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Variable and consistent traffic noise negatively affect the sleep behavior of a free-living songbird

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1	Variable and consistent traffic noise negatively affect the sleep behavior of a free-living songbird
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3	Running title: Traffic noise affects sleep behavior
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15	ABSTRACT Anthropogenic noise is an ubiquitous disturbance factor, which, owing to the extensive
16	nature of transportation networks, and ability of sound waves to penetrate distances, has wide-reaching
17	impacts on biological communities. Research effort on biological effects of anthropogenic noise is
18	extensive, but has focused on waking behavior, and to our knowledge, no published experimental study
19	exists on how noise affects sleep in free-living animals. Sleep plays vital functions in processes such as
20	cellular repair and memory consolidation. Thus, understanding the potential for noise to disrupt sleep is a
21	critical research objective. Whether different noise regimes exert distinct effects on behavior also
22	remains poorly understood, as does intraspecific variation in noise sensitivity. To address these
23	knowledge gaps, we used a repeated-measures field experiment involving broad-casting traffic noise
24	recordings at great tit (Parus major) nest boxes over a series of consecutive nights. We evaluated
25	whether increasing the temporal variability and amplitude of traffic noise increased deleterious effects on
26	sleep behavior in free-living great tits, and whether individuals differed in the magnitude of responses.

27	We found that traffic noise reduced sleep duration, proportion, and bout length, and induced birds to exit
28	nest boxes earlier in the morning. There was some support for a stronger effect of more variable noise,
29	and relative to lower amplitude noise, higher amplitude noise resulted in less and more fragmented sleep.
30	Effects of noise on sleep duration were stronger in older adults, and substantial, repeatable variation
31	existed in individual responses. We demonstrate for the first time that anthropogenic noise can have
32	strong effects on sleep in free-living animals, which may have cascading effects on waking behavior,
33	physiology and fitness. Results suggest that reducing the amplitude of traffic noise may be an effective
34	mitigation strategy, and that differences in individual sensitivity are important to consider when
35	evaluating effects of noise exposure.
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37	Capsule: Experimental exposure to temporally variable and consistent traffic noise negatively affected
38	sleep behavior in a free-living songbird.
39	
40	Keywords: Anthropogenic noise, sleep, variability, amplitude, intraspecific variation, great tit, Parus
41	major
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43	1. Introduction Anthropogenic activities introduce environmental stimuli which can pose significant
44	challenges for diverse facets of organismal life (Kempenaers et al., 2010; Gaston et al., 2013; Swaddle et
45	al., 2015; Bauerová et al., 2017). Anthropogenic noise is particularly pervasive and has wide-reaching
46	effects on biological systems, from individuals to communities (Barber et al., 2009, 2011; Francis et al.,
47	2009; Kight and Swaddle, 2011; Francis et al., 2012). The transportation network is extensive, and sound
48	waves can travel considerable distances before attenuating in the environment (Francis and Barber, 2013).
49	Thus, anthropogenic noise can affect animals across urban-rural interfaces and even within protected
50	areas, such as National Parks and reserves (Barber et al., 2009; Buxton et al., 2017; Francis et al., 2017).
51	Most studies in free-living animals focus on how anthropogenic noise affects behavioral patterns of
52	animals during the waking phase (Siemers and Schaub, 2011; Francis and Barber, 2013; Voellmy et al.,

53 2014; Luo et al., 2015; Shannon et al., 2016; Injaian et al., 2018; Jerem and Mathews, 2020). For 54 example, anthropogenic noise can disrupt communication, impair cognition, interfere with predatory-prey 55 interactions and alter habitat use, with implications for individual fitness, population dynamics and 56 community organization (Brumm and Slabbekoorn, 2005; Patricelli and Blickley, 2006; Jones, 2008; 57 Chan et al., 2010; Kight and Swaddle, 2011; Bracciali et al., 2012; Francis et al., 2012; Nemeth et al., 58 2013; Rosa and Koper, 2018). However, noise pollution increasingly occurs across the 24-hour period, 59 and thus could also have important effects during the night, including on nocturnal sleep (Francis and 60 Barber, 2013). In fact, anthropogenic noise may be particularly disruptive at night, which has historically 61 been a relatively quiet period. Sleep is a widely conserved characteristic of organismal existence that 62 fulfills critical functions in cellular repair and memory consolidation (Cirelli and Tononi, 2008; 63 Diekelmann and Born, 2010; Rattenborg et al., 2011; Vorst and Born, 2015), and sleep deprivation has 64 been associated with cognitive impairment, pathology and fitness declines in humans and other animals 65 (Cirelli and Tononi, 2008; Gallicchio and Kalesan, 2009; Cappuccio et al., 2011). Thus, disruptive 66 effects of noise on sleep could have non-trivial fitness effects. 67 Owing in part to limited research effort, a number of significant knowledge gaps remain regarding 68 how anthropogenic noise affects the sleep behavior of animals. First, although considerable research 69 indicates negative effects of artificial light at night and anthropogenic noise on sleep duration and quality 70 in humans (Griefahn and Spreng, 2004; Hume et al., 2012; Halperin, 2014; Cho et al., 2015; Touitou et 71 al., 2017), little is known about how anthropogenic disturbance factors in general, and noise in particular, 72 affects sleep in free-living animals (Kight and Swaddle, 2011; Francis and Barber, 2013; but see 73 Aulsebrook et al., 2018 for a review on artificial light at night). Our research group has demonstrated that 74 exposure to light at night inside nest boxes has pronounced effects on the sleep behavior of a common 75 European songbird, the great tit (*Parus major*) (Raap et al., 2015, 2016, 2017), and Aulsebrook et al. 76 (2020) demonstrated that artificial light at night affects the quantity and quality of sleep in domestic 77 pigeons (*Columba livia*) and wild-caught Australian mappies (*Cracticus tibicen tyrannica*) in captivity. 78 On the other hand, a study on the combined effects of light and noise pollution on sleep in free-living

great tits reported no effects of ambient pollution levels (Caorsi et al., 2019). To our knowledge, whether anthropogenic noise modifies the sleep behavior of wild animals has not been experimentally investigated. However, considerable research suggests that anthropogenic noise exposure advances the

timing of dawn song, which is indirect evidence that noise may affect sleep behavior (Dominoni et al.,

83 2016; Dorado-Correa et al., 2016; Hennigar et al., 2019).

84 Second, whether different anthropogenic noise regimes exert distinct effects on behaviors, including 85 sleep, remains poorly understood. A substantial number of human studies, and a few studies in animals, 86 suggest that properties of noise regimes besides average loudness, such as intermittency and frequency-87 mediated differences in detectability, can affect responses to noise, including changes in sleep (Gill et al., 88 2015; but see Wysocki et al., 2006; Blickley et al., 2012; Nichols et al., 2015). The human auditory 89 system more easily adjusts to consistent noise than intermittent noise (Westman and Walters, 1981), and 90 intermittent noise can produce more annoyance, distraction and cognitive decline (Szalma and Hancock, 91 2011; Brink et al., 2019). Research in humans and laboratory animals also suggests that variable noise 92 disrupts sleep more than consistent noise (Öhrström and Rylander, 1982; Öhrström et al., 1988; Carter, 93 1996; Rabat, 2007). Broadband, consistent noise can even enhance sleep in humans by masking other 94 environmental sounds (Messineo et al., 2017). In free-ranging animals, a few studies have found that 95 variable noise has larger behavioral and physiological effects than consistent noise. For instance, 96 semirandom traffic noise reduced the lek occupancy of greater sage grouse (*Centrocercus urophasianus*) 97 more than consistent noise from a natural gas drilling rig (Blickley et al., 2012), and intermittent, but not 98 consistent, ship noise increased cortisol levels in four fish species (Wysocki et al., 2006). Whether 99 temporally variable noise could also have different effects than consistent noise on the sleep of wild 100 animals has not been tested.

