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Examining the efficacy of promising antioxidants to mitigate asphalt binder oxidation : insights from a worldwide interlaboratory investigation

**Reference:**

Adwani Dheeraj, Pipintakos Georgios, Mirwald Johannes, Wang Yudi, Hajj Ramez, Guo Meng, Liang Meichen, Jing Ruxin, Varveri Aikaterini, Zhang Yuan, ....-  
Examining the efficacy of promising antioxidants to mitigate asphalt binder oxidation : insights from a worldwide interlaboratory investigation  
International journal of pavement engineering - ISSN 1477-268X - 25:1(2024), 2332363  
Full text (Publisher's DOI): <https://doi.org/10.1080/10298436.2024.2332363>  
To cite this reference: <https://hdl.handle.net/10067/2052920151162165141>



**Examining the Efficacy of Promising Antioxidants to Mitigate Asphalt Binder Oxidation: Insights from a Worldwide Interlaboratory Investigation**

Journal:	<i>International Journal of Pavement Engineering</i>
Manuscript ID	GPAV-2023-0537.R2
Manuscript Type:	Research Article
Keywords:	asphalt oxidation, binder aging, antioxidant additives, binder rheology, binder chemistry

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# 1 **Examining the Efficacy of Promising Antioxidants to Mitigate Asphalt Binder**

## 2 **Oxidation: Insights from a Worldwide Interlaboratory Investigation**

3 Oxidative aging induces significant stiffening of asphalt binders that leads to a pronounced  
4 reduction in the overall durability of asphalt pavements. The strategic implementation of  
5 antioxidant additives provides a potential solution to alleviate this issue. Antioxidants can  
6 theoretically reduce oxidative aging rate and extend the operational lifespan of pavements.  
7 This work presents results from the second phase of the global consortium for antioxidants  
8 research aimed at investigating the effectiveness of potential antioxidants in increasing the  
9 durability of asphalt binders. Sixteen laboratories around the world participated in this effort  
10 and a total of 28 binders from diverse geographical regions were tested. Two promising  
11 antioxidants, namely zinc diethyldithiocarbamate (ZDC) and kraft lignin were evaluated in  
12 this phase and blended with the binders at specific proportions. Subsequently, a  
13 comprehensive investigation was conducted to assess rheological characteristics and  
14 chemical properties of the various blends, utilizing Dynamic Shear Rheometer (DSR)  
15 measurements and Fourier Transform Infrared (FTIR) Spectroscopy. The findings indicate  
16 that additives such as ZDC hold considerable promise as an effective antioxidant,  
17 particularly when considering a wide diversity of binders. In general, its incorporation does  
18 not compromise the rutting performance of the binders and significantly improves fatigue  
19 performance. Therefore, research efforts should be focused on exploring additional facets to  
20 assess its practical applicability in field. On the contrary, kraft lignin demonstrated more  
21 varied performance outcomes, with results being promising but generally not as effective as  
22 ZDC. This highlights the necessity for further investigation to comprehensively grasp the  
23 intricate nature of interaction of antioxidants with binders.

24 **Keywords:** asphalt oxidation; binder aging; antioxidant additives; binder rheology; binder  
25 chemistry

## 1 Introduction

Asphalt binder is the binding material that holds aggregates together and provides strength and durability to asphalt concrete mixtures. However, over time, asphalt binder undergoes aging and oxidative degradation due to exposure to environmental oxygen. This degradation significantly compromises the mechanical properties of asphalt concrete, leading to various pavement distresses such as cracking, raveling, and loss of flexibility (Petersen, 2009). As a result, the lifespans of pavements are reduced, necessitating frequent repairs and maintenance, which not only incur substantial costs but also contribute to environmental concerns through increased energy consumption and greenhouse gas emissions (Tauste et al., 2018). To address this challenge, researchers and industry professionals have turned their attention to the utilization of antioxidants in asphalt pavements (B. D. Beitchman, 1960; Herrington, 1995). Antioxidants are chemical additives that in theory mitigate or decrease the rate of oxidation by inhibiting the oxidation process in binders. Successful deployment of antioxidants in pavement applications can have significant effects as it would be possible to prolong service life of pavements, enhance its resistance to aging related damage, and ultimately ensure the sustainability and longevity of infrastructure (Camargo et al., 2021).

The use of antioxidants in asphalt binder dates back several decades with earliest reported studies from the late 1960s (B. Beitchman, 1960). The addition of antioxidants to binders as modifiers serves to regulate oxidation by capturing or eliminating free radicals and associated species, which are responsible for initiating and spreading the oxidation process (Dessouky et al., 2015). Additionally, certain antioxidants also interact with polar compounds and oxidation catalysts, including metals found in asphalt, to further enhance their protective effects (Sirin et al., 2018). When considering the large number of antioxidant-related studies in the literature over the past several decades, the reported results have been mostly empirical in nature with no clear explanations of the contradictory and inconsistent results presented. For instance, there has been significant research conducted on the effectiveness of organic phenylamines and zinc dithiocarbonates as antioxidants (Januszke, 1971; Wurstner et al., 1960). However, its extent of effectiveness and efficacy to be used in practical applications is still not known. Similarly, investigations have also explored the antioxidant capabilities of additional additives such as lime, lignin, and various synthetic polymers without any real tangible outcomes (Chachas et al., 1971). Critically, the correlation between the binder chemistry and observed rheology of antioxidant-

1  
2  
3 1 modified binders remains poorly elucidated. When examining a diverse range of binders, it  
4  
5 2 remains uncertain whether the observed antioxidant effect from a chemical standpoint after aging  
6  
7 3 can be linked to alterations in rheological properties (Apeageyi, 2011; Santucci et al., 1981).  
8  
9 4 Gaining insight into these intricate relationships will facilitate the comprehension of underlying  
10  
11 5 mechanisms and pave the way for practical engineering applications.

12 6 Overall, it can be summarized that despite the abundance of numerous peer-reviewed  
13  
14 7 research works on the subject, the understanding of the effectiveness and broad applicability of  
15  
16 8 antioxidants remains limited in the asphalt research community, especially when considering the  
17  
18 9 variations in geographical and chemical characteristics of different binders. In recent times, a  
19  
20 10 global consortium was started with over fifteen different laboratories around the world with the  
21  
22 11 aim of building upon the prior research on antioxidants and aging, and to substantially improve  
23  
24 12 the understanding of the science and ultimately employ this understanding to validate the  
25  
26 13 possibility for practice-level applications. The first phase (Phase -1) of the consortium tested seven  
27  
28 14 different binders from five participating laboratories and evaluated their efficacy with four  
29  
30 15 promising antioxidants (Adwani et al., 2023). Following the results from the previous study, the  
31  
32 16 second phase of the consortium with sixteen consortium members continued this effort. This  
33  
34 17 present work documents the results and findings from the second phase of this unique and large-  
35  
36 18 scale global collaborative effort among universities from around the world.

### 36 20 ***Insights from previous study (Phase-1)***

37  
38 21 In Phase-1 of the consortium, the effectiveness of four promising antioxidant additives, namely  
39  
40 22 kraft lignin, calcium hydroxide, zinc diethyldithiocarbamate (ZDC) and phenothiazine was  
41  
42 23 evaluated using seven different binders from various geographical regions: Texas (USA), Vienna  
43  
44 24 (Austria), Illinois (USA), Antwerp (Belgium), and Delft (Netherlands), blended at two additive  
45  
46 25 proportions (3% and 5%). The study evaluated performance using Fourier Transform Infrared  
47  
48 26 (FTIR) Spectroscopy and Dynamic Shear Rheometer (DSR) to identify and correlate relevant  
49  
50 27 tendencies in oxidative behavior and rheological properties. The investigation revealed that the  
51  
52 28 majority of antioxidants employed exhibited a certain degree of effectiveness in reducing the  
53  
54 29 formation of carbonyls functional groups, as assessed through FTIR analysis. However, this  
55  
56 30 correlation did not hold when considering indicators of binder stiffening and rheology. This  
57  
58 31 disparity was attributed to the presence of multiple mechanisms that are specific to the additive-

1 binder combination and their compatibility, which potentially influence the overall rheological  
2 behavior. Among the antioxidants examined, ZDC and kraft lignin demonstrated generally  
3 promising outcomes when considering the diversity of binders tested and hence were considered  
4 for further analysis in Phase 2 with sixteen participating laboratories. ZDC is expected to work as  
5 a peroxide decomposer, i.e., reacting with peroxide, an important precursor in binder oxidation  
6 (James, 2002; Sreeram et al., 2022). Kraft lignin on another hand has a chemical structure that  
7 makes it likely effective as a free radical scavenger (de Lima Neto et al., 2023). Free radicals are  
8 formed during the breaking of carbon-carbon bonds within the molecular structure of asphalt  
9 binder during oxidation and these free radicals react with oxygen to form oxidative bonds  
10 (Petersen, 2009).

## 11

### 12 **Scope**

13 This work presents results from Phase-2 of a global collaborative effort conducted to examine the  
14 efficacy of promising antioxidants to mitigate the oxidation of asphalt binders. In total, 28 binders  
15 were tested from various geographical regions. These binders were blended with two antioxidants,  
16 namely zinc diethyldithiocarbamate (ZDC) and kraft lignin at two specific proportions.  
17 Subsequently, a thorough investigation was undertaken to evaluate the chemical composition and  
18 rheological attributes of the different blends utilizing techniques such as Fourier Transform  
19 Infrared (FTIR) Spectroscopy and Dynamic Shear Rheometer (DSR) measurements. The main  
20 objective of the analysis included identifying and establishing significant trends relating  
21 rheological properties and oxidative behavior of antioxidant modified binders, in order to facilitate  
22 its widespread use in the future. Overall, this research is expected to offer valuable perspectives  
23 on the efficacy of antioxidants in relation to a wide range of geographically diverse binders. The  
24 outcomes presented are also anticipated to serve as a significant resource for informing the  
25 selection of promising antioxidants and offer insights into the direction for further exploration in  
26 the subject for the research community.

