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# Baseline findings in Retrospective Digital Computer Analysis of Keratoconus Evolution (REDCAKE) project. 

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#### Abstract

\section*{Purpose}

To present the baseline data for a large cohort of keratoconus patients enrolled in the Retrospective Digital Computer Analysis of Keratoconus Evolution (REDCAKE) study.

\section*{Methods}

Eight centers contributed Scheimpflug tomographical data for 906 keratoconus patients, 743 measured with a Pentacam and 163 with a Galilei. The stage of keratoconus at baseline, the location of the reference points, minimum pachymetry ( $\mathrm{P}_{\mathrm{min}}$ ), and maximum keratometry ( $\mathrm{K}_{\max }$ ) were analyzed. The inter-eye asymmetry was evaluated for $\mathrm{K}_{\max }$ (anterior and posterior), $\mathrm{P}_{\text {min }}$ and keratoconus stage. Average maps and elevation profiles were calculated for each degree of keratoconus.

\section*{Results}

Keratoconus was more frequently diagnosed in males (73\%) than in females (27\%). At baseline, $500 / 1155$ eyes (43\%) presented with moderate to severe changes in the posterior surface; while moderate/severe changes were only found in 252 and 63 eyes when evaluating anterior surface and pachymetry respectively. The location of $P_{\text {min }}$ was usually inferotemporal ( $94 \%$ OD and $94 \%$ OS), while the location of $K_{\text {max }}$ showed more variability and significantly higher distance from apex ( $\mathrm{p}<0.05$ ). The keratoconus presentation was chiefly asymmetric for all the parameters studied. Clear differences between stages could be identified in the maps and elevation profiles.

\section*{Conclusion}

The staging map set presented can be used as a graphical guidance to classify keratoconus stage. Keratoconus presented asymmetrically, and generally the posterior surface was more affected than the anterior surface or the thickness. Asymmetry is playing a role in KC detection. While $\mathrm{P}_{\text {min }}$ was almost invariably located inferotemporally, $\mathrm{K}_{\text {max }}$ location showed higher variability and distance from the apex.


## INTRODUCTION

Keratoconus (KC) is an ectatic corneal disease ${ }^{1}$ characterized by a progressive thinning and protrusion of the cornea. ${ }^{2}$ This cascade of events occurring in the cornea is usually associated with increasing irregular astigmatism and myopia, whereas the axial length in keratoconic eyes remains similar to healthy controls. ${ }^{3}$ The onset of the disease is typically during puberty ${ }^{2}$ and a wide range of prevalence and incidence rates have been reported, probably influenced by the diagnostic tools available at the time. A recent nation-wide study in the Netherlands showed a prevalence of 1:375, with an annual incidence of 13.3 new cases per 100,000 in the 10-40 years old group. ${ }^{4}$

While the etiology of KC remains unexplained, key risk factors such as atopy, ${ }^{5}$ mechanical trauma (rubbing), ${ }^{6}$ genetic ${ }^{7,8}$ and environmental factors ${ }^{9}$ play major roles in KC genesis. The disease is typically bilateral, although often asymmetric in both time and severity, ${ }^{10,11}$ even to the point that one eye may appear normal. It is widely accepted that purely unilateral KC does not exist, ${ }^{1}$ and the normal appearing "form fruste" corneas are likely to show signs of KC in the long-term follow-up. ${ }^{12,13}$ The contralateral eye with normal topography of a patient with KC, is considered therefore the earliest and mildest form of the disease. ${ }^{14}$

KC is progressive but even without intervention, the progression will normally stop during the third or the fourth decade of life. ${ }^{2}$ The damage accrued over time, however, may be severely visually disabling and may require corneal transplantation. Fortunately, current management strategies, ${ }^{15}$ including early detection, regular monitoring, the use of specialty contact lenses and the appearance of stabilizing treatments to prevent KC progression, have reduced the number of patients proceeding to transplantation. ${ }^{16-18}$ One landmark treatment is corneal cross-linking (CXL), which can effectively stabilize the cornea, although it requires evidence demonstrating the presence of such progression. ${ }^{19}$

Defining progression and KC stage remains a challenge due to the variable nature of both keratoconic and normal corneas. New assessment techniques and parameters are available, based on e.g. elevation, thickness profiles, corneal aberrometry or biomechanics that may assist with those definitions. ${ }^{20-22}$ Progression has already been associated with high anterior and posterior keratometry, corneal astigmatism, inferior-superior asymmetry, progression in the contralateral eye, asymmetric topography patterns, back elevation, coma aberration and higher levels of cortisol.1,12,23-28 Younger age at the time of detection is also a highly significant risk factor for faster progressive KC. $16,26,29,30$ In addition, the biomechanical properties of the corneal tissue can be influenced by hormonal changes
in pregnancy, or undergoing in-vitro fertilization treatment, 31,32 placing these women at risk of ectasia progression.