Third, individual-level variation in noise sensitivity is well-documented in humans (Belojević et al.,
102 1997; Dang-Vu et al., 2010; Shepherd et al., 2015), but less so in other animals (Harding et al., 2019).
Animal personality traits, which are repeatable and can have a genetic component (Dingemanse et al.,
2002; Sih et al., 2004; Réale et al., 2010), may affect stress responsiveness (Koolhaas et al., 1999; Atwell

105 et al., 2012; Baugh et al., 2012) and responses to noise (Harding et al., 2019), including during sleep 106 (Rabat, 2007). For instance, rat personality, measured by activity in a novel environment, was negatively 107 related to noise sensitivity during sleep, reflecting distinct neurochemical and neuroendocrine 108 characteristics of different personality types (Rabat et al., 2005). In humans, personality traits have also 109 been linked to noise sensitivity (Belojević et al., 1997; Shepherd et al., 2015), and sleep disruption by 110 noise (Zaharna and Guilleminault, 2010). Sex and age could also affect sensitivity to noise due to effects 111 on neurochemistry, neuroendocrinology and brain activity. Research suggests that older people are more 112 disrupted by noise during sleep than younger individuals (Wilkinson and Campbell, 1984; Rabat, 2007), 113 but comparable data are lacking for free-living animals. Individual differences in sensitivity to noise are 114 non-trivial, as they have implications for understanding how noise exposure affects population and 115 community dynamics (Radford et al., 2016; Harding et al., 2019). For instance, increased predation risk 116 in noise polluted areas could be mediated through loss of risk-sensitive and vigilant individuals from 117 populations, with implications for population stability (Francis and Barber, 2013). 118 We used a repeated-measures field experiment to address the knowledge gaps outlined above, using 119 free-living great tits (*Parus major*) as a study species. Our primary objective was to experimentally test 120 for an effect of anthropogenic noise on sleep behavior in free-living animals for the first time. Our 121 second objective was to explore whether different noise regimes had distinct effects on sleep behavior. 122 We specifically explored whether more temporally variable (intermittent and unpredictable in timing), 123 and higher amplitude traffic noise regimes had larger effects on sleep behavior relative to temporally 124 consistent and lower amplitude noise regimes. Our third objective was to elucidate sources of 125 intraspecific variation in responses to noise by assessing individual repeatability and analyzing whether 126 birds differing in exploratory personality type, age, or sex displayed differential sensitivity to noise. We 127 predicted that noise would disrupt sleep more in individuals that less rapidly explored a novel 128 environment (slow explorers) and older individuals. The prediction regarding exploratory personality 129 type was based on past evidence from rats (Rabat et al., 2005), and studies wherein slow versus fast 130 exploring great tits displayed higher stress responsiveness (Baugh et al., 2012) and took longer to resume

131 nestling provisioning when exposed to noise (Naguib et al., 2013). The prediction regarding age was 132 based on the human literature. We did not have specific predictions regarding sex differences in noise 133 sensitivity. We also predicted that individual differences in sleep disruption by noise might be more 134 pronounced in the variable versus consistent noise regime, because more variable noise may have more 135 potential to activate stress responses (e.g. Wysocki et al., 2006), and phenotypic variation can be 136 magnified in the context of environmental stress (Badyaev, 2005). We lacked a sufficient sample size to 137 test a parallel prediction for higher amplitude noise. Finally, our fourth objective was to assess the extent 138 to which sleep behavior would recover within a single night after noise exposure, a phenomenon that has 139 rarely been studied in animals (but see Aulsebrook et al., 2020). We predicted that sleep behavior would 140 rebound after the noise playback ceased, but that disruption would persist to a greater extent for the 141 variable and loud noise treatments, with the rationale being that these noise regimes could elevate stress 142 hormone levels to a greater extent, with enduring effects (Francis and Barber, 2013). However, we 143 reasoned that the converse could also be true, since greater initial disruption of sleep by temporally 144 variable or high amplitude noise might leave more scope for recovery. Our study provides new insights 145 into the effects of anthropogenic noise on sleep in free-living animal. These insights are particularly 146 valuable in our increasingly unquiet world.

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#### 148 **2. Materials and methods**

149 2.1. Study site and species: We studied effects of anthropogenic noise on sleep behavior in a suburban
150 nest box population of great tits (on and near University of Antwerp's campus Drie Eiken; Wilrijk,

151 Belgium; 51°9'44"N, 4°24'15"E). This population has been studied since 1997 (e.g. Van Duyse et al.,

152 2000, 2005; Rivera-Gutierrez et al., 2010, 2012; Raap et al., 2016, 2017; Vermeulen et al., 2016) and is

153 monitored throughout the year. Great tits sleep in nest boxes between November and March. For this

154 study, we used 26 nest boxes located in areas with relatively low ambient noise levels (LA<sub>eq</sub>; average A-

- 155 weighted sound levels over 3-mins: (mean  $\pm$  SE): 50.1  $\pm$  0.743 [range: 44.2-61.3]), taken between 1700
- and 1830 on two weekdays shortly after the experiment (February 14 and 17, 2020) using a CEL633C1

sound level meter (20-140 dB; weight A; NoiseMeters Inc.). These noise levels are not that low (levels as
low as 40 dB have been shown to disrupt animals; see Shannon et al., 2016), which indicates that the
birds used in our experiment were not naïve to anthropogenic noise. The moderate ambient noise levels
in our study area perhaps deem it less likely that habituation would cause the observed effects of noise
exposure on sleep to diminish over time. This study was approved by the ethical committee of the
University of Antwerp (ID number: 2017-90) and conducted in accordance with Belgian and Flemish
laws. The Belgian Royal Institute for Natural Sciences provided banding licenses.

