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1 **Prospective One Health genetic surveillance in Vietnam identifies distinct**
2 ***bla*_{CTX-M}-harbouring *Escherichia coli* in food-chain and human-derived**
3 **samples**

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28 **ABSTRACT**

29 **Objectives:** We performed a One Health surveillance in Hanoi – a region with a high-density
30 human population and livestock production, and a recognized hotspot of animal-associated
31 antimicrobial resistance (AMR) – to study the contribution of *bla*_{CTX-M}-carrying *E. coli* and
32 plasmids from food-animal sources in causing human community-acquired urinary tract
33 infections (CA-UTIs).

34 **Methods:** During 2014-2015, 9,090 samples were collected from CA-UTI patients
35 (urines, n=8,564), pigs/chickens from farms and slaughterhouses (faeces, carcasses,
36 n=448), and from the slaughterhouse environment (surface-swabs, water, n=78). *E. coli*
37 were identified in 2,084 samples. ESBL production was confirmed in 235, and *bla*_{CTX-M}
38 in 198 strains by PCR that underwent short-read plasmid sequencing. Fourteen strains
39 were long-read sequenced to enable plasmid reconstruction.

40 **Results:** Majority of the ESBL-producing *E. coli* harboured *bla*_{CTX-M} (n=198/235, 84%).
41 High clonal diversity (48 STs) and distinct, dominant STs in human (ST1193, n=38/137;
42 ST131, n=30/137) and non-human sources (ST155, n=25/61) indicated lack of clonal
43 transmission between habitats. Eight *bla*_{CTX-M} variants were identified; 5 were present in
44 at least two sample sources. Human and food-animal strains did not show similar
45 plasmids carrying shared *bla*_{CTX-M} genes. However, IS6 elements flanking IS*Ecp1*-
46 *bla*_{CTX-M}-*orf477*/IS903B structures were common across habitats.

47 **Conclusions:** In this study, animal-associated *bla*_{CTX-M}-*E. coli* or *bla*_{CTX-M}-plasmids were not
48 direct sources of CA-UTIs or ESBL resistance in humans, respectively, suggesting
49 evolutionary bottlenecks to their adaptation to a new host species. Presence of common IS6
50 elements flanking *bla*_{CTX-M} variants in different plasmid backbones, however, highlighted the
51 potential of these transposable elements for AMR transmission either within or across
52 habitats.

53

54 **INTRODUCTION**

55 Of all antimicrobials sold globally, more than 70% are used in raising food-animals, either as
56 therapeutic agents or as growth promoters (1). One Health studies have demonstrated the
57 potential of antimicrobial resistance (AMR) transfer to humans via food, and by exchange of
58 mobile elements harbouring AMR genes between animal, human and environmental habitats
59 (2, 3).

60 *Escherichia coli* is, arguably, the most common bacterium traversing all habitats as benign
61 microbe or pathogen and a poster child for One Health studies attempting to understand
62 microbe or plasmid flow between habitats. Similarly, beta-lactams represent the most
63 common antibiotic class used in both humans and animals, and genes encoding beta-lactam
64 resistance – extended-spectrum beta-lactamases (ESBL) of the *bla*_{CTX-M} class harboured on a
65 variety of conjugative plasmids – are predictably very frequent among *E. coli* in different
66 habitats (4, 5). The transmission of *bla*_{CTX-M}-harbouring *E. coli* (*bla*_{CTX-M}-Ec) between
67 contaminated food-animals and humans has been suggested by several reports (6-8).

68 Vietnam bears a heavy burden of infectious diseases with an increasing prevalence of ESBL-
69 producing *E. coli* (ESBL-Ec) from 34.4% in 2007 to 48.1% during 2009-2011 and a
70 predominance of *bla*_{CTX-M}-Ec across habitats (9-11). Use of antibiotics, available over-the-
71 counter for both humans and animals, is concordantly high accounting for >70% of
72 pharmaceuticals used in livestock farming (12-15). Further, close proximity of small-scale
73 food-animal processing facilities to populated areas that practise manual slaughtering coupled
74 with weak biosecurity and food-safety controls (13) poses a high risk to the local population.
75 Recent studies utilizing whole-genome sequencing (WGS) have, however, reported a limited
76 potential of clonal transmission of ESBL-Ec between habitats and implied plasmids as
77 vehicles of disseminating *bla*_{CTX-M} genes (16, 17). Thus, in this study, we aimed to

78 investigate the transmission dynamics of *bla*_{CTX-M} genes, whether mediated by successful *E.*
79 *coli* clones using multilocus sequence-typing and WGS, or by plasmids and smaller mobile
80 genetic elements (MGEs) using plasmid-based sequencing. The overarching aim was to
81 understand the contribution of food-animal sources in causing community-acquired urinary
82 tract infections (CA-UTIs). We carried out this study in the Hanoi metropolitan area in
83 northern Vietnam, a heavily populated region with high-density livestock production,
84 demonstrating a high antibiotic consumption in livestock farming in the country (18), and a
85 recognized hotspot of animal-associated AMR.