### 27

### 28 **Materials And Procedures**

29 This phase involved the use of 28 different binders from North America, South America, Europe,  
30 and Asia; the source locations are shown in the map in Figure 1. [The high PG grade of these binders  
31 varied from 58°C to 76°C and Table 1 lists the binders along with the nomenclature used to denote](#)

1 these binders in this work. Two antioxidants, namely zinc diethyldithiocarbamate (ZDC) and kraft  
2 lignin (called lignin in this study) were used as the modifiers for the various binders. Table 2  
3 illustrates the details of these antioxidants with the nomenclature used. The antioxidants additives  
4 used were standardized to ensure the uniformity of the additives used by any participating  
5 laboratory. In other words, the only material that varied from one laboratory to another was the  
6 base binder. Figure 2 shows the chemical structure and physical appearance of the additives.  
7 Furthermore, for such a large dataset, a well-defined nomenclature of binders was set up as listed  
8 in Table 1 and Table 2. For example, UT1\_3%L refers to UT1 binder from the University of Texas  
9 at Austin blended with 3% kraft lignin (base binders are denoted by code B after the underscore).

### 11 *Blending and laboratory aging of binders*

12 The antioxidants were blended with the base binders in the respective laboratories at two different  
13 proportions, 3% and 5% by weight of the binder. Different blending temperatures were adopted  
14 for the two additives based on their melting points. For lignin, blending was conducted at 165°C  
15 and 600 rpm for a period of 60 minutes. ZDC was blended at 190°C for 20 minutes followed by  
16 165°C for 40 minutes at constant rotation of 600 rpm. The volume of binders used for blending  
17 was around 500 mL for all the blends. It is acknowledged and understood that the use of the two  
18 modification percentages and same blending conditions for each binder may not be sufficient to  
19 fully illustrate the effectiveness of the antioxidants with respect to each individual binder.  
20 However, these percentages were chosen based on results from Phase-1 as well as practical  
21 considerations. The binders were short-term aged at 163°C for 85 minutes using the rolling thin-  
22 film oven (RTFO) in accordance with ASTM D2872. The binders were then subsequently long-  
23 term aged for 20 hours at 2.1 MPa pressure and 100°C using the pressure aging vessel (PAV) in  
24 accordance with ASTM D652.

### 26 **Experimental Methods**

27 Firstly, it is important to emphasize that this was a voluntary collaborative effort across multiple  
28 laboratories around the world. Consequently, only the most foundational methods and metrics  
29 were used to evaluate the influence of aging at this stage of this study. The experimental program  
30 included rheological and chemical investigations and the experiments were conducted in each  
31 participating laboratory with their respective equipment. It is acknowledged that different

1 equipment manufacturers were used by the various laboratories when considering the suite of tests.  
2 Nevertheless, it was ensured that exactly the same testing procedures were followed in all the  
3 laboratories using standardized protocols. Further, in order to minimize bias between laboratories  
4 in relation to FTIR tests, all results were analyzed by normalizing the results using the spectral  
5 base lines measured in respective laboratories. [Additional details regarding the methods are](#)  
6 [provided in the respective sections of the paper.](#) Lastly, for both DSR and FTIR evaluations, at  
7 [least two replicates were tested, and the results presented are the average values.](#)

## 8 ***Rheological evaluations***

### 9 *DSR performance grading*

10 The high and intermediate temperature evaluation of the binders were conducted following  
11 Superpave performance grading methods using the DSR in accordance with ASTM D7175 and  
12 AASHTO T315. The high temperature analysis was conducted for unaged and short-term aged  
13 binders at temperatures ranging from 58°C to 88°C. The complex modulus ( $G^*$ ) and phase angle  
14 ( $\delta$ ) were measured using oscillation testing at an angular frequency of 10 rad/s and strain amplitude  
15 of 12% for unaged and 10% for short-term aged binders. The high temperature testing was  
16 conducted using a 25 mm diameter and 1 mm gap parallel plate geometry. The intermediate  
17 temperature testing was performed on PAV aged binders at temperatures ranging 28°C to 16°C  
18 using an 8 mm diameter and 2 mm gap parallel plate geometry.  $G^*$  and  $\delta$  were measured from the  
19 oscillation testing at an angular frequency of 10 rad/s and strain amplitude of 1%.

### 20 *Stiffening index based on oscillation testing*

21 Apart from Superpave performance testing, testing was conducted on RTFO aged and PAV aged  
22 binders to establish a rheology based aging index denoted as “stiffening index” in this study. For  
23 calculating this index, the  $G^*$  was obtained from the oscillation testing of binders at a particular  
24 temperature. [The oscillation testing on DSR was conducted using a 25 mm diameter and 1 mm](#)  
25 [gap parallel plate geometry at a strain amplitude of 1%, and an angular frequency of 10 rad/s.](#) The  
26 test was conducted at two temperatures, 30°C and 40°C, selected to reflect normal pavement service  
27 temperatures (Han et al., 2011). Using this, the stiffening index (SI) was defined as the ratio of  
28 complex modulus for the aged state to the unaged or lower aged state (i.e., PAV to RTFO) and  
29 represented in Eq (1).  
30  
31



$$SI = \frac{G_{aged1}^*}{G_{aged2}^*} \quad (1)$$

In the above equation,  $G_{aged1}^*$ , is the complex modulus for higher aged condition, which is PAV aging in this case, and  $G_{aged2}^*$ , is the complex modulus for lower aged condition, i.e., RTFO aged condition.

### **FTIR spectroscopy**

FTIR spectroscopy is one of the widely used methods to assess the aging effects in asphalt binders through the analysis of infrared active functional groups that form upon aging. Although FTIR spectroscopy is a semi-quantitative tool, in the context of this study, it is sufficient to evaluate and compare binders in different aging states (Sreeram et al., 2018b). In the present study, the participating laboratories used the attenuated total reflection (ATR) method for spectroscopy measurements, owing to the practicality of the method for faster evaluations (Mirwald et al., 2022). A testing guideline was prepared based on the previous literature and used by all the laboratories to ensure uniformity in the testing procedure (Mirwald et al., 2022; Sreeram et al., 2018b). The method to calculate the functional group band values was based on a well-known method (Sreeram et al., 2018b). In this method, analysis of the FTIR spectra involved the integration of areas, normalized spectra, and absolute baseline (Mirwald et al., 2022). It is generally accepted that carbonyl band (C=O) defined from  $1666 \text{ cm}^{-1}$  to  $1746 \text{ cm}^{-1}$  and sulfoxide band (S=O) defined from  $944 \text{ cm}^{-1}$  to  $1066 \text{ cm}^{-1}$  are good indicators of oxidation induced aging. Specifically, the C=O band has shown better correlation with respect to long-term aging compared to the S=O band (Sreeram and Leng, 2019). Due to specific antioxidant bands appearing near the S=O region, a sufficient integration and evaluation method has not yet been found. Therefore, the present work used the C=O band area calculated through the baseline integration method. Equation 2 demonstrates C=O band area calculation of each spectrum.

$$A = \int_{w_l, 1666}^{w_h, 1746} A_n(w) dw \quad (2)$$

In the above equation,  $A$  is the area under the band,  $w_h$  is the high wavenumber threshold for the functional group, defined at  $1746 \text{ cm}^{-1}$ ,  $w_l$  is the low wavenumber threshold, defined at  $1666 \text{ cm}^{-1}$ ,  $A_n(w)$  is the normalized absorbance at wavenumber  $w$ . To compare binders and analyze the effects of long-term aging, an aging index ( $AI_{CO}$ ) was defined as the ratio of the carbonyl band area for PAV aged to RTFO aged condition. Equation 3 shows the definition of  $AI_{CO}$ .

$$AI_{CO} = \frac{CO_{aged1}}{CO_{aged2}} \quad (3)$$

In the above equation,  $CO_{aged1}$  is the carbonyl band area for PAV aged binder, and  $CO_{aged2}$  is the band area for RTFO aged binder.

## Results and Discussion

The tests carried out by various laboratories resulted in a substantial volume of data to be analyzed, exhibiting both subtle and significant variations in results. Such discrepancies are to be anticipated considering the inherent diversity arising from the utilization of base binders from different geographical regions. It is important to note that despite using asphalt binders of identical grades, their chemical compositions remain distinct which can lead to varied interactions with the various additives (White et al., 1970). The results analysis presented in the following sections are derived from overarching trends obtained from the data, in accordance with the defined scope and objectives of this phase of the consortium.

### *Stiffness based on high temperature PG (High PG)*

Figure 3 and Figure 4 show the High PG results of the various base binders modified using ZDC (Figure 3 (a) and 4(a)) and Lignin (Figure 3 (b) and 4(b)). As per AASHTO T316, the analysis for high temperature PG involves utilizing the  $G^*/\sin\delta$  parameter, also commonly denoted as “Superpave rutting parameter.” For unaged binders, the true high PG grade is defined as the temperature at which the  $G^*/\sin\delta$  value equals 1 kPa. On the other hand, for RTFO aged binders, the true high PG grade is determined by determining the temperature at which the  $G^*/\sin\delta$  value equals 2.2 kPa. Generally, these are interpolated between measurements taken at typical PG values, which occur every 6°C. The results for the true high PG at the unaged state are shown in Figure 3. To show a generalized effect of binder modification with these additives, the average of true PGs was calculated for the suite of binders and plotted in the figure along with the individual binders’ High PG. In general, the results indicated that at the unaged state, the addition of ZDC had a minimal effect on the rheology of unmodified binder whereas the addition of lignin seemed to have a slight stiffening effect. The average high PG results show an average nominal reduction of 0.3°C for ZDC modified binders compared to the base binder average. Similar results were obtained in a prior study that used this antioxidant and indicates the importance of choosing an optimum

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2  
3 1 dosage to avoid the possibility of excessive deformation or rutting with excessively high dosages  
4  
5 2 (Haghshenas et al., 2021).

