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1 *Reseach paper*

2 **Loss-of-function of Activity-Dependent Neuroprotective**
3 **Protein (ADNP) by a Splice-Acceptor Site Mutation causes**
4 **Helsmoortel-Van der Aa Syndrome.**

5 **Claudio Peter D'Incal^{1,2}, Dale John Annear¹, Ellen Elinck¹, Jasper J. van der Smagt³, Mariëlle Alders⁴,**
6 **Alexander J. M. Dingemans^{5,6}, Ligia Mateiu¹, Bert B. A. de Vries⁵, Wim Vanden Berghe^{2,*} and R. Frank**
7 **Kooy^{1,*}**

8 ¹ Cognitive Genetics (CONGET), Centre for Medical Genetics (CMG), Department of Biomedical Sciences, University of Antwerp, Antwerp,
9 Belgium Department of Medical Genetics.

10 ² Protein Chemistry, Proteomics and Epigenetic Signaling (PPES), Department of Biomedical Sciences, University of Antwerp, Antwerp,
11 Belgium.

12 ³ Division of Laboratories, Pharmacy and Biomedical Genetics, Section Clinical Genetics, University Medical Center Utrecht, the Netherlands
13 and Rijksuniversiteit Utrecht, Utrecht, the Netherlands

14 ⁴ Department of Human Genetics, Amsterdam Reproduction & Development Research Institute, Amsterdam University Medical Centers,
15 University of Amsterdam Amsterdam, The Netherlands

16 ⁵ Department of Human Genetics, Donders Institute for Brain, Cognition and Behaviour, Radboud University Medical Center, Nijmegen, the
17 Netherlands

18 ⁶ Department of Artificial Intelligence, Donders Institute for Brain, Cognition and Behaviour, Radboud University, Nijmegen, the
19 Netherlands

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29 **Abstract**

Mutations in *ADNP* result in Helsmoortel-Van der Aa syndrome. Here, we describe the first *de novo* intronic deletion, affecting the splice-acceptor site of the first coding *ADNP* exon in a five-year-old girl with developmental delay and autism. Whereas exome sequencing failed to detect the non-coding deletion, genome-wide CpG methylation analysis revealed an episignature suggestive of a Helsmoortel – Van der Aa syndrome diagnosis. This diagnosis was further supported by PhenoScore, a novel facial recognition software package. Subsequent whole genome sequencing resolved the three-base pair *ADNP* deletion c.[-5-1_-4del] with transcriptome sequencing showing this deletion leads to skipping of exon 4. An N-terminal truncated protein could not be detected in transfection experiments with a mutant expression vector in HEK293T cells, strongly suggesting this is a first confirmed diagnosis exclusively due to haploinsufficiency of the *ADNP* gene. Pathway analysis of the methylome indicated differentially methylated genes involved in brain development, the cytoskeleton, locomotion, behavior, and muscle development. Along the same line, transcriptome analysis identified most of the differentially expressed genes as upregulated, in line with the hypomethylated CpG episignature and confirmed the involvement of the cytoskeleton and muscle development pathways that are also affected in patient cell lines and animal models. In conclusion, this novel mutation for the first time demonstrates that Helsmoortel – Van der Aa syndrome can be caused by a loss of function mutation. Moreover, our study elegantly illustrates the use of EpiSignatures, WGS and Phenoscore as novel complementary diagnostic tools in case a of negative WES result.

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34 *Corresponding authors:

35 R. Frank Kooy, PhD

36 Department of Medical Genetics

37 University of Antwerp

38 Prins Boudewijnlaan 43/6

39 2650 Edegem

40 Belgium

Wim Vanden Berghe, PhD

Epigenetic Signaling lab (PPES)

Department of Biomedical Sciences

University of Antwerp

Universiteitsplein 1

2610 Wilrijk

41 Tel: +32 3 275 9760

Tel: +32 3 2652657

42 Email: frank.kooy@uantwerpen.be

Email: wim.vandenbergh@uantwerpen.be

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44 INTRODUCTION

45 Heterozygous *de novo* mutations in the *ADNP* gene cause Helsmoortel-Van der Aa syndrome (HVDAS;
46 OMIM #615873), a prevalent neurogenetic condition associated with autism, intellectual disability,
47 developmental delays, and multi-organ deficiencies. The ADNP protein is a key transcription factor, involved in
48 neuronal tube closure during embryogenesis¹ and differentially interacts with genes regulating chromatin². It
49 contains nine zinc fingers, a DNA-binding homeobox domain, an ARKS motif as well as a PxVxL sequence. The
50 presence of a nuclear localization signal (NLS) is in line with its nuclear localization in neuronal cells. ADNP can
51 bind the SWI/SNF (BAF) complex³, is part of the repressive ChAHP complex⁴, and is involved in expression
52 regulation of hundreds of additional genes⁵.

53 The mutational mechanism of the Helsmoortel-Van der Aa syndrome is not yet fully understood.
54 Almost all patients are diagnosed with nonsense and frameshift stop mutations in the last coding exon 6
55 (referred to as exon 5 in earlier nomenclature), of which we have previously demonstrated to escape nonsense-
56 mediated decay^{6,7} and thus, theoretically, are able to produce truncated ADNP proteins. Even a much rarer
57 mutation in the penultimate exon 5 was reported to still be translated⁸. Both, a complete loss-of-function of the
58 protein as well as a potential gain-of-function of the mutant proteins, if still produced, are thus theoretically
59 possible causes of the disease. Circumstantial evidence pleads against the loss-of-function hypothesis. Different
60 *ADNP* gene mutations were found to elicit distinct genome-wide epigenetic profiles depending on the
61 localization of the *ADNP* gene mutation. For example, mutations at the extremities of the gene result in an
62 overall hypomethylated CpG pattern, whereas mutations in the central region of the gene result in an overall
63 hypermethylated CpG pattern^{9,10}. These methylation patterns are coupled to a differential clinical presentation,
64 with mutations in the central region resulting in a more severe phenotypic presentation^{6,11}. Discriminative
65 phenotypic expression depending on the location of the mutation seems not compatible with a simple loss-of-
66 function mechanism. However, we observe evidence against a gain-of-function hypothesis as we have never
67 been able to detect intact mutant ADNP protein expression despite intense experimental efforts¹².

68 We here present a first case of proven Helsmoortel – Van der Aa syndrome with a complete absence of
69 the ADNP protein. It concerns a five-year-old girl with intellectual disability, autism, and speech and motor

70 delays, where clinical exome sequencing failed to identify the genetic event. However, through subsequent
71 whole-genome sequencing paralleled with genome-wide CpG methylation analysis and facial recognition
72 software an intronic deletion affecting the splice-acceptor site of the *ADNP* gene was revealed. This case is thus
73 also an elegant illustration of the added value of whole genome sequencing, facial recognition and epigenetic
74 analysis in case of negative whole exome diagnosis^{13,14}.

75

76 **MATERIAL AND METHODS**

77 **Patient and tissue collection**

78 Trio-open whole exome sequencing (WES) was conducted at UMC Utrecht (Genetics department of Prof. Dr.
79 J.P. van Tintelen). In addition, whole genome sequencing (WGS) in parallel with the human EPIC BeadChip Array
80 (Illumina; California, U.S.) were executed, revealing a heterozygous *de novo* deletion upstream of the ATG start
81 codon of the *ADNP* gene. Clinical information of the five-year-old girl was received from the parents (caregivers)
82 and tending clinicians under informed consent. Upon both consenting parents, blood was drawn and collected
83 in Blood collection tubes, EDTA, BD Vacutainer® with BD Hemogard™ closure (VWR; Pennsylvania, U.S.), and
84 PAXgene® Blood RNA Tube (Qiagen; Hilden, Germany) at the University Hospital of Antwerp (UZA) and
85 approved of the Ethics Committee of the Antwerp University Hospital/University of Antwerp. The mutation was
86 confirmed by Sanger sequencing using the forward primer F: 5'-TCTTGCCACTGACACAAAG-3' and R: reverse
87 primer 5'-GGAAGGAAGGATGGATGGAT-3'.

