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**Using prescription and wastewater data to estimate correction factors of  
atenolol, carbamazepine and naproxen for wastewater-based epidemiology  
applications**

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19 **Abstract:**

20 Correction factor (CF) is a critical parameter in wastewater-based epidemiology (WBE) that  
21 significantly influences the accuracy of the final consumption estimates. However, most CFs  
22 have been derived from a few old pharmacokinetics studies and should be re-evaluated and  
23 refined to improve the accuracy of the WBE approach. This study aimed to review and estimate  
24 the CFs for atenolol, carbamazepine, and naproxen for WBE using the daily mass load of those  
25 pharmaceuticals in wastewater and their corresponding dispensed prescription data in Australia.  
26 Influent wastewater samples were collected from wastewater treatment plants serving  
27 approximately 24 % of the Australian population, and annual national dispensed prescription  
28 data. The estimated CFs for atenolol and carbamazepine are 1.37 (95% CI: 1.17-1.66) and 8.69  
29 (95% CI: 7.66-10.03), respectively. Due to significant over-the-counter sales of naproxen, a  
30 reliable CF could not be estimated based on prescription statistics. Using an independent  
31 dataset of 186 and 149 wastewater samples collected in an urban catchment in 2011 and 2012,  
32 WBE results calculated using the new CFs matched well with dispensed data for atenolol and  
33 carbamazepine in the catchment area.

34

35 **Keywords:** Atenolol; Carbamazepine; Naproxen; Correction factor; Prescription data;

## 36 1. Introduction

37 Wastewater-based epidemiology (WBE) is expanding rapidly for applications evaluating chemical  
38 consumption and exposure, ranging from small communities to national and continental  
39 investigations.<sup>1-3</sup> It can provide temporal and geographical consumption and exposure pattern of  
40 both licit and illicit drugs, alcohol and tobacco, and industrial chemicals, which is useful for  
41 developing harm-reduction strategies and examining the effects of intervention actions.<sup>4-6</sup> In  
42 addition, WBE can also provide information on population well-being with monitoring of  
43 nutritional and disease biomarkers.<sup>7,8</sup> Although much progress has been made in WBE over the  
44 last decade, there are remaining challenges in addressing the intrinsic uncertainties of the approach,  
45 such as those around sampling, biomarker stability, chemical analysis, and real-time population  
46 estimation.<sup>9</sup> Researchers have evaluated and, in turn, reduced these uncertainties thereby  
47 improving the accuracy of consumption estimations. For example, by comparing the results of  
48 different wastewater sampling strategies, flow-proportional sampling was recommended to be the  
49 best practice for representative sampling.<sup>10</sup> In addition, biomarker stability studies have been  
50 conducted in various configurations to evaluate and model the in-sample and in-sewer biomarker  
51 stability to improve the interpretation of consumption estimates.<sup>11-15</sup>

52 Correction factor (CF) is an important parameter to convert the mass of biomarkers measured in  
53 wastewater to the initial consumed mass by the population. They are developed by considering  
54 the mean percentage excretion of a given drug, in form of parent substance or a metabolite and  
55 their molecular mass ratio (parent drug/metabolite), as well as the potential degradation in sewer  
56 system.<sup>16,17</sup> The CF is considered a source of uncertainty for back estimating how much of a  
57 substance is consumed.<sup>18</sup> For example, the CF of benzoylecgonine is estimated to contribute up  
58 to 26% of the total uncertainty for cocaine consumption estimation.<sup>9</sup> CFs used in WBE are mostly  
59 derived from the excretion data of pharmacokinetic studies, typically with a limited number of  
60 participants, and often being healthy Caucasians.<sup>19,20</sup> To evaluate the accuracy of CFs derived  
61 from pharmacokinetic studies, comparison of the measured mass load of pharmaceuticals in

62 wastewater and the predicted mass load derived from prescription data was conducted in Belgium,  
63 results indicated up to one order of magnitude of deviations between the measured mass load and  
64 the predicted mass load.<sup>21</sup> Refinement of CFs has been performed in a few studies using  
65 pharmacokinetic data, sales data, and wastewater analysis results, and the refined CFs reportedly  
66 can improve the accuracy of WBE estimations.<sup>21-25</sup> However, previous studies have only  
67 evaluated the CFs of a limited number of licit (such as codeine, methadone, citalopram, and  
68 ramipril) and some illicit (such as cocaine and methamphetamine) drugs;<sup>18, 22, 26</sup> thus, the work  
69 should be extended to other compounds. Using WBE to evaluate population health, especially the  
70 consumption patterns of prescription pharmaceuticals, would require reliable CFs for prescription  
71 pharmaceuticals, which are important to monitor health and disease outcomes.

72 We chose three pharmaceuticals as the targets in this study due to their high detection frequency  
73 in wastewater, large consumption in the population and potential to be used as biomarkers in WBE.  
74 Atenolol is a beta-blocker primarily used to treat high blood pressure and heart-associated chest  
75 pain.<sup>27</sup> Carbamazepine is an anticonvulsant and antiepileptic pharmaceutical mostly used to  
76 prevent and control seizures and treat trigeminal neuralgia and some psychiatric disorders.<sup>28</sup>  
77 Naproxen is a commonly used nonsteroidal anti-inflammatory pharmaceutical to treat pain,  
78 menstrual cramps, and inflammatory diseases.<sup>29</sup> In Australia, atenolol, and carbamazepine are  
79 prescription-only (usually from a doctor) and are dispensed in community pharmacies, naproxen  
80 can be prescribed but it's also available over the counter (OTC) in pharmacies. Dispensing data  
81 for prescribed pharmaceuticals can be acquired from the Pharmaceutical Benefit Scheme (PBS).  
82 The PBS is a national formulary (schedule) of prescription pharmaceuticals subsidized by the  
83 Australian Government. Data on dispensing of PBS-listed pharmaceuticals are recorded for the  
84 whole country, so PBS data can provide detailed information about the dispensed pharmaceuticals,  
85 prescription location, and patient-related factors such as gender and age.<sup>30</sup> The PBS data covers  
86 all community prescribing but does not include pharmaceuticals used by hospital inpatients.<sup>31</sup>

87 While the PBS data can provide useful information on the supply side, WBE can provide estimates  
88 of consumption at finer temporal and spatial resolution.