86 **METHODS**

87 **A priori One Health study design and sample/strain collection**

88 This study, approved by the Ethics Committee of Hanoi School of Public Health (registration
89 number 262/2014/YTCC-HD3), was conducted over two years (February 2014–December
90 2015). Following written informed consent from patients or their legal guardians, urines were
91 collected from 8,564 outpatients consulting for symptomatic urinary tract infections (UTIs) in
92 three hospitals in Hanoi: National Hospital of Paediatrics (NHP), Military Hospital (MH),
93 and Vietnam-Cuba Hospital (VNCB) (Figure 1).

94 During the same time period and within a 5-33 km distance from the three hospitals, samples
95 from live (n=48) and slaughtered chickens (n=228), live (n=27) and slaughtered pigs
96 (n=145), and their environment in slaughterhouses (n=78) were collected from four farms and
97 eight small-scale slaughterhouses in Hung Yen, Hoai Duc, and Hanoi (Figure 1).

98 Inclusion criteria for patients, animal sampling, microbiological and sequencing analyses are
99 detailed in Additional File 1.

100 ESBL-producing *E. coli* (ESBL-Ec)

101 A total of 2,084 *E. coli*, isolated from urine and non-human samples (Table 1), were
102 phenotyped for ESBL production. ESBL-Ec (n=235) and *bla*_{CTX-M}-Ec (n=198) were
103 genotyped for *bla*_{CTX-M} and multilocus sequence types (MLST), respectively, by PCR/Sanger
104 sequencing (Figure 2). The 198 *bla*_{CTX-M}-Ec were further screened for susceptibility to 16
105 antibiotics from 10 classes (Additional file 2).

106 **Sequencing and Bioinformatics analyses**

107 Plasmids isolated from 198 *bla*_{CTX-M}-Ec (Zyppy™ Plasmid MiniPrep kit, Zymo Research,
108 USA), were sequenced (2x250 bp, MiSeq, Illumina Inc., USA). Plasmid and chromosomal
109 raw reads were separated and subjected to a secondary analysis workflow (Additional file 1).

110 The mean sequencing coverage obtained for plasmids of 198 *bla*_{CTX-M}-Ec strains was 90X
111 (median: 72X, range: 22-455X, IQR: 50-102X).

112 Long-read sequencing (MinION, Oxford Nanopore Technology, UK) was performed on
113 plasmid DNA of 11 *bla*_{CTX-M}-Ec representing *bla*_{CTX-M} variants present in more than one
114 sample source. Three strains found to harbour chromosomal *bla*_{CTX-M} by short-read
115 sequencing were whole-genome long-read sequenced. *De novo* hybrid assembled plasmid
116 scaffolds were used for subsequent reference-mapping analysis. Plasmid similarity was
117 defined on a >90% sequence coverage and identity with the reference plasmid.

118 ST10 *bla*_{CTX-M}-Ec comparative genomic analysis

119 ST10 *bla*_{CTX-M}-Ec were recovered from both humans, animal and environmental samples. To
120 understand clonal relatedness, genomic DNAs from ST10 strains recovered from this study
121 (n=4) were also subjected to whole-genome short-read sequencing. In addition, sequences
122 from 18 ST10 *E. coli* from different sample sources and geographical regions were retrieved
123 from publicly available databases. Genomic diversity between these strains was studied using
124 a gene-by-gene approach (core-and whole-genome MLST, cg/wgMLST) and pan-genome
125 analysis (Additional file 1).

126 **Statistical analyses**

127 Normal distribution of the sample groups and equality of the variances were assessed by the
128 Kolmogorov-Smirnov, chi-square test and Hartley's f test. Fisher's exact, ANOVA and non-
129 parametric Kruskal-Wallis tests were used to compare the prevalence and the resistance
130 levels (i.e., number of antibiotic categories that a strain exhibited resistance to and expressed
131 as medians and ranges) of the studied groups. OpenEpi (<http://www.openepi.com>) (19) and R
132 v.3.5.1 were used for analyses (95% confidence interval and $P < 0.05$).

133 **RESULTS**134 **ESBL-producing and multidrug-resistant *bla*_{CTX-M}-Ec across sample sources**

135 From all sample sources, 9,090 samples were screened; *E. coli* was identified in 2,084 (23%);
136 ESBL production was confirmed in 235/2,084 (11%) *E. coli* (Table 1). Compared to urine
137 (152/1,705, 9%), the prevalence of ESBL-Ec was higher in live chickens (11/28, 39%, $P =$
138 $4e-05$), chicken carcasses (42/187, 22%, $P = 3e-07$), and in pigs (10/20, 50%, $P = 7e-6$), but
139 not in the environmental (9/48, 19%, $P = 0.054$) or pig carcass (11/96, 11%, $P = 0.49$)
140 samples.

141 Among the 235 ESBL-Ec, *bla*_{CTX-M}-Ec (n=198, 84%) were predominant, ranging from 27%
142 to 91% across sample sources (Table 1). Of the 137 patients with CA-UTIs caused by *bla*_{CTX-}
143 _M-Ec, average age was 3.7 years (range 0.08–42 years). Majority of *bla*_{CTX-M}-Ec (n=192,
144 97%) were multidrug-resistant (MDR, i.e., resistant to ≥ 1 agent in ≥ 3 classes) harbouring a
145 rich pool of 69 acquired antimicrobial resistance genes (ARGs) (Additional file 3). Six non-
146 MDR strains were isolated from humans and resistant to 2 drug classes. Strains from non-
147 human sources (n=61) were resistant to more antibiotic categories (median: 5 vs 4, range: 3-7
148 vs 2-8, $P = 0.0015$) and carried more acquired ARGs (median: 13 vs 10, range: 2-20 vs 1-18,
149 $P < 0.001$) than 137 strains from human sources.

