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# The inclusion of pregnant women in vaccine clinical trials: An overview of late-stage clinical trials' records between 2018 and 2023

#### 4 Abstract

5 Pregnant women generally excluded from clinical research are over safety concerns. However, demands to include them in clinical vaccine development have 6 7 intensified after recent COVID-19, Ebola, and Lassa fever outbreaks given the 8 disproportionate effect of these diseases pregnant and/or on women their foetuses. Numerous studies highlighted the scarcity of safety data for therapeutic 9 10 interventions in pregnant women. Nevertheless, only a small number have assessed the 11 number of vaccine trials including this population. Therefore, we searched for phase 3 and 4 12 vaccine clinical trials healthy populations registered between 2018 in and 2023 in clinicaltrials.gov and the International Clinical Trial Registry Platform. Out of 400 13 registered vaccine trials matching our inclusion criteria, 217 (54%) were industry-sponsored, 14 and 222 (56%) had COVID-19 as a target. We found 22 studies (6%) that either were designed 15 for pregnant women or included them as part of a larger population. Out of these 22 trials, 13 16 were designed specifically for pregnant women; seven of these were maternal vaccines 17 aiming at protecting the foetus, namely pertussis (3), Respiratory Syncytial Virus (RSV) (3), and 18 meningitis plus tetanus (1) vaccines, and six others targeted either flu (3), COVID-19 (2) 19 20 or Ebola (1). Only the RSV and Ebola vaccine trials were industry-sponsored. We also found 21 that nine studies targeting the general population included pregnant women. These focused on COVID-19 (3), flu (2), COVID-19+flu (2), Ebola (1), and Hepatitis B (1). None of these studies
was industry-sponsored. Our findings show that a gap still exists in terms of pregnant women's
inclusion in vaccine trials. Such a gap needs to be tackled urgently to minimise the devastating
effects that a future infectious disease outbreak could have on this population. This study can
inform future demands for increased inclusion, especially in industry-sponsored trials, as it
provides an overview of the current vaccine trials scene.

#### 28 Keywords

29 Vaccines, Clinical trials, Pregnancy, COVID-19, Pharmacovigilance

## 30 Introduction

Pregnant women are generally excluded from clinical trials due to fears over the safety of the 31 32 foetus as well as uncertainties about the effect of pregnancy-related physiological changes on the pharmaco-dynamics and -kinetics of different investigational products [1,2]. Additionally, 33 34 pregnant women-related bioethical dilemmas contribute to the complexity and reluctance to include them in clinical trials [3,4]. An example of such conundrums would be the inability of 35 foetuses to provide consent to any possible trial that recruits pregnant women. In 1977, 36 following the incidents of Thalidomide and diethylstilbesterol (DES), which caused teratogenic 37 38 effects when given to pregnant women, the US Food and Drug Administration (FDA) issued its 39 most gender-restrictive guidance on clinical trials [5–7]. These guidelines recommended the 40 exclusion of all women of childbearing age from early-stage clinical trials, regardless of their use of contraception methods [7]. These recommendations were later challenged by human 41 rights activists contesting the assumption that women would not be able to take measures to 42 avoid becoming pregnant when needed during clinical trials, and underlining that such 43 recommendations favour the interest of the foetus over the mother's [8]. These arguments 44

led the FDA to revise the 1977 recommendations and publish the 1993 guidelines, which
recommend better representation and therefore inclusion of non-pregnant women in earlystage clinical research [9]. However, the FDA website still states that "In general, pregnant
women are excluded from clinical research" [10].

In the last decades, the lack of pregnancy-related safety data gained increased attention. In 2011, a study demonstrated that approximately 91% of FDA-approved drugs between 2000 and 2010 had no or "very limited" safety data on human intake during pregnancy [11]. These estimates, coupled with reports on the growing use of medications during pregnancy, suggest that thousands of pregnant women are taking drugs in off-label capacities with no or scarce data on the consequences [12–14].

Besides the lack of safety data, there is limited research on the pharmacodynamics and kinetics of medicines during pregnancy. While the physiological, immunological, and hormonal changes that the body experiences during pregnancy are well-recognised, few clinical studies have looked into how these changes affect the metabolisation and mechanisms of actions of different drugs [15,16]. Consequently, multiple reports started showing how the lack of pharmacokinetics and -dynamics data during pregnancy is becoming an alarming issue [17,18].