88

89 **Quantifying phenotypic similarity using PhenoScore.**

90 PhenoScore was used to determine whether the individual with the splice-acceptor site mutation suited the
91 molecular diagnosis of Helsmoortel-Van der Aa syndrome¹¹. PhenoScore is an artificial intelligence-based
92 phenomics framework that combines state-of-the-art facial recognition technology with analysis of phenotypic
93 data in Human Phenotype Ontology (HPO) terms to quantify phenotypic similarity. Interestingly, depending on
94 the position of the mutation, two clinical subgroups could be discriminated by PhenoScore, differing in disease
95 presentation and severity. These two categories coincide with two partially opposing genome-wide methylation
96 patterns in patients¹⁻³. These so called Episignatures are commonly referred to as associated with Class I and
97 Class II mutations, the latter being on average more severely affected. To support a Helsmoortel-Van der Aa

98 syndrome diagnosis, an HPO score of 0.1 icorrelates with hypomethylation signature (Class I ADNP mutations),
99 whereas a score closer to 1.0 correlates with towards a hypermethylation signature (Class II ADNP mutations).

100

101 *In silico* pathogenicity prediction

102 Splice variant predictions were performed using Alamut™ splicing software (Sophia Genetics; Lausanne,
103 Switzerland) according to standard procedures (<https://www.sophiagenetics.com/>).

104

105 **RNA sequencing, differential splicing, and gene expression analysis.**

106 Total RNA was extracted from whole blood collected PAXgene® Blood RNA Tube (Qiagen; Hilden, Germany)
107 using the PaxGene Blood RNA Kit (Qiagen; Hilden, Germany), according to the manufacturer's protocol. The
108 RNA concentration was estimated with the Qubit™ RNA Broad Range Assay kit (Invitrogen; Massachusetts,
109 U.S.). RNA purity was assessed by determining the 260/280 ratio using the NanoDrop™ 2000/2000c
110 Spectrophotometer (Thermo Scientific™; Massachusetts, U.S.). The RNA integrity was evaluated using the
111 Agilent RNA Screentape Assay on the Agilent 2200 TapeStation System (Agilent; California, U.S.). Samples with
112 the highest RIN score (RIN > 6.5) were sent for total transcriptome sequencing (Novogene; Cambridge, UK). All
113 sequencing data was mapped to the human annotated genome GRCh38.p13 (Ensembl v108). The aligned and
114 sorted bam files after STAR alignment were used for alternative splicing (AS) analysis using rMATS (v4.1.2) in
115 linux, followed up by maser (R package), and visualized as Sashimi plots in Integrative Genome Browser (IGV).
116 Both reads spanning exon junctions and reads covering single exons were used for splicing quantification. We
117 tested for multiple splicing events (p-value < 0.05 and FDR < 0.05), including skipped exon (SE), alternative 5'
118 splice site (A5SS), alternative 3' splice site (A3SS), mutually exclusive exons (MXE), and retained introns (RI).
119 Variant detection (SNPs) was done for each sample following the GATK best practices for RNA-seq data. We
120 quantified ADNP transcript abundance (transcripts per million; TMP) from RNA-seq reads using Salmon
121 (<https://combine-lab.github.io/salmon/>). Differential gene expression analysis was performed with NOISeq (R
122 package), a non-parametric method for comparison of samples without biological replicates which reports the
123 log₂-ratio of the two conditions (M) and the value of the difference between conditions (D). A gene is considered
124 to be differentially expressed if its corresponding M and D values are likely to be higher than in noise (q > 0.95).
125 The genes having an adjusted p-value < 0.05, FDR < 0.05, and absolute value of log₂FC ≥ 0.5 were considered
126 biologically relevant and further analyzed for functional enrichment (clusterProfiler R package with fgSEA

127 function for the geneset enrichment analysis and enrichGO for overrepresentation analysis in GO ontologies
128 and KEGG pathways). Additional data visualization was supported by BigOmics, a user-friendly and interactive
129 self-service bioinformatics platform for the in-depth analysis, visualization, and interpretation of
130 transcriptomics data ¹⁸. RT-PCR was used to confirm a selection of genes with $\log_2FC \geq 0.5$ from the RNA
131 sequencing experiment by converting 1 μg of total extracted RNA to cDNA using the SuperScript™ III Reverse
132 Transcriptase kit (Invitrogen™; Massachusetts, U.S.). Primer efficiencies were optimized using a standard
133 dilution curve method on pooled cDNA samples from controls and patients (90% > E > 110%). RT-PCR was
134 performed in triplicate using the CFX384 Touch Real-Time PCR Detection System (BioRad; California, U.S.) with
135 primers listed in **supplementary table T1** using the Takyon™ No ROX SYBR 2X MasterMix (Eurogentec;
136 Seraing, Belgium). Reference gene stability was assessed using the geNorm method in qbase+ (Biogazelle;
137 Ghent, Belgium), after which were selected for normalization. Data analysis was performed in qbase+
138 (Biogazelle; Ghent, Belgium) with a maximum deviation of 0.5 per triplicate using the stable housekeeping
139 genes *B2M*, *GAPDH* and *RPL13A*. Statistical analysis was performed in GraphPad Prism 9.3.1 using a 2way
140 ANOVA with Šídák's multiple comparisons test.

141

142 **Plasmid constructs**

143 The pCMV3 expression vector encoding human wild-type ADNP fused to an N-terminal GFPspark®-tag was
144 purchased (Sino Biological; Beijing, China). The pCMV3 expression vector encoding human mutant ADNP,
145 incorporating the deletion of exon 4, fused to a C-terminal OFPspark®-tag was synthesized (Sino Biological;
146 Beijing, China) (**supplementary data S1**). Transformation of One Shot™ TOP10 Chemically Competent *E. coli*
147 cells was performed according to standard procedures (Invitrogen™; Massachusetts, U.S.). DNA was purified
148 using the NucleoSpin Plasmid EasyPure Mini kit (Macherey Nagel; Düren, Germany) according to the manual.
149 Deletion of exon 4 was verified using Sanger sequencing.

150

151 **Cell culture**

152 HEK293T cells were purchased (ATCC; Virginia, U.S.) at low passage number and cultured in DMEM (Gibco™;
153 Massachusetts, U.S.), supplemented with 10% fetal bovine serum (Gibco™; Massachusetts, U.S.) and 1%
154 penicillin/streptomycin (Gibco™; Massachusetts, U.S.). Cells were grown in a humidified 37%O₂/5%CO₂
155 incubator to reach optimal confluency.

156

157 **Transient Transfection**

158 HEK293T were transfected using 5 µg wild type and mutant ADNP expression vectors using Lipofectamine™
159 3000 Transfection Reagent (Invitrogen™; Massachusetts, U.S.) in accordance with the manufacturer's protocol.
160 Transfection efficiency was about 70% in line with the manufacturer's tested performance. Cells were harvested
161 after 24 hours of incubation for western blotting as described by previous methods ¹².