150 **Distinct sequence types indicate lack of clonal transmission across sample sources**

151 Among the 198 *bla*_{CTX-M}-Ec, we identified 48 different sequence types (STs). Most STs,
152 except for ST10 and ST155, were source-specific i.e., found in strains from one sample origin
153 only. ST155 was a chicken-specific lineage found in live chickens (7/10, 70%), chicken
154 carcasses (16/34, 47%), and the environment in chicken slaughterhouses (2/7, 29%).
155 ST10 was found in 1 urine and in non-human samples i.e., from chicken carcasses (n=2) and
156 pig slaughterhouse environment (n=1). A comparative analysis on the 22 ST10 genomes
157 showed 12 distinct typable O:H serotypes (Additional file 4: Table S1) and cgMLST allelic

158 loci distance ranging from 275 to 1,108 between the ST10 *E. coli* isolated from humans and
159 non-human sources. Human ST10 (n=9) clustered distinctly from the other 13 non-human
160 strains (Additional file 5: Figure SF3). Amongst these 22 ST10 genomes, of the 13,152 genes
161 identified as the pan-genome, only 2,744 genes formed the core-genome, indicating highly
162 varied accessory genomes carried by ST10 across sample sources. These results suggest
163 distinct animal- and human-adapted sub-lineages of ST10.

164 In the 137 patients with CA-UTIs due to *bla*_{CTX-M}-Ec, 31 STs were found, with the most
165 prevalent being ST1193 (28%, n=38), ST131 (22%, n=30) and ST12 (9%, n=12). Non-human
166 samples yielded 61 *bla*_{CTX-M}-Ec, wherein the human STs were not identified (Figure 3A),
167 and were divided into 18 STs among which ST155 (41%, n=25), ST7176 (11%, n=7) and
168 ST93 (10%, n=6) were predominant.

169 **Distinct dominant *bla*_{CTX-M} variants across sample sources suggest limited sharing**
170 **between the studied niches**

171 The 198 *bla*_{CTX-M}-Ec harboured several plasmid incompatibility (Inc) types (Additional file
172 3), on average three per strain (range: 1–6, IQR: 2–3). IncF was the most prevalent Inc type
173 (191/198, 96%) found in all sample sources.

174 Eight *bla*_{CTX-M} variants belonging to CTX-M group 1 and CTX-M group 9 were identified. In
175 human CA-UTI strains, six *bla*_{CTX-M} variants were found (Figure 3B); and *bla*_{CTX-M-27} was
176 predominant (105/137, 77%) while it was found in only five non-human strains. *bla*_{CTX-M-27}
177 was associated mostly with ST1193 (36/137, 26%) and ST131 (19/137, 14%) in human
178 samples. These two combinations were not observed in non-human sources.

179 Of the six *bla*_{CTX-M} variants identified in non-human sources, *bla*_{CTX-M-55}, although present
180 only in 5 human strains, was the most dominant variant (22/61, 36%). The most common ST-
181 *bla*_{CTX-M} combination identified in non-human sources was ST155-*bla*_{CTX-M-65} (12/61, 20%).

182 The only combination overlapping between human and non-human samples was ST10-
183 *bla*_{CTX-M-27}, uniquely found in one human (U-NHP-95) and one environmental strain from a
184 pig slaughterhouse surface (E11). However, the ST10 comparative genomic analysis showed
185 899 cgMLST allelic loci differences between these two strains.

186 **Limited genetic similarity suggests a restricted horizontal transmission of *bla*_{CTX-M}-**
187 **harbouring plasmids from food-animals to humans**

188 The mobile genetic elements adjoining *bla*_{CTX-M} were specific to CTX-M groups regardless of
189 the sample origins or the plasmid/chromosomal locations of the genes (Figure 4A). *ISEcp1*
190 element, either intact or truncated, with a standard promoter region, was found upstream of
191 all *bla*_{CTX-M} genes in both CTX-M groups. Downstream of *bla*_{CTX-M} genes, *orf477* sequence
192 was found in CTX-M group 1, and *IS903B* was found in CTX-M group 9.

193 Fourteen strains carrying five *bla*_{CTX-M} variants (*bla*_{CTX-M-15}, *bla*_{CTX-M-55}, *bla*_{CTX-M-14}, *bla*_{CTX-M-}
194 *27*, and *bla*_{CTX-M-65}) commonly found in more than one sample origin were selected for long-
195 read sequencing. These *bla*_{CTX-M} located on 13 plasmids belonging to 5 incompatibility types
196 (IncFII, IncFIB, IncHI2, IncI1, IncN) and ranging from 75 kb to 257 kb in size (Additional
197 file 4: Table S3). Three *bla*_{CTX-M} chromosomal locations were also identified.

198 Between strains from human urine and food-animals in either farms or slaughterhouses, we
199 did not identify similar genetic structures of plasmids harbouring shared *bla*_{CTX-M} genes,
200 suggesting a limited contribution of *bla*_{CTX-M}-harbouring plasmids to disseminating *bla*_{CTX-M}
201 directly between humans and food-animals.

