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A systematic review of patient-derived tumor organoids generation from malignant effusions

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# A Systematic Review of Patient-derived Tumor Organoids Generation from Malignant Effusions

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62 **Vitae:**

63

64 **Sofie Seghers, MD, PhD researcher**, medical oncology fellow at the University Hospital of  
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72 translational and fundamental laboratory projects. His main research projects involve the  
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76 University of Antwerp. He is president 2023-25 of the International Association for the Study  
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89 research and development of patient-derived organoids.

90 **Hans Prenen, MD, PhD**, Head of the oncology department University Hospital Antwerp.  
91 Experienced in clinical trials in the field of oncology with a focus on early phase clinical trials.  
92 Publications in many renowned journals. Expert in translational research as well.

93 **Christophe Deben, PhD**, Professor of Tumor Biology and Organoids, is the Group Leader at  
94 the UAntwerp Tumoroid Screening Lab and Co-founder of Orbits Oncology. Also serving as  
95 the Co-head of the DrugVision.AI Screening Platform, he specializes in pioneering research  
96 on tumoroids for cancer drug screening. With a focus on integrating advanced technologies  
97 like AI into oncology, his work significantly contributes to the development of new cancer  
98 treatments and personalized therapies.

99 **Abstract**

100 This review assesses the possibility of utilizing malignant effusions (MEs) for generating  
101 patient-derived tumor organoids (PDTOs). Obtained through minimally invasive procedures  
102 MEs broaden the spectrum of organoid sources beyond resection specimens and tissue  
103 biopsies. A systematic search yielded 11 articles, detailing the successful generation of 190  
104 ME-PDTOs (122 pleural effusions, 54 malignant ascites). Success rates ranged from 33% to  
105 100%, with an average of 84% and median of 92%. A broad and easily applicable array of  
106 techniques can be employed, encompassing diverse collection methods, variable  
107 centrifugation speeds, and the inclusion of approaches like RBC lysis buffer or centrifuged ME  
108 supernatants supplementation, enhancing the versatility and accessibility of the  
109 methodology. ME-PDTOs were found to recapitulate primary tumor characteristics and were  
110 primarily used for drug screening applications. Thus, MEs are a reliable source for developing  
111 PDTOs, emphasizing the need for further research to maximize their potential, validate usage,  
112 and refine culturing processes.

113

114 Keywords: cancer, organoids, patient-derived organoids, malignant effusions, pleural  
115 effusion, ascites, functional precision medicine

116

117 Abbreviations: CRC, colorectal carcinoma; ECM, extracellular matrix; EGF, epidermal growth  
118 factor; EV, extracellular vesicles; FBS, fetal bovine serum; FGF, fibroblast growth factor; g,  
119 gravitational constant; HIPEC, hyperthermic intraperitoneal chemotherapy; HGF, hepatocyte  
120 growth factor; H&E, hematoxylin and eosin; IGF, insulin-like growth factor; ME, malignant  
121 effusion; ME-PDTO, malignant effusion-patient-derived tumor organoid; miRNA, microRNA;  
122 NAC, n-acetyl-l-cysteine; NGS, next generation sequencing; PDCC, patient-derived cancer  
123 cells; PDO, patient-derived organoid; PDTO, patient-derived tumor organoids; P/S, penicillin-  
124 streptomycin; RBC, red blood cell; rcf, relative centrifugal force; rpm, rounds per minute; TME,  
125 tumor microenvironment; TNM-classification, tumor node metastasis-classification.

126

## 127 1. Introduction

128 Cancer remains one of the most lethal diseases, with around ten million cancer deaths in 2020  
129 (1). The cancer burden is expected to increase (1) and further oncologic research will be crucial  
130 in managing these growing numbers. Patient-derived tumor organoids (PDTOs) are emerging  
131 as a novel and high-fidelity *ex vivo* model for fundamental/translational cancer research, and  
132 as a predictive drug screening tool (2). PDTOs, generated from cancer tissues, are three-  
133 dimensional (3D), self-organizing multicellular constructs, exhibiting a remarkable capacity to  
134 closely replicate the morphology and heterogeneity of tumors (3). PDTO cultures have already  
135 been established for different tumor types such as pancreatic cancer (4-6), ovarian cancer (7-  
136 9), gastric cancer (10), colorectal cancer (11, 12), lung cancer (13, 14) and breast cancer (15).  
137 Recently, studies demonstrated that PDTOs (i) adequately retain tumor heterogeneity and the  
138 genomic landscape (9, 16, 17); (ii) are capable of long term storage and passaging (17, 18); (iii)  
139 can be established in a few weeks (13, 19); and (iv) correlate with clinical drug responses (4,  
140 10, 11, 13, 20, 21).

141 Indeed, these PDTOs offer a new paradigm for functional precision medicine, an  
142 approach whereby living patient-derived cancer cells (PDCC) are directly treated with  
143 therapeutic agents to provide immediately translatable, personalized information to guide  
144 therapy (22). In addition to functional precision medicine, PDTOs can be of substantial use in  
145 cancer research (2). They can be applied to explore resistance mechanisms (23), the potential  
146 of novel therapeutic agents (19, 24) and repurposing of older (25), among others.

147 PDTOs are more cost-effective, more high-throughput and more ethical than patient-  
148 derived xenografts and far better at resembling the original tumor tissue than 2D cancer cell  
149 lines (2). However, there are various hurdles to overcome in regard to the implementation of  
150 PDTOs in the clinical setting. First, the success rates of PDTO establishment varies across  
151 different tumor types (2). Further, contamination and outgrowing of normal cells hamper  
152 implementation, especially in lung cancer (26). Third, it is more expensive and laborious than  
153 2D cell lines (2). And finally, the methods to obtain tumoral tissue (e.g. tumor resection,  
154 biopsies) are highly invasive. Notwithstanding, recent studies managed to generate PDTOs  
155 from malignant effusions (MEs) instead of resection/biopsy specimens (6, 7, 19, 27-32).

156 MEs, such as malignant ascites and pleural effusion, are effusions characterized by the  
157 presence of tumor cells (33). The appearance of ME is considered an indication of metastatic

158 events due to peritoneal or pleural dissemination of the malignancy, suggesting a poor  
159 prognosis (34-36). An exception is malignant pleural mesothelioma where pleural effusions  
160 may be present for months before precise diagnosis is made, which mostly require pleural  
161 biopsies (37). However, the presence of ME is not only predictive of a worse outcome, it might  
162 also be severely debilitating for the patient (e.g. dyspnea, abdominal bloating and pain,...) (34,  
163 36). A paracentesis/thoracentesis (respectively draining ascites or pleural fluid) is a method of  
164 removing fluid out of an abdominal or pleural cavity. It is mildly invasive and has a low risk of  
165 complications (38, 39). A paracentesis/thoracentesis is not solely carried out for diagnostic  
166 purposes, but more often for symptom relief. Usually, the drainage has to be performed  
167 multiple times, since the fluid has the tendency to reoccur. A paracentesis/thoracentesis is  
168 less invasive and less expensive than surgical resections and is considerably less prone to  
169 complications. This makes MEs an appealing source for the retrieval of PDCCs, which can be  
170 used for PDT/O development.

