

**This item is the archived peer-reviewed author-version of:**

Use and interpretation of acute and baseline tryptase in perioperative hypersensitivity and anaphylaxis

**Reference:**

Vitte Joana, Sabato Vito, Tacquard Charles, Garvey Lene H., Michel Moise, Mertes Paul-Michel, Ebo Didier, Schwartz Lawrence B., Castells Mariana C..- Use and interpretation of acute and baseline tryptase in perioperative hypersensitivity and anaphylaxis  
The journal of allergy and clinical immunology. In practice- ISSN 2213-2201 - Amsterdam, Elsevier, 9:8(2021), p. 2994-3005  
Full text (Publisher's DOI): <https://doi.org/10.1016/J.JAIP.2021.03.011>  
To cite this reference: <https://hdl.handle.net/10067/1817440151162165141>

1                      **Use and interpretation of acute and baseline tryptase in perioperative hypersensitivity and**  
2    **anaphylaxis**

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**4774 words sections 1-6 (Introduction through Acknowledgments); 5 figures; 124 references**

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45 **COI**

46 JV reports speaker and consultancy fees in the past 5 years from Meda Pharma (Mylan), Novartis, Sanofi,  
47 Thermo Fisher Scientific, outside the submitted work. LHG is a consultant and adjudication committee  
48 member for Novo Nordisk A/S, Denmark and Merck, New Jersey, USA. LBS: VCU receives royalties from  
49 Thermo Fisher for the tryptase assay that are shared with its inventor, LBS. The other authors declare that  
50 they have no COI relevant to this work.

51  
52  
53

54 **Funding:** No specific funding was allocated for this review.

55 **Abstract**

56

57 Paired acute and baseline serum or plasma tryptase sampling and determination have recently been  
58 included as a mechanistic approach in the diagnostic and management guidelines of perioperative  
59 immediate hypersensitivity and anaphylaxis. The timing of this paired sampling is clearly defined in  
60 international consensus statements, with the optimal window for acute tryptase sampling between 30  
61 minutes and 2 hours after the initiation of symptoms, while baseline tryptase should be measured in a  
62 sample collected before the event (pre-op) or at least 24 hours after all signs and symptoms have resolved.  
63 A transient elevation of the acute tryptase level greater than  $[2 + (1.2 \times \text{baseline tryptase level})]$  supports  
64 the involvement and activation of mast cells.

65 Here, we provide the clinical, pathophysiological, and technical rationale for the procedure and  
66 interpretation of paired acute and baseline tryptase. Clinical examples, up-to-date knowledge of  
67 hereditary  $\alpha$ -tryptasemia as a frequent cause of baseline tryptase of 7  $\mu\text{g/L}$  and higher, mastocytosis, other  
68 clonal myeloid disorders, cardiovascular or renal failure, and technical improvements resulting in  
69 continued lowering of the 95th percentile value are discussed.

70 Clues for improved management of perioperative immediate hypersensitivity and anaphylaxis include (i)  
71 sustained dissemination and implementation of updated guidelines; (ii) preoperative sample storage for  
72 deferred analysis; (ii) referral for thorough allergy investigation, screening for mast cell-related disorders  
73 and recommendations for future anesthetic procedures; (iii) sustained collaboration between  
74 anesthesiologists, immunologists, and allergists.

75

76 **225 words**

77

78 **Keywords**

79 algorithm; anaphylaxis; anesthesia; hypersensitivity; mast cell; perioperative; tryptase

80

81

82 **Abbreviations**

83 AFE, amniotic fluid embolism; FcεRI, high-affinity receptor for the Fc segment of IgE; FcγRI, high-affinity  
84 receptor for the Fc segment of IgG; FEIA, fluoro-enzyme immunoassay; GOF, gain-of-function; HaT,  
85 hereditary α-tryptasemia; Ig, immunoglobulin; mAb, monoclonal antibody; MRGPRX2, Mas-related G  
86 protein coupled receptor X2; NMBA, neuromuscular blocking agent; POA, perioperative anaphylaxis; POH,  
87 perioperative hypersensitivity; sAT, serum acute tryptase; sBT, serum baseline tryptase; THIQ,  
88 tetrahydroisoquinoline

## 89 1. Introduction: Definitions and epidemiology

90  
91 Perioperative hypersensitivity (POH) is an immediate and potentially life threatening systemic reaction  
92 occurring during the perioperative period, defined as the time when the patient is under the care of an  
93 anesthesiologist<sup>1</sup>. The most severe POH reactions are referred to as perioperative anaphylaxis (POA). The  
94 lack of a universally accepted definition for POA or even anaphylaxis<sup>2-3</sup> led us to use the one proposed by  
95 the NAP6 (6<sup>th</sup> National Audit Project of the Royal College of Anaesthetists), i.e. grades III and IV of POH<sup>1,4</sup>  
96 **(Figure 1)**. Throughout this manuscript, unless otherwise stated, POH will denote any of its severity grades,  
97 including POA.

98 Although a rare event, POH is associated with significant morbidity and mortality and remains a  
99 management challenge for both anesthesiologists and allergists. In the clinical setting of the perioperative  
100 period, symptoms and signs compatible with POH may be difficult to distinguish from pharmacological  
101 effects of drugs, from effects of anesthetic or surgical procedures, from other medical emergencies (e.g.,  
102 hypovolemic or cardiogenic shock), or from effects of inflammation.

103 The updated POH nomenclature **(Figure 1)** is based on that conventionally used for drug hypersensitivity  
104 and covers a wide variety of mechanisms<sup>4-6</sup>. The consistent use of this nomenclature will improve  
105 consistency across studies, and facilitate the analysis of POH incidence, management, and  
106 pathophysiology.

107 POH incidence is currently estimated as ranging from 1 reaction per 353 anesthetic procedures to 1 per  
108 18,600<sup>6-7</sup>. POH reactions involving a presumed IgE mechanism have an estimated incidence of 1/5,000 to  
109 1/13,000 anesthetic procedures, with data from France and the United Kingdom yielding a similar figure  
110 of 1/10,000 anesthetic procedures<sup>8-9</sup>. The reported mortality rate varies from 1.4% in Western Australia  
111 to 4.8% in Japan and has been estimated at 3.8% in the United Kingdom and 4.1% in France<sup>9-11</sup>.

112 There are marked variations in POH incidence and causative agents from one country to another, due to  
113 differences in anesthetic agents, population sensitivities, and heterogeneity in the definition, allergist  
114 referral, and reporting of POH<sup>4</sup>. Such variations may be influenced by anesthetic practices, such as the  
115 preferred choice of neuromuscular blocking agents (NMBAs) or of antibiotics, which vary between  
116 countries<sup>12</sup>. Although still under investigation, exposure to pholcodine (3-o-morpholinoethylmorphine),  
117 an opioid cough suppressant available in only some countries, may predispose to NMBA reactions<sup>13</sup>. New  
118 culprits include disinfectants such as chlorhexidine and blue dyes, such as patent blue used in cancer  
119 surgery. Allergic reactions to other substances, such as hypnotics, opioids or local anesthetics, are quite  
120 rare<sup>7</sup> but some opioids can directly induce mast cells to degranulate and release histamine release<sup>14</sup>.

