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Scleral shape and its correlation with corneal parameters in keratoconus

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1 Scleral shape and its correlation with corneal parameters in keratoconus

2 **Abstract**

3

4 Purpose:

5 To assess the correlation of the scleral shape and corneal tomographic parameters in
6 keratoconus.

7

8 Methods:

9 Twenty eyes of 15 keratoconus patients with no previous specialty lens wear or ocular surgery
10 were included in this study. Corneal imaging was obtained with the Pentacam HR and three-
11 dimensional (3D) corneoscleral maps were acquired using the Eye Surface Profiler, ESP.
12 Sagittal height was calculated at the central corneal level (annulus of 0–4 mm radius),
13 peripheral cornea (annulus 4–6 mm radius) and sclera (annulus 6–8 mm radius) using ESP
14 maps and Pentacam HR (exclusively for the central cornea). The flattest and steepest regions
15 of each annulus and the circumferential scleral asymmetry were calculated based on custom-
16 made software. The Pearson correlation coefficient (r) was used to evaluate the correlation
17 between corneal parameters as measured by Pentacam HR and scleral asymmetry.

18

19 Results:

20 Anterior corneal parameters, such as flattest and steepest keratometry, were found to be
21 correlated with scleral asymmetry in keratoconus (all $r > 0.5$, $p < 0.05$). In contrast, anterior
22 astigmatism showed poor correlation with the level of scleral irregularity ($r = -0.11$; $p = 0.32$).
23 Other disease-specific parameters pertaining to the posterior corneal curvature and corneal
24 thickness were not correlated with scleral asymmetry. The steepest regions of the central
25 cornea, peripheral cornea, and sclera tended to share a common angle ($r = 0.92$; $p < 0.001$ for
26 central cornea compared to sclera).

27

28 Conclusion:

29 Anterior corneal parameters measured by corneal imaging are associated with the level of
30 scleral asymmetry and the orientation of the steepest area of the sclera in eyes with
31 keratoconus.

32

33

34 **Keywords:** Keratoconus; Scleral shape; Corneal imaging; ESP; Pentacam HR

35 **Introduction**

36

37 Over the past decade, the availability of scleral lenses has vastly increased worldwide. Most
38 of the major manufacturers of corneal lenses now also fabricate scleral lenses of various
39 designs, including lenses with quadrant-specific landing zones [1]. Scleral lenses rest on the
40 conjunctival tissue overlying the sclera, whilst completely vaulting the cornea and limbus. It is
41 generally accepted that the geometry of the landing zone of a scleral lens should align as
42 closely as possible with the underlying ocular tissues [2]. Unequal weight-bearing of a scleral
43 lens can induce sectorial impingement and excessive compression of the conjunctiva, thereby
44 limiting wearing time and comfort [3]. Gaining insight into the shape of the anterior sclera is of
45 particular relevance to eyes with keratoconus as it is the most common indication for scleral
46 lens fitting worldwide [1].

47 Most research to date has focused on eyes with uncomplicated refractive error, demonstrating
48 the rotationally asymmetric nature of the sclera and its correlation with axial length [4-6]. In
49 keratoconus, significant changes to the anterior scleral shape have been found compared to
50 healthy controls: the sclera appears to be more irregular and steeper in eyes with keratoconus
51 [7-9]. In a large study including 227 ectasia eyes and 115 control eyes, DeNaeyer et al found
52 higher levels of scleral irregularity in non-central ectasia (apex > 1.25 mm from the geometric
53 centre of the cornea) compared to central ectasia, and a similar axis of scleral surface elevation
54 as the ectatic region of the cornea [10]. Unfortunately, the number of eyes with keratoconus
55 was not specified in this study, nor whether contact lens use was taken into consideration prior
56 to imaging. In another recent report on 21 eyes with keratoconus and 88 healthy control eyes,
57 a significant correlation was detected between the inner and outer best fit sphere (BFS) in
58 keratoconus with a lack of correlation between the mean corneal and scleral radius [7]. In both
59 studies, corneal tomographic data was not included, and eyes were not matched in terms of
60 axial length, a correlate of scleral irregularity [11]. Corneal topography parameters in
61 keratoconus have also been found to correlate poorly with scleral lens characteristics (sagittal
62 height and landing zone toricity) in prior studies [12-13].