171 This review aims to assemble the recent literature of the past five years regarding  
172 PDT/Os originating out of MEs. Herein, we give an overview of the different techniques used,  
173 success rates, (dis)advantages and clinical applications of malignant effusion patient-derived  
174 tumor organoids (ME-PDT/Os).

## 175 2. Materials and methods

### 176 2.1. Methods of search

177 A thorough literature search was conducted using two databases: PubMed and Thomson  
178 Reuters Web of Science. With regard to PubMed, following search query was used:  
179 (ascites[Text Word] OR carcinomatosis[Text Word] OR "malignant effusion\*" [Text Word] OR  
180 "pleura\* fluid\*" [Text Word] OR paracentesis[Text Word] OR thoracentesis[Text Word] OR  
181 pleura\* [Text Word]) AND (PDO[Text Word] OR PDT/O [Text Word] OR organoid\* [Text Word]  
182 OR "primary cell\*" [Text Word]). A search restriction for publication date was applied:  
183 exclusively articles published in the last five years (1<sup>th</sup> of May 2018 and the 1<sup>th</sup> of May 2023)  
184 were included. Given the recent emergence of PDT/Os and the innovative nature of ME-PDT/Os,  
185 we restricted our literature search to the last five years to capture the most up-to-date  
186 information. By prioritizing recent research, our study aims to provide a concise and current  
187 overview of the state-of-the-art in organoid and ME-PDT/O research. Additionally, some filters

188 were included, namely: “full text” (in text availability), “Humans” (Species) and “English”  
189 (Article language). Regarding Thomson Reuters Web of Science, following search query was  
190 used: “(ascites OR carcinomatosis OR “malignant effusion\*” OR “pleura\* fluid\*” OR  
191 paracentesis OR thoracentesis OR pleura\*) AND (PDO OR PDTO OR organoid\* OR “primary  
192 cell\*”)”. A search restriction for publication date was applied: exclusively articles published in  
193 the last five years (1<sup>th</sup> of May 2018 and the 1<sup>th</sup> of May 2023) were included. The search was  
194 refined by language (“English”) and document type (“Article”).

195

## 196 2.2. Screening for eligibility

197 After the exclusion of duplicates found in both search libraries, we screened the abstracts of  
198 the remaining articles. The following inclusion criteria were applied: (1) original study; (2) the  
199 article should be using human cancer cells; (3) cancer cells should be obtained from malignant  
200 effusions; (4) only full text English articles were included. The following exclusion criteria were  
201 applied: (1) reviews, lectures and book selections were excluded; (2) the number of successful  
202 and failed organoids is not indicated or cannot be derived from the provided data.

203

## 204 2.3. Data collection process and analysis

205 We developed a data extraction sheet in Microsoft® Excel®. Data was extracted in duplicate.  
206 Extracted data consisted of cancer type; type of ME (ascites, pleural effusion, both); overall  
207 sample size of study (including resection specimens, biopsies...); overall success rate; sample  
208 size of ME; success rate of ME-derived organoids; method of ME retrieval; volume of ME;  
209 sieving; usage of red blood cell (RBC) lysis; centrifugation; medium used; usage of  
210 supernatants; application(s); long vs short-term culturing. We defined long-term culture as  
211 surpassing more than five passages or in case of self-proclaimed long-term culturing practices.  
212 Prism version 9.1.2 (GraphPad) was used for graphical data representation.

213

## 214 2.4. Risk of bias in individual and across studies

215 This systematic review has several possible sources of bias. Foremost, this review will be  
216 influenced in a certain extent by publication bias, since not all trials lead to publications. The  
217 trials with successful results (e.g. high establishment rate of PDTOs of ME) will be more likely

218 to be published. Due to the small amount of literature concerning this topic, it is indeed a  
219 rather new field of investigation, not only randomized controlled trials but case-controlled  
220 and uncontrolled trials were included as well. These trials have a higher probability of having  
221 confounding factors and baseline difference. Moreover, this review is a pan-cancer review,  
222 with very small number of patients across most tumor types. In addition, a number of studies  
223 have been excluded, which did not adequately report the establishment rates of organoid  
224 development. While these exclusions were necessary to ensure data accuracy and reliability,  
225 it is possible that potentially useful information may have been inadvertently omitted.

## 226 3. Results

### 227 3.1. Literature search

228 Using the search criteria mentioned above, 124 articles were found, with 57 on PubMed and  
229 67 on Web of Science. After removal of duplicates (n= 38), a total of 86 articles remained to  
230 be manually screened for inclusion. After a first screening, which was based on the abstract,  
231 25 articles remained. These papers were read in full. Finally, 10 articles remained suitable for  
232 inclusion. Papers that did not meet our pre-defined inclusion criteria were discarded. One  
233 additional article was included, based on references. After this stepwise methodological  
234 search, 11 articles remained for analysis. The process of data selection using a PRISMA flow  
235 chart can be found in figure 1 (40). Table 1 provides a detailed overview of the included  
236 articles.

237

### 238 3.2. Characteristics of included studies

239 Most articles included the basic information about sample selection, sample processing, and  
240 culture conditions. However, information about the treatment status and tumor, node,  
241 metastasis (TNM)-classification was often missing and thus not analyzed in this review. In the  
242 included studies, a total of 190 PDTOs were established from MEs, of which 122 out of pleural  
243 effusion, 54 out of malignant ascites and 14 out of pericardial effusions (figure 2). Most  
244 organoid were established from lung cancer (n = 141) and ovarian cancer (n = 35). There were  
245 no cases of malignant pleural mesothelioma. The majority of studies reported on PDTOs  
246 derived from ME only (n = 8), while in three papers PDTOs were additionally established from  
247 other sources (e.g. tissue biopsies, resection specimens).

248

### 249 3.3. Efficiency in ME-derived PDTOs

250 The reported success rates for ME-PDTOs established varied between 33% and 100% (table  
251 1). The median success rate was 92% and the mean was 84%. We combined data from  
252 different studies and found an overall success rate of 81%, with 190 PDTOs successfully  
253 generated out of a total of 234 ME samples. The tumoral origin was most often determined  
254 with hematoxylin and eosin (H&E) staining and immunohistochemistry (7, 19, 28, 29, 31, 41-  
255 44). Peng et al. (32) only used H&E staining. Some studies additionally carried out next  
256 generation sequencing (NGS) (30) or RNA sequencing for a part of the PDTOs (42), or all the  
257 PDTOs (19, 28). Four studies described successful long-term organoid cultures or  
258 cryopreservation of the organoids (7, 29, 31, 42) and three studies mainly focused on short-  
259 term culturing (7, 19, 44).