121 Contribution of genetic factors and occupational exposures (e.g., quaternary ammonium in hairdressers  
122 and bakers) to the development of POH is also suspected<sup>15-16</sup>.

123 Paired acute (sAT) and baseline (sBT) serum tryptase measurement provides a mechanistic approach in  
124 addition to the clinical signs. A transient elevation of sAT (optimally taken 30-120 min after onset of signs  
125 or symptoms; though depending on the magnitude of the peak sAT elevation, the level may still be  
126 elevated 4-6 h after onset) greater than  $[2 + (1.2 \times \text{baseline tryptase level})]$  (baseline sample either  
127 retrieved from a sample drawn prior to the perioperative period or obtained at least 24 hours after all  
128 signs and symptoms have resolved) supports the involvement and activation of mast cells. Conversely, the  
129 lack of a transient elevation in serum tryptase during a hypotensive reaction supports a non-mast cell  
130 pathway being involved<sup>6,17</sup>. In all cases of POH suspicion, investigation is mandatory regardless of tryptase  
131 results **(Figure 2). (1<sup>st</sup> occurrence of references in Figure 2: 18-19)**

132 POH clinical presentation does not allow reliable discrimination of the underlying mechanism. Indeed,  
133 even in typical pictures with hypotension, tachycardia, wheezing and pruritic hives **(Figure 3a)**, tryptase  
134 measurements can be more precise for ascertaining mast cell activation, while allergy testing can best  
135 identify the trigger guiding future anesthetic choices **(Figure 3 b). (1<sup>st</sup> occurrence of references in Figure 3:  
136 20-22)**

137

## 138 **2. Molecular mechanisms and pathophysiology of perioperative hypersensitivity and anaphylaxis**

139

140 The molecular mechanisms and pathophysiology of POH have been reviewed in 2019<sup>5</sup>. Activation and  
141 degranulation of mast cells and basophils, occurring through various IgE:FcεRI-dependent and IgE:FcεRI-  
142 independent signaling pathways, play a pivotal role in POH.

143 Drugs, latex and other compounds used in the perioperative period can effectively cross-link IgE:FcεRI  
144 complexes on mast cells and basophils, initiating signal transduction and inducing the release of  
145 mediators<sup>23</sup>. Examples of IgE:FcεRI-dependent POH are reactions to β-lactam antibiotics, latex and  
146 chlorhexidine, as well as the majority of reactions to NMBAs. Limited evidence has suggested that the  
147 activation of mast cells and basophils can also be induced by antigen-specific IgG immune complexes which  
148 can aggregate FcγR2a and FcγRI on mast cells<sup>24-28</sup>.

149 More recently, it was shown that mast cell activation by drugs from various classes such as NMBAs, opiates  
150 and quinolones can also result from binding to the Mas-related G protein coupled receptor-X2  
151 (MRGPRX2)<sup>29-31</sup>, particularly through a tetrahydroisoquinoline (THIQ) motif. However, current evidence for  
152 this novel mechanistic endotype predominantly comes from animal or *in vitro* studies and the clinical

153 relevance is uncertain. For example, the human mast cell line LAD-2 and primary cultured human mast  
154 cells could not be activated by rocuronium through MRGPRX2<sup>32-33</sup>. However, morphine, cisatracurium and  
155 vancomycin are ligands for MRGPRX2, and Red Man's Syndrome from this antibiotic seems to occur  
156 through this receptor on mast cells<sup>32</sup>. Studies have not been able to conclusively confirm the presence of  
157 MRGPRX2 on resting basophils<sup>34-37</sup>, nor basophil activation by morphine<sup>38</sup> and the fluoroquinolone  
158 moxifloxacin<sup>39</sup>.

159 MRGPRX2-dependent degranulation does not require prior sensitization to the culprit, occurs rapidly after  
160 exposure to ligands and is less likely to generate pro-inflammatory cytokines, chemokines and lipids  
161 mediators seen after IgE activation<sup>40-41</sup>. While all mast cells can be activated through the IgE:FcεRI  
162 pathways, only selected populations of mast cells have been shown to express MRGPRX2. Substance P, a  
163 natural ligand of MRGPRX2, induces significant histamine and tryptase release from human skin mast  
164 cells<sup>42</sup>, which have high expression of this receptor<sup>43</sup>. Vancomycin-induced Red Man's Syndrome includes  
165 pruritus but not wheezing, consistent with the abundant presence of MRGPRX2 on skin but not lung-  
166 derived mast cells.

167 Direct activators of complement can generate vasoactive anaphylatoxins C5a and C3a, which bind to  
168 stereospecific G-protein-coupled receptor, C5a to C5aR (CD88) and C3a to C3aR, expressed by mast cells  
169 outside of the lung parenchyma and small intestinal mucosa<sup>5</sup>. Acute hypotensive reactions have been  
170 documented in dialysis patients when receiving over-sulfated chondroitin sulfate, a contaminant of  
171 heparin that activated the contact pathway, factor XII, leading to activation of plasma kallikrein and  
172 generation of bradykinin<sup>44-45</sup>. Various drugs, including penicillin G, when administered at  
173 suprapharmacologic concentrations, can activate the contact pathway in mice and rats and in human  
174 plasma<sup>46</sup>, which does not occur at pharmacologic doses. Acute reactions to iodinated radiocontrast media  
175 have been reported to occur by complement activation<sup>47</sup>, and more recently acute elevations in serum  
176 tryptase suggest that some cases involve mast cell activation<sup>48</sup>.

177



178 **3. Tryptase in the context of other anaphylaxis causes (Hymenoptera), mastocytosis, hereditary**  
179 **alpha tryptasemia, age and comorbidity-related variations**  
180

181 Tryptases genes are located on human chromosome 16 in two loci, *TPSB2* which encodes only  $\beta$ -tryptase  
182 and *TPSAB1*, encoding either  $\alpha$ -tryptase or  $\beta$  tryptase. These tryptases are trypsin-like proteases primarily  
183 expressed by mast cells, and at a 200-fold lower level, on average, by basophils<sup>49-50</sup>. Production of  $\alpha$ - and  
184  $\beta$ - protryptase monomers takes place continuously in cultured mast cells, with a portion being  
185 constitutively secreted by unstimulated mast cells *in vitro*<sup>51</sup> and likely as well *in vivo*, accounting for nearly  
186 all of the tryptase detected in baseline samples of serum or plasma, a level that remains relatively constant  
187 for a given individual over time, dependent primarily on genetic factors<sup>52</sup>. Another portion of  $\alpha$ - and  $\beta$ -  
188 protryptases are processed, in the presence of heparin at acidic pH, into mature forms that spontaneously  
189 form tetramers,  $\alpha$ -tryptase homotetramers,  $\beta$ -tryptase homotetramers, and  $\alpha/\beta$ -tryptase  
190 heterotetramers, that are stored in secretory granules in a complex with heparin proteoglycan, awaiting  
191 for mast cells to be activated to degranulate, whereupon the granule contents are externalized. The  
192 biological functions of tryptases are not well understood.  $\alpha$ -tryptase lacks proteolytic activity, while  $\beta$ -  
193 tryptase and  $\alpha/\beta$ -tryptase are proteolytically active.  $\beta$ -Tryptase can cleave fibrinogen destroying its ability  
194 to form fibrin when exposed to thrombin<sup>53</sup> and can directly generate C3a and C5a fragments from C3 and  
195 C5<sup>54</sup>.  $\alpha/\beta$ -Tryptase, but not  $\beta$ -tryptase, directly activates protease-activated receptor-2 (PAR2) on human  
196 endothelial cells, increasing vasopermeability, and cleaves EMR2 (EGF-like module-containing mucin-like  
197 hormone receptor-like 2, CD312) on the surface of mast cells, making them susceptible to vibration-  
198 triggered degranulation<sup>55-56</sup>, likely explaining some of the clinical features of hereditary alpha-tryptasemia.  
199 Unlike histamine, which rapidly diffuses after secretion, tryptase diffusion is limited by the  
200 macromolecular complexes in which it resides, delaying its appearance in the circulation compared to  
201 histamine. Thus, mature  $\beta$  tryptase will only be present in the bloodstream after mast cell activation and  
202 measurement of tryptase at this time is the sum of mature tryptases and the baseline protryptases.