89 Using the mass load of biomarkers in wastewater and dispensed prescription or sales data  
90 improves the applicability of CFs on a population scale.<sup>17, 22</sup> This approach has the advantage of  
91 having numerous individuals contributing to wastewater samples, which can help to diminish the  
92 influence of inter-individual variations in excretion. In addition, this CF calculation method can  
93 also integrate the formation and degradation of biomarkers in the sewer and the sample. The  
94 objective of this study is to calculate the CFs for atenolol, carbamazepine, and naproxen by  
95 juxtaposing their mass loads measured in wastewater samples collected from Australian WWTPs  
96 during Census week in 2016 with PBS-dispensed prescription data in the selected catchments in  
97 the same year. We focused on calculating the CF of the parent compounds due to their high in-  
98 sewer stability, low sorption potential to suspended solids (low log  $K_{ow}$  as in Table S1) and  
99 relatively high excretion. The calculated CFs were then applied to an independent WBE dataset  
100 of 335 daily samples from an urban catchment to examine their applicability.

101

## 102 **2. Materials and methods**

### 103 **2.1 Chemicals and Reagents**

104 Atenolol, carbamazepine, naproxen, atenolol-d7, and carbamazepine-d10 were purchased from  
105 Sigma Aldrich. The category, applications, log  $K_{ow}$  and water solubility of the three  
106 pharmaceuticals investigated are provided in Table S1. Analytical grade hydrochloric acid (32%)  
107 was purchased from Univar (Ingleburn, Australia). LCMS grade methanol was purchased from  
108 Merck (Germany). Deionized water was produced by a MilliQ system (Millipore, 0.22  $\mu\text{m}$  filter,  
109 18.2  $\text{m}\Omega \cdot \text{cm}^{-1}$ ).

## 110 **2.2 Wastewater sampling and analysis**

111 Influent wastewater samples were collected during the 2016 Census week from 31 wastewater  
112 treatment plants (WWTPs) across Australia; these WWTPs serve approximately 24% of the  
113 Australian population. Five to seven consecutive 24-h composite influent samples per WWTP  
114 were collected using autosamplers. Five samples were collected at locations where weekend  
115 sampling was not possible. Both time proportional (15 min sampling frequency as the typical  
116 setting) and flow proportional samplers (the settings were WWTP specific depending on the daily  
117 flow) were used. Detailed sample information is provided in Table S2. Samples were acidified on-  
118 site to pH 2 and frozen immediately after collection, couriered frozen overnight back to the  
119 laboratory. For validation purposes, we used data from 186 samples collected in 2011 and 149  
120 samples collected in 2012 from an urban catchment in Queensland, Australia, with a population  
121 of ~240,000.<sup>32</sup> One mL filtered and acidified sample was spiked with 10  $\mu$ L of deuterium-labeled  
122 internal standards mix (1 mg/L) before analysis. Samples were analyzed using liquid  
123 chromatography coupled with tandem mass spectrometry by direct injection of the filtered samples.  
124<sup>33</sup> A Shimadzu Nexera HPLC system (Kyoto, Japan) coupled with a Sciex API 5500 mass  
125 spectrometer (Ontario, Canada) was used for quantification. A 2.6 $\mu$ m 50 x 2.0 mm Phenomenex  
126 Kinetek Biphenyl column (Torrance, CA, USA) was used for chromatographic separation.  
127 Detailed mass spectrometer parameters can be found in Table S3. The method validation results  
128 are presented in Table S4.

## 129 **2.3 Data on dispensed pharmaceuticals**

130 The data on dispensed use were acquired from the Department of Human Services, including  
131 information about year issued, PBS item code, dose, formulation (Table S5), prescription location  
132 (local governmental areas, LGAs and states or territories), gender, and age range between 2013  
133 and 2017. The quantity of the selected pharmaceuticals dispensed to patients by gender and age  
134 group, and by formulation and dose are shown in Figures S1-S2. The annual consumption of active  
135 pharmaceuticals was calculated according to the mass (mg) in each of the formulations and the

136 quantity prescribed (e.g. 30 tablets per dispensed prescription for a month of treatment). The PBS  
137 does not cover the use of medicines by inpatients in public hospitals but this is likely to be a small  
138 proportion of total use.<sup>30</sup>

#### 139 **2.4 WWTP catchments, local government area (LGA), and population**

140 Overall, 31 WWTPs across Australia were included in this study with an equivalent population of  
141 5,567,069. Some WWTP catchment areas were represented by only one LGA, while several  
142 WWTP catchment areas contained multiple LGAs. In addition, some LGAs were serviced by  
143 multiple WWTPs. In the case where several LGA were within the WWTP catchment boundary,  
144 the geographic areas of the WWTPs and the LGA's were corresponded in geospatial software to  
145 determine the fraction of each LGA that was within the WWTP catchment boundary. The total  
146 prescribed drug was then summed according to the fraction of each LGA that was within the  
147 WWTP catchment boundary. Where multiple WWTP catchment areas were within one LGA area,  
148 the population-weighted average consumption estimate of each of the individual WWTP  
149 catchments was compared with the corresponding population normalized prescription data in the  
150 catchment.

151 The population of each catchment was refined using the Census mesh block information and the  
152 catchment boundaries using geospatial software (Arc GIS).<sup>34</sup> In this manner, the PBS and  
153 catchment data were compared at the highest possible spatial resolution. It should be noted that  
154 population movement between LGAs would affect the accuracy of the population used in the CF  
155 calculation, however, population movement influences the population estimate mainly in smaller  
156 populations in tourism-designated areas, with a negligible change expected for the other  
157 catchments.