63 Scleral lens practice has been expanding over the past decade beyond tertiary care centres,
64 whereas specialised imaging devices such as corneoscleral topographers remain less
65 widespread [1]. Hence, scleral lens fitting still mostly depends on a diagnostic fitting approach.
66 It is therefore useful to study how corneal parameters, as measured by corneal imaging
67 devices such as Pentacam HR, relate to the scleral shape in keratoconus. Topographic
68 analysis of the anterior cornea has long been the main tool to characterize keratoconus. Full
69 characterization of the corneal structure, including analysis of anterior and posterior corneal
70 curvature, as well as pachymetry, is necessary for a comprehensive understanding of the
71 association between corneal and scleral shape in keratoconus. To complement the previous

72 studies on corneoscleral geometry, this study aimed to determine how various tomographic
73 parameters of disease severity relate to scleral morphometry in keratoconus.

74

75 **Methods**

76

77 ***Participants***

78 A prospective, cross-sectional study was performed at the Department of Ophthalmology of
79 Ghent University Hospital, Belgium. The study was approved by the Ethics Committee of
80 Ghent University Hospital and adhered to the tenets of the Declaration of Helsinki. Patients
81 with keratoconus, 18 years of age or older, attending the contact lens clinic between
82 September 2018 and August 2019 were asked to participate in the study. Exclusion criteria
83 included axial length >25 mm, a history of corneal crosslinking, contact lens wear or refractive
84 or intraocular surgery (such as corneal grafting, cataract surgery, pars plana vitrectomy, etc.)
85 as these procedures may all affect corneal and/or scleral characteristics. Patients meeting the
86 criteria (n=17) gave written informed consent to participate after the nature, and the possible
87 consequences of the study were explained. Both eyes were considered eligible as keratoconus
88 is an asymmetric disease with limited intrasubject correlation [14]. The required sample size
89 was calculated based on previously published data of scleral topography in eyes with
90 keratoconus [9]. A sample size of at least 10 participants would yield 90% power to detect
91 significant differences in elevation between different corneoscleral sectors in eyes with
92 keratoconus at the 0.05 significance level.

93

94 ***Data collection***

95 The study protocol included corneal tomography (Pentacam HR, Oculus, Wetzlar, Germany)
96 and subsequently, corneoscleral topography (Eye Surface Profiler (ESP), Eaglet Eye BV,
97 Houten, Netherlands). Pentacam HR measurements were performed prior to ESP imaging, as
98 the instillation of fluorescein is necessary for the ESP imaging procedure. The Pentacam HR
99 uses a monochromatic blue light-emitting diode (LED) with a wavelength of 475 nm and a
100 Scheimpflug camera that rotates around the corneal axis. Participants were asked to blink
101 before each scan was taken, open both eyes and fixate on the central light. One good-quality
102 Pentacam HR measurement was acquired per eye. When needed, measurements were
103 repeated until a good quality score ("OK" on Pentacam HR software) was obtained. The ESP
104 is a sequential double fringe projection system based on Fourier transform profilometry. Its
105 accuracy has been found to be similar to Placido disc-based videokeratoscopes [15]. A BioGlo
106 (HUB Pharmaceuticals, LLC, Plymouth, MI, USA) ophthalmic strip was moistened with one
107 drop of eye lubricant (Hylo-Comod, 1 mg/ml of unpreserved sodium hyaluronate URSAPHARM
108 Arzneimittel GmbH Saarbrücken, Germany) and subsequently used to touch the upper temporal

109 ocular surface gently. Eyelids were manually retracted, but special care was taken not to
110 compress the globe. Three subsequent ESP measurements were taken, and the one with the
111 largest scleral area coverage was included for data analysis.