Within this increasing recognition of the need for more clinical research on pregnant women, multiple scientific and regulatory bodies started publishing guidelines encouraging trial organisers to include pregnant women where suitable. For example, the National Institute of Health (NIH) created the Task Force on Research Specific to Pregnant Women and Lactating Women (PRGLAC), which issued a report (2017) and an implementation plan (2020) for working through the obstacles to include pregnant and lactating women in clinical research [19]. Additionally, in 2018, the FDA issued recommendations on scientific and ethical
considerations to factor in when conducting clinical trials on this group, especially for industry
sponsors [20].

71 In the domain of infectious diseases, research on antiretrovirals for the Human Immune Deficiency virus (HIV) is considered to be one of the most inclusive domains for pregnant 72 73 women, with many trials investigating how to stop antenatal transmission [21]. However, the 74 majority of HIV clinical trials including pregnant women aimed to study the possibility of 75 preventing transmission to the foetus while research is still lagging on preventive treatments for pregnant women themselves [22]. Faden et al. underlined how this selective inclusion 76 77 reveals a consideration of pregnant women as simple "vessels or vectors" that carry their unborn children to term giving little attention to their own wellbeing [23]. Similar to other 78 79 domains of clinical research, many projects, and working groups have issued guidance and 80 calls to action demanding more inclusion of pregnant women in HIV clinical research. These 81 calls aim to be able to provide pregnant women with the best evidence-based preventative and therapeutic products. Ultimately, this will help to achieve the goal of ending Acquired 82 83 Immune Deficiency Syndrome (AIDS) as a public health threat by 2030 [24,25].

As for influenza vaccines, records of increased disease mortality rates in pregnant women have been available since the early 20<sup>th</sup> century, and an inactivated vaccine has been accessible since 1945 [26,27]. However, the US Centre for Disease Control and Prevention (CDC) did not endorse any recommendations regarding the administration of flu vaccines to pregnant women until 1995; even then, the vaccine was recommended only for women in their third trimester or for those who were more susceptible to experiencing influenza complications [28].

91 In regards to vaccine platforms, live attenuated vaccines have the stringiest exclusion criteria. Pregnant women are excluded from live vaccine trials mostly based on theoretical risks that 92 could deny them urgently needed means of protection against a disease. According to a 93 systematic review and meta-analysis of studies published in 2020 that looked into available 94 95 evidence from the use of live attenuated vaccine during pregnancy, only first-trimester 96 administration of the smallpox vaccine was associated with an increased risk of congenital 97 malformations and miscarriages [36]. As for existing adult live vaccines, the CDC indicates that the yellow fever vaccine is a precaution during pregnancy but pregnant women should get 98 vaccinated if the risk of infection is high [37]. Another example of a vaccine administered to 99 100 pregnant women under specific circumstances is the rVSV replication-competent Ebola 101 vaccine. During the 2018-2020 Ebola outbreak in North Kivu, Democratic Republic of Congo, 102 the WHO revoked a previous recommendation and advised administering the vaccine in case of an active outbreak, as the virus showed increased mortality rates in pregnant women 103 and/or their foetuses. In this case, the benefits of preventing the disease outweighed possible 104 risks for pregnant women [38]. These findings highlight the importance of looking into the 105 106 ethical dilemma of including pregnant women in live attenuated vaccine research through a 107 benefit/risk ratio lens instead of a taboo one.

In the last decade, more calls for the inclusion of pregnant women in vaccine trials were made following Ebola virus disease and Lassa fever outbreaks and epidemics, since several studies of the epidemiology of both diseases demonstrated significantly high mortality rates among infected pregnant women and/or their foetuses [29,30]. Following these outbreaks, the Pregnancy Research Ethics for Vaccines, Epidemics, and New Technologies (PREVENT) Working Group issued guidelines for just and ethical inclusion of pregnant women in vaccine clinical trials [31]. Nevertheless, the road to developing therapeutics and vaccines that have sufficient safety and efficacy data on intake during pregnancy still appears to be long. A literature review published in 2022 on UK-licensed medicines showed that around 50% of licensed drugs of interest for use in pregnancy in the UK have no pharmacokinetics data on intake during pregnancy [18].