#### 158 **2.5 Calculation of the new CFs**

159 The daily mass load of the pharmaceuticals was calculated by multiplying the concentration  
160 measured in each sample by the corresponding daily flow, yielding a load of the pharmaceutical



161 excreted into the WWTP on that day. The annual dispensing data of each target pharmaceutical as  
162 described in section 2.3 was used as the consumed amount of pharmaceuticals in each WWTP  
163 catchment. In GraphPad Prism, the consumed amount of pharmaceuticals in each of the WWTP  
164 was plotted against the mass load of pharmaceuticals in wastewater (WBE) as showed in Fig. 3.  
165 A simple linear regression line was constructed for each compound with the line being forced to  
166 go through zero, and its 95% confidence bands displayed. The CF was the reciprocal of the slope  
167 of the linear regression line and the 95% confidence interval of CF was the reciprocal of the 95%  
168 confidence interval of the slope.

## 169 **2.6 Application of the calculated CF**

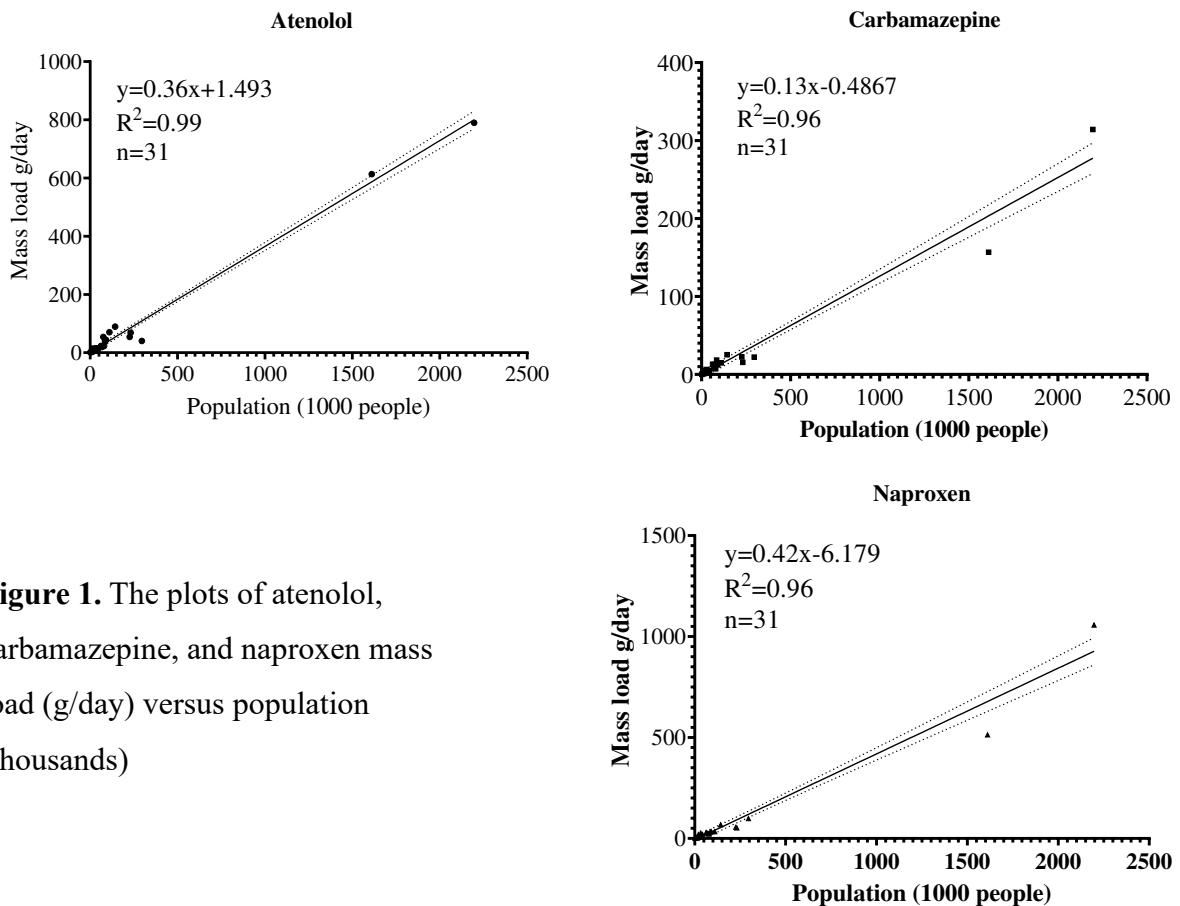
170 To check whether the calculated CFs can improve the accuracy of consumption estimates, separate  
171 temporal datasets in 2011 and 2012 were selected in one catchment in South East Queensland,  
172 Australia, and compared with PBS data. Specifically, the average daily WBE estimates were  
173 calculated for 2011 and 2012 from 186 daily wastewater samples in 2011 and 149 daily wastewater  
174 samples in 2012. Some of the catchment characteristics are provided in Table S6. Since we could  
175 not acquire the prescription data for 2011 and 2012, we compared the WBE results in 2011 and  
176 2012 with the PBS records from 2013 to 2015. While this assumes similar consumption levels  
177 over the years, the purpose of the comparison was to determine the relative precision of the  
178 calculated CF. In addition, we do not expect large changes in consumption of these prescription  
179 pharmaceuticals over several years (Table S7). The estimate was also applied to reported data in  
180 literature to test the applicability of the CF in other countries.