202 On the contrary, between strains from the environment of the slaughterhouses and strains
203 from slaughtered food-animals or humans, highly similar plasmids were observed (Figure
204 4B). A multi-replicon IncHI2–IncN plasmid (pE64, 256,080 bp), co-harbouring *bla*_{CTX-M-14}
205 and *mcr-1.1*, was identified from an ST48 chicken carcass strain (Additional 5: Figure SF4).
206 The detailed descriptions of this multi-replicon plasmid was provided in Additional file 3.

207 Highly similar plasmids (pE40, pE46, pE70, and pE78, Additional file 5: Figure SF5) sharing
208 99-100% identity to 97-99% the length of pE64 plasmid were isolated from two
209 environmental strains and two chicken carcass strains from different slaughterhouses
210 (Additional file 4: Table S3).

211 On the other hand, between strains isolated from the slaughterhouse environment and
212 humans, similar *bla*_{CTX-M-55}-IncFII plasmids (pE10 and pUNHP31) sharing 99% homology
213 were also observed (Figure 4B, Additional file 4: Table S3). The two plasmids co-harboured
214 *cmlA* encoding for chloramphenicol resistance.

215 We also performed long-read sequencing on the two ST10-*bla*_{CTX-M-27} strains (urine strain:
216 U-NHP-95 and environmental strain: E11). The results showed that *bla*_{CTX-M-27} were located
217 on IncFII plasmids in both strains; however, the two plasmids shared only 69% similarity.
218 While the genes surrounding *bla*_{CTX-M-27} were highly similar: *mph(A)*-IS26-*erm(B)*- Δ TnAs3-
219 IS26- Δ ISEcp1-*bla*_{CTX-M-27}- Δ IS903B and the ISEcp1 element (1,656 bp) was truncated in
220 both structures, the lengths of the truncated ISEcp1 elements were essentially different (149
221 bp in U-NHP-95 vs 540 bp in E11). This divergence indicated that these two genetic
222 structures were not originated from the same origin or underwent distinct genetic
223 rearrangements.

224 Intriguingly, we found IS6 family elements (IS26, IS15DIV and IS15DI) flanking the *bla*_{CTX-}
225 _M genetic unit (ISEcp1-*bla*_{CTX-M}-*orf477*/IS903B) in both CTX-M group 1 (*bla*_{CTX-M-55}) and
226 group 9 (*bla*_{CTX-M-27}) in 34 clinical, 8 chicken carcass and 1 environmental strain belonging to
227 diverse STs. This frequent occurrence of IS6 flanking *bla*_{CTX-M-27} and *bla*_{CTX-M-55} observed in
228 humans and non-human sample sources suggested that the IS6 family, in particular IS26,
229 IS15DIV and IS15DI, using replicative transposition mechanism, might play an important
230 role in mediating the mobilization of *bla*_{CTX-M}. However, it did not necessarily prove that
231 *bla*_{CTX-M} genes were directly transmitted between food-animals and humans.

232 **DISCUSSION**

233 In this study, we collected *bla*_{CTX-M}-*Ec* from sympatric populations of patients with CA-UTI,
234 from food-animals and from their immediate environment in slaughterhouses to delineate
235 whether transmission events occurred and whether these were mediated by *E. coli* clones, or
236 conjugative plasmids or MGEs flanking *bla*_{CTX-M}.

237 Food-borne acquisition of multi-drug resistant *E. coli* has been much-studied during the last
238 decade albeit with varying results. Evidence of transmission of whole-bacteria and plasmids
239 from poultry and their contribution to human extraintestinal infections has been reported,
240 while other studies, utilizing similar typing methods, did not find any evidence of such
241 transmission events (8). These discrepancies could be attributed to the low granularity of the
242 available typing approaches (single gene amplification or restriction mapping) when these
243 studies were carried out. The recent utilization of whole-genome sequencing did not support
244 ESBL-*Ec* transmission (16, 20), although low-level transmission of *bla*_{CTX-M-1}-harbouring
245 plasmids between humans and livestock was observed (16).

246 We also identified ST (ST10), plasmid types (IncF, IncHI2, InI1) and *bla*_{CTX-M} variants
247 (*bla*_{CTX-M-15}, *bla*_{CTX-M-55}, *bla*_{CTX-M-14}, *bla*_{CTX-M-27}, and *bla*_{CTX-M-65}) that were shared between
248 chickens/pigs and humans. Even though we had enriched for plasmid sequences by plasmid
249 sequencing, plasmid reconstruction based on short reads was challenging mainly due to large
250 MDR plasmids containing repeated sequences and MGEs (21). Consequently, conferring
251 plasmid transmission based on the presence of shared plasmid replicon types resulted from
252 short-read sequencing might produce erroneous results. Long-read sequencing of plasmids
253 and of whole genomes that enabled clear delineation and assembly of the genetic context of
254 shared *bla*_{CTX-M} genes, also carried on the same plasmid Inc types, gave no evidence of
255 horizontal transmission between sources. Importantly, several IS6-family elements flanking

256 *bla*_{CTX-M} were commonly found across sample sources, and lend support to growing evidence
257 of IS-mediated transfer as a plausible means of *bla*_{CTX-M} dissemination.