164

165 2.2. Experimental design: We exposed sleeping great tits to noise during 2 time periods: December 16-166 26, 2019, and February 4-8, 2020. Across the experimental period, sunrise time advanced from 840 to 167 809 and sunset time progressed from 1634 to 1744, with the largest difference being between the 168 December and February experimental period. We controlled for variation in daylength by including 169 recording period (December versus February) in statistical models (see below). Our sample included 26 170 individuals (20 males, 6 females, 8 yearlings, 18 older adults; 13 tested each period). All birds were 171 already fitted with passive integrated transponder (PIT) tags, which allowed us to detect birds in nest 172 boxes with a handheld transponder reader (GR-250 RFID Reader, Trovan, Aalten, Netherlands). We 173 selected birds representing a range in exploratory personality type (mean  $\pm$  SE: 8.65  $\pm$  2.04; range: 0-34), 174 for which all birds had been previously tested (see details provided below). We first surveyed nest boxes 175 using the handheld transponder reader to locate personality-typed individuals. Great tits commonly sleep 176 in the same nest box on consecutive nights (Raap et al., 2015, 2016, 2017). Beginning the night after the 177 initial survey, we recorded sleep behavior for three nights using infrared cameras (Pakatak PAK-MIR5, 178 Essex, UK) installed under the nest box lid (Raap et al., 2015, 2016). Cameras recorded both video and 179 audio, which allowed us to confirm that the noise playback was functioning, and to determine the exact 180 time at which the playback turned on and off. First, we recorded baseline sleep behavior in absence of 181 noise (Fig. 1; Raap et al., 2015, 2016, 2017). The following night, we recorded sleep in the presence of 182 either consistent or variable traffic noise at an amplitude of 70 dB. The third night, we recorded sleep

183 behavior in the presence of the other noise regime (Fig 1). The order of the noise treatments was 184 determined in a semirandom, balanced fashion. We always performed the control treatment on the first 185 night to avoid potential carryover effects of the noise treatments on sleep behavior the following night. 186 We have also employed this methodology in past studies on the effect of artificial light at night on sleep 187 behavior (Raap et al., 2015, 2016). If a bird was not in the nest box (N = 2), or the camera failed (N = 6), 188 we repeated the trial the following night. During February, we recorded sleep behavior on a fourth night, 189 using higher amplitude noise (80 versus 70 dB) (N = 12; half exposed to each noise regime). 190 We installed cameras in nest boxes between 1200 and 1500, on the day preceding the night of the 191 experiment, when they commenced recording. We removed cameras around 930 am at the earliest to 192 ensure they recorded the bird's exit. Each night, we visited nest boxes shortly after sunset and used the 193 transponder reader to confirm that the target bird was in the nest box. For noise treatments, we also 194 started the noise recording at this time (range in start times: 1649 to 1847 for the entire experiment; 1649 195 to 1751 in December; 1733 to 1847 in February).



Figure 1. The experimental design (top) and timeframe of the playback showing periods of noise and
ambient sound (AS)(below). \*The morning noise exposure varied in length since birds exited the nest box
at variable times after the noise restarted. Photo credit: Thomas Raap.

201 2.3. Noise playback: We used Audacity 2.3.1 to create traffic noise playbacks. Playbacks began with a 202 1-hr block of silence, such that the noise started 1-hr after we visited the nest box (Fig. 1). This allowed 203 time for birds to resume sleep behavior after potential disturbance by the researcher before the noise 204 commenced. Noise used to create playbacks was obtained with a Olympus LS10 audio recorder using the 205 internal microphone (20 Hz to 21 kHz frequency response). Playbacks were recorded in PCM format 206 (16-bit amplitude encoding; 44.1 kHz sampling frequency). Autogain was not used and manual gain 207 remained constant throughout each recording. We created three recordings of consistent noise using 208 different 5-min recordings of noise obtained from a local freeway (E19; 5 lanes in each direction) during 209 steady traffic flow at a distance of ~50-100 m (Fig. 2 depicts recorded freeway noise; see Supplementary 210 Fig. S1 for a comparison of playback versus recording). We repeatedly pasted the 5-min recordings into 211 Audacity, for a duration of 6-hrs. Three temporally variable noise playbacks were created using 36 clips 212 of semirandom traffic noise recorded from local 2 lane roads (traffic flow of ~2 cars per minute) and 213 silence. We randomly shuffled the 36 recordings repeatedly, in different ways for the three playbacks, for 214 a duration of 6-hrs (Fig. 3). We removed loud amplitude noise events using Audacity's click removal 215 function (threshold of 154; max spike width of 20). We did not use a fade function when compiling the 216 variable noise playback, such that this playback contains rapid onset and offset of sound that is not 217 characteristic of traffic noise. This feature of the variable noise treatment increased our expectation of 218 observing a more pronounced disruption of sleep in the variable relative to consistent noise. After the 6-219 hrs of noise, we inserted 5-hrs of silence, which combined with the 1-hr of silence at the beginning (the 220 playback started over) to create 6-hrs of silence in which we assessed the extent to which sleep behavior 221 returned to normal under ambient sound levels after the noise playback ceased (Fig. 1). The noise 222 resumed in the morning after the 6-hrs of silence, allowing us to assess the effect of morning traffic noise 223 on exit time (Fig. 1). Sound files (16-bit amplitude encoding; 44.1 kHz sampling frequency) were saved 224 in MP3 format in Audacity (170-210 kbps).

225 We used a MIFA F10 bluetooth speaker with a 32 GB microSD card inserted to playback noise. The 226 speaker was secured to the nest box latch, facing against the side of the nest box. We calibrated 227 consistent noise playbacks to 70 dB LA<sub>ea</sub> (80 dB for higher amplitude treatment) prior to placement in the 228 field, using a sound level meter held inside an empty nest box at the level of the sleeping bird for a 229 duration of 2 min. For variable noise recordings, calibration occurred during a period of noise and LA<sub>max</sub> 230 (maximum A-weighted sound level within the time period) was used instead of LA<sub>eq</sub> (Blickley et al., 231 2012). We chose to design the playback in this way to minimize the difference in maximum noise levels 232 between the treatments, which was the case since there was low variability in sound levels on the 233 consistent noise playback. However, as a result, the variable noise treatment had lower average sound 234 levels than the consistent noise treatment. Thus, we tradeoff consistency in maximum noise levels against 235 consistency in average noise levels. LA<sub>max</sub> for the 70 dB consistent noise treatment averaged 71.2 dB and 236 LA<sub>eq</sub> for the 70 dB variable noise treatment averaged 65 dB, when assessed three times over 10-mins. 237

238 2.4. Analyzing sleep behavior: We extracted metrics of sleep behavior which have been used in past 239 studies on great tits: average sleeping bout length, total sleep duration, proportion of time inactive 240 (asleep), sleep bout frequency, and exit time (Raap et al., 2015, 2016, 2017). Although these behaviors 241 are all significantly correlated (Supplementary Table S1), we follow past work in assessing independent 242 effects on these variables (Raap et al., 2015, 2016, 2017), rather than eliminating some variables or 243 combining the variables using a principal components analysis. This facilitates comparisons to past 244 studies, and also allows us to more easily assess not only whether sleep behavior changes in response to 245 noise exposure, but also how it changes. In two cases, the camera stopped recording before the bird 246 exited, precluding calculation of exit time, total sleep duration and sleep proportion. Sleeping great tits 247 adopt a characteristic posture, with the head tucked under the scapular (Fig 1.; Raap et al., 2015, 2016, 248 2017). The transition between the behavioral sleeping and waking state is distinct and has been well 249 described by previous studies (Raap et al., 2015, 2016, 2017). We analyzed videos using the VLC media

- 250 player (version 3.0.8), in which it was possible to precisely record the time at which the transitions
- 251 between the sleeping and active state occurred.



Figure 2. Oscillogram, spectrogram and power spectrum of an excerpt from the temporally consistent
traffic noise recording. Created in Raven Pro (Cornell Lab of Ornithology) with a Hann sampling
window, window size of 527 samples, and 3 dB filter bandwidth of 120 Hz. The power spectrum is
displayed for the time indicated by the vertical line (1:03:19).