Despite the ongoing efforts of the scientific community, there is no widely accepted consensus on KC progression. ${ }^{33}$ The purpose of this study is to report the baseline characteristics of the patients enrolled in the Retrospective Digital Computer Analysis of Keratoconus Evolution study (REDCAKE), a retrospective multicenter observational study that aims to create new diagnostic tools for early detection and risk assessment of KC progression. This work represents the first part of the largest, Iongitudinal topography analysis of keratoconic corneas since the '90s using current, state-of-the-art technology.

## MATERIALS AND METHODS

Tomographic and demographic data was provided by eight member sites of EVICR.net (European Vision Institute Clinical Research Network), a network of European ophthalmological sites that performs multinational clinical research in ophthalmology. These sites were the University Medical Centre of Johannes Gutenberg-University and Justus Liebig University Giessen (Germany), Ghent and Antwerp University Hospitals, both in Belgium, Fondation Asile des Aveugles - Hôpital Ophtalmique Jules Gonin (Switzerland), University Eye Clinic Maastricht (The Netherlands), Hospital de Braga (Portugal) and Tel Aviv Sourasky Medical Centre (Israel). Keratoconic patient data with two or more corneal tomographies at least 5 months apart were collected to form the REDCAKE dataset. The REDCAKE study was designed and carried out in compliance with the tenets of the Declaration of Helsinki and it was registered in the clinical trials database clinicaltrials.gov (identification no. NCTO3235856). Voluntary informed consent was obtained from all the patients according to local laws and ethical approval was granted from the Institutional Review Board of all the participating centers for the revision of the medical files and clinical examinations.

Data collection was performed retrospectively, based on the inspection of corneal tomographer databases and medical files, considering the inclusion and exclusion criteria specified in Table 1. The patients were diagnosed in tertiary centers by a cornea specialist according to the habitual criteria (corneal steeping, inferior/superior asymmetry, corneal thinning, slit lamp signs such as Vogt striae, etc.) supplemented by the information provided by the latest upgrades available at the time of diagnosis, such as thickness profiles or Belin-Ambrósio Display.

## Table 1. Inclusion and exclusion criteria

## Inclusion criteria:

- Clinically diagnosed KC in one or both eyes
- Age between 12-40 years
- Two or more Scheimpflug tomographies (Pentacam, Galilei) of good technical quality, separated at least five months apart


## Exclusion Criteria:

- Corneal scarring present in the study eye(s)
- Ocular comorbidities
- Ocular surgeries/ treatments (including crosslinking) before or between measurements.
- Known systemic diseases (e.g. diabetes, multiple sclerosis, etc.), except allergies
- Known change in contact lenses between measurements
- Fluorescein drops instilled into the eye before Scheimpflug measurement.

Seven centers provided Pentacam tomographies (Oculus Optikgeräte GmbH, Germany), which were processed using a recent version of the analysis software (version 6.08r30) to access all available parameters. The last remaining center provided Galilei tomographies (Ziemer Ophthalmic Systems AG, Port, Switzerland) as comma separated values (.csv) files, allowing analyses of the axial and tangential curvature maps from the anterior and posterior cornea, anterior and posterior elevation using different reference surfaces, as well as the pachymetry.

In the Pentacam group, only measurements with an 'OK' quality score designation (82.5\%), as well as measurements with minor errors (marked yellow; 17.5\%) were included. Although measurements marked in yellow should be interpreted with caution, accepting those examinations allowed inclusion of the more severe cases, for which a perfect quality measurement could not be achieved. Galilei examinations that did not include thirteen csv files were considered incomplete and were deleted from the database. Eyes with only one remaining examination after the quality check were also excluded. This resulted in a total of 906 patients for analysis: 743 measured with Pentacam and 163 with Galilei, with a total of 3739 Pentacam examinations and 1179 examinations taken with Galilei. Here, only baseline examinations ( 585 OD and 570 OS measured with Pentacam and 134 OD and 128 OS measured with Galilei) were considered.