## 181 **3. Results and discussion**

### 182 **3.1 Per capita mass load of pharmaceuticals in wastewater**

183 Unsurprisingly, there were strong linear correlations between the mass loads of pharmaceuticals  
184 in wastewater and the population of the wastewater catchments ( $R^2 > 0.95$ , Fig. 1). This indicated  
185 that the per-capita consumption of these pharmaceuticals was relatively similar across the 31

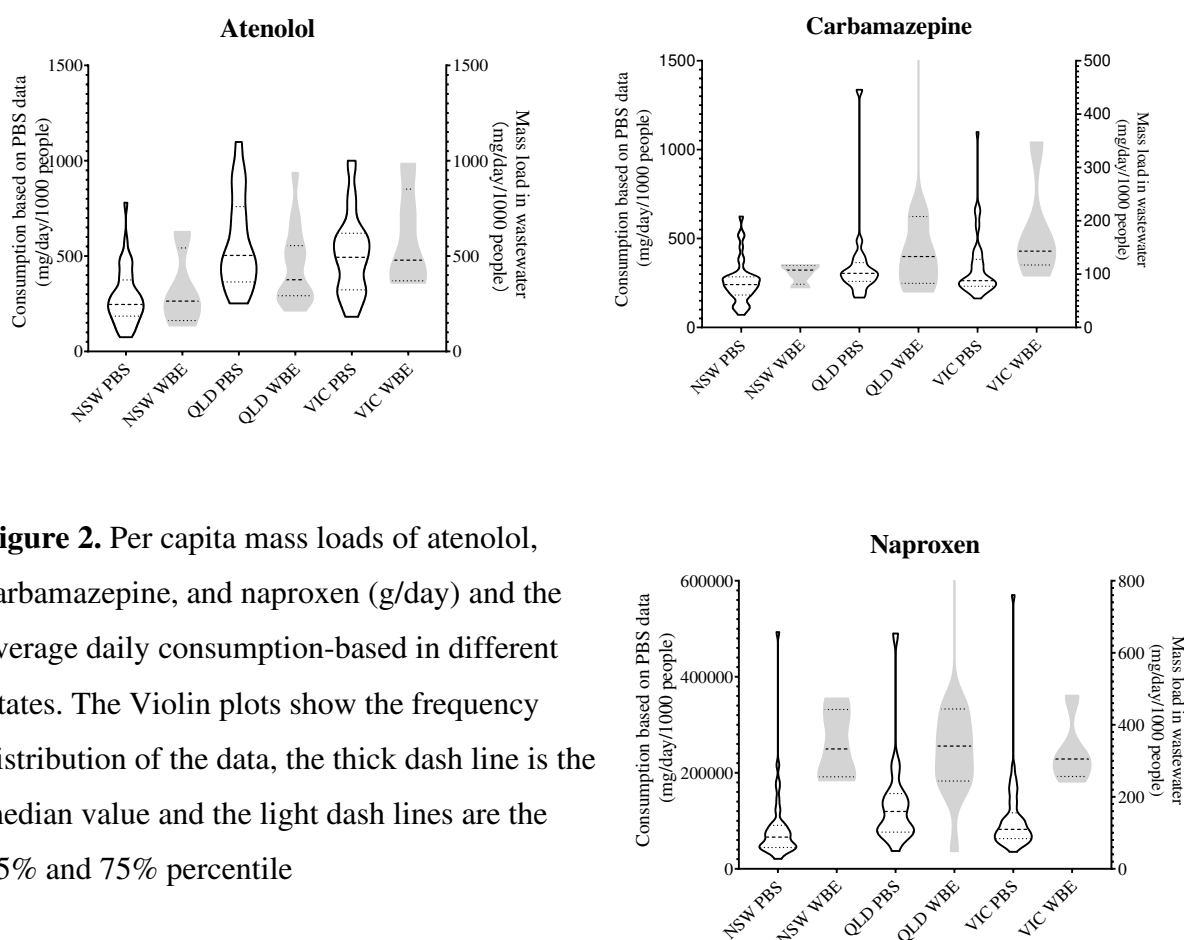
186 catchments. The per capita mass loads of atenolol, carbamazepine, and naproxen measured in  
 187 wastewater were 360, 130, and 420 mg/day/1000 inh, respectively. It is noteworthy that the per  
 188 capita mass load of atenolol across Australia was 6 times higher than Malè in the Maldives (58  
 189 mg/day/1000 inh) and slightly higher than five WWTPs in Belgium (64 - 222 mg/day/1000 inh)  
 190 and Oslo in Norway (220 mg/day/1000 inh). However, carbamazepine in Australia was 18-67  
 191 times lower than the five WWTPs in Belgium (2900-8700 mg/day/1000 inh) and Oslo (2400  
 192 mg/day/1000 inh), but more than 3 times higher than Malè in the Maldives (38 mg/day/1000 inh) .  
 193 <sup>21, 35, 36</sup> The climate between Australia, Belgium, Maldives, and Norway is very different, the  
 194 possible in-sewer degradation of biomarkers may vary due to the temperature differences. In  
 195 addition, the different prescription habits and/or disease prevalence among countries would also  
 196 contribute to the observed per capita mass load differences.



**Figure 1.** The plots of atenolol, carbamazepine, and naproxen mass load (g/day) versus population (thousands)

### 197 3.2 Pharmaceutical mass load vs dispensed data

198 Qualitatively, a simple comparison of the per capita dispensed data and the population normalized  
199 mass loads of target pharmaceuticals detected in wastewater at a state-level demonstrated that the  
200 two datasets follow similar trends (Fig. 2). For example, Queensland (QLD) and Victoria (VIC)  
201 had higher per capita PBS dispensed use (consumption) of atenolol than New South Wales (NSW),  
202 and the population normalized mass load of atenolol in wastewater samples collected from these  
203 two states were also higher. It is because the large population and aggregated WBE data may have  
204 helped to reduce the variation<sup>37</sup>. The figure demonstrates that both WBE and dispensed data can  
205 be used to monitor the geographical consumption patterns of pharmaceuticals on a large scale.  
206 Dispensed (PBS) data can provide more detail about the profile of patients (such as gender and  
207 age distribution) (Fig. S1 and S2); while WBE can provide daily consumption profiles and  
208 estimate the pharmaceutical adherence at the population level if accurate CFs can be used.



