	ASD.snv	10	70.91%	82.98%	79.66%	0.59
Binary	Pb	ASD.snv	5	80.00%	72.13%	76.27%	0.53
Multi-class	Cd×Pb	ASD	9	NA%	NA%	68.64%	0.44
Multi-class	Cd×Pb	ASD	5	NA%	NA%	68.64%	0.44
Multi-class	Cd×Pb	ASD.cr	5	NA%	NA%	66.10%	0.41
Multi-class	Cd×Pb	ASD.cr	4	NA%	NA%	65.25%	0.39
Multi-class	Cd×Pb	ASD.d1	4	NA%	NA%	65.25%	0.45
Multi-class	Cd×Pb	ASD.d1	5	NA%	NA%	70.34%	0.47
Multi-class	Cd×Pb	ASD.snv	6	NA%	NA%	68.64%	0.48
Multi-class	Cd×Pb	ASD.snv	5	NA%	NA%	61.02%	0.32
Multi-class	Pb	ASD	9	NA%	NA%	75.42%	0.52
Multi-class	Pb	ASD	5	NA%	NA%	72.03%	0.48
Multi-class	Pb	ASD.cr	3	NA%	NA%	72.88%	0.49
Multi-class	Pb	ASD.cr	5	NA%	NA%	73.73%	0.51
Multi-class	Pb	ASD.d1	9	NA%	NA%	78.81%	0.59
Multi-class	Pb	ASD.d1	5	NA%	NA%	77.97%	0.58
Multi-class	Pb	ASD.snv	10	NA%	NA%	64.41%	0.37
Multi-class	Pb	ASD.snv	5	NA%	NA%	73.73%	0.5

Table S8. Classification accuracies of VIP-based PLS-DA model validation by dividing the entire dataset to train (n=215) and test (n=118) sub sets and applying onto the original and preprocessed reflectance data with a fixed (5) and the optimized number of components determined by cross-validation. Producer and user accuracies are not valid for the cases of absent prediction of individual classes.

Classification	Metal	Spectra	Components	Accuracy			Kappa
				Producer	User	Total	
Binary	Cd	ASD	5	6.67%	100.00%	88.14%	0.11
Binary	Cd	ASD	8	13.33%	100.00%	88.98%	0.21
Binary	Cd	ASD.cr	5	6.67%	100.00%	88.14%	0.11
Binary	Cd	ASD.cr	3	66.67%	38.46%	82.20%	0.39
Binary	Cd	ASD.d1	5	20.00%	100.00%	89.83%	0.3
Binary	Cd	ASD.d1	6	26.67%	80.00%	89.83%	0.36
Binary	Cd	ASD.snv	5	13.33%	100.00%	88.98%	0.21
Binary	Cd	ASD.snv	8	6.67%	33.33%	86.44%	0.07
Binary	Pb	ASD	5	83.64%	73.02%	77.97%	0.56
Binary	Pb	ASD	8	83.64%	79.31%	82.20%	0.64
Binary	Pb	ASD.cr	5	83.64%	74.19%	78.81%	0.58
Binary	Pb	ASD.cr	10	76.36%	85.71%	83.05%	0.66
Binary	Pb	ASD.d1	5	90.91%	80.65%	85.59%	0.71
Binary	Pb	ASD.d1	6	89.09%	77.78%	83.05%	0.66
Binary	Pb	ASD.snv	5	80.00%	70.97%	75.42%	0.51
Binary	Pb	ASD.snv	10	69.09%	79.17%	77.12%	0.54
Multi-class	Cd×Pb	ASD	5	NA%	NA%	67.80%	0.43
Multi-class	Cd×Pb	ASD	9	NA%	NA%	70.34%	0.47
Multi-class	Cd×Pb	ASD.cr	5	NA%	NA%	66.10%	0.41
Multi-class	Cd×Pb	ASD.cr	4	NA%	NA%	66.10%	0.4
Multi-class	Cd×Pb	ASD.d1	5	NA%	NA%	68.64%	0.44
Multi-class	Cd×Pb	ASD.d1	4	NA%	NA%	66.10%	0.46
Multi-class	Cd×Pb	ASD.snv	5	NA%	NA%	62.71%	0.36
Multi-class	Cd×Pb	ASD.snv	6	NA%	NA%	68.64%	0.48
Multi-class	Pb	ASD	5	NA%	NA%	76.27%	0.55
Multi-class	Pb	ASD	9	NA%	NA%	75.42%	0.52
Multi-class	Pb	ASD.cr	5	NA%	NA%	72.88%	0.49
Multi-class	Pb	ASD.cr	3	NA%	NA%	74.58%	0.52
Multi-class	Pb	ASD.d1	5	NA%	NA%	77.97%	0.58
Multi-class	Pb	ASD.d1	9	NA%	NA%	79.66%	0.61
Multi-class	Pb	ASD.snv	5	NA%	NA%	71.19%	0.46
Multi-class	Pb	ASD.snv	10	NA%	NA%	62.71%	0.35