260

### 261 3.4. Methodology for the establishment of ME-derived organoids

262 The ME-PDTOs were established using different techniques (table 2), including different media  
263 (table 3).

264

### 265 3.5. Patient sample collection

266 Seven papers used drainages by thoracentesis or paracentesis, two articles collected the  
267 effusions via a surgical approach and two papers did not specify. The volume of ME used varied  
268 between 40 mL and 1000 mL. There appears to be no relationship between the collection  
269 method or the volume of the ME collected and the success ratio of the PDT0 establishment.

270

#### 271 3.5.1. Processing of malignant effusions

272 Almost all studies used centrifugation to establish a cell pellet, which was used for the  
273 generation of PDTOs. Only one study used a different technique and acquired a cell pellet  
274 through sedimentation in fetal bovine serum (42). Various centrifugation velocities,  
275 temperatures and times were used (see table 2). Sieving was used in two papers, while the  
276 other nine did not use this technique. Sieving of the cell pellet was carried out in one study  
277 through a 100 µm sieve to remove large aggregates and debris and a 38 µm sieve to remove

278 most mononuclear cells. All other studies proceeded with the full cell pellet (19). Only four  
279 studies did not lyse the red blood cells, while the other seven did this standardly.

280

### 281 3.5.2. *Culturing conditions*

282 All studies cultured the cell pellet in extra cellular matrix (ECM) domes varying between 10 to  
283 75  $\mu$ L, with a median of 30 $\mu$ L. Most studies (n=9) used Matrigel as ECM (7, 27-30, 32, 42, 44),  
284 two studies used Cultrex (19, 31) and one study developed their own ECM by adding three  
285 parts methacrylated type I collagen (6 mg/mL) to one part thiolated hyaluronan (1 mg/mL)  
286 and crosslinking the hydrogels with ultraviolet light (45).

287 It is well established that medium supplements have to be adjusted to the tumor types  
288 to efficiently culture PDOs. An overview of the supplements included in this analysis can be  
289 found in table 3. Advanced DMEM/F12 was used in all but two studies as basic medium, often  
290 supplemented with Glutamax and/or HEPES. All media included a mixture of antibiotics  
291 and/or antimycotics. One study (28) used StemPro™ hESC SFM growth full medium and  
292 another (45) used RPMI 1640 supplemented with fetal bovine serum (FBS). Notably, there is  
293 considerable variability in components, even within the same tumor type. Basic compound,  
294 such as noggin, R-spondin, Wnt and B27, were not used in all studies. Noggin was used in six  
295 media, R-spondin in five and Wnt was added only once, namely in the gastric medium. B27  
296 was supplemented most frequently to the media (n = 9), consistently present when advanced  
297 DMEM-F12 served as basic medium, followed by the frequent addition of the ROCK-inhibitor  
298 Y-27632 (n = 8), and EGF (n = 8). One study added heat-inactivated (56°C, 30 min) and 0.22  
299  $\mu$ m filtered supernatants, obtained after being centrifuged at 1200 rounds per minute (rpm)  
300 for 5 min, at varying concentrations (10%, 25%, 50%, 100%) into the final medium. This  
301 implementation resulted in a substantial increase in PDO forming efficiency and organoid  
302 size across all concentrations except for the 100% concentration (29). However, as this  
303 technique was used in only one study, a universally standardized method could not be  
304 established.

305 The dissimilarity among the media for ovarian cancer is pronounced (7, 19, 27, 28).  
306 Despite three media incorporating R-spondin, Noggin, B27, Y-27632, NAC, nicotinamide, EGF  
307 and A8301, they still diverge significantly in other supplements such as SB203580, IGF1, HGF,  
308 forskolin, hydrocortisone, heregulin $\beta$ -1,  $\beta$ -estradiol, among others (7, 19, 27). Carvalho et al's

309 ovarian medium stands out with its unique composition - utilizing StemPro™ hESC SFM  
310 growth full medium as the base, lacking R-spondin and Noggin, B27, Y-27632, NAC,  
311 nicotinamide, EGF and A8310, with the sole addition of an unspecified FGF and 2-  
312 mercapthoethoethanol (28). Conversely, a striking uniformity emerges in the media for lung  
313 cancer organoids, with the exception of Mazzochi et al., who exclusively relies on RPMI 1640  
314 and FBS as the growth medium (43). In each case, the medium comprises advanced  
315 DMEM/F12 supplemented with B-27, Y-27632, N2, EGF, and bFGF (32, 42, 44). An interesting  
316 observation occurred in the study by Wang et al. (44), where two breast cancer organoids  
317 unintentionally formed from pleural effusion and thrived in the medium originally intended  
318 for lung cancer. Unfortunately, a comparative analysis is not possible for media used in breast,  
319 colorectal, and gastric organoids, as these studies stand as singular representations within  
320 their specific tumor types.

321

### 322 3.6. Recapitulation of primary tumor characteristics

323 Different studies demonstrated that the PDTOs matched the PDCCs circulating in the ME and  
324 the parental tumors (29, 42, 44). Wang et al. (44) showed that ME-PDTOs maintain the  
325 morphologic and pathologic features of the parental tumor and reflect its individual  
326 characteristics. In this study, they analyzed the concordance of the somatic alterations  
327 between 20 matched ME and PDTOs, which was 71% (44). Moreover, they demonstrated that  
328 multiple ME-PDTOs from the same patient remained stable and adequately retained tumor  
329 heterogeneity. Li et al. (29) managed to demonstrate that malignant ascites-derived organoids  
330 retained the characteristics and mutated genes from the malignant ascites (87% average  
331 mutational overlap). Principal component analysis showed that PDO organoids generated  
332 from pleural effusion are similar to the parental malignant cells (42).

333

### 334 3.7. Applications

335 Seven studies used the developed ME-PDTOs for drug screening. Wang et al. (44) performed  
336 a therapy prediction screening on 54 lung cancer organoids (chemotherapy, targeted therapy  
337 and combinations), which resulted in an overall sensitivity of 84%, specificity of 83% and  
338 accuracy of 83% when compared to the clinical response. Bi et al. (7) screened 2 ME-PDTOs  
339 of ovarian cancer for the most commonly employed antineoplastic drugs in gynecological

340 oncology, which mainly were chemotherapeutic drugs, but also a monoclonal antibody. They  
341 managed to have the results of the screening in 7-10 days after obtaining the initial sample. Li  
342 et al. (29) focused on chemotherapeutics only, and saw divergent responses to different  
343 chemotherapies. Ubink et al. (30) used PDOs as a platform to test hyperthermic  
344 intraperitoneal chemotherapy (HIPEC) regimens on an individual patient level. Significant  
345 variation in responsiveness between mitomycin C and oxaliplatin were noted. Furthermore,  
346 applying HIPEC at typical clinical dosages resulted in minimal impact on the viability of multiple  
347 PDOs lines (30). Chen et al. (19) mainly tested for targeted therapies with a focus on short  
348 term culturing. Their model can be expanded for at least six days and could be used for empiric  
349 drug sensitivity testing (19). Peng et al. (32) used two lung cancer organoids derived from  
350 malignant pleural effusion to successfully test whether to use targeted therapy combination  
351 strategies or monotherapy. Both the pretreated and treatment naïve patient achieved partial  
352 response.