162

163 **Human methylation EPIC BeadChip array and data processing**

164 Total DNA was isolated from whole blood of the affected five-year-old girl and her unaffected parents using the
165 DNeasy Blood and Tissue Kit (Qiagen; Hilden, Germany) according to the manufacturer's instructions.
166 Subsequently, bisulfite conversion of 250 ng isolated DNA was performed using the EZ DNA Methylation Kit
167 (Zymo Research, California , U.S.). To confirm successful bisulfite conversion, a methylation-conserved
168 fragment of the human *SALL3* gene was amplified using the following primers: 5'-GCGCGAGTCGAAGTAGGGC-
169 3' as a forward primer and 5'-ACCCAACGATACCTAATAATAAAACC-3 as a reverse primer with the PyroMark
170 PCR kit (Qiagen; Hilden, Germany). Amplified products were separated on a 1.5% agarose gel stained with
171 GelRed® Nucleic Acid Gel Stain (Biotium; California , U.S.). The TrackIt™ 100 bp DNA Ladder (Invitrogen;
172 Massachusetts, U.S.) will be used as a reference marker. Bisulfite-converted samples were hybridized on the
173 Infinium Human Methylation EPIC BeadChip (Illumina; California , U.S.) as described in the manufacturer's
174 protocol. EPIC chips were analyzed using the Illumina Hi-Scan system, a platform integrating more than 850,000
175 methylation sites quantitatively across the genome at a single-nucleotide resolution. Methylation analysis was
176 performed in RStudio version 4.3 using the Sesame package version 3.17 to facilitate the analysis of the EPICv2
177 array ¹⁵. Raw intensity files were first quality checked and probes with a poor signal were removed. Signal
178 correction was performed for dye bias and background signals. β-values were calculated from the probe signals
179 and probe annotation was carried out using the Illumina Infinium MethylationEPIC v2.0 manifest file
180 (<https://support.illumina.com/downloads/infinium-methylationepic-v2-0-product-files.html>). All annotations
181 (i.e., CpG islands, shelves, and shore regions) are reported based on the GRCh37/hg19 human genome build.
182 Differentially methylation of each CpG locus was performed with the control samples (i.e., the mother and
183 father of the proband) as baseline. Differential methylated regions (DMRs) were statistically tested using a
184 generalized linear model using Euclidean distance to group CpGs and combining p-values for each segment.

185 Here, the proband was compared to her parents. The difference in methylation was calculated for genes
186 showing biologically relevant methylation differences, i.e., hypomethylated ($\Delta\beta$ -values < -0.1) and
187 hypermethylated ($\Delta\beta$ -values > 0.1) genes. Enriched biological processes and pathways, together with enriched
188 transcription factors (TRRUST) analyses, associated with the significant differential methylated genes, were
189 conducted using Metascape¹⁶.

190

191 **Targeted pyrosequencing analysis.**

192 Biologically-relevant hypo- and hypermethylated genes were selected for pyrosequencing confirmation.
193 Briefly, the required primers (i.e., forward, reverse, and sequencing primers) were designed using the PyroMaker
194 Assay Design 2.0 software (Qiagen; Hilden, Germany) according to the manufacturer's instructions
195 (**supplementary table T2**). Bisulfite converted DNA fragments were PCR amplified using the PyroMark PCR kit
196 (Qiagen; Hilden, Germany). Successful PCR amplification was assessed by TBE electrophoresis at 1.5% agarose
197 gel, after which the PyroMark Q24 Instrument (Qiagen; Hilden, Germany) was used to perform pyrosequencing.
198 Biotinylated PCR products were immobilized on streptavidin-coated Sepharose beads (GE Healthcare; Illinois,
199 U.S.), captured by the PyroMark vacuum Q24 workstation, washed and denatured. Single-stranded PCR
200 products were subsequently released into a 24-well plate and annealed to the sequencing primer for 5 min at
201 80°C. After completion of the pyrosequencing run, results were analyzed using the PyroMark Q24 software
202 (Qiagen; Hilden, Germany). Graphical representation was performed with GraphPad Prism version 9.3.1 using
203 an unpaired student T-test assuming equal variances.

204

205 **RESULTS**

206 **Clinical presentation and molecular diagnosis**

207 A five-year-old girl presents with intellectual and developmental delay, severe speech and motor delay,
208 mild facial dysmorphisms, impaired social behavior, and premature primary tooth eruptions^{6,19}. In addition, the
209 patient has a diagnosis of autism and exhibits several clinical characteristics associated with the condition,
210 defined by obsessive behavior such as playing with door handles and keys to calm her down. She also has
211 difficulties in distinguishing between what is self-generated and what is perceived as unfamiliar e.g., she
212 randomly hugs unfamiliar individuals. Such a combination of clinical features is compatible with a diagnosis of
213 the Helsmoortel-Van der Aa syndrome (**supplementary table T3**). PhenoScore, a validated artificial

214 intelligence-based algorithm integrating facial photographs with Human Phenotype Ontology (HPO),
215 supported her facial features as suitable for the ADNP patient group (score: 0.85/0.09), but could not
216 unambiguously confirm a diagnosis of Helsmoortel – Van der Aa syndrome (score: 0.29) (**supplementary data**
217 **S2**)¹¹.

218 Initially, trio-based whole exome sequencing (WES) failed to identify molecular diagnosis. For this
219 reason, whole-genome sequencing (WGS) was performed, but neither leading to any molecular diagnosis. In
220 parallel, genome-wide epigenetic sequencing revealed an ADNP class I epismutation, in line with the clinical
221 presentation ¹². Subsequent reanalysis of the genome sequencing data revealed a heterozygous *de novo*
222 c.[-5-1-4del];[=] p.[?];[=] variant in the intronic region upstream of the ATG initiation codon of the first coding
223 exon of the *ADNP* gene (exon 4). This intronic deletion starts at nucleotide position -6, corresponding to five
224 base pairs upstream of the first intronic position proximal of the ATG start codon, to position -4 which lies four
225 base pairs downstream of the start codon (referred to as counting position 1), paralleling the trinucleotide 3'-
226 GAA-5' deletion at position chr20(GRCh38):g.50904000_50904002del within the *ADNP* gene. We confirmed the
227 heterozygous 5'-TTC-3' trinucleotide deletion by Sanger sequencing (**supplementary data S2**).

228 As the intronic trinucleotide deletion can affect the protein, we performed *in silico* splice site prediction
229 of the unique *ADNP* variant using the Sophia Genetics platform (**supplementary data S3**). The computational
230 tool predicted the three base pair deletion to affect the splice acceptor site by combining results from three
231 different splicing prediction algorithms (i.e., MaxEnt, NNSPLICE, and SFF), each with prediction values of 100%
232 indicating a highly likely impact on splicing.

233

234 **Differential splicing analysis indicates exon 4 skipping as the mutational event underlying the *ADNP* splice-**
235 **site mutation.**

236 Splice-site affecting mutations, as identified in the patient, can interfere with the normal splicing
237 process, either resulting in intronic retention, exon skipping, or use of alternative cryptic splice sites²⁰. To
238 determine the effect of this specific splice site mutation, we applied whole-transcriptome sequencing on whole
239 blood from the affected five-year-old girl and her unaffected parents. Next, we visualized our RNA-sequencing
240 data using IGV, revealing remarkably more reads in proximity of the *ADNP* mutation (3'-TTC-5') for the patient,
241 confirming that the heterozygous splice acceptor-site mutation is incorporated in the mutant transcript
242 (**supplementary data S4**). We visualized the splice junctions in a Sashimi plot using the aligned RNA sequencing

243 data and tested for alternative splicing events using rMATS. The observation of the spliced junctions in the
244 patient indicates skipping of the entire exon 4 due to the splice acceptor-site mutation ($p < 0.0001$ and FDR $<$
245 0.0001), thereby excluding the *ADNP* initiation ATG start site (**figure 1**).

246 In addition, we utilized the Salmon tool for the quantification of the expression of *ADNP* transcripts
247 (**supplementary table T4**). *ADNP* contains nine mRNA splice variants, and its transcripts did not show an overall
248 high expression in blood with the highest number of counts around 15 TPM. We strictly filtered the transcripts
249 with p -value < 0.01 and FDR < 0.01 and identified a decreased expression of the canonical *ADNP* transcript
250 *ADNP-204* (ENST00000396032.8) in the patient (TPM = 1.1) in contrast to preserved expression in her healthy
251 parents (TPM = 3.2 for the mother, respectively 7.3 for the father). Moreover, we observed an increased
252 expression of transcript *ADNP-208* (ENST00000645081.1) in the patient (TPM = 15) opposed to her healthy
253 parents (TPM = 3.7). This upregulated transcript *ADNP-208* does not contain exon 4 as observed for the seven
254 of the *ADNP* splice variants, suggestive of a compensatory mechanism. However, quantifying the global *ADNP*
255 mRNA levels resulted in no differential expression in the patient as opposed to her parents. The splice acceptor-
256 site mutation thus prevents translation of the full *ADNP* transcript from the affected allele in the patient as
257 opposed to her healthy parents.

