

This item is the archived peer-reviewed author-version of:

Unraveling the immune signature of herpes zoster : insights into the pathophysiology and human leukocyte antigen risk profile

Reference:

Vandoren Romi, Boeren Marlies, Schippers Jolien, Bartholomeus Esther, Mullan Kerry, Michels Nele, Aerts Olivier, Leysen Julie, Bervoets An, Lambert Julien, ...- Unraveling the immune signature of herpes zoster : insights into the pathophysiology and human leukocyte antigen risk profile
The journal of infectious diseases - ISSN 0022-1899 - (2024), jjad609
Full text (Publisher's DOI): <https://doi.org/10.1093/INFDIS/JIAD609>
To cite this reference: <https://hdl.handle.net/10067/2043700151162165141>

1 Unravelling the immune signature of 2 herpes zoster: Insights into pathophysiology 3 and the HLA risk profile

4

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49 Abstract word count: 149

50 Manuscript word count: 3452

51

52 **SUMMARY**

53 Using gene expression and association studies, our research uncovered the MHC locus as a major risk factor
54 for the development of herpes zoster. Additionally, a clear type I interferon and adaptive immune signature
55 was identified in individuals with HZ.

56

57 **SHORT TITLE**

58 The immune signature in herpes zoster

59 **ABSTRACT**

60 The varicella-zoster virus (VZV) infects over 95% of the population. VZV reactivation causes herpes zoster
61 (HZ), known as shingles, primarily affecting the elderly and immunocompromised individuals. However, HZ
62 can also occur in otherwise healthy individuals. We analyzed the immune signature and risk profile in HZ
63 patients using a genome-wide association study across different UK Biobank HZ cohorts. Additionally, we
64 conducted one of the largest HZ HLA association studies to date, coupled with transcriptomic analysis of
65 pathways underlying HZ susceptibility. Our findings highlight the significance of the MHC locus for HZ
66 development, identifying five protective and four risk HLA alleles. This demonstrates that HZ susceptibility
67 is largely governed by variations in the MHC. Furthermore, functional analyses revealed the upregulation
68 of type I interferon and adaptive immune responses. These findings provide fresh molecular insights into
69 the pathophysiology and the activation of innate and adaptive immune responses triggered by
70 symptomatic VZV reactivation.

71 **KEYWORDS**

72 HLA association, MHC locus, Herpes Zoster, Type I interferon response, Gene expression analysis, Genome-
73 wide association study

74

75

76 **BACKGROUND**

77 Herpes zoster (HZ, shingles) is caused by symptomatic reactivation of the varicella-zoster virus (VZV) and
78 typically presents as a painful dermatomal rash, frequently accompanied by mild symptoms such as fever,
79 headache, and fatigue [1]. Post-herpetic neuralgia (PHN), i.e. long-lasting neuropathic pain, is the most
80 common complication of HZ and significantly adds to the disease burden [2, 3]. Naturally, over 95% of the
81 population gets infected with VZV, resulting in chickenpox (varicella) [1, 4]. Upon resolution of a primary
82 infection with VZV, the VZV particles gain access to neural ganglia where latency is established [1]. At a
83 later age, VZV can reactivate to cause HZ [1].

84 The lifetime risk of developing HZ is between 25% and 30%, rising to 50% in those aged at least 80 years
85 [2, 5]. Indeed, increasing age is a well-known risk factor for the development of HZ, thought to be a result
86 of a decline in cellular immunity, i.e. immunosenescence [6, 7]. Yet, HZ also frequently occurs in
87 immunocompromised patients [8] and otherwise healthy individuals too regardless of age [2, 9]. Besides
88 waning cellular immunity due to aging, the composition of the T cell receptor (TCR) repertoire could also
89 be a determining factor for the development of HZ. The TCR repertoire reflects the major histocompatibility
90 complex (MHC) background of an individual [10]. Thus, depending on an individual's MHC constitution
91 encoded by human leukocyte antigen (HLA) genes, each individual will be able to recognize a different set
92 of (virus-derived) peptides and will generate a different immune response [10]. As such, an individual's
93 HLA genetic profile could be a risk factor for the development of HZ [10]. The nine so-called classical MHC
94 genes are *HLA-A*, *-B*, *-C* belonging to MHC class I, and *HLA-DPA1*, *-DPB1*, *-DQA1*, *-DQB1*, *-DRA*, and *HLA-*
95 *DRB1* belonging to MHC class II [10]. A previous pilot study including 50 Belgian individuals with a history
96 of HZ and a control population of 25,000 Belgians obtained via the Red Cross, already showed that *HLA-*
97 *A*11* was protective, whereas *HLA-B*37* was a risk allele for the development of HZ [11]. In addition, a
98 meta-analysis showed that *HLA-A*02* and *HLA-B*40* were protective, whereas *HLA-A*33* and *HLA-B*44*
99 were risk alleles for PHN in Japanese patients [12].

100 Besides HLA genetic variation, other genetic polymorphisms, especially related to immune system genes,
101 can impact an individual's likeliness to develop HZ. Following VZV infection, VZV is immediately sensed by

102 the innate immune system via pattern-recognition receptors (PRRs) like RNA Polymerase III [13, 14].
103 Subsequent activation of downstream pathways leads to the production of type I interferons (IFNs) and
104 proinflammatory cytokines that inhibit viral replication and recruit inflammatory cells to the site of
105 infection [13, 15]. Binding of these type I IFNs to their receptor ultimately leads to the induction of
106 interferon-stimulated genes (ISGs) with direct antiviral effector functions [16]. The importance of an
107 adequate type I IFN response to control VZV infection is illustrated by several studies reporting that
108 mutations in *POLR3*, *TLR3*, *STAT1*, *STAT2*, *TYK2* and *NEMO* lead to increased susceptibility to VZV infection
109 or even VZV viral encephalitis [17-20]. In addition to direct antiviral effector functions, type I IFNs also
110 promote T cell expansion and activation [21]. CD4+ and CD8+ T effector cells are essential for recovery,
111 additionally memory T cells that develop during a primary infection are hypothesized to help prevent VZV
112 reactivation [22]. Ultimately, to identify potential biomarkers and functional mechanisms that dictate HZ
113 susceptibility and its associated risk profile, a dual approach of genomic studies and functional
114 transcriptomic analyses in HZ patients is essential.