353 Other studies focused more on fundamental and translational research. Bose et al. (27)  
354 used genetically encoded, fluorescent biosensors to investigate ovarian cancer metabolism.  
355 Extensive RNA-analysis was used by Surina et al. (42) to investigate the differences between  
356 patient-derived spheroids and organoids, their hypothesis is that the former mimics local  
357 cancer expansion, whereas the latter is a model for cancer metastasis. Two studies (28)  
358 managed to establish co-cultures. Carvalho et al. (28) discovered with these co-cultures of  
359 PDO's and cancer associated fibroblasts (CAFs) critical signaling pathways, ligands and  
360 receptors, which have prognostic and therapeutic consequences.

## 361 4. Discussion

362 The success of ME-PDOs is evident in the overall establishment rate of 81%, reflecting  
363 the generation of 190 organoids from a pool of 234 ME samples. This robust success rate  
364 positions ME-PDOs as a promising and reliable source material. Notably, the mean and  
365 median success rates across the studies were 84% and 92%, respectively, surpassing those  
366 observed in PDOs from resection specimens and biopsies, particularly in the context of lung  
367 cancer organoids (46). Moreover, ME-PDOs demonstrate versatility by being successfully  
368 established from various malignancies, including ovarian, endometrial, gastric, breast, lung,  
369 and colorectal cancer. This diversity underscores the utility of MEs for the development of a  
370 broad variety of PDOs. Thus, ME-PDOs emerge as a valuable and flexible resource, providing

371 researchers with a range of organoid models for diverse cancer types. It is crucial to  
372 acknowledge the variation in success rates between studies, ranging from 33% to 100%, which  
373 is likely dependent on the number of samples included. Larger sample sizes are expected to  
374 more accurately reflect reality, as smaller sample sizes may be insufficient in representing the  
375 true success rates. No discernible link in high success rates is apparent with a specific  
376 methodology or tumor type. Nevertheless, we anticipate that the success ratio will vary based  
377 on the tumor type, mirroring the patterns observed in PDO cultures generated from  
378 resection specimens (2).

379           However, it is crucial to acknowledge certain limitations within the reviewed studies.  
380 The majority of these investigations featured small sample sizes, and some were excluded due  
381 to inadequate reporting of establishment success rate (6, 9, 16, 47). The potential influence  
382 of publication bias should also be considered, as studies reporting positive outcomes may be  
383 more likely to be published. Additionally, a noteworthy aspect is the absence of clear criteria  
384 for defining a successful organoid across the studies included in this review. Alongside the lack  
385 of clear criteria, another notable issue is the absence of standardized terminology; for  
386 instance, the distinction between long-term and short-term organoids lacks consensus. Some  
387 research groups define long-term organoids as PDOs surpassing one year in culture, 15  
388 passages, or 5 passages (48-50). There is little consistency, and various criteria are employed.  
389 It is important to be mindful of the scarce evidence published about ME-PDOs. Strong  
390 conclusions cannot be drawn according to the small sample sizes of above analyzed studies.  
391 The high rate of establishment (overall 81%) is mainly influenced by one study, however,  
392 different smaller proof of concept studies had similar rates (mean 84%, median 92%).  
393 Nonetheless, the evidence shows that generating PDOs from ME is feasible and should be  
394 further investigated.

395           Various techniques are currently being explored for the development of ME-PDOs,  
396 with no consensus emerging on an optimal method. Researcher are investigating diverse  
397 factors, such variations in centrifugation speed, utilization of RBC-lysis buffer, among others.  
398 Interestingly, high success rates can be obtained with both the addition and omission of RBC-  
399 lysis buffer. We therefore deduct that the use of RBC-lysis buffer is suitable in cases of a bloody  
400 sample or the presence of a red pellet, demonstrating its utility in this analysis without evident  
401 problems. Moreover, a substantial variation is observed in the volumes of the MEs used,

402 spanning from 40 mL to 1000 mL. This discrepancy can be attributed to the clinical context;  
403 diagnostic taps typically yield smaller volumes, whereas therapeutic taps involve larger  
404 quantities aimed at symptom relief. However, it is crucial to emphasize that, regardless of the  
405 volume collected, the paramount consideration lies in the number of viable cells obtained.  
406 Additionally, all the studies incorporated in the analysis exclusively employed natural  
407 extracellular matrices (ECMs) such as Matrigel and Cultrex. However, there is a growing  
408 interest in the utilization of synthetic scaffolds, primarily due to their enhanced controllability,  
409 marked by reduced batch-to-batch variability (51). Synthetic scaffolds offer increased  
410 customizability in terms of stiffness, porosity, and degradation rates, as well as a consistent  
411 composition (51). Notably, there is an interesting gap in research concerning the use of  
412 synthetic scaffolds in the context of ME-PDTOs, given the significant differences in stiffness  
413 between MEs and the solid tissue surrounding cancer cells. While some investigations have  
414 been conducted on the use of (semi-)synthetic scaffolds in spheroids derived from 2D cell lines  
415 (52, 53), such exploration has not extended to the domain of ME-PDTOs.

416         Regarding the choice of medium, there is an inconsistent use of growth factors and  
417 supplements, which is mainly due to the various tumor types included in this analysis. Despite  
418 these variations, certain tumor-specific culturing media exhibit significant similarities,  
419 particularly in the case of lung cancer medium as mentioned in the result section. Notably,  
420 one study has demonstrated a positive effect on organoid size and formation by  
421 supplementing the medium with ME supernatants. However, complete substitution of the  
422 medium with supernatants was found to hinder organoid growth (29). A similar favorable  
423 outcome with the incorporation of supernatants was observed in a study conducted by Velletri  
424 et al. (54). In this study, they introduced ascites supernatants to 2D cell lines, revealing that a  
425 concentration of 12.5% exhibited the highest efficacy. Their experiment underscored the  
426 possible benefit of supernatant supplementation in forming PDTOs from malignant effusions.  
427 The stimulation of organoid growth is attributable to malignant ascites extracellular vesicles  
428 (55). These extracellular vesicles (EVs) carry microRNAs (miRNA), proteins, lipids, etc., playing  
429 a crucial role in cell-to-cell interactions (56, 57), essential for organoid development. MiRNA  
430 within EVs regulates cancer proliferation, invasion, migration, chemoresistance immune  
431 response and reshaping the tumor microenvironment (TME) (57). To date, no studies have  
432 investigated the impact of supernatants on pleural effusion-derived organoids. On the other  
433 hand, Mazzochi et al. (43), adopted a different approach by using FBS as a supplement for

434 their organoid medium, a practice cautioned against in the literature (58). The use of animal-  
435 derived serums like FBS introduces challenges related to non-standardization, given the  
436 inherent heterogeneity and the unknown exact composition (58, 59). Moreover, concerns has  
437 been raised about the substantial and unknown effects on organoid culture and phenotype  
438 (60). In addition to malignant ascites and pleural effusions, pericardial effusions can be  
439 worthwhile developing organoids from (16, 44). Wang et al. managed to develop 14 PDTOs  
440 from pericardial effusions (44). This technique however, is more invasive than a paracentesis  
441 or thoracentesis. Another group successfully established bile-derived organoids from patients  
442 with biliary cancer with minimal invasiveness from nearly all patients, including inoperable  
443 cases (61). In conclusion, deriving organoids from varied malignant effusions and body fluids,  
444 including pericardial effusions and bile, presents a promising avenue in precision oncology in  
445 the metastatic setting.