112

113 ***Data analysis***

114 Scleral asymmetry was assessed using the three-dimensional (3D) corneoscleral maps
115 acquired with ESP, using a methodology described elsewhere [16]. In brief, the cornea and
116 the sclera were separated at the level of the limbus [17]. Further, the root mean square error
117 (RMSE) of the difference between the 3D scleral annulus data and a fixed reference surface,
118 built using a conic quadratic function, was calculated as an estimate of corneoscleral
119 asymmetry [13]. This low-variance, automated method grades scleral asymmetry in
120 micrometres. Low values indicate a fairly regular anterior sclera, whereas high values
121 correspond with an irregular surface. Consequently, scleral asymmetry in the current work
122 reflects scleral irregularity and is not interchangeable with scleral toricity as defined by
123 DeNaeyer et al. [10]. The corneal apex, which refers to the geometric centre of the cornea, is
124 the reference level for corneoscleral sagittal height analysis. The ESP device incorporates an
125 internal procedure, based on 3D data, to estimate the position of the corneal apex and to
126 ensure that corneal data are not tilted or rotated (for details, see [18].) In addition, clinical built-
127 in parameters, including cone position (the distance from the corneal apex to the thinnest
128 corneal location) were exported from Pentacam HR to investigate the correlation of those
129 parameters with scleral asymmetry.

130

131 Sagittal height was calculated using custom-made software from corneal maps (acquired with
132 Pentacam HR) in all directions at the central corneal level (0 – 4 mm radius) and from
133 corneoscleral maps (acquired with ESP) in all directions for the central cornea (0 – 4 mm
134 radius), peripheral cornea (annulus 4 – 6 mm radius) and sclera (annulus 6 – 8 mm radius).
135 Each of these annuli was divided into 10° sectors, and the mean sagittal height in each sector
136 was calculated. The sectors with the highest and lowest sagittal height were considered the
137 steepest and flattest sector, respectively. The corresponding angular position of the steepest
138 and flattest sectors with respect to the corneal apex was also recorded and used to calculate
139 the relative angle between the steepest and flattest regions of the sclera.

140

141 ***Statistical analysis***

142 The statistical analysis was performed using Microsoft Office Excel (Microsoft Office
143 Professional Plus 2016; Microsoft; Redmond, WA, USA). The normality of all sets of data was
144 not rejected (Shapiro-Wilk test, $p > 0.05$). The level of significance was set to 0.05. To assess

145 whether the level of scleral asymmetry can be inferred from corneal tomography data, Pearson
 146 correlation coefficient (PCC, denoted by ' r '), along with the corresponding p-value was
 147 calculated. The goodness of fit was calculated by the coefficient of determination (R^2). In
 148 addition, a paired t-test and a Bland-Altman analysis was performed to determine the
 149 agreement between the sagittal height data calculated from ESP and Pentacam HR maps.

150
 151 **Results**

152
 153 Measurements were taken in 24 eyes of 17 keratoconus patients who had not worn any type
 154 of contact lens in the past three months. The other ten eyes of these patients had undergone
 155 intraocular surgery and were therefore excluded. Due to insufficient coverage of the superior
 156 area (related to blinking) and suboptimal fixation, four eyes were excluded from analysis.
 157 Consequently, twenty eyes of 15 keratoconus patients were included in this study. The mean
 158 age was 40.7 ± 15.6 years. Scleral asymmetry was, on average, $72 \pm 28 \mu\text{m}$ (range 37 – 129
 159 μm).

160
 161 ***Relationship between scleral asymmetry with Pentacam HR corneal parameters***

162
 163 Corneal parameters relevant to keratoconus diagnosis and follow-up were assessed in terms
 164 of their correlation with scleral asymmetry. Statistically significant results were found for
 165 several parameters, as shown in Table 1.