115 **METHODS**

116 **Participants**

117 A total cohort of twenty-six herpes zoster patients aged between 18 and 70 years (median age 51 years;
118 13 men, 13 women) were prospectively recruited during an active HZ episode, as confirmed by a positive
119 VZV PCR on skin swab or saliva (n=24) or by significantly elevated (> 4 times) VZV IgG serum titers (n=2).
120 The Supplementary Methods give a detailed description of the included samples.

121 **Neutralizing autoantibody assay**

122 Serum from HZ patients and controls were co-incubated with either IFN α 2, IFN β or IFN γ at different doses
123 (high dose 10ng/mL, lower dose 100pg/mL) in 10% plasma. Next, a neutralization assay using a luciferase-
124 based system was used as described in Science Immunology, Bastard et al. 2021 [23]. The results were
125 expressed as either positive (1) or negative (0) for the individual cytokines and doses and raw values were
126 expressed as a percentage of the non-neutralizing samples of the day.

127

128 **UK Biobank data collection**

129 The whole-exome sequencing (WES) data was obtained from the population level exome OQFE variants
130 (200k release) in the UK Biobank [24]. This data was used to perform a genome-wide association study
131 (GWAS) (Figure 1) and HLA association study on multiple subsets of this data. Inclusion and exclusion
132 criteria as well as a detailed description of the statistical analysis procedure can be found in the
133 Supplementary Methods. Figure 1 gives an overview of the processed data used in the GWAS.

134

135 **[Figure 1]**

136 **RNA extraction, 3' mRNA sequencing and NGS data processing**

137 Whole blood RNA was extracted using the PaxGene blood RNA extraction kit (Qiagen) following the
138 manufacturer's instructions. RNA samples were prepared with the QuantSeq 3' mRNA-Seq Library Prep Kit
139 FWD for Illumina (Lexogen GmbH) for a NextSeq 500/550 sequencing run (high output v2.5 kit, 150 cycles,
140 single read, Illumina). Raw data from the NextSeq was demultiplexed and further processed through an in-
141 house developed 3' mRNA sequencing pipeline, after which differential gene expression and gene ontology
142 analyses were performed. All experimental protocols and the full NGS analysis pipeline can be found in the
143 Supplementary Methods.

144 **RESULTS**

145 **HZ patients show no neutralizing autoantibodies against IFN α 2, IFN β or IFN ω**

146 Since autoantibodies against cytokines, have previously been associated with VZV central nervous system
147 vasculopathy [25] and PHN [26] and anti-interferon antibodies have been shown to be present in a
148 significant proportion of severe COVID-19 patients [23, 27], we determined neutralizing autoantibodies
149 against IFN α 2, IFN β and IFN ω in HZ patients during the HZ episode and one year later, and in controls. We
150 did not detect autoantibodies against the interferons tested here, in any of the participants
151 (Supplementary Table S3, Additional File 2).

152

153 **Genome-wide association analysis shows MHC locus involvement in HZ pathophysiology**

154 Given that the neutralizing autoantibodies did not show a host susceptibility for HZ, we conducted an
155 extensive genome-wide association study (GWAS) on the 'white' subpopulation of the UK Biobank data.
156 This GWAS included 2,684 HZ patients and 125,698 control participants with a total of 48,015 genetic
157 variants that passed quality control. The results revealed a diverse variant distribution across the exome
158 (Figure 2A). A distinct peak was observed on chromosome 6, containing a concentration of highly
159 associated variants within the MHC locus (Figure 2C). Within this locus, eight variants exceeded the
160 significance threshold of $1e-05$, of which six remained significant even after Benjamini-Hochberg multiple
161 testing correction ($FDR \leq 0.05$).

162 The highest associated variant ($p\text{-value}=2.521e-07$) is located in the MHC class I polypeptide-related
163 sequence B (*MICB*) gene. Furthermore, a cluster of intron variants and synonymous mutations for the *HLA-*
164 *G* gene were observed (Figure 2C). The QQ plot shows no population stratification ($\lambda = 1.066$; Figure 2B),
165 which suggests a complex inheritance mechanism and provides compelling evidence for the role of HLA
166 genes as a potential risk factor for HZ development.

167 Moreover, our analysis was extended to the Asian and black subpopulations (Supplementary Figure S1,
168 Additional File 1). Despite revealing several significantly enriched variants, the limited number of HZ
169 patients in both groups (Asian=59 HZ; black=29 HZ) restricts the power of these analyses. While the Asian
170 subpopulation showed no population stratification ($\lambda = 1.006$), the lambda value of the black
171 subpopulation suggested multiple genetic backgrounds within the cohort ($\lambda = 1.357$). Therefore, although
172 these findings hold promise for future research, their current reliability is limited due to the modest HZ
173 sample sizes.

174 **[Figure 2]**

175

176 **HLA association analysis reveals several protective and risk alleles for HZ**

177 The GWAS analysis revealed an enrichment of variants in the MHC locus, prompting the analysis of HLA
178 allele frequencies between HZ patients (n=2,826) and healthy controls (n=135,875) (Table 1). We identified
179 four risk HLA alleles that were significantly enriched (p-value \leq 0.05) in HZ patients: *HLA-A*01:01*, *HLA-*
180 *B*07:02*, *HLA-C*07:02* and *HLA-B*40:01*. Additionally, five protective HLA alleles were found to be
181 significantly depleted (p-value \leq 0.05) in HZ patients: *HLA-A*33:03*, *HLA-DRB1*11:01*, *HLA-A*02:01*,
182 *DRB3*02:02* and *HLA-DQB1*03:01*.