446         Recent evidence suggests that ME-PDTOs can effectively recapitulate the genomic,  
447 transcriptomic and phenotypic characteristics of the malignant cells in the effusions and the  
448 parental tumors (16, 19, 29, 31, 42-44). However, one study found that PDTOs from ME and  
449 lymph node biopsies in the same patient sometimes exhibited both morphologic differences  
450 and varied sensitivities to drug screening, which suggests the existence of intermetastatic  
451 tumor heterogeneity (44). This observation underscores the capability of PDTOs to faithfully  
452 preserve the clonal heterogeneity inherent in individual patients, further emphasizing their  
453 relevance as a valuable model for studying tumor behavior and drug responses. However,  
454 solely using MEs might not give a completely accurate picture, due to intermetastatic  
455 heterogeneity and clonal drift (62). It is noteworthy that no specific studies have yet been  
456 conducted to compare drug responses in the same patient for PDTO derived from  
457 biopsies/resections and ME-PDTOs.

458         The potential applications of ME-PDTOs extend beyond basic and translation research,  
459 and include the clinical setting as well, where they are used as a tool for precision medicine.  
460 ME-PDTOs offer a platform to screen for individual drug sensitivities. The feasibility was  
461 demonstrated by Li et al. (29) in malignant ascites-derived organoids (29). Other studies  
462 showed a correlation between the *ex vivo* drug response in ME-PDTOs and the *in vivo*  
463 therapeutic effect (7, 16, 31). Bi et al. managed to finalize the results of drug screenings in 7-  
464 10 days (7). The use of short-term cultures in drug screening has certain advantages over long-

465 term culturing. Not only does it allow drug testing within one week, but it might also be less  
466 prone to genetic drift or subclone selection than long-term culturing. Although promising, the  
467 use of ME-PDTOs as a tool for precision medicine is currently limited by the lack of  
468 standardization and mainly anecdotal evidence. Besides their use in clinical decision making,  
469 ME-PDTOs are amenable to different experimental techniques, such as testing novel  
470 therapeutic agents (16), researching the metabolic properties of cancer (27) and investigating  
471 resistance mechanisms and possibilities to overcome them (63). Recently, more complex  
472 methods are emerging such as co-culturing organoids with other important cell types (e.g.  
473 immune cells, stromal cells,...) to better recapitulate the TME and generate so called  
474 assembloids (6, 11, 64-66). This approach fills a crucial gap in current organoid cultures.  
475 Ongoing developments in co-culturing techniques now facilitate the exploration and  
476 prediction of immunotherapeutic effects, addressing a significant unmet clinical need (67).  
477 Other advanced methods, such as tumor-on-a-chip and microfluidics involve creating  
478 microscale devices that replicate the physiological conditions of tumors in the human body.  
479 They can be integrated with PDTO to offer a more accurate and dynamic exploration of disease  
480 biology, treatment development and toxicity screening, carefully summarized by Hwangbo et  
481 al. (68).

482         Generating PDTOs out of ME offers several advantages over developing organoids  
483 from biopsies or resection specimens: (i) procedures like paracentesis or thoracentesis are  
484 substantially less invasive compared to surgical tumor removal or a (endoscopic) biopsy, and  
485 only carries a low risk of complications (38, 39). (ii) They are more cost-effective due to  
486 requiring fewer materials and personnel, with no need for an operating room or general  
487 anesthesia. (iii) The recurrent nature of malignant effusions allows for sequential organoid  
488 culturing. This enables regular drug screening, facilitating adjustments to therapeutic  
489 regimens based on acquired drug resistance (29). However, it is important to note that after  
490 repeated drainages, there may be fewer viable cells present in the effusion, potentially  
491 impacting PDTO formation (44) and increasing procedural difficulty. (iv) Analysis reveals that  
492 the success rate of organoid generation from MEs surpasses the general establishment ratios  
493 for PDTOs derived from biopsies or resection specimens (26, 69). Moreover, the study of Wang  
494 et al. (44) underscores a significant disparity in establishment ratios, with non-ME approaches  
495 succeeding in only 58% of cases compared to the 82% success rate achieved with ME-PDTOs.  
496 (v) The substantially higher percentage of tumor cells within MEs, as compared to non-

497 malignant epithelial/mesothelial cells (70), facilitates the achievement of high purity PDTOs  
498 (14). This remains a persistent issue in the development of pure lung cancer organoids (26,  
499 71), for which ME-PDTOs can prove advantageous. The limitations of lung cancer organoids  
500 were concisely reviewed by Ma et al. (71). (vi) MEs are more common in advanced stages of  
501 cancer (34-36), making the development of PDTOs from MEs increasingly important for  
502 precision oncology. This approach is especially crucial for patients with advanced or  
503 treatment-resistant cancers who have not responded to standard therapies. Additionally, MEs  
504 offer a valuable alternative source for creating PDTOs in advanced cancer cases, particularly  
505 when surgery is not a standard treatment option.

506         However, it is important to acknowledge certain limitations. (i) MEs are restricted to  
507 advanced malignancies and certain tumor types and (ii) some MEs exhibit low in cellularity  
508 (72), making it challenging to obtain sufficient tumor cells for the development of organoids.  
509 Cell counting before the processing of the sample could aid in adequate sample selection. (iii)  
510 The absence of the TME, attributed to the inherent non-adherence of malignant cells in MEs  
511 to the surrounding tissues. Importantly, this limitation is not unique to ME-PDTOs but extends  
512 to their solid-tissue counterparts, especially in long-term cultures. Mitigating this challenge  
513 involves co-culturing with immune cells, CAFs, endothelial cells, and related constituents (6,  
514 11, 64-66), which is currently an active field of research. These limitations underscore the  
515 importance of carefully considering patient selection and the stage of cancer when opting for  
516 ME-PDTOs in precision oncology research.