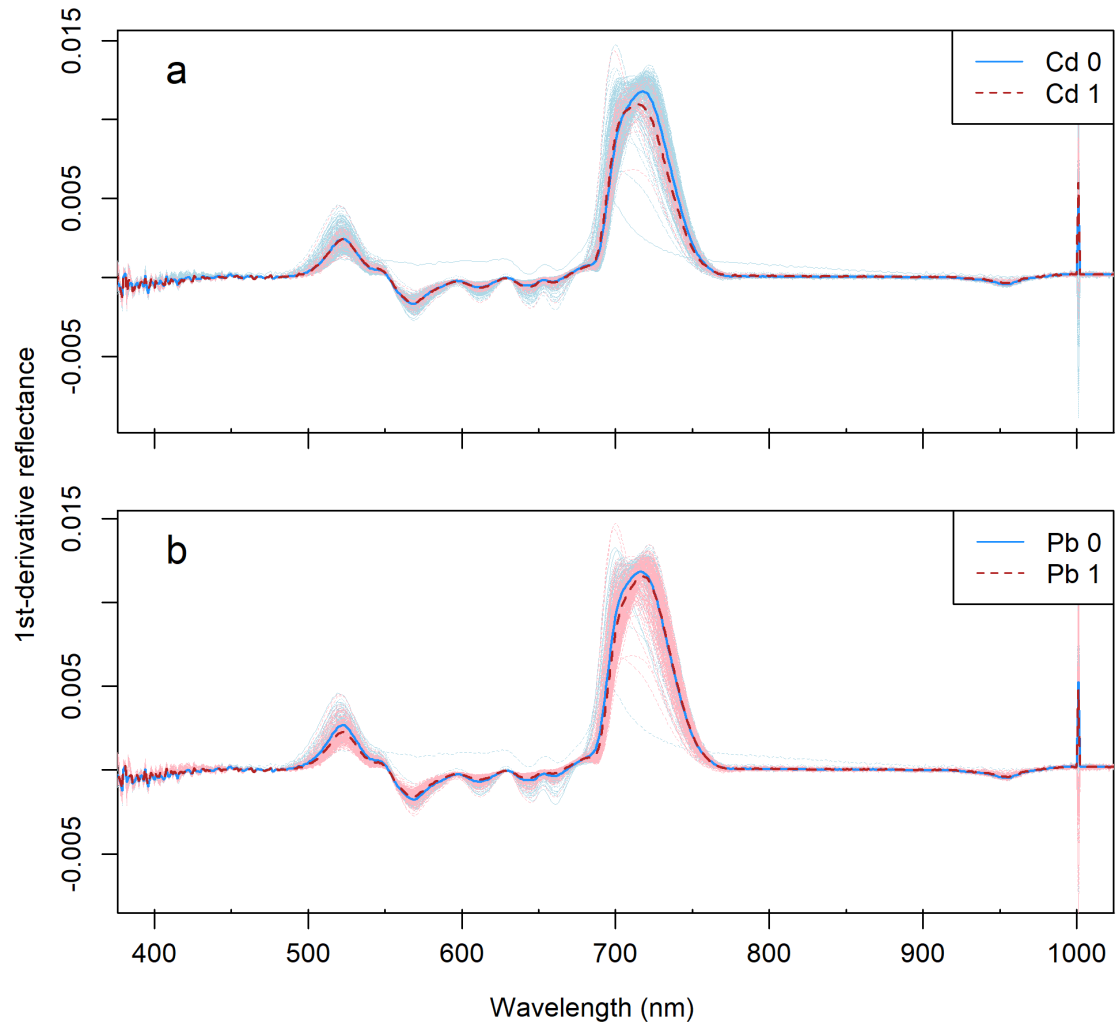


Fig. S1. First derivative reflectance of the leaves of the non-contaminated as compared with the contaminated soils by (a) Cd and (b) Pb.