258

259 **Aberrant *ADNP* mRNA splicing indicates haploinsufficiency as the mutational mechanism.**

260 To demonstrate potential transcription and/or translation of the mutated allele, we used PCR
261 mutagenesis to delete the skipped exon (ΔEX_4) in a recombinant *ADNP* expression vector, C-terminally fused
262 to an QFPSpark®-tag. We overexpressed this mutant construct along with the wild-type GFPSpark® expression
263 vector in a HEK293T cellular system.

264 The HEK293T overexpression model allowed to quantify possible amounts of *ADNP* mRNA and protein
265 in cellular extracts by time reverse-transcription PCR and western blotting. To discriminate recombinant from
266 endogenous *ADNP* mRNA levels, we designed a primer set at the 3' region of the expression vector,
267 corresponding to the GFPSpark® fusion protein. Here, a significant increase in recombinant wild-type *ADNP*
268 levels was observed after transfection ($p < 0.0001$; ***), whereas no amplification could be detected in the
269 mutants and untransfected controls. Subsequently, we aimed to detect presence of exogenous mutant ΔEX_4
270 *ADNP* mRNA levels by RT-PCR amplification with a primer set at the 3' region, corresponding to the OFPSpark®
271 fusion protein. Here, we detected mutant *ADNP* mRNA in the QFPSpark® condition only ($p < 0.001$; **), with

272 no amplification in the untransfected control and wild-type condition (**figure 2A**). Taken together, these results
273 demonstrate the presence of wild-type and mutant *ADNP* mRNA in our cellular system, paralleling our
274 transcriptome sequencing experiment of the ADNP patient.

275 To exclude the presence of a potential partial *ADNP* transcript from the mutated allele, we investigated
276 alternative translation initiation of the mutant *ADNP* transcript. Recently, six alternative out-of-frame initiation
277 codons were predicted in the *ADNP* gene with an alternative ATG start site at position 229 (M229)¹³. An
278 alternative start at methionine on position 229 would for instance result in an N-terminal truncated ADNP
279 protein¹⁴. At the protein level, we tested whether wild-type and mutant Δ EX4 ADNP were translated using
280 extensively validated C-terminal and N-terminal ADNP antibodies¹². While we were able to detect exogenous
281 wild-type ADNP levels (175 kDa) in wild-type overexpression lysates together with endogenous ADNP levels
282 (150 kDa) in all tested conditions, N-terminal abbreviated ADNP protein with a predicted molecular weight of
283 123 kDa could not be detected in mutant overexpression lysates using a validated C-terminal antibody (**figure**
284 **2B**). As an additional control experiment, we showed that N-terminal antibody incubation resulted in the
285 detection of endogenous (150 kDa) and exogenous wild-type ADNP (175 kDa) exclusively (**figure 2C**). In
286 conclusion, these findings confirm the absence of an N-terminal truncated protein, indicating haploinsufficiency
287 as the molecular mechanism underlying the ADNP splice-acceptor site mutation.

288

289 **The splice-acceptor site mutation in the *ADNP* gene results in a hypomethylation epismutation.**

290 Intragenic *ADNP* mutations result in genome-wide methylation differences with mutations at the N-
291 terminus and C-terminus of the ADNP protein showing hypomethylation (Class I mutation), whereas mutations
292 affecting the nuclear localization signal, in the middle part of the protein, entail rather hypermethylation (Class
293 II mutation)^{2,4}. Therefore, we conducted a genome-wide CpG methylation analysis to investigate the
294 consequences of the intronic splice-acceptor site mutation.

295 Here, we showed a significant enrichment of 6,299 differentially methylated probes overcoming a 10%
296 methylation difference in the patient opposed to her parents. Specifically, we found 4,122 CpG probes with
297 hypomethylation ($\Delta\beta < -0.1$), while only 2,177 CpG probes were hypermethylated ($\Delta\beta > 0.1$). In addition, the
298 hypomethylated probes were found to be associated with 1,196 genes, whereas the hypermethylated probes
299 were annotated with only 463 genes. Taken together, the patient shows a predominant hypomethylation
300 signature, suggesting an epismutation Class I mutation^{2,2} (**figure 3A**). The predicted methylation signature could

301 be confirmed with PhenoScore, which classified our splice-site mutation case as a Class I *ADNP* mutation (score:
302 0.1) (**supplementary data S2**). For confirmation of specific CpG methylation, we focused on genes related to
303 nervous system development and selected the hypermethylated genes *CNTN1* and *GPX4* together with the
304 hypomethylated genes *YY1* and *MAPT* for pyrosequencing. Here, we could confirm a higher percentage of CpG
305 methylation in the patient for *CNTN1* (13%) and *GPX4* (13.5%) compared to the unaffected parents.
306 Respectively, we could also demonstrate a lower percentage of CpG methylation in the patient for *YY1* (11%)
307 and *MAPT* (25.5%) (**figure 3B**).

308

309 **The pathways affected in the patient are characteristic of the Helsmoortel – Van der Aa syndrome.**

310 Using our methylome data, we first focused on differentially methylated gene-associated pathways
311 using MetaScape, showing involvement of cell adhesion, brain development, actin filament-based processes,
312 embryonic development, locomotion, behavior, membrane potential, leukocyte differentiation, and muscle
313 structure development (**figure 4A**). Moreover, we also examined enriched transcription factors using the
314 TRUSST database, focusing on co-expressed nodules of differentially methylated CpG, revealing eighteen
315 enriched transcription factors including *SIRT1*, *KAT2B*, *KLF2*, *SP1*, *HDAC4*, *SRF*, *NR2F2*, and *SOX9*, either
316 involved in nervous system development, differentiation, apoptosis, hematopoiesis, chromatin remodeling,
317 immune cell differentiation, or congenital heart defects (**figure 4B**).

318 Next, we applied differential expression analysis on our transcriptome data, where 12,360 genes
319 appeared in peripheral blood of the *ADNP* patient compared to her unaffected parents using the NOISeq
320 package. In line with the observation of an excess of hypomethylated CpG probes, we observed most of the
321 expressed genes to be upregulated. Using a significance cut-off equivalent to $FDR = < 0.05$, $padj\text{-value} < 0.05$ and
322 a biologically meaningful effect size ($\log_2FC > 0.5$), we found 540 downregulated and 832 upregulated genes
323 with differential expression (**figure 4C**). Gene expression alterations in the *ADNP* patient were modest with the
324 majority of genes presenting with an absolute \log_2FC value < 2 . Gene-ontology (GO) enrichment revealed an
325 upregulation of transcription and translation-related processes as well as mitochondrial-related pathways,
326 whereas cell proliferation, cytoskeleton protein binding, and myosin binding were downregulated (**figure 4D**).
327 We confirmed a selected set of genes with RT-PCR, confirming upregulation of the developmental factor *PAX5*
328 ($p < 0.0001$; ****), BAF complex members *BCL11A* ($p < 0.0001$; ****) and *SMARCB1* ($p = 0.03$; *). In addition,
329 we also confirmed downregulation of WNT signaling member *WNT7A* ($p < 0.0001$; ****), cytoskeleton-

330 associated gene *TUBB1* ($p < 0.0001$; ***), together with lineage-specifying transcription factor *GATA2* ($p = 0.03$;
331 *) (figure 4E). Using a combined methylome-transcriptome analysis, we unraveled pathways and disease-
332 associated genes that are characteristic of the Helsmoortel – Van der Aa syndrome.