Figure 3. Oscillogram, spectrogram and power spectrum of an excerpt from the temporally variable
traffic noise recording. Created in Raven Pro (Cornell Lab of Ornithology) with a Hann sampling
window, window size of 527 samples, and 3 dB filter bandwidth of 120 Hz. The power spectrum is
displayed for the time indicated by the vertical line (1:03:29).

265 2.5. Novel environment exploration behavior: All birds were tested for exploration behavior prior to the
266 experiment (early December 2019, or November-February of a previous year). In great tits, exploratory

267 personality is repeatable within multiple populations (Verbeek et al., 1994; Dingemanse et al., 2012; 268 Stuber et al., 2013; Thys et al., 2017), has a genetic component (Dingemanse et al., 2002; Quinn et al., 269 2009; Nicolaus et al., 2012), and is linked to physiological (glucocorticoid stress response; Baugh et al., 270 2012) and behavioral (neophobia; Grunst et al., 2019) metrics of stress responsiveness. Although highly 271 repeatable, exploration score increases with repeated testing (Dingemanse et al., 2012). Therefore, we 272 used the score from the first time each bird was tested in analyses. The novel environment exploration 273 test is routinely performed by our research group and procedures are described in detail elsewhere (Thys 274 et al., 2017; Grunst et al., 2018). In brief, birds were captured in nest boxes while roosting overnight and 275 removed to captivity for one night. The following morning, each bird was independently tested for 276 exploration behavior by counting the number of movements performed for the first 2-min following 277 release into the novel environment room.

278

279 2.6. Statistical analysis: We performed statistical analyzes in R 3.6.1 (R Core Team, 2019). First, to 280 assess the effect of the consistent and variable noise treatments on sleep behaviors, we constructed 281 separate linear mixed effects models (LMMs; R package lme4; Bates et al., 2015) predicting each of the 282 sleep behaviors (sleep duration, proportion of time asleep, average sleep bout length, and frequency of 283 sleep bouts per hour (log-transformed), exit time) calculated across the entire night. These models 284 included the main effects and three two-way interactions between treatment (baseline, consistent noise, 285 variable noise), exploration score, age and sex. We entered recording session (December or February) 286 and recording order (consistent noise first or second) as covariates, and individual as a random effect. We 287 also tested whether individual differences in sleep disruption by noise were more pronounced in the 288 variable, versus consistent, noise regime by calculating the difference between sleep behaviors measured 289 under baseline conditions and in each noise treatment and then using a paired sample variance test to 290 assess homogeneity of variance.

We constructed a second set of models to examine whether higher amplitude noise had a more
 pronounced effect on each of the sleep behaviors. Since the high amplitude treatment was only conducted

293 in February, we only used data from the second recording session in this analysis, and hence recording 294 session was not included as a covariate in these models. We entered the main effects and interaction 295 between noise type (consistent, variable) and amplitude (70 or 80 dB) and individual as a random effect. 296 We did not include additional interactions since we had a lower sample size for this analysis. 297 We next assessed whether the temporal variability of the noise exposure or amplitude affected how 298 sleep behavior changed during the period of noise versus ambient sound as the night progressed. To this 299 end, we constructed a third and fourth set of models. The third set of models predicted each sleep 300 behavior (excluding exit time) from the main effects and interaction between treatment (baseline, 301 consistent noise, variable noise) and period (noise versus ambient sound; for baseline recordings, periods 302 corresponded in time to when the periods would have occurred for a noise treatment). The fourth set of 303 models consisted of the main effects and two-way interaction between amplitude (70 or 80 dB) and period 304 (noise, ambient sound). We did not include noise type in the fourth set of models because, with the 305 exception of exit time, the effect of noise type was non-significant in the second set of models. Since 306 sleep duration and proportion are equivalent for the fixed-duration periods of noise and ambient sound, 307 we only performed the third and fourth set of models for sleep proportion, and not duration. These 308 analyses used the first 6-hr period of noise and following 6-hr period of ambient sound levels. We did not 309 analyze effects of noise on behaviors measured during the morning period of noise, since individuals left 310 the nest box at variable times after the morning noise commenced. We only included covariates that were 311 significant ( $\alpha = 0.05$ ) in the first and second set of models, and again included individual as a random 312 effect.

We performed repeatability analyses using R package rptR (Stoffel et al., 2017). To gain insight into individual consistency and variation in sleep, we calculated repeatability across the entire recording period and across treatment types, while including treatment and recording session in the model. To gain insight into whether the response to noise was repeatable, we calculated the difference in sleep behaviors between the baseline and noise treatments and repeated the analysis.

318 We standardized exploration score (the only continuous predictor variable) to a mean of zero and a 319 standard deviation of 1 to facilitate interpretation of beta coefficients when including interactions in 320 models (Schielzeth, 2010), and estimated degrees of freedom using Satterthwaite approximations (R 321 package lmerTest; Kuznetsova et al., 2016). We also applied a Helmert contrast for models involving 322 interactions, such that beta coefficients for main effects are reported across levels of discrete predictor 323 variables (age, sex). We performed posthoc comparisons via the Tukey method (R package emmeans; 324 Lenth, 2019). For significant effects of noise exposure treatment and noise amplitude, we report p-values 325 both before and after controlling for the false discovery rate (FDR) using the Benjamini-Hochberg 326 method (p.adjust function in R; Benjamini and Hochberg, 1995). Models were reduced using backwards, 327 step-wise elimination by first removing non-significant interaction terms ( $\alpha = 0.05$ ). Model diagnostics 328 were performed using R package DHARMa (Hartig, 2019), and all model assumptions were met. 329

## **330 3. Results**

331 3.1. Variable versus consistent traffic noise: Relative to baseline levels, great tits exposed to traffic 332 noise slept for a lesser amount and proportion of time, had shorter sleep bouts, and exited the nest box 333 earlier in the morning, but did not differ in sleep bout frequency (Fig. 4a-e; Table 1). Variable and 334 consistent noise had similar effects on sleep behaviors, but the effect on sleep proportion and sleep bout 335 length was only significantly different from baseline in the case of variable, and not consistent noise (Fig. 336 4b,c; Table 1; the effect of variable noise on sleep bout length is only marginally significant after 337 correction for FDR). None of the sleep behaviors differed between the noise treatments (Fig. 4a-e; Table 338 1).

Age and treatment interacted to predict the amount of time great tits spent asleep, with variable noise reducing sleep duration in older, but not first-year birds (Table 1). Consistent noise had a similar effect on sleep duration in the two age classes, but this effect was only significantly different from baseline in older adults, and not yearlings (Table 1). No other interaction between treatment and individual traits predicted any sleep behavior, and exploratory personality type was also non-significant in all cases (*P* > 344 0.05). Independent of noise exposure, males had more frequent sleep bouts than females and yearlings

- tended to have less frequent sleep bouts than older birds. Great tits slept less and exited the nest box
- earlier in February than in December (Table 1), but sleep proportion ( $\beta = 0.012 \pm 0.011$ ,  $t_{23} = 1.16$ , P = 1.16
- 347 0.256), sleep bout length ( $\beta$  = -0.001 ± 0.017,  $t_{24}$  = -0.078, P = 0.939), and sleep bout frequency ( $\beta$  = -
- 348  $0.346 \pm 0.888$ ,  $t_{24} = -0.390$ , P = 0.700) did not differ between the recording sessions. Recording order
- 349 was not related to any sleep behavior (P > 0.05).
- 350 Variance in individual responses to noise treatments (measured as change in sleep behaviors between
- 351 baseline conditions and noise exposure) did not differ between the variable and consistent noise treatment
- 352 for any sleep behavior (P > 0.05 in all cases).