203 Deficiency of  $\alpha$  tryptase has not been associated with a clinical phenotype and is seen in individuals  
204 expressing only  $\beta$  tryptases at both *TPSB2* and *TPSAB1* locus. Its prevalence varies with one's ancestry,  
205 being highest in those with African ancestry (40%), then with European ancestry (23%), and lowest in Asian  
206 ancestry (10%)<sup>57</sup>, suggesting that natural selection has occurred. Deficiency of active  $\beta$ -tryptase has not  
207 been reported. Current antibody tests used to measure tryptase in blood and biological fluids are based  
208 on common epitopes on  $\alpha$ - and  $\beta$ - tryptases and cannot assess  $\alpha$ -tryptase deficiency<sup>58</sup>. Median sBT level

209 measured with current Thermo Fisher ImmunoCAP assay in the general population is 3.6 µg/L. sBT levels  
210 in children are slightly lower than in adults, with a mean of about 3.4 µg/L and a tendency for boys to have  
211 higher levels than girls in some but not other studies<sup>59-61</sup>.

212  
213 Patients with systemic mastocytosis have a somatic gain-of-function (GOF) mutation of *c-KIT*, typically  
214 exhibit mast cell hyperplasia in the bone marrow and/or other organ systems, and have levels above 20  
215 µg/L in about 75% of cases, with a small percentage having levels in the normal range<sup>62</sup>. Other conditions  
216 associated with elevated sBT levels include advanced renal failure and other clonal myelocytic disorders  
217 such as myelodysplastic syndrome associated with a somatic *JAK2* GOF mutation or hypereosinophilic  
218 syndrome associated with a somatic GOF mutation in *PDGFRA* or *PDGFRB*. Those with KIT GOF mutations  
219 can exhibit mast cell expansion and activation, likely due to ligand independent D816V mutated KIT  
220 activation, and thus are at increased risk for POH. Patients with coronary syndromes and acute changes in  
221 ST segment have been shown to present transiently elevated tryptase<sup>63</sup>, with levels above 5 µg/L strongly  
222 predicting further major cardiovascular adverse events in the following 2 years<sup>64</sup>. sBT levels are increased  
223 in and predictive of chronic renal failure<sup>65</sup>, although tryptase is not cleared by the kidneys into urine<sup>66</sup>.

224 Hereditary α-tryptasemia (HaT), a recently described autosomal dominant disorder estimated to affect  
225 about 6% of those with a European ancestry, presents with extra copies of *TPSAB1*, but only when it  
226 encodes α-tryptase, and elevated sBT levels (>7 µg/L)<sup>56,67-71</sup>. Although most affected families have only one  
227 extra gene copy, up to four extra gene copies have been reported<sup>72</sup>. The more extra copies of *TPSAB1*  
228 within a family, the higher is the sBT level, the higher is the portion of active mast cell tryptase accounted  
229 for by α/β-tryptase heterotetramers, and the greater is the symptom burden – though some individuals  
230 with this genetic trait have no symptoms. HaT, mastocytosis, and other clonal mast cell disorders are  
231 distinct conditions that can occur independently or in association. Current knowledge indicates that HaT  
232 patients are at higher risk for more severe spontaneous or Hymenoptera sting-triggered anaphylaxis, while  
233 systemic mastocytosis patients exhibit higher incidence and severity for such events, and there is a  
234 cumulative effect in people diagnosed with both mastocytosis and HaT, who experience the highest  
235 prevalence of such reactions<sup>56,71</sup>. Thus, HaT likely explains the early observation that the risk for  
236 Hymenoptera sting-induced anaphylaxis markedly increased in people with sBT levels above 5 µg/L<sup>72</sup>.

237 Although whether HaT confers an increased risk for POH has not yet been studied, a reasonable hypothesis  
238 to consider is whether the severity of POH may be higher than in an unaffected control group. This  
239 hypothesis is supported by the observation of more frequent sBT greater than 5 µg/L among patients  
240 having experienced more severe POH<sup>74</sup>.

241  
242 **4. Review of evidence (including pitfalls) and current official recommendations for acute and**  
243 **baseline tryptase level measurement as a tool for perioperative hypersensitivity and**  
244 **anaphylaxis**

245 The measurement of serum tryptase is performed with a commercially available immunoassay that  
246 measures the mature and pro forms of  $\alpha$ - and  $\beta$ - tryptases, referred to as “total tryptase” (ImmunoCAP  
247 Tryptase, Thermo Fisher Scientific, Uppsala, Sweden). A timeline of conceptual and methodological  
248 progress in the field of tryptase is presented in **Figure 4. (1<sup>st</sup> occurrence of references in Figure 4: 75-87)**

249 Briefly, tryptase measurement for anaphylaxis was proposed in 1987, based on the first tryptase assay<sup>77;79</sup>,  
250 which only later was recognized as detecting mature forms of  $\alpha$ - and  $\beta$ - tryptases, but not their pro forms.  
251 Circulating mature tryptase levels higher than the detection threshold were found in acute samples from  
252 anaphylaxis and baseline samples from mastocytosis patients, but not in samples from healthy donors.  
253 This led to development of a radioimmune tryptase assay<sup>78</sup> that also turned out to measure only the  
254 mature forms of the protein. In 1994, using new anti-tryptase monoclonal antibodies (mAbs), a new  
255 immunoassay was developed that could detect tryptase levels at baseline in most individuals<sup>58</sup>, because  
256 as later learned it detected pro- as well as mature forms of  $\alpha$ - and  $\beta$ - tryptases. The total tryptase assay  
257 quantitated circulating tryptase not only in anaphylaxis and mastocytosis, but also in healthy controls, with  
258 significant interindividual variations<sup>58</sup>. Minor modifications of the 1994 total tryptase assay resulted in the  
259 commercial fluoro-enzyme immunoassay (FEIA) test released in 1995 (Pharmacia & Upjohn, then Phadia  
260 and now Thermo Fisher Scientific, Uppsala, Sweden), using the B12 anti-tryptase mAb for capture and the  
261 G4 anti-tryptase mAb for detection. Modifications since then include the addition of an agent to suppress  
262 heterophilic antibodies that could produce false elevations, replacement of purified lung-derived tryptase  
263 used as standards with recombinant human  $\beta$ -protryptase, and converting the G4 anti-tryptase IgG mAb  
264 to its F(ab')<sub>2</sub> form. Virtually all clinical tryptase determinations worldwide have been performed with this  
265 commercial assay for the last 25 years. Thus, using a total tryptase assay improved the precision for  
266 diagnosing mast cell-mediated hypersensitivity, including POH, requiring the serum acute tryptase (sAT)  
267 level (collected 30-120 min after clinical onset) be higher than  $[2 + (1.2 \times sBT)]$ . The sBT should be collected  
268 either before the reaction or at least 24 hours after all signs and symptoms have resolved<sup>58;74;84-89</sup>. Using  
269 this algorithm is more specific and sensitive than using sAT alone.