258 Key strengths of our study was a focus on CA-UTI, an infection with a direct causal
259 association with the gut flora (22, 23), which also constitutes a potential reservoir of ESBL-
260 *Ec* acquired via food. In addition to a prospective One Health study design, we also ensured
261 that the sampled food-animal sources were geographically proximal to the hospitals
262 recruiting CA-UTI patients. Finally, our study location was optimal to delineate the burden of
263 livestock-associated ESBL-*Ec* in human infections: (i) Cephalosporins are one of the most
264 commonly-used antibiotics in Vietnam (15, 24); (ii) Hanoi locates in the Red River Delta,
265 one of the hotspots of resistance in animals where cephalosporin is also used in animal
266 farming, albeit at lower levels than in humans (1, 25, 26); and (iii) Both sectors report a high
267 prevalence of ESBL-*Ec* (10, 18).

268 In concordance with a previous report (27), we found a similar prevalence of ESBL-*Ec*
269 among non-human samples. However, the small number of poultry and pig farms sampled
270 might not be entirely representative of the diversity of *bla*_{CTX-M}-*Ec* harboured in food animals
271 in Vietnam. The origin of slaughtered animals, currently untraceable due to the lack of record
272 systems and the involvement of multiple stakeholders in the food value chain (28), further
273 hampered our efforts to define an epidemiological network. Another potential study
274 limitation was the high proportion of children/infants among the recruited patients: 114/137
275 were <5-years-old and 41/137 were <1-year-old. In Vietnam, however, it is common practice
276 for adults with mildly symptomatic UTI to self-medicate with over-the-counter bought
277 antibiotics. Whereas, parents of children/infants with UTI symptoms are more likely to seek
278 professional advice. While intake of animal protein by infants is likely limited, recent studies
279 have demonstrated a high abundance of antibiotic resistance genes in the infant gut

280 microbiota even in the absence of antibiotic exposure with potential sources being breast
281 milk, and the maternal gut microbiota (29, 30).

282 In conclusion, we found distinct *bla*_{CTX-M}-Ec and *bla*_{CTX-M}-harbouring plasmids in *E. coli*
283 among food-animals and in humans with CA-UTI. These data suggest evolutionary
284 bottlenecks to the adaptation of strains and plasmids across co-habiting host species. Presence
285 of common IS6-family elements flanking *bla*_{CTX-M} variants in different plasmid backbones,
286 however, highlights these transposable elements as potential AMR transmission vehicles
287 either within or across habitats.

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288 Additional files

289 Additional file 1 (docx, 41 kb): Supplementary Methods.

290 Additional file 2 (xlsx, 37 kb): Strain details.

291 Additional file 3 (docx, 36 kb): Supplementary Results.

292 Additional file 4 (docx, 37 kb): Supplementary Tables.

293 Additional file 5 (docx, 3803 kb): Supplementary Figures.

294 Transparency declaration**295 Consent for publication**

296 Not applicable.

297 Availability of data and materials

298 The sequencing data of this study have been deposited in GenBank database under BioProject
299 accession number PRJNA614455.

300 Conflict of interest

301 The authors declare that they have no competing interests.

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311 data interpretation, or writing of the report.

312 **Authors' contribution**

313 SMK and TTHH designed the study and experiments. SMK, THH, HG, ADD were
314 responsible for managing the project. SMK, TTHH, CL and TNP designed the sampling
315 framework. MNN, CL, TTHH, THL, TBNH, TNP, ADD, TSN collected samples and strains,
316 performed laboratory work and contributed to the acquisition of the data. MNN and BBX
317 performed bioinformatics analyses. MNN, BBX, and SMK interpreted the data and wrote the
318 manuscript. All authors read and approved the final manuscript.

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413 **Tables**414 **Table 1.** Prevalence of ESBL-*E. coli* and *bla*_{CTX-M}-*E. coli* across samples sources

Sample source	Number of samples	<i>E. coli</i> n (%)	ESBL- <i>E. coli</i> n (%)	<i>bla</i> _{CTX-M} - <i>E. coli</i> n (%)
Humans – CA-UTI patients	8564	1705 (20%)	152 (9%)	137 (90%)
Chickens	48	28 (58%)	11 (39%)	10 (91%)
Chicken carcasses	228	187 (82%)	42 (22%)	34 (81%)
Pigs	27	20 (74%)	10 (50%)	7 (70%)
Pig carcasses	145	96 (66%)	11 (11%)	3 (27%)
Slaughterhouse environment	78	48 (62%)	9 (19%)	7 (78%)
Total	9090	2084 (23%)	235 (11%)	198 (84%)