333

334 DISCUSSION

335 In this study, we report a splice-site acceptor mutation in the *ADNP* gene leading to haploinsufficiency in a
336 patient with the clinical hallmarks of the Helsmoortel – Van der Aa syndrome. In contrast to earlier reports, the
337 patient has a rational and unequivocal diagnosis as demonstrated by whole genome sequencing, the
338 PhenoScore algorithm, the methylation epismutation and the downstream disease-related pathways analysis.
339 The clinical presentation of earlier reports of a complete gene deletion or an intragenic inversion leading to
340 allele-specific *ADNP* silencing have been suggestive, but an unambiguous diagnosis had not been unequivocally
341 demonstrated^{13,21}. Interestingly, our splice site mutation has a class I epismutation, a pattern that is otherwise
342 associated with mutations in the N- and C-terminal regions of the *ADNP* protein. As N-terminal *ADNP* mutant
343 proteins are degraded shortly after synthesis at least in a cellular overexpression models, haploinsufficiency is
344 likely the disease mechanism for this specific patient group⁵. Patients with these N-terminal mutations have a
345 distinct hypomethylation epismutation, reminiscent of the methylation signature observed in the patient
346 reported in this study¹. Such an epismutation is correlated with an overall milder clinical presentation as opposed
347 to mutations in the central region of the protein that result in a class II epismutation¹⁻⁴. While the patient is most
348 likely haploinsufficient, it should be stressed here that it cannot be excluded that other or even additional
349 disease mechanisms play a role in a subset of Helsmoortel Van der Aa patients. Nevertheless, this is a first
350 reasonable demonstration of haploinsufficiency as a proven cause of Helsmoortel - Van der Aa syndrome.

351 Our case is also an elegant illustration of the added value of novel diagnostic tools over WES, including
352 WGS, EpiSign and facial recognition imaging. In retrospect, each of these latter techniques was able to point to
353 the correct diagnosis. However, the initial analysis of the WGS data failed to immediately detect the
354 abnormality, presumably because it was intronic. The epismutation inspired a reanalysis of the WGS data with a
355 special emphasis on the *ADNP* gene, leading to the detection of the three base pair deletion in the splice
356 acceptor-site with subsequent PhenoScore analysis supporting the molecular diagnosis using facial images of
357 the patient.

358 However, in order to make a definitive diagnosis, the presence of any form of *ADNP* generated from the
359 mutated allele needed to be excluded. RNA sequencing identified exon 4 skipping as the mutational event. As
360 a previous report postulated an alternative ATG start codons with significant Kozak strength in the *ADNP* gene
361 with Met229 to initiate translation of a shorter *ADNP* isoform¹³. We investigated protein levels by deletion of
362 this exon in a recombinant expression vector as a model for the human condition. Here, we were able to detect
363 mutant mRNA, as observed in the patient, with failure to initiate in-frame translation, suggesting
364 haploinsufficiency as the mutational mechanism in this patient. We consider the synthesis of a potential N-
365 terminal mutant protein in physiological conditions extremely unlikely, as we were unable to detect any of such
366 potential isoforms in our overexpression system.

367 We also identified affected cellular pathways that are typified for the Helsmoortel-Van der Aa syndrome.
368 For example, we found genes involved in development, the cytoskeleton, and myosin binding to be
369 dysregulated in the toddler at the methylome and transcriptome level. *ADNP* was originally discovered to be
370 essential for brain development¹ and is also implicated in regulation of genes crucial for lineage specification⁴.
371 On top, *ADNP* fulfills a nuclear function as a chromatin remodeler⁵, but has also been reported to interact with
372 the microtubule end binding proteins, linking the protein to the cytoskeleton²⁴. The patient in this study also
373 presented with severe motor delays with our molecular data showing abnormal myosin functioning.
374 Importantly, myosin light chain (*Myl2*) dysregulation was reported in the haploinsufficient *Adnp* mouse model,
375 showing motor dysfunctions²⁵. Of note, RNA sequencing did not show a reduced expression in *ADNP* mRNA
376 levels, although we provide evidence for presence of mutant mRNA in the patient⁷.

377 In conclusion, we discovered a novel splice acceptor site mutation in the *ADNP* gene, with
378 transcriptome data showing skipping of the first coding exon. As no truncated protein could be detected,
379 postulate haploinsufficiency as the mutational event in this young 5-year old girl.

380

381 **DATA AVAILABILITY**

382 The datasets generated during this study are available from the corresponding author upon argued request.

383

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385 The authors would like to thank the family for participating in this study.

386

387 **AUTHOR CONTRIBUTIONS**

388 C.P.D. performed the experiments, conceptualized the experimental design, performed data analysis, wrote the manuscript
389 text, and prepared all the figures. D.J.A. provided the bioinformatic analysis of the methylation array. E.E. conducted all the
390 gene expression assays using RT-PCR under supervision of C.D., J.J.V.D.S and M.A. performed the WES, WGS, and EpiSign
391 at early observations of the patient, A.J.M.D. and B.B.A.D.V. provided analysis of the ADNP female using PhenoScore. L.M.
392 provided the bioinformatic analysis of the RNA sequencing. W.V.B. and R.F.K. reviewed and edited the manuscript.. All
393 authors reviewed and approved the final version of manuscript.

394

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399 Cooperation in Science and Technology) ascribed to Wim Vanden Berghe.

400

401 **ETHICAL APPROVAL STATEMENT**

402 Written informed consent for investigation of the ADNP mutation in the context of the Helsmoortel-Van der Aa syndrome
403 was obtained from the parents for clinical testing, research use and publication. The protocol was approved by the Ethics
404 Committee of the Antwerp University Hospital, Antwerp, Belgium.

405

406 **COMPETING INTERESTS**

407 The authors declare no competing interests.

408

409 **FIGURES**

410 **Figure 1. Loss-of-function of ADNP due to an exon skipping event.** Sashimi-plot of complete transcriptome sequencing
411 demonstrating ADNP spliced-junctions in the blood of the patient and unaffected parents. The histograms represent read
412 coverage of the exons and arcs indicate the number of junction-spanning reads supporting the exons junction. A
413 physiological junction was absent between exon 4 and exon 5 in the patient, but not in the parents. Nine of the *ADNP*
414 transcription variants are summarized (Ensembl).

415

416 **Figure 2. The exon 4 skipping event causes ADNP haploinsufficiency.** (A) Detection of recombinant wild-type
417 (GFPSpark®) and Δ EX4 mutant (OFPSpark®) ADNP mRNA levels in HEK293T overexpression lysates. Expression values

418 were normalized using the housekeeping genes *B2M*, *GAPDH*, and *YHWAZ*. Data was subsequently analyzed using a 2way
419 ANOVA with Šídák's multiple comparisons test and represented as mean with SD. **(B)** ADNP protein expression analysis
420 using a C-terminal antibody. Western blotting showed presence of endogenous wild-type ADNP (150 kDa) in each condition,
421 together with recombinant ADNP-GFPSpark® wild-type (175 kDa). However, the predicted N-terminal abbreviated protein
422 as a consequence of the exon skipping event could be visualized (123 kDa). **(C)** ADNP protein expression analysis using a N-
423 terminal antibody. Western blotting showed presence of endogenous wild-type ADNP (150 kDa) and recombinant ADNP-
424 GFPSpark® wild-type (175 kDa). The N-terminal antibody was included as a negative control. GAPDH was used as a loading
425 control in all western blotting experiments.

426

427 **Figure 3. The ADNP splice-acceptor site mutation affects genome-wide methylation.** **(A)** Genomic scatterplot of
428 genome-wide CpG methylation differences across. The biological impact of methylation is defined by β -coefficient, i.e., CpG
429 methylation of the ADNP toddler – the mean CpG methylation of the parents, with hypomethylation defined as $\Delta\beta < -0.1$,
430 respectively hypermethylation by $\Delta\beta > 0.1$. **(B)** Pyrosequencing confirmation of differentially methylated gene-associated
431 CpGs.