6  
7 3 The addition of lignin was seen to increase the average high PG by around 1.8°C compared  
8  
9 4 to the base binders' average. However, this addition was generally not drastic enough to change  
10  
11 5 the nominal PG of the binder. Similar trends were also seen in the Phase-1 study conducted with  
12  
13 6 lesser diversity of binders (Adwani et al., 2023). When considering the use of lignin, it is important  
14  
15 7 to consider the mechanisms which lead to a rise in stiffness when used. Lignin constitutes a class  
16  
17 8 of polyphenolic compounds characterized by a variety of functional groups, including aliphatic  
18  
19 9 groups, diverse phenolic hydroxyl groups, and carboxyl groups. These structural attributes  
20  
21 10 potentially enable the formation of robust intermolecular hydrogen bonds within the lignin matrix,  
22  
23 11 thereby exerting noticeable influence on its intermolecular interactions with binder constituents.  
24  
25 12 These interactions are postulated to decrease the molecular mobility of the binder, thereby  
26  
27 13 increasing its stiffness (Zhang et al., 2021).

28  
29 14 From the perspective of practice, the long-term aging of binders is initiated with the laying  
30  
31 15 of pavement and continues throughout the service life. Therefore, it can be argued that the  
32  
33 16 properties of the binders after RTFO aging are essentially the starting point when studying long-  
34  
35 17 term aging and antiaging characteristics i.e., the effectiveness of antioxidants. When considering  
36  
37 18 the RTFO aged binders, the High PG results were slightly amplified for one modifier. The average  
38  
39 19 High PG of ZDC modified binders showed a reduction of 1.7°C (from 66.8°C to 65.1°C) when  
40  
41 20 compared to the base binder. This indicates that during short-term aging simulation, the rate of the  
42  
43 21 increase of stiffness is likely lower on average than in the base binders. For the lignin modified  
44  
45 22 binders, the results for RTFO aged binders were similar to the unaged condition i.e., an increase  
46  
47 23 of 1.5°C in the average high PG with lignin addition (from 66.8°C to 68.3°C). Short-term aging is  
48  
49 24 characterized by the volatilization of certain components and accelerated aging phenomena  
50  
51 25 occurring concomitantly with the mixing process. Consequently, this process induces a  
52  
53 26 considerable increase in the binder stiffness. The mechanisms of oxidation during short-term aging  
54  
55 27 are significantly different than long-term aging due to the increased temperatures (Kim et al., 2018)  
56  
57 28 and there is inadequate research regarding the efficacy of such antioxidants to limit the extent of  
58  
59 29 short-term aging.

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31

### 1 *Stiffness based on intermediate temperature PG (Intermediate PG)*

2 The primary objective behind incorporating antioxidants in asphalt applications is to enhance the  
3 longevity of pavements by extending their durability and resistance against cracking.  
4 Consequently, it can be contended that the evaluation of the rheological properties after long term  
5 aging (LTA) is the most crucial criterion for assessing the efficacy of antioxidants. In this work,  
6 the stiffness of antioxidant binders was assessed using the  $G^* \cdot \sin \delta$  parameter. Widely known as  
7 the “fatigue parameter” in Superpave specifications, this parameter is typically associated with  
8 resistance to fatigue cracking (Deacon et al., 1997). In rheological terms, lower values of this  
9 parameter correspond to reduced binder stiffness, signifying improved resistance to fatigue-  
10 induced cracking. The parameter is also used to calculate the intermediate PG (termed as  
11 Intermediate PG in this study) for the PAV aged binders tested with a limiting criterion of  $G^* \cdot \sin \delta$   
12 as 5000 kPa. It is important to recognize here that although contemporary literature clearly and  
13 rightfully recognizes that this is not an accurate indicator of fatigue cracking resistance, for the  
14 purposes of this study, this parameter can be used as a qualitative indicator for the extent of  
15 oxidative aging.

16 Figure 5 shows the intermediate PG of the various binders tested in this study. The average  
17 Intermediate PG of the virgin binder was around 22°C whereas the binders modified with ZDC  
18 showed a considerably lower Intermediate PG of close to 19°C, i.e., a change of one intermediate  
19 temperature grade. This indicates that in general these binders will have a lower propensity to  
20 fatigue cracking, and indirectly also signifies the effectiveness of the antioxidant in reducing aging.  
21 However, it should be noted that the higher content of ZDC did not necessarily imply a higher  
22 effectiveness against cracking, at least when considering a global average. For the lignin modified  
23 binders, the results were not as promising as the Intermediate PG averages were slightly higher  
24 than the base binder average (1°C increase in Intermediate PG). Nevertheless, it is important to  
25 point out that in general, lignin addition increased the stiffness of the binder at the unaged and  
26 short-term aged conditions. So, the comparison of Intermediate PG of lignin modified binders with  
27 the base binders may not be an appropriate approach. Lastly, it is important to highlight that the  
28 findings presented herein are derived from overarching trends observed across all tested binders  
29 in the study, and not from individual cases, as certain variations were observed for specific binders.

30 To further illustrate the effect of the antioxidants on the binder rheology after LTA, some  
31 salient results of the  $G^* \cdot \sin \delta$  parameter at 25°C are presented in Figure 6 and Figure 7. For clarity,

1 the figures are divided into binders for each additive resulting in Intermediate PG greater than 25°C  
 2 and those less than 25°C. The effect of ZDC to lower the Intermediate PG of most of the binders  
 3 is clearly visible in these results. In some cases, such as UIUC1, UIUC2, UT3, BUT1, UNot1,  
 4 HKPU1, and UBol2 binders, the value of this parameter was remarkably reduced by about 50%.  
 5 These results indicate that ZDC can likely improve the intermediate temperature cracking  
 6 resistance without any significant effect on the rutting performance of the binder (as per the high  
 7 PG evaluation).

8 When considering the lignin modified binders, the results were more varied. In some cases,  
 9 the modification slightly increased the  $G^* \cdot \sin \delta$  value, whereas in most cases it seemed to have a  
 10 negligible effect. Such results further illustrate the complexities when deliberating the large-scale  
 11 applicability of such antioxidants. It is evident that the addition of lignin will likely increase the  
 12 rutting related properties of binders, however, its effect as an effective antioxidant is debatable  
 13 when considering a global suite of binders. Further, lignin is a highly complex polymer with high  
 14 heterogeneity and its interaction with asphalt binder is a sophisticated process which may account  
 15 for the high variability observed in results here (Zhang et al., 2021).

### 17 **Statistical analysis of high and intermediate temperature PG**

18 In addition to the global averages representing the general trend in properties, the changes in  
 19 stiffness parameters for the unaged, RTFO, and PAV aged binders on modification were also  
 20 studied using statistical tools. For the unaged and RTFO aged binders, the relative change in  
 21 stiffness in terms of  $G^*/\sin \delta$  at 64°C on antioxidant addition was calculated for each binder.  
 22 Similarly, the relative change in stiffness in terms of  $G^* \cdot \sin \delta$  at 25°C on antioxidant addition was  
 23 calculated for the PAV aged binders. Accordingly, a relative stiffness parameter was defined as  
 24 shown in equation (4). In the equation,  $G^*$  and  $\delta$  represent complex modulus and phase angle at  
 25 the specific testing temperature and conditions, respectively.

26 Relative stiffness parameter for:

$$27 \text{ Unaged and RTFO aged binders (\%)} = \frac{\frac{G^*}{\sin \delta} (\text{modified}) - \frac{G^*}{\sin \delta} (\text{base})}{\frac{G^*}{\sin \delta} (\text{base})} \times 100$$

$$1 \quad \text{PAV aged binders (\%)} = \frac{G^* \cdot \sin\delta(\text{modified}) - G^* \cdot \sin\delta(\text{base})}{G^* \cdot \sin\delta(\text{base})} \times 100 \quad (4)$$

2           The distributions of the relative stiffness parameter for the three aging conditions were  
 3 plotted for the suite of modified binders considering normal distribution, as shown in Figure 8.  
 4 The Mean and Standard Deviation (SD) for the distributions are also shown in the plots. The  
 5 distribution plots in Figure 8 (a) and (b) suggest that the addition of ZDC itself did not alter the  
 6 average stiffness of the unaged binders, as apparent from the negligible relative stiffness parameter  
 7 for the unaged binders. For the RTFO and PAV aged binders, the relative stiffness reduces  
 8 significantly for the ZDC modified binders. For example, the 3% and 5% ZDC addition showed  
 9 mean relative stiffness parameter of -35% to -37% after PAV aging, which suggests a significant  
 10 reduction in stiffness after aging as compared to the control binder. In the case of lignin, the  
 11 distribution plots in Figure 8 (c) and (d) suggest that on average, addition of lignin increased the  
 12 stiffness of unaged binders by 27% to 29%. Post RTFO and PAV aging, the relative stiffness  
 13 parameter for lignin modification decreased, yet the mean of all binders remains positive. This  
 14 indicates the small extent of the antioxidant effect with lignin addition. However, for all the aging  
 15 conditions, the modified binders are stiffer than the base binders, which is not desirable for a  
 16 prospective antioxidant additive.

### 17 *Pairwise t-Test analysis*

18 Furthermore, to statistically analyze the impact of modification with antioxidant additives, a  
 19 pairwise t-test was performed on the stiffness parameters for different aging conditions between  
 20 base and modified binders. For unaged and RTFO aged binders, the stiffness parameter was  
 21  $G^*/\sin\delta$  at 64°C, and for PAV aged binders the corresponding stiffness parameter was  $G^* \cdot \sin\delta$  at  
 22 25°C. The results for the statistical analysis from the t-test are summarized in Table 3.