209  
210 **Figure 2.** Per capita mass loads of atenolol,  
211 carbamazepine, and naproxen (g/day) and the  
212 average daily consumption-based in different  
213 States. The Violin plots show the frequency  
214 distribution of the data, the thick dash line is the  
215 median value and the light dash lines are the  
216 25% and 75% percentile

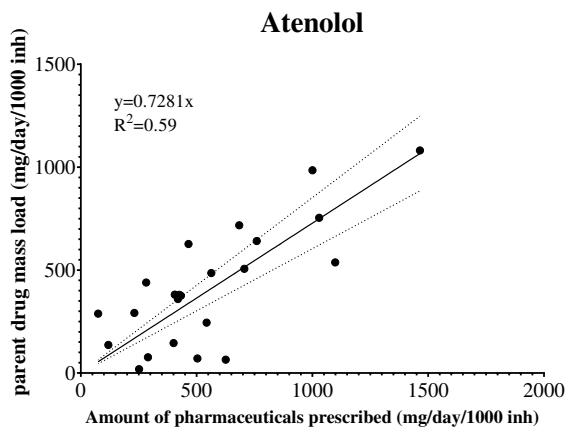
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218 **3.3 Derivation of the CFs**

219 Plots of the daily mass loads of atenolol, carbamazepine, and naproxen in wastewater and the  
220 corresponding daily consumption in the 31 WWTP catchments are shown in Fig. 3. The newly  
221 derived CF values are shown in Table 1 with the associated 95% confidence interval.

222 The  $R^2$  values of the linear regression were relatively low, especially for naproxen. Several factors  
223 can contribute to the poor correlations which are discussed in section 3.5. Nevertheless, for the  
224 case of naproxen, the lack of over-the-counter sales data would strongly affect the correlation of  
225 mass load in wastewater and dispense data in our study.

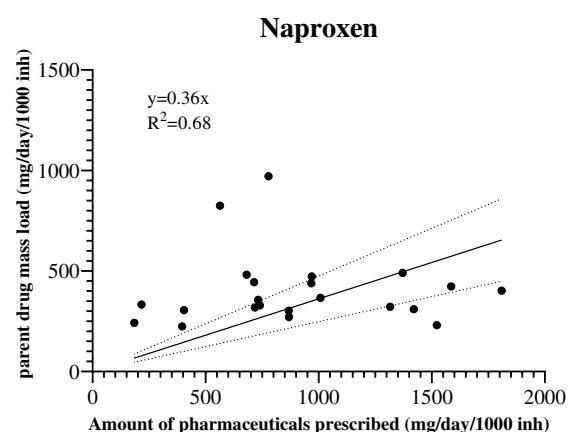
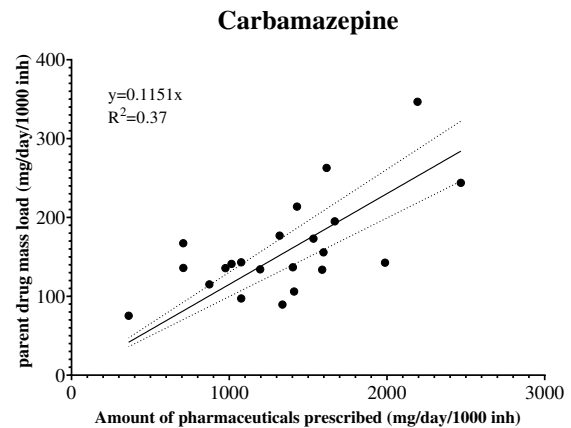
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227

228

229 **Figure 3.** Per capita mass loads of atenolol,  
230 carbamazepine, and naproxen (g/day) versus the  
231 amount of these three pharmaceuticals  
232 prescribed by PBS (Note: The  $R^2$  value was  
233 calculated using Microsoft Excel since GraphPad  
234 don't calculate  $R^2$  for linear regression forced to  
235 zero).



236 **Table 1.** Correction factors (CFs) of atenolol, carbamazepine, and naproxen calculated in this  
 237 study, and CF used in previous studies

	<b>Atenolol</b>	<b>Carbamazepine</b>	<b>Naproxen</b>
CF Mean (95% CI)	1.37 (1.17-1.66)	8.69 (7.66-10.03)	>2.63 (2.11-4.03)
CF used in previous studies	1.11 <sup>38</sup> , 1.20 <sup>39, 40</sup> , 2.22 <sup>21</sup> , 2.7 <sup>21, 35, 38-40</sup>	6.67 <sup>39, 40</sup> , 7.14 <sup>35</sup> , 10 <sup>38</sup> , 20 <sup>21</sup> ,	1.43 <sup>38</sup> , 10 <sup>39</sup>
CF calculated using the method from Gracia-Lor et al., 2016 using pharmacokinetic data	1.75	83	1.11

238

### 239 **3.4 Comparison with CFs derived from pharmacokinetic studies**

#### 240 **3.4.1 Atenolol**

241 The CF of atenolol is 1.37 (Table 1), which is slightly greater than the CF derived from  
 242 pharmacokinetic studies of 1.22 or 1.15 (Table S8, reciprocal of the excretion factor)<sup>41, 42</sup>, but  
 243 smaller than 2.22 and 2.7 as previously used.<sup>35, 43</sup> Our calculated CF is also slightly smaller  
 244 than the 1.75 CF that used the weighted mean of pharmacokinetic studies as reported previously.  
 245 <sup>24</sup> With six healthy male volunteers it was found that some stereoselective metabolism of  
 246 racemic atenolol was observed (d-atenolol and l-atenolol excretion rate of 22% and 19%,  
 247 respectively).<sup>19</sup> This translates to CF's of 4.54 and 5.26, respectively, which is much higher  
 248 than our calculated CF. The route of administration (i.e. whether atenolol is taken orally or  
 249 intravenously), can affect the excretion rate from 50% to 85%.<sup>44</sup> However, in Australia,  
 250 atenolol is predominantly prescribed as a 50 mg tablet with negligible amounts of oral liquid  
 251 and without intravenous solution (Table S5). In addition, some studies suggest that there could  
 252 be 5-48% of atenolol could be excreted in feces.<sup>45, 46</sup> However, this would not affect the overall  
 253 CF determined with our method since atenolol is quite hydrophilic, and the atenolol excreted  
 254 in feces would preferentially partition into the water phase and be quantified during wastewater

255 analysis. Therefore, lateral WBE studies should use CF considering not only the excretion in  
256 the urine but also in the feces.