270 For insect sting-triggered systemic anaphylaxis, taking the onset of symptoms as the reference time point,  
271 tryptase elevation is detectable in peripheral blood after a latency of 15-30 minutes; a maximum is reached

272 at approximately 1 hour, followed by a decline to baseline levels of about 50% every 2 hours<sup>58;80</sup>. sBT levels  
273 have been shown to be very reproducible, except for a negligible dilutional effect, in the perioperative  
274 setting in the absence of hypersensitivity<sup>83;89</sup>. To date, serum tryptase is the principal mast cell biomarker  
275 available for in vitro diagnostics, and its interpretation is straightforward, with a consensus algorithm  
276 proposed in 2012<sup>84</sup>, validated in several studies during the last decade, and which is now recommended  
277 in guidelines of several organizations for diagnosing anaphylaxis in general and in the perioperative  
278 setting<sup>6;85;87</sup>. This algorithm allows calculating an individual cut-off for each patient, based on sAT and sBT  
279 values: sAT exceeding  $[2 + (1.2 \times \text{sBT})]$   $\mu\text{g/L}$  supports mast cell degranulation, even in cases when sAT  
280 remains in the normal reference range<sup>74;84-87;89</sup>. However, paired sAT and sBT determination in the highly  
281 complex setting of suspected POH is not optimally implemented in current practice in many hospitals.

282 In the perioperative setting, commonly occurring events such as the sudden onset of hypotension,  
283 tachycardia and/or bronchospasm, may be interpreted as hypersensitivity, but in fact may be caused by  
284 other factors not related to hypersensitivity. In contrast, analysis of tryptase measurements and allergy  
285 investigations, respectively, revealed mast cell activation and a likely allergic trigger for some perioperative  
286 reactions when the initial clinical evaluation did not favor such a diagnosis POH<sup>90</sup>. In addition to the allergist  
287 discussing the reaction and potential differential diagnoses with an anesthesiologist, biomarkers, notably  
288 serum tryptase, are recommended to be included in the determination of mast cell involvement and  
289 severity grading<sup>6</sup>. This is an important step to acknowledge, because anaphylaxis has always been  
290 considered as a clinical diagnosis, enjoying a strong transgenerational consensus despite the fact that the  
291 clinical presentation alone is too often misunderstood or misdiagnosed. In fact, there is a double need of  
292 immediate recognition of possible anaphylaxis prompting appropriate epinephrine treatment, followed by  
293 immediate collection of elements substantiating the hypersensitivity mechanism, including serum tryptase  
294 sampling. This has been addressed in recent guidelines<sup>6;91-92</sup>. The updated references rely on correctly  
295 paired sAT with sBT sampling for the diagnosis of POH, providing greater precision for determining  
296 whether mast cell activation indeed occurred. The timing of this paired sampling is clearly defined in  
297 international consensus papers, with the optimal window for sAT sampling between 30 minutes and 2  
298 hours after the initiation of symptoms, while sBT should be measured in a sample collected before the  
299 event (pre-operative) or at least 24 hours after all signs and symptoms have resolved<sup>6;18;84-87;93</sup>. Physicians  
300 must be aware that in some patients, especially those with very high sAT levels, tryptase measured 24 h  
301 after clinical onset might still be elevated, though to remain elevated 24 h after all signs and symptoms  
302 have resolved is rare. In such a case, a control sample at a later time might be warranted. The gradual  
303 post-reaction decrease of serum tryptase levels (with a half-life around 2 h after peak level is reached)

304 explains why sampling at later times, three or even four hours post-reaction was accepted for practical  
305 reasons for outpatients in earlier guidelines<sup>94-96</sup>. Although delayed sAT sampling may still be the only  
306 option in patients experiencing hypersensitivity reactions outside the hospital, proper timing for sAT  
307 during POH is achievable, and this is acknowledged in current guidelines because it provides better  
308 diagnostic sensitivity for mast cell degranulation,

309 In recent years, sAT and sBT sampling for suspicion of POH has become more common. A properly timed  
310 sAT is available in many centers where POH awareness and collaboration between anesthesiologists,  
311 allergists, and immunologists are well established. Recent figures may be as high as 86% in UK patients<sup>97</sup>,  
312 99% in French patients from Marseille<sup>74</sup>, and 80% in Danish patients<sup>91</sup>, showing better guideline  
313 implementation than in earlier reports from the same countries, e.g. 41% in Flanders<sup>98</sup>, or 67% in France<sup>17</sup>.

314 On the other hand, proper sBT determination is still the poor cousin, overlooked in as many as 15-25% of  
315 patients<sup>74;97</sup>. The most common reasons appear to be the erroneous assumptions that a sAT value  
316 exceeding the manufacturer's reference level of the 95th upper percentile of apparently healthy donor  
317 groups, or other cut-off levels usually established by personal experience, provides a sufficiently sensitive  
318 marker of perioperative mast cell degranulation, while a sAT value lower than such a reference does not  
319 exclude the diagnosis of POH, as an sAT of 4 can be clinically significant if the sBT is 1. However, an sAT of  
320 3 or lower would exclude mast cell activation by the tryptase algorithm. In fact, neither a universal  
321 reference level, nor an isolated sAT result are reliable criteria.

322 Technical improvements of the total tryptase assay resulted in continued lowering of the manufacturer's  
323 95th upper percentile value: currently 11 µg/L, previously 11.4 µg/L and 14 µg/L (**Figure 4**).

324 sAT cannot be interpreted without the sBT level of the patient. Assuming on statistical grounds that a given  
325 patient's sBT is normal and therefore omitting to measure it conveys risks for the patient. Elevated sBT is  
326 not an uncommon finding, e.g., 10% of patients with POA displayed sBT greater than 15.4 µg/L in the UK  
327 NAP 6<sup>97</sup>. HaT, mastocytosis, other clonal myeloid disorders or renal failure are associated with sBT levels  
328 above the normal range. Current knowledge places HaT as the most frequent cause of an sBT of 7 µg/L  
329 and higher in about 6% of those with a European ancestry, less in those with an Asian or African ancestry<sup>69</sup>.

330 The demonstration of similarly elevated sAT and sBT levels may not only prevent an incorrect diagnosis of  
331 MC activation, but also can help identify an alternative or underlying diagnosis. Moreover, missing a  
332 permanent mast cell-related condition, including mastocytosis and/or HAT, often discovered as an  
333 elevated sBT<sup>56;99-100</sup>, means failing to give that individual patient the best possible diagnostic information  
334 and care.