23 From the statistical analysis, it was observed that for the unaged condition, the p-value for  
 24 base vs ZDC modified binders was greater than 0.05, implying that the test did not reject the null  
 25 hypothesis at the 5% significance level. In other words, the stiffness parameters for the base and  
 26 modified binders are not statistically different, suggesting negligible impact of ZDC addition on  
 27 binder stiffness. On the contrary, lignin addition stiffens the base binder, as supported by the low  
 28 p-values. For the RTFO aged binders, all the base vs modified binder combinations showed a p-  
 29 value less than 0.05 suggesting rejection of null hypothesis at the 5% significance level. It suggests

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2  
3 1 that the stiffness parameter values for base and modified binders (both lignin and ZDC) are  
4 different. Also, from the rheological results, it was observed that in general, lignin modified RTFO  
5 2 aged binders were stiffer than base binders and ZDC modified RTFO aged binders were softer  
6 3 than base binders.  
7 4  
8  
9

10 5 Interestingly, PAV aged base vs lignin modified binders showed a p-value greater than  
11 6 0.05. From a rheological perspective, lignin addition is stiffening the binders in unaged condition;  
12 7 however, after long-term aging, the rate of gain in stiffness in lignin modified binders is less than  
13 8 that for the base binders. This suggests a small antioxidant effect on lignin addition. Another  
14 9 critical observation is the considerably low p-values for PAV aged base vs ZDC modified binders  
15 10 indicating significantly lower stiffness of PAV aged ZDC modified binders.  
16 11

### 12 *Analysis using FTIR spectroscopy and stiffening index*

13 13 The rheological tests and statistical analysis conducted using Performance Grade (PG) results shed  
14 14 significant insights into the aging behavior of the antioxidants-modified binders at a broad level.  
15 15 To strengthen and corroborate these findings, further investigations were undertaken for a subset  
16 16 of binders.

#### 17 *FTIR spectroscopy*

18 18 The aging indices were derived from the C=O bands, as elaborated in earlier sections and notable  
19 19 findings are illustrated in Figure 9. Firstly, understanding the significance of the aging index is  
20 20 important. The C=O primarily reflects the transformation of benzylic carbons into carbonyl groups  
21 21 as a consequence of aging (RTFO to PAV). When comparing both sets of antioxidant-modified  
22 22 binders, it could be observed that in general, the use of antioxidants largely reduced the aging  
23 23 index in most of the binders. For ZDC modified binders, an obvious reduction in aging indexes  
24 24 was also evident. The mechanism attributed to ZDC involves its hypothesized role as a peroxide  
25 25 decomposer, which effectively curtails the generation of free radicals during the initial stages of  
26 26 oxidation (Petersen, 1998). This, in turn, decelerates the overall oxidation process. Notably, the  
27 27 introduction of ZDC at a dosage of 5% demonstrated a more pronounced effect in diminishing the  
28 28 aging index. However, certain deviations from the anticipated trend were also observed,  
29 29 underscoring the complexity associated with assessing the global effectiveness of antioxidants.

30 30 Similarly, in the context of lignin modified binders, a notable reduction in the aging index  
31 31 was consistently observed across the majority of the examined binders. These findings imply that

1 lignin demonstrates antioxidant properties by effectively scavenging free radicals that may arise  
2 during the oxidation process of benzylic carbon. When considering possible mechanisms, it is  
3 plausible that lignin undergoes oxidation in place of the binder itself. During this oxidation  
4 process, it is postulated that lignin donates hydrogen, leading to the formation of a ketone  
5 containing a carbonyl group (Azadfar et al., 2015). However, when utilizing FTIR to quantify the  
6 carbonyl index, the specific origin of the carbonyl is not discerned. As a result, it is essential to  
7 consider the possibility that a portion of the carbonyl band observed in the FTIR spectrum may  
8 originate from the lignin present in the binder. Consequently, the actual carbonyl content of the  
9 binder may be even lower than the calculated value, owing to the contribution of lignin-derived  
10 carbonyls (Batista et al., 2018). Overall, the FTIR results indicate that the oxidation behavior of  
11 each binder seems intimately connected to its distinct chemical composition. Consequently, when  
12 using the same antioxidant, the degree of reduction in oxidation indices varies among different  
13 binders. This observation underscores how the specific chemical properties inherent to each binder  
14 could play a crucial role in determining the efficacy of the antioxidant treatment.

#### 15 *Stiffening index from oscillation testing*

16 As described in previous sections, an aging index was defined based on  $G^*$  and referred to as  
17 “stiffening index” in this study. Firstly, it is crucial to acknowledge the importance of such an  
18 index. Many studies have mistakenly relied on similar rheology-based indices to showcase how  
19 antioxidants and similar additives can mitigate aging. However, it must be recognized that the  
20 inclusion of antioxidants inherently transforms the rheological properties of the original binder.  
21 Consequently, when evaluating the rheological performance of different binders at the same  
22 temperature, the resulting ratio (unaged or short-term aged vs. long-term aged) serves as an  
23 indicator of how stiffness changes over time and should not be directly construed as a measure of  
24 aging behavior. Instead, this ratio primarily reflects how the presence of additives affect the  
25 binder's stiffness characteristics over time, when tested at a particular temperature. Although this  
26 parameter cannot be directly linked to extent of oxidation, it is still useful to gauge antioxidant  
27 effectiveness in terms of rheology as the increase in stiffness of binders with time can be directly  
28 linked to a decrease in binder ductility which consequently influences pavement longevity and  
29 cracking resistance (Sreeram et al., 2018a). Some interesting observations from the analysis are  
30 shown in Figure 10.



1  
2  
3 1 When considering the SI, the positive effect of lignin and the remarkable effect of ZDC in  
4 2 reducing the stiffening rate of binders is clearly visible. For most binders, ZDC addition showed  
5 3 significant reduction in stiffening index at both dosages. For some binders, the SI for binders with  
6 4 ZDC was around 50% lower as compared to the respective base binders. This reduction signifies  
7 5 that the rate of stiffness gain due to long-term aging is much lower in comparison to the unmodified  
8 6 binder as their initial stiffness would be generally similar as observed from the high PG results.  
9 7 Such an effect may lead to a more balanced pavement performance and overall longevity,  
10 8 particularly when considering the moderate testing temperatures used in this study to match  
11 9 conventional pavement service temperatures (Aragão and Kim, 2012). It is also evident that the  
12 10 rate of stiffness change differs for each binder which suggests distinctive chemical interactions  
13 11 between the antioxidants and the individual binders, which is likely based on their unique  
14 12 compositions. Additionally, the findings from both FTIR testing and stiffening index analysis  
15 13 imply that the physicochemical processes governing the antioxidant behaviors in the binders may  
16 14 be exceedingly intricate and extend beyond mere reduction of oxidative groups. This complexity  
17 15 indicates that the mechanisms driving the beneficial effects of antioxidants in binders encompass  
18 16 multifaceted physicochemical transformations and warrant further investigation and  
19 17 comprehension.

### 18 **Key Insights and Takeaways**

19 The primary goal of this study was to identify and establish notable trends concerning the  
20 20 rheological properties and oxidative behaviors of antioxidant-modified binders. This study  
21 21 followed a unique “inverted round-robin” approach, i.e., each participating laboratory used  
22 22 different binders that were local to their region but used the same antioxidant and dosage, with the  
23 23 ultimate goal of measuring the broad scale or global efficacy of candidate antioxidants.

24 As expected, this study revealed diverse outcomes suggesting that the physicochemical  
25 25 processes governing antioxidant behaviors in binders are exceedingly complex and extend far  
26 26 beyond a reduction of oxidative groups. In this context, it is important to reevaluate the  
27 27 fundamental purpose of employing antioxidants. In practical terms, the primary objective of using  
28 28 antioxidants is to extend the service life of pavements by enhancing their durability and ability to  
29 29 withstand long-term cracking. In this regard, the crucial parameter to focus on are related to the  
30 30 rheological behavior of the binder, with the reduction of oxidation serving as a precursor driving  
31 31 mechanism. Accordingly, it becomes imperative to thoroughly consider the potential rheological

1 effects induced by the binder modification itself when assessing the efficacy of antioxidants. Such  
2 considerations are paramount in comprehending the overall performance and effectiveness of  
3 antioxidants in pavement applications. Based on the findings of this study, it is evident that ZDC  
4 is a highly effective additive, exhibiting strong effectiveness with most of the asphalt binders used.  
5 The incorporation of ZDC does not compromise the rutting performance of the binders and  
6 significantly improves their resistance to oxidative aging and brings about concomitant benefits  
7 such as resistance to fatigue cracking by up to 35% when considering a global average.

8 Conversely, lignin also demonstrates some potential as an effective additive, albeit to a  
9 much lesser extent. The addition of lignin leads to an increase in the binder's stiffness by around  
10 29% on average, thereby enhancing its rutting resistance. However, the ability of lignin-modified  
11 binders to withstand fatigue cracking remains unclear based on the results obtained in this  
12 investigation which suggest only a small improvement when considering global averages.  
13 Regarding the reduction of oxidative groups, both additives demonstrated significant potential.  
14 Lastly, it is important to note that the statements presented above represent the general trend  
15 observed across the various tested binders. Certain anomalies were naturally observed in the  
16 results, indicating that the interaction between antioxidants and binders is indeed intricate and  
17 multifaceted. Further comprehensive research is required in various aspects, as elaborated in the  
18 subsequent section.

### 19 **Future Research**

20 Based on the outcomes of this study, it is evident that the ZDC additive exhibits significant  
21 potential as an effective antioxidant for a wide range of geographically diverse binders. To explore  
22 its practical applicability, further studies should focus on evaluating the performance of mixtures  
23 containing such modified binders including evaluating aspects such as optimum dosage, safety,  
24 cost, etc. Additionally, the implementation of trial sections utilizing this additive could also serve  
25 as a fast-track approach, potentially yielding significant benefits to the industry.