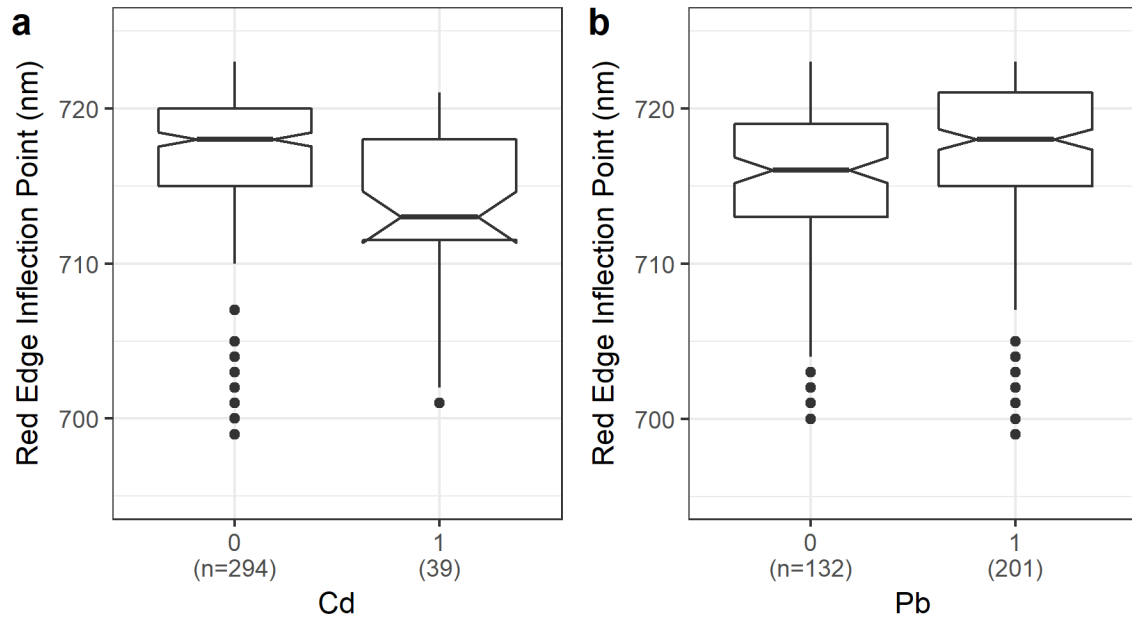


Fig. S2. Red-edge inflection points (REIP) extracted from the first derivative reflectance show the differences between the contaminated and non-contaminated classes of (a) Cd and (b) Pb classifications.

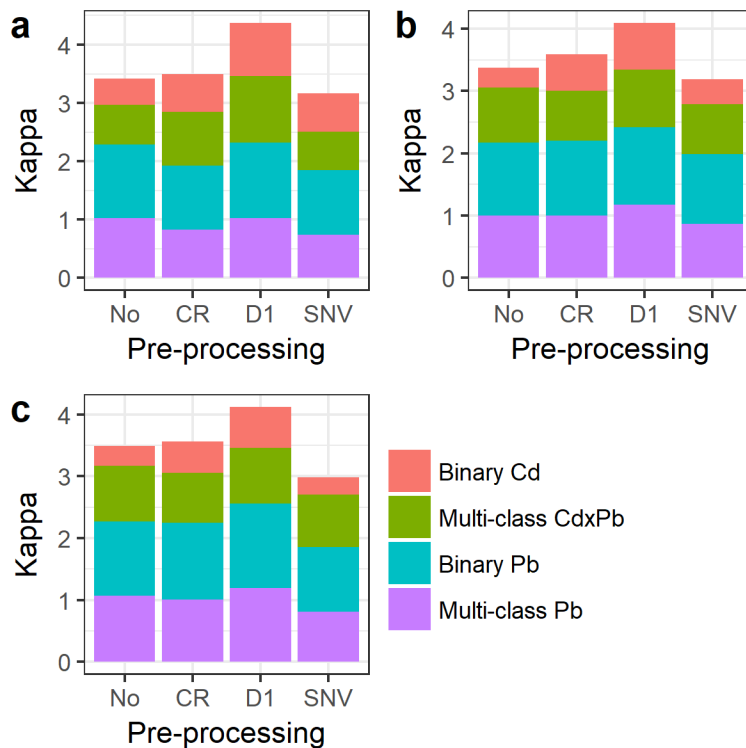


Fig. S3. Kappa coefficients of the PLS-DA classification for model (a) calibration, (b) validation and (c) VIP-based validation, when applied to the original reflectance data (no preprocessing) and three preprocessing types (D1 = first derivatives, SNV = standard normal variate, CR = continuum removal).