Table 1. Results from linear mixed effects models predicting sleep behaviors across the entire night from noise exposure treatment (baseline (BL), consistent noise (CN), variable noise (VN); reference level listed first) and covariates (Age; A=Adult, Y = Yearling; Recording session; F = February, D = December; Sex;
 M = Male, F = Female; reference level listed first). N = 26 individuals; 76 observations. P<sub>adjust</sub> = P values corrected for FDR using the Benjamini-Hochberg method.

	β±SE	Т	df	Р	<b>P</b> adjust	F <sub>2,~49</sub>	Р	<b>P</b> adjust
(a) Sleep duration (hr)								
Treatment BL-CN	$0.411\pm0.114$	4.24	46.0-	0.002	0.010			
Treatment BL-VN	$0.401\pm0.116$	3.48	46.3	0.003	0.010			
Treatment CN-VN	$-0.009 \pm 0.116$	-0.086	46.3	0.995		8.43	< 0.001	< 0.001
Age A-Y	$0.071\pm0.113$	0.626	46.0	0.534				
Recording session F-D	$-1.15\pm0.175$	-6.52	23.1	< 0.001				
Age × Treatment BL-CN	$-0.0005 \pm 0.114$	-0.004	46.1	0.997				
Age × Treatment BL-VN	$0.329 \pm 0.116$	2.85	46.5	0.006		5.35	0.008	
Contrasts within interaction								
Age A BL-CN	$0.411\pm0.139$	2.96	46.8	0.016				
Age A BL-VN	$0.731 \pm 0.145$	5.03	46.0	< 0.001				
Age A CN-VN	$0.319\pm0.140$	2.82	46.8	0.068				
Age Y BL-CN	$0.412\pm0.184$	2.24	46.0	0.075				
Age Y BL-VN	$0.073\pm0.184$	0.874	46.0	0.918				
Age Y CN-VN	$\textbf{-0.339} \pm 0.184$	-1.84	46.0	0.167				
(b) Sleep proportion								
BL-CN	$0.016\pm0.007$	2.16	49.0	0.088	0.146			
BL-VN	$0.026\pm0.007$	3.34	49.3	0.004	0.010			
CN-VN	$0.009\pm0.007$	1.23	49.3	0.436		5.77	0.005	0.008
(c) Sleep bout length (hr)								
BL-CN	$0.015\pm0.009$	1.54	49.0	0.284	0.355			
BL-VN	$0.024\pm0.009$	2.45	49.3	0.047	0.058			
CN-VN	$0.009\pm0.009$	0.933	49.3	0.622		3.07	0.055	0.068
(d) Sleep bout freq/hr								
BL-CN	$-0.106 \pm 0.077$	-1.36	48.0	0.370	0.370			

BL-VN	$-0.132 \pm 0.079$	-1.65	48.8	0.239	0.239			
CN-VN	$-0.026 \pm 0.079$	-0.326	48.8	0.946		1.57	0.218	0.218
Sex M-F	$0.333\pm0.133$	2.51	23.1	0.020				
Age A-Y	$0.238 \pm 0.116$	2.05	22.5	0.052				
(e) Exit time (hr)								
BL-CN	$0.082\pm0.025$	3.29	48.0	0.005	0.012			
BL-VN	$0.076\pm0.025$	2.96	48.5	0.012	0.020			
CN-VN	$-0.005 \pm 0.025$	-0.232	48.5	0.971		6.62	0.003	0.007
Recording session F-D	$-0.614 \pm 0.044$	-14.1	24.1	<0.001				



361 Figure 4. Differences (effect sizes) in sleep behaviors between the baseline and consistent noise (BL-362 CN), baseline and variable noise (BL-VN), two noise treatments (CN-VN), and the low (70 dB) and high 363 (80 dB) amplitude levels (L-H). For exit time, the L-H comparison is shown within the CN and VN 364 treatments, because there was an interaction between amplitude and noise type. Estimates are from linear 365 mixed effect models with individual as a random effect. \*\*\*=significant (<0.05) after correction for FDR,</p>

\*\*=marginally significant after correction for FDR (<0.10), \*=marginally significant before correction for 366

- 367 FDR. Bars denote standard error.
- 368

369	<b>3.2. Noise amplitude</b> : Relative to when exposed to 70 dB noise, great tits exposed to 80 dB noise slept
370	for a lesser amount (lower sleep duration) and proportion of time, and had shorter more frequent sleep
371	bouts (Fig. 4a-d; Table 2). The interactions between noise type (variable, consistent) and amplitude were
372	non-significant for sleep duration, sleep proportion, sleep bout length and sleep bout frequency ( $P >$
373	0.10). However, noise type (variable, consistent) and amplitude interacted to predict the effect of noise
374	on exit time (Table 2). Birds exposed to 80 dB consistent noise stayed in the nest box longer than birds
375	exposed to 70 dB consistent noise or 80 dB variable noise. There was no difference between the exit time
376	of birds exposed to 70 or 80 dB variable noise or the two noise types at 70 dB (Fig. 4e; Table 2).
377	

378 Table 2. Results from linear mixed effects models predicting sleep behaviors across the entire night from 379 380 281 noise type (consistent noise (CN), variable noise (VN)) and noise amplitude (L(low) = 70 dB; H(high) = 00 JD 

	$\mathcal{J}_{\mathbf{I}}$	$\mathbf{r}$
80	80 dB; L as reference level). N =13 individuals; 36 observations.	$P_{adjust} = P$ values corrected for FDR
81	using the Benjamini-Hochberg method.	-

381	using the Ben	jamini-Hochberg	method
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	β±SE	Т	df	Р	<b>P</b> adjust
(a) Sleep duration (hr)					
Amplitude L-H	$0.251 \pm 0.106$	2.36	22.7	0.027	0.033
(b) Sleep proportion					
Amplitude L-H	$0.015\pm0.007$	2.10	22.4	0.047	0.047
(c) Sleep bout length (hr)					
Amplitude L-H	$0.028\pm0.009$	3.16	23.4	0.004	0.010
(d) Sleep bout freq/hr					
Amplitude L-H	$-0.235 \pm 0.069$	-3.37	22.5	0.002	0.010
(e) Exit time					
Amplitude L-H	$-0.055 \pm 0.023$	-2.35	23.2	0.027	0.033
Treatment CN-VN	$0.082\pm0.036$	2.31	25.2	0.029	
Amplitude × Noise type CN-VN	$0.079 \pm 0.036$	2.22	25.3	0.035	
Contrasts within interaction					
CN L-H	$-0.112 \pm 0.048$	2.32	22.9	0.029	
VN L-H	$0.046\pm0.050$	0.928	23.2	0.363	
H CN-VN	$0.161\pm0.062$	2.58	26.7	0.015	
L CN-VN	$0.003 \pm 0.038$	0.083	20.7	0.934	

382

383 3.3. Sleep behavior in the periods of noise and ambient sound: Sleep proportion and sleep bout length

384 increased, and sleep bout frequency decreased, during the 6-hrs of ambient sound levels following the 385 first 6-hr period of noise exposure. However, the interactions between treatment and period were non-386 significant in all cases (P > 0.20), indicating that the sleep behaviors changed similarly between the 387 period of noise and ambient sound during the baseline treatment and during the noise exposures. 388 Treatment significantly predicted sleep proportion and sleep bout length, with both being significantly 389 lower than under baseline conditions in the variable, but not consistent, noise treatment (Table 3). Sleep 390 bout frequency tended to be higher relative to baseline conditions during the variable, but not consistent, 391 noise (Table 3). None of the sleep behaviors differed between the two noise exposure treatments (Table 392 3).