No slit-lamp examinations or best-corrected distance visual acuity (BCDVA) were collected for REDCAKE patients, so KC staging was based purely on tomographic data. A novel platformindependent classification system based on machine learning (LOGIK) was used to classify all examinations. (Submitted, Issarti et al. Logistic index for keratoconus detection and severity scoring (LOGIK)) Well-established grading systems are also reported as a reference, but these are either platform-dependent or not applicable to the entire cohort as not all required parameters are available for all patients. Pentacam offers two KC grading systems: the Topographical Keratoconus

Classification (TKC), based on a modified Amsler-Krumeich scale, and the ABCD grading system, that utilizes the thinnest pachymetry ( $\mathrm{P}_{\mathrm{min}}$ ), as well as the anterior (ARC) and posterior (PRC) radii of curvature calculated over a 3 mm area surrounding the thinnest point, to calculate the discretized A , $B$ and $C$ values. Since BCDVA is not available and it is required to calculate the $D$ value, this parameter was not considered. Meanwhile, the Galilei examinations were classified according to AmslerKrumeich keratometry and pachymetry criteria.

Matlab R-2019b (The MathWorks Inc., Natick, MA) was used to analyze the data and SPSS 25 (SPSS, Inc., Chicago, IL) was used for statistical analysis, with an alpha of 0.05 considered the cut-off value for significance.

## RESULTS

Scheimpflug examinations and demographic data were provided by eight centers. Centers designated A to H contributed Pentacam data. Centre A recruited 82 patients, center B 65 patients, center C 353 patients, center D 83, center E 92 patients, center F 45 and center H 23 patients. Finally, center I provided examinations of 163 patients measured with Galilei. More details on the patients and their examinations can be found in Table 2.

| Table 2. General information Pentacam and Galilei groups |  |  |  |
| :--- | :--- | :---: | :---: |
|  |  | PENTACAM GROUP | GALILEI GROUP |
| Examinations period |  | Nov.2006- Dec.2018 | Jan. 2011 and Jul. 2017 |
| Patients | Both eyes examined | 412 | 99 |
| $(\mathbf{N}=906)$ | Only OD examined | 173 | 35 |
|  | Only OS examined | 158 | 29 |
|  | TOTAL | 743 | 163 |
| Eyes | OD | 585 | 134 |
| $(\mathbf{N}=1417)$ | OS | 570 | 128 |
| Follow-up period | TOTAL | 1155 | 262 |
|  | Min. | 5 months | 5 months |
|  | Max | 10.5 years | 6.5 years |
|  | $<36$ months | 790 eyes | 219 eyes |
|  | $>36$ months | 365 eyes | 43 eyes |

## DEMOGRAPHIC DATA

The REDCAKE cohort comprises 906 patients clinically diagnosed with $\mathrm{KC}, 657$ of whom were men ( $73 \%$ ) and 249 women ( $27 \%$ ). All of them were diagnosed in tertiary centers by a cornea specialist. The mean age at baseline was $26.6 \pm 6.6$ years. Ninety-four patients ( 78 measured with Pentacam
and 16 measured with Galilei) were between 12 and 18 years old, and those will be considered the pediatric KC subgroup. At least 23 of them were under 15 when the disease was detected. Out of the 721 patients with a reported ethnicity, 538 were Caucasian (75\%), 172 Middle-Eastern/ North African (24\%), 7 African (<1\%) and 4 Asian (<1\%). While Middle-Eastern/ North African represented an 11\% of the Pentacam group they represented the $68 \%$ of the Galilei group.

The descriptive statistics of the Pentacam and Galilei data are shown in Table 3. Given the differences between both devices in terms of available parameters and the structural peculiarities of the data, both groups were treated separately in some of the analyses. The elevation and curvature maps were considered sufficiently similar to allow a joint analysis focused on calculating average profiles.