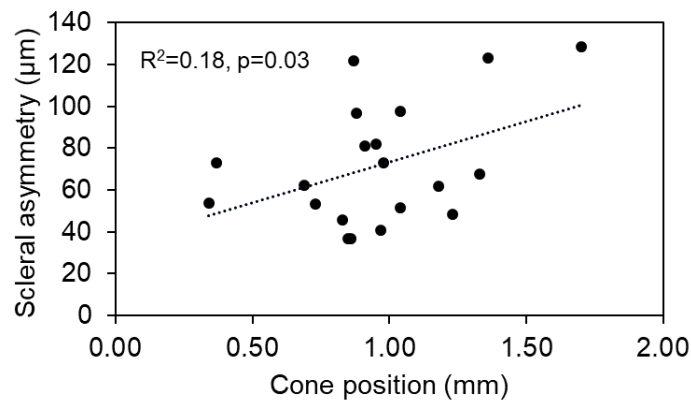
166
 167 **Table 1.** Built-in Pentacam HR parameters and their correlation with scleral asymmetry,
 168 calculated with the Pearson correlation coefficient (r).

Parameter	Mean \pm SD	r	p-value
K1 (D)	45.4 \pm 3.47	0.66	0.001*
K2 (D)	48.1 \pm 3.52	0.60	0.003*
Anterior Astigmatism (D)	2.7 \pm 1.8	- 0.11	0.32
K_{max} (D)	51.6 \pm 4.4	0.53	0.008*
BFS anterior (mm)	7.42 \pm 0.44	- 0.64	0.001*
Ele F BFS (μm)	17 \pm 10	0.04	0.43
ARC (mm)	7.02 \pm 0.51	- 0.47	0.01*
PRC (mm)	5.40 \pm 0.48	- 0.33	0.07
BFS posterior (mm)	6.14 \pm 0.46	- 0.50	0.01*
Ele B BFS (μm)	38 \pm 19	0.08	0.36
TCT (μm)	471 \pm 30	0.13	0.29

PPI _{Avg}	1.69 ± 0.43	0.06	0.39
BAD-D	5.63 ± 2.24	0.16	0.25

169 K1: flattest keratometry; K2: steepest keratometry; K_{max}: maximal keratometry; BFS:
170 Best-fit sphere; Ele F BFS: anterior elevation: maximal point of elevation in the 4 mm
171 zone surrounding the thinnest point relative to BFS; ARC: anterior radius of
172 curvature; PRC: posterior radius of curvature; Ele B BFS: posterior elevation:
173 maximal point of elevation in the 4 mm zone surrounding the thinnest point relative
174 to BFS; TCT: thinnest corneal thickness; PPI_{Avg}: Average value of Pachymetric
175 Progression Index; BAD-D index: Belin/Ambrosio Total Deviation Index).

176
177 Scleral asymmetry was also found to be moderately correlated with the level of decentration
178 of the cone ($r=0.42$, $p=0.03$) (Figure 1), and well correlated with the mean elevation of the
179 central cornea (central 4 mm radius) calculated from Pentacam HR maps ($r=0.71$, $p<0.001$).
180

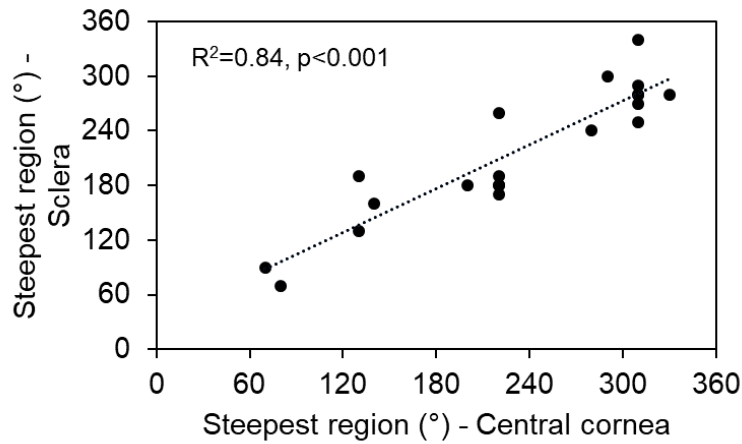


181
182 **Figure 1.** Scleral asymmetry in relation to cone position (distance in mm from the corneal apex
183 to the thinnest point of the cornea as generated by Pentacam HR software).