335 Conversely, sAT levels do not reach 11.5 µg/L in all patients experiencing POH reactions. Early evidence<sup>58</sup>  
336 consolidated during the past decade showed that elevation of sAT is linked to clinical severity, with  
337 hypotension being the best clinical correlate, explaining the 10 to 60% prevalence of sAT figures below  
338 11.5 µg/L reported in the literature<sup>74;97-98;101</sup>. sBT measurement is irreplaceable for the interpretation of  
339 such apparently “normal” sAT values.

340 Another indirect cause of insufficient sBT determination is the universally low rate of referral to an allergist  
341 for patients having experienced a suspected POH<sup>74;98</sup>. Indeed, proper referral for an allergy work-up in the  
342 weeks or months following a suspected hypersensitivity reaction is advised<sup>6</sup>, and should be accompanied  
343 by a list of all perioperative medications, topical agents and materials containing latex, animal products or  
344 other potential allergens. Allergy work-up provides an opportunity to check sBT in case this has not been  
345 done under the anesthesiologists’ supervision, as recommended in adults and children alike<sup>6;102</sup>.

346 Finally, the availability of *in vitro* diagnostics and the expense of two tryptase determinations are  
347 sometimes cited as limiting factors for the proper implementation of the recommended two-tryptase  
348 scheme. We believe that state-of-the-art recommendations must be supported and used as an incentive  
349 for improving local practice. Importantly, serum samples can be stored and assayed later. This is true for  
350 sAT sampling, but also for sBT as a provisional pre-operative serum sampling which might be used for sBT  
351 measurement in case of subsequent perioperative reaction. Currently, testing for sBT in all patients  
352 referred for an operative procedure is not recommended. However, if serum is drawn for pre-operative  
353 blood tests, a portion can be retrieved to measure the sBT level in case a POH occurs. Referral to an allergist  
354 is strongly recommended, and allows for thorough patient screening for mast cell-related disorders. A  
355 collaboration of anesthesiologists with allergists is the best solution for continued improvement of POH  
356 management.

357 A noteworthy shortcoming for using the tryptase algorithm is that a genuine IgE-mediated hypersensitivity  
358 reaction may not be revealed if a systemic reaction is of low severity, particularly in the absence of  
359 hypotension, or if the reaction occurs at a local site. Indeed, the rise in tryptase levels during  
360 hypersensitivity reactions correlates primarily with the magnitude of hypotension. In the absence of  
361 hypotension, isolated cutaneous, gastrointestinal or respiratory manifestations, even though locally  
362 severe and associated with local mast cell activation, may not raise tryptase levels in the circulation.  
363 Hypotension might reflect activation of mast cells in blood vessel walls, from where tryptase might diffuse  
364 more readily into the circulation than from other sites. Another explanation might be the reaction is due  
365 to other cells than mast cells, or to newly generated vasoactive mediators being secreted rather than to

366 degranulation-dependent release of stored mediators<sup>25;103</sup>. Basophil contributions to tryptase levels is  
367 limited, because they contain much less tryptase than mast cells<sup>49-50;104</sup>. Finally, activation of non-mast cell  
368 pathways may mimic signs and symptoms of anaphylaxis, as reported when over-sulfated chondroitin  
369 sulfate was inadvertently administered to patients and acutely activated the contact pathway, resulting in  
370 overproduction of vasoactive bradykinin<sup>44;105</sup>, or when an older type of dialysis membrane acutely  
371 activated the complement pathway, which generates vasoactive C3a and C5a anaphylatoxins<sup>106</sup>.

372 The so-called dilution effect, stating that massive infusion of liquids at the onset of perioperative  
373 deterioration, might lead to an underestimation of sAT, has been experimentally refuted, as 1-2 L of  
374 normal saline result in a negligible dilutional effect, and minimal variation of tryptase levels are observed  
375 outside POH<sup>83;89</sup>.

376 Tryptase is a reliable analyte in particular situations, such as pediatric POH and POH during pregnancy.  
377 Although pediatric POH is a rare event, accounting for less than 10% of total POH events and 1 in 37,000  
378 pediatric anesthetic procedures<sup>98;107-108</sup>, it may be severe<sup>98;107;109</sup>. The largest series of pediatric POH  
379 reported 266 cases<sup>8</sup>. The top three culprits are latex, NMBAs, and antibiotics<sup>8;110</sup>. In 1177 children treated  
380 postoperatively for pain with metamizole the probability of serious allergic reactions and anaphylaxis was  
381 0.3%<sup>111</sup>. Tryptase determination performs similarly to adults<sup>102;109;112</sup>.

382 POH during an obstetrical procedure is an even more rare event, with a reported incidence of 3 in 100,000  
383 deliveries<sup>113</sup>, and an estimated incidence for the whole duration of pregnancy of 1.5 in 100,000 in  
384 Europe<sup>114</sup>. The management of POH suspicion in pregnancy, including sAT and sBT sampling, is the same  
385 as in general population<sup>114-115</sup>. The rate of tryptase sampling in POH during pregnancy was recently  
386 reported to be 86% in the UK, but only 54% in continental European countries<sup>114</sup>. Of note, tryptase  
387 assessment is unaffected by pregnancy-related high levels of diamine-oxidase, as opposed to histamine,  
388 which is degraded by this enzyme, leading to false negative results<sup>116</sup>. Amniotic fluid embolism (AFE) may  
389 occur during obstetrical anesthesia and present as a clinical differential diagnosis of POH<sup>117-118</sup>.

390 *Post-mortem* determination of tryptase has been proposed for the diagnosis of fatal anaphylaxis<sup>119</sup>,  
391 including fatal POH, in cases when sAT sampling could not be performed, e.g., the death occurred  
392 perioperatively. The collection site for *post-mortem* tryptase may influence the results, and it is therefore  
393 advised that *post-mortem* sampling for tryptase determination should be done from the femoral vein<sup>120</sup>.  
394 Similarly, sBT levels cannot be obtained after the putative POH event unless the death occurs more than  
395 24 hours after resolution of this event. If serum or plasma is available from before the event and was  
396 appropriately stored, then it could be used as a baseline. Overall, paired sAT and sBT are seldom available

397 in this context, explaining why the general  $sAT > [2+(1.2 \times sBT)]$  equation is often not applicable, and a  
398 consensus cut-off value for sAT is lacking<sup>121-122</sup>. The higher the value, the higher the probability that mast  
399 cell activation was involved with the putative POH event. Perioperative tryptase levels are not affected by  
400 resuscitation procedures and are not elevated in patients who die during anesthesia from non-allergic  
401 causes<sup>123</sup>. Outside POH, raised *post-mortem* tryptase levels have been reported in isolated cases of *pre-*  
402 *mortem* trauma, myocardial infarction, asphyxia, and pulmonary damage<sup>121;124</sup>.