## KC STAGE

In the Pentacam group, 163 eyes (14\%) were misclassified as normal by TKC (false negatives), 11 eyes (1\%) were considered abnormal or post-refractive surgery ectasia and $43(4 \%)$ were considered KC suspect. The rest were assigned to a KC stage ranging from stage 1 to 4 , being KC 2 the stage with the highest prevalence at baseline (Table 4).

| Table 4. TKC grading for Pentacam baseline examinations |  |  |  |
| :--- | :---: | :---: | :---: |
| TKC CLASSIFICATION | OD | OS | TOTAL |
| - | 88 | 75 | 163 |
| Poss. | 21 | 22 | 43 |
| KC 1 | 57 | 69 | 126 |
| KC 1-2 | 55 | 52 | 107 |
| KC 2 | 136 | 137 | 273 |
| KC 2-3 | 60 | 74 | 134 |
| KC 3 | 104 | 83 | 187 |
| KC 3-4 | 53 | 52 | 105 |
| KC 4 | 6 | 0 | 6 |
| Abnormal, post-surgery, etc. | 5 | 6 | 11 |
| TOTAL: | 585 | 570 | 1155 |

Based on the ABCD classification, 157 eyes (13.6\%) were incorrectly classified as normal (AOBOCO). At baseline, 500 eyes (43\%) were classified as moderate/advanced according to their posterior surface ( $B \geq 3$ ). Meanwhile, manifest moderate ( $A=3$ ), advanced ( $A=4$ ) stages in the anterior surface were found in 252 eyes (22\%), and 1092 eyes ( $95 \%$ ) remained classified as early and mild ( $\mathrm{C} \leq 2$ ) according to the pachymetry criterium. On the other hand, 78 examinations (7\%) showed alterations of the back surface with no changes in the thickness or the anterior surface ( $A O B>0 C 0$ ) and 84 eyes (7\%) had abnormal pachymetry without showing alterations in curvature (AO BO C > 0). Only 2 eyes showed alterations in the anterior curvature prior to posterior and stromal changes ( $\mathrm{A}>0 \mathrm{BO} C 0$ ).


Figure 1. Comparison of KC stage at baseline. First row: relations between physical parameters ARC, PRC (mean anterior and posterior curvatures calculated over a 3 mm area surrounding the thinnest point) and thickness at the thinnest point $\left(P_{\min }\right)$ calculated for 719 OD eyes. Bottom row: relations between the real values of $A, B$ and $C$-proprietary ABCD classification algorithm, only Pentacam (585 OD eyes) -.

Figure 1 shows the relations between the physical parameters ARC, PRC and $P_{\min }$ (upper row), which are processed using a proprietary algorithm to produce the ABCD classification (bottom row). As shown in subplot A2, most of the values are located above the diagonal (or line $x=y$ in $C 2$ ), meaning that, at baseline, most of the eyes presented a deviation from a normal cornea more evident in the posterior surface (related to B parameter) than in the anterior surface and the pachymetry (related to A and C parameters respectively).

Table 5 shows the tomographic indices and additional descriptive values for the Pentacam group at baseline examination. No significant difference was found between OD and OS in any of the parameters included.

| Table 5. Additional descriptive statistics at baseline (Mean $\pm$ SD). Pentacam group. |  |  |
| :--- | :---: | :---: |
| PARAMETER | OD ( $\mathrm{N}=585)$ | OS $(\mathrm{N}=570)$ |
| IVA (mm) | $0.84 \pm 0.53$ | $0.81 \pm 0.47$ |
| ISV | $75.93 \pm 44.02$ | $72.23 \pm 37.78$ |
| KI | $1.21 \pm 0.15$ | $1.19 \pm 0.12$ |
| CKI | $1.04 \pm 0.05$ | $1.04 \pm 0.05$ |
| IHA ( $\mu \mathrm{m})$ | $25.84 \pm 21.14$ | $26.75 \pm 21.73$ |
| IHD ( $\mu \mathrm{m})$ | $0.09 \pm 0.07$ | $0.09 \pm 0.06$ |
| BAD-D | $7.19 \pm 4.67$ | $6.86 \pm 3.81$ |
| Rmin (mm) | $6.47 \pm 0.79$ | $6.51 \pm 0.72$ |
| ARC (mm) | $6.91 \pm 0.70$ | $6.93 \pm 0.64$ |
| PRC (mm) | $5.29 \pm 0.72$ | $5.30 \pm 0.67$ |
| ARTmin ( $\mu \mathrm{m})$ | $430.45 \pm 218.36$ | $437.98 \pm 213.10$ |
| ARTavg ( $\mu \mathrm{m})$ | $294.44 \pm 121.73$ | $296.47 \pm 119.07$ |
| ARTmax ( $\mu \mathrm{m})$ | $205.15 \pm 94.18$ | $205.44 \pm 93.10$ |
| RPImin | $1.40 \pm 0.80$ | $1.33 \pm 0.62$ |
| RPlavg | $1.90 \pm 0.96$ | $1.83 \pm 0.69$ |
| RPImax | $2.81 \pm 1.47$ | $2.73 \pm 1.14$ |
| KMaxZonalMean3mm (D) | $50.87 \pm 5.85$ | $50.52 \pm 5.00$ |
| KMaxZonalMean4mm (D) | $50.59 \pm 5.67$ | $50.24 \pm 4.88$ |
| KMaxZonalMean5mm (D) | $50.02 \pm 5.33$ | $49.72 \pm 4.63$ |