415

416

417

418 **Figure legends**

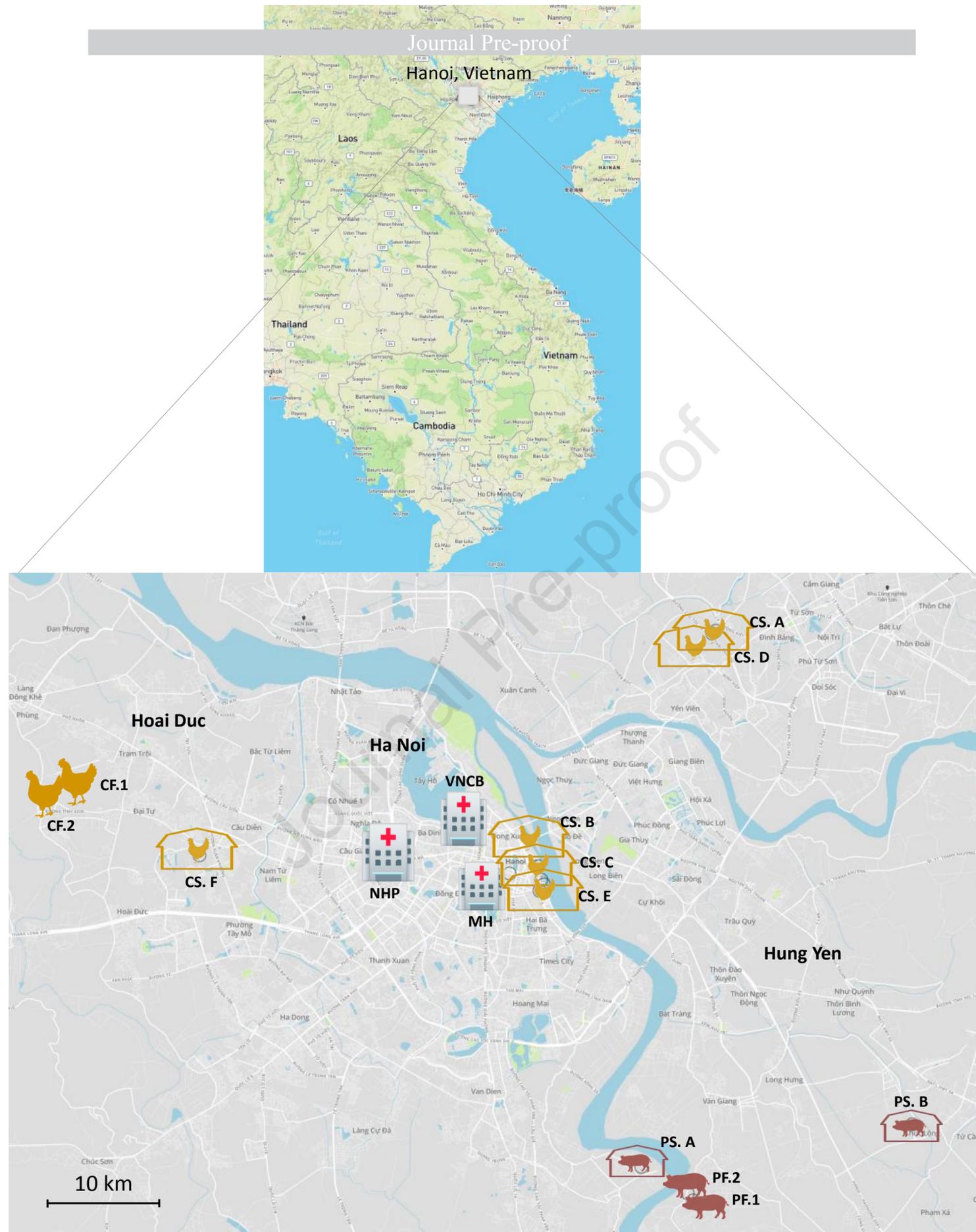
419 **Figure 1. Sampling site co-ordinates in Hanoi metropolitan area.** Urine samples from patients
420 with UTIs were collected from 3 studied hospitals: National Hospital of Paediatrics (NHP; n=4,608),
421 Military Hospital (MH; n=2,606), and Vietnam-Cuba Hospital (VNCB; n=1,350). Food-animal
422 samples from live/slaughtered chickens and pigs were collected from two chicken farms (CF.1 and
423 CF.2), two pig farms (PF.1 and PF.2), six chicken slaughterhouses (CS.A – CS.F) and two pig
424 slaughterhouses (PS.A and PS.B). All sampling sites were in the radius of ~30 km from the hospitals,
425 mapped using Microreact tool at <https://microreact.org/>. Sample types collected from animals
426 included swabs of carcasses, caecum/cloaca, and faeces. Environmental samples included swabs
427 from the floors of the slaughter areas and the lairage areas and water samples from water sources
428 used for the slaughtering process.

429 **Figure 2. Schematic workflow describing the experimental study design.**

430 **Figure 3. (A) The association and distribution of dominant bla_{CTX-M} -Ec clones across sample**
431 **sources. (B) Prevalence of bla_{CTX-M} variants in each sample origin.** Three clinical strains each
432 carried two bla_{CTX-M} genes.

433 **Figure 4. (A) Schematic representations of typical genetic structures flanking bla_{CTX-M} genes**
434 **involving *ISEcp1*, putative promoter and *orf477/IS903B* elements adjoining bla_{CTX-M} genes in group**
435 **1 and group 9. The spacer between *ISEcp1* and bla_{CTX-M} group 1 genes were varied from 45 bp to**
436 **127 bp. While the spacers between *ISEcp1* or *orf477/IS903B* and bla_{CTX-M} group 9 were consistent.**
437 **(B) Shared plasmids and genetic context of bla_{CTX-M} genes between sample sources identified by**
438 **long-read sequencing.**

439



Hospital (NHP, MH, VNCB)



Chicken farm (CF)



Pig farm (PF)