183 Furthermore, the analysis was repeated for the three subpopulations separately to analyze the effect of
184 population stratification on these results (Supplementary Table S4, Additional File 2). Only three unique
185 HLA alleles were consistently depleted in all three different cohorts, including *HLA-A*33:03*, *DRB1*11:01*
186 and *DRB3*02:02* while none were consistently enriched in the three subpopulations. However, the Asian
187 and black populations only consist of a small fraction of HZ patients compared to control participants
188 (1.51% and 1.14% HZ patients respectively) resulting in decreased statistical power to show significant
189 differences.

190 [Table 1]

191 **Upregulation of interferon-stimulated genes dominates the immune response during HZ**

192 Our findings point towards a complex inheritance pattern with unknown heritability for HZ. To uncover the
193 functional mechanisms behind this complexity it is imperative to conduct functional genomics (i.e.,
194 transcriptomics). We first compared the gene expression profile from whole blood of HZ patients taken
195 during the HZ episode with those one year after the HZ episode and found 841 DEGs (596 upregulated and
196 245 downregulated genes; Supplementary Table S5, Additional File 2) (Figure 3A). Seven of the most
197 upregulated genes, *IFI44*, *IFI44L*, *IFI27*, *RSAD2*, *ISG15*, *SERPING1* and *SIGLEC1*, are ISGs produced by the
198 innate immune system upon virus encounter.

199 In addition, *BATF2*, involved in the differentiation of CD8+ thymic conventional dendritic cells following
200 infection, was also significantly upregulated during the acute HZ episode. Two genes associated with the
201 antibody response: *MZB1* and *IGHG4* were significantly upregulated too, as well as RNA polymerase III
202 (*POLR3*) subunit D (*POLR3D*) and GL (*POLR3GL*) and several additional ISGs: *Mx1*, *IFIT5*, *OASL*, *IFI35*, *IFI27*

203 and *STAT2* (Supplementary Table S5, Additional File 2). Finally, *CXCR3* which is primarily expressed on
204 activated T lymphocytes and NK cells, was also significantly upregulated during HZ (Supplementary Table
205 S5, Additional File 2). No notable downregulated genes related to viral or immunological functions were
206 found. The heatmap shows clustering of gene expression profiles from blood taken during the acute HZ
207 episode (HZ_XX, light blue) and clustering of those one year after HZ (HZ_XX.1Y, pink/red) (Figure 4).

208 **[Figure 3]**

209

210 **[Figure 4]**

211 Next, we compared the gene expression profiles of HZ patients during the active HZ episode with those of
212 control participants and found 485 DEGs (341 upregulated and 144 downregulated genes: Supplementary
213 Table S6, Additional File 2) (Figure 3B). Ten of the most upregulated genes including *IFI27*, *IFI44L* and
214 *IGHG4*, were also found in the top upregulated genes when comparing acute HZ versus one year after HZ.
215 Interestingly, *C4BPA*, an inhibitor of the classical and lectin pathways of the complement system, was
216 upregulated by 2 log folds. The relationship between the logFC in the different conditions is shown in Figure
217 3C and 3D.

218 Finally, when the gene expression profiles of blood from HZ patients during convalescence were compared
219 with controls, no DEGs were found (Supplementary Table S7, Additional File 2). In addition, as a control,
220 we performed DGE analyses on samples from control participants taken one year apart from each other.
221 Six DEGs were found which were all related to ribosomal processes and are unlikely to reflect biologically
222 significant differences.

223 **Functional enrichment analysis shows activation of host immunity to viral infection**

224 When we compared gene expression profiles from patients during HZ with those one year after HZ, we
225 found 843 DEGs. GO enrichment analysis of these DEGs revealed the involvement of 290 significant GO
226 categories (top 200, Supplementary Table S8, Additional File 2) including several pathways related to viral
227 processes and host immune responses, also those specific to viral infection. Table 2 shows the first 20

228 significant enriched GO categories that were related to viral processes and host immune responses. These
229 results clearly indicate that viral processes were ongoing and that host immunity to viral infection was
230 activated. Interestingly, six of these categories also came up in the GO enrichment analysis of the DEGs
231 during HZ onset versus those of control participants (Table 3, Supplementary Table S9, Additional File 2).
232 Not surprisingly, viral transcription is one of the top GO terms in both analyses.

233 **[Table 2]**

234 **[Table 3]**

235 **DISCUSSION**

236 In this project we set out to understand why otherwise healthy individuals might develop symptomatic
237 VZV reactivation, i.e. herpes zoster. To achieve this goal, we applied a multidisciplinary approach. Initially,
238 no autoantibodies against IFN α 2, IFN β , and IFN γ were found. Therefore, we explored germline
239 susceptibility as a cause for HZ development and identified an enrichment of genetic variants within the
240 MHC locus of HZ patients. Their presence holds important value since the MHC locus harbors the HLA genes
241 [28] which play a critical role in the adaptive immune response [29]. These results align with previous GWAS
242 findings that also discovered genetic variation in the HLA region to be associated with HZ, including variants
243 in the non-coding HLA Complex P5 (*HCP5*) gene and the *HLA-B* gene [30, 31]. Hence, it is possible that these
244 variants modulate immune responses, thereby significantly influencing an individual's susceptibility to HZ.