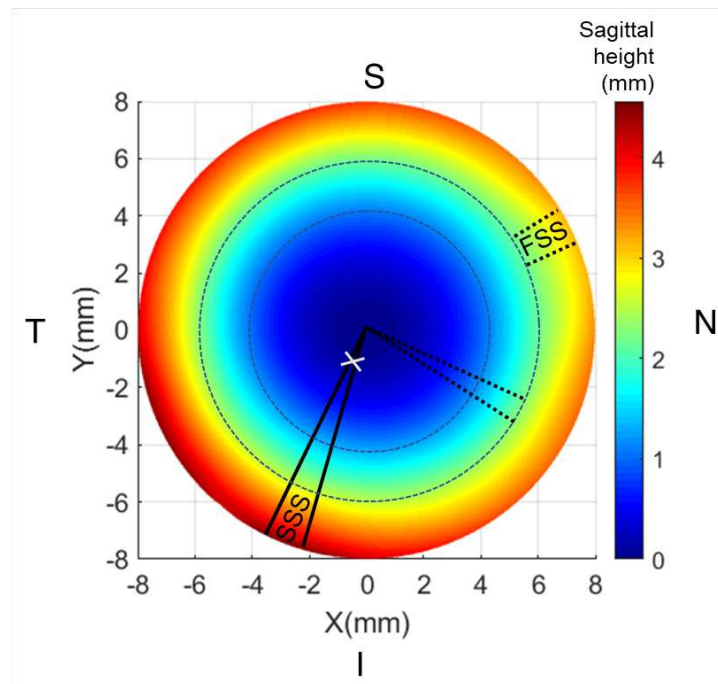
184
185 ***Relationship between corneal and scleral elevation patterns***

186
187 The location of the steepest area in the central and peripheral corneal annulus was strongly
188 correlated ($r=0.91$; $p<0.001$). Similarly, the position of the steepest area in the sclera was also
189 highly correlated with both the central ($r=0.92$; $p<0.001$) (Figure 2) and peripheral cornea
190 ($r=0.93$; $p<0.001$). Weaker correlations were found regarding the flattest regions in the three
191 annuli. A low correlation was found between the position (angle) of the flattest area in the
192 central and peripheral cornea ($r=0.41$; $p=0.03$). In addition, the flattest area in the sclera was
193 not correlated with the central cornea ($r=0.12$; $p=0.30$), but showed a moderate correlation with
194 the peripheral cornea ($r=0.57$; $p=0.004$). The relative angle between the steepest and flattest
195 regions in the sclera was $140 \pm 35^\circ$, ranging from 50° to 180° . Figure 3 illustrates this
196 phenomenon. No correlation was found between this relative angle and scleral asymmetry

197 (r=0.01; p=0.48). Similarly, no correlation was found between scleral asymmetry and the
198 deviation of the relative angle from 90° (r=0.01; p=0.48).
199



200
201 **Figure 2.** The angle of the steepest region of the central cornea in relation to that of the sclera,
202 as calculated from corneoscleral maps acquired with the ESP.
203