26 Regarding the use of lignin, additional research is imperative as a result of the complex  
27 nature of the additive-binder interaction and the diversity of results observed in this study. It is  
28 important to note that although the same source and type of lignin was used in this study, the term  
29 lignin itself may refer to a very diverse family of organic compounds. Further research is also  
30 essential to understand the fundamental chemistry and mechanisms involved when using different  
31 antioxidants. *As a starting point, research must also be undertaken to comprehensively study the*

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3 1 effect of binder chemical composition on antioxidant effectiveness and investigate correlations  
4 between observed rheology and chemistry. Lastly, it must be noted that that this work did not  
5 2  
6 3 evaluate possible differences resulting from equipment biases when considering various testing  
7 equipment. Future work should address this by conducting tests on a single binder.  
8 4  
9 5

## 10 **Conclusions**

11 6 This study presented the results from Phase-2 of a collaborative global research initiative focused  
12 7 on examining the efficacy of two high potential antioxidants in mitigating the oxidation of asphalt  
13 8 binders. A total of 28 binders, sourced from diverse geographical regions were used in the analysis.  
14 9 These binders were blended with two specific antioxidants, zinc diethyldithiocarbamate (ZDC)  
15 10 and kraft lignin, at predetermined proportions. The evaluation basis focused on a broader  
16 11 perspective, aiming to discern the widespread applicability of this technology. The main  
17 12 conclusions from the study were as follows:  
18 13

- 14 14 • The introduction of ZDC into the binders typically did not cause any significant negative  
15 15 impact on their rutting performance. Conversely, a significant improvement in aging  
16 16 reduction and consequently the fatigue performance of the binders was observed following  
17 17 its incorporation.
- 18 18 • The addition of lignin in binders resulted in an increased stiffness and rutting performance.  
19 19 However, the effectiveness of lignin modified binders in mitigating fatigue cracking  
20 20 remains uncertain, as the outcomes of this investigation yielded mostly unremarkable  
21 21 results in this regard.
- 22 22 • Analysis using FTIR indicated that on average, the uses of different antioxidants showed  
23 23 some tendencies to lower the content of carbonyl functional groups, thus lower the aging  
24 24 indices of the binders. However, its relationship with reducing aging related effects on  
25 25 binder rheology is uncertain as there are likely multiple mechanisms in action that could  
26 26 be unique to the additive-binder pair and may impact the overall rheological behavior.

27 26 Overall, this study marked an interlaboratory initiative on a global scale conducted to investigate  
28 27 the use of diverse antioxidants and their wide effectiveness when considering binder diversity. The  
29 28 use of certain additives such as ZDC show remarkable potential to increase the lifetime of  
30 29 pavements., Further work is required to expedite the widespread adoption of this technology and  
31 30 provide a solid foundation for informed material selection decisions. In the forthcoming phases,  
32 31 the consortium is poised to address these concerns through cohesive efforts, aiming to accelerate

1  
2  
3 1 the broad implementation of this technology while simultaneously generating greater interest on  
4  
5 2 this subject in academic and industrial circles.

6  
7 3 **Disclaimer**

8 4 The contents of the paper reflect the views of the authors, who are responsible for the data  
9  
10 5 presented herein. This paper does not constitute a standard, specification, or regulation.  
11  
12 6 Manufacturer names of the additives are presented as they are deemed essential to the work  
13  
14 7 conducted in the study.  
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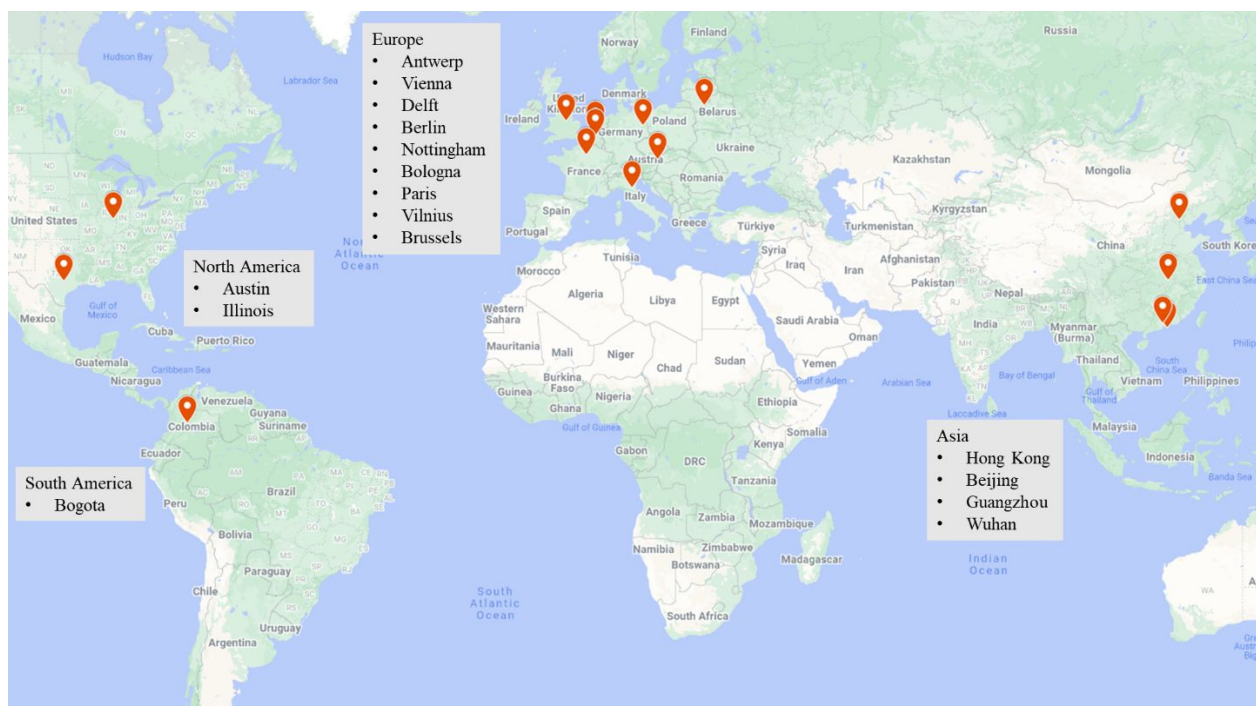


Figure 1. World map showing locations and diversity of binder sources used in the study

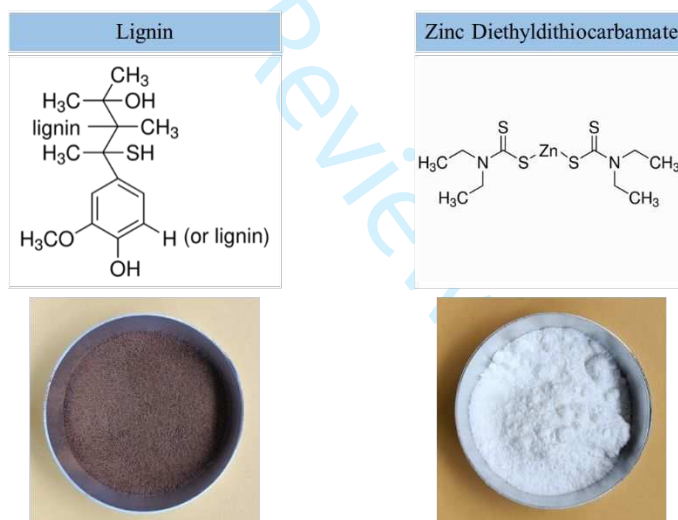


Figure 2. Chemical structure and pictures of the antioxidants used in the study



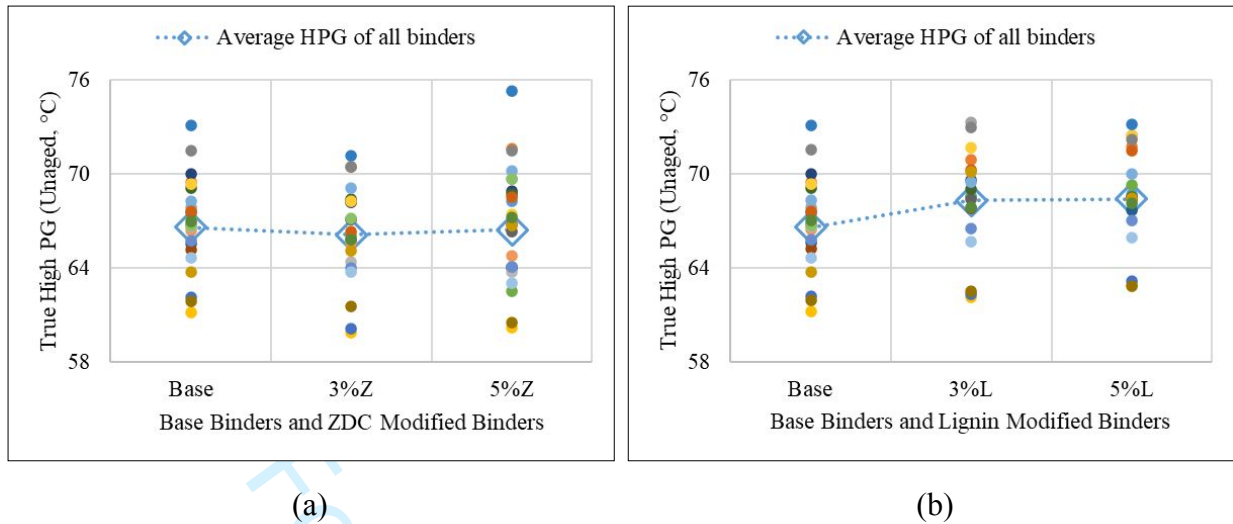


Figure 3. True high PG for unaged base binders compared with (a) ZDC modified binders, (b) Lignin modified binders (including binders from all labs)