245 The highest associated variant was located in *MICB* gene and an increase in *MICB* expression in herpesvirus-
246 infected cells can lead to an enhanced T cell response [32]. Moreover, herpesvirus proteins can
247 intracellularly retain *MICB*, thereby helping the infected cells evade NK and T cell responses [33]. These
248 findings provide further evidence that variations in *MICB* expression or function could significantly impact
249 the immune system's ability to mount an effective response against VZV reactivation [34]. Similarly, we
250 discovered a cluster of genetic variants in the *HLA-G* gene, which has been associated with immune evasion
251 of viral-infected cells through inhibition of host immune responses [35]. Interestingly, the clustering of
252 variants within the MHC region suggests a collective contribution to the elevated risk of developing HZ
253 through a complex inheritance pattern.

254 Next, we identified four enriched and five depleted HLA alleles in HZ patients, highlighting the importance
255 of an individual's HLA background on HZ susceptibility. Prior smaller studies have linked some of the
256 identified alleles to PHN in HZ patients [12, 36, 37]. Their findings show an enrichment of both *HLA-A*33*
257 and *HLA-B*44* in PHN patients compared to those without PHN and control groups, including a significant
258 depletion of *HLA-A*33* in PHN- HZ patients compared to PHN+ HZ patients. This supports our findings
259 showing a significant depletion of *HLA-A*33* in our HZ cohort. Furthermore in these prior studies, *HLA-*
260 *A*02* and *HLA-B*40* were significantly depleted in the PHN group, while displaying enrichment in HZ
261 patients, similar to our findings. Since these studies primarily focused on PHN+ associated alleles, rather
262 than examining the overall risk for HZ development, it is possible that these nuanced associations indicate
263 alleles that may confer protection against HZ while increasing the risk of developing PHN, and vice versa,
264 as has been reported in other studies [12, 38]. Further, our findings are based on one of the largest
265 predominantly 'white' Caucasian cohorts to date, which differs significantly from these prior studies which
266 were largely conducted in Asian populations. This is further supported by the fact that our analysis aligns
267 remarkably with HLA associations identified in a European-focused study, where alleles *HLA-A*02:01* and
268 *HLA-DRB1*11:01* were also found to be depleted in HZ [39]. Our research highlights the specificity of risk
269 and protective alleles for different genetic backgrounds [40, 41]. Furthermore, it is vital to recognize that
270 these results do not prove causality, nor unveil underlying biological mechanisms, proving the need for
271 future studies to validate these findings. Studies aimed at assessing the impact of variants on HLA
272 expression, antigen presentation machinery and the broader immune response will shed light on the
273 precise (multifaceted) molecular mechanisms underlying their association with HZ. Additionally, expanding
274 the sample sizes and using whole-genome data will increase statistical power and offer valuable insights
275 into the functional consequences of these genetic variants.

276 Incorporating functional studies to help decipher the risk profile is necessary for as comprehensive
277 understanding of the underlying biological mechanisms dictating HZ susceptibility. The pre-HZ to peri-HZ
278 period can span several weeks, leading to a certain degree of heterogeneity in immune response among
279 HZ samples. Despite this variability, we are still able to identify significant differences between the gene
280 expression profiles of the different cohorts. Notably, ISGs such as *IFI44*, *IFI44L*, *IFI27*, *ISG15*, *RSAD2*,

281 *SERPING1* and *SIGLEC1* showed distinct upregulation during the active HZ episode compared to one year
282 after HZ. All of which are involved in modulation of the viral immune response through a variety of
283 mechanisms, including negative feedback loop [42], type I IFN-induced apoptosis [43], regulation of the
284 complement cascade and other pathways. Besides ISGs-upregulation we found that *POLR3D* and *POLR3GL*,
285 were significantly upregulated during HZ. Mutations in *POLR3A*, *POLR3C*, *POLR3E* and *POLR3F* have been
286 associated with susceptibility to VZV-induced encephalitis and pneumonitis [14, 44]. However, such
287 associations for *POLR3* subtypes D or GL have not been described so far. It is interesting to note that
288 although the upregulation of *POLR3D* and *POLR3GL* might not directly indicate an increased HZ
289 susceptibility, it does signify the importance of this pathway in controlling HZ once reactivation has been
290 initiated.

291 Furthermore, a recent report investigated the involvement of cellular calcium disorder in the development
292 of PHN, revealing different DEGs [45]. In our cohort, several DEGs related to the GO term 'negative
293 regulation of cytosolic calcium ion concentration' were upregulated during the symptomatic HZ episode:
294 *CAB39*, *CACNA1D*, *CAMK1D* and *CACNB4*. Conversely, only one gene related to calcium signaling (*CIB1*) was
295 upregulated one year after HZ. In addition, two genes related to calcium signaling (*S100B* and *CALHM6*)
296 were upregulated during acute HZ compared to controls. Thus, our data suggest that indeed calcium
297 signaling pathways are involved in HZ pathogenesis.

298 Whilst we found several DEGs during HZ compared to one year after HZ, we did not find any DEGs one year
299 after HZ versus control participants, indicating that the patients have returned to baseline. However, some
300 HZ patients had a very high expression of *C4BPA* (although overall not significant) compared to controls.
301 Indeed, a recent study showed that direct binding of C4BP to influenza A virus subtype H1N1 suppressed
302 viral infection, whereas binding to H3N2 subtype promoted viral infection [46]. Since we see upregulation
303 of *C4BPA* in patients during HZ and 1 year after the HZ episode compared to the control group, further
304 investigation is needed to determine whether C4BP may play a (pro- or anti-viral) role in VZV infection or
305 reactivation. Analysis of these patterns reveals a compelling similarity in expression profiles shared
306 between HZ and other viral infections (Supplementary Table S10, Additional File 1). Although this
307 comparison is not exhaustive, it highlights that the HZ immune signature we identified is also present

308 across multiple viral infections. This is not unexpected but highlights the importance of these genes and
309 pathways in the overall anti-viral immune response. However, to uncover the specific effects of these
310 differentially expressed genes on the individual infections, further targeted studies are required.