### 257 **3.4.2 Carbamazepine**

258 The carbamazepine CF of 8.69 calculated in this study is much lower than 200 or 40 based on  
259 the excretion fraction of 0.5% or 2-3% reported in previous pharmacokinetic studies (Table  
260 S8).<sup>47,48</sup> Carbamazepine undergoes extensive metabolism after its consumption, and therefore  
261 the excretion of the parent compound is limited. However, carbamazepine-N-glucuronide is  
262 also excreted following carbamazepine consumption<sup>49</sup>, and carbamazepine-N-glucuronide  
263 would likely undergo in-sewer/in-sample deconjugation and release free carbamazepine.<sup>50-52</sup>  
264 This may be a cause of the discrepancy between the CFs used in the literature and the calculated  
265 CF in our study. Our calculated CF of 8.69 is also much smaller than 83 as calculated using the  
266 available pharmacokinetic data by weighing the excretion factor of each study by the number  
267 of participants, and this further support that carbamazepine conjugates would undergo  
268 deconjugation in the sewers. In addition, it was reported that approximately 28% of  
269 carbamazepine and its metabolites are excreted in faeces<sup>28</sup>, and carbamazepine is potentially  
270 excreted with feces and has a certain amount absorbed in the suspended solids in wastewater,  
271 which can subsequently contribute to the CF uncertainty.<sup>53</sup>

272 It was also found that the excretion profile of carbamazepine from healthy volunteers can be  
273 different to epileptic patients who regularly use carbamazepine for treatment.<sup>54</sup> Also worth  
274 noting is that the metabolism and excretion of carbamazepine among epilepsy patients are dose-  
275 dependent.<sup>55</sup> In Australia the dose formulations of carbamazepine vary from 100 mg to 400  
276 mg tablets, with modified release forms available (Table S5). The CF calculation method in  
277 this study would integrate such variance and provide an overall CF for consumption estimation  
278 on a large population scale which accounts for some of the complexity surrounding  
279 administration, doses, and metabolic variance between individuals as discussed above.

### 280 3.4.3 Naproxen

281 The calculated naproxen CF in this study is 2.63, which is likely an underestimation because  
282 of the lack of OTC consumption data. This CF value is different from CF of 1.43 based on the  
283 sum of naproxen and conjugated forms with a total excretion factor of ~70%. The CF is also  
284 higher than the 1.11 derived using the method proposed by Gracia-Lor et al. (2016). With 100  
285 mg intravenous injection on healthy volunteers, Runkel et al. (1974) reported naproxen is  
286 excreted as 10% unchanged with another 60% conjugated,<sup>56</sup> which was used by Riva et al.  
287 (2015) that assumed complete deconjugation for an excretion factor of 70% in their calculation.  
288 Upton et al. (1980) found less than 1% unchanged and approximately 60% in the conjugated  
289 form in 12-hour urine samples from four healthy volunteers taking 500 mg naproxen orally.<sup>57</sup>  
290 Kasprzyk-Hordern et al. (2009) used <1% unchanged with 66-92% in the conjugated form in  
291 their calculations, also assuming complete deconjugation.<sup>38</sup> Therefore, according to available  
292 pharmacokinetic studies, considerable amounts of naproxen are excreted in conjugated form,  
293 and most studies assume in-sewer biochemical processes completely deconjugate naproxen,  
294 which may not necessarily be the case. Factors such as age and alcohol consumption are also  
295 understood to impact the metabolism and excretion of naproxen<sup>58, 59</sup>. Therefore, catchments  
296 with older populations or substantially higher alcohol consumption may influence the derived  
297 CF. The dose of naproxen may also affect the excretion factor considering naproxen dose  
298 formulations in Australia vary from 250 mg to 1 g tablets with negligible amounts of 25 mg/  
299 mL oral liquid (Table S5).

### 300 3.5 Application of the calculated CFs

301 The WBE estimates based on the newly calculated CF matched relatively well with the  
302 dispensed data in the urban catchment for atenolol and carbamazepine (Table 2) despite the  
303 considerable daily variation of pharmaceutical mass loads in the catchment (Fig. S3). However,  
304 the new CF for naproxen did not provide a good match as the other two pharmaceuticals. It

305 again indicates that the lack of OTC sales of naproxen increases the uncertainty of naproxen  
306 CF and makes it less suitable for WBE applications. We acknowledge the limitations of  
307 comparing WBE and prescription data in different years. The PBS data showed that the annual  
308 use of the three pharmaceuticals did not fluctuate significantly (coefficient of variation of 9%,  
309 6%, and 6% for atenolol, carbamazepine, and naproxen annual total masses consumed 2013-  
310 2017). These fluctuations should be considered when interpreting the data, as the data were  
311 collected in different years: WBE 2011-2012 and the PBS 2013-2015.

312 We also noted that the WBE estimates and the dispensed data are poorly matched to literature  
313 from other countries using either their existing CF or our calculated CF; WBE can overestimate  
314 or underestimate the pharmaceutical consumption compared with dispensed prescription data  
315 (Table 3). For example, atenolol consumption was overestimated and underestimated by WBE  
316 in France in the same WWTP by the same research group.<sup>39, 40</sup> Overall, the matches using the  
317 old literature CF (1.11-2.7 in different studies) are better than using our calculated CF for  
318 atenolol, and the literature CF fall into the 95% CI of our calculated CF, this indicates that  
319 atenolol CF may vary among catchments within the range of 1.10-2.42. Our calculated CF is  
320 similar to the one used by Kasprzyk-Horden et al. (2009), and provided better matches between  
321 WBE and prescription data in France and Belgium. The limited number of wastewater samples  
322 in these publications may have contributed to the poor matches between WBE estimates and  
323 annual prescription/sales data as a previous study has found out that up to 56 stratified random  
324 samples are required to obtain reliable annual estimates of illicit drug loads.<sup>37</sup> Besides, those  
325 studies reported results from one or two catchments and used the national average prescription  
326 data for comparison, where there could be significant spatial differences in consumption adding  
327 another layer of uncertainty. Therefore, it is important to have adequate data to evaluate the  
328 applicability of our calculated CF in other countries.