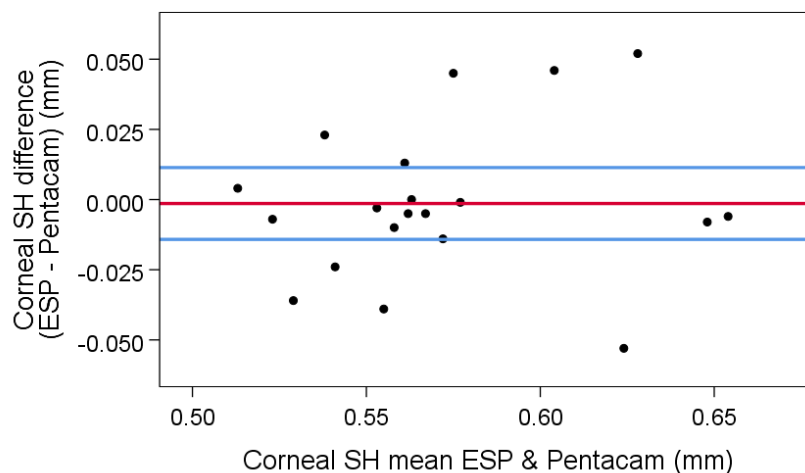


204
205 **Figure 3.** Corneoscleral right map (16 mm chord) of a representative keratoconus participant
206 (randomly selected). The steepest scleral sector (SSS) is separated by 130° from the flattest
207 scleral sector (FSS). The steepest peripheral corneal and central corneal sectors are aligned
208 with the SSS, as indicated by solid black lines. This is not the case for the flattest peripheral
209 and central corneal sectors, as indicated by dotted black lines. Steepest and flattest corneal
210 regions are separated 90° from each other. Dashed circumferences demarcate central cornea
211 (0–4 mm radius), peripheral cornea (annulus 4–6 mm radius), and sclera (6–8 mm radius).

212 The white cross indicates the position of the cone, estimated using automatic Pentacam HR
213 parameters, including distance of the thinnest corneal point from the corneal apex and
214 corresponding polar coordinate. N: nasal; I: inferior, T: temporal, S: superior.

215

216 Notably, at the level of the central cornea (0–4 mm radius), the mean sagittal height (elevation)
217 calculated with ESP data was not significantly different from that calculated with Pentacam HR
218 data (paired t-test, $p=0.39$). In addition, Bland-Altman analysis showed a mean difference of -
219 0.0014 mm between the two devices (95% limits of agreement -0.014 to +0.011 mm) indicating
220 good agreement.



221
222

223 **Figure 4.** Bland-Altman plot showing differences in sagittal height (SH) of the central cornea
224 (0–4 mm radius) between ESP and Pentacam HR measurements. The red line indicates the
225 mean difference and the blue lines indicate the 95% limits of agreement.

226

227 Discussion

228

229 Both the anterior and posterior corneal surfaces are affected in keratoconus but detected
230 changes do not necessarily follow the same course [19]. The present study aimed at
231 investigating how a selected range of corneal tomography parameters in keratoconus correlate
232 with scleral geometry. Primarily corneal parameters reflecting central/generalized steepening
233 of the anterior corneal surface (K1, K2 and anterior BFS) were associated with scleral
234 irregularity, and to a greater extent than parameters centered on the cone itself (K_{max} and
235 ARC). Pinero et al. have previously demonstrated a significant correlation between BFS of the
236 corneal and scleral area in eyes with keratoconus, indicating that when the cornea steepens
237 in keratoconus, the sclera also tends to steepen [7]. The current study additionally
238 demonstrates that the sclera not only tends to steepen, it also becomes more irregular when
239 the anterior corneal surface steepens. Despite the significant correlation found for K1 and K2,

240 anterior corneal astigmatism was not correlated with scleral irregularity ($p=0.32$). A previous
241 study in patients with irregular corneas (including a subset of eyes with keratoconus) similarly
242 failed to detect a significant correlation between the asymmetry of central corneal sagittal
243 height and the need for a toric sclera lens landing zone [12]. In contrast, in eyes with regular,
244 with-the-rule astigmatism, corneal astigmatism is correlated with the level of scleral irregularity
245 [20]. Interestingly, disease-specific markers of severity such as thinnest pachymetry, PRC and
246 BAD-D index failed to show a significant correlation with scleral asymmetry.