311 GO enrichment analysis revealed upregulation of the type I IFN signaling pathway, ISG15-protein
312 conjugation and complement activation during HZ. Interestingly, upregulation of the type I IFN response in
313 HZ patients is in line with our data that showed no neutralizing autoantibodies against IFN α 2 or IFN β (nor
314 IFN γ) in HZ patients (or controls), implying a functional type I IFN response. Of note, a previous study in
315 which autoantibodies against IFN α , INF γ , GM-CSF and IL-6 were determined in sera of HZ patients, found
316 neutralizing autoantibodies in the HZ group suffering from PHN but not in the HZ group without PHN [26].
317 GO analysis also revealed enrichment of the interleukin-1 (IL-1) and -12 (IL-12) pathway during HZ, involved
318 in inflammatory cell recruitment [47] and differentiation of naive T cells as well as enhancement of NK-and
319 CD8+ T cells cytotoxicity [48, 49]. Related to the latter, the T cell receptor signaling pathway and the MHC
320 Class I and Class II antigen presentation pathways were significantly enriched during HZ. Finally, B cell
321 receptor signaling, and pathways involved in phagocytosis were also significantly enriched during HZ. This
322 accentuates the critical role of ISGs and antigen processing pathways linked to B-cells and T-cells in driving
323 susceptibility to HZ.

324 Recognizing one limitation of our approach would be the bulk sequencing approach, whereas a single cell
325 RNA sequencing approach would allow the identification of cell-type specific transcriptional changes that
326 occur. While fully unraveling the intricate mechanisms that underlie HZ development remains beyond our
327 current capabilities, this research has already demonstrated several crucial components tied to the genetic
328 background and biological pathways that play an important role in the risk profile and pathophysiology of
329 HZ development and a multitude of other viral infections in both immunocompromised and healthy
330 individuals.

331

332

333 **DECLARATIONS**

334

335 **Ethics approval and consent to participate**

336 This study was approved by the ethics board of the Antwerp University Hospital and University of Antwerp
337 (reference number: 17/48/546). All methods were performed in accordance with the relevant guidelines
338 and regulations when applicable. Written informed consent was obtained from all study participants.

339

340 **Consent for publication**

341 Not applicable.

342

343 **Competing interests**

344 JLC is an inventor on patent application PCT/US2021/042741, filed July 22, 2021, submitted by The
345 Rockefeller University that covers diagnosis of susceptibility to, and treatment of, viral disease and viral
346 vaccines, including COVID-19 and vaccine-associated diseases. BO, KL, and PM are employees of and/or
347 stockholders of Immunewatch. Immunewatch is a spin-off company focusing on the development of AI-
348 based models that give insights into the T cell response.

349

350 **Availability of data and materials**

351 The RNA sequencing data generated and analyzed during the current study is available in the Gene
352 Expression Omnibus (GEO) repository, under GEO accession GSE242252. DGE and GO results are included
353 in this published article (and its supplementary information files).

354 The whole-exome sequencing data that supports the GWAS and HLA findings of this study is available from
355 the UK Biobank, but restrictions apply to the availability of this data, which was used under license for the
356 current study, and so is not publicly available.

357

358 **Funding**

359 The Antwerp Unit for Data Analysis and Computation in Immunology and Sequencing (AUDACIS)
360 consortium is supported by the Research Foundation Flanders (FWO) (FWO 1861219N to BO; FWO
361 G034721N to PP, PD, BO), the Hercules Foundation Flanders, University of Antwerp Methusalem
362 VAXINFECTIO funding (PP), Excellence Centre ASCID (PP), Excellence Centre Infla-Med (PD), Excellence
363 Centre MST (KL), IOF POC 46947 (PD, PP, BO). In addition, it is funded by European Union's Horizon 2020
364 research and innovation programme grant agreement 851752-CELLULO-EPI (BO), Flemish interuniversity
365 council iBOF MIMICRY grant (KL), Chan Zuckerberg Initiative STEGO (PM, KL, BO). P. Bastard was supported
366 by a "Poste CCA-INSERM-Bettencourt" (with support from the Bettencourt-Schueller Foundation) and the
367 Fondation pour la Recherche Médicale (FRM) (grant EA20170638020). The Laboratory of Human Genetics
368 of Infectious Diseases is supported by the Howard Hughes Medical Institute, The Rockefeller University,
369 the St. Giles Foundation, the "Investissement d'Avenir" program launched by the French Government and
370 implemented by the Agence Nationale de la Recherche (ANR; grant ANR-10-IAHU-01), the Integrative
371 Biology of Emerging Infectious Diseases Laboratory of Excellence (grant ANR-10-LABX-62-IBEID), ANR
372 GENVIR (ANR-20-CE93-003) and ANR AI2D (ANR-22-CE15-0046) projects, the National Institutes of Health
373 (NIH) (R01AI163029), the HORIZON-HLTH-2021-DISEASE-04 program under grant agreement 01057100
374 (UNDINE), the ANR-RHU COVIFERON Program (ANR-21-RHUS-08), the Fisher Center for Alzheimer's
375 Research Foundation, the Meyer Foundation, the JPB Foundation, the Square Foundation, Grandir - Fonds
376 de solidarité pour l'enfance, the Fondation du Souffle, the SCOR Corporate Foundation for Science,
377 Battersea & Bowery Advisory Group, the French Ministry of Higher Education, Research, and Innovation
378 (MESRI-COVID-19), INSERM, REACTing-INSERM, and the University of Paris.