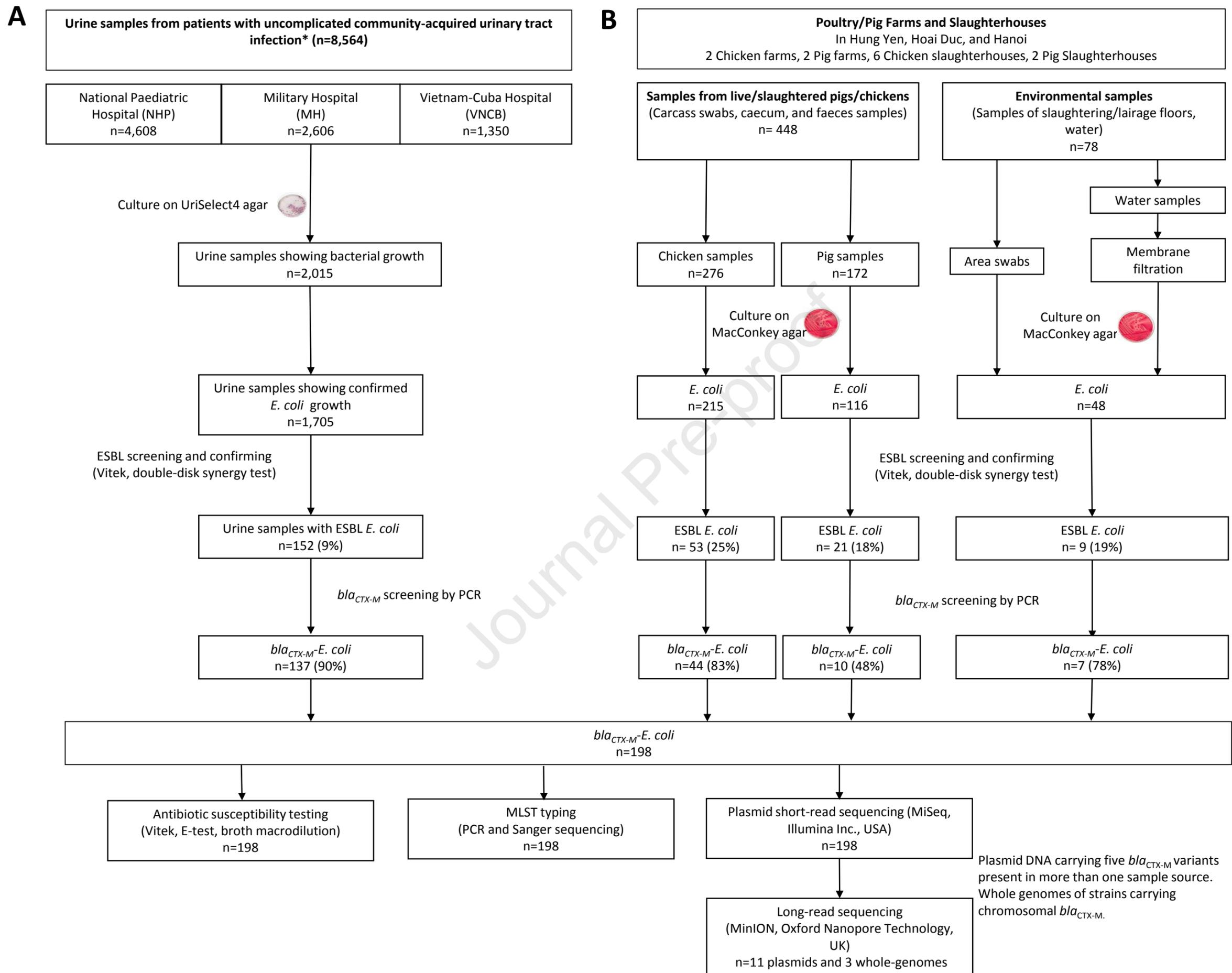


Chicken slaughterhouse (CS)



Pig slaughterhouse (PS)