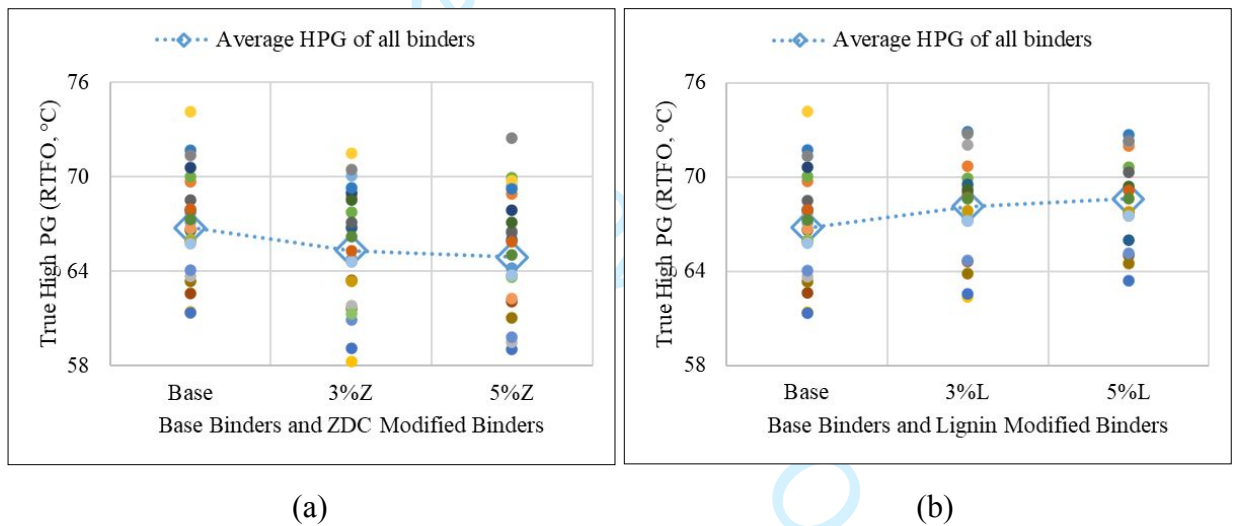


Figure 4. True high PG for RTFO aged base binders compared with (a) ZDC modified binders, (b) Lignin modified binders (including binders from all labs)

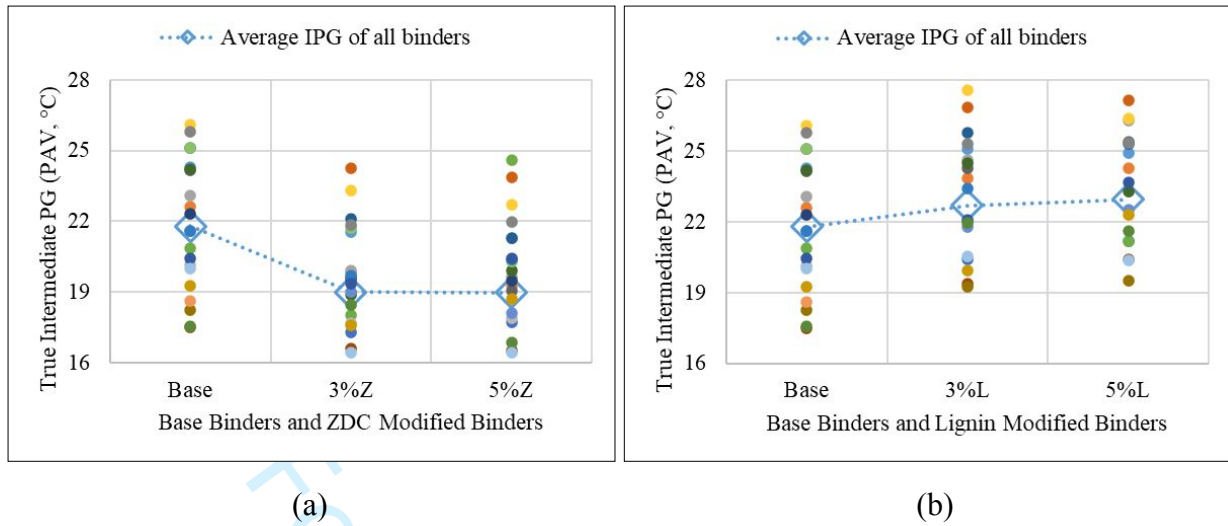
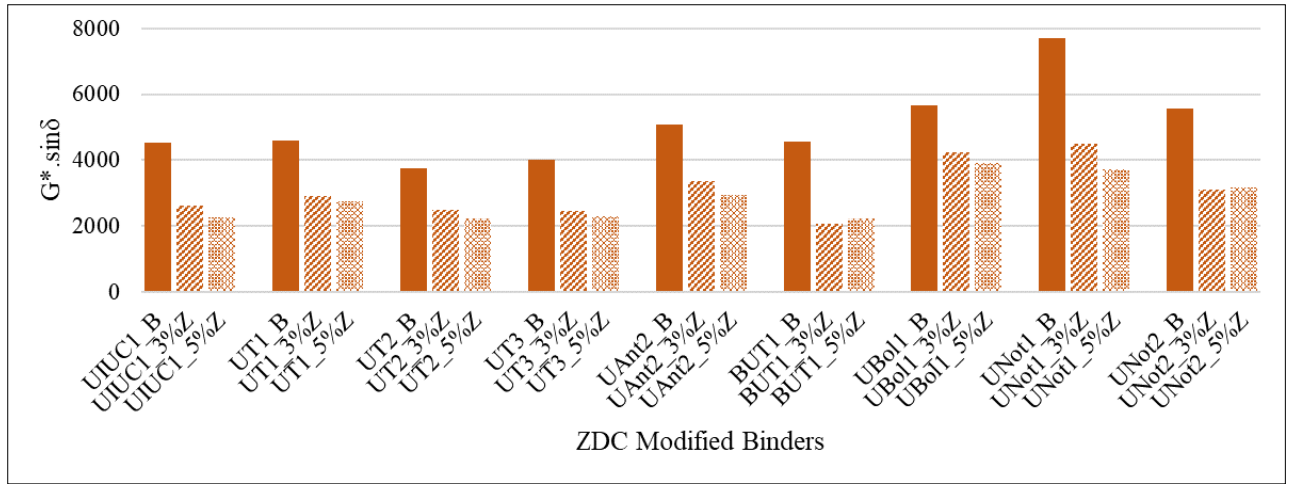
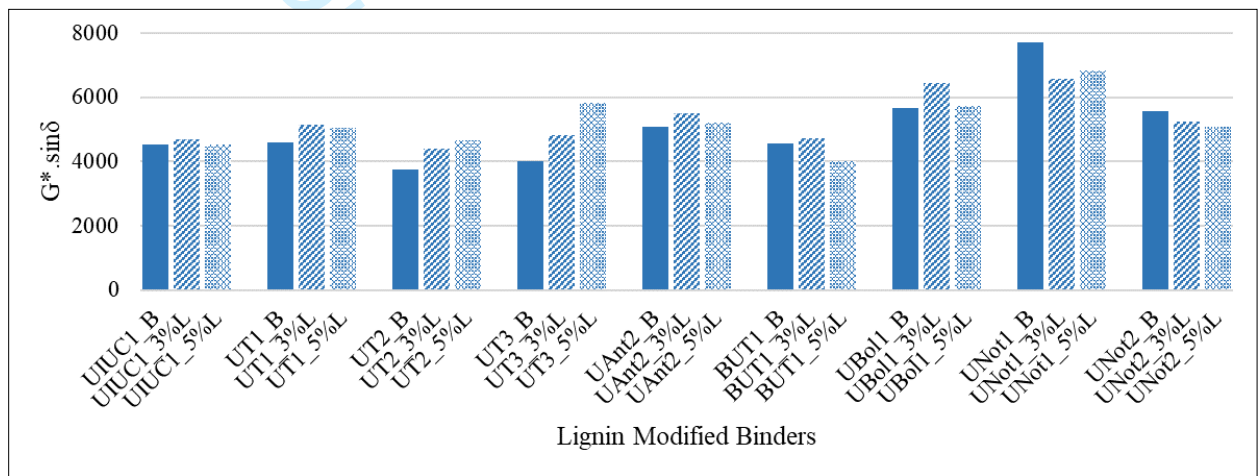


Figure 5. True intermediate PG for PAV aged base binders compared with (a) ZDC modified binders, (b) Lignin modified binders (including binders from all labs)

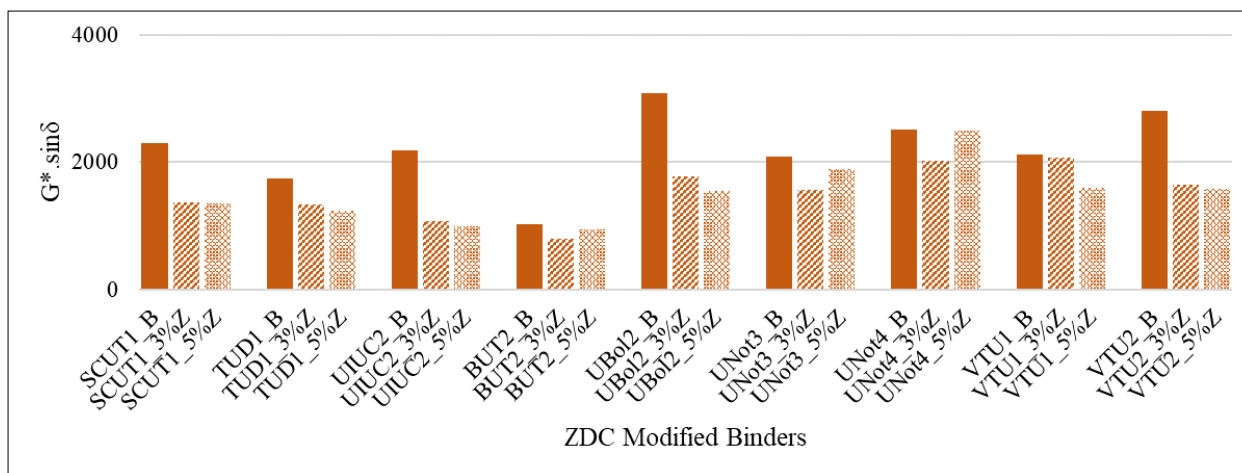


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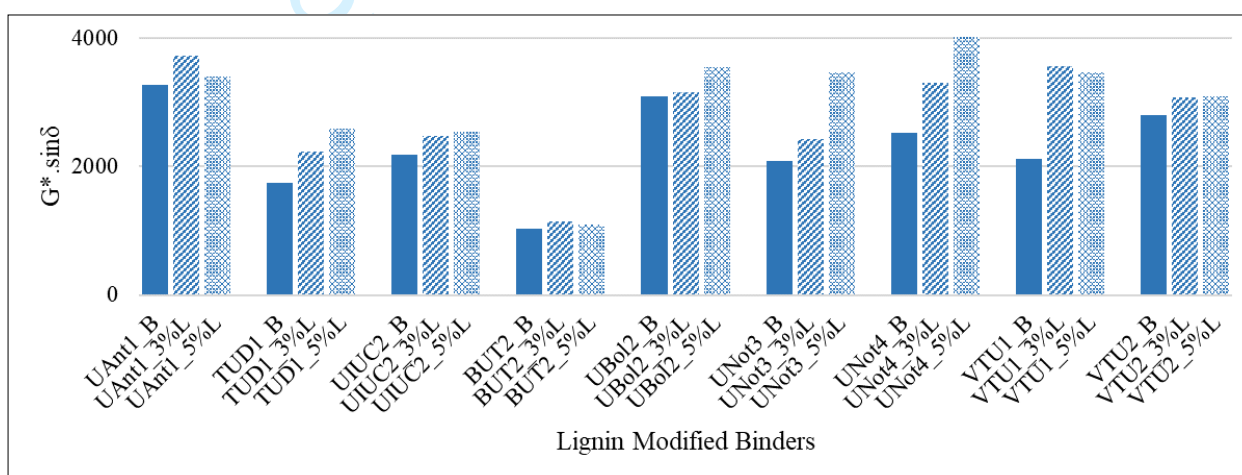