Previous reviews of pregnant women's inclusion in clinical trials either excluded all biologics or grouped vaccines together with therapeutic antiviral medicines and different monoclonal antibodies [11,32]. However, while late-stage clinical trials for therapeutic drugs enrol sick participants, vaccine trials recruit healthy individuals, which could make the recruitment more ethically challenging. In a recently published systematic review of emergency vaccine clinical trials, Minchin et al. show that pregnant women were under-represented in emergency phase 2 and 3 vaccine clinical trials between 2009 and 2019 [33].

Our study aims to extend this evidence further towards 2023 and include the clinical development of both emergency vaccines like COVID-19 and Ebola as well as other vaccines. We plan to do this by identifying the number and nature of phase 3 and 4 clinical vaccine trials that either included pregnant women among their study participants or were designed specifically to test the safety, efficacy, or immunogenicity of a vaccine on pregnant women.

#### 131 Methods

Following the guidelines proposed by Hunter et al. for performing clinical trial registries' reviews [34], we searched the two largest clinical trial databases: the US National Library of Medicine (NLM)-maintained clinicaltrials.gov (CTG) database and the WHO-supported International Clinical Trials Registry Platform (ICTRP). These databases were chosen for their wide coverage of clinical trials around the globe, which helped provide comprehensive,geographically-inclusive results.

In CTG, we used the search terms: "vaccines OR vaccine OR vaccination OR immunization" in 138 the intervention/treatment search field. In ICTRP, we observed that contrary to CTG, 139 truncations yielded a higher number of results, therefore we used "Vaccin\* OR Immuni\*" as 140 search terms. We aimed to make the search in both databases as broad as possible, therefore 141 142 no age or pregnancy-related keywords and filters were used. We applied the advanced 143 research filters provided by the websites to include phase 3 and phase 4 vaccine trials registered on either database between the 1<sup>st</sup> of January 2018 and the 30<sup>th</sup> of June 2023. We 144 145 chose to include phases 3 and 4 given that most recommendations advise on including pregnant women in late-stage clinical research after preliminary safety data from non-146 pregnant populations becomes available [31,35]. Search results showing phase 2/3 trials, and 147 148 trials targeting children or older adult populations were excluded manually to make sure that 149 only late-stage vaccine trials with a target population that included women of reproductive age (15-49 years) were examined. 150

We opted to uniquely include vaccine trials that targeted healthy populations given that trials in the domain of autoimmune diseases or targeting organ failure patients for example are beyond our research aims. Additionally, we excluded trials with a live attenuated vaccine as an investigational product after observing that all these trials currently exclude pregnant women. Therefore, we believed that including these studies would be beyond our research aim of describing pregnant women's involvement in trials where no precaution of (theoretical) risk could justify their exclusion. To be included, a study had to evaluate the safety, efficacy, immunogenicity, or effectivity of a preventative non-live-attenuated vaccine in a healthy population that includes women of reproductive age against a disease that could affect pregnant women and/or their foetuses.

Data from each database was exported into an Excel file (supplementary materials 1 and 2) where the targeted disease/infection, investigational product, study sponsor type, country of trial site, and the inclusion/exclusion of pregnant women status were extracted for each study. Principal investigators PIs of studies with no mention of pregnancy in their inclusion/exclusion criteria were contacted via email for further clarification on this topic, if contact information were available. Extracted trials from the two databases were later merged in a separate file (supplementary material 3) where we conducted descriptive analysis.

#### 168 **Results**

Our search yielded 977 records from CTG and 936 records from ICTRP; after excluding trials 169 170 that were not in line with our research criteria, we had 433 studies to examine. Out of the examined studies, many did not mention whether pregnant women were included or 171 excluded. Therefore, we contacted the (PIs) of studies where contact details were available. 172 Out of 33 contacted persons, we received 15 replies; 4 confirmed that pregnant women were 173 included, while 11 said they were not. Some cited the reasons for the exclusion to be the large 174 quantities of blood drawn during the trial, or that the government of the trial site country 175 176 either had no recommendations about the inclusion of pregnant women or had listed the 177 investigated vaccine as a contraindication for pregnant women at the time of trial conduct. In 33 studies we could not verify the state of inclusion due to the absence of either contact 178 information or reply of the PI. Therefore, these studies were excluded from the analysis and 179

180 we had 400 studies to investigate. (Figure 1) explains the process of selecting the studies181 included in our overview.