329



330 **Table 2** WBE estimates using calculated CFs and PBS data in an urban catchment

	WBE estimates g/day in 2011 (186 days)	WBE estimates g/day in 2012 (149 days)	PBS 2013 g/day	PBS 2014 g/day	PBS 2015 g/day
Atenolol	147±33	160±38	148	136	130
Carbamazepine	233±41	229±47	206	228	240
Naproxen	313±70	297±85	212	186	189

331 PBS: The Pharmaceutical Benefit Schedule (PBS) is a national formulary of prescription  
 332 pharmaceuticals subsidized by the Australian Government. The PBS covers community use of  
 333 medicines but does not include those used by hospital inpatients. There can be seasonal  
 334 variations because of the effect of the Safety Net (consumers get their medicines dispensed  
 335 while they may be eligible for reduced prices within a calendar year). There may be a time lag  
 336 from the date of dispensing to consumption; the actual consumption could be lower than  
 337 dispensed PBS volumes if the patient does consume the full course of medicines (reduced  
 338 adherence).

339 **Table 3** Comparison of WBE estimates using old and new EF/CF in the literature

Country	Catchment population	# of samples	Prescription/sales data (mg/day/1000 inh)	Old estimate (mg/day/1000 inh)	Estimate using new CF (mg/day/1000 inh)	Match Old vs New
<b>Atenolol</b>						
Norway <sup>35</sup>	~58,000	7	2396*	582	295	24% vs 12%
UK <sup>38</sup>	111,000 and 30,000	~20	2000	5900	7281	295% vs 364%
France <sup>39</sup>	~70,000	13	761*	887	1013	116% vs 133%
France <sup>40</sup>	~70,000	83	761*	319	353	42% vs 48%
Belgium <sup>21</sup>	59400, 63000, 58500, 43200, 54900	5	758/728/649/906/1073	149/64/189/222/107	92/40/117/137/66	9-29% vs 5-18%
<b>Carbamazepine</b>						
Norway <sup>35</sup>	~58,000	7	10879*	16679	23351	153% vs 215%
UK <sup>38</sup>	111,000 and 30,000	~20	2500	4700	4700	188% vs 188%
France <sup>39</sup>	~70,000	13	1390*	99	158	7% vs 11%
France <sup>40</sup>	~70,000	83	1390*	366	512	26% vs 37%
Belgium <sup>21</sup>	59400, 63000, 58500, 43200, 54900	5	1040/2040/1449/1339/1397	2923/4261/8739/3859/3556	1329/1937/3972/1754/1616	209-603% vs 95-274%
<b>Naproxen</b>						
France <sup>39</sup>	~70,000	13	1550*	895	224	58% vs 14%
UK <sup>38</sup>	111,000 and 30,000	~20	2500	700	1225	28% vs 49%

340 \* : sales data

341 **3.6 Factors affecting the derivation of CFs in this study**

342 Apart from the lack of OTC data that critically affects the calculation of the naproxen CF with  
343 our approach, other factors could contribute to the uncertainty of the CF.

344 **3.6.1 Seasonal variation of pharmaceutical consumption**

345 We have shown previously that the level of atenolol and naproxen consumption varied  
346 according to the ambient temperature, i.e. seasons, while carbamazepine consumption was  
347 stable throughout the year. Atenolol was consumed more during winter but naproxen was  
348 consumed more in summer.<sup>32</sup>

349 Our approach used five to seven daily samples from each catchment in August (winter in  
350 Australia), which may not be fully representative of the annual average daily consumption. We  
351 obtained the average daily dispensed amount by dividing the annual consumption by the total  
352 number of days in a year. Therefore, the seasonal consumption variation could contribute to  
353 the poor correlation between the mass load of pharmaceuticals and the corresponding  
354 prescription dispensing data (see section 3.2).

355 **3.6.2 Incomplete use and direct disposal of unused pharmaceuticals to the sewers**

356 The poor correlation between pharmaceutical mass loads in wastewater and the dispensed  
357 prescription data can also be attributed to poor adherence and incomplete use of the prescribed  
358 pharmaceuticals, possible direct disposal of unused pharmaceuticals to the sewers, and hospital  
359 use. Previous studies have found that some of the pharmaceuticals kept by patients may not be  
360 fully consumed.<sup>60,61</sup> If there were considerable amounts of pharmaceuticals that remain unused  
361 in Australia, then the PBS data may overestimate actual consumption and subsequently  
362 overestimate the CF. Also, if the unused pharmaceuticals are disposed directly into the toilet  
363 and sewers, this would increase the mass load of parent compounds in the wastewater samples

364 and would underestimate the CF. Therefore, further studies should focus on calculating the CF  
365 of stable metabolites instead of parent compounds.

### 366 **3.6.3 Demographics of the catchment population**

367 The metabolism and excretion of pharmaceuticals are affected by age <sup>62</sup>, gender <sup>63</sup>, and  
368 ethnicity <sup>64</sup>. The WWTP Census sampling catchment populations have diverse demographic  
369 characteristics such as age distribution <sup>34</sup>. Therefore, depending on the age distribution and  
370 ethnic group compositions, the excretion factor and CF can vary between catchments, so the  
371 CF calculated in this study is mainly for estimation of the selected pharmaceuticals in large  
372 populations. For geographical comparison of smaller populations using WBE, catchment-  
373 specific CF may need to be considered if demographic characteristics such as age distribution  
374 are very different.