247
248 Scleral lenses are of particular benefit in both advanced and more peripheral keratoconus, as
249 corneal (and hybrid) lenses typically suffer from lens decentration and dislocation in these eyes
250 [21]. Hypothetically, one could expect a more irregular sclera (and thus landing area for the
251 lens) in displaced cones. In this study, a moderate correlation was found between scleral
252 asymmetry and the level of decentration of the cone (Figure 1). In contrast, De Naeyer et al.
253 observed a strong correlation between these parameters [10]. These authors assessed a large
254 group of irregular/ectatic corneas (227 eyes of 166 ectasia subjects) and found higher levels
255 of scleral asymmetry in eyes with an apex located >1.25 mm from the corneal centre.
256 Unfortunately, the number of eyes with keratoconus was not specified, and both groups were
257 not matched for severity of ectasia. To allow comparison with the findings from De Naeyer and
258 colleagues, the same correlation as illustrated in Figure 1 was recalculated, including only
259 those five eyes with an apex located >1.25 mm from the corneal centre. A higher correlation
260 between scleral asymmetry and the level of decentration of the cone was then found ($r = 0.80$
261 vs. $r = 0.42$). The severity of keratoconus likely is a relevant confounding factor within this
262 analysis. Mas-Aixala and associates have previously shown that the distance from the pupil
263 centre to the corneal apex increases with progression of disease [22]. Further research with
264 larger groups of eyes with keratoconus, matched for disease severity, is required to evaluate
265 the influence of cone decentration as a predictor of scleral asymmetry.

266
267 Based on corneoscleral ESP maps, the position of the steepest area was angularly stable from
268 the centre of the cornea towards the sclera, as seen in Figure 2. Similarly, De Naeyer et al.
269 found that scleral surface elevation varies along the same axis of corneal ectasia in their study
270 with the sMap3D ocular surface topographer [10]. In the current study, the orientation of the
271 flattest region was also examined but no consistent pattern was detected in the central and
272 peripheral cornea and sclera. The asymmetric, non-toric nature of the sclera in keratoconus is
273 also reflected by the large range of angles found between the steepest area and the flattest
274 area of the sclera (mean angle of 140° , ranging from 50 to 180°), as illustrated by the example
275 shown in Figure 3. These findings provide an anatomical basis, next to the influence of gravity

276 and the blinking force exerted by the upper eyelid, to the frequently observed inferior-temporal
277 decentration of scleral lenses in keratoconus [3,23]. Kowalski and associates have previously
278 shown that higher levels of scleral irregularity are associated with greater decentration of
279 scleral lenses and in particular, vertical lens decentration primarily was governed by the initial
280 apical clearance [23].

281
282 ESP and Pentacam HR central (0–4 mm) sagittal height measurements were found were found
283 to be in good agreement (Figure 4). However, further research should be conducted regarding
284 instrument agreement across a range of parameters in a larger sample. In previous research,
285 ESP was found to be in good agreement with Placido disc-based videokeratoscopes [15]. In
286 the current study, predominantly eyes with mild to moderate keratoconus were included,
287 mainly because of the exclusion of eyes with current specialty lens wear. Longitudinal data of
288 patients with progression of corneal disease would be of particular value to investigate if and
289 how scleral changes occur in progressive keratoconus.

290

291

292

293 **Conclusion**

294

295 Anterior corneal curvature parameters were moderately associated with the level of scleral
296 asymmetry in keratoconus eyes: the steeper the anterior cornea, the more asymmetric the
297 sclera. Corneal astigmatism, pachymetry, and posterior curvature were not correlated with
298 scleral shape in keratoconus. The steepest point of the sclera was aligned with the thinnest
299 corneal point (cone location).

300

301 **Declaration of interest**

302 None.

303

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