403  
404

#### 405 **5. Combined clinical + dual tryptase score for perioperative hypersensitivity and anaphylaxis**

406  
407 We recommend sAT sampling at 30 min to 2 h after the onset of symptoms, and sBT from either a blood  
408 sample collected before the event or one collected at least 24h after complete resolution of symptoms  
409 and signs of anaphylaxis. In some patients, tryptase measured at 24 h after onset might still be elevated,  
410 and a control sample at a later time might be warranted, well after all signs and symptoms have resolved.  
411 Referral to an allergist must be part of the diagnostic procedure for operative centers. The complete  
412 recommended algorithm for tryptase sampling during POH is presented in **Figure 5**.

413  
414

#### 415 **6. Unmet needs, research perspectives and concluding remarks**

416 Pediatric POH is a rare event, and published data are scarce. Larger series are needed in order to better  
417 understand and manage POH in this population and using the above algorithm with measurements of  
418 tryptase during the acute event and obtaining a baseline tryptase is recommended.

419 Once POH has occurred, referral to allergist is mandatory regardless of tryptase elevation, to improve  
420 patient safety and provide recommendations for future anesthetic procedures. If epinephrine is used an  
421 automatic prompt for tryptase determination should be implemented in all electronic health care systems  
422 and integrated in all anaphylaxis/hypersensitivity algorithms aided by artificial intelligence which would  
423 aid in the recognition of the symptoms of hypersensitivity and anaphylaxis. Rapid automated tryptase  
424 determination is technically possible and would be of great help at bedside. Adding rapid sAT  
425 determination in the operation room to blood sampling for laboratory sAT and sBT determination would  
426 contribute to better recognition and management of POH at early stages. Beyond tryptase, transdermal  
427 and real time measurements of mediators and physical signs are needed for a better assessment,  
428 diagnosis, and treatment of POH.



429

430 **Acknowledgments**

431 Bjarne Kristensen, Nordic Area Manager, ImmunoDiagnostics, Thermo Fisher Scientific, Allerød, Denmark,  
432 and Niclas U. Rydell, Senior Scientist, ImmunoDiagnostics, Thermo Fisher Scientific, Phadia AB, Uppsala,  
433 Sweden for generously providing information related to the development of commercial tryptase assays.

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771 **Figure legends**

772

773 **Figure 1. Box 1. Definitions, nomenclature, and mechanisms of perioperative hypersensitivity and**  
774 **anaphylaxis. References: 1;4;6.**

775 An overview of the definitions, nomenclature, and mechanisms of immediate perioperative  
776 hypersensitivity reactions is provided. Perioperative anaphylaxis is defined as a severe, life-threatening  
777 immediate perioperative hypersensitivity involving at least two organs, or circulatory or respiratory  
778 compromise.

779

780 **Figure 2. Graphical summary of events and considerations in suspected perioperative hypersensitivity**  
781 **and anaphylaxis. References: 6-7;18-19.**

782 ACE, angiotensin converting enzyme; a-Gal, alpha-galactose; IgE, immunoglobulin E; NMBA,  
783 neuromuscular blockers; POH, perioperative hypersensitivity reaction.

784

785 **Figure 3. Clinical vignette**

786 **3a, perioperative presentation and management; 3b, examples of diagnostic assessment and**  
787 **recommendations for future anesthesia. References: 6;12;18;20-21.**

788 BAT, basophil activation test; IgE, immunoglobulin E; NMBA, neuromuscular blockers; POH, perioperative  
789 hypersensitivity; sAT, serum acute tryptase; sBT, serum baseline tryptase; ST, skin tests

790

791 **Figure 4. Historical overview of tryptase as a biomarker.**

792 Tryptase was discovered in 1981. It is a biomarker of mast cell activation and burden, with applications in  
793 anaphylaxis and other immediate hypersensitivity reactions, mast cell disorders, hereditary  $\alpha$ -  
794 tryptasemia, among others. Paired acute and baseline total tryptase determination is recommended for  
795 the diagnosis of mast cell activation in MCAS and perioperative settings.

796 **References: 6;58;67-68;70;73;75-87.**

797 a, anti; Ab, antibody; ALP, alkaline phosphatase; CNV, copy number variation; FDA, Food and Drug  
798 Administration; Gal, beta-galactosidase; HaT, hereditary  $\alpha$ -tryptasemia; hTry, human tryptase; mAb,  
799 monoclonal Ab; MCAS, mast cell activation syndrome; mu, murine; n, purified; r, recombinant; sBT,  
800 serum baseline tryptase level; sAT, serum acute tryptase level; WHO, World Health Organization.

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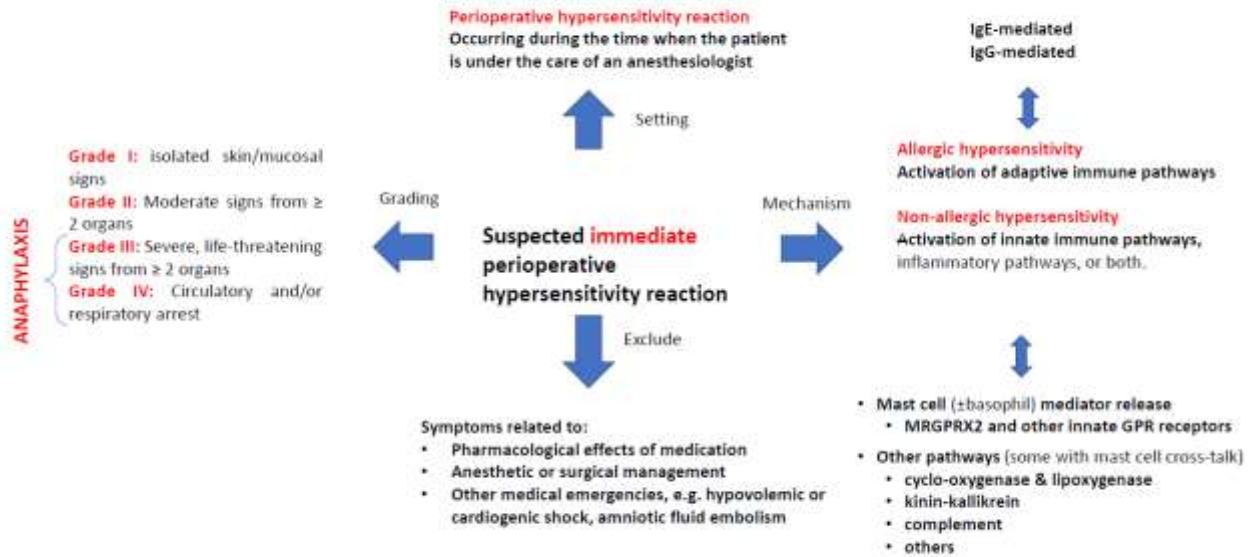
802 **Figure 5. Recommended algorithm for tryptase sampling during perioperative hypersensitivity and**  
803 **anaphylaxis.**

804 This figure focuses on practical guidance for tryptase sampling during perioperative hypersensitivity  
805 including technical advice, pitfall avoidance and the mandatory referral to allergist.