393 Sleep proportion was lower and sleep bout frequency higher in the high (80 dB) amplitude noise and 394 during the period of ambient sound (Table 4), but there was no interaction between noise amplitude and 395 period in predicting sleep proportion or sleep bout frequency ( $\beta = -0.017 \pm 0.019$ ,  $t_{59} = -0.870$ , P = 0.388; 396  $\beta = -0.216 \pm 0.148$ ,  $t_{59} = -1.47$ , P = 0.148), indicating that sleep proportion and sleep bout frequency 397 changed similarly between the periods of noise and ambient sound in both the 70 and 80 dB noise 398 exposures. On the other hand, there was a significant interaction between amplitude and period in 399 predicting sleep bout length (Table 4). This interaction reflected the fact that sleep bout length was only 400 significantly greater in the low relative to high amplitude levels during the period of ambient sound, and 401 not during the period of noise (Table 4). Although sleep bout length increased in the period of ambient 402 sound in both amplitude levels, the beta estimate was  $\sim 2x$  larger for the low relative to high amplitude 403 level (Table 4).

404

Table 3. Results from linear mixed effects models predicting sleep behaviors from treatment (baseline
(BL), consistent noise (CN), variable noise (VN); reference level listed first) and period (noise (N),
ambient sound levels (AS); N as reference level). N = 26 individuals, 155 observations. P<sub>adjust</sub> = P values

			//		/	
408	corrected for FDR	using	the	Beniamini-	-Hochberg	method.

	β±SE	T~126	Р	<b>P</b> adjust	F <sub>2,~49</sub>	Р	<b>P</b> <sub>adjust</sub>
(b) Sleep proportion							
BL-CN	$0.007\pm0.008$	0.855	0.669				
BL-VN	$0.020\pm0.008$	2.49	0.037	0.055			
CN-VN	$0.013 \pm 0.008$	1.64	0.233		3.20	0.044	0.066
Period N-AS	$-0.034 \pm 0.007$	-5.08	< 0.001				
(c) Sleep bout length (hr)							
BL-CN	$0.017\pm0.012$	1.32	0.385				

BL-VN	$0.040\pm0.013$	3.14	0.005	0.015			409
CN-VN	$0.023\pm0.012$	1.84	0.161		4.98	0.008	0.024
Period N-AS	$-0.094 \pm 0.010$	-9.09	<0.001				410
(d) Sleep bout freq/hr							411
BL-CN	$-0.071 \pm 0.073$	-0.966	0.599				412
BL-VN	$-0.158 \pm 0.074$	-2.13	0.088	0.088			413
CN-VN	$\textbf{-0.087} \pm 0.074$	-1.16	0.475		2.26	0.107	94197
Period N-AS	$0.555 \pm 0.060$	9.11	<0.001				415

- 417 **Table 4**. Results from linear mixed effects models predicting sleep from noise amplitude (L(low) = 70
- 418 dB; H(high) = 80 dB; L as reference level) and period (noise (N), ambient sound (AS); N as reference
- 419 level). N =13 individuals, 74 observations.  $P_{adjust} = P$  values corrected for FDR using the Benjamini-
- 420 Hochberg method.

	β±SE	T <sub>~58</sub>	Р	<b>P</b> <sub>adjust</sub>
(a) Sleep proportion				
Amplitude L-H	$0.020\pm0.010$	2.05	0.045	0.045
Period N-AS	$-0.044 \pm 0.009$	-4.78	< 0.001	
(c) Sleep bout length (hr)				
Amplitude L-H	$0.023\pm0.005$	4.11	<0.001	<0.001
Period N-AS	$-0.047 \pm 0.005$	8.61	<0.001	
Amplitude × Period N-AS	$-0.015 \pm 0.005$	2.82	0.006	
<b>Contrasts within interaction</b>				
Period N L-H	$0.015\pm0.015$	0.941	0.351	
Period AS L-H	$0.077\pm0.016$	4.91	<0.001	
Amplitude L N-AS	$-0.126 \pm 0.13$	-10.0	< 0.001	
Amplitude H N-AS	$-0.064 \pm 0.13$	-3.52	< 0.001	
(d) Sleep bout freq/hr				
Amplitude L-H	$-0.309 \pm 0.075$	-4.11	<0.001	<0.001
Period N-AS	$-0.710 \pm 0.069$	-10.2	<0.001	

421

- 422 **3.3.** *Individual variation and repeatability*: Sleep behaviors measured across treatments, and the change
- 423 in sleep behaviors between baseline conditions and the noise exposure treatments, were all significantly
- 424 repeatable (P < 0.001; Table 5). See Table S2 for mean  $\pm$  SE and range of sleep behaviors and Fig. S3 for
- 425 reaction norms depicting how sleep behaviors changed between baseline conditions and the noise
- 426 treatments for each individual.
- 427
- 428 **Table 5**. Repeatability estimates ± SE [95% CI] for sleep behaviors measured across treatments and
- 429 change in sleep behaviors in the noise exposure treatments relative to baseline levels.

	Overall behavior	Change in behavior
Sleep duration (hr)	0.454 ± 0.071 [0.230, 0.679]	$0.502 \pm 0.132$ [0.249, 0.77]
Sleep proportion	0.424 ± 0.117 [0.198,0.652]	$0.554 \pm 0.121$ [0.313, 0.773]
Sleep bout length (hr)	$0.373 \pm 0.119$ [0.144, 0.609]	$0.541 \pm 0.123$ [0.292, 0.78]
Sleep bout frequency/hr	$0.537 \pm 0.102 \ [0.344, 0.732]$	$0.622 \pm 0.112$ [0.382, 0.825]
Exit time (hr)	0.481 ± 0.114 [0.253, 0.703]	0.570 ± 0.117 [0.341, 0.794]

431 **4.** Discussion Traffic noise exposure had pronounced negative effects on the sleep behavior of free-living 432 great tits, with sleep duration and proportion being reduced, and the length of sleep bouts shortened. In 433 addition, great tits exposed to noise left the nest box earlier in the morning, which could help explain past 434 observations of earlier outset of dawn song in noisy areas and with experimental noise exposure 435 (Dominoni et al., 2016; Dorado-Correa et al., 2016; Hennigar et al., 2019). Thus, traffic noise can 436 significantly interfere with sleep in a wild animal, which may have repercussions for behavior during the 437 waking phase, maintenance of body condition, and fitness. Evidence linking sleep deprivation to 438 deleterious downstream effects in wild animals is largely lacking. However, sleep deprivation related to 439 exposure to light at night elevated the probability of malarial infection in great tits, suggesting potential 440 impacts of sleep debt on disease dynamics (Ouyang et al., 2017). Furthermore, human and laboratory 441 studies on sleep disruption or deprivation document wide-spread deleterious effects of sleep debt, 442 including impaired cognition, reduced performance and compromised health status (Cirelli and Tononi, 443 2008). Due to the pervasive quality of anthropogenic noise, effects of nighttime noise pollution may be 444 present across urban-rural interfaces, and even within protected areas, such as national parks and reserves 445 (Barber et al., 2011; Buxton et al., 2017; Francis et al., 2017). Thus, there is an urgent need for studies to 446 further elucidate effects of nighttime noise exposure in free-ranging animals, and to characterize the 447 mechanistic pathways involved. 448 We hypothesized that temporally variable traffic noise would have larger deleterious effects on sleep 449 behavior than consistent traffic noise. This hypothesis was based on evidence from humans and 450 laboratory animals suggesting greater effects of intermittent noise on sleep (Öhrström and Rylander,