379

380 **Authors' contributions**

381 The data used in this project was generated by MB, JS, EB, NM, OA, JLe, AB, JLa, EL, JW, KP, MPE, HJ, JLC,
382 PB, AS. Data analysis was performed by RV, MB, KM, PB, PM; under the supervision of VVT, PP, PD, BO,
383 PM, KL. The manuscript was written by RV, MB, EB, KM, BO, PM, KL and manuscript was edited by all.

384

385 Acknowledgements

386 We appreciate the participation of all patients in this study. We are grateful to all unmentioned clinicians,
387 recruiters, nurses, and lab colleagues. We thank Geert Mortier for his support. We extend our gratitude to
388 the team at Columbia University Irving Medical Center for their assistance with the GWAS pipeline. This
389 research has been conducted using the UK Biobank Resource under Application Number 70885.

390

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497

498 **FIGURES AND FIGURE LEGENDS**

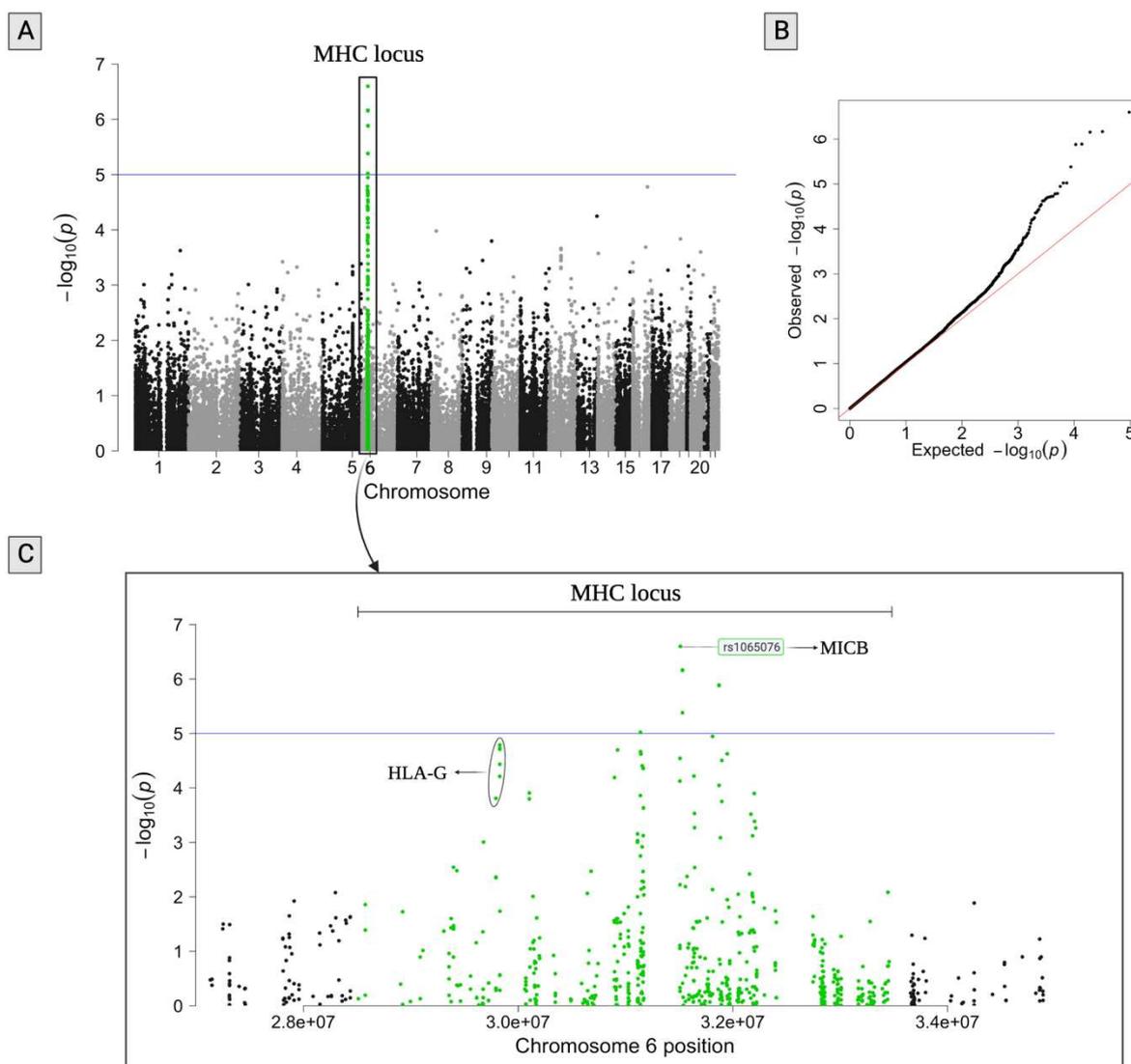
UK Biobank data				
Ethnicity	Variants	Participants	Cases	Controls
White	48,015	 59,700	1,067	58,633
		 68,682	1,617	67,065
Asian	43,187	 2,078	22	2,056
		 1,889	37	1,852
Black	72,336	 1,075	11	1,064
		 1,469	18	1,451
		134,893	2,772	132,121

499

500 **Figure 1: Overview of the UK Biobank data used for the genome-wide association studies.** The filtered
501 dataset was divided into three ethnicity groups, based on the metadata included in the UK Biobank. The
502 table shows the number of variants per group as well as the gender distribution over the control and HZ
503 participants. Figure made using BioRender.