(b)

Figure 6. Superpave parameter  $G^* \cdot \sin \delta$  at 25°C for binders having  $IPG \geq 25^\circ C$  modified with (a) ZDC, and (b) Lignin (selected binders)

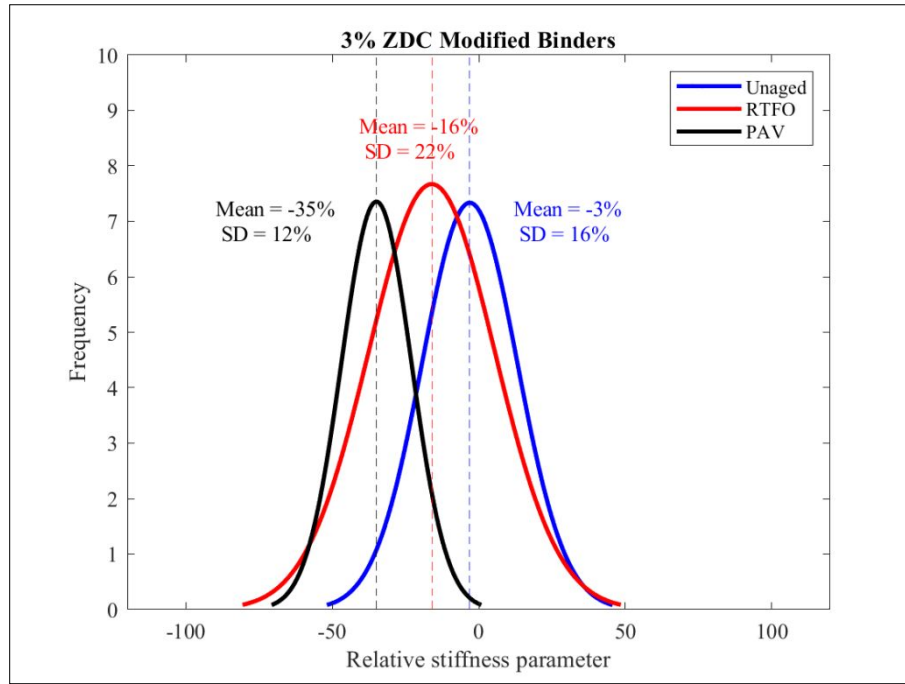


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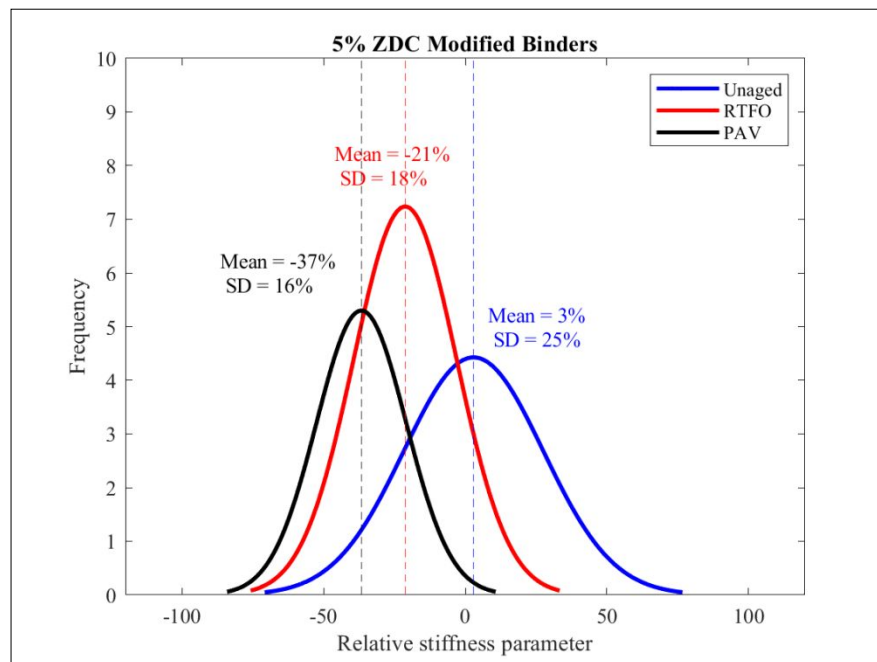


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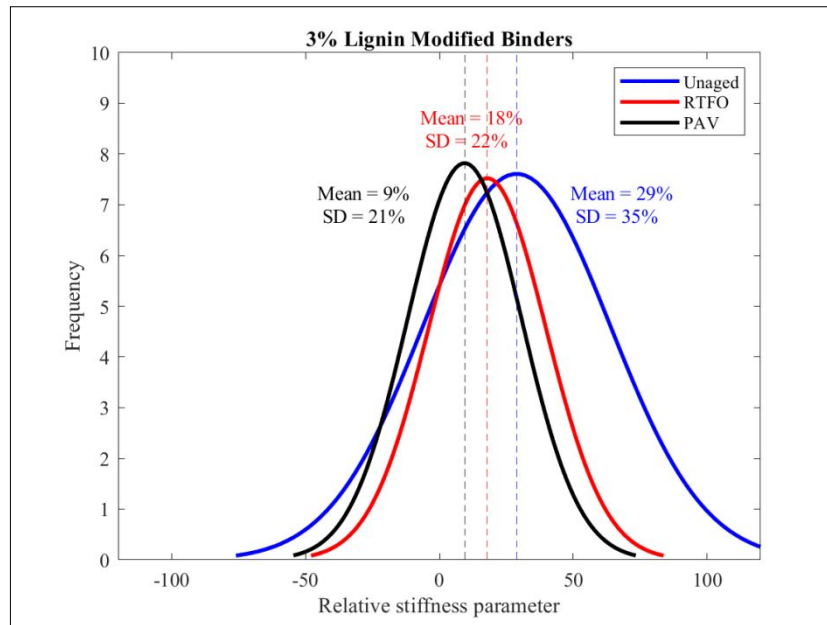
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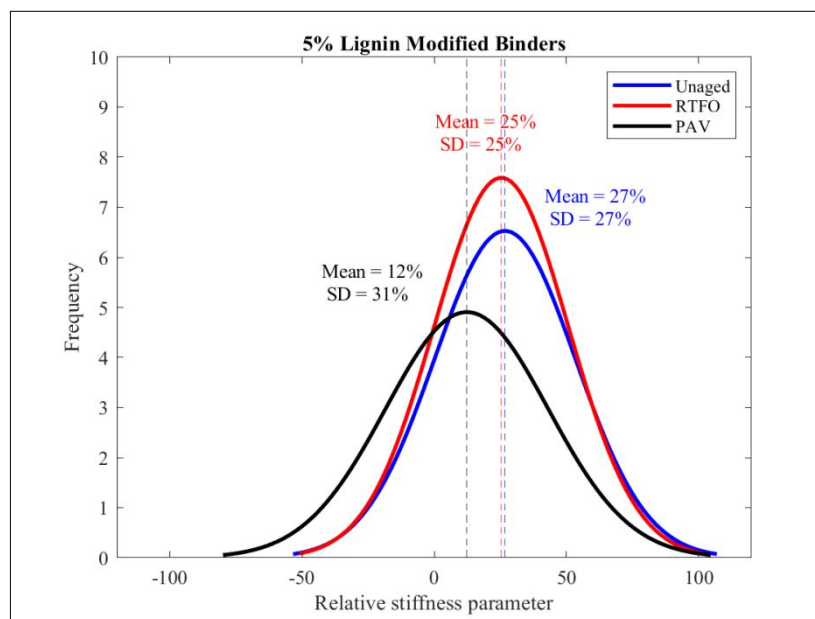
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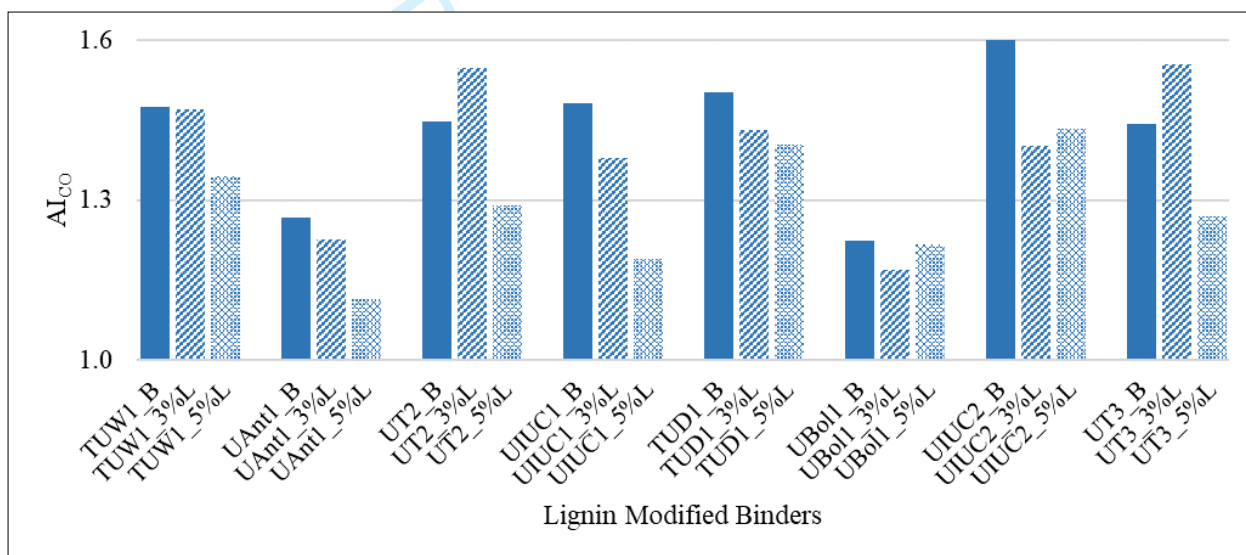