## 517 5. Further research

518         PDTOs constitute a relatively new area of research, characterized by an increasing amount  
519 of data being gathered daily. However, the focus within the literature predominantly centers  
520 on PDTOs derived from solid tumor tissues derived from biopsies or surgical resections. As  
521 discussed above, MEs could be a robust source for tumor material and in some instances even  
522 better than biopsies or resection specimens (44). However, a significant gap exists in the  
523 availability of comprehensive large-scale data pertaining to MEs, constituting a primary  
524 limitation. Immediate future steps involve expanding studies with larger sample sizes. This will  
525 enable us to conduct a thorough comparative assessment of establishment rates and  
526 characteristics among ME-PDTOs derived from diverse cancer types. Moreover, this will guide  
527 us in establishing a standardized methodology for the generation of ME-PDTOs. This includes

528 researching considerations such as the incorporation of supernatants into the culture  
529 medium, especially in pleural effusions where this approach has not been previously explored.  
530 Furthermore, an exploration into the utilization of synthetic scaffolds is warranted, given the  
531 marked distinction in the surrounding environment of MEs compared to solid metastases and  
532 primary tumors. Additionally, a critical evaluation of organoid quality is mandated, focusing  
533 on the faithful recapitulation of parental tumor characteristics, organoid expandability,  
534 cryopreservation and relevant parameters. Elucidation and investigation of the predictive  
535 value of potential applications, including sequential measurements for therapy guidance and  
536 resistance prognostication, and the predictive efficacy of ME-PDTOs in drug screening, are of  
537 pivotal importance. Finally, the lack of uniform terminology and standardization, including  
538 criteria for distinguishing long-term from short-term culture, defining a successful patient-  
539 derived tumor organoid, and establishing clear definitions for various models such as  
540 organoids and spheroids, poses a significant obstacle to the systematic interpretation of  
541 studies. Urgent and collaborative efforts are imperative to formulate uniform definitions that  
542 can be universally adopted in the field of 3D-cell culturing, thereby enhancing clarity and  
543 comparability across research endeavors.

## 544 6. Conclusion

545 PDTOs provide a novel and powerful tool in the clinical setting and basic/translational  
546 research. Results of this literature search demonstrate that PDTOs can be generated out of  
547 MEs in a high percentage of the cases (overall 81%). There are various benefits to using ME-  
548 PDTOs: Firstly, the acquisition of PDCCs via drainages is (i) less invasive and (ii) more cost-  
549 effective. This technique facilitates (iii) sequential organoid formation and (iv) exhibits a higher  
550 success rate compared to organoids obtained from biopsies/solid tissues, particularly in the  
551 context of lung cancer. Moreover, (v) it increases the purity of the lung cancer PDTOs. Lastly,  
552 (vi) it presents a novel and valuable source for the implementation of precision oncology in  
553 the advanced cancer setting. Possible disadvantages are that (i) their use is limited to  
554 metastatic cancers and can thus not be used in early-stage cancers, (ii) the low cellularity in  
555 certain MEs and (iii) the absence of a TME. These organoids can be used for different  
556 applications, but publications mainly focus on drug screening and clinical decision making.  
557 Further research concerning the optimization of the culturing settings and the validation

558 whether ME-PDTOs recapitulate the heterogeneity and functional hierarchy of the parental  
559 tumor is crucial.

560

561

562 **7. Tables and Figures**

563 *Table 1: Overview of the included studies and efficiency of ME-derived organoids*

564

Author	Year	Cancer type	ME	Sample size			Success rate		
				Total°	Non-ME-DO	ME-DO	Total°	Non ME-DO	ME-DO
<b>Bi (7)</b>	2021	Ovarian/ endometriu m	Ascites	52	45	7	83% (43/52)	82% (37/45)	85% (6/7)
<b>Bose (27)</b>	2022	Ovarian	Ascites	8	0	8	100% (8/8)	NA	100% (8/8)
<b>Carvalho (28)</b>	2022	Ovarian	Ascites, pleural fluid	8	0	8	100% (8/8)	NA	100% (8/8)
<b>Chen (19)</b>	2020	Ovarian	Ascites, pleural fluid	21	0	21	67% (14/21)	NA	67% (14/21)
<b>Li (29)</b>	2019	Gastric	Ascites	12	0	12	92% (11/12)	NA	92% (11/12)
<b>Mazzocchi (43)</b>	2019	Lung	Pleural fluid	2	0	2	100% (2/2)	NA	100% (2/2)
<b>Pan (31)</b>	2021	Breast	Pleural fluid	3	0	3	33% (1/3)	NA	33% (1/3)
<b>Peng (32)</b>	2022	Lung	Pleural fluid	2	0	2	100% (2/2)	NA	100% (2/2)
<b>Surina (42)</b>	2023	Lung	Pleural fluid	8	0	8	63% (5/8)	NA	63% (5/8)
<b>Ubink (30)</b>	2019	Colorectal	Ascites	14*	13	1	29% (4/14)	23% (3/13)	100% (1/1)

<b>Wang (44)</b>	2023	Lung/breast	Ascites, pleural fluid	214	52	162	76% (162/214)	58% (30/52)	82% (132/162)
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565 *ME-DO, malignant effusion-derived organoid; NA, not applicable*  
566 *° Total of organoid culturing, including other source material.*  
567 *\*metastasis samples, 1 ascites sample; the already established organoid line (TOR10) is not included*  
568

569  
570

Table 2: methods of ME-derived organoid development

Author	Method of retrieval	Amount of ME	Centrifugation	RBC lysis	supernatants	ME-DO success rate
<b>Bi (7)</b>	surgical	50-100 mL	500xg, 10 min 4°C	yes	no	85% (6/7)
<b>Bose (27)</b>	not specified	not specified	1000 rpm, 5 min	yes	no	100% (8/8)
<b>Carvalho (28)</b>	paracentesis	not specified	1000 rpm, 5 min	yes	no	100% (8/8)
<b>Chen (19)</b>	paracentesis	not specified	365xg, 15 min	yes	no	67% (14/21)
<b>Li (29)</b>	paracentesis	not specified	1200 rpm, 5 min	no	yes (10%, 25%, 50%, 100%)	92% (11/12)
<b>Mazzocchi (43)</b>	paracentesis	500 mL-1L	not specified	yes	no	100% (2/2)
<b>Pan (31)</b>	paracentesis	50 mL	1300 rpm, 5 min	yes	no	33% (1/3)
<b>Peng (32)</b>	paracentesis	200-800 mL	112 rcf, 3 min	yes	no	100% (2/2)
<b>Surina (42)</b>	not specified	not specified	no	no	no	63% (5/8)
<b>Ubink (30)</b>	surgical	40 mL	400xg, 5 min	no	no	100% (1/1)
<b>Wang (44)</b>	paracentesis	200-1000 mL	300xg, 5 min 4°C	no	no	82% (132/162)

571 rpm, rounds per minute; g, gravitational constant; rcf, relative centrifugal force; ME, malignant effusion; RBC, red blood cell; ME-DO, malignant  
572 effusion-derived organoids