451 1982; Öhrström et al., 1988; Carter, 1996; Rabat, 2007), and studies in free-ranging animals documenting
452 larger behavioral or physiological effects of intermittent, or unpredictable noise (Wysocki et al., 2006;

453 Blickley et al., 2012). There was some support for this hypothesis, in that effects of traffic noise on sleep

454 proportion and sleep bout length were only significantly different from baseline in the variable noise

- 455 treatment. In addition, in the analyses examining effects of treatment on sleep behaviors within the
- 456 periods of noise and ambient sound, the variable, but not consistent, noise treatment significantly reduced

457 sleep proportion and bout length and increased bout frequency relative to baseline levels. However, there 458 was not a significant difference between any aspect of sleep behavior during the two noise treatments. In 459 addition, we also found no evidence that exposure to temporally variable noise induced more variation in 460 individual responses than exposure to consistent noise. Thus, more research is needed to evaluate the 461 possibility that temporally variable noise is more disruptive to sleep and other behaviors than consistent 462 noise (see further discussion below).

463 There was a clear effect of noise amplitude on sleep behavior. Relative to 70 dB amplitude noise, 464 great tits exposed to 80 dB amplitude noise had lower sleep duration and proportion, and displayed more 465 fragmented sleep consisting of shorter, more frequent sleep bouts. We also found an interaction between 466 noise type and amplitude in predicting exit time, with birds exposed to 80 dB consistent noise staying in 467 the nest box longer than birds exposed to 70 dB consistent noise, but amplitude having no effect within 468 the variable noise treatment. This result is in the opposite direction to the overall effect of noise exposure 469 on exit time, suggesting involvement of different causal pathways. The overall advance in exit time could 470 reflect an effect on wakefulness, whereas higher amplitude consistent noise could have deemed birds 471 hesitant to leave the nest box. For the analysis comparing the 70 and 80 dB amplitude noise level, we 472 only used data from the February recording session. Thus, hormonal differences between the recording 473 sessions (for instance, associated with increasing daylength) are not implicated in the reported results. 474 We also found evidence for intraspecific differences in sensitivity to noise. Specifically, variable 475 traffic noise reduced total sleep duration in older birds, but not in first-year breeders, and there was a 476 similar, but non-significant, pattern for consistent noise. These results are consistent with research in 477 humans suggesting that older people are more vulnerable to sleep disruption by noise (Wilkinson and 478 Campbell, 1984; Rabat, 2007). Nevertheless, we view this result with some caution, given our larger 479 sample size of older adults relative to yearlings. We found no other differences in how noise exposure 480 affected the sleep of individuals differing in age, sex or exploratory personality, suggesting that sleep 481 disruption was largely independent of these intraspecific traits. Earlier, we also found that exploratory 482 personality was unrelated to the effect of artificial light at night on sleep behavior in great tits (Raap et al.,

483 2018b), suggesting that exploratory personality may have little effect on sensitivity of individuals to sleep 484 disturbance. However, especially for sex (N = 6 females), we had somewhat limited power to test these 485 relationships. Thus, we urge further research to investigate whether these, or other, intraspecific traits 486 modify the extent to which noise disrupts sleep behavior.

487 Indeed, we found considerable variability and high individual repeatability in sleep behaviors 488 calculated across treatments and in change in sleep behaviors between baseline and noise exposure 489 treatments. These results indicate that consistent differences in individual sleep behavior (independent of 490 noise exposure) and consistent individual responses to noise (independent of the level of temporal 491 variability) exist. Thus, despite lack of strong associations between the individual-level traits measured 492 and sleep disruption, the sleep behavior of some individuals was consistently more insensitive to noise 493 exposure, whereas others were more disrupted by noise. A past study on the sleep behavior of great tits 494 also reported relatively high individual repeatability (Stuber et al., 2014). However, another study by the 495 same research group found much lower repeatability when there was a larger temporal interval between 496 recordings, prompting the authors to conclude that there was limited scope for a between-individual 497 'sleep syndrome' (Stuber et al., 2016). The high individual repeatability in sleep behaviors and sensitivity 498 to noise detected in our study could reflect variation in state variables (e.g. body condition, need for sleep) 499 that are stable over the short term. More research would be needed to determine whether these 500 differences persist over a longer time frame.

501 We also assessed how noise treatment (baseline, consistent noise, variable noise) and amplitude (70 502 versus 80 dB) affected the fashion in which the sleep behaviors changed between the initial 6-hr period of 503 noise exposure and the following 6-hrs at ambient sound levels. From this analysis it was evident that 504 sleep increased later in the night (as indicated by higher sleep proportion and bout length and decreased 505 frequency of sleep bouts) across treatment types, regardless of whether birds were exposed to noise. 506 Given that the sleep behavior of great tits does change across the night independent of noise exposure, it 507 could be informative to evaluate the effect of shifting the timing of noise exposure across the nocturnal 508 period. The lack of an interaction between treatment and period in predicting sleep variables suggests

509 that sleep similarly increased across the night in the baseline versus noise exposures, and that sleep did 510 not recover during the period of ambient sound, but instead remained compromised. Similar to these 511 results, although for a different disturbance factor, Aulsebrook et al. (2020) found that rapid eye 512 movement (REM) sleep in Australian magpies remained compromised in the 4-hrs following 4-hrs of 513 exposure to white light at night. There was also no interaction between amplitude and period in predicting 514 sleep proportion or sleep bout frequency, suggesting that amplitude level did not modify the extent to 515 which these behaviors changed between the period of noise and ambient sound. On the other hand, 516 amplitude and period interacted to predict sleep bout length, with sleep bout length being significantly 517 longer in the low (70 dB) amplitude as compared to high (80 dB) amplitude, but only during the period of 518 ambient sound. This interaction could reflect differential recovery of sleep bout length contingent upon 519 amplitude level, since the increase in sleep bout length during the period of ambient sound was  $\sim 2x$ 520 greater in the case of the 70 dB noise treatment.

Interestingly, we only observed two occasions in which great tits did not sleep in the same nest box the night following the first noise exposure treatment, despite the deleterious impacts of noise on sleep. This may have been because the noise only started after the birds had already made the decision to enter the nest box, but could also reflect limited behavioral plasticity. If birds are unwilling to shift the location in which they are sleeping when confronted with noise disturbance, perhaps due to familiarity, or are unable to find another suitable and unoccupied sleeping location (e.g. there are a limited number of nest boxes), this could elevate negative effects arising from sleep deprivation.