432

433 **Figure 4. Methylome-transcriptome analysis indicates pathways characteristic for the Helsmoortel-Van der Aa**
434 **syndrome.** **(A)** Metascape pathway analysis showing enriched biological processes and pathways correlated with the
435 differentially methylated CpG sites. The significance was indicated by the $-\log_{10}(p\text{-value})$ values at the y-axis. **(B)** TRUSST
436 analysis for enriched transcription factors, associated with co-expressed nodules of differentially methylated genes,
437 revealing twelve enriched transcription factors. **(C)** Volcano plot of DEGs in the patient using the NOIseq package, displaying
438 the significance ($-\log_{10}q$) and effect size (\log_2FC). The DEG are shown in blue. **(D)** Functional gene set enrichment of GO
439 and BP for differentially expressed genes. Downregulation, dark blue; upregulation, yellow. **(E)** RT-PCR confirmations of
440 differentially expressed genes levels in patient opposed to her unaffected parents. Expression values were normalized using
441 the housekeeping genes *B2M*, *GAPDH*, and *RPL13A*. Data was subsequently analyzed using a two-way ANOVA with Šídák's
442 multiple comparisons test and represented as mean with SD.

443

444 **Supplementary data S1. Identification of a heterozygous *de novo* intronic mutation upstream of the ATG initiation**
445 **codon in the ADNP gene.** **(A)** Facial photograph of the five-year-old female ADNP patient. **(B)** Schematic representation of
446 the clinical presentation of the patient, indicated by autism-associated characteristics, intellectual delay, and motor delays
447 together with obsessive behavior. **(C)** PhenoScoring of the patient using the facial image, the phenotypic data and, the
448 PhenoScore, which combines both. Heatmaps are generated using LIME to see which facial areas are most important
449 according to our model. To support a Helsmoortel-Van der Aa syndrome diagnosis, a HPO score of 0.1 indicates similarities

450 to the control population, whereas a score closer to 1.0 points towards an ADNP diagnosis. To stratify methylation groups,
451 a HPO score of 0.1 is rather associated with a hypomethylation signature (Class I mutation), whereas a score closer to 1.0
452 points towards a hypermethylation signature (Class II mutation). (D) Sanger sequencing electrogram confirming the
453 heterozygous *de novo* mutation c.[-5-1_-4del];[=] p.[?];[=] in the *ADNP* gene in the toddler.

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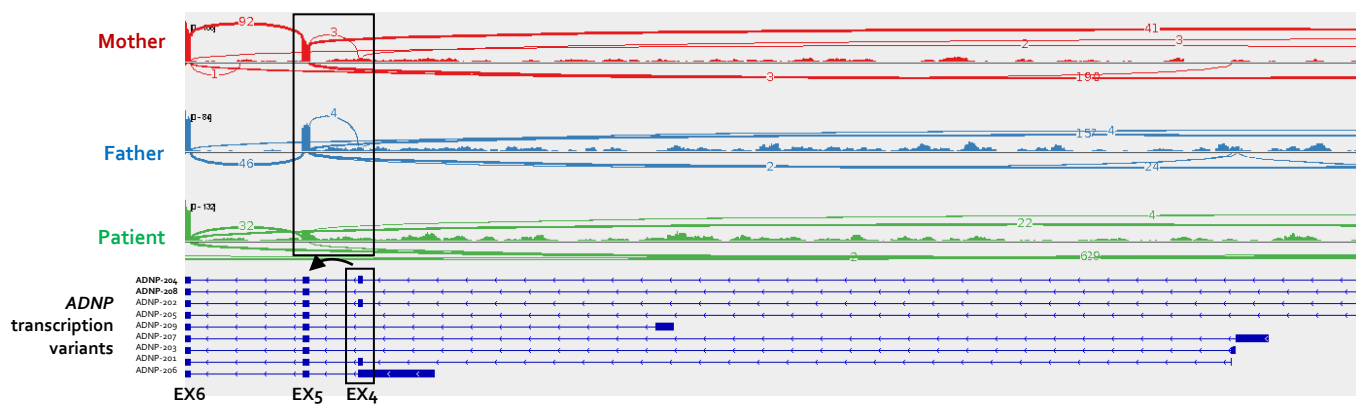
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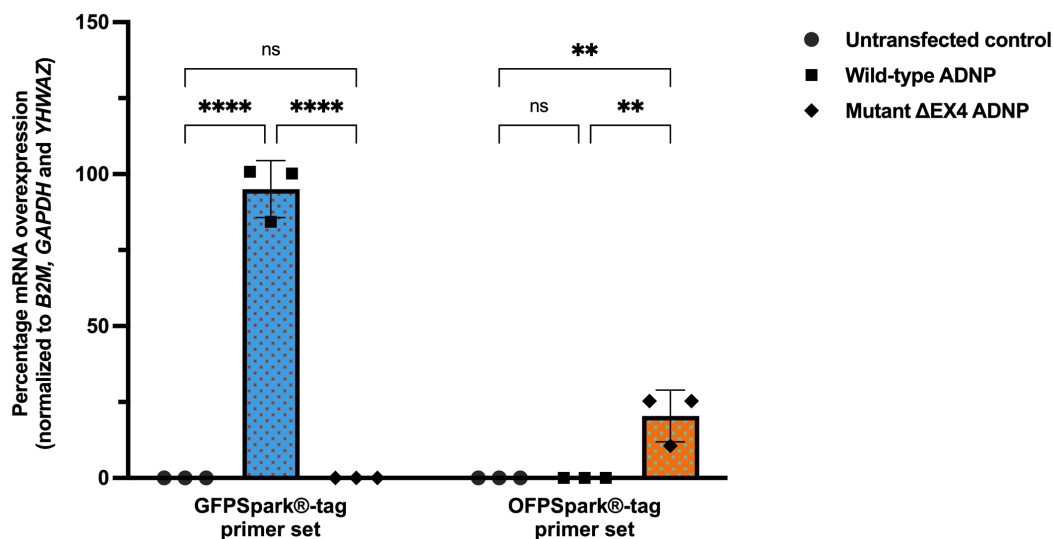
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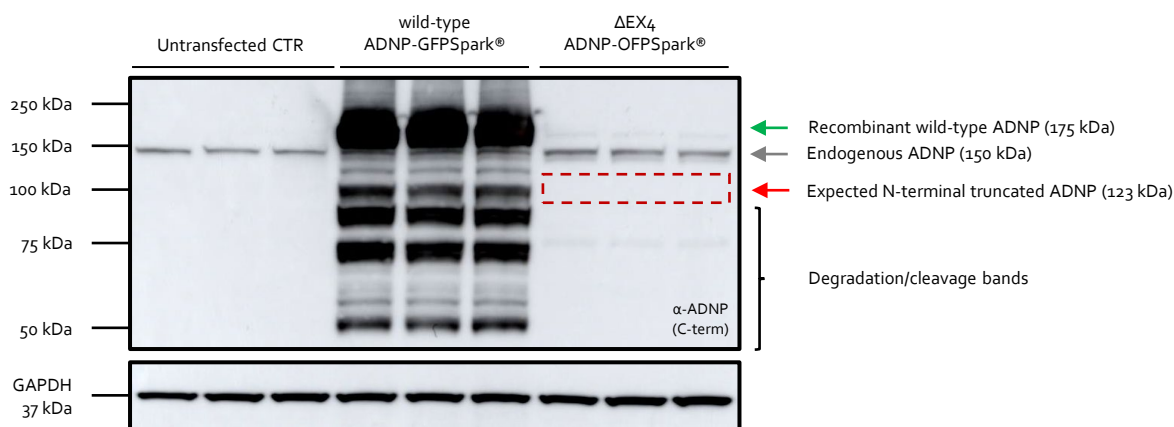
Sashimi-plot of mRNA sequencing data showing an exon skipping event