573

574 *Table 3: Overview of media used*  
575

	Bi (7)	Bose (27)	Carvalho (28)	Chen (19)	Peng (32)*	Mazzochi (43)	Surina (42)	Wang (44)	Pan (31)*	Ubink (30)	Li (29)
Cancer type	Ovarian endometrial	Ovarian	Ovarian	Ovarian	Lung	Lung	Lung	Lung	Breast	CRC	Gastric
<b>Basic medium</b>	Advanced DMEM/F12	Advanced DMEM/F12	StemPro™ hESC SFM growth full medium	Advanced DMEM/F12	Advanced DMEM/F12	RPMI 1640	Advanced DMEM/F12	Advanced DMEM/F12	Advanced DMEM/F12	Advanced DMEM/F12	Advanced DMEM/F12
<b>Glutamax</b>	1x	1x	-	1%	-	-	-	1x	1x	400 µM	1x
<b>Hepes</b>	10 mM	-	-	10 mM	-	-	-	-	10 mM	10 mM	10 mM
<b>Antibiotic-antimycotic</b>	P/S (dose not specified); primocin 2%	P/S (100 U/mL)	P/S (10,000U/10 mg/mL), gentamicine (2,5 µg/mL), amphotericine B (2,5 µg/mL)	antibiotic-antimycotic (not specified), Primocin (100 µg/mL)	P/S (1%)	P/S (200U/mL)	P/S Amphotericine B (dose not specified)	P/S (1%)	P/S (100U/ml/100 mg/ml); primocin (50 mg/mL)	Penicilline (100 U/mL), Streptomycine (100µg/mL)	P/S (100U/ml/100 mg/ml); primocin (50 mg/mL)
<b>Fetal Bovine Serum</b>	-	-	-	-	-	5%	-	-	-	-	-
<b>B27</b>	1x	1x	-	1x	1x	-	1x	1x	1x	1x	1x
<b>R-spondin1</b>	250 ng/mL	50 ng/mL	-	10%	-	-	-	-	-	-	500 ng/mL
<b>R-spondin 3</b>	-	-	-	-	-	-	-	-	250 ng/mL	-	-
<b>Noggin</b>	100 ng/mL	100 ng/mL	-	100 ng/mL	-	-	-	-	100 ng/mL	50 ng/mL	100 ng/mL
<b>Wnt3a conditioned medium</b>	-	-	-	-	-	-	-	-	-	-	50%
<b>Y-27632</b>	10 µM	10 µM	-	5 µM	10 µM	-	10 µM	10 µM	5 µM	-	10 µM
<b>NAC</b>	1,25 mM	5 mM	-	1,25 mM	-	-	-	-	1,25 mM	1 mM	1 mM
<b>Nicotinamide</b>	5 mM	5 mM	-	1 mM	-	-	-	-	5 mM	-	10 mM
<b>N2</b>	-	1x	-	-	1x	-	1x	1x	-	-	-
<b>EGF</b>	50 ng/mL (human)	50ng/mL	-	5 ng/mL	50 ng/mL (human)	-	50 ng/mL	50 ng/mL (human)	5 ng/mL	-	50 ng/mL
<b>A8301</b>	5 µM	250 nM	-	0,5 µM	-	-	-	-	500 nM	500 nM	2000 nM

<b>bFGF (FGF-2)</b>	-	-	-	-	20 ng/mL	-	20 ng/mL	20 ng/mL	-	-	-
<b>FGF7</b>	-	-	-	5 ng/mL	-	-	-	-	5 ng/mL	-	-
<b>FGF10</b>	100 ng/mL	-	-	20 ng/mL	-	-	-	-	-	-	10 ng/mL
<b>FGF (not specified)</b>	-	-	10 µg/mL	-	-	-	-	-	-	-	-
<b>forskolin</b>	10 µM	-	-	-	-	-	-	-	-	-	-
<b>Hydro-cortisone</b>	500 ng/mL	-	-	-	-	-	-	-	-	-	-
<b>Heregulinβ-1</b>	37,5 ng/mL	-	-	-	-	-	-	-	-	-	-
<b>β-estradiol</b>	100 M	10nM	-	-	-	-	-	-	-	-	-
<b>HGF</b>	-	10 ng/mL	-	-	-	-	-	-	-	-	-
<b>IGF1</b>	-	20 ng/mL	-	-	-	-	-	-	-	-	-
<b>Neuroregulin I</b>	-	10 ng/mL	-	5 nM	-	-	-	-	5 nM	-	-
<b>SB203580</b>	-	1 µM	-	-	-	-	-	-	-	-	-
<b>Gastrin</b>	-	-	-	-	-	-	-	-	-	-	1 nM
<b>SB202190</b>	-	-	-	-	-	-	-	-	500 nM	10 µM	-
<b>2-Mercapto-ethanol</b>	-	-	1x	-	-	-	-	-	-	-	-

576

577 \* referred to a previous publication for the methodology

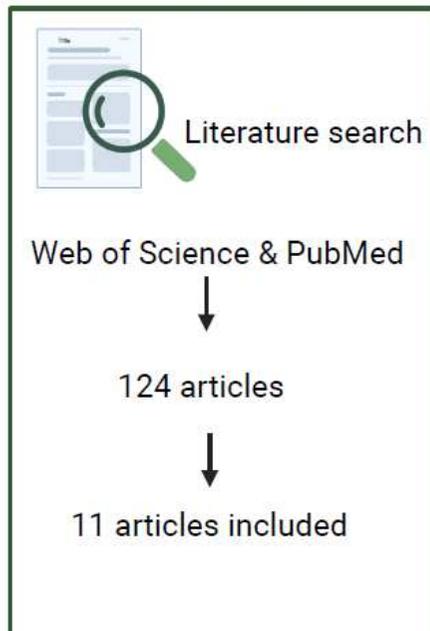
578 NAC, n-acetyl-l-cysteine; EGF, epidermal growth factor; FGF, fibroblast growth factor; HGF, hepatocyte growth factor; IGF, insuline-like growth  
579 factor; P/S, penicillin-streptomycin.