504

505

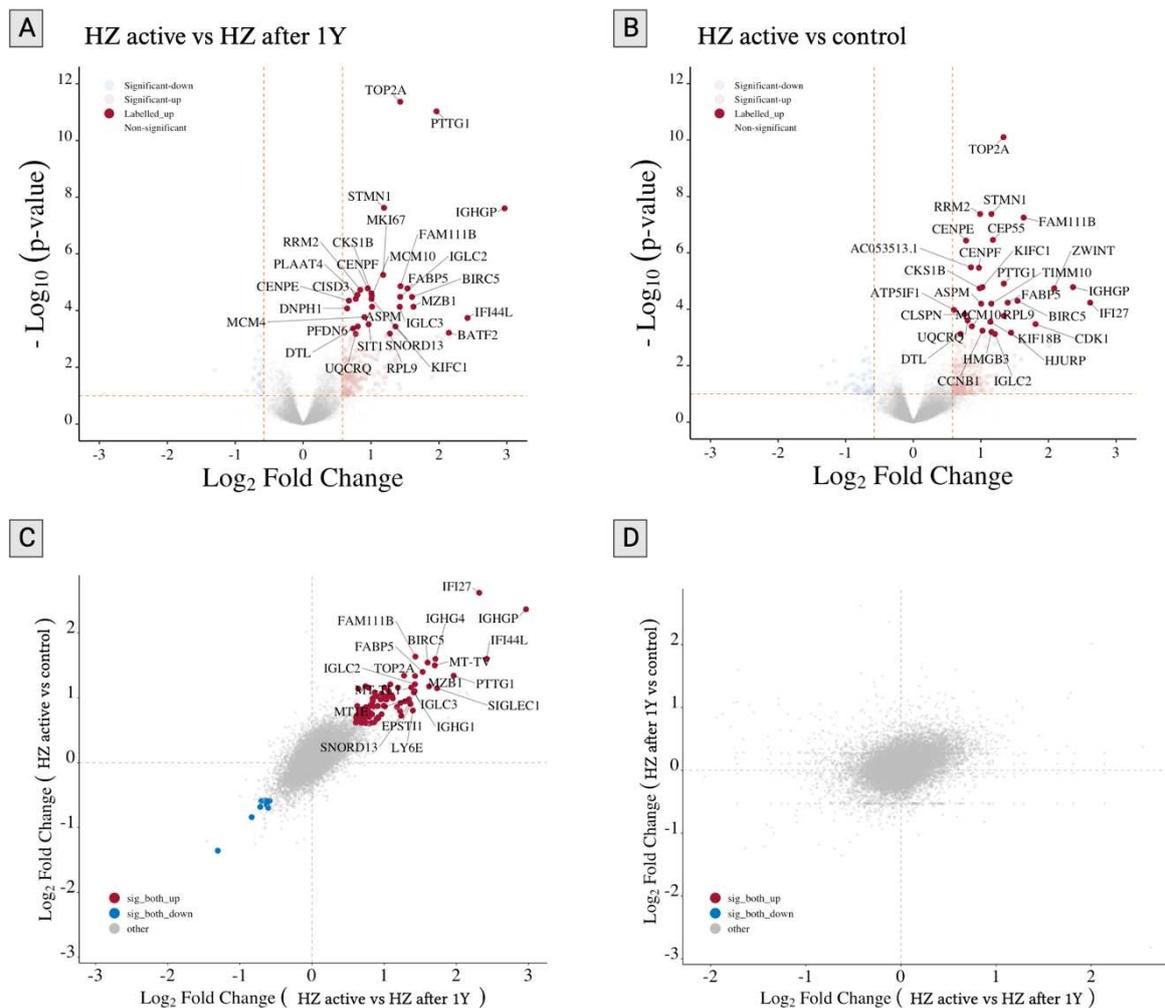


506

507 **Figure 2: Manhattan plot of the 'white' subpopulation identified an association of the MHC locus with**
508 **HZ.** (A) The Manhattan plot depicts different genetic variants ($n=48,015$) and their association with the
509 development of herpes zoster. The plot was made using the 'qqman' R package. (B) QQ plot for the

510 association analysis, depicting the expected versus the observed p-values. (C) Manhattan plot of the
 511 zoomed in MHC locus on chromosome 6 identifies variants in HLA-related genes including MICB. The most
 512 highly associated variant ($p=2.521e-07$) is annotated using dbSNP. Green = MHC locus; MHC = Major
 513 Histocompatibility Complex; MICB = MHC class I polypeptide-related sequence B.