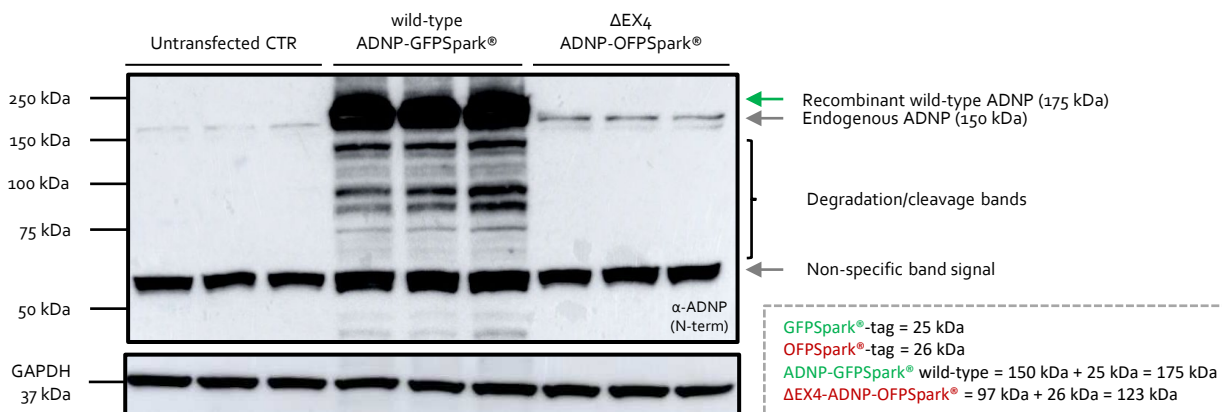
A RT-PCR using expression vector-specific primer sets



B Immunoblotting using a C-terminal ADNP antibody

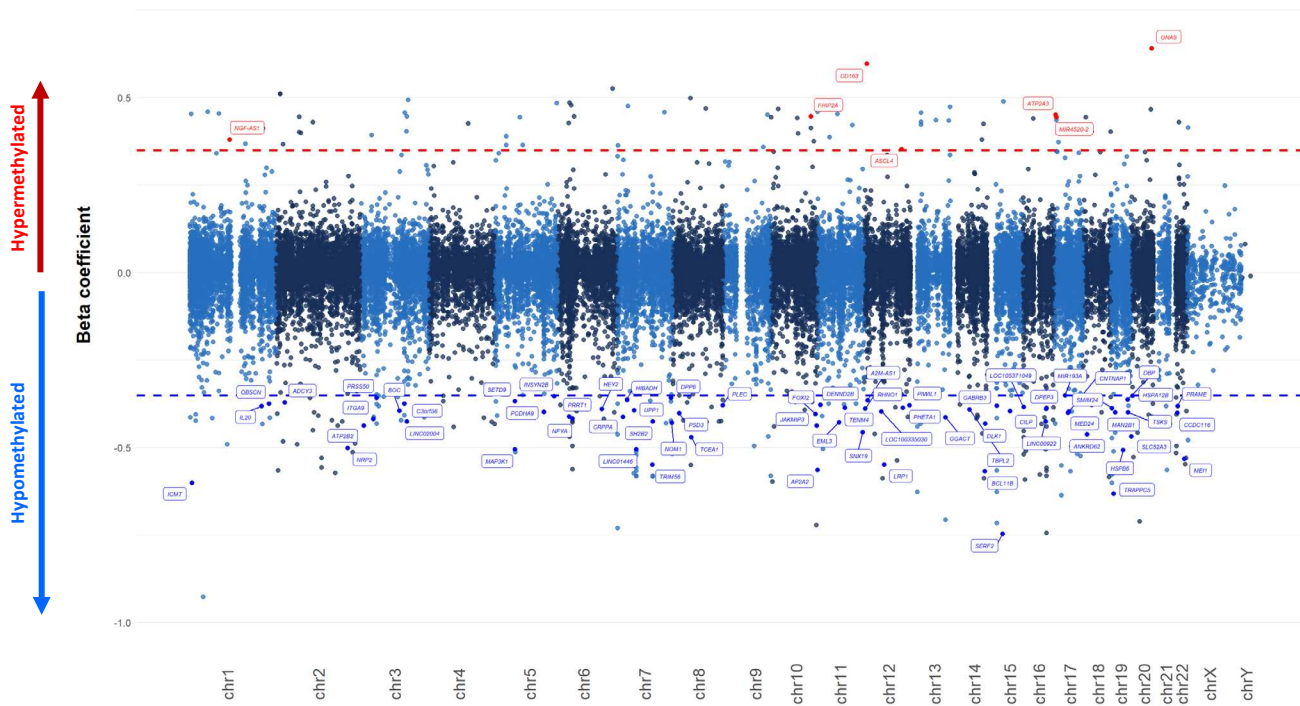


C Immunoblotting using an N-terminal ADNP antibody



A

Differentially methylated genes in peripheral blood of the ADNP toddler



B

Pyrosequencing confirmation of differentially methylated CpGs

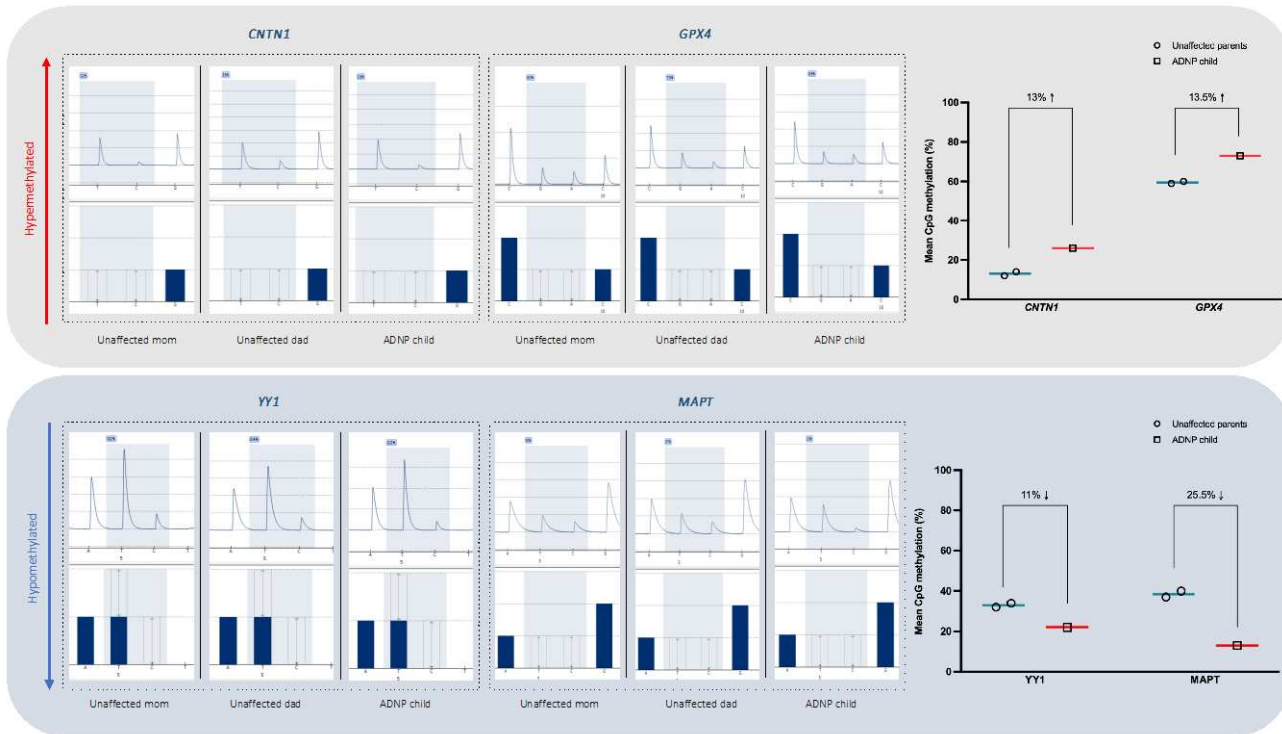
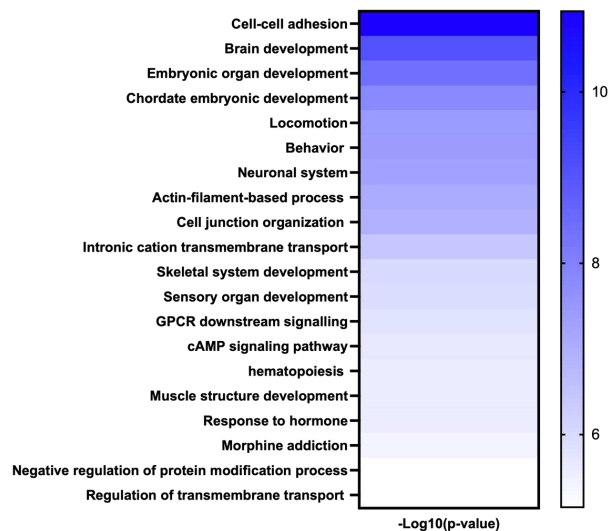
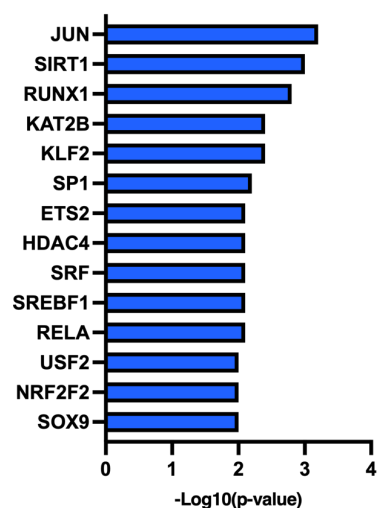