(d)

Figure 8. Distribution plots of relative stiffness for the antioxidant modified binders with (a) (b) ZDC, (c) (d) Lignin

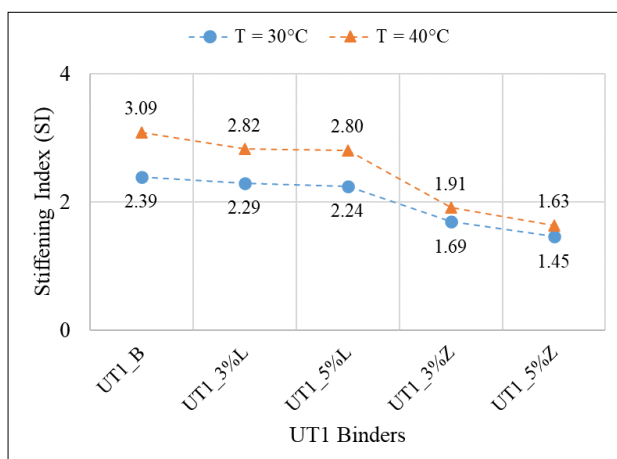


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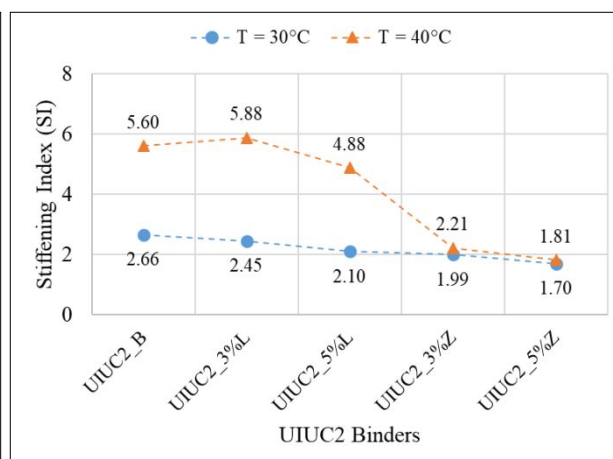


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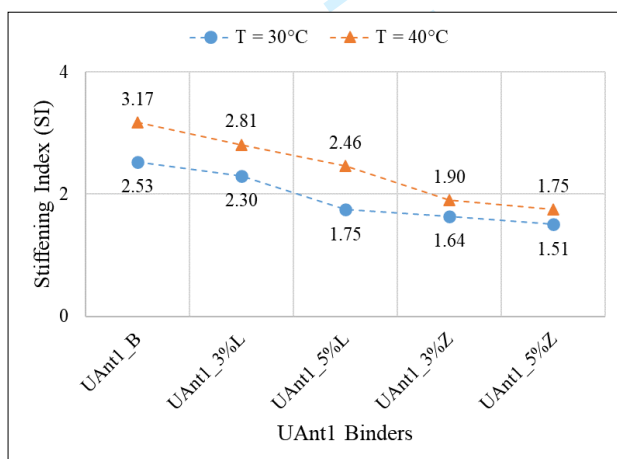
Figure 9. Aging Indices ( $AI_{CO}$ ) based on C=O band for selected binders modified with (a) ZDC, and (b) Lignin



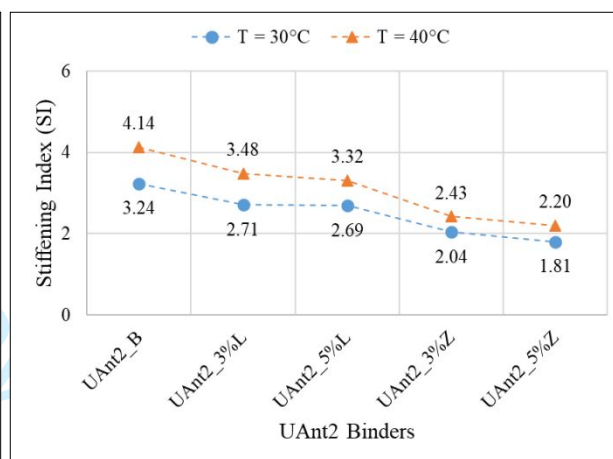
(a)



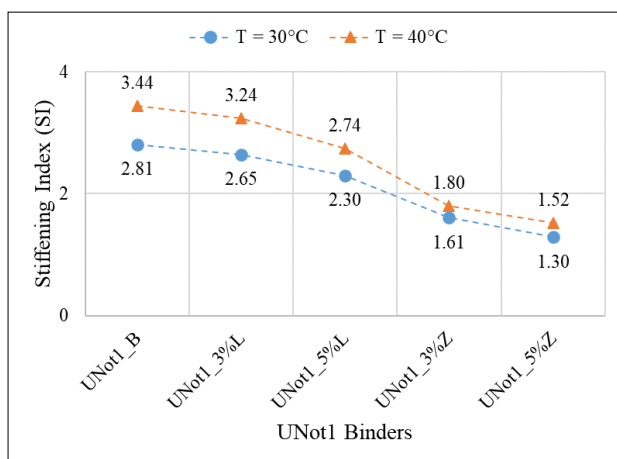
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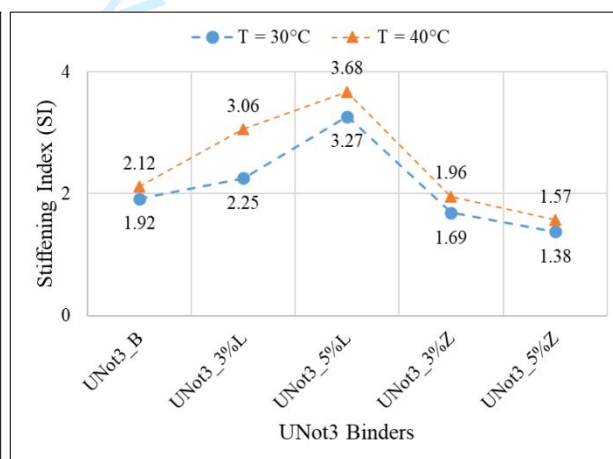
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(f)

Figure 10. Stiffening Indices (SI) based on time sweep testing at two temperatures for selected binders modified with ZDC and Lignin



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Figure 10. Stiffening Indices (SI) based on time sweep testing at two temperatures for selected binders modified with ZDC and Lignin

Table 1. Details of the participating labs and the binders used in the study

S. No.	University/Lab	Source	No. of Binders	Binder Nomenclature	High Temperature PG Grade (°C)
1	University of Texas at Austin	Texas (USA)	3	UT1, UT2, UT3	64
2	Vienna University of Technology (TU Wien)	Vienna (Austria)	2	TUW1, TUW2	58
3	University of Antwerp	Antwerp (Belgium)	2	UAnt1, UAnt2	64
4	Delft University of Technology (TU Delft)	Delft (Netherlands)	1	TUD1	58
5	University of Illinois Urbana-Champaign	Illinois (USA)	2	UIUC1, UIUC2	64, 58
6	Universidad de los Andes	Bogota (Colombia)	1	UAndes1	70
7	Beijing University of Technology	Beijing (China)	2	BUT1, BUT2	64
8	South China University of Technology	Guangzhou (China)	2	SCUT1, SCUT2	64, 58
9	University of Bologna	Bologna (Italy)	2	UBol1, UBol2	64
10	Hong Kong Polytechnic University	Hong Kong SAR	1	HKPU1	64
11	University of Gustave Eiffel	France	1	UGE1	70
12	University of Nottingham	Nottingham (UK)	4	UNot1, UNot2, UNot3, UNot4	58, 64, 70, 76
13	Vilnius Gediminas Technical University	Vilnius (Lithuania)	2	VTU1, VTU2	64

14	Bundesanstalt für Materialforschung und – prüfung (BAM)	Berlin (Germany)	1	BAM1	76
15	Wuhan Institute of Technology	Wuhan (China)	1	WIT1	64
16	Belgian Road Research Centre (BRRC)	Brussels (Belgium)	1	BRRC1	64

Table 2. Details and nomenclature of the antioxidant additives used in the study

Name	Manufacturer	Chemical Abstract Service (CAS) No.	Dosages (binder wt.%)	Code (used in study)
Kraft Lignin	Sigma Aldrich	8068-05-1	3% & 5%	L
Zinc Diethyldithiocarbamate	Sigma Aldrich	14324-55-1	3% & 5%	Z

Table 3. Summary of pairwise t-test between stiffness parameters for base and modified binders

	Hypothesis test result 'h'			p-value		
	Unaged	RTFO	PAV	Unaged	RTFO	PAV
Base vs 3% Lignin Modified Binders	1	1	0	0.0021	0.0243	0.1592
Base vs 5% Lignin Modified Binders	1	1	0	0.0052	0.0177	0.1946
Base vs 3% ZDC Modified Binders	0	1	1	0.3462	0.0026	1.15E-07
Base vs 5% ZDC Modified Binders	0	1	1	0.9865	0.0035	1.50E-06