806

**Figure 1 = Box 1. Definitions, nomenclature, and mechanisms of perioperative hypersensitivity and anaphylaxis**

Adapted from references: 1;4;6

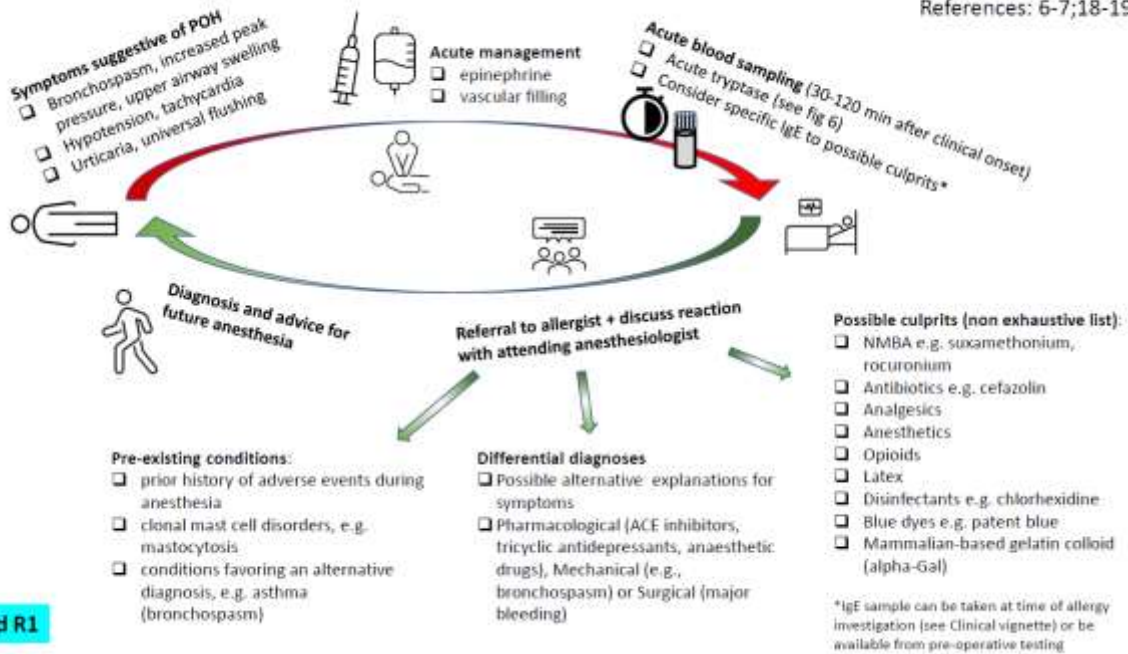


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**Figure 2. Graphical resume of events and considerations in suspected POH**

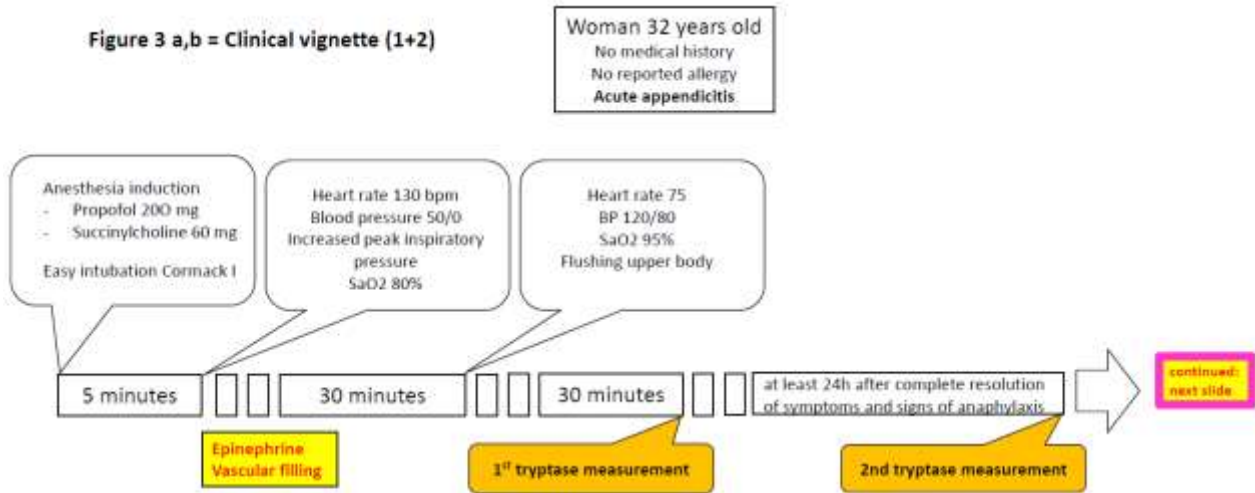
References: 6-7;18-19



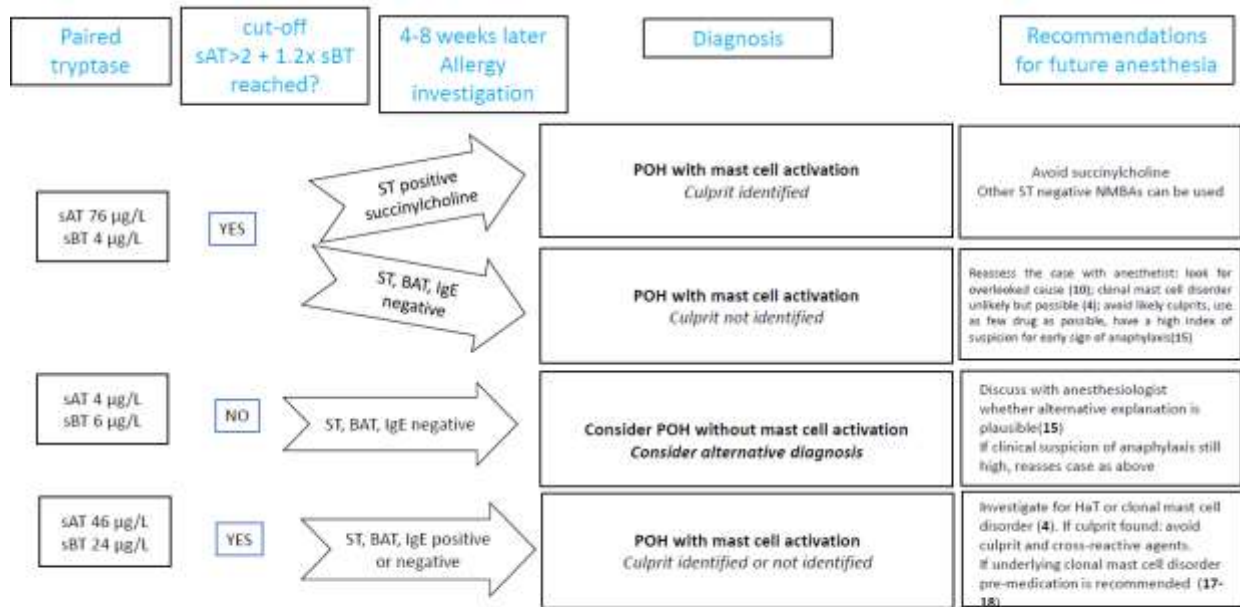
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Figure 3 a,b = Clinical vignette (1+2)



809



\*A normal sBT does not exclude an underlying clonal mast cell disorder

References: 6; 12;18;20-21

Revised R1

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**Figure 4. Historical overview of tryptase as a biomarker**