#### 182 Sponsorship and disease target

183 54% of the examined studies (217 trials) were sponsored by pharmaceutical or biotech 184 companies (referred to as "industry" in the figures and tables), while the rest were sponsored 185 by academic or governmental institutions. As for clinical phase distribution, 117 examined 186 studies (29%) were either a phase 4 (115 records) or a post-marketing trial (two records).

More than half (222 out of 400) of vaccine trials conducted from the start of 2018 until the 187 188 end of June 2023 had a COVID-19 vaccine as the only investigational product. Out of these 222 189 COVID-19 trials, and during almost three years of late-stage clinical research into these vaccines, three studies included pregnant women as part of the study population and two 190 were designed specifically to monitor a COVID-19 vaccine in pregnant women. Moreover, out 191 192 of 16 studies that tested a COVID-19 vaccine as part of a vaccine combination (aimed to test co-administration of different vaccines), two trials included pregnant women as part of their 193 194 larger population. Apart from COVID-19 vaccines, we found flu, pneumonia, and Human Papillomavirus (HPV) vaccines to be the most investigated vaccines in our data set. (Figure 2) 195 demonstrates the distribution of the top five diseases/pathogens that were the sole targets 196 of a vaccine trial, accounting for 79% of the 400 examined trials. 197

#### 198 Diseases targeted by studies that included pregnant women:

Out of 400 examined studies, we found 22 studies (6%) that were either designed specifically for pregnant women or included them as part of a larger group of participants. We found flu and COVID-19 to have the highest number of trials including pregnant women (five trials for each disease). Additionally, we observed that most studies designed specifically for pregnant
women specified the gestational age in the inclusion criteria, while this measure was
mentioned only in one of the studies including pregnant women as part of a larger population.
When gestational age was addressed, all trials specified that women should be above 12
weeks pregnant or during their second or third trimester, given that the first trimester is the
most critical for the foetus formation, hence the most sensitive for congenital malformations.
(Figure 3) shows clinical trials including pregnant women distributed by disease.

# Nature and type of the examined studies according to their inclusion/exclusion of pregnant women:

In the following subparagraphs, we describe the main types of studies analysed: those designed specifically for pregnant women, those that included pregnant women as part of a larger population, and those that excluded pregnant women.

#### a. Vaccine trials designed specifically for pregnant women

215 13 studies (3%) specifically targeted pregnant women, six of which were phase 3, while seven 216 were phase 4 studies. Clinical trials conducted on pregnant women aimed to either study 217 maternal vaccination, where the reason for vaccinating the pregnant mothers is to protect the foetus via placental and breast milk transfer of antibodies, or to test a vaccine that is normally 218 219 given to the general population. We found seven maternal vaccine studies that examined 220 pertussis (3) RSV (3) and meningitis plus tetanus (1) vaccines. The three RSV trials were industry-sponsored, while the rest were supported by academic research institutes. We also 221 222 observed six trials that were designed specifically for pregnant women and focused on a

disease that could affect them among other populations. These studies targeted flu (3),
COVID-19 (2) and Ebola (1) vaccines. Only the Ebola vaccine trial was industry-sponsored.

225

#### b. Vaccine trials including pregnant women as part of a larger population

Nine out of 400 studies (2%) included pregnant women as part of their larger pool of participants. The nine trials comprise three investigating COVID-19 vaccines, two examining the administration of both COVID-19 and flu vaccines, two for flu vaccines solely, and two studying Ebola and Hepatitis B vaccines. None of these studies was industry-sponsored.

230

#### c. Vaccine trials excluding pregnant women

378 studies of the 400 examined vaccine trials (95%) explicitly excluded pregnant women from 231 232 their study populations. These studies targeted a range of infectious diseases that could affect 233 both pregnant and non-pregnant individuals, including pneumonia, cholera, rabies, and 234 typhoid. We also had 29 HPV studies that all excluded pregnant women. Most of the 378 trials 235 mentioned that they aimed to monitor participants for (serious) adverse events for a period ranging from seven days to 12 months depending on the trial. The serious adverse events 236 237 theoretically include any congenital defects in participants who got vaccinated around the 238 time they became pregnant. However, out of 378 vaccine trials excluding pregnant women, 18 mentioned that they effectively monitored pregnancies that happened around the time of 239 240 vaccination as a secondary objective. These were mainly phase 3 (17/18), COVID-19 (7/18) 241 and HPV (9/18) studies, and they were mostly sponsored by industry (13/18).