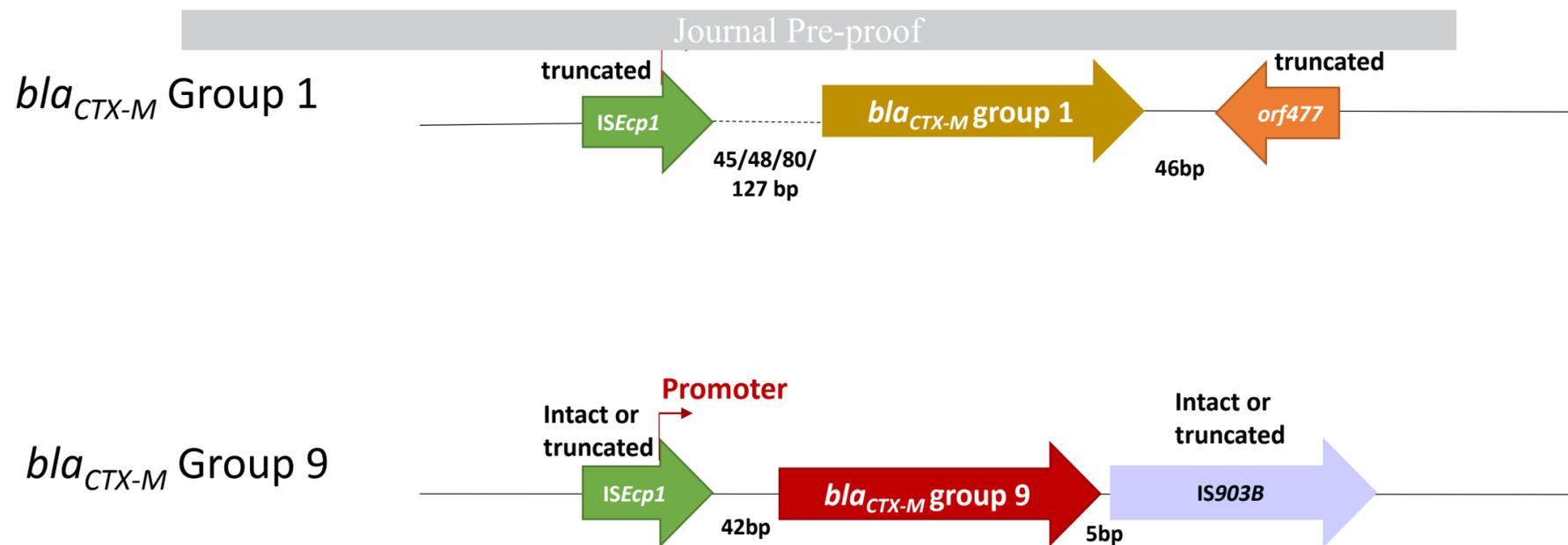
Figure 1



*Uncomplicated urinary tract infections were defined as infections without underlying renal, structural or neurological diseases based on clinical signs and symptoms

Figure 2

A



B

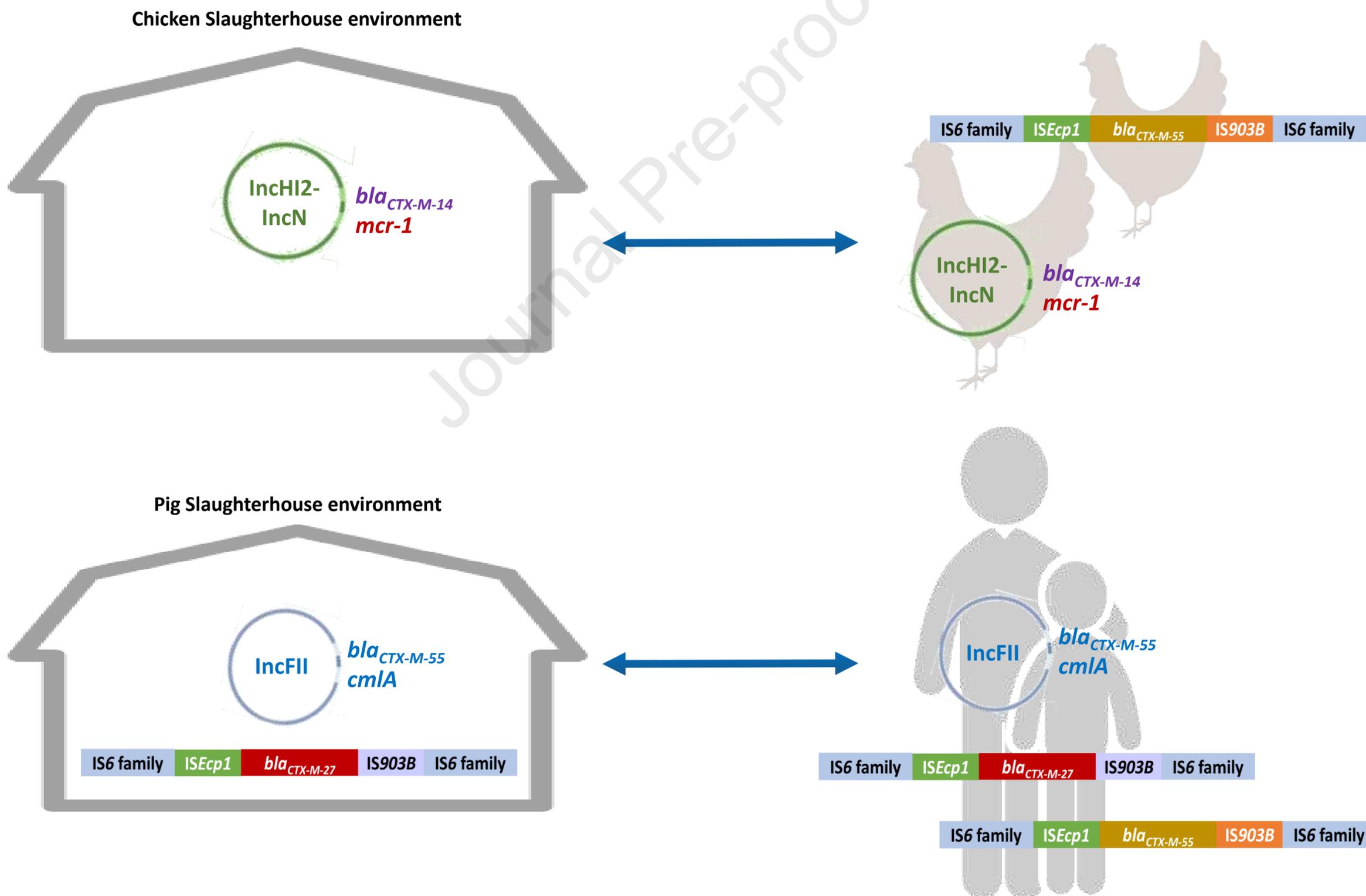


Figure 4