ECNM and AAAAA consensus: sAT > 1.2\*sBT + 2 algorithm for diagnosing MCAS & perioperative anaphylaxis (6;85-87)

HaT = ↑ TPSAB1 CNV encoding α-tryptase (68)

HaT discovered with ↑ total sBT & clinical features (67;70)

ECNM: sAT > 1.2\*sBT + 2 algorithm for systemic anaphylaxis (84)  
sBT not affected by surgery and anesthesia (83)

sBT > 5 µg/L: ↑ risk of occurrence and severity of insect sting-induced anaphylaxis (73)

Total sBT > 20 µg/L: minor criterion for systemic mastocytosis (82; approved 2001 by WHO and 2014 by FDA)

Total tryptase immunoassay developed: detectable at baseline and elevated during insect sting anaphylaxis (58)

Time course for mature tryptase in serum after insect sting-triggered anaphylaxis (80)

Potential biomarker for systemic anaphylaxis (↑ MC activation) and systemic mastocytosis (↑ MC burden) (79)

Mature tryptase immunoassays developed in 1986 (77) and commercialized in 1991 (78)

MC tryptase discovered & used as biomarker for MC degranulation *in vitro* (75-76)

| Year | Assay name                              | Tryptase isoforms detected                | Method | Platform                   | Sensitivity (µg/L)       | Specificity (%) | Capillary Ab (total Ab) | Interference Ab (compared)   | Reference        | Wide-scale CV% | Interassay CV% |
|------|---|---|--------|----------------------------|--------------------------|-----------------|-------------------------|--|------------------|----------------|----------------|
| 2019 | total tryptase (2028)*                  | mature and pro-forms of α- and β-tryptase | PEIA   | Phadia 200, 250, 250, 1000 | 0.4                      | 82              | no mAb α-Try B12        | no mAb α-Try B12, PhACTy, Gal + low heterophilic Ab suppressor       | reference        | 5.5-9          | 5.5-8          |
| 2016 | total tryptase (2018) USA, 2021 Europe* | mature and pro-forms of α- and β-tryptase | PEIA   | Phadia 150, 200, 250, 1000 | 0.4                      | 11.0            | no mAb α-Try B12        | no mAb α-Try B12, PhACTy, Gal + low heterophilic Ab suppressor       | reference        | 3.0-4          | 5.0-7          |
| 2012 | total tryptase (2011)*                  | mature and pro-forms of α- and β-tryptase | PEIA   | Phadia 150, 250, 1000      | 0.4                      | 11.0            | no mAb α-Try B12        | no mAb α-Try B12, Gal + high heterophilic Ab suppressor              | reference (long) | 4.0-4          | 7.0-8          |
| 2010 | total tryptase (2009)*                  | mature and pro-forms of α- and β-tryptase | PEIA   | Phadia 100, 250            | 0.5                      | 11.4            | no mAb α-Try B12        | no mAb α-Try B12, Gal + low heterophilic Ab suppressor               | reference (long) | 3.0-2          | 4.0-3          |
| 2009 | total tryptase (2001)*                  | mature and pro-forms of α- and β-tryptase | PEIA   | Unicap                     | 0.4                      | 11.5            | no mAb α-Try B12        | no mAb α-Try B12, Gal + low heterophilic Ab suppressor               | reference (long) | 4.0            | 5.0-8          |
| 1994 | total tryptase (2894) (86)              | mature and pro-forms of α- and β-tryptase | ELISA  | <i>in-house</i>            | 1.0-4.0 (synthetic meat) | range 0.2-11.0  | no mAb α-Try B12        | no mAb α-Try B12, Gal + low heterophilic Ab suppressor               | reference (long) | 4-10           | 10-20          |
| 1989 | tryptase (2891) (78)                    | mature and β-tryptase                     | RIA    | PhACT                      | <0                       | <0              | no mAb α-Try B12        | no mAb α-Try B12, Gal + low heterophilic Ab suppressor               | reference (long) | 3.0-2          | 2.0-2, 1.0     |
| 1987 | tryptase (2890) (77)                    | mature and β-tryptase                     | ELISA  | <i>in-house</i>            | <0                       | <0              | no mAb α-Try B12        | goat polyclonal IgG anti-Try, ALP-conjugated swine IgG anti-goat IgG | reference (long) | 10, 4-4        | 10-11, 14      |

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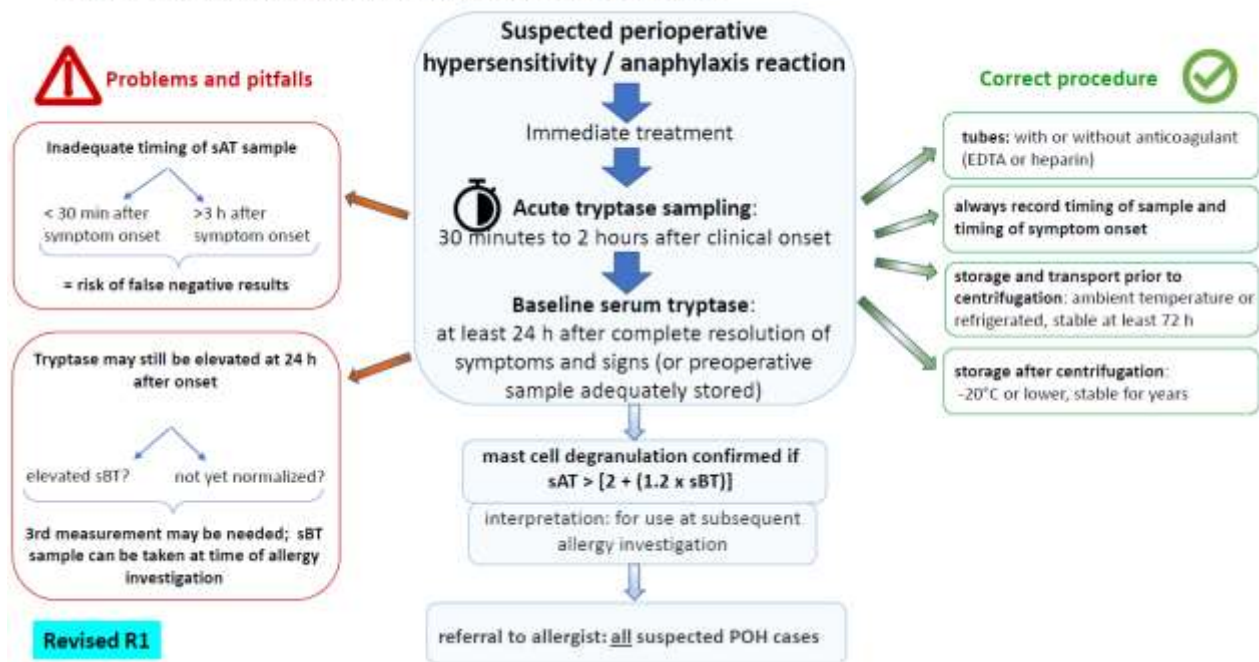
knowledge milestones

technical milestones

\* Pharmacia/Phadia/Thermo Fisher information

811

**Figure 5. Recommended strategy for tryptase sampling during POH**



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