### 375 **3.6.4 Dosage, form, and co-consumption of substances**

376 The excretion factor of pharmaceuticals can vary by dose and formulation (i.e. tablet, capsule,  
377 oral liquid, or intravenous solution) <sup>65,66</sup> and the co-consumption of substances such as alcohol,  
378 tobacco, and caffeine. <sup>67</sup> All the three pharmaceuticals investigated in this study were available  
379 in multiple dose formulations in the Australian market (Table S2, Fig. S1-3), so the CFs  
380 calculated in this study are the overall CFs of all dose formulations and dose regimes. Besides,  
381 there are considerable regional differences in alcohol and tobacco consumption in the  
382 Australian population <sup>4</sup>, therefore, the CFs for a particular catchment with substantially higher  
383 or lower alcohol and tobacco consumption would deviate from the CFs we calculated. Previous  
384 studies have derived CFs by conducting a meta-analysis of all pharmacokinetic studies and  
385 taking the route of administration and number of subjects into account. Such CFs would  
386 minimize the uncertainty of excretion variations due to dose formulations and different number  
387 of participants among traditional pharmacokinetic studies. <sup>9,24</sup> However, the CFs calculated  
388 with such method does not include the possible sorption/degradation and incomplete use of

389 pharmaceuticals. Therefore, it is recommended to derive CFs using our method where both  
390 WBE and prescription data are available.

### 391 **3.6.5 Sorption and degradation of biomarkers**

392 Although the three investigated biomarkers are relatively hydrophilic with Log  $K_{ow}$  range from  
393 0.16 to 3.18 (Table S1), and the previous study has indicated that sorption of all the three  
394 biomarkers to suspended solids are small in wastewater<sup>68</sup>, but the acidification preservation of  
395 samples may change the charge state of biomarkers and promote the sorption to suspended  
396 particles and filters. Therefore, loss of biomarkers due to sorption would contribute to the  
397 overall uncertainty of our calculated CFs, especially for naproxen with a pKa value of 4.84 will  
398 be present as the fully protonated form which could have higher sorption potential. The poor  
399 correlation between naproxen mass load in wastewater and the PBS data as in section 3.3 may  
400 also attribute to the sorption issue of naproxen in acidified wastewater. Furthermore, in-sewer  
401 and in-sample loss of biomarkers can also affect the accuracy of the calculated CF. Naproxen  
402 was found to have some level of degradation with high area/volume ratios and long hydraulic  
403 retention time in sewer reactors, despite its stability in pilot sewers.<sup>12, 69</sup> Atenolol and  
404 carbamazepine were found to be stable under laboratory and pilot-scale sewer conditions.  
405 However, these studies were conducted at near room temperature (~20 °C) and controllable  
406 environment, during the sampling period in this study (August) there could be considerable  
407 differences in wastewater temperatures across Australia (and the sewer microorganisms and  
408 bioactivity would be expected to vary largely) where the substantial biomarker losses can vary.

409 <sup>70</sup>

### 410 **3.7 Limitations and future perspective**

411 The CFs reported in our study are the combination of several variables: the drug excretion  
412 following ingestion, the in-sewer sorption and degradation, and the proportion of dispensed

413 drug which is consumed (compliance). Due to the nature of the CF calculation and the CF  
414 validation method, we acknowledge there are some limitations of our method. Firstly, we  
415 calculated the CF of the parent drugs for atenolol, carbamazepine, and naproxen. While  
416 analyzing metabolites would be more specific for identification purposes (and eliminate the  
417 influence of the disposed drug), most WBE studies have focussed on these pharmaceuticals as  
418 the parent drug, and so further studies should expand this method to more prescription  
419 pharmaceuticals and calculate the CF of both parent compound and their metabolites. Secondly,  
420 we applied the CF using WBE data from different years to prescription data: 2011-2012 and  
421 2013-2015, respectively. Unfortunately, these were the only years available for comparison but  
422 may introduce some uncertainty of the indirect comparison. The prescription statistics of the  
423 three drugs did not vary much year-to-year and so this would have had a minor effect.  
424 Validating the CF in another country would also be beneficial in the future. Thirdly, the  
425 prescription data used is the best available dataset that can reflect the consumption of  
426 prescription pharmaceuticals. However, it may not include the total amount of drug dispensed  
427 due to the collection and recording method of PBS data, which may underestimate the CF to  
428 some extent. Therefore, more accurate prescription dispensing/sales data is preferable for  
429 further studies using our method.

430 In summary, we observed considerable temporal and spatial variations in the consumption of  
431 atenolol, carbamazepine, and naproxen in Australia. Using the mass load of pharmaceuticals  
432 in wastewater and the dispensed data, we calculated the CFs for atenolol, carbamazepine, and  
433 naproxen. The newly calculated CFs of atenolol and carbamazepine were validated to an  
434 independent WBE dataset consisting of >300 daily samples from an urban catchment in  
435 Queensland and WBE gave results with 111% (atenolol) and 103% (carbamazepine) of the  
436 expected value calculated from prescription data. It was not successful for naproxen, probably  
437 due to the lack of OTC data. CFs can vary due to multiple factors, but the method in this study

438 integrates some of the variations and provides suitable CFs for WBE studies in large  
439 populations.

440

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#### 447 **Supporting Information**

448 Additional information about the chemical properties of investigated pharmaceuticals,  
449 wastewater samples, LC-MS/MS parameters for analysis, the PBS classification of investigated  
450 pharmaceuticals, Catchment characteristics of the urban catchment used for CF verification,  
451 Quantity of atenolol, carbamazepine, and naproxen prescribed in Australia from 2014 to 2017,  
452 pharmacokinetic information of the three pharmaceuticals, the quantity of atenolol,  
453 carbamazepine and naproxen prescribed in the Australian population in 2016 categorized by  
454 gender, the quantity of atenolol, carbamazepine and naproxen prescribed in the Australian  
455 population categorized by age group, and the mass load of atenolol, carbamazepine and  
456 naproxen in the urban catchment of Queensland 2011-2012 is provided.

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