IVA, index of vertical asymmetry; ISV, index of surface variance; KI, keratoconus index; CKI, central keratoconus index; IHA, index of height asymmetry; IHD, index of height decentration; BAD-D, Belin Ambrósio Display Deviation, Rmin, minimum radius of curvature; ARC, anterior radius of curvature in an area of 3 mm surrounding the thinnest point; PRC, posterior radius of curvature in an area of 3 mm surrounding the thinnest point; ART, Ambrósio relational thickness; RPI, pachymetric progression index; KMaxZonalMean, Average keratometry in an area surrounding $K_{\max }$ point of 3, 4 or 5 mm .

The mean values of the tomographic indices were over the pathological limits according to the manufacturer's manual (IVA>0.32, ISV>41, KI>1.07, CKI>1.03, IHA>21, IHD>0.016).

Applying the Amsler-Krumeich scale to the Galilei examinations, 160 eyes were Stage I KC, 59 were Stage II and the rest (43 eyes) were Stage III, with average central keratometry readings greater than 53D and no central scar. Mean central keratometry in a 3 mm diameter area and minimum
pachymetry were $49.00 \pm 6.58 \mathrm{D}$ and $470.37 \pm 66.57 \mu \mathrm{~m}$ for right eyes, and $49.30 \pm 9.03 \mathrm{D}$ and $465.94 \pm 94.09 \mu \mathrm{~m}$ for left eyes. ARC, PRC and Pmin were calculated for the Galilei group as well and included in the analysis (Figure 1, top row). PRC and ARC showed a very strong correlation (Pearson $r=0.938$ for OD, $r=0.934$ for OS $p<0.001$ ) according to Evans scale. ${ }^{34}$ ARC and PRC were also correlated with $P_{\text {min }}$ and the level was strong for OD ( $r=0.637$ and $r=0.646$, respectively, $p<0.001$ ) and moderate for $\mathrm{OS}(r=0.524$ and $r=0.510 p<0.001$ ).

## Classification using LOGIK

Recently, a logistic classification system for KC severity based on machine learning (LOGIK) was presented. (Submitted, Issarti et al. Logistic index for keratoconus detection and severity scoring (LOGIK)) LOGIK classification is calculated from elevation maps and minimum pachymetry value, and therefore platform-independent. This feature allowed to classify KC in the entire REDCAKE sample. LOGIK detected 1366 eyes ( $96.4 \%$ ) with KC, with 51 false negatives (Table 6). Since LOGIK is a continuous system and is based on a logistic function, the stage obtained is more evenly distributed than other scales.

| Table 6. LOGIK classification at baseline for the entire cohort |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: |
| CLASSIFICATION |  | LOGIK VALUES | OD | OS |
| NORMAL (FALSE NEG.) | LOGIK $\leq-0.8$ | 42 | 9 | TOTAL |
| FORME FRUSTE | $-0.8<$ LOGIK $\leq 0.5$ | 103 | 109 | 51 |
| EARLY | $0.5<$ LOGIK $\leq 1.5$ | 172 | 145 | 212 |
| MILD | $1.5<$ LOGIK $\leq 2.5$ | 195 | 317 |  |
| MODERATE | $2.5<$ LOGIK $\leq 3.5$ | 197 | 397 |  |
| ADVANCED | LOGIK $\geq 3.5$ | 10 | 202 | 418 |
| TOTAL: |  | 719 | 12 | 22 |