Past research on the effect of anthropogenic noise on avian sleep is minimal. However, similar to our study, a recent electroencephalographic study on captive Australian magpies found pronounced effects of experimental noise exposure on sleep, with sleep bouts being shorter and more fragmented (Connelly et al., 2020). On the other hand, in contrast to our study, the only other study that has investigated effects of noise on sleep in great tits found no effects (Caorsi et al., 2019). To our knowledge, this is also the only other study on the effects of anthropogenic noise on sleep in free-living birds. This study was correlational, had a low sample size, and consequently lacked power. Nevertheless, the study suggests

that anthropogenic noise exposure may have little effect on sleeping great tits at levels commonlyexperienced by natural populations.

537 Indeed, when interpreting our results, it is important to note that the noise levels that we used for our 538 experimental manipulations were relatively high. Studies on terrestrial wildlife have documented effects 539 of anthropogenic noise on behavior at levels as low as 40 dB, which is orders of magnitude lower than 540 those used in our study (Shannon et al., 2016). In fact, the ambient noise levels in our population exceed 541 the 40 dB threshold at which biological effects have been observed, although the threshold for effects 542 may also vary between populations differentially exposed to noise. To further put our experimental noise 543 levels in context, average nighttime LA<sub>eq</sub> and LA<sub>max</sub> levels measured outside nest boxes near the highway 544 in our population were 58.7  $\pm$  2.43 dB (A) (range: 55.9-65.6 dB (A)) and 69.6  $\pm$  3.66 dB (A) (range: 64.6-545 78.7 dB (A)), respectively (see Grunst et al. 2020 for details). Therefore, our "low" (70 dB) amplitude 546 treatment reflects extreme values of LA<sub>eq</sub> and mean values of LA<sub>max</sub> in our population during the night 547 and our "high" (80 dB) amplitude treatment reflects the upper limit of  $LA_{max}$  observed. In the other study 548 on sleep behavior of free-living great tits, which was also conducted in an urban area, ambient noise 549 levels ranged from 36 to 76 dB (A) (mean 56.9 dB (A)) (Caorsi et al., 2019), again suggesting that our 550 experimental treatments represent the upper margins of noise exposure. Nevertheless, it is conceivable 551 that birds experience these sound levels, especially given that some individuals will not be sleeping inside 552 nest boxes or in cavities, which are limited in number. In addition, there is habitat closer to the freeway 553 than the nest boxes at which these sound levels were measured. Although avoidance behavior could 554 occur, habitat saturation might force some birds to occupy poor quality habitat along the margin of the 555 freeway. We do not believe that the sound levels used in our experiment were so high as to guarantee a 556 response, since some individuals were actually quite unresponsive to the playback (see Supplementary 557 Fig. S3). However, further work, both in quieter ambient noise environments and using lower amplitude 558 playback, is needed to fully elucidate the extent to which effects of anthropogenic noise on sleep occur in 559 free-ranging animal populations.

560 Another consideration with respect to our playback design is that we calibrated sound levels for the 561 consistent noise treatment to LA<sub>eq</sub>, but used LA<sub>max</sub> when calibrating the variable noise treatment (see also 562 Blickley et al., 2012). We chose to design the playback in this way so that the maximum noise levels 563 would differ minimally between the two treatments, which was the case since variance in sound levels 564 was relatively low for the consistent noise treatment. However, as a result, and given the periods of 565 silence within the variable noise treatment, the average sound levels (LA<sub>eq</sub>) experienced were lower for 566 the variable noise treatment, which could have mitigated the potentially more deleterious effects of 567 temporal variability and explain why we did not see pronounced differences between the two types of 568 noise regimes. Indeed, average amplitude and temporal pattern are both likely important to the biological 569 response to noise, and temporal patterning may have dominated the effect on sleep for the variable noise 570 condition, whereas average amplitude may have dominated for the consistent noise treatment. 571 Importantly, when considering traffic noise regimes, more variable patterns of noise production (e.g. 572 produced by smaller roads versus freeways) are usually associated with lower LAeq due to the intervals 573 between passing vehicles. Further work would be needed to parse apart the effects of average amplitude 574 and temporal variability on sleep. 575 Furthermore, since we did not use a fade function when creating our playbacks, the variable noise 576 playback also included instantaneous onset and offset of traffic noise (see Fig. 3; this was only the case at 577 the very beginning and end of the consistent noise playback). We acknowledge that instantaneous onset 578 and offset is not characteristic of actual patterns of traffic noise, and that our variable noise playback 579 lacked realism in this respect. Given the abrupt onset and offset of noise within the variable noise 580 playback, it is even more surprising that the birds did not show a more pronounced response to the 581 variable noise treatment (even given the consideration with respect to average amplitude levels, discussed 582 above). Indeed, we would predict that abrupt changes in sound levels would elicit startle-type responses 583 to a greater extent than the gradual onset and offset of changes in sound levels associated with passing 584 vehicles (e.g. Francis and Barber, 2013).

585 As a final caveat, we measured effects of noise on sleep using behavioral metrics, rather than through 586 electroencephalography. These behavioral metrics are well-described in great tits, and have been used by 587 numerous past studies (Stuber et al., 2015, 2017; Caorsi et al., 2019), including by our research group 588 (Raap et al., 2015, 2016, 2017, 2018a,b). However, we can only indirectly infer effects of noise on sleep 589 as defined by brain wave activity, and cannot comment on effects on sleep intensity, or different sleep 590 states (non-REM versus REM). Differential effects of the two noise regimes could also have been more 591 pronounced if electrocephalographic measures of sleep could have been assessed. We are aware of only 592 one study that has assessed effects of noise on avian sleep as measured by brain activity (Connelly et al., 593 2020), and of no study in wild animals. Assessing effects of noise pollution on electrocephalographic 594 measures of sleep in free-living animals is an area for future research. Further research is also needed to 595 identify physiological, behavioral and genetic bases underlying intraspecific variation in sensitivity to 596 noise, to assess interactive effects between noise and other anthropogenic disturbance factors (light, 597 chemical pollution) on sleep (Dominoni et al., 2020), and explore the many downstream effects that could 598 arise from sleep disruption by noise. In addition, over a longer period, great tits may habituate to noise, 599 which could help explain the lack of effect observed in the correlational study on great tits (Caorsi et al., 600 2019). Future experimental studies are needed to determine whether habituation to noise decreases 601 effects on sleep, and the timeframe over which the process may take place.

602 **5.** Conclusions From our research, we can conclude that both temporally consistent and variable traffic 603 noise have the potential to disrupt the sleep behavior of free-living animals, such that both types of noise 604 regimes should be addressed by mitigation efforts. However, targeted mitigation efforts could consider 605 that higher amplitude traffic noise had a more pronounced effect on sleep. In addition, there was some 606 evidence that more temporally variable traffic noise may have a larger derogatory effect on sleep than 607 consistent noise, although more research is needed to assess this contingency. Our analysis also 608 suggested that sleep of older individuals was more sensitive to noise exposure than sleep of younger 609 birds, and that repeatable individual differences in responses to noise exist. Thus, considering individual 610 differences in sensitivity to noise may be critical to understanding the full scope of responses and

611	elucidating effects on population dynamics. Given the pervasive, increasing, and global nature of the
612	problem, our results provide motivation for measures to reduce anthropogenic noise, and to buffer
613	wildlife and human populations from its effects.
614	
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