242 Geographical distribution of examined vaccine trials:

In terms of location, trial sites were scattered between both the global north and south, with
the highest number of trials taking place in China and the United States respectively. (4) shows
a world map coloured according to the number of clinical trials conducted in each country.
Pins show the countries that hosted clinical trials that were either conducted specifically for
pregnant women or included them as part of a larger population.

#### 248 COVID-19 vs. non-COVID-19-related studies:

249 Given the abnormal situation represented by the COVID-19 pandemic, in this section, we focus on the trials registered between 2018 and 2023 that did not include COVID-19 vaccines, as 250 251 this would provide a more accurate representation of the vaccine trials' scene without an emergent pathogen of global concern. We found 162 late-stage vaccine trials that did not test 252 253 COVID-19 vaccines. Out of these 162 trials, 15 involved pregnant women in different ways: 254 four trials were specifically designed for pregnant women, four included them as part of a 255 larger population, and seven tested maternal vaccines. If we exclude these seven maternal 256 vaccine trials, which are inherently designed for pregnant women, we have 155 vaccine 257 studies intended for the general population. Among these, eight (5%) included pregnant women. (Table 1) demonstrates the distribution of vaccine trials, both non-COVID-19-related 258 and COVID-related, according to their trial phase, sponsorship, and their pregnant women's 259 inclusion/exclusion. 260

#### 261 **Discussion:**

This study presents an updated, meticulous investigation into the inclusion of pregnant women in vaccine clinical trials. Our results demonstrated that around 6% of preventative vaccine clinical trials conducted in healthy adult populations between 2018 and mid-2023 included or were designed specifically for pregnant women. In terms of trials that left out pregnant women, trials for multiple diseases, of different investigational products and countries, completely excluded pregnant women. This criterion could be justified for HPV vaccine-related studies since the vaccine (currently) has a preventative indication only and is usually given to children before the start of sexual activity [39]. However, including pregnant women in studies against pneumococcal pneumonia, rabies, and other diseases that can affect them could have yielded important information and benefits.

272 In our review, the examined vaccine trials mostly targeted diseases that are nonspecific to 273 pregnant women but that could induce higher mortality rates in this population or their 274 foetuses, like flu, COVID-19, and Ebola. Remarkably, in a review of all phase 4 records in CTG 275 between 2011 and 2012, Shields et al. noted that the five studies that were found to be designed specifically for pregnant women were all focused on pregnancy-related obstetric 276 277 issues [32]. The number of trials targeting non-obstetric conditions observed in our results 278 could indicate an improvement in trial organisers' readiness to conduct clinical research that 279 includes pregnant women. However, Shields' dataset focused on industry-sponsored clinical trials conducted in the United States only, while our dataset included all phase 3 and phase 4 280 vaccine trials conducted worldwide and by any sponsor. Therefore, continuous screening of 281 282 pregnant women's inclusion percentage is needed in both therapeutic and preventative 283 approaches to monitor all occurring trends and continue pushing for just and ethical increased inclusion. 284