## KERATOCONUS LOCATION

The location of $P_{\text {min }}$ and $K_{\text {max }}$ was examined separately for OD and OS eyes. With few exceptions, $P_{\text {min }}$ was located in the inferotemporal quadrant for both OD ( $94 \%$ of eyes) and OS ( $94 \%$ of eyes). For OD, only 29 eyes showed an inferonasal location and superior location was found for 15 eyes. For OS, 18 thinnest points were located in the superior cornea and 27 in inferonasal positions. The cloud corresponding to the $\mathrm{P}_{\text {min }}$ locations is much denser than the one corresponding to $\mathrm{K}_{\max }$ (Figure ${ }^{2}$-OD- and Supplemental Digital Content 1 -OS-). The position of $P_{\text {min }}$ was mostly located within 2 mm from the corneal apex (OD: $0.92 \pm 0.39 \mathrm{~mm}$ from apex, OS: $0.97 \pm 0.38 \mathrm{~mm}$ ), while the location of $\mathrm{K}_{\max }$ showed a significant higher variability (OD: $1.49 \pm 0.87 \mathrm{~mm}$ from apex, 0 S: $1.56 \pm 0.86 \mathrm{~mm} ; \mathrm{p}<0.001$ in both eyes). About $90 \%$ of the $K_{\text {max }}$ locations were inferior for OD and only $31 \%$ of them were located in the inferotemporal cornea. Similar numbers were seen for OS (92\% of and 34\%, respectively). The KruskalWallis test followed by a Dunn- Bonferroni approach (multiple nonparametric comparisons with a
global level of significance $p<0.05$ ) was performed to compare the distances from $P_{\text {min }}$ and $K_{\text {max }}$ to apex at different KC stages, according to LOGIK classification. For OD, the difference between groups was only significant between early and moderate $K C$ groups for both $P_{\min }$ and $K_{\max }(p<0.05)$. For OS, $\mathrm{P}_{\text {min }}$ showed significant difference in early vs. moderate and mild vs. moderate keratoconus. The $\mathrm{K}_{\text {max }}$ position, on the other hand, was significantly different in the forme fruste group compared to the false negatives group and to the early KC and in moderate KC compared to the false negatives group and the mild KC group.


Figure 2. Minimum corneal pachymetry ( $P_{\min }$ ) and maximum anterior keratometry ( $K_{\max }$ ) at baseline examination for $7190 D$ eyes. Axes: distance from corneal center (mm) A. Location of $P_{\min .}$. Colour scale related to pachymetry value. B. Frequency heatmap graph for the location of $P_{\min }$. C. Location of $K_{m a x}$. Color scale related to keratometry value. D. Frequency heatmap graph for the location of Kmax. OS graphs can be found as Supplemental Digital Content file A.

## KERATOCONUS ASYMMETRY

The inter-eye asymmetry on the severity of $K C$ was evaluated for some of the most common descriptive parameters. Minimum pachymetry ( $\mathrm{P}_{\text {min }}$ ), maximum anterior keratometry ( $\mathrm{K}_{\max }$ ), minimum posterior
radius and LOGIK analysis was performed using data of both Pentacam and Galilei groups (511 patients), while only the 412 Pentacam patients were considered for TKC and BAD-D asymmetry, both calculated with proprietary algorithms. For each patient, the best (least affected) and the worst (most affected) eye were independently defined for each parameter. The eye with the lowest value for LOGIK, $K_{\text {max }}$, TKC and BAD-D parameters was considered the best eye, while the eyes with the lowest $P_{\text {min }}$ and the lowest posterior radius (steeper) were considered the worst. 78\% of eyes were uniformly classified as best eye or worst eye for all parameters studied.
In the graphs (Figure 3) the size of the bubbles is proportional to the frequency of the corresponding pair (best eye level, worst eye level). Plots include the diagonal as a reference for equality in severity and colors represent the magnitude of the difference between eyes. For plotting purposes, eyes classified as abnormal for TKC $(n=11)$ were not considered in the TKC graph, while those with TKC $=‘ \cdot$ were assigned a numeric value of 0 and those with TKC = 'poss.' a value of 0.5 . Intermediate stages KC 12 KC 2-3 etc. were considered as 1.5, 2.5 etc. For continuous variables (LOGIK, $K_{\max }$ anterior, $K_{\max }$ posterior, $\mathrm{P}_{\min }$ and BAD-D), measurements were grouped in bins of size 0.2 for LOGIK, 1.00D for $\mathrm{K}_{\max }$, 0.10 mm for posterior radius, $10 \mu \mathrm{~m}$ for $\mathrm{P}_{\mathrm{min}}$, and 0.8 for BAD-D. Although some of the patients showed a symmetric stage of the disease (values close to the diagonal line), asymmetry was more common for all the parameters studied. Despite this asymmetry, there were no significant differences in the stage between OD and OS (Table 5), indicating that KC has no preference for one eye over the other .