580

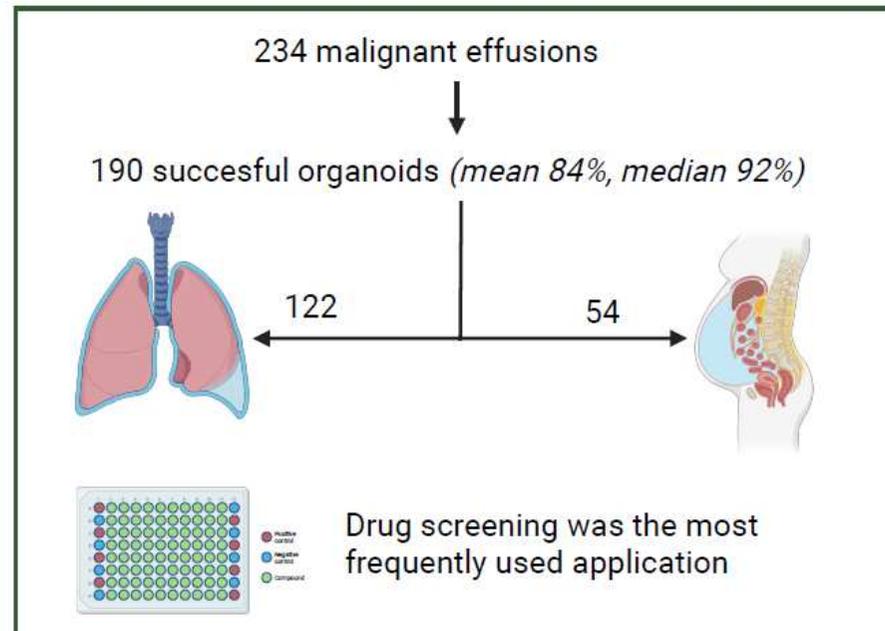
581

# A Systematic Review of Patient-derived Tumor Organoid Generation from Malignant Effusions

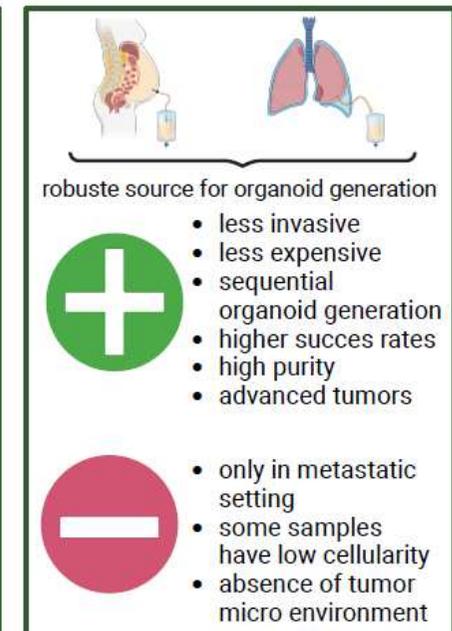
## Methodology



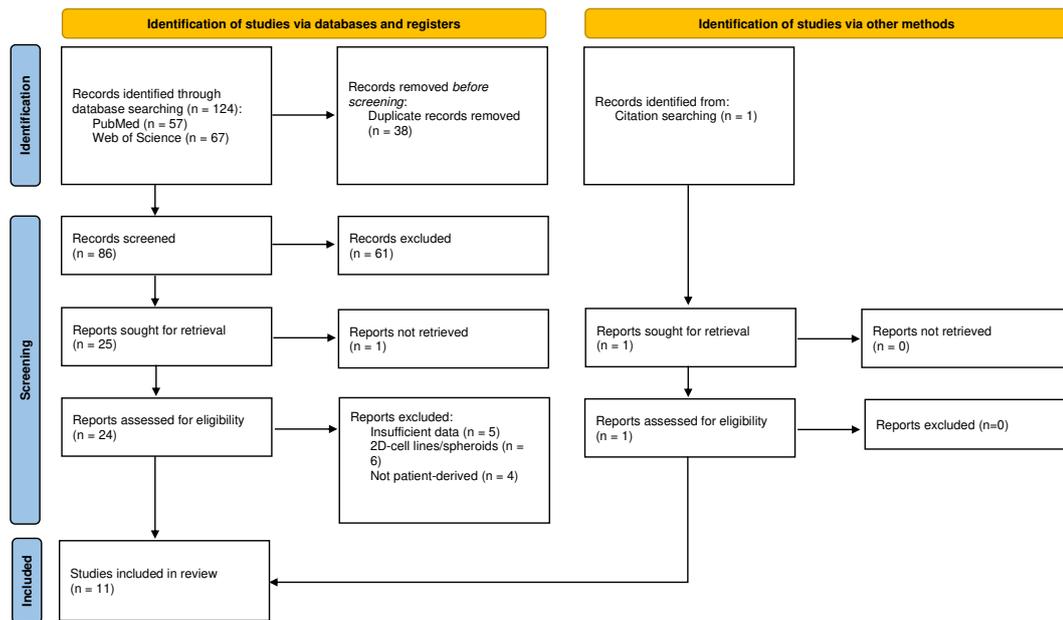
## Results



## Conclusion



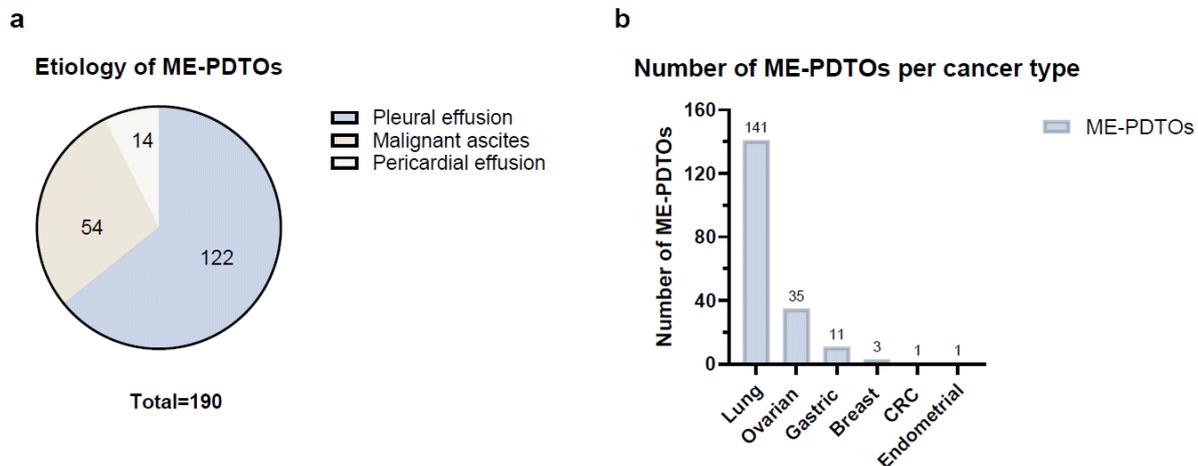
583 *Figure 1: PRISMA 2020 flow diagram and the process of data selection*



584 PRISMA flow diagram showing the study selection.

585

586 *Figure 2: Etiology of ME-PDTOs*



587

588 (a) Malignant pleural effusion was the most frequently used source in developing PDTOs. In total 122 PDTOs  
 589 were generated using pleural effusions, 54 PDTOs were created using malignant ascites and 14 PDTOS using  
 590 pericardial effusions. (b) Lung cancer organoids were most frequently created (n=141), followed by ovarian,  
 591 gastric, breast, colorectal and endometrial carcinoma's. *CRC: colorectal carcinoma; ME: malignant effusion;*  
 592 *PDTO: patient-derived tumor organoid.*

593 **8. Additional information**

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595 The graphical abstract was made with BioRender.

596

597 **Authors' contribution**

598 S.S. conceived the idea. S.S. wrote the review with supervision from C.D.. C.D., M.L.C.,  
599 J.M.H.H., P.V.S., A.J., R.W., N.K., and H.P. provided critical feedback. S.S. made the figures. All  
600 authors contributed to the final manuscript. S.S. is the guarantor of the review.

601

602 **Ethics approval and consent to participate:** not relevant

603

604 **Consent for publication:** not relevant

605

606 **Data availability**

607 All data generated during this study are included in this published article.

608

609 **Declaration of Competing Interests.**

610 The authors declare no conflict of interest.

611

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616 design, data collection, analysis and interpretation of data, or the writing of this manuscript.

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