In our analysis, we considered trials that either included pregnant women as part of a larger population or trials that were designed specifically for pregnant women, which were the majority. Van der Graff et al. highlighted the importance of increasing the number of clinical trials designed specifically for pregnant women or purposively including subsets of pregnant 289 women instead of sampling them as part of larger trials at random [40]. The rationale behind 290 this approach is that such focused studies have the potential to have more statistical power to produce safety and efficacy data, given the higher number of pregnant women involved. 291 Therefore, studies designed to include only pregnant women in a phase 2/3 or phase 3 292 293 stepwise design could be the way forward in terms of clinical research on this category when 294 differences are assumed -which is usually the case- in the response or safety of the 295 intervention between pregnant and non-pregnant women [40]. However, it is still essential to 296 continue asking for post-marketing specific studies as well as safety data from earlier-stage studies that included even small numbers of pregnant women. This also includes studies 297 298 where women got pregnant even if the trial advised against it (protocol violations), as these 299 women, even in small numbers, will help detect safety signals as well both in early and late-300 stage trials. An indication of the importance of the latter approach is the published data on pregnancy outcomes from women who got pregnant around the time of the vaccine dose in 301 phase 2/3 and phase 3 clinical trials of Ebola or HPV vaccines respectively even though 302 303 pregnancy was an exclusion criterion [41,42]. The results of these studies could inform the 304 design of pregnancy-specific clinical trials in the future if needed, or help regulatory 305 authorities make urgent decisions in cases of emergencies. Furthermore, the information generated by such studies, even if non-conclusive, could aid pregnant women and their 306 307 physicians in making more informed decisions about whether to take the vaccine or not in the absence of larger pregnancy-specific clinical trials [41]. 308

309 Similar to a 2019 study exploring the inclusion of pregnant women in HIV clinical research, our 310 study found that the vast majority of trials including pregnant women were sponsored by 311 universities, research centres, or government-funded institutes and not pharmaceutical 312 companies, even though the latter are more prevalent and have more extensive resources for conducting trials [22,43]. The main reason for the low representation of this category in 313 industry-sponsored trials might be the fear of financial loss and reputation damage in case a 314 (related) serious adverse event occurs to pregnant women and/or their foetuses during a 315 316 clinical trial. To tackle this issue, entities like the PREVENT working group suggested that 317 including pregnant women in vaccine trials should be incentivised by regulatory agencies, in a 318 similar way to European Medicine Agency's (EMA) paediatric investigation plans (PIP) [31]. 319 PIPs aim to encourage companies to produce data on medicine's efficacy and safety in children 320 by offering priority access to scientific advice, and extension for market exclusivity to 321 companies that provide data on drugs in children [44]. Given that pregnant women are also 322 an underrepresented group in clinical research, similar programs could encourage companies 323 to pursue studies on this group. Additionally, we believe that offering vaccine developers the 324 support of regulatory and ethics committees while drafting their protocols could facilitate this 325 process, encouraging more inclusion of pregnant women in industry-sponsored vaccine trials. 326 Moreover, further research should be conducted to identify barriers and incentives for 327 pregnant women to participate in vaccine trials in different countries, as this is a highly 328 context-dependent topic. Vaccine developers can thus mitigate the risk of designing clinical 329 trials for pregnant women and not being able to recruit enough pregnant participants. Some 330 research already tackled this issue, and we believe that this approach should be publicised and replicated in other locations where trials are taking place [45,46]. 331

Notably, in China, which is the country hosting the highest number of clinical trials, only one out of 96 conducted trials were designed specifically for pregnant women or included them as part of a larger population. In the US, five trials out of 62 included pregnant women. These findings highlight the importance of taking global responsibility in tackling theunderrepresentation of pregnant women in clinical research.

Although trials conducted in China and those sponsored by industry entities tended to exhibit less inclusivity when it came to pregnant women, it was among these two categories of trials that we identified most of the 18 studies that excluded pregnant women but mentioned effectively monitoring pregnancies around the time of vaccination. This may suggest that riskaverse organisations may be interested in gathering pregnancy-related data but are more encouraged to do it when protected from potential liabilities by their exclusion criteria.

343 A limitation of our study is that we searched records uploaded only on CTG and ICTRP databases, with filters on the phase and registration date. Although these databases are the 344 largest in terms of numbers, our study may have missed trials that were not registered on 345 346 these platforms, had different keywords, or could have been wrongly excluded by the filters. 347 Nevertheless, different studies of various registries, like ICTRP and the Chinese Food and Drug 348 Administration database, attest to the same problem of lack of inclusion of pregnant women in clinical trials [47,48]. Another limitation might be the small number of new vaccines that 349 made it to late-stage clinical trials between 2018 and 2023, given that most known and used 350 vaccines were developed before 2018. Nonetheless, we believe that by capturing the COVID-351 352 19 late-stage vaccine trials scene, we could provide a general idea about the nature and type 353 of trials that included pregnant women throughout three years of a global pandemic setting, 354 which was described in detail elsewhere [49,50]. Moreover, by looking into non-COVID-19-355 related trials separately, we were able to gain insight into the state of the inclusion of pregnant women in clinical trials under non-pandemic conditions. We found that 5% of trials of non-356 maternal vaccines included pregnant women, which is slightly higher than the 3% of COVID-357

19-related trials that included pregnant women. This may highlight the importance of the amount of time that has passed since the development of a vaccine, which may influence trial organisers' disposition to include pregnant women in their trials after a considerable amount of real-world safety data becomes available.