## KC STAGING AND CORNEAL SHAPE

The elevation in the vertical and horizontal meridians was analyzed (Figure 4). The baseline examinations were classified using LOGIK and the Gullstrand eye model ${ }^{35}$ was used as the normal reference, assuming a fixed pachymetry of $550 \mu \mathrm{~m}$. As expected, advanced stages presented higher deviations from the normal profile for both the anterior and posterior surfaces. Deviations from normality were more pronounced in the vertical than in the horizontal meridian, and inferior slopes were steeper than the superior slopes.

Grouped by LOGIK stage, the natural history of KC was also calculated in the form of average and standard deviation maps of the anterior and posterior elevation, pachymetry and tangential curvature. Clear differences between the different KC stages can be observed, raising the possibility of using these maps as a graphical reference to classify KC (Figure 5).

C

Figure 3. Inter-eye asymmetry. Color represents the inter-eye difference magnitude. A. LOGIK (worst eye with higher values). B. minimum pachymetry - $P_{\text {min }}$ (worst eye with lower $P_{\text {min }}$ ). C. maximum anterior keratometry $-K_{\text {max- }}$ (worst eye with higher $K_{\max }$ ). D. maximum posterior keratometry (worst eye the one with smaller radius). E. TKC (only Pentacam, 401 patients; worst eye with higher values). F. BAD-D (only Pentacam, 412 patients; worst eye with higher BAD-D).


Figure 4.Elevation profiles (719 OD). Reference dashed black line: Gullstrand eye model. A. Elevation profiles horizontal and vertical meridians colored by KC stage according to LOGIK. B. Average elevation profiles in the horizontal and vertical meridian according to stage. Central pachymetry is the stage average pachymetry.


Figure 5. Average (left column) and standard deviation (right column) maps (8 mm diameter) according to LOGIK assigned KC stage A. Anterior Tangential Curvature. B. Pachymetry. C. Anterior Elevation. D. Posterior Elevation.

## DISCUSSION

The REDCAKE longitudinal study of keratoconic eyes is one of the biggest of its kind since the CLEK Study in the late 90s. ${ }^{36}$ While the latter relied on classic videokeratography, the current work used state-of-the-art Scheimpflug systems. These corneal tomographers provide information of both the anterior and the posterior corneal surfaces, allowing more insights into the corneal shape.

The REDCAKE cohort displayed a major gender imbalance, with a ratio of almost $3: 1$ male to female. These percentages were higher than the 60/40 imbalance found in the Netherlands, 4,37 U.S.A., ${ }^{36}$ New Zealand, 38 and Turkey. ${ }^{39}$ In contrast, no sex predisposition was found in South Korea. 40 Since five European countries and Israel provided REDCAKE data, 75\% of the participants were Caucasian and 25\% were Asians or Arabs/North Africans.