Figure 3

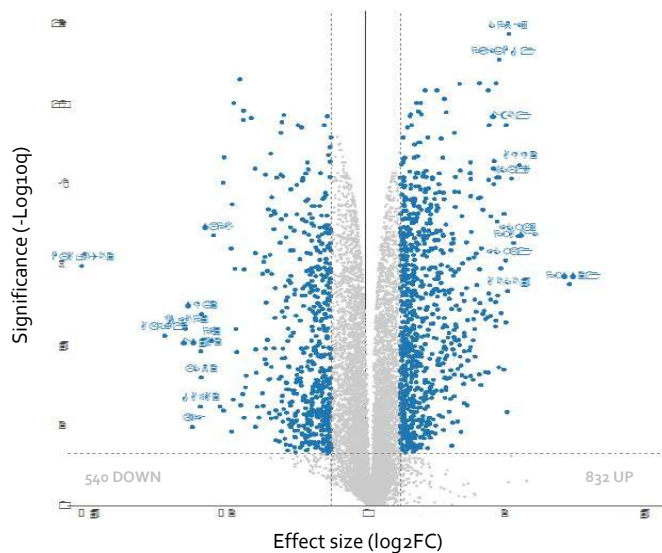
A Enriched pathways of differentially methylated genes



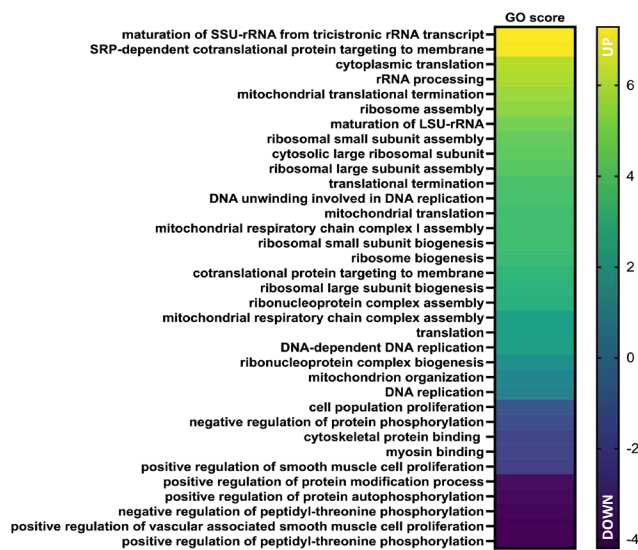
B Transcription factor motif enrichment



C RNA sequencing DEG analysis (NOIseq)



D Functional Gene Set Enrichment (GO terms)



E RT-PCR confirmations

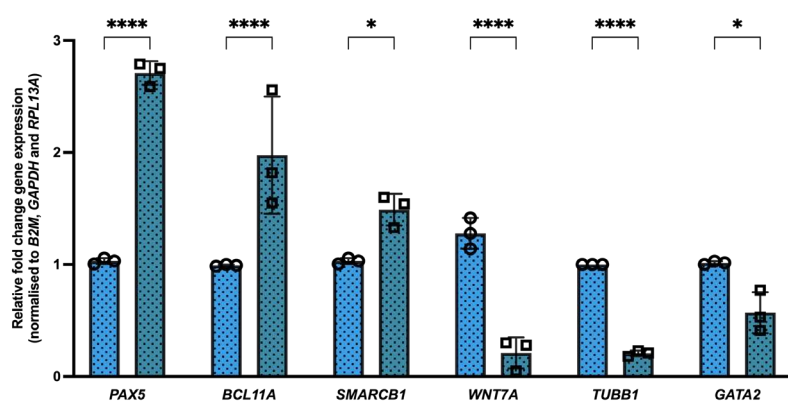
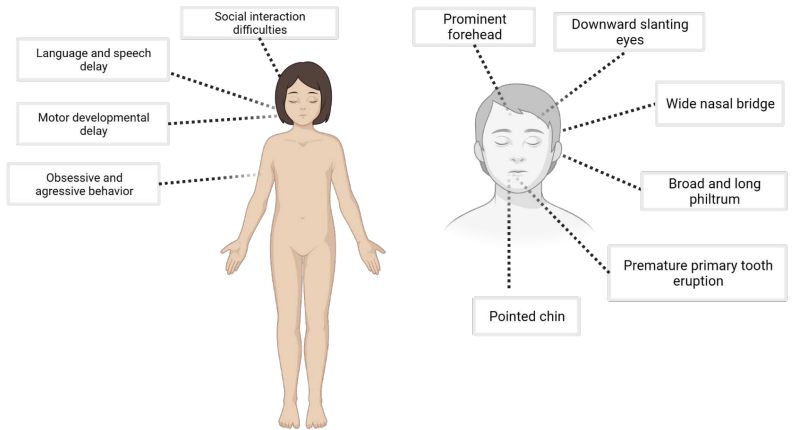


Figure 4

A Facial photograph of the patient



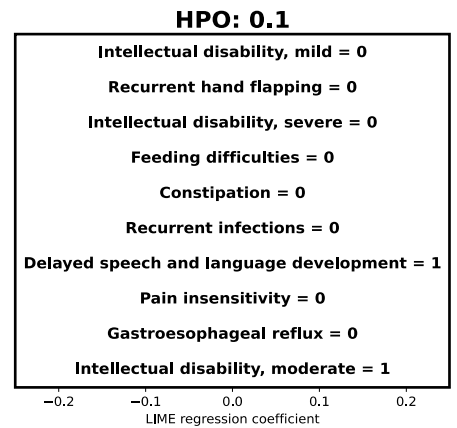
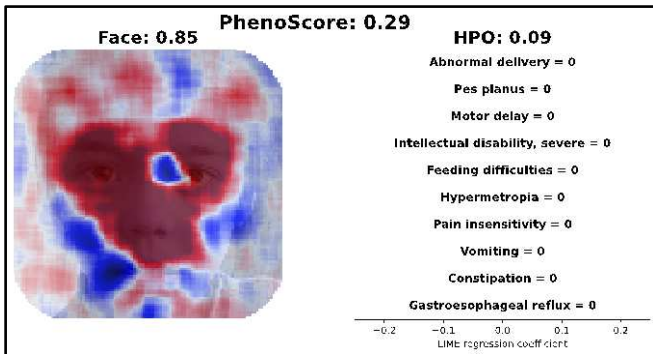
B Clinical manifestation



C Genotype-phenotype correlation using PhenoScore

Diagnosis prediction based of facial recognition and HPO terms

Methylation signature prediction based on HPO terms



D Heterozygous *de novo* deletion c.[-5-1_-4del];[=] in the *ADNP* gene

Deletion of a trinucleotide