514

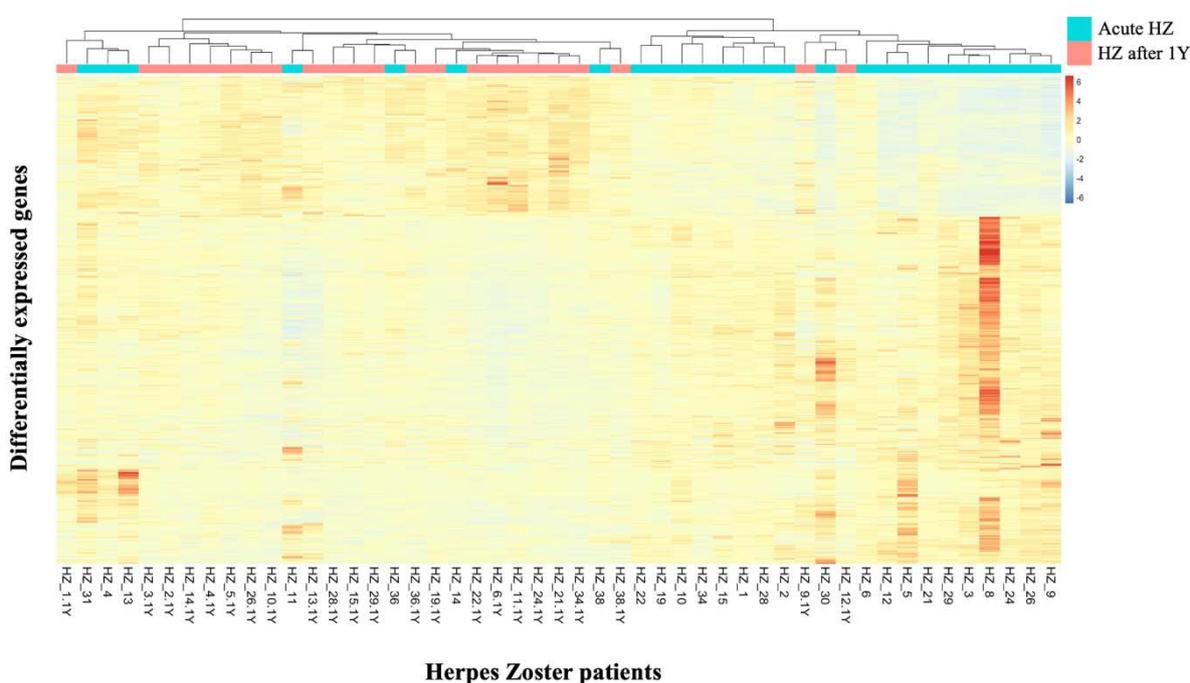


515

516 **Figure 3: Differential expression analysis identifies overexpression of innate and adaptive immune**
 517 **transcripts in active HZ disease.** (A, B) Volcano plots represent top differential expression transcripts of (A)
 518 HZ Active vs HZ after 1Y and (B) HZ Active vs control. Dotted orange lines represent the $FDR=0.1$ and
 519 $\logFC\pm 0.58$ cut-off. (C,D) Scatter plot of \logFC for (C) HZ Active vs HZ after 1Y compared to HZ Active vs

520 control and (D) HZ Active vs HZ after 1Y compared to logFC of HZ after 1Y vs control. Significantly
 521 upregulated in both (red), significantly downregulated in both (blue); significantly dysregulated in both with
 522 opposite logFC (orange). Non-significant in at least one analysis (grey). Dotted grey lines represent $x=0$ and
 523 $y=0$ logFC. Grey dots denote non-significant transcripts ($FDR>0.1$), colored dots were significantly
 524 dysregulated. Figures were made using ggVolcanoR. HZ = Herpes Zoster; FDR = False discovery rate; logFC
 525 = Log2 fold change.

526



527

528 **Figure 4: Heatmap of DEGs from blood taken during HZ compared to one year after HZ.** Heat map shows
 529 clustering of gene expression profiles from blood taken during the acute HZ episode (HZ_XX, light blue) and
 530 clustering of those one year after the HZ episode (HZ_XX.1Y, pink/red).

531

532 **TABLES AND TABLE LEGENDS**

533 **Table 1: All significant HLA alleles related to HZ development in HZ patients versus healthy participants.**

	Adj. P values
--	---------------

HLA alleles	Odds ratio	95% CI	Enrichment	Depletion
A*01:01	1.170	1.082 – 1.264	0.0130	/
B*07:02	1.175	1.081 – 1.276	0.0130	/
C*07:02	1.163	1.072 – 1.261	0.0153	/
B*40:01	1.234	1.098 – 1.384	0.0195	/
A*33:03 [^]	0.351	0.186 – 0.602	/	0.0021
DRB1*11:01 [^]	0.736	0.621 – 0.867	/	0.0122
A*02:01	0.874	0.810 – 0.943	/	0.0264
DRB3*02:02 [^]	0.860	0.787 – 0.939	/	0.0264
DQB1*03:01	0.873	0.803 – 0.948	/	0.0381

534 All significantly enriched or depleted HLA alleles in the complete UK Biobank dataset with their odds ratio
535 and 95% confidence intervals. CI = Confidence Interval, ^ = significantly depleted in all three subpopulations

536

537 **Table 2: Top GO categories related to viral processes and host immune responses of DEGs during HZ**
538 **versus those one year after HZ.**

GO.ID	Term	P value
GO:0019083	viral transcription	1.00E-20
GO:0002479	antigen processing and presentation of exogenous peptide antigen via MHC class I, TAP-dependent	0.00014
GO:0050852	T cell receptor signaling pathway	0.0002
GO:0050853	B cell receptor signaling pathway	0.00029
GO:0035722	interleukin-12-mediated signaling pathway	0.00042
GO:0070498	interleukin-1-mediated signaling pathway	0.00056
GO:0060337	type I interferon signaling pathway	0.00094

GO:0051607	defense response to virus	0.00095
GO:0016032	viral process	0.00102
GO:0032020	ISG15-protein conjugation	0.00165
GO:0038096	Fc-gamma receptor signaling pathway involved in phagocytosis	0.00459
GO:0006955	immune response	0.01661
GO:0045059	positive thymic T cell selection	0.01864
GO:0006910	phagocytosis, recognition	0.02094
GO:0019886	antigen processing and presentation of exogenous peptide antigen via MHC class II	0.02287
GO:0006958	complement activation, classical pathway	0.02994
GO:0002230	positive regulation of defense response to virus by host	0.0316
GO:0046596	regulation of viral entry into host cell	0.03664
GO:0019064	fusion of virus membrane with host plasmamembrane	0.03672
GO:0050871	positive regulation of B cell activation	0.03989

539

540 **Table 3: GO categories related to viral processes and host immune responses during HZ versus control**
541 **participants**

GO.ID	Term	P value
GO:0019083	viral transcription	7.10E-15
GO:0035722	interleukin-12-mediated signaling pathway	0.01785
GO:0016032	viral process	0.02103
GO:0002479	antigen processing and presentation of exogenous peptide antigen via MHC class I, TAP-dependent	0.02176

GO:0006910	phagocytosis, recognition	0.02291
GO:0070498	interleukin-1-mediated signaling pathway	0.03111

542

543