The novel LOGIK classification system reduced the number of undetected cases and allowed dividing the sample into five KC stages in a way that is more balanced than the one obtained using previous grading systems, such as TKC or ABCD that were used here to classify the Pentacam examinations. The original $A B C D$ classification system was used, as proposed by the authors, ${ }^{41}$ even though the constituent ARC and PRC parameters tend to show higher repeatability for KC than the discretized values A and B. (Submitted, Kreps et al. Repeatability of the Pentacam HR in various grades of keratoconus.) Those parameters -PRC and ARC- centered in the $P_{\min }$ point, showed a high correlation. This anterior-posterior correlation was previously reported considering the central curvature. ${ }^{42}$ Previous studies have proposed that abnormalities in the posterior surface might be the first sign of KC. ${ }^{25,43}$ In REDCAKE cohort, alterations in the back surface with no changes in the thickness or the anterior surface ( $\mathrm{AO} \mathrm{B}>0 \mathrm{CO}$ ) were found in 78 eyes (7\%), while 84 eyes (7\%) had an abnormal pachymetry without alterations in curvature ( $\mathrm{AOBOC} \mathrm{C}>0$ ). Two directions of KC evolution might exist: those that start with posterior changes and those that start with an abnormal pachymetry. But it might be also possible that KC is being over-diagnosed based on pachymetry. These special cases will be evaluated in the longitudinal analysis in a future paper.

We also noted that whilst $K_{\max }$ location showed a high variability in this cohort, $94 \%$ of the $P_{\min }$ points were located inferotemporally. An inferotemporal position of the thinnest point (0.44mm temporal and 0.29 mm inferior on average) was reported previously for normal subjects, with an inferior displacement >1mm found in only $0.5 \% .44$ The position of the thinnest point of the cornea presents a characteristic inter-eye symmetry even in KC eyes,45,46 and it has been suggested that the displacement of the thinnest point may be happening in the earlier stages of the disease.46,47 Additionally, the extent of the asymmetry at baseline examination was quantified for some of the most
common parameters: anterior and posterior $K_{\max }$, LOGIK, TKC, BAD-D, and $P_{\min }$. Although some of the patients showed a symmetric stage of the disease, asymmetry at baseline was more prevalent for all the parameters studied. This asymmetry in KC may play a significant role in the detection, as patients may not present until the second eye is affected.

The tomographies were used to determine the average and standard deviation maps for each KC stage. Leveraging its platform-independency, the examinations were graded using LOGIK classification system, which allowed us to combine Pentacam and Galilei measurements into the computations. This approach is supported by the earlier observation that there are no significant differences in the mean of the Zernike coefficients (up to the 6th level) when fitting elevation maps obtained with both devices ${ }^{48}$. The map set presented may be used as a graphical guidance in KC staging, as well as the elevation profiles provided.

The general limitations of the REDCAKE study should be acknowledged. REDCAKE sample is limited to patients aged between 12 and 40, without ocular or systemic comorbidities and untreated (no CXL applied), which may not correspond with the general KC population. Indirectly, the geographical origin of the data influenced that Caucasians and Middle-Eastern/ North African account for the 99\% of the data, while other ethnicities are not represented in the sample. The lack of refraction and slit lamp information should also be considered a limitation, since all conclusions will be based on tomographical data only, excluding the possibility of correlating tomographical changes with clinical signs. BCDVA is not available either, but other studies have shown that BCDVA correlates poorly with the KC severity and is not required to document progression.1,49 Finally, there are structural differences between Galilei and Pentacam data that impede performing the exact same analyses for both samples. In the present study, although the average maps and elevation profiles took data from both devices into account, the ones for advanced KC were calculated based on a limited number of eyes while more than one hundred were used for the rest of stages, and this should be taken into consideration since they might be less reliable. Moreover, XY and $Z$ alignment errors, more frequent in advanced cases, might influence the elevation maps; those errors were identified in <0.6\% of the exams.

REDCAKE subjects' baseline data analysis is presented here, with a focus on the parameters most often used to describe KC. Based on these data, several previously known properties about KC could be confirmed, and tomographical references for KC stages were presented. Since this is a longitudinal
study with follow-up periods ranging from 5 months to over 10 years, longitudinal data analyses will be presented in future papers.

## CONFLICTS OF INTERESTS AND SOURCE OF FUNDING

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## SUPPLEMENTAL DIGITAL CONTENT



Supplemental Digital Content 1. Minimum corneal pachymetry ( $P_{\min }$ ) and maximum anterior keratometry ( $K_{\max }$ ) at baseline examination for 698 OS eyes. Axes: distance from corneal center (mm) A. Location of Pmin. Colour scale related to pachymetry value. B. Frequency heatmap graph for the location of $P_{\text {min. }}$. C. Location of $K_{\text {max. }}$ Color scale related to keratometry value. $D$. Frequency heatmap graph for the location of $K_{\max }$.