Finally, it is crucial to emphasise that our findings should be regarded as a conservative 362 estimate of the inclusion of pregnant women in trials, considering that 33 studies lacked data 363 on this aspect. This highlights the need for researchers to share comprehensive and 364 365 transparent inclusion and exclusion criteria, as doing so facilitates a more accurate retrospective assessment of trial registries. By providing detailed information, researchers can 366 367 enhance the accuracy and reliability of future analyses, leading to a deeper understanding of clinical trial characteristics, outcomes, and possible reasons for including/excluding pregnant 368 369 women.

#### 370 **Conclusion**

371 The inclusion of pregnant women in clinical trials is a complicated topic that cannot be tackled by simply asking for universal inclusion, as there are many elements to be taken into mind. 372 Pharmaceutical companies, which are the main sponsors of clinical trials overall, could face 373 serious financial repercussions if a vaccine study were correlated with a serious adverse 374 375 effect/event like miscarriages or congenital malformations. The result is that most developers 376 protect themselves against liability leaving the decision about the administration of a vaccine 377 or drug to the treating physician. This leads pregnant women and their physicians to blindly navigate the decision-making process with the help of improvised benefit-risk ratios. In this 378 article, we reviewed over five years of clinical trial records to identify the number and type of 379 380 vaccine clinical trials that included pregnant women. Out of 400 studies, we found 22 trials

381 that included pregnant women, even for indications and diseases that are not obstetricspecific, which is considered an improvement of clinical research on the topic. The FDA 2018 382 guidelines for industry on including pregnant women, together with an increasing trend in 383 384 their inclusion in clinical research, seem to send an encouraging signal to this type of clinical research. However, there is still a significant gap in information available on the use of 385 386 vaccines during pregnancy, which will need to be tackled urgently to minimise the devastating 387 effects that a future infectious disease outbreak could have on this population. Therefore, it is the responsibility of all stakeholders in different countries to take measures to ensure that 388 pregnant women's safety, autonomy, and benefits are being taken into account. 389

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# **Declaration of competing interests**

394 The authors declare that they have no known competing financial interests or personal

relationships that could have appeared to influence the work reported in this paper.

# 396 Authors' contributions

MS performed the databases' search, data extraction, and wrote the manuscript. AP, HP, and JPVG
provided expert opinions on the methods and the writing of the manuscript and comments on the
final draft. All authors read and approved the final manuscript. All authors attest they meet the
ICMJE criteria for authorship.

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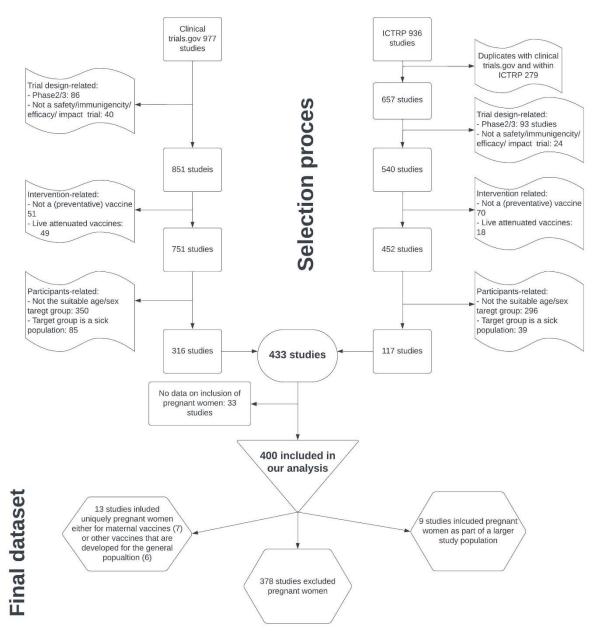
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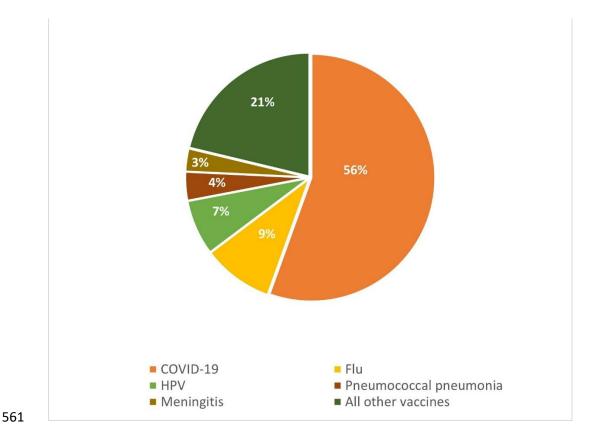
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# 557 Captions of figures and pictures:

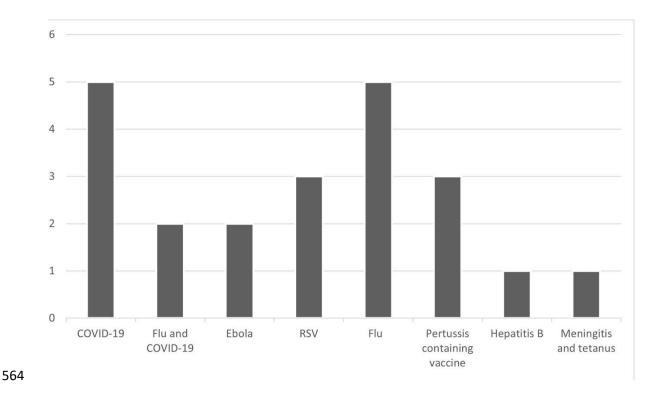


- 559 Figure 1: Diagram explaining the selection process and number of examined studies
- 560 excluding or including pregnant women from participation in vaccine clinical trials

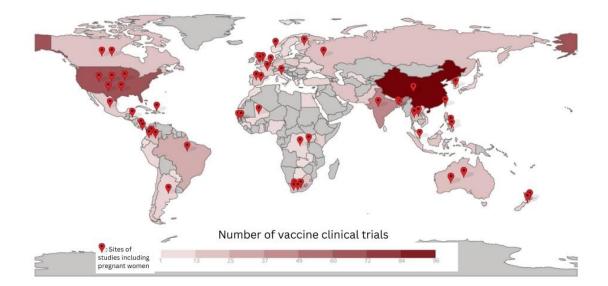


562 Figure 2: Distribution of diseases/pathogens targeted by 400 registered vaccine trials (2018-

563 2023)



- 565 Figure 3: Diseases targeted by 22 (of 400) registered vaccine trials including or designed
- 566 specifically for pregnant women (2018-2023)



- 568 Figure 4: Geographical distribution of 21 registered vaccine trials that were designed
- specifically for pregnant or included them as part of a larger population between 2018 and
- 570 2023
- \* One trial that included pregnant women did not provide a location
- 572 \*\* Two of the 21 trials that included pregnant women were international multisite trials
- which explains the presence of more than 21 pins on the map
- 574 Table 1: Distribution of non-COVID-19-related and COVID-related vaccine trials by trial phase
- and sponsorship according to their inclusion of pregnant women

		Excluded	Included	Specifically	Maternal	Total
Phase:	Phase 3	99	2	2	4	
	Phase 4	48	2	2	3	
	Total	147	4	4	7	162
	Total (%)	90,7	2,5	2,5	4,3	100%
Sponsorship	Industry	89	0	1	3	
	Academic or research institution	58	4	3	4	
	Total	147	4	4	7	162
	Total (%)	90,7	2,5	2,5	4,3	100%
Distribution o	f COVID-19-rel	ated vaccin	e trials			
Phase:	Phase 3	59	3	1	0	
	Phase 4	172	2	1	0	
	Total	231	5	2	0	238
	Total (%)	97,1	2,1	0,8	0	100%
Sponsorship	Industry	124	0	0	0	
	Academic or research institution	107	5	2	0	
	Total	231	5	2	0	238
	Total (%)	97,1	2,1	0,8	0	100%

576

577 PS. The authors do not require any figure